



Lloyd's
Register

Surveillance 3

Report for:

PT Molindo Raya Industrial

LR reference:	JKT6015008 / 3460822
Assessment dates:	19-November-2020 - 20-November-2020
Reporting date:	26-November-2020
Client address:	Jl. Sumber Waras No. 255, Lawang, Malang , ID
Assessment criteria:	OHSAS 18001:2007
Assessment team:	Sugeng Hartono
LR Client Facing Office:	JKT Indonesia OU

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Attachments:

JKT6015008_APP_AP Sv3 Nov 2020 SH OHS (1).doc

JKT6015008_RC_ Sv3 Nov 2020 SH OHS.doc

JKT6015008_Assessment plant remote Molindo OHS Nov 2020.doc

This report was presented to and accepted by:

Name: Ms. Erlis Sartini

Job title: MR



01. Executive report

Assessment outcome:

This visit was to assess the compliance of the management system of PT Molindo Raya Industrial against OHSAS 18001:2007 as defined in the audit planning documentation. The outcome of the visit is recorded below.

Compliance with the clauses of the standard at this audit had been well demonstrated.

The commitment of top management in maintaining and carrying out continuous improvement of the Occupational Health and Safety Management System is also well demonstrated.

The use of certificate can be continued.

Continual improvement:

Improvement can be found by activities of measuring objectives, correcting audit results, analysis of data, internal audit, and corrective actions and management review.



Areas for senior management attention:

- Work accidents that are very minor and require only first aid occur and are recorded in the log book of each first aid box. This should be analyzed.
- The company made a stop to protect the driver's smoking room from collisions with trucks. However, this is not yet in HIRA.
- The company enforces that parked trucks must have a wheel chock. But in reality the wheel chocks are carried in various sizes and materials. Companies need to set standards.

02. Assessment findings

Where scheme requirement differs to the standard definition below, the scheme definition will take preference

Major Nonconformity

The absence of, or the failure to implement and maintain, one or more management system elements, or a situation which would, on the basis of the available objective evidence, raise significant doubt of the management to achieve: The policy, objectives or public commitments of the organisation, compliance with the applicable regulatory requirements, conformance to applicable customer requirements, conformance with the audit criteria deliverables.

Minor Nonconformity

A finding indicative of a weakness in the implemented and maintained system, which has not significantly impacted on the capability of the management system or put at risk the system deliverables, but needs to be addressed to assure the future capability of the system.

Reference number		Assessment Criteria (Clause)	
Grade		Issue Date	
Status		Process / Aspect	
Location(s)			
Statement of Non Conformity			
Requirement			
Evidence			
Proposed correction, corrective action and timescales			
Correction			
Root Cause analysis			
Corrective action			
LR has reviewed and verified the implementation of actions taken.	Date of closure		

03. Assessment summary

Visit generic objective:

This was a Surveillance 3 visit, conducted against objectives previously notified to the client. The objectives of the next visit, including any applicable visit specific objective (theme / focus), are confirmed in the audit plan attached to this report.

Client attendees at the opening and closing meeting:

Top Management/MR: Ms. Erlies Sartini

OHS Team : Mr. Umar; Ms. Kartika; Mr. Eko W, Mr. Joko,

OHS team from departments : Mr. Darmawan Yudianto, Mr. Imam (Logistic); Mr. Haris (Purchasing); Mr. Eko Suminto (Security)

Visit specific objective:

Safety at "front parking" area

Introduction:

The following is an assessment report. Summary of this report has been explained in the closing meeting held after the assessment.

The Assessment is based on a sampling process of the available information.

Every evaluation and conclusion are based on sampling/evidence taken/shown during audit day.

Note :

In regard with the pandemic corona covid-19 virus, This audit is conducted remotely.

The ICT tools and method of remote audit is :

- Microsoft team using for on line meeting and on line presentation. Opening and closing meeting using on line meeting.
- Email to provide data and evidence
- One and one phone cell communication use if needed.

This ICT and method had been agreed by client.



Assessment of:	Front Parking Area	Auditee(s):	OHS team: Mr. Umar; Mr. Darmawan Yudianto, Mr. Imam (Logistic); Mr. Haris (Purchasing); Mr. Eko Suminto (Security)	Assessor:	Sugeng Hartono
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Audit trails and sources of evidence:

Procedure, Banner, Sign related with front parking area
Presentation power point by Mr. Eko Suminto
HIRADC related with Front Parking Area

Evaluation and conclusions:

Hazard identification and risk analysis related front parking area had been made.
Pedestrians already have a path. And there is a regulation that pedestrians must be on that path. (Facility Improvement)
Signs and information regarding the above are already in the field (from photos provided to auditors).

Areas for attention:

Opportunity for improvements :
-The company made a stop to protect the driver's smoking room from collisions with trucks. However, this is not yet in HIRA.
-The company enforces that parked trucks must have a wheel chock. But in reality the wheel chocks are carried in various sizes and materials. Companies need to set standards.



Assessment of:	Please see area of audits	Auditee(s):	Top Management/MR: Ms. Erlies Sartini OHS Representative : Mr. Umar; Ms. Kartika; Mr. Eko W, Mr. Joko, OHS team from departments : Mr. Darmawan Yudianto, Mr. Imam (Logistic); Mr. Haris (Purchasing); Mr. Eko Suminto (Security)	Assessor:	Sugeng Hartono
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Audit trails and sources of evidence:

Area of audits

Mandatory elements

Legal compliance and the evaluation

Objective and programme

Communication, participation and consultation

Incident Investigation

Emergency preparedness and response

Mandatory Elements:

Covid-19 pandemic procedure.

Management review 16 Jan 2020; Internal audit : 23-26 Jun 2020; Statement of Zero complaints.; Safety Patrol;

Legal compliance and the evaluation

Legal compliance and the evaluation

-File Perijinan dan Sertifikasi.

Objective and programme

-Program Kerja K3LH 2020

Communication, participation and consultation

-Communication letter to transport provider regarding Covid-19 Preventive Procedure.

Incident Investigation

-Zero Incident in category light and heavy incident.

Emergency preparedness and response

- FE Checklist
- Alarm Checklist
- Hydran Checklist

Performance measurement and monitoring

- REALISASI SASARAN TERPADU TAHUN 2020

Evaluation and conclusions:

Mandatory Elements : Context of organization has been identified. Management review system had been well done periodically. Internal audit had been done properly In general, the organization had been identify continual improvement by considering the result of analysis and evaluation including the output from management review. The company state that there is no complaint from any interested party's. In general, objectives for functions have been established. And the performance of these objectives is always well monitored. Use of Logo. The use of the LR logo is in accordance with the guidance of the LR.

Legal compliance and the evaluation: Legal and other requirements had been accessed and the compliance had been evaluated.

Objective and programme: Objective and programme had been established and monitored

Communication, participation and consultation : Communication and participation and consultation internally had been made by tool box meeting. Externally had been demonstrated by induction meeting, and communication with suppliers/vendors

Incident Investigation; -Zero Incident in category light and heavy incident. (Please see area for attention)

Emergency preparedness and response: It had been demonstrated by showing completeness checking for : FE, Hydran, Alarm etc

Areas for attention:

Opportunity for improvements :

Work accidents that are very minor and require only first aid occur and are recorded in the log book of each first aid box. This should be analyzed.

04. Next visit details

Standard(s) / Scheme(s)	OHSAS 18001:2007	Visit type		Surveillance 4	
Audit days	2.00 DAY	Due date		March, 2021	
Team					
Site		Audit days	Delivery Method	Remote Effort	Activity codes
Jl. Sumber Waras No. 255,Malang,ID		2.0 DAY	Onsite	0 DAY	066503

05. Appendix

1. Audit Programme/Plan

Visit Type	CR	SV1	SV2	SV3	SV4	SV5	CR Visit
Due Date	Feb 2019	Aug /2019	Feb 2020	Aug 2020	Feb 2021	Aug 2021	Feb 2022
Start Date	27 Jan 2019	14 Nov 2019	14 May 2020	19 Nov 2020			
End Date	30 Jan 2019	15 Nov 2019	15 May 2020	20 Nov 2020			
Audit Days	6	2	2	2	2	2	
Any change in workforce numbers that may impact visit duration (if yes add new number)	N	N	N	Y	Y/N	Y/N	Y/N
Process / aspect / location							
Management Review	√	√	R	R	√	√	
Internal Audits	√	√	R	R	√	√	
Continual Improvement	√	√	R	R	√	√	
Management of change	√	√	R	R	√	√	
Corrective action	√	√	R	R	√	√	
Preventive action	√	√	R	R	√	√	
Complaint Management	√	√	R	R	√	√	
Use of Logo	√	√	R	R	√	√	
Legal compliance and the evaluation	√	√	R		√		
Objective and programme	√	√	R	R	√		
Communication, participation and consultation	√	√	R	R	√		
Incident Investigation	√		R	R	√		
Hazard identification, risk assessment and determining control	√	√	R	R		√	
HRD (Competence, training and awareness); Resource roles and responsibility	√	√	R			√	
Emergency preparedness and response	√	√	R	R		√	
Performance measurement and monitoring	√		R	R		√	
Purchasing and Contractor management (CSMS)	√		R			√	
Production: - Ethanol (Fermentation, Distillation, Control room and Production Lab) - Main Laboratory	√						
Warehouse (Logistic): - Raw Material (storage tank) - Supporting material - Finished Goods (storage tank) - Filling station area	√		R			√	



Visit Type	CR	SV1	SV2	SV3	SV4	SV5	CR Visit
- Spare part							
Utilities : - Cooling system - Boiler, Generator - Coal stock pile, Crusher	√	√			√		
Maintenance : - Mechanical - Rotary - Electrical and Instrument - Workshop - Static	√	√				√	
Transport (Trucking)	√					√	
General Area : - Office - Security - First Aid Room - Company transport	√			R (Front Parking Area)			

R = Remote Audit

√ = On Site

Scope of the management system	Manufacture of Ethanol.
Exclusion	None

Note: if the visit involves more than one team member and/or is more than one day duration, an additional plan detailing the activities of each member of the team on each day will be required.

1. Report Considerations

LRQA Report considerations		
Have there been any deviation from the original assessment plan:	Yes/No	If yes detail these in the introduction section of the report along with the reasons for the deviations
Have there been any significant issues impacting on the audit programme:	Yes/No	If yes detail these in the introduction of the report and amend the APP
Have there been any significant changes that affect the management system of the client since the last audit took place:	Yes/No	If yes detail these within the executive summary section of the report
Have any unresolved issues been identified during the assessment:	Yes/No	If yes detail these within the executive summary section of the report
Was the audit undertaken a combined or integrated audit:	Yes/No	If yes confirm what type of audit and the standards covered in the introduction to the report.
Was the organization effectively controlling the use of the certification documents and marks:	Yes/No	if no document within the reporting table covering the mandatory elements
If applicable has the organization taken effective corrective action regarding previously identified nonconformities:	NA	Record outcome in the findings log against the relevant findings.
Does the management system of the organization continue to meet the applicable requirements and meet the expected outcomes:	Yes/No	If no details reasons within the executive summary of the report
Does the scope of certification continue to be appropriate to the activities/products/services of organization:	Yes/No	If no then document the actions necessary in relation to the scope in the executive summary of the report and amend the APP as required.
Were the objectives of the visit as defined in the APP fulfilled during the visit:	Yes/No	If no detail the reasons and any necessary actions in the executive summary of the report and amend/update the APP
Additional information <p>opportunities for improvement If we identify opportunities to improve your already compliant system, we will either record them in the process table applicable to the area being assessed or in the Executive summary of the report if they can deliver improvement at a strategic level.</p> <p>Confidentiality We will treat the contents of this report, together with any notes made during the visit, in the strictest confidence and will not disclose them to any third party without written client consent, except as required by the accreditation authorities.</p> <p>Sampling The assessment process relies on taking a sample of the activities of the business. This is not statistically based but uses representative examples. Not all of the detailed nature of a business may be sampled so, if no issues are raised in a particular process, it does not necessarily mean that there are no issues, and if issues are raised, it does not necessarily mean that these are the only issues.</p> <p>Legal entity The accredited legal entity and client facing office that has provided the assessment service in this report is referenced in the applicable agreement for this service.</p>		

1. Assessment plan

Assessment type Surveillance	Assessment criteria OHSAS 18001:2007
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Assessment team Sugeng Hartono	Assessment dates 19-20 Nov 2020	Issue date 12 Nov 2020
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(Day 1)	
09.00	Introductory meeting with management to explain the scope of the visit, assessment methodology, method of reporting and to discuss the company's organisation (approximately 30 minutes). The Team Leader will agree a time to meet with top management to discuss policy and objectives for the management system.
09.00	Mandatory Element Legal compliance and the evaluation Objective and programme Communication, participation and consultation Incident Investigation
12.00	Lunch.
13.00	Emergency preparedness and response
16.00	Report writing.
18.00	Close.

(Day 2)	
09.00	Review of findings from previous day. Review of the assessment plan for the day.
09.30	Theme audit: Safety at "front parking" area
12.00	Lunch.
13.00	Continued: Theme audit: Theme audit: Safety at "front parking" area
14.00	Review of day's findings.
15.00	Preparation of final report.
17.00-18.00	Closing meeting with management to present a summary of findings and recommendations.