

last time: gradient

$$f(x,y) \quad \nabla f = \langle f_x, f_y \rangle$$

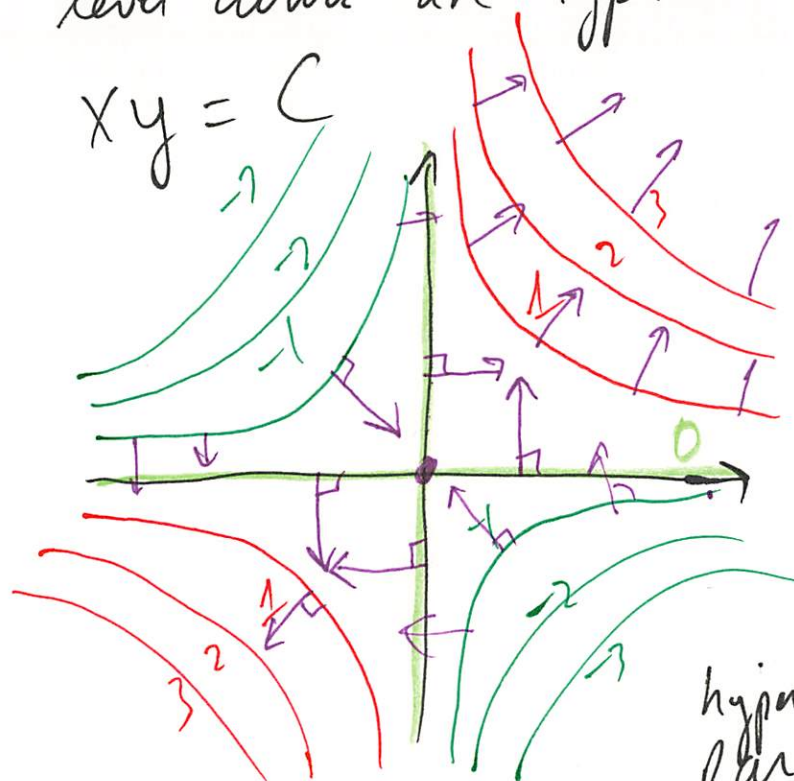
$$g(x,y,z) \quad \nabla g = \langle g_x, g_y, g_z \rangle$$

$$D_{\hat{u}}(f) = \text{directional derivative of } f \text{ in direction of } \hat{u} \\ = (\nabla f) \cdot \hat{u}$$

$\nabla f$  is  $\perp$  to level curves of  $f$

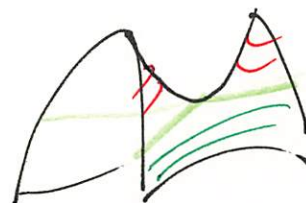
points in direction of steepest ascent

①  
p.g.  $f(x,y) = xy$   
level curves are hyperbolas.



$$\nabla f = \langle y, x \rangle$$

hyperbolic  
parab.



p.g.  $g(x, y, z)$  have level surface.

graphs of  $g(x, y, z) = C$

$\nabla g$  is  $\perp$  to these. can use this to get eqns of tangent planes.

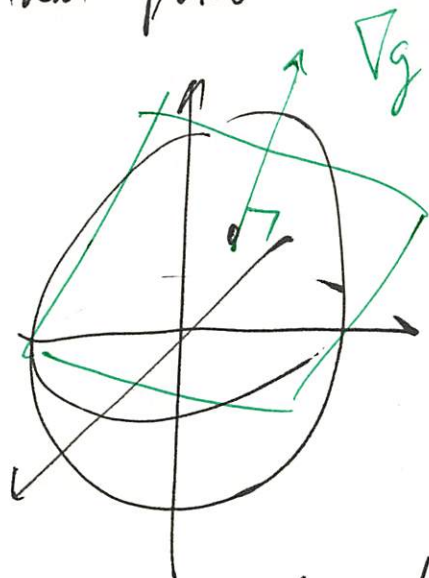
p.g. sphere.  $g(x, y, z) = x^2 + y^2 + z^2$

$g = 1$  unit sphere.

$$\nabla g = \langle 2x, 2y, 2z \rangle$$

if  $(x, y, z)$  is on the sphere, then

$\langle 2x, 2y, 2z \rangle \perp$  sphere at that point. ②



find eqn of tangent plane at  $(\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}})$

normal vector is  $\nabla g = \langle 2/\sqrt{3}, 2/\sqrt{3}, 2/\sqrt{3} \rangle$

$$\frac{2}{\sqrt{3}}x + \frac{2}{\sqrt{3}}y + \frac{2}{\sqrt{3}}z = D$$
$$\Rightarrow D = 2$$

so tangent plane is

$$\frac{2}{\sqrt{3}}x + \frac{2}{\sqrt{3}}y + \frac{2}{\sqrt{3}}z = 2$$

e.g. if  $z = f(x, y)$

think of it as

$$g(x, y, z) = f(x, y) - z$$

then original graph is the level surface  $g(x, y, z) = 0$

$\Rightarrow \nabla g \perp \text{graph.}$

$$\nabla g = \langle f_x, f_y, -1 \rangle$$

is  $\perp$  to graph

e.g.  $f(x, y) = x^2 - y^2$   
 $(2, 1, 3)$

to get the tangent plane, ⑤  
the vector  $\langle f_x, f_y, -1 \rangle$  is  
 $\perp$  to graph.

$$\langle 2x, -2y, -1 \rangle \big|_{(2,1,3)} = \langle 4, -2, -1 \rangle$$

T.P.:  $4x - 2y - z = D$   
plug in  $(2, 1, 3)$  get  $D = 3$

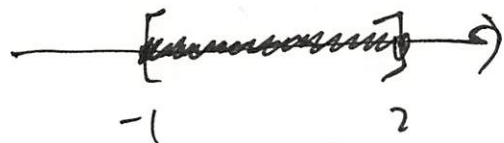
$$\boxed{4x - 2y - z = 3}$$

### §14.7 Max/Min

$y = f(x)$  have 2 kinds of  
max/min probe

① Max/Min on closed (bounded)  
domain

e.g. find max/min of  $f = x^2$   
on  $[-1, 2]$   $-1 \leq x \leq 2$

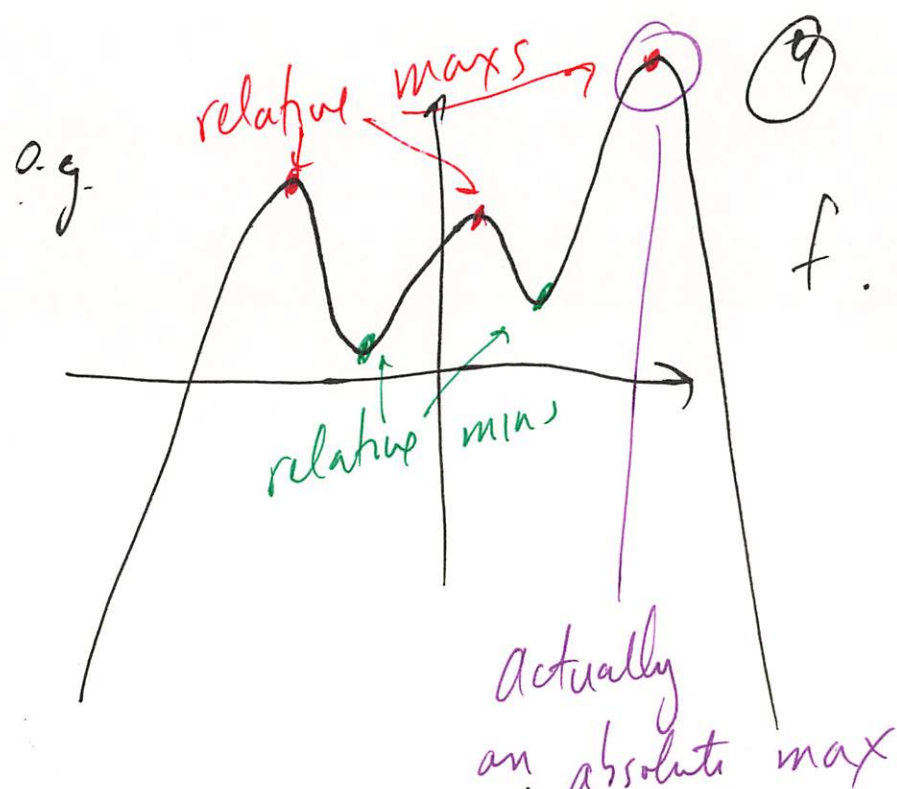


we want absolute max/mins

② max/min on domain that's  
not closed and bounded.

e.g. find max/min of  $f = x^2$   
on real line

here we look for relative  
max/mins



There is no absolute min  
for  $f$ .

general domain: there is no  
guarantee that abs  
max/min exists.  
closed / bounded:  
domain: guaranteed to  
have an  
abs max/min

To find Max/Mins in the 2 cases.

① closed / bounded.

1st find candidates for abs mx/mn.

(a) critical pts:  $f' = 0$   
or  $f'$  not defined.

(b) endpts of the domain.

2nd: evaluate  $f$  on candidates to find biggest smallest value.

e.g.  $[-1, 2]$ ,  $f = x^2$ ,  $f' = 2x$   
C.P.  $x = 0$   
endpts  $x = -1, 2$ .

Cands:  $x = -1, 0, 2$ .

$$f(-1) = 1$$

$$f(0) = 0 \quad \text{MN (Abs)}$$

$$f(2) = 4 \quad \text{MX (Abs)}$$

② general domain.

1st find candidates.

just critical pts

2nd: classify crit pts.

1st derivative test

2nd derivative test

Now fns of more than 1 variable

Now a critical pt  $\nabla f = \vec{0}$ .



$\vec{\nabla} f = \vec{0} \Leftrightarrow$  all partial derivatives vanishing

e.g.  $f(x,y) = 4x + 6y - x^2 - y^2$

$$\vec{\nabla} f = \langle 4 - 2x, 6 - 2y \rangle = \vec{0}$$

$$\Rightarrow \begin{cases} 4 - 2x = 0 \\ 6 - 2y = 0 \end{cases}$$

$$x = 2, y = 3$$

$(2, 3)$  only crit pt.

e.g.  $f = x^2 + xy + y^2 - 2x + 3$

$$\vec{\nabla} f = \langle 2x + y - 2, x + 2y \rangle = \vec{0}$$

$$\begin{cases} 2x + y = 2 \\ x + 2y = 0 \end{cases}$$

$$x = 4/3, y = -2/3$$

⑥

closed / bounded domain

① find candidates:

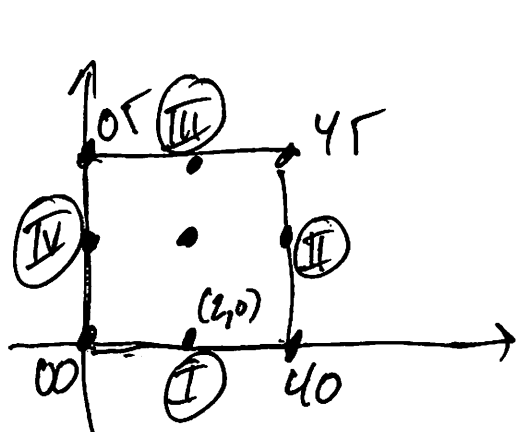
(a) critical pts in interior

(b) critical pts on boundary

(c) corner pts

② classify by plugging into  $f$ .

e.g. Abs Max/Min of  $4x + 6y - x^2 - y^2$  on closed rectangle with vertices  $(0, 0), (4, 0), (4, 5), (0, 5)$



- I  $y=0$
- II  $x=4$
- III  $y=5$
- IV  $x=0$

Critical pts : a)  $\nabla f = \vec{0} \Leftrightarrow (2,3)$

c) corner pts

b) edges.

use the equations holding on the edges to reduce the problem to a 1-variable max/min. look for critical pts as in Calc 1.

I :  $y=0$   $f(x) = 4x - x^2$   
 $f'(x) = 4 - 2x = 0$   
 $x = 2$

$$f(x,y) = 4x + 6y - x^2 - y^2$$

Candidates

- (2,3)
- (0,0)
- (4,0)
- (4,5)
- (0,5)
- (2,0)
- (4,3)
- (2,5)
- (0,3)

II  $x=4$

$$f(y) = 6y - y^2$$

$$f'(y) = 6 - 2y \quad y=3$$

III

$$4x - x^2 + 5 = f$$

$$y=5 \quad f' = 4 - 2x \quad x=2.$$

IV

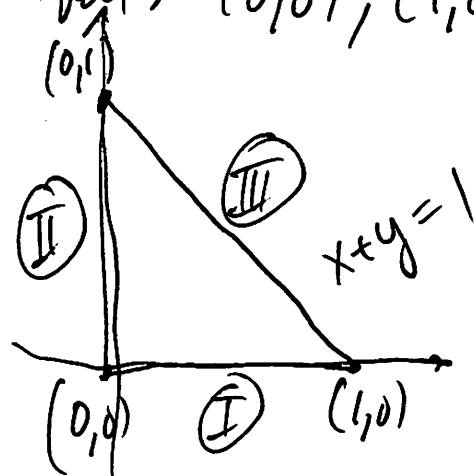
$$x=0$$

$$f = 6y - y^2$$

$$f' = 6 - 2y \quad y=3$$

take these pts, plug into  $f(x,y)$  and find the largest/smallest values. try it!

eg. what if domain is triangle  
with verts  $(0,0), (1,0), (0,1)$



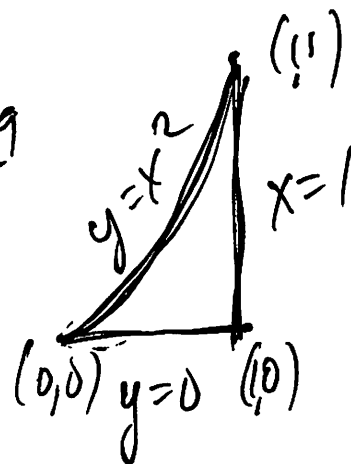
①  $y=0$

②  $x=0$

③  $x+y=1 \Rightarrow y=1-x$

so substitute  $y=1-x$  into  $f$ ,  
just have 1 variable.

eg



subst  $y=x^2$  to deal  
with parabola edge.

for general domain, have  
analogue of 2nd derivative  
test!

$$f_{xx}, f_{xy} = f_{yx}, f_{yy}$$

all contain info we  
need.



We use them in Hessian

$$D = \det \begin{pmatrix} f_{xx} & f_{xy} \\ f_{yx} & f_{yy} \end{pmatrix}$$
$$= f_{xx} f_{yy} - (f_{xy})^2$$

p.g.  $f = 4x + 6y - x^2 - y^2$

$$f_x = 4 - 2x$$

$$f_y = 6 - 2y$$

$$f_{xx} = -2$$

$$f_{yy} = -2$$

$$f_{xy} = f_{yx} = 0$$

$$D = \det \begin{pmatrix} -2 & 0 \\ 0 & -2 \end{pmatrix} = 4 \quad \textcircled{1}$$

2nd Derivative test

compute  $D$  at a critical pt

① if  $D > 0$

- Ⓐ  $f_{xx} > 0 \Rightarrow \underline{\text{Rel Min}}$
- Ⓑ  $f_{xx} < 0 \Rightarrow \underline{\text{Rel Max}}$

② if  $D < 0$ , saddle point

③ if  $D = 0$ , test fails.

① a

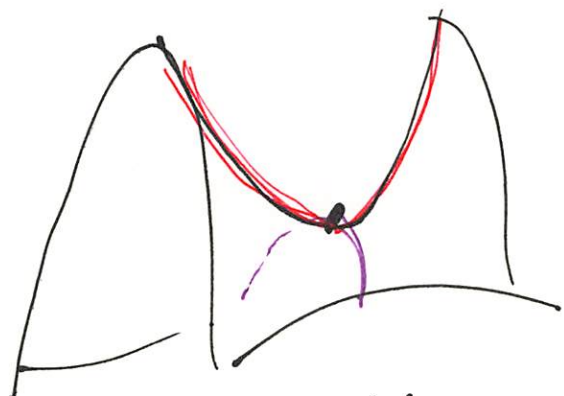


} looks like  
paraboloids.

b



②



looks like origin  
of hyp. parab.

simultaneously appears to  
be a max and a min

can't happen in Calc 1.

⑩