Stochastic Adaptive Activation Function

Kyungsu Lee DGIST*

42988 Daegu, South Korea ks_lee@dgist.ac.kr

Haeyun Lee

DGIST, SAMSUNG SDI 17084 Yong-In, South Korea haeyun.lee@samsung.com Jaeseung Yang DGIST

42988 Daegu, South Korea yjs6813@dgist.ac.kr

Jae Youn Hwang[†] DGIST

42988 Daegu, South Korea jyhwang@dgist.ac.kr

Abstract

The simulation of human neurons and neurotransmission mechanisms has been realized in deep neural networks based on the theoretical implementations of activation functions. However, recent studies have reported that the threshold potential of neurons exhibits different values according to the locations and types of individual neurons, and that the activation functions have limitations in terms of representing this variability. Therefore, this study proposes a simple yet effective activation function that facilitates different thresholds and adaptive activations according to the positions of units and the contexts of inputs. Furthermore, the proposed activation function mathematically exhibits a more generalized form of Swish activation function, and thus we denoted it as Adaptive SwisH (ASH). ASH highlights informative features that exhibit large values in the top percentiles in an input, whereas it rectifies low values. Most importantly, ASH exhibits trainable, adaptive, and context-aware properties compared to other activation functions. Furthermore, ASH represents general formula of the previously studied activation function and provides a reasonable mathematical background for the superior performance. To validate the effectiveness and robustness of ASH, we implemented ASH into many deep learning models for various tasks, including classification, detection, segmentation, and image generation. Experimental analysis demonstrates that our activation function can provide the benefits of more accurate prediction and earlier convergence in many deep learning applications. ¹

1 Introduction

Searching for the optimal activation functions has been a challenge in the field of artificial intelligence (Maas et al., 2013; Ramachandran et al., 2017; Clevert et al., 2015). Early activation functions have been studied to compensate for the non-linearity of the artificial neural networks or ameliorate the gradient vanishing problem (Hertz et al., 1997; Hochreiter, 1998). Recently, novel activation functions have been suggested with the zero-centered or parametric properties that improve the training efficiency of deep neural networks (DNNs) (Maas et al., 2013; Clevert et al., 2015). Currently, the activation functions focusing on the stability of DNNs or probabilistic distribution of inputs have been proposed (Hendrycks, Gimpel, 2016; Misra, 2019). Advances in the activation functions have

^{*}Daegu Gyeongbuk Institute of Science and Technology

[†]corresponding author

¹Our code is available at https://github.com/kyungsu-lee-ksl/ASH

allowed DNNs to perform various tasks such as detecting or segmenting target objects in sophisticated images or even generating new images beyond the simple classifiers (Simonyan, Zisserman, 2014; Zhao et al., 2019; Badrinarayanan et al., 2017; Goodfellow et al., 2014).

The activation functions has evolved to behave more like a human neuron (Sharma et al., 2017; Lee et al., 2017). However, Izhikevich (2003); Evans et al. (2018) reported that the neurotransmission mechanism, including the membrane, action, and threshold potentials of human neurons, is subject to the location or the connection type of the neurons. Additionally, humans perceive objects with surrounding contexts using the N:N mapping of visions to human neurons rather than the 1:1 mapping of a pixel to an input node in a neural network (Liu et al., 2018). The connections between neurons can be realized through the linear combinations of layers in DNNs. However, DNNs have limitations in terms of realizing contextual perception. This implies that the further improvement in deep neural networks and convolutional neural networks (CNNs) can be realized despite the impressive performance on image analysis (Jinsakul et al., 2019; Misra, 2019). Therefore, the development of DNNs is leaned to mimic human perception by realizing the mechanism of human neurons (Aggarwal, others, 2018; Lindsay, 2021).

tCurrently, the primary issue is that many activation functions exhibit passivity, in this paper, indicating that they determine outputs only concerning the value of one element rather than entire contexts. For instance, the Rectified Linear Unit (ReLU), defined as $f(x) = \max(x, 0)$, determines the output values related to x (Fukushima, Miyake, 1982), whereas the *softmax* function generates output values as the ratio of the input value to the totals (Goodfellow et al., 2017). Particularly, ReLU exhibits passivity, whereas *softmax* does not. Suppose an image can be classified by considering 80% of the total portion. Current activation functions are limited in terms of classifying such an image since only elements (pixels) of the image are used to rectify the image rather than a ratio. Another limitation is that the activation functions are invariant. Although the parameteric activation functions update their parameters during training, the resulting parameters are invariant during the inference phase (Xu et al., 2015; Bingham, Miikkulainen, 2022). Therefore, the limited rectification can be realized by the invariant parameters or thresholds regardless of new inputs from different domains (e.g., test set).

Contribution To realize the mechanism of human neurons that rectify inputs considering their contexts, we propose a novel ASH activation function. The main contributions of this study are to suggest a simple yet effective activation function, ASH, and to implement ASH in a mathematically effective form. Going beyond the passive activation functions, ASH activation function is designed as (1) an active activation function that provides outputs regarding the context of inputs and (2) a conditional activation function that employs an adaptive threshold. Unlike ReLU or Leaky ReLU, the threshold value of ASH is adaptively changed by considering the contextual information.

$$f(x) = \begin{cases} x & \text{if } x \ge \theta, \\ 0 & \text{otherwise} \end{cases}$$
 (1)

In particular, the threshold value (θ) is adaptively changed according to the input distribution without heavy calculation, and thus ASH provides outputs considering the contexts of inputs adaptively. By applying ASH, we obtained the following theoretical and experimental results:

- We conducted mathematical modeling on ASH in an effective form to ensure trainable
 and parametric properties, and thus ASH exhibits parametric and adaptive properties. The
 baseline threshold of ASH is initially trained during the training phase, and the threshold
 value is adaptively fine-tuned according to the contexts of inputs without heavy calculations.
- We theoretically verified that ASH adaptively changes its threshold alongside the stochastic distribution of inputs. This implies that ASH provides outputs regarding the entire contexts of inputs, thus leading to enhanced feature extraction.
- We theoretically verified that ASH exhibits a generalized formula of Swish activation function and provided the mathematical explanations for the superior performance of Swish, which was empirically searched in the previous work.
- We experimentally showed that ASH improves the performance of deep learning models on various tasks and shortens the convergence epoch.

Related works Activation functions affect the performance of the training process to determine a functional subspace of a DNN (Hayou et al., 2019). In particular, the non-linearity using activation

functions have been introduced to prevent the issue of the linear transformation causing simple feature extractions in the DNNs (Misra, 2019; Jarrett et al., 2009). DNNs with non-linearity have been employed to perform complex tasks (Leshno et al., 1993). In the early era, Rectified Linear Unit (ReLU) replaced the classical activation functions such as sigmoid and tanh (Nair, Hinton, 2010) due to its simple and computational efficiency compared to other activation functions. During decades, many activation functions have been proposed, including Leaky ReLU (Maas et al., 2013), Exponential Linear Unit (ELU) (Clevert et al., 2015), Gaussian Error Linear Unit (GELU) (Hendrycks, Gimpel, 2016), Scaled Exponential Linear Unit (SELU) (Klambauer et al., 2017), and Swish (Ramachandran et al., 2017) to improve the performance and stability of learning parameters in DNNs. Those activation functions have solved the dying ReLU problem, which exhibits a zero value in the negative region, and improved DNNs more smoothly for stable optimization. In particular, ELU and SELU have realized internal normalization in the layer using the zero-mean property. GELU exploited a Gaussian error and could implement an adaptive dropout to apply a higher probabilistic intuition.

Problem statement For adaptive thresholding, ASH exploits a stochastic selection methodology such as a quick selection (Hoare, 1961). In particular, for enhanced feature extraction, the informative elements, which exhibit large values, should be identified as an attention mechanism. In contrast, some elements, which exhibit low relevance, should be required to be rectified. To this end, ASH is designed to identify informative elements but rectify others as 0.

Let $X \in R^{H \times W \times C}$ be a tensor (i.e., feature-map) disregarding the batch, but with a height (H), width (W), and channel (C), and let $\bar{X} \ni X$ be a set of feature-maps. Let \mathcal{A} be an activation function such that $\mathcal{A}: \bar{X} \to \bar{X}$. We can then extract the i^{th} element from X, and denote it as $x^{(i)}$. Here, the goal of this study is to design the activation function represented as follows:

$$\mathcal{A}(x^{(i)}) = \begin{cases} x^{(i)} & \text{if } x^{(i)} \text{ is ranked in the top-k percentile of X,} \\ 0 & \text{otherwise} \end{cases}$$
 (2)

Note that, the novel activation function $\mathcal A$ is represented similar to ReLU, whereas its threshold is not invariant in contrast to ReLU, but it is subjected to the distribution of the input X. To simplify, let $C(x^{(i)},k;X)$ be a condition whether $x^{(i)}$ is ranked in the top k percentile of X, and the negation of C is denoted as $\neg C$. In particular, the elements that satisfy $C(x^{(i)},k;X)$ are from the first largest element to the (0.01kN)-th largest element in X, where N indicates the number of elements in X. Here, the elements can be extracted using a simple algorithm as a quick selection. Suppose a set \hat{X} that includes all elements in X such that $\hat{X} = \{x^{(1)}, x^{(2)}, ..., x^{(N)}\}$. We can then construct subsets of \hat{X} as $\hat{X}_C = \{x^{(i)} \in X | C(x^{(i)},k;X)\}$ and $(\hat{X}_C)^c = \{x^{(i)} \in X | \neg C(x^{(i)},k;X)\}$. Equation (2) can be then simplified as follows:

$$\mathcal{A}(x^{(i)}) = \begin{cases} x^{(i)} & \text{if } x^{(i)} \in \hat{X}_C, \\ 0 & \text{otherwise} \end{cases}$$
 (3)

In summary, the activation function is designed to sample the top-k percentile from the input, where criteria are the values of elements. Sampling examples are presented in Fig. 1, compared to ReLU.

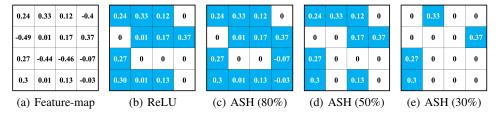


Figure 1: Input feature-map and outputs by activation functions. ASH (k%) indicates that ASH activation function samples top-k% elements from input feature-map. The sampled elements by the activation functions are colored as blue.

2 Method

This study aims to design an activation function for a stochastic sampling of the top-k percentile elements from inputs. However, sorting or sampling methods such as a quick selection requires high computational costs. In contrast, sampling the top-k percentile can be realized using a Z-score-based method in a simple calculation despite the prerequisites of a normal distribution (also known as Gaussian distribution). As discussed below, the outputs of neurons are normally distributed. Therefore, we employed the stochastic sampling to design ASH activation function.

In this section, we (1) demonstrate that the outputs of neurons are normally distributed, (2) construct a model for stochastic sampling using a Z-score, (3) formulate ASH activation function, (4) verify that ASH is parametric and trainable, and (5) search for general applications of ASH activation function.

2.1 Background

Gaussian distribution Many deep learning models employ normalization methods to improve their stability in training (Ioffe, Szegedy, 2015; Ulyanov et al., 2016; Wu, He, 2018). In a previous study, Ioffe, Szegedy (2015) reported that the output of the convolutional layer, x = Wu + b, is more likely to have a symmetric, non-sparse distribution, that is "more Gaussian". Since most deep learning models are based on convolutional operations, the outputs of neurons are supposed to be normally distributed (Gaussian distribution). Therefore, it is concluded that the inputs of the activation functions are normally distributed when activation functions follow convolutional layers, such that $x \sim N(\mu_x, \sigma_x^2)$, where x is an input feature-map of an activation function, μ_x and σ_x are mean and standard deviation of x, respectively. Therefore, we can obtain the following proposition.

Proposition 1. The outputs of neurons in convolutional neural networks are normally distributed.

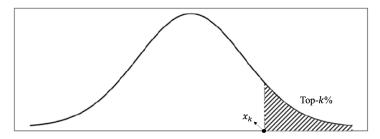


Figure 2: Top-k% sampling from normal distribution

Sampling from a normal distribution This study aimed to sample the top-k% elements that exhibit large values in an input feature-map. Since the area under the normal distribution indicates the percentile, sampling the top-k% from a normal distribution is theoretically identical to the statement calculating the area under the curve presented in Fig. 2. Let F be a tensor, a multi-dimensional array or a matrix. F should be then normally distributed, and thus we can sample the elements $(f^{(i)})$ in the top-k% from F using the following equation:

$$P(f^{(i)} \ge z'_k) = k, \ k \in [0, 1] \ z'_k \in [-\infty, \infty]$$
 (4)

However, heavy computational costs are incurred to obtain a trivial solution from the probability density function of the normal distribution, defined as $\frac{1}{\sigma_F\sqrt{2\pi}}e^{-(x-\mu_F)^2/2\sigma_F^2}$. Therefore, we leaned to *probability theory* to simplify the computation rather than *calculus*. To this end, we employed the standard normal distribution (Z-score normalization) for Equation (4), and we obtained the following equation:

$$P(Z^{(i)} \ge z_k) = k, \ Z^{(i)} = \frac{f^{(i)} - \mu_F}{\sigma_F} \ \text{s.t.} \ Z^{(i)} \sim N(0, 1)$$
 (5)

where $z_k = (z_k' - \mu_F)/\sigma_F$, which is the Z-normalized value from z_k' , and thus z_k is subjected to k, indicating percentile to sample, in terms of Z-table (Larsen, Marx, 2005). Then, we go Z-table and

easily find the proper value for z_k , intuitively. Therefore, the condition, $Z^{(i)} = (f^{(i)} - \mu_F)/\sigma_F \ge z_k \Leftrightarrow f(i) \ge \mu_F + z_k \sigma_F$, is mathematically identical to sample the top-k% elements from F. To summarize, we obtained the following proposition.

Proposition 2. Element $x^{(i)} \in X$, which is normally distributed, is in the top-k% of X if $x^{(i)} \ge \mu_X + z_k \sigma_X$, where z_k is a z-value subjected to k in Z-table (Larsen, Marx, 2005).

Differentiation The mechanisms of convolutional neural networks (CNNs) have been studied in many previous works (Rumelhart et al., 1986; Bottou, 2010; Zhang, 2016; Hu et al., 2018). Training CNN models is subjected to the backpropagation derived from the partial derivatives of loss functions by the individual convolutional parameters. Let L be a loss function for the deep learning model M and let W be one of the variables in M. Then, the derivative of L in terms of W is represented as $\frac{\partial L}{\partial W}$, and W is updated as $W \leftarrow W - \eta \frac{\partial L}{\partial W}$ with a learning rate η . On the other hand, suppose variable θ be the threshold, the function f(x) is αx if $x \geq \theta$, otherwise 0. Thus, the partial derivative of f is represented as follows:

$$\frac{\partial f}{\partial x} = \begin{cases} \alpha, & \text{if } x \ge \theta \\ 0, & \text{otherwise} \end{cases}, \quad \frac{\partial f}{\partial \alpha} = \begin{cases} x, & \text{if } x \ge \theta \\ 0, & \text{otherwise} \end{cases}, \quad \frac{\partial f}{\partial \theta} = 0$$
 (6)

Here, α is arithmetically combined with f(x), whereas θ does not. Therefore, α is trainable, but θ is not trainable in this context. In basic *calculus*, it is trivial that if the loss function is not dependent on the variable, the partial derivative is zero, and thus the variable cannot be trained or optimized; In other words, it is invariant. Therefore, we obtain the following lemma:

Lemma 1. The derivative of a variable in the conditional statement is zero, and thus that the variable cannot be optimized.

2.2 ASH Activation Function

Let X be an input of ASH activation function (\mathcal{E}) and be a tensor of which elements are normally distributed. Furthermore, let $x^{(i)} \in X$ be the i-th element in X. Then, by **Proposition 2**, ASH activation function, which samples the top-k% elements from the input, is represented as follows:

$$\mathcal{E}(x^{(i)}) = \begin{cases} x^{(i)} & \text{if } x^{(i)} \ge \mu_X + z_k \sigma_X, \\ 0 & \text{otherwise} \end{cases}$$
 (7)

where μ_X and σ_X are the mean and the standard deviation of all elements in X, respectively, and z_k is the Z-score concerning percentile (k) to sample (i.e., z=1.96 if k=2.5%, see Z-table). Equation (7) exhibits that ASH activation function is represented in a simple yet effective form with low computational costs.

Intuitively, we assumed that the activation level (percentile) is supposed to be different by each neuron and the tasks of the deep learning model, similar to human neurons. However, in Equation (7), the condition $(x^{(i)} \ge \mu_X + z_k \sigma_X)$ is invariant by **Lemma 1**. Note that, $\mu_X + z_k \sigma_X$ is variable and changeable with respect to X, but the sampled portion (k% related to $z_k)$ from X is invariant. Therefore, ASH in Equation (7) is not parametric and trainable. To make ASH be trainable and parametric, let Equation (7) be substituted using a proxy function as $\mathcal{E}(x^{(i)}) = x^{(i)} f(x^{(i)})$, such that the proxy function $f(x^{(i)})$ is represented as follows:

$$f(x^{(i)}) = \begin{cases} 1 & \text{if } x^{(i)} - \mu_X - z_k \sigma_X \ge 0, \\ 0 & \text{otherwise} \end{cases}$$
 (8)

For simplicity, suppose that a Heaviside step function (Weisstein, 2002) is defined as $H(x) = \frac{d}{dx} \max(0,x)$, and thus $f(x^{(i)}) = H(x^{(i)} - \mu_X - z_k \sigma_X)$. Then, we obtain the arithmetical form to formulate ASH activation function as follows:

$$\mathcal{E}(x^{(i)}) = x^{(i)}H(x^{(i)} - \mu_X - z_k \sigma_X) \tag{9}$$

Even with the arithmetic formula, ASH activation function in Equation (9) is still independent of z_k , and thus the z_k is still not trainable. However, it is well known that the Heaviside step function is

analytically approximated as $2H(x) = 1 + 1 \tanh(\alpha x)$ with a large value of α (Iliev et al., 2017), and thus ASH activation function is approximated using the smooth Heaviside step function as follows:

$$\mathcal{E}(x^{(i)}) = x^{(i)} H(x^{(i)} - \mu_X - z_k \sigma_X)$$

$$= \frac{1}{2} x^{(i)} + \frac{1}{2} x^{(i)} \tanh(\alpha(x^{(i)} - \mu_X - z_k \sigma_X))$$

$$= \frac{x^{(i)}}{1 + e^{-2\alpha(x^{(i)} - \mu_X - z_k \sigma_X)}}$$
(10)

Since z_k is arithmetically placed, z_k representing a sampling percentile is trainable, and thus ASH activation function is also trainable and parametric. By optimizing z_k , ASH activation functions exhibit different thresholds. Therefore, it is concluded that ASH exhibits different activation levels based on the stochastic sampling of inputs and different thresholds, similar to human neurons, synapses, and their potentials. As human neurons, the mechanism of ASH can be summarized as follows:

(1) In the training phase, each ASH activation function optimizes its z_k and fine-tunes the threshold for the sampling percentile of inputs. Thus, it implies that ASH activation function realizes the arbitrary threshold potentials as human neurons (Clevert et al., 2015; Evans et al., 2018). Some examples of z_k related to Equation (7) are:

Example 1. A small value of z_k , even a small negative value, implies the dense activation, and the dying ReLU problem can be solved.

Example 2. A large value of z_k implies the sparse activation, and the sparsity can be leveraged.

(2) In the training or inference phase, ASH activation function rectifies the inputs using the learned threshold value and contexts of inputs. In particular, to sample the top-k percentile, ASH employs the mean and the standard deviation of inputs, representing the contexts of the entire inputs. Therefore, it implies that ASH realizes the adaptive activation considering the contexts of inputs. Some examples of the adaptive activation related to Equation (7) are:

Example 3. A small threshold value (θ_s) is employed to calculate the input X that exhibits large mean and standard deviation values $(X > \theta_s)$.

Example 4. A large threshold value (θ_l) is employed to calculate the input X' that exhibits large mean and standard deviation values $(X' > \theta_l \gg \theta_s)$.

2.3 Generalized Activation Function

We found the innovation while representing Equation (10) using the sigmoid function $S(x) = \frac{1}{1+e^{-x}}$ as follows:

$$\mathcal{L}(x^{(i)}) = x^{(i)} S(-2\alpha(x^{(i)} - \mu_X - z_k \sigma_X))$$

$$= x^{(i)} S(ax^{(i)} + b))$$
(11)

In a previous work, Ramachandran et al. (2017) introduced the leverage of automatic search techniques to discover the best performance activation function. The experiments empirically discovered that the Swish activation function is the best performance activation function, defined as xS(x) (Ramachandran et al., 2017). Intuitively, the definition of the Swish activation function is the same with Equation (11), and Equation (11) represents more generalized formula. Therefore, ASH (Adaptive SwisH) activation function provides the theoretical explanations for why Swish was the best performance activation function in the empirical evaluations. Therefore, we obtain the following.

Lemma 3. ASH activation function exhibits general formula for the Swish activation function.

Interestingly, the activation function designed for stochastic adaptive sampling is converged to the generalized Swish activation function. The extreme impression is that the stochastic percentile sampling by the activation function that mimics real neurons expresses the general formula of the swish activation function formerly known as state-of-the-art. Therefore, the stochastic percentile

sampling can partially be applied to the Swish activation function. Additionally, it can be supposed that the Swish activation function achieved superior performance in the previous studies based on the utilization of stochastic percentile sampling.

This paper initially considered an activation function that enables stochastic percentile sampling in a mathematically effective manner. However, we found that the mathematical expression of ASH supports the theoretical background of the Swish activation function. Therefore, this paper provides the theoretical backgrounds and rationales for the Swish activation function, which was empirically investigated. It is a significant innovation to provide the mathematical theorem that the Swish activation function is derived from a stochastically designed activation function.

3 Main Result

Similar to a previous study (Ramachandran et al., 2017), we compared ASH to several baseline activation functions on various models for different tasks using public datasets. Because many activation functions have been developed, we employed some of the most commonly used activation functions, namely ReLU, leaky ReLU (LReLU) (Maas et al., 2013), parametric ReLU (PReLU) (He et al., 2015), Softplus (Nair, Hinton, 2010), ELU (Clevert et al., 2015), SELU (Klambauer et al., 2017), and GELU (Hendrycks, Gimpel, 2016). In our experiments, every hyper-arameter in ASH and the other activation functions was set to be the same to demonstrate the advantages of ASH compared to other activation functions. In the tables, the highest accuracy values are highlighted in **bold**.

3.1 Classification Task

We first compared ASH to all the baseline activation functions on the CIFAR-10 (Krizhevsky et al., 2009), CIFAR-100 (Krizhevsky et al., 2009) datasets, and ImageNet (Russakovsky et al., 2015) datasets. We employed environments from a previous study (Ramachandran et al., 2017) and reimplemented the baseline models of ResNet-164 (He et al., 2016), wide ResNet28-10 (Zagoruyko, Komodakis, 2016), and DenseNet-100-12 (Huang et al., 2017). Based on these different environments, small differences were reported previously, but we believe that the accuracy trends are similar. We first evaluated ASH activation function against other activation functions using the ImageNet 2012 classification dataset because ImageNet is a widely utilized dataset in classification tasks. We then evaluated all activation functions using the CIFAR-10 and CIFAR-100 datasets, which have been widely utilized as benchmarks.

Model		Top-1 Acc. (%)			Top-5 Acc. (%	5)
ReLU	76.4 ± 0.09	75.6 ± 0.10	77.1 ± 0.11	91.2 ± 0.09	90.7 ± 0.06	90.7 ± 0.06
LReLU	77.6 ± 0.07	78.0 ± 0.03	76.6 ± 0.07	91.6 ± 0.10	91.2 ± 0.07	92.3 ± 0.07
PLeLU	77.0 ± 0.13	78.7 ± 0.03	78.0 ± 0.09	92.9 ± 0.03	92.3 ± 0.14	92.2 ± 0.12
Softplus	76.8 ± 0.11	77.3 ± 0.03	76.0 ± 0.05	91.5 ± 0.12	93.7 ± 0.05	93.8 ± 0.11
ELU	71.6 ± 0.09	73.7 ± 0.09	74.9 ± 0.10	85.6 ± 0.06	89.8 ± 0.13	90.2 ± 0.08
SELU	75.4 ± 0.13	78.1 ± 0.14	76.8 ± 0.06	91.6 ± 0.09	93.5 ± 0.10	90.9 ± 0.04
GELU	76.8 ± 0.13	77.9 ± 0.05	78.0 ± 0.12	90.2 ± 0.11	92.6 ± 0.04	91.9 ± 0.09
Swish	77.5 ± 0.07	76.6 ± 0.06	76.5 ± 0.05	92.2 ± 0.12	90.9 ± 0.07	92.2 ± 0.07
ASH	78.5 ± 0.06	78.6 ± 0.07	$\textbf{78.7} \pm \textbf{0.10}$	94.0 ± 0.08	94.7 ± 0.07	94.1 \pm 0.08

Table 1. ImageNet dataset. Three models are averaged. The values are mean and 95% confidence Interval (C.I.)

Model	ResNet	WRN	DenseNet
ReLU	94.4 ± 0.04	95.6 ± 0.03	95.7 ± 0.02
LReLU	94.5 ± 0.05	95.6 ± 0.04	94.7 ± 0.09
PLeLU	94.7 ± 0.08	95.4 ± 0.03	95.1 ± 0.08
Softplus	94.3 ± 0.10	94.2 ± 0.08	95.2 ± 0.07
ELU	93.5 ± 0.10	93.8 ± 0.09	94.5 ± 0.11
SELU	94.5 ± 0.05	95.8 ± 0.07	94.9 ± 0.10
GELU	95.2 ± 0.04	95.7 ± 0.06	94.8 ± 0.10
Swish	95.5 ± 0.09	95.6 ± 0.08	95.2 ± 0.03
ASH	95.7 ± 0.08	96.7 \pm 0.04	96.0 ± 0.11

Model	ResNet	WRN	DenseNet
ReLU	74.5 ± 0.10	78.4 ± 0.04	84.0 ± 0.09
LReLU	75.3 ± 0.06	77.9 ± 0.07	82.2 ± 0.07
PLeLU	74.7 ± 0.06	77.6 ± 0.06	82.1 ± 0.07
Softplus	76.1 ± 0.05	78.6 ± 0.06	84.1 ± 0.02
ELU	75.0 ± 0.08	76.4 ± 0.09	80.8 ± 0.05
SELU	73.4 ± 0.05	74.4 ± 0.08	81.4 ± 0.06
GELU	75.0 ± 0.05	78.2 ± 0.10	84.0 ± 0.02
Swish	75.7 ± 0.10	78.9 ± 0.05	84.0 ± 0.03
ASH	76.5 ± 0.08	79.2 ± 0.06	84.6 ± 0.06

Table 2. CIFAR-10 with mean values and 95% C.I.

Table 3. CIFAR-100 with mean values and 95% C.I.

The ImageNet dataset evaluations were averaged based on the accuracy values of the three deep learning models. The results in Tables 1 to 3 highlight the outstanding performance of ASH activation function in terms of improving predictive accuracy. Because deep learning models for classification tasks demand sparsity, it is intuitive that ASH activation function improves accuracy compared to other activation functions.

3.2 Detection Task

We compared ASH to all the baseline activation functions on the COCO (Lin et al., 2014) and PASCAL VOC (Everingham et al., 2010) datasets for the detection task. Both of these datasets are widely used as benchmarks for detection tasks. We employed the same environments as the classification task and implemented the baseline models of Mask-R-CNN (He et al., 2017), SSD (Liu et al., 2016), and YOLOv4 (Bochkovskiy et al., 2020). For detection tasks, deep learning models output bounding boxes representing the locations of target objects. We exploited mAP@50 as an evaluation metric based on its popularity for detection tasks.

Model	MR-CNN	SSD	YOLOv5
ReLU	68.5 ± 0.02	70.1 ± 0.07	72.1 ± 0.04
LReLU	68.9 ± 0.06	70.6 ± 0.10	72.6 ± 0.03
PLeLU	69.4 ± 0.10	71.0 ± 0.11	73.1 ± 0.11
Softplus	69.4 ± 0.03	71.0 ± 0.08	73.0 ± 0.09
ELU	69.4 ± 0.10	71.1 ± 0.10	73.0 ± 0.06
SELU	69.7 ± 0.06	71.2 ± 0.04	73.3 ± 0.10
GELU	70.0 ± 0.08	71.6 ± 0.05	73.7 ± 0.04
Swish	70.4 ± 0.08	72.0 ± 0.03	74.0 ± 0.08
ASH	71.1 ± 0.06	72.7 ± 0.02	74.8 ± 0.09

Model	MR-CNN	SSD	YOLOv5
ReLU	65.8 ± 0.03	67.3 ± 0.07	69.3 ± 0.09
LReLU	66.9 ± 0.07	68.4 ± 0.07	70.5 ± 0.07
PLeLU	67.8 ± 0.03	69.2 ± 0.07	71.2 ± 0.09
Softplus	67.9 ± 0.05	69.4 ± 0.03	71.4 ± 0.03
ELU	67.8 ± 0.05	69.3 ± 0.06	71.4 ± 0.06
SELU	68.4 ± 0.07	69.9 ± 0.03	72.1 ± 0.11
GELU	68.9 ± 0.07	70.5 ± 0.03	72.4 ± 0.11
Swish	69.0 ± 0.04	70.6 ± 0.02	72.6 ± 0.08
ASH	70.5 ± 0.06	72.1 ± 0.04	$\textbf{74.1} \pm \textbf{0.11}$

Table 4. COCO with mean values and 95% C.I.

Table 5. PASCAL VOC with mean values and 95% C.I.

The quantitative results in Tables 4 and 5 highlight the outstanding performance of ASH activation function compared to other activation functions. A higher mAP indicates that the predicted bounding boxes are closer to the annotations. ASH activation function provides superior performance for detecting target objects in various datasets for various deep learning models. Because the deep learning models used for detection tasks demand locality to generate bounding boxes, it is expected that z_k will be small, demonstrating that greater activation can be realized using ASH activation function compared to the models used for the classification task.

3.3 Segmentation Task

We compared ASH to all of the baseline activation functions on the ADE20K (Zhou et al., 2017) and PASCAL VOC (Everingham et al., 2010) datasets for the segmentation task. Both datasets include many target objects in one scene. Therefore, they are widely utilized as benchmarks for segmentation tasks. We employed the same environments as the classification and detection tasks and implemented the baseline models of U-Net (Ronneberger et al., 2015), DeepLabV3+(DLV3+) (Chen et al., 2018), and EfficientNet (Tan, Le, 2019). Similar to other general benchmarks, we adopted intersection over union (IoU) and mean IoU (mIoU) values as evaluation metrics based on their popularity for segmentation tasks.

Similar to the previous tasks, the quantitative results in Tables 6 and 7 highlight the outstanding performance of ASH activation function compared to the other activation functions. Because locality is important for segmenting target objects from the background in segmentation tasks, it is intuitive that ASH activation function improves locality during feature extraction. The experimental results demonstrate that superior segmentation performance can be realized by using ASH activation function, which aids significantly in localizing target objects.

Model	U-Net	DLV3+	EfficientNet
ReLU	49.4 ± 0.04	50.7 ± 0.06	52.2 ± 0.03
LReLU	49.8 ± 0.07	51.0 ± 0.09	52.3 ± 0.05
PLeLU	49.9 ± 0.02	51.0 ± 0.03	52.5 ± 0.06
Softplus	50.1 ± 0.11	51.2 ± 0.10	52.8 ± 0.03
ELU	50.3 ± 0.04	51.4 ± 0.09	52.8 ± 0.08
SELU	50.9 ± 0.04	52.1 ± 0.03	53.5 ± 0.06
GELU	50.9 ± 0.07	52.2 ± 0.08	53.5 ± 0.05
Swish	51.3 ± 0.05	52.4 ± 0.02	53.9 ± 0.07
ASH	53.4 ± 0.05	54.7 ± 0.08	56.3 ± 0.09

Table 6. ADE20K with mean values and 95% C.I.

Model	U-Net	DLV3+	EfficientNet
ReLU	74.3 ± 0.05	76.0 ± 0.08	78.1 ± 0.07
LReLU	76.2 ± 0.06	78.0 ± 0.05	80.3 ± 0.10
PLeLU	77.0 ± 0.07	78.9 ± 0.06	81.0 ± 0.08
Softplus	77.1 ± 0.09	78.8 ± 0.03	81.2 ± 0.10
ELU	77.2 ± 0.10	79.0 ± 0.05	81.3 ± 0.02
SELU	78.2 ± 0.06	80.1 ± 0.03	82.4 ± 0.03
GELU	78.9 ± 0.06	80.7 ± 0.05	83.2 ± 0.08
Swish	78.8 ± 0.11	80.7 ± 0.04	82.9 ± 0.04
ASH	81.2 ± 0.04	83.2 ± 0.04	85.5 ± 0.05

Table 7. PASCAL VOC with mean values and 95% C.I.

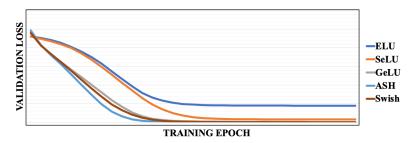


Figure 3: Validation loss values alongside the training epoch for the activation functions. The validation losses are averaged from the results of all experiments.

3.4 Training Time

We empirically explored the effectiveness of ASH activation function in terms of training time by monitoring the validation loss values for all activation functions. Fig. 3 reveals that the loss values of ASH activation function exhibit a steeper slope than those of the other activation functions. Therefore, because ASH activation function reaches convergence significantly faster than the other activation functions, we can empirically conclude that ASH has a superior effect in terms of reducing training time.

Through our experiments, we explored the outstanding performance of ASH activation function compared to other activation functions, including improvements in accuracy, sparsity, training time, and localization. In this study, the experimental results demonstrate the outstanding performance of ASH activation function. Additionally, supporting experiments and the results of other tasks such as image generation is presented in the *Supplementary Material*.

4 Conclusions

In this paper, we proposed a novel activation function to rectify inputs using an adaptive threshold considering the entire contexts of inputs more like human neurons. To this end, we designed an activation function to extract elements in the top-k percentile from the input feature-map. Since sorting algorithm-based selections or quick selection algorithm demands a heavy computational cost, we employed the stochastic technique utilizing normal distribution to realize stochastic percentile sampling. Based on the mathematical derivations, we implemented ASH activation function in simple yet effective formula $(f(x) = x \cdot \text{sigmoid}(ax+b))$ with low computational cost for sampling the top-k percentile from the input. In addition, we implemented ASH activation function, realizing (1) the adaptive threshold by employing the Z-score-based trainable variables and (2) the perception of entire contexts in rectifying an input by utilizing the mean and standard deviation of the input. Meanwhile, ASH activation function represented the generalized form of the Swish activation function that was empirically searched in the previous study. Therefore, this study also exhibited a novel contribution of the mathematical proofs for the state-of-the-art performance of the Swish activation function. Experiments using various deep learning models on different tasks (classification, detection, and segmentation) demonstrated superior performance for ASH activation function, in terms of accuracy, localization, and training time.

Acknowledgment

This work was supported in part by the National Research Foundation of Korea (NRF) under Grant NRF-2020R1A2B5B01002786 and in part by the Bio & Medical Technology Development Program of the National Research Foundation (NRF) funded by the Korean government (MSIT) (No.2017M3A9G8084463).

References

- Aggarwal Charu C, others. Neural networks and deep learning // Springer. 2018. 10. 978-3.
- Badrinarayanan Vijay, Kendall Alex, Cipolla Roberto. Segnet: A deep convolutional encoder-decoder architecture for image segmentation // IEEE transactions on pattern analysis and machine intelligence. 2017. 39, 12. 2481–2495.
- Bingham Garrett, Miikkulainen Risto. Discovering parametric activation functions // Neural Networks. 2022.
- Bochkovskiy Alexey, Wang Chien-Yao, Liao Hong-Yuan Mark. Yolov4: Optimal speed and accuracy of object detection // arXiv preprint arXiv:2004.10934. 2020.
- Bottou Léon. Large-scale machine learning with stochastic gradient descent // Proceedings of COMPSTAT'2010. 2010. 177–186.
- Chen Liang-Chieh, Zhu Yukun, Papandreou George, Schroff Florian, Adam Hartwig. Encoder-decoder with atrous separable convolution for semantic image segmentation // Proceedings of the European conference on computer vision (ECCV). 2018. 801–818.
- Clevert Djork-Arné, Unterthiner Thomas, Hochreiter Sepp. Fast and accurate deep network learning by exponential linear units (elus) // arXiv preprint arXiv:1511.07289. 2015.
- Evans Dominic A, Stempel A Vanessa, Vale Ruben, Ruehle Sabine, Lefler Yaara, Branco Tiago. A synaptic threshold mechanism for computing escape decisions // Nature. 2018. 558, 7711. 590–594.
- Everingham Mark, Van Gool Luc, Williams Christopher KI, Winn John, Zisserman Andrew. The pascal visual object classes (voc) challenge // International journal of computer vision. 2010. 88, 2. 303–338.
- Fukushima Kunihiko, Miyake Sei. Neocognitron: A self-organizing neural network model for a mechanism of visual pattern recognition // Competition and cooperation in neural nets. 1982. 267–285.
- Goodfellow Ian, Bengio Yoshua, Courville Aaron. Deep learning (adaptive computation and machine learning series) // Cambridge Massachusetts. 2017. 321–359.
- Goodfellow Ian, Pouget-Abadie Jean, Mirza Mehdi, Xu Bing, Warde-Farley David, Ozair Sherjil, Courville Aaron, Bengio Yoshua. Generative adversarial nets // Advances in neural information processing systems. 2014. 27.
- Hayou Soufiane, Doucet Arnaud, Rousseau Judith. On the impact of the activation function on deep neural networks training // International conference on machine learning, 2019, 2672–2680.
- He Kaiming, Gkioxari Georgia, Dollár Piotr, Girshick Ross. Mask r-cnn // Proceedings of the IEEE international conference on computer vision. 2017. 2961–2969.
- He Kaiming, Zhang Xiangyu, Ren Shaoqing, Sun Jian. Delving deep into rectifiers: Surpassing human-level performance on imagenet classification // Proceedings of the IEEE international conference on computer vision. 2015. 1026–1034.
- He Kaiming, Zhang Xiangyu, Ren Shaoqing, Sun Jian. Identity mappings in deep residual networks // European conference on computer vision. 2016. 630–645.
- Hendrycks Dan, Gimpel Kevin. Gaussian error linear units (gelus) // arXiv preprint arXiv:1606.08415. 2016.
- Hertz John, Krogh Anders, Lautrup Benny, Lehmann Torsten. Nonlinear backpropagation: doing backpropagation without derivatives of the activation function // IEEE Transactions on neural networks. 1997. 8, 6. 1321–1327.
- Hoare Charles AR. Algorithm 65: find // Communications of the ACM. 1961. 4, 7. 321-322.
- *Hochreiter Sepp.* Recurrent neural net learning and vanishing gradient // International Journal Of Uncertainity, Fuzziness and Knowledge-Based Systems. 1998. 6, 2. 107–116.
- Hu Zheng, Li Yongping, Yang Zhiyong. Improving convolutional neural network using pseudo derivative ReLU // 2018 5th International Conference on Systems and Informatics (ICSAI). 2018. 283–287.
- Huang Gao, Liu Zhuang, Van Der Maaten Laurens, Weinberger Kilian Q. Densely connected convolutional networks // Proceedings of the IEEE conference on computer vision and pattern recognition. 2017. 4700–4708.
- Iliev A., Kyurkchiev N., Markov S. On the approximation of the step function by some sigmoid functions // Mathematics and Computers in Simulation. 2017. 133. 223–234. Biomath 2014 and Biomath 2015.

- *Ioffe Sergey, Szegedy Christian*. Batch normalization: Accelerating deep network training by reducing internal covariate shift // International conference on machine learning. 2015. 448–456.
- *Izhikevich Eugene M.* Simple model of spiking neurons // IEEE Transactions on neural networks. 2003. 14, 6. 1569–1572.
- Jarrett Kevin, Kavukcuoglu Koray, Ranzato Marc'Aurelio, LeCun Yann. What is the best multi-stage architecture for object recognition? // 2009 IEEE 12th international conference on computer vision. 2009. 2146–2153.
- Jinsakul Natinai, Tsai Cheng-Fa, Tsai Chia-En, Wu Pensee. Enhancement of deep learning in image classification performance using xception with the swish activation function for colorectal polyp preliminary screening // Mathematics. 2019. 7, 12. 1170.
- Klambauer Günter, Unterthiner Thomas, Mayr Andreas, Hochreiter Sepp. Self-normalizing neural networks // Advances in neural information processing systems. 2017. 30.
- Learning multiple layers of features from tiny images. // . 2009.
- Larsen Richard J, Marx Morris L. An introduction to mathematical statistics. 2005.
- Lee June-Goo, Jun Sanghoon, Cho Young-Won, Lee Hyunna, Kim Guk Bae, Seo Joon Beom, Kim Namkug. Deep learning in medical imaging: general overview // Korean journal of radiology. 2017. 18, 4. 570–584.
- Leshno Moshe, Lin Vladimir Ya, Pinkus Allan, Schocken Shimon. Multilayer feedforward networks with a nonpolynomial activation function can approximate any function // Neural networks. 1993. 6, 6. 861–867.
- Lin Tsung-Yi, Maire Michael, Belongie Serge, Hays James, Perona Pietro, Ramanan Deva, Dollár Piotr, Zitnick C Lawrence. Microsoft coco: Common objects in context // European conference on computer vision. 2014. 740–755.
- *Lindsay Grace W.* Convolutional neural networks as a model of the visual system: Past, present, and future // Journal of cognitive neuroscience. 2021. 33, 10. 2017–2031.
- Liu Wei, Anguelov Dragomir, Erhan Dumitru, Szegedy Christian, Reed Scott, Fu Cheng-Yang, Berg Alexander C. Ssd: Single shot multibox detector // European conference on computer vision. 2016. 21–37.
- *Liu Yu, Chen Xun, Wang Zengfu, Wang Z Jane, Ward Rabab K, Wang Xuesong.* Deep learning for pixel-level image fusion: Recent advances and future prospects // Information Fusion. 2018. 42. 158–173.
- Maas Andrew L, Hannun Awni Y, Ng Andrew Y, others . Rectifier nonlinearities improve neural network acoustic models // Proc. icml. 30. 1. 2013. 3.
- Misra Diganta. Mish: A self regularized non-monotonic activation function // arXiv preprint arXiv:1908.08681.
- Nair Vinod, Hinton Geoffrey E. Rectified linear units improve restricted boltzmann machines // Icml. 2010.
- Ramachandran Prajit, Zoph Barret, Le Quoc V. Searching for activation functions // arXiv preprint arXiv:1710.05941. 2017.
- Ronneberger Olaf, Fischer Philipp, Brox Thomas. U-net: Convolutional networks for biomedical image segmentation // International Conference on Medical image computing and computer-assisted intervention. 2015. 234–241.
- Rumelhart David E, Hinton Geoffrey E, Williams Ronald J. Learning representations by back-propagating errors // nature. 1986. 323, 6088. 533–536.
- Russakovsky Olga, Deng Jia, Su Hao, Krause Jonathan, Satheesh Sanjeev, Ma Sean, Huang Zhiheng, Karpathy Andrej, Khosla Aditya, Bernstein Michael, others. Imagenet large scale visual recognition challenge // International journal of computer vision. 2015. 115, 3. 211–252.
- Sharma Sagar, Sharma Simone, Athaiya Anidhya. Activation functions in neural networks // towards data science. 2017. 6, 12. 310–316.
- Simonyan Karen, Zisserman Andrew. Very deep convolutional networks for large-scale image recognition // arXiv preprint arXiv:1409.1556. 2014.
- Tan Mingxing, Le Quoc. Efficientnet: Rethinking model scaling for convolutional neural networks // International conference on machine learning. 2019. 6105–6114.

- Ulyanov Dmitry, Vedaldi Andrea, Lempitsky Victor. Instance normalization: The missing ingredient for fast stylization // arXiv preprint arXiv:1607.08022. 2016.
- Weisstein Eric W. Heaviside step function // https://mathworld. wolfram. com/. 2002.
- Wu Yuxin, He Kaiming. Group normalization // Proceedings of the European conference on computer vision (ECCV). 2018. 3–19.
- Xu Bing, Wang Naiyan, Chen Tianqi, Li Mu. Empirical evaluation of rectified activations in convolutional network // arXiv preprint arXiv:1505.00853. 2015.
- Zagoruyko Sergey, Komodakis Nikos. Wide residual networks // arXiv preprint arXiv:1605.07146. 2016.
- Zhang Zhifei. Derivation of backpropagation in convolutional neural network (cnn) // University of Tennessee, Knoxville, TN. 2016.
- Zhao Zhong-Qiu, Zheng Peng, Xu Shou-tao, Wu Xindong. Object detection with deep learning: A review // IEEE transactions on neural networks and learning systems. 2019. 30, 11. 3212–3232.
- Zhou Bolei, Zhao Hang, Puig Xavier, Fidler Sanja, Barriuso Adela, Torralba Antonio. Scene parsing through ade20k dataset // Proceedings of the IEEE conference on computer vision and pattern recognition. 2017. 633–641.

Checklist

The checklist follows the references. Please read the checklist guidelines carefully for information on how to answer these questions. For each question, change the default [TODO] to [Yes], [No], or [N/A]. You are strongly encouraged to include a **justification to your answer**, either by referencing the appropriate section of your paper or providing a brief inline description. For example:

- Did you include the license to the code and datasets? [N/A]
- Did you include the license to the code and datasets? [N/A]
- Did you include the license to the code and datasets? [N/A]

Please do not modify the questions and only use the provided macros for your answers. Note that the Checklist section does not count towards the page limit. In your paper, please delete this instructions block and only keep the Checklist section heading above along with the questions/answers below.

- 1. For all authors...
 - (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes] See Introduction
 - (b) Did you describe the limitations of your work? [Yes] See Section 2.1, Appendix
 - (c) Did you discuss any potential negative societal impacts of your work? [N/A]
 - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 2. If you are including theoretical results...
 - (a) Did you state the full set of assumptions of all theoretical results? [Yes] See Section 2.1
 - (b) Did you include complete proofs of all theoretical results? [Yes] See Section 2
- 3. If you ran experiments...
 - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] See Abstract
 - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] See Appendix
 - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] statistical analysis were provided using confidence interval
 - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] See Appendix
- 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
 - (a) If your work uses existing assets, did you cite the creators? [Yes]
 - (b) Did you mention the license of the assets? [Yes]
 - (c) Did you include any new assets either in the supplemental material or as a URL? [N/A]
 - (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [Yes]
 - (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A]
- 5. If you used crowdsourcing or conducted research with human subjects...
 - (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
 - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
 - (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]