Mathematical Tools for Big Data - Assignment 3

Students:

65

44

24910

8820

616580 24752

554

4890

- Alexandra de Carvalho (93346)
- Diogo Pedrosa (94358)
- Roshan Poudel (109806)

Common Steps

Reading and General data analysis

```
In [1]:
         import pandas as pd
         import numpy as np
         import random
         random.seed(2022)
         # reading data
         data = pd.read csv("data/cash-crops-nepal.csv")
         # visualize some data
         data.iloc[:5,:]
                                     Area Production Yield
           Year AD Year BS
                              Crop
Out[1]:
          1984/85 2041/42 OILSEED 127820
                                               84030
                                                      657
           1985/86 2042/43 OILSEED 137920
                                               78390
                                                      568
         2 1986/87 2043/44 OILSEED 142890
                                               82500
                                                      577
           1987/88 2044/45 OILSEED 151490
                                               94370
                                                      623
           1988/89 2045/46 OILSEED 154860
                                               99190
                                                      641
In [2]:
         data.shape
        (105, 6)
Out[2]:
In [3]:
         # What are the different crops
         print(data.iloc[:,2].unique())
         ['OILSEED' 'POTATO' 'TOBACCO' 'SUGARCANE' 'JUTE']
In [4]:
         # shuffling data
         agri_data = data.iloc[np.random.permutation(len(data))]
         trunc_data = agri_data[["Area", "Production", "Yield"]]
         trunc_data.iloc[:5,:]
              Area Production
Out[4]:
                              Yield
```

```
        Area
        Production
        Yield

        8
        165240
        93690
        567

        57
        4283
        3809
        889

        42
        8550
        6430
        752
```

```
# (custom choice for) normalizing data
trunc_data = trunc_data / trunc_data.max()
trunc_data.iloc[:5,:]
```

```
        Out[5]:
        Area
        Production
        Yield

        65
        0.130810
        0.259492
        0.615461

        44
        0.046316
        0.002058
        0.013775

        8
        0.867725
        0.039430
        0.014099

        57
        0.022491
        0.001603
        0.022105

        42
        0.044899
        0.002706
        0.018699
```

Loading SOM utils

```
In [6]: from scripts.som_utils import *
```

Base SOM (SOM1)

learning rate 0.005488116360940264

```
In [7]:
         from scripts.our som1 import SOM as SOM 1 base
         \# som = SOM(x_size, y_size, num_features)
         som 1 = SOM 1 base(3,3,3)
         joined_df, clustered_df = som_train_predict(som_1, trunc_data, agri_data, nun
         joined df.iloc[0:5]
        [3 3]
        SOM training epoches 20
        neighborhood radius 2.6878753795222865
        learning rate 0.009048374180359595
        SOM training epoches 40
        neighborhood radius 2.4082246852806923
        learning rate 0.008187307530779819
        SOM training epoches 60
        neighborhood radius 2.157669279974593
        learning rate 0.007408182206817179
        SOM training epoches 80
        neighborhood radius 1.9331820449317627
        learning rate 0.006703200460356393
        SOM training epoches 100
        neighborhood radius 1.7320508075688772
        learning rate 0.006065306597126334
        SOM training epoches 120
        neighborhood radius 1.5518455739153598
```

SOM training epoches 140

neighborhood radius 1.3903891703159093 learning rate 0.004965853037914096

SOM training epoches 160

neighborhood radius 1.2457309396155174 learning rate 0.004493289641172216

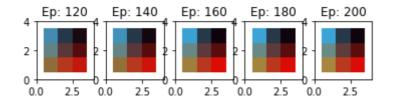
SOM training epoches 180

neighborhood radius 1.1161231740339044 learning rate 0.004065696597405992

SOM training epoches 200 neighborhood radius 1.0

learning rate 0.0036787944117144234

Ep: 20 Ep: 40 Ep: 60 Ep: 80 Ep: 100

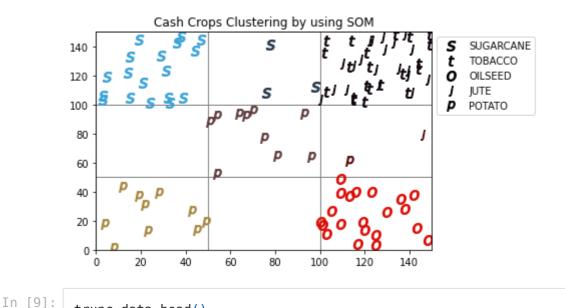


Out[7]:

	Year AD	Year BS	Crop	Area	Production	Yield	Area_norm	Production_norm	•
65	1986/87	2043/44	SUGARCANE	24910	616580	24752	0.130810	0.259492	
44	1986/87	2043/44	TOBACCO	8820	4890	554	0.046316	0.002058	
8	1992/93	2049/50	OILSEED	165240	93690	567	0.867725	0.039430	
57	1999/2000	2056/57	TOBACCO	4283	3809	889	0.022491	0.001603	
42	1984/85	2041/42	TOBACCO	8550	6430	752	0.044899	0.002706	

In [8]:

visualize_som(som_1, joined_df)



In [10]:	<pre>cd = pd.DataFrame(clustered_df['bmu'].apply(lambda x: x[0].tolist()), index cd = cd.bmu.apply(list).apply(pd.Series).astype(float) cd.head()</pre>	=
----------	---	---

Out[10]:		0	1	2
	65	0.153170	0.220287	0.290279
	44	0.059699	0.013780	0.050131
	8	0.859671	0.048252	0.019456
	57	0.059699	0.013780	0.050131
	42	n n59699	0.013780	0.050131

Q1: What is the numeric criteria that you may use to determine if a change in the algorithm produces improvements?

Throughout this assignment, we will be exploring different changes to the proposed algorithm, and their impact in results. Thus, we are in need of a numerical criteria that will allow us to measure results. For this reason, we are going to use the metrics of neighbourhood preservation and trustworthiness. Neighborhood preservation, like the other quality measures, assesses the extent to which neighborhoods present in the input are also present in the

projection. The opposite of trustworthiness is how much the neighborhoods in the projection are present in the input [2]. These measure how the projection preserves the neighborhoods present in the input space by ranking the k-nearest neighbors of each sample before and after projection. The implementation of this criteria is in function

neighborhood_preservation_trustworthiness inside soms/som_utils.py file.

We also have implemented quantization error as a metric which is the mean euclidean distance between a data sample and its best-matching unit. The lower the quantization error the better SOM is. The implementation of this criteria is in function quantization_error_test inside soms/som_utils.py file. These metrics were tested by the authors of papers [1] and [2].

Q2: Write the version SOM1A, where you change the curve of the learning factor. Did you achieve improvements?

The learning rate controls the size of weight vector. Therefore, chosing its decay function is important. There are many learning rate functions, like the power series implemented in scripts/our soml.py . We changed the learning rate to:

$$learning_rate = initial_learning_rate imes rac{1}{iteration}$$

We found that this linear learning rate, implemented in scripts/our_som1_A.py, did not improves the algorithm performance, as shown by comparing the measurement function results.

```
from scripts.our_som1_A import SOM as SOM_1_A
# som = SOM(x_size, y_size, num_features)
som_1_A = SOM_1_A(3,3,3)
joined_df, clustered_df = som_train_predict(som_1_A, trunc_data, agri_data, r
#joined_df.iloc[0:5]

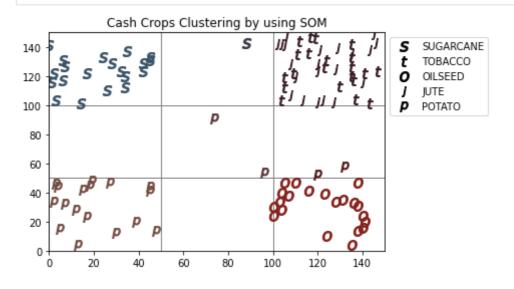
SOM training epoches 20
neighborhood radius 2.6878753795222865
learning rate 0.0005

SOM training epoches 40
neighborhood radius 2.4082246852806923
learning rate 0.00025

SOM training epoches 60
neighborhood radius 2.157669279974593
```

```
SOM training epoches 80
neighborhood radius 1.9331820449317627
learning rate 0.000125
-----
SOM training epoches 100
neighborhood radius 1.7320508075688772
learning rate 0.0001
-----
SOM training epoches 120
neighborhood radius 1.5518455739153598
learning rate 8.33333333333333-05
SOM training epoches 140
neighborhood radius 1.3903891703159093
learning rate 7.142857142857143e-05
SOM training epoches 160
neighborhood radius 1.2457309396155174
learning rate 6.25e-05
SOM training epoches 180
neighborhood radius 1.1161231740339044
learning rate 5.555555555556e-05
SOM training epoches 200
neighborhood radius 1.0
learning rate 5e-05
  Ep: 20
           Ep: 40
                   Ep: 60
                           Ep: 80
                                   Ep: 100
2
0
                 0.0
                     2.5
0.0
     2.5
        0.0
             2.5
                         0.0
                              2.5
                                 0.0
          Ep: 140
                  Ep: 160
                           Ep: 180
  Ep: 120
                                   Ep: 200
2
        0.0
             2.5
                 0.0
                     2.5
                         0.0
                              2.5
                                 0.0
0.0
```

In [14]: visualize_som(som_1_A, joined_df)



Q3: Write the version SOM1B, where you change the curve of the deviation. Did you achieve improvements?

We changed the curve of deviation (decay_radius) in SOM_B, we changed it to :

```
radius\_decay = 0.1 radius = init\_radius 	imes e^{-iteration 	imes radius\_decay}
```

We changed the curve of deviation slighly only as we wanted it to be somewhat similar with original curve of deviation[3].

Changing the curve of deviation did bring better results. But they are still more or less comparable and not that different which can be seen in visualizations as well.

```
In [18]:
         from scripts.our som1 B import SOM as SOM 1 B
          \# som = SOM(x_size, y_size, num features)
          som 1 B = SOM 1 B(3,3,3)
          joined_df, clustered_df = som_train_predict(som_1_B, trunc_data, agri_data, r
          #joined df.iloc[0:5]
         SOM training epoches 20
         neighborhood radius 0.4060058497098381
         learning rate 0.009048374180359595
         SOM training epoches 40
         neighborhood radius 0.054946916666202536
         learning rate 0.008187307530779819
         SOM training epoches 60
         neighborhood radius 0.0074362565299990755
         learning rate 0.007408182206817179
         SOM training epoches 80
         neighborhood radius 0.0010063878837075356
         learning rate 0.006703200460356393
         SOM training epoches 100
         neighborhood radius 0.00013619978928745456
         learning rate 0.006065306597126334
```

```
SOM training epoches 120
neighborhood radius 1.843263705998463e-05
learning rate 0.005488116360940264
SOM training epoches 140
neighborhood radius 2.494586157310704e-06
learning rate 0.004965853037914096
______
SOM training epoches 160
neighborhood radius 3.3760552415777734e-07
learning rate 0.004493289641172216
SOM training epoches 180
neighborhood radius 4.568993923413789e-08
learning rate 0.004065696597405992
SOM training epoches 200
neighborhood radius 6.183460867315673e-09
learning rate 0.0036787944117144234
                             Ep: 80
0.0
     2.5
         0.0
              2.5
                  0.0
                       2.5
                           0.0
                               2.5
                                   0.0
                                        2.5
  Ep: 120
           Ep: 140
                    Ep: 160
                            Ep: 180
```



2.5

0.0

2.5

0.0

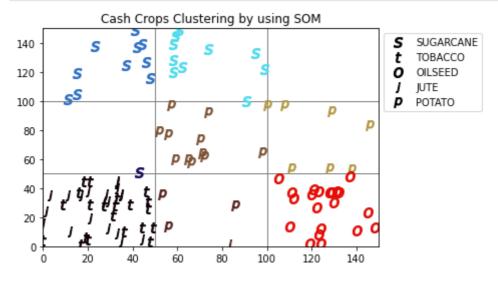
2.5

0.0

2.5

0.0

2.5



```
cd = pd.DataFrame(clustered_df['bmu'].apply(lambda x: x[0].tolist()), index =
cd = cd.bmu.apply(list).apply(pd.Series).astype(float)
neighborhood_preservation_trustworthiness(1, trunc_data, cd)
```

201. (0.7763291724456773, 0.8845573559757789)

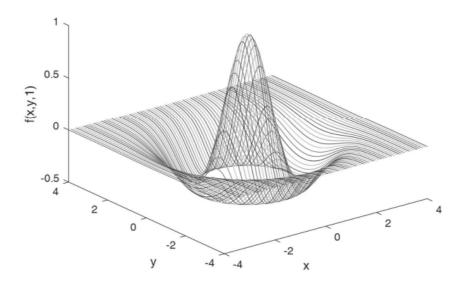
Out[20]:

Out[21]:

0.09592649384500612

Q4: Write the version SOM1C, where you change the normal distribution to other distribution of your choice. Did you achieve improvements?

We changed the standard normal distribution to the mexican hat (Ricker wavelet) distribution [4]. The distribution is more or less similar to gaussian distribution but with a divit around the base (like a hat). Mexican hat distribution looks like



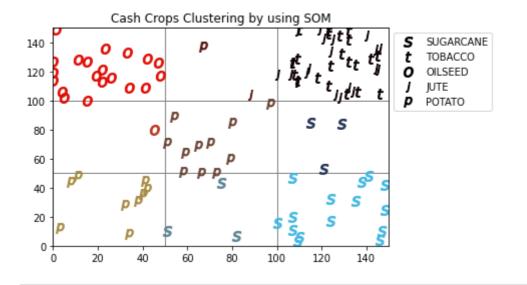
We calculate the distribution using the following formula (Read more at [4] Page 12

$$f(\mathbf{x}, \mathbf{c}, w) = \left(1 - \frac{\|\mathbf{x} - \mathbf{c}\|^2}{w}\right) e^{-\frac{\|\mathbf{x} - \mathbf{c}\|^2}{2w}}$$

But changing to the mexican hat distribution also didn't result in any better results.

```
neighborhood radius 2.4082246852806923
learning rate 0.008187307530779819
SOM training epoches 60
neighborhood radius 2.157669279974593
learning rate 0.007408182206817179
-----
SOM training epoches 80
neighborhood radius 1.9331820449317627
learning rate 0.006703200460356393
-----
SOM training epoches 100
neighborhood radius 1.7320508075688772
learning rate 0.006065306597126334
-----
SOM training epoches 120
neighborhood radius 1.5518455739153598
learning rate 0.005488116360940264
_____
SOM training epoches 140
neighborhood radius 1.3903891703159093
learning rate 0.004965853037914096
-----
SOM training epoches 160
neighborhood radius 1.2457309396155174
learning rate 0.004493289641172216
SOM training epoches 180
neighborhood radius 1.1161231740339044
learning rate 0.004065696597405992
-----
SOM training epoches 200
neighborhood radius 1.0
learning rate 0.0036787944117144234
  Ep: 20
          Ep: 40
                  Ep: 60
                         Ep: 80
                                 Ep: 100
    2.5
        0.0
            2.5
                0.0
                    2.5
                       0.0
                            2.5
0.0
  Ep: 120
          Ep: 140
                 Ep: 160
                         Ep: 180
                                 Ep: 200
4
        0.0
            2.5
                0.0
                    2.5
                        0.0
                            2.5
                               0.0
```

In [23]: visualize_som(som_1_C, joined_df)



```
In [24]:
          cd = pd.DataFrame(clustered df['bmu'].apply(lambda x: x[0].tolist()), index =
          cd = cd.bmu.apply(list).apply(pd.Series).astype(float)
          neighborhood preservation trustworthiness(1, trunc data, cd)
         (0.9473878871937125, 0.885568098470865)
Out[24]:
In [25]:
          quantization error test(trunc data, cd)
         0.1230900092047722
```

Q5*: Determine the mathematical conditions that ensure the convergence of equation (3) in page 14 of this slides.

Método do ponto fixo: convergência

Out[25]:

```
Em que condições é que, dada uma aproximação inicial x_0, o método
iterativo x_k=g(x_{k-1}),\;\;k=1,2,\ldots, converge para a raiz lpha de
f(x) = 0 no intervalo I = [a, b]?
<u>Teorema</u>: Seja g \in C^1(I) e \alpha o único zero de f em I.
Se
                     g(I) \subset I (i. e., \forall x \in I, g(x) \in I)
e
                          0 < M = \max_{x \in I} |g'(x)| < 1,
então g possui um único ponto fixo \alpha no intervalo I e a sucessão de
aproximações \{x_k\}_{k\in\mathbb{N}} gerada por x_k=g(x_{k-1}), k=1,2,\ldots,
converge para \alpha, qualquer que seja a aproximação inicial x_0 \in I.
```

froving that equation (3) converges. =) Using Fixed point theorem. given, $g(\omega) = \omega_{\kappa}(t) + \lambda(t) h_{c\kappa}(t) \left[x(t) - \omega_{\kappa}(t) \right]$ g'(w) = 1 - X(t) hck(t) and we know, 0<2<1 32 €]0,1[According to fixed point theorem, Ocmax | g'(w) | < 1, g has a fixed point in R $\omega_{K} = g(\omega_{K-1})$ with $K \in N$ converges to that unique fixed point 4 wo. 50, we have. 0 < mar | 1-d(+) hck(+) | < 1 -> max (1-d(+) hck(+)) is achieved when we have min (d(t) hox(t)). I we know, 0 < 2(+) < 1 × JIf we pick minimum value for L(+) and hek(+), 0 < hck(1) < 1 * we'll have max (1-d(+) hck(+)). -) Since L(+) and hck(+) are greater than o and smaller than 1, This implies OC L(+) hck(+) < 1 =) 0 < max | I - d(+)hck(+) | < I . This is also satisfied. -) So, eq (3) converges given the conditions above.

Q6: As explained in class, SOM can be seen as a Euler integration method for the corresponding ODE. Estimate the absolute error after N epochs.

Euler integration method is a numerical procedure for solving ordinary differential equations (ODEs) with a given initial value. It's basic formula is the last value plus some step times the derivative of the original function. In our SOM, we can see it as the function that updates the nodes' weights in every iteration. Because it is an approximation to the original value, it has

going to have an error associated. This can be calculated as the difference between the original value and the estimated value. In order to calculate the original value, we integrate the neighbourhood function after n epochs and solve it against the current radius. This is calculated and returned in the training function of the scripts/our_som1_6.py file, along with the weights array. Then, in the scipts/som_utils.py the som_abs_error calculates the maximum difference between the weights at n epochs and the original value, to present the error.

$$y_1 = y_0 + f(t_0, y_0)(t_1 - t_0)$$

Then after N interations to get the error, we simply subtract our estimation from the original value.

$$error = |w(t) - y(t)|$$

We used N as 200 here in the calculations

```
from scripts.our_soml_6 import SOM as SOM_1_6
som_1_6 = SOM_1_6(3,3,3)
n = 200
error = som_abs_error(som_1_6, trunc_data, num_epochs=n, init_learning_rate=0
print(error)
```

1.34958949505949

Q7*: How could you change the SOM method to use Runge-Kutta second order method? Is the improvements?

Runge-Kutta method is one other way of approximating values. As before, to the weight it is added the step times the neighbourhood function. Only this time the influence is calculated with more complex parameters. It is also added the step to the power of three. This is done in scripts/our_som1_7.py.

```
from scripts.our_soml_7 import SOM as SOM_1_7
som_1_7 = SOM_1_7(3,3,3)
joined_df, clustered_df = som_train_predict(som_1_7, trunc_data, agri_data, r

[3 3]
SOM training epoches 20
neighborhood radius 2.6878753795222865
learning rate 0.009048374180359595

SOM training epoches 40
neighborhood radius 2.4082246852806923
learning rate 0.008187307530779819

SOM training epoches 60
neighborhood radius 2.157669279974593
learning rate 0.007408182206817179

SOM training epoches 80
```

```
neighborhood radius 1.9331820449317627
learning rate 0.006703200460356393
SOM training epoches 100
neighborhood radius 1.7320508075688772
learning rate 0.006065306597126334
SOM training epoches 120
neighborhood radius 1.5518455739153598
learning rate 0.005488116360940264
SOM training epoches 140
neighborhood radius 1.3903891703159093
learning rate 0.004965853037914096
SOM training epoches 160
neighborhood radius 1.2457309396155174
learning rate 0.004493289641172216
SOM training epoches 180
neighborhood radius 1.1161231740339044
learning rate 0.004065696597405992
SOM training epoches 200
neighborhood radius 1.0
learning rate 0.0036787944117144234
                     Ep: 60
2
0.0
     2.5
         0.0
              2.5
                   0.0
                        2.5
                                 2.5
           Ep: 140
                    Ep: 160
                              Ep: 180
  Ep: 120
2
```

In [28]: visualize_som(som_1_C, joined_df)

0.0

2.5

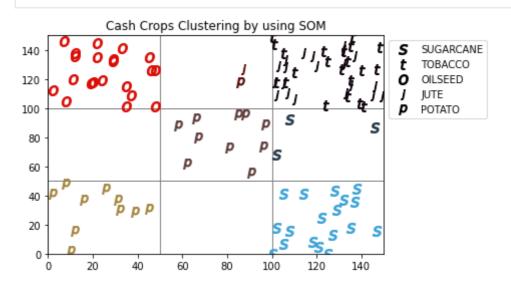
0.0

2.5

0.0

2.5

2.5



In [29]:

cd = pd.DataFrame(clustered_df['bmu'].apply(lambda x: x[0].tolist()), index =

Q8*: Estimate the absolute error after N epochs by using Q7.

We used the same approch aw with Q6, but Runge-kutta equation.

```
In [31]:
    from scripts.our_soml_8 import SOM as SOM_1_8
    som_1_8 = SOM_1_8(3,3,3)
    n = 200
    error = som_abs_error(som_1_8, trunc_data, num_epochs=n, init_learning_rate=0
    print(error)
```

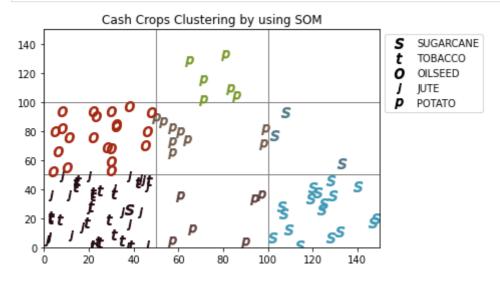
1.35028495109477

Q9: How would you combine the answers to Q1-Q8, in order to suggest an improved version?

```
In [32]:
         from scripts.our_som1_final import SOM as SOM_1_9
         som 1 9 = SOM 1 9(3,3,3)
         joined_df, clustered_df = som_train_predict(som_1_9, trunc_data, agri_data, r
        [3 3]
        SOM training epoches 20
        neighborhood radius 0.4060058497098381
        learning rate 0.0005
        SOM training epoches 40
        neighborhood radius 0.054946916666202536
        learning rate 0.00025
        SOM training epoches 60
        neighborhood radius 0.0074362565299990755
        SOM training epoches 80
        neighborhood radius 0.0010063878837075356
        learning rate 0.000125
        SOM training epoches 100
        neighborhood radius 0.00013619978928745456
        learning rate 0.0001
```

```
SOM training epoches 120
neighborhood radius 1.843263705998463e-05
learning rate 8.333333333333333e-05
SOM training epoches 140
neighborhood radius 2.494586157310704e-06
learning rate 7.142857142857143e-05
SOM training epoches 160
neighborhood radius 3.3760552415777734e-07
learning rate 6.25e-05
SOM training epoches 180
neighborhood radius 4.568993923413789e-08
learning rate 5.5555555555556e-05
SOM training epoches 200
neighborhood radius 6.183460867315673e-09
learning rate 5e-05
                      Ep: 60
                               Ep: 80
          0.0
               2.5
                   0.0
                        2.5
                            0.0
                                 2.5
  Ep: 120
            Ep: 140
                     Ep: 160
                              Ep: 180
2
          0.0
               2.5
                   0.0
                        2.5
                            0.0
```

In [33]: visualize som(som 1 C, joined df)



cd = pd.DataFrame(clustered_df['bmu'].apply(lambda x: x[0].tolist()), index =
cd = cd.bmu.apply(list).apply(pd.Series).astype(float)
neighborhood_preservation_trustworthiness(1, trunc_data, cd)

Out[34]: (0.660748959778086, 0.8785590449772142)

```
In [35]: | quantization_error_test(trunc_data, cd)
        0.2815332223676341
Out[35]:
In [36]:
        n = 200
        error = som_abs_error(som_1_9, trunc_data, num_epochs=n, init_learning_rate={
        print(error)
        SOM training epoches 20
        neighborhood radius 0.4060058497098381
        learning rate 0.0005
        -----
        SOM training epoches 40
        neighborhood radius 0.054946916666202536
        learning rate 0.00025
        -----
        SOM training epoches 60
        neighborhood radius 0.0074362565299990755
        -----
        SOM training epoches 80
        neighborhood radius 0.0010063878837075356
        learning rate 0.000125
        -----
        SOM training epoches 100
        neighborhood radius 0.00013619978928745456
        learning rate 0.0001
        SOM training epoches 120
        neighborhood radius 1.843263705998463e-05
        learning rate 8.333333333333333e-05
        -----
        SOM training epoches 140
        neighborhood radius 2.494586157310704e-06
        learning rate 7.142857142857143e-05
        -----
        SOM training epoches 160
        neighborhood radius 3.3760552415777734e-07
        learning rate 6.25e-05
        SOM training epoches 180
        neighborhood radius 4.568993923413789e-08
        learning rate 5.5555555555556e-05
        -----
        SOM training epoches 200
        neighborhood radius 6.183460867315673e-09
        learning rate 5e-05
                  Ep: 40
                          Ep: 60
                                 Ep: 80
                                        Ep: 100
            2.5
                0.0
                    2.5
                        0.0
                            2.5
                               0.0
                                   2.5
                                       0.0
        0.0
          Ep: 120
                 Ep: 140
                         Ep: 160
                                 Ep: 180
                                        Ep: 200
        2
        0
        0.0
            2.5
                0.0
                    2.5
                        0.0
                            2.5
                               0.0
                                   2.5
                                       0.0
```

2.32672218993885

Combining all the modifications we had done didn't really yield in better metrics for SOM which is bit strange but a lot more exploring has to be done to get any significant improvement as the initial results were also very good.

References

- [1] W. Natita, W. Wiboonsak, and S. Dusadee, "Appropriate Learning Rate and Neighborhood Function of Self-organizing Map (SOM) for Specific Humidity Pattern Classification over Southern Thailand," 2016, doi: 10.7763/IJMO.2016.V6.504.
- [2] G. Breard, "Evaluating Self-Organizing Map Quality Measures as Convergence Criteria," Open Access Master's Theses, Jan. 2017, doi: 10.23860/thesis-breard-gregory-2017.
- [3] W. Zhang, J. Wang, D. Jin, L. Oreopoulos, and Z. Zhang, "A Deterministic Self-Organizing Map Approach and its Application on Satellite Data based Cloud Type Classification," arXiv:1808.08315 [cs, stat], Oct. 2018, Accessed: Feb. 15, 2022. [Online]. Available: http://arxiv.org/abs/1808.08315
- [4] "Self-organising maps." [Online]. Available: https://coursepages2.tuni.fi/tiets07/wp-content/uploads/sites/110/2019/01/Neurocomputing3.pdf

T 1		
In []:		