

---

# Procedural Languages

---

CHAPTERS 3 AND 6 OF PROGRAMMING LANGUAGES PRAGMATICS

---

# Procedural Languages

---

- In these languages, computation typically consists of an ordered series of changes to the values of variables
  - Indirectly accessed memory
- Heavy emphasis on control flow
  - Program is a list of instructions
  - In what order should these instructions be executed?

# Key Features

---

- Sequencing
  - Statements should be executed in a specified order, usually specified by the order they appear in the program text
- Selection/Alternation
  - Depending on runtime conditions, a choice is made between two or more expressions or statements
- Iteration
  - A code fragment is executed repeatedly, either a specified number of times or a number of times based on runtime conditions
- Procedural Abstraction
  - A collection of control constructs treated as a single unit, usually subject to parameterization

# Key Features

---

- Recursion
  - Expressions defined in terms of themselves
- Concurrency
  - Two or more program fragments executed “at the same time”
- Exception handling/Speculation
  - A program fragment is executed optimistically, on the assumption that some expected condition will be true
- Nondeterminancy
  - Ordering or choice is sometimes deliberately left unspecified, implying any alternative will lead to correct results

# Key Concepts

---

- Values are read from memory, and used to compute new values that are then written back to memory
  - $x = y + z + w * v$
- **Expressions** are used to produce values
  - Constants, variables, operators, function calls, ect
  - Some expression may have **side effects**, they change the state of memory (some would argue this is a bad idea)
- **Statements** do not produce values, and are used only because of their side effects
  - Classic example, an assignment statement
  - Expressions are **evaluated**, statements are **executed**

# Outline

---

- Expressions
  - **l-values, r-values, pointers, references**
  - Side effects and order of evaluation
- Statements
  - Subroutines and scoping
  - Subroutine calls
  - Call stack/passing parameters
  - Lifetimes and memory management
  - Exceptions

# Values of Expression

---

- Normally, an expression E designates a value
  - This value is referred to the **r-value** of E: if E appears on the right-hand side of an assignment statement, E stands for this value
- But sometimes E designates a location in memory or a reference value
  - This depends on what model of memory the language is using, a **value model** of variables or a **reference model** of variables
  - Used when E appears on the left-hand side of an assignment
  - The l-value of E is that location in memory or that reference
- $d = a;$   
 $a = b + c;$ 
  - In C, if the variable "a" is of type int, the r-value is the int number stored in memory, and the l-value is the chunk of memory (typically 4 bytes) where the number is stored

# Value model vs reference model of memory

---

a 4

b 2

c 2

a  $\longrightarrow$  4

b  $\searrow$   
c  $\nearrow$  2



# Pointers in C/C++

---

- Most values are the usual things: numbers, characters, structures, arrays, ect
- Special kind of values: Pointer values
  - A pointer is a “handle” to a chunk of memory
  - C implements this as the address of the first byte in memory
- Two pointer operators
  - Address of operator &
    - &E gives the l-value of E (the location in memory)
  - Dereference operator \*
    - \*E uses the r-value of E to access the value stored in memory

# Pointers in C/C++

---

```
int x=1, y=2, z[10];  
int *ip;  
ip = &x;  
y = *ip;  
*ip = 0;  
ip = &z[0];  
*ip = *ip + 10;  
y = *ip + 1;  
*ip += 1;
```

# References in Java

---

```
class Rectangle { public double height, width; }
main(...) {
    Rectangle x , y;
    x = new Rectangle(); // 1) Create a Rectangle object in memory
                        // 2) Produce a reference value which is
                        //    a handle to this object
                        // 3) Assign this reference value to x
    y = x;              // Copy the r-value of x
    y.width = 3.14;     // 1) Use the r-value of y to get to the object
                        // 2) Assign based on the l-value of field width
}
```

# Expressions

---

- Elements: variables, constants, function calls, etc
- Operators vs functions
  - Convention is that operators are built-in functions that use special, simple syntax
- Operators and operands
  - Arity: unary, binary, ternary
    - Unary: “++x” or “x++”
    - Binary: “x + y”
    - Ternary: “x ? y : z”
  - Prefix, infix, postfix notation:
    - “+ x y” vs “x + y” vs “x y +”

# Operator precedence and associativity

---

- When using prefix or postfix notation, not a problem
- Using infix notation, consider this Fortran expression (\*\* for exponentiation):  
 $a+b*c**d**e/f$ 
  - How should this be evaluated?
    - $((((a+b)*c)**d)**e)/f$  ?
    - $a+(((b*c)**d)**(e/f))$  ?
    - $a+((b*(c**(d**e)))/f)$  ?

# Operator precedence and associativity

---

- What about this code from Pascal, how should the condition be evaluated?  
if  $A < B$  and  $C < D$  then ....
  - $(A < B)$  and  $(C < D)$  ?
  - $A < (B \text{ and } C) < D$  ?
- What about all the other operators languages introduce?
  - C has 45 if I counted correctly
  - Whenever you aren't sure, use parentheses

# Operator precedence and associativity

---

- Another fun example:
- In C, how is `a-b-c-d` evaluated?
  - `((a-b)-c)-d` or `a-(b-(c-d))` ?
- In C, how is `a=b=c=d` evaluated?
  - `((a=b)=c)=d` or `a=(b=(c=d))` ?

# Operator precedence and associativity

---

- Another fun example:
- In C, how is `a-b-c-d` evaluated?
  - `((a-b)-c)-d` or `a-(b-(c-d))` ?
- In C, how is `a=b=c=d` evaluated?
  - `((a=b)=c)=d` or `a=(b=(c=d))` ?



# Functions

---

- Built-in or programmer-defined
  - Example: Math library in C provides **double log(double x)**
  - Typically use prefix notation, function identifier first and then function arguments follow, contained in parentheses
    - **pow(e1, e2)** where **pow** is the function name, **e1** and **e2** are arguments
  - Typically, functions should not have side effects

# Outline

---

- Expressions
  - l-values, r-values, pointers, references
  - **Side effects and order of evaluation**
- Statements
  - Subroutines and scoping
  - Subroutine calls
  - Call stack/passing parameters
  - Lifetimes and memory management
  - Exceptions

# Side effects of expression evaluation

---

- Referential transparency
  - Can we replace an expression with the r-value of this expression?
    - This is a desirable property
  - Consider:  
x = 5; y = 1 + x++; if (y == x) printf("OK");  
vs  
x = 5; y = 1 + 5; if (y == x) printf("OK");  
If we replace the expression x++ with the value 5, the behavior changes
  - Referential transparency is not possible when we have side effects
- Expression in C
  - Operators = ++ -- += and others all have side effects

# Side effects and order of evaluation

---

- The presence of side effects must be considered when defining the order of evaluation, defining precedence and associativity is not enough
- In this expression  
 **$a - f(b) - c * d$** 
  - Will  **$f(b)$**  be evaluated before or after  **$a$** ?
  - Will  **$a - f(b)$**  be evaluated before or after  **$c * d$** ?
  - What if  **$f(b)$**  has side effects that could change  **$a$** ,  **$c$** , or  **$d$** ?

# Side effects and order of evaluation

---

- We need a defined order for operands and function arguments to be evaluates
  - To clarify the behavior in the presence of side effects
  - To enable compiler optimizations:  
When evaluating the expression  $a - f(b) - c * d$ , computing  $c*d$  before  $f(b)$  requires a register to remember the value during the call to  $f(b)$ , this may be bad for performance
- C does not specify an order for operands/arguments (aim: performance)
- Java does specify this order (aim: correctness)

# Outline

---

- Expressions
  - l-values, r-values, pointers, references
  - Side effects and order of evaluation
- Statements
  - **Subroutines and scoping**
  - Subroutine calls
  - Call stack/passing parameters
  - Lifetimes and memory management
  - Exceptions

# Statements

---

- Assignment statements
- Control flow
  - Selection statements: if-then-else, switch, ect
  - Iteration statements: while, do-while, for, ect
  - Jump statements: goto, return, break, ect
- Unstructured control flow: goto allows arbitrarily complex behavior, but leads to “bad” code
- Structured control flow: Use standard “clean” abstractions such as if-then-else, while, ect

# Subroutines

---

- Subroutines, procedures, functions, methods, ...
- For our purposes:
  - Subroutine is the general term
  - Procedure: a subroutine that does not returns a value
  - Function: a subroutine that returns a value
  - Method: a subroutine included in a class definition
- Some people use “procedure” as the general term
  - Hence the Procedural Languages: Imperative languages in which procedures are a major abstraction (C, Fortran, ect)
  - Procedural abstraction: A collection of statements is abstracted by
    - Name
    - List of formal parameters
    - A return value (optional)



# Basic mechanism of subroutines

---

- A **caller** makes a call
  - The caller provides arguments (**actual parameters**), generally expressions that are evaluated immediately before the call
- Parameters are passed
  - The actual parameters are “mapped” to the **formal parameters**
  - Several ways of doing this
- Memory is allocated for the formal parameters and the local variables of the called subroutine (the **callee**)
- The flow of control enters the called subroutine
- When the callee is done, control returns to the caller
  - Or not, if there is an error/excepetion

# Scopes in procedural languages

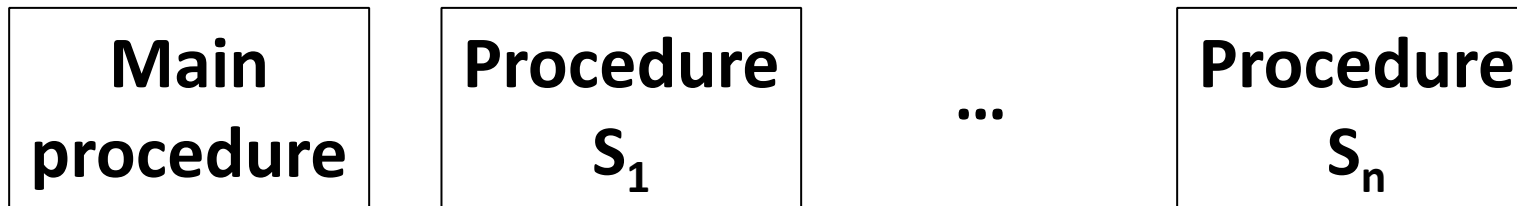
---

- Which entities (variables, subroutines, ...) are accessible in which parts of a program? What is their lifetime?
- Identifiers are bound to entities
- The **textual region** of the source code in which a binding is active is the **scope** of the binding

# Scopes in procedural languages

---

- Basic – Only global scope, limited number of variables
- Fortran – Global and local scope
  - A Fortran program is a set of procedures
    - Procedure names are visible everywhere
    - Local variables are visible only in the declaring procedure
    - Global variables are visible everywhere



- In the C family of languages, scopes are delimited with { ... }

# Referencing environment

---

- The **referencing environment** for a given point program execution is the set of active bindings
- Creating the referencing environment: Static vs Dynamic Scoping
- **Static Scoping** (C, C++, Java, ...): Bindings between names and entities are determined at compile time, by examining the text of the program
  - No consideration of the runtime flow of control
  - The current binding for a given name is found in the matching declaration most closely surrounding a given point in the program
- **Dynamic Scoping** (Lisp, Perl, Bash, ...): Bindings between names and entities are determined at runtime
  - Depend on the flow of control
  - Bindings are maintained on a stack, the current binding is found by searching from the top of the stack

# Referencing environment

---

```
int n
procedure first()
    n = 1
procedure second()
    int n
    first()
procedure main()
    n=2
    if read() > 0
        second()
    else
        first()
    write(n)
```

- Static Scoping
  - This program always writes 1
- Dynamic Scoping
  - This program writes 2 if read() > 0, 1 otherwise
  - Why?

# Static scope rules

---

- Algol, Pascal, C, C++, Java, C#, ...
- Entities accessible in a scope:
  - Those declared in that scope
  - Those declared in the surrounding scope, minus those with name conflicts
  - Those declared in scopes surrounding that scope, minus those with name conflicts
  - ...
- A name declared in an inner scope “hides” a name declared in a surrounding scope

# C++ example

---

```
class Point {
public: Point(double x, double y);
virtual void print(); virtual void add(Point* q);
private: double x,y;
}

Point::Point(double x, double y) { this->x = x; this->y = y; }

void Point::print() { cout<<x<<" "<<y<<endl; }

void Point::add(Point* q) {
    q->print();
    {
        Point *q = new Point(100.0,100.0);
        this->x += q->x; this->y += q->y;
    }
    this->x += q->x; this->y += q->y;
}

int main(void) {
    Point* p1 = new Point(1.0,1.0); p1->print();
    Point* p2 = new Point(2.0,2.0); p1->add(p2); p1->print();
    return 0;
}
```

# C++ example

---

```
class Point {
public: Point(double x, double y);
virtual void print(); virtual void add(Point* q);
private: double x,y;
}

Point::Point(double x, double y) { this->x = x; this->y = y; }

void Point::print() { cout<<x<<" "<<y<<endl; }

void Point::add(Point* q) {
    q->print();
    {
        Point *q = new Point(100.0,100.0);
        this->x += q->x; this->y += q->y;
    }
    this->x += q->x; this->y += q->y;
}

int main(void) {
    Point* p1 = new Point(1.0,1.0); p1->print();
    Point* p2 = new Point(2.0,2.0); p1->add(p2); p1->print();
    return 0;
}
```

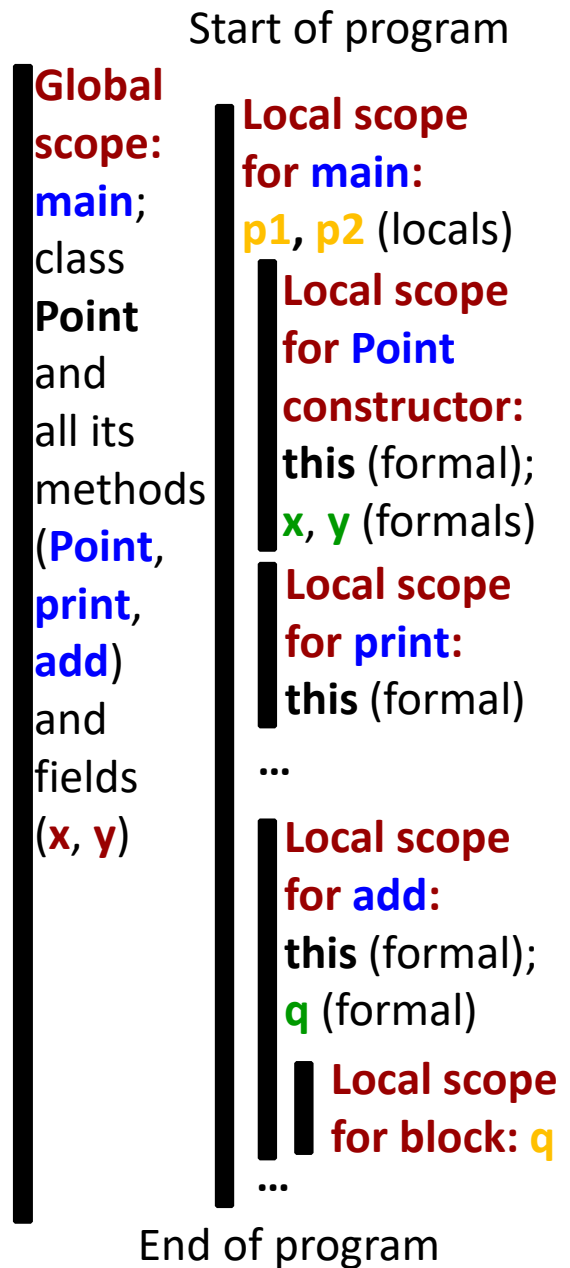
- At compile time, we consider the scopes and their nesting
  - Determine which entities (variables, ect) are accessible in which parts of the code
  - Additional restrictions on accessibility may be imposed with “access modifiers”
    - For example private, protected, ect
- At run time, each scope has a lifetime
  - Anything declared in a particular scope becomes alive at the start of the scope, and dies at the end of the scope



```

class Point {
    public: Point(double x, double y);
           virtual void print(); virtual void add(Point* q);
    private: double x,y;
};
Point::Point(double x, double y) { this->x = x; this->y = y; }
void Point::print() { cout<<x<<" "<<y<<endl; }
void Point::add(Point* q) {
    q->print();
    {
        Point *q = new Point(100.0,100.0);
        this->x += q->x; this->y += q->y;
    }
    this->x += q->x; this->y += q->y;
}
int main(void) {
    Point* p1 = new Point(1.0,1.0); p1->print();
    Point* p2 = new Point(2.0,2.0); p1->add(p2); p1->print();
    return 0; }

```



# Static Scoping: Declaration Order

---

- Can an expression E refer to any name declared in the current scope, or only names that are declared before E in that scope?
  - Is a **forward reference** allowed?
- Early Pascal rules:
  - Names must be declared before they are used
  - The scope of a declaration is the surrounding block
- Early rules imply a semantic error here
  - Later versions of Pascal allow this, the scope goes from the declaration to the end of the block

```
program scoping;  
  const N = 10;  
  procedure foo;  
    const  
      M = N;  
      N = 20;  
  begin  
    writeln('Value of M:', M);  
  end;  
begin  
  foo();  
end.
```

# Try these C# and Java examples

---

## C#

```
using System;

class A {
    int N = 10;
    void foo() {
        int M = N;
        int N = 20;
    }
}

class MainClass {
    public static void Main (string[] args) {
        Console.WriteLine ("Hello World");
    }
}
```

## JAVA

```
class A {
    int N = 10;
    void foo() {
        int M = N;
        int N = 20;
    }
}

class Main {
    public static void main(String[] args) {
        System.out.println("Hello world!");
    }
}
```

# Outline

---

- Expressions
  - l-values, r-values, pointers, references
  - Side effects and order of evaluation
- Statements
  - Subroutines and scoping
  - **Subroutine calls/Call Stack**
  - Passing parameters
  - Lifetimes and memory management
  - Exceptions

# Storage Allocation Mechanisms

---

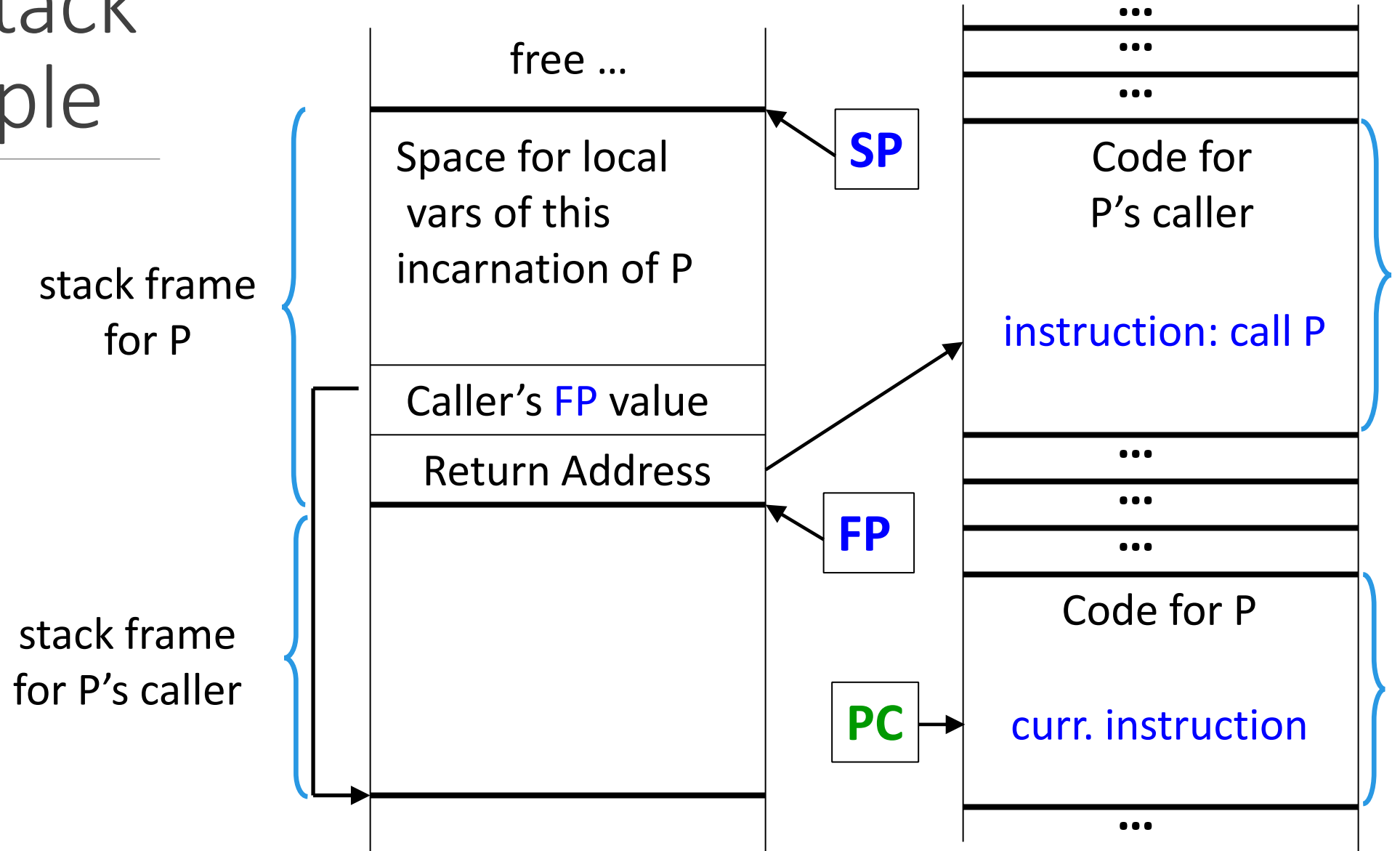
- Static/Global
  - Entities are given an absolute address that is retained throughout program execution
- Stack
  - Entities are allocated and deallocated in last-in, first-out order
  - Allocation typically happens at well defined points in execution (subroutine calls and returns)
- Heap
  - Entities may be allocated and deallocated at arbitrary times
  - This requires an expensive space management algorithm

# Run-time Call Stack

---

- When a procedure P begins execution:
  - A stack frame/activation record for that instance of P is created on the stack
    - The intent is to create space for local variables, but many additional values will go in the frame
  - During the execution of this instance of P, the frame pointer (FP) will contain the starting address of the frame for this instance of P
  - The stack pointer (SP) will contain the address of the location immediately beyond this frame, the start of “free space” on the stack
  - In many modern systems, FP and SP are reserved registers
- When this instance of P finishes execution:
  - Control returns to the caller
  - The record is “popped” off the stack

# Call Stack Example



# Compile-time Code Generation

---

- What code does the compiler need to produce to make this work?
  - Need code to allocate a frame
  - Need code to change FP, SP, PC (need to save these values?)
  - Need code to save values (i.e. registers) that are in danger of being overwritten and will be needed later
  - Need code to pass parameters
  - Need code to handle the return value
  - Need code to pop the frame and change FP, SP, PC
  - Need code to restore the saved registers
- Can the caller do all of this, or should these tasks be divided up?



# Compile-time Code Generation

---

- Modern languages are designed to support **separate compilation**
  - Code divided into modules
  - Modules can be compiled at different times
  - A change in one module should not require recompiling another module
- This makes it necessary to divide the tasks between caller and callee
  - If caller was responsible for allocating the frame then the caller would need to be recompiled anytime the local variables in the callee are changed
  - If the callee is responsible for putting the return value then the callee would need to be recompiled anytime the variable receiving the return value in the caller is changed

# Calling Sequences

---

- We call the code responsible for maintaining the call stack the **calling sequence**
- The calling sequence includes:
  - Code executed by the caller immediately before the subroutine call
  - The prologue of the callee – code at the beginning of the subroutine
  - The epilogue of the callee – code at the end of the subroutine
  - Code executed by the caller immediately after the subroutine call
- Over the next few slides I will go over one **possible** way of structuring the calling sequence and dividing the tasks between caller and callee – but this is not the only way of doing this

# (Possible) Calling Sequence

---

- Caller: Immediately before the subroutine call
  - Save any registers the caller will need after the subroutine call returns
  - Compute the values of arguments and move them into the stack or reserved registers
    - Include as an extra, hidden parameter the callers FP value
  - Use a special instruction to jump the PC to the prologue of the callee
    - Somehow pass along the return address (for PC, not for return value)
- Callee: Prologue
  - Allocate a frame by modifying the value of SP
  - Save old FP value to the stack, update FP with new value
  - (Optional) save any registers that might be overwritten by the callee

# (Possible) Calling Sequence

---

- Callee: Execute subroutine body
- Callee: Epilogue
  - Moves the return value into some reserved location
  - Restores registers if needed
  - Restores FP and SP
    - This deallocates/pops the record off the stack
  - Jump the PC back to the return address
- Caller: Immediately after the subroutine call
  - Moves the return value from reserved location to where it is needed
  - Restores registers if needed

# Maintaining the Call Stack, Passing Control

---

- **MEM** is the memory – think of it as an array
- Caller
  - Save the return address:  $\text{MEM}[\text{SP}] = \text{PC} + 4$  (assuming 4 byte instructions)
  - Save the start location of callers **FP**:  $\text{MEM}[\text{SP} + 4] = \text{FP}$
  - Pass control to callee:  $\text{PC} = \text{address of first instruction of callee}$
- Callee
  - Prologue: Allocate the frame:  $\text{FP} = \text{SP}$  and  $\text{SP} = \text{SP} + n$ , where  $n$  is the size needed for the frame
  - Epilogue: Deallocate the frame:  $\text{SP} = \text{FP}$ ,  $\text{FP} = \text{MEM}[\text{FP} + 4]$ ,  $\text{PC} = \text{MEM}[\text{SP}]$

# Calling Sequence Example

---

```
void fib(int n) {  
    int result;  
    if (n < 2) {  
        result = n;  
    } else {  
        result = fib(n-1) + fib(n-2);  
    }  
}  
  
void main() {  
    int n=3;  
    fib(n);  
}
```

# Outline

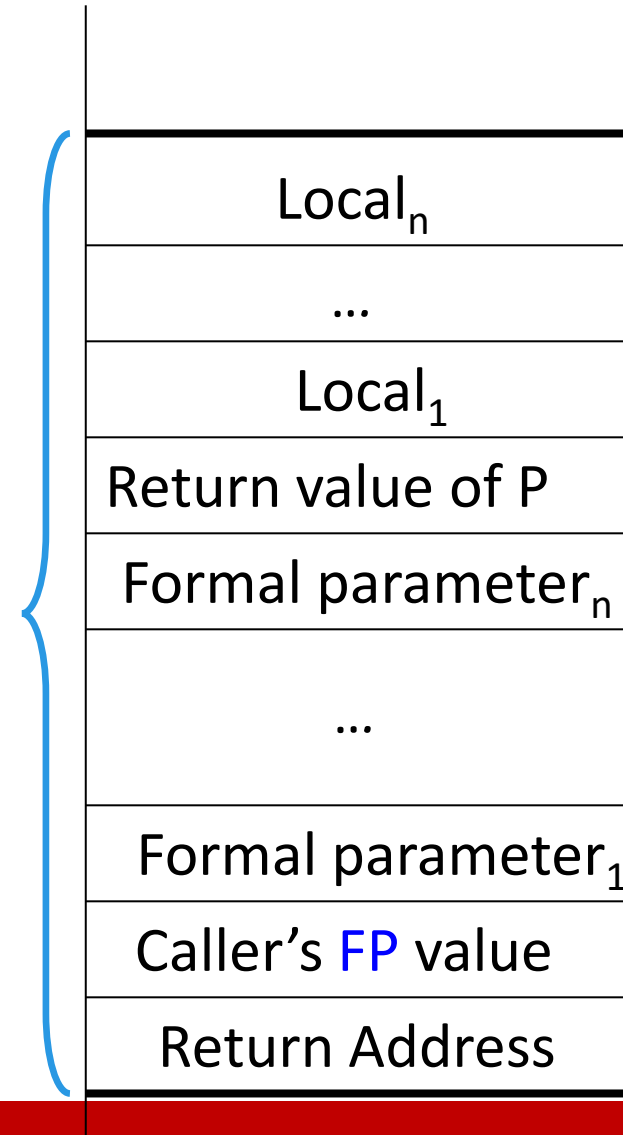
---

- Expressions
  - l-values, r-values, pointers, references
  - Side effects and order of evaluation
- Statements
  - Subroutines and scoping
  - Subroutine calls/Call Stack
  - **Passing parameters**
  - Lifetimes and memory management
  - Exceptions

# Call Stack: Parameters and Returns

- The callee stores formal parameters and return values at offsets of the callees FP value that are known at compile time
- The caller can access them using the callers SP value before and after the call (even though technically that space is “deallocated”)

frame  
for P





# Parameter Passing Modes

---

- **Formal parameters** are the **variables** that appear in the subroutine declaration to refer to the input of the subroutine
- The **variables and expressions** used in a call to the subroutine are the **actual parameters**
- How to map actual parameters to formal parameters depends on our model of variables
  - **Value model**: Variables are containers that hold values
  - **Reference model**: Variables are references (a link) to values

# Parameter Passing Modes

---

- **Call-by-value**: C, Pascal, C++, Java, C#, ...
  - The formal parameter is essentially a local variable initialized with the actual parameter
  - The actual parameter may or may not have an l-value
    - `int n=3; fib(n); fib(3);`
- **Call-by-sharing**: Java, Lisp, Ruby, C#, ...
  - The parameter is a new reference to the value of the actual parameter; the value is shared
  - The actual parameter may or may not have an l-value
- **Call by reference**: C++, Pascal, Fortran, C#, ...
  - The formal parameter introduces a new name for the actual parameter
  - The actual parameter must have an l-value

# Outline

---

- Expressions
  - l-values, r-values, pointers, references
  - Side effects and order of evaluation
- Statements
  - Subroutines and scoping
  - Subroutine calls/Call Stack
  - Passing parameters
  - **Lifetimes and memory management**
  - Exceptions

# Lifetimes and Memory Management

---

- Static Allocation: Addresses determined once and retained throughout the execution of the program
  - Global variables in C, Pascal, ect
  - static fields in C++, Java, ect
  - Local variables in languages without recursion
    - For example early versions of Fortran
  - static local variables in C
  - Large constants
    - Not small constants? What is the cutoff?

# Lifetimes and Memory Management

---

- Stack based allocation: Address determined when the call happens, lifetime ends when the call ends
  - Necessary for local variables in languages with recursion
  - Relative address within the stack frame is determined at compile time
- Heap based allocation: Space allocated and deallocated at arbitrary times
  - Deallocation can be manually done by the programmer
  - Garbage collections algorithms can automate the deallocation
  - C: `A* a = (A*)malloc(sizeof(A)); .... free(a);`
  - C++: `A* a = new A(); .... delete a;`
  - Java: `A a = new A(); ....` garbage collector decides when to deallocate

# Outline

---

- Expressions
  - l-values, r-values, pointers, references
  - Side effects and order of evaluation
- Statements
  - Subroutines and scoping
  - Subroutine calls/Call Stack
  - Passing parameters
  - Lifetimes and memory management
  - **Exceptions**

# Exceptions

---

- What do we do with “exceptional situations”?
  - Try to open a file but the file does not exist
  - Try to send a byte over a network socket but the connection was dropped
  - Try to allocate new memory (through malloc in C or new in C++/Java/C#) but we have run out of memory
  - Division by zero, use of null pointer/reference, ect
- Ad hoc solutions
  - Use a special return value to signify failure
    - For many C functions a return of 0 or -1 signifies an error
  - Set some global error flag
    - In C have the errno flag, which is just a global int
    - A call `sqrt(-1)` will return NaN (special float value for “not a number”) and will set errno to EDOM (an integer error code for “argument not in the domain of the function”)
  - Pass a closure (self contained block of instructions) to handle an error (not all language support this)

# C Example

---

```
#include <stdio.h>
int main ()
{
    FILE* pFile;
    pFile=fopen("myfile.txt","r"); /* possible problem */
    if (pFile==NULL)
        perror ("Error opening"); /* perror prints a message based on errno */
    else {
        fputc ('x',pFile);
        if (ferror (pFile))
            printf ("Error writing to myfile.txt\n");
        fclose (pFile);
    }
    return 0;
}
```



# Java Example

---

```
import java.io.*;
class Main {
    public static void main(String[] args) {
        FileReader file = null;
        char c;
        try {
            file = new FileReader("myfile.txt"); // may throw FileNotFoundException
            c = (char) file.read(); // may throw IOException
            System.out.println("char: " + c);
        } catch (FileNotFoundException e) {
            System.err.println("Error opening");
        } catch (IOException e) {
            System.err.println("Error reading from myfile.txt");
        } finally {
            if (file != null) try { file.close(); } catch (IOException e) { }
        }
    }
}
```