# **Concurrency and Parallelism CSE 3341**

Reading:

**Chapter 13 in 4th Edition** 

#### **Outline**

- Motivation & examples
- Threads, shared memory, & synchronization
  - How do locks work?
- Data races (a lower-level property)
- How do data race detectors work?
- Atomicity (a higher-level property)
- Concurrency exceptions & Summary

#### Extra:

Double-checked locking

#### **Motivation**

- A program is concurrent if it may have more than one active execution context – "threads"
  - A thread is the smallest sequence of programmed instructions that can be managed independently by the OS scheduler
- We do this to
  - Capture the logical structure of a program
  - Exploit parallelism for speed
  - Cope with physical distribution

# Concurrent vs Parallel vs Distributed

- A concurrent system is **parallel** is more than one task can be physically active at once (this requires >1 processor)
- A parallel system is distributed if processors/devices are physically separated in the real world
- Parallelism vs switching is a performance and implementation issue, not a semantic one

# Hardware becoming more parallel (more instead of faster cores)

Software must become more parallel

"From my perspective, parallelism is the biggest challenge since high-level programming languages. It's the biggest thing in 50 years because industry is betting its future that parallel programming will be useful.

"Industry is building parallel hardware, assuming people can use it. And I think there's a chance they'll fail since the software is not necessarily in place. So this is a gigantic challenge facing the computer science community. If we miss this opportunity, it's going to be bad for the industry."

—David Patterson, ACM Queue interview, 2006

# **Shared-memory programming**

- Imperative programs
  - Java, C#, C, C++, Python, Ruby
- Threads
  - Shared, mutable state
  - Synchronization primitives:
    - Lock acquire & release
    - Monitor wait & notify
    - Thread start & join

## What are the possible behaviors?

```
int x = 1;
```

```
      T1:
      T2:

      t = x;
      t = x;

      t = t + 1;
      t = t + 1;

      x = t;
      x = t;
```

# **Atomicity & determinism**

int x = 1;

```
T1:

synchronized (m) {
    t = x;
    t = t + 1;
    x = t;
}
synchronized (m) {
    t = x;
    t = t + 1;
    x = t;
}
```

# What are the possible behaviors?

```
int x = 1;
```

```
T1: \frac{T2}{t}:

t = x;

t = x;

t = t + 1;

x = t;

x = t;
```

# **Atomicity (still nondeterminism)**

```
int x = 1;

<u>T1</u>:

synchronized (m) {
    t = x;
    t = t + 1;
    x = t;
}
synchronized (m) {
    t = x;
    t = t * 2;
    x = t;
}
```

# What are the possible behaviors?

```
Initially:
        int data = 0;
        boolean flag = false;

Initially:
        int data = 0;
        boolean flag = false;

Initially:
        int t = data;
        print(t);
        }
```

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# Threads, shared memory, & locks

#### Each thread:

- has its own stack
- shares memory with other threads in same process (same virtual address space)

Compiler compiles code as though it were singlethreaded!

Synchronization operations (e.g., lock acquire and release) order accesses to shared memory, providing:

- mutual exclusion
- ordering and visibility

```
T1:

synchronized (m) {
    t = x;
    t = t + 1;
    x = t;
}
synchronized (m) {
    t = x;
    t = t * 2;
    x = t;
}
```

int x = 1;

```
T1:

acquire(m);
    t = x;
    t = t + 1;
    x = t;
release(m);

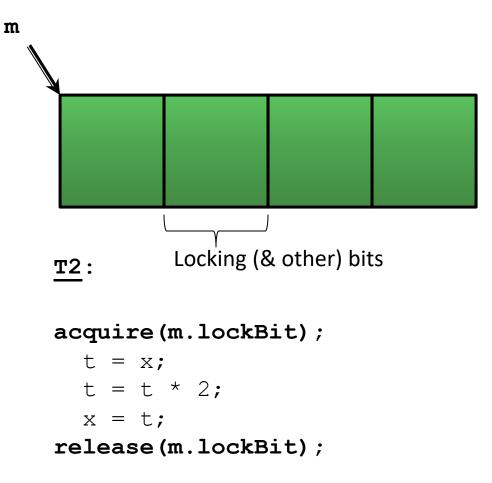
T2:

acquire(m);
    t = x;
    t = x;
    t = t * 2;
    x = t;
release(m);
```

int x = 1;

```
int x = 1;
```

```
T1:
acquire(m.lockBit);
    t = x;
    t = t + 1;
    x = t;
release(m.lockBit);
```



```
int x = 1;
```

Possible implementation of locks?

```
int x = 1;
```

```
T1:
T2:
while (!TAS(m.lockBit,0,1)) {}
t = x;
t = t + 1;
x = t;
m.lockBit = 0;
while (!TAS(m.lockBit,0,1)) {}
t = x;
t = x;
t = t * 2;
x = t;
m.lockBit = 0;
```

Need an atomic operation like test-and-set (TAS)

```
int x = 1;
```

```
T1:

while (!TAS(m.lockBit,0,1)) {}
  t = x;
  t = t + 1;
  x = t;

memory_fence;
m.lockBit = 0;
while (!TAS(m.lockBit,0,1)) {}
  t = x;
  t = x;
  t = t * 2;
  x = t;

memory_fence;
m.lockBit = 0;
```

- Fence needed for visibility (related to happens-before relationship, discussed later)
- Also: compiler obeys "roach motel" rules: can move operations into but not out of atomic blocks

```
int x = 1;
```

```
T1:

while (!TAS(m.lockBit,0,1)) {}
  t = x;
  t = t + 1;
  x = t;

memory_fence;
m.lockBit = 0;
while (!TAS(m.lockBit,0,1)) {}
  t = x;
  t = t * 2;
  x = t;

memory_fence;
m.lockBit = 0;
```

- Java locks are reentrant, so more than one bit is actually used (to keep track of nesting depth)
- Also, spin (non-blocking) locks are converted to blocking locks if there's contention

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**Energy Management System** Alarm and Event Processing Routine (1 MLOC) http://www.securityfocus.com/news/8412

- Energy Management System
  - Alarm and Event Processing Routine (1 MLOC)

- Post-mortem analysis: 8 weeks
  - "This fault was so deeply embedded, it took them weeks of poring through millions of lines of code and data to find it." —Ralph DiNicola, FirstEnergy

- 003/43/7644
- Race condition
  - Two threads writing to data structure simultaneously
- Usually occurs without error
  - Small window for causing data corruption

http://www.securityfocus.com/news/8412

2003

#### What is a data race?

- Two accesses to same variable
- At least one is a write

Not well-synchronized (not ordered by *happens-before* relationship)

Or: accesses can happen simultaneously

# **DRF0-based memory models**

Modern language **memory models** (via compiler+hardware) guarantee the following relationship:

Data race freedom → Sequential consistency

However: Data race → Weak or undefined semantics!

Sequential consistency: instructions appear to execute in an order that respects program order

```
Initially:
    int data = 0;
    boolean flag = false;

T1:

data = 42;
flag = true;

int data = 0;

boolean flag = false;

if (flag)
    t = data;
```

```
Initially:
        int data = 0;
        boolean flag = false;

T1:

data = 42;
flag = true; = = = = = = = = = = = = = = t = (flag)
        t = data;
```

#### Possible behavior

```
Initially:
                int data = 0;
               boolean flag = false;
                                    T2:
T1:
flag = true;
                                    if (flag)
                                      t = data;
data = 42;
```

#### Possible behavior

```
Initially:
               int data = 0;
               boolean flag = false;
                                    T2:
T1:
                                    t2 = data;
data = 42;
flag = true;
                                    if (flag)
                                      t = t2;
```

```
Initially:
               int data = 0;
               boolean flag = false;
T1:
data = ...;
synchronized (m) {
  flag = true;
                                   boolean f;
                                   synchronized (m) {
                                     f = flag;
                                   if(f)
                                      \dots = data;
```

```
Initially:
                int data = 0;
               boolean flag = false;
                                    T2:
T1:
data = ...;
acquire(m);
  flag = true;
                                    boolean f;
release(m);
                 Happens-before
                                    acquire(m);
                 relationship
                                      f = flag;
                                    release (m);
                                    if (f)
                                       \dots = data;
```

```
Initially:
                int data = 0;
               volatile boolean flag = false;
T1:
data = ...;
flag = true;
               Happens-before
               relationship
                                   if (flag)
                                      \dots = data;
```

```
Initially:
    int data = 0;
    boolean flag = false;

T1:

data = 42;
flag = true;

Initially:
    int data = 0;
    whole (!flag) { }
    print(data);
```

```
Initially:
               int data = 0;
               boolean flag = false;
                                    T2:
T1:
data = 42;
                                   boolean f;
flag = true;
                                    do {
                                      f = flag;
                                    } while (!f);
                                    int d = data;
                                   print(d);
```

```
Initially:
               int data = 0;
               boolean flag = false;
                                    T2:
T1:
flag = true;
                                   boolean f;
                                    do {
                                      f = flag;
                                    } while (!f);
                                    int d = data;
                                   print(d);
data = 42;
```

```
Initially:
               int data = 0;
               boolean flag = false;
                                    T2:
T1:
                                    int d = data;
data = 42;
                                   boolean f;
flag = true;
                                    do {
                                      f = flag;
                                    } while (!f);
                                   print(d);
```

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#### Extra:

Double-checked locking

- Two accesses to same variable (one is a write)
- One access doesn't happen before the other
  - Program order
  - Synchronization order
    - Acquire-release
    - Wait-notify
    - Fork-join
    - Volatile read-write

- Two accesses to same variable (one is a write)
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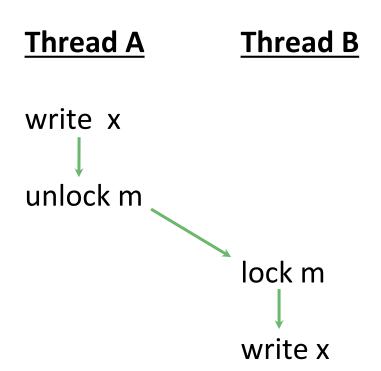
Thread A

**Thread B** 

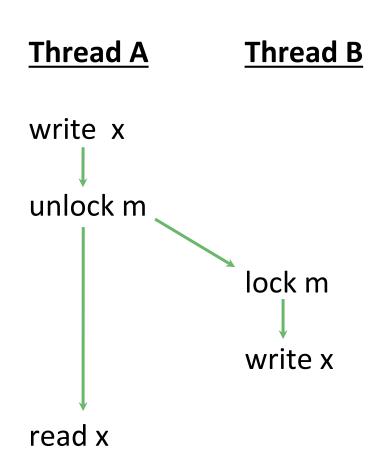
write x

unlock m

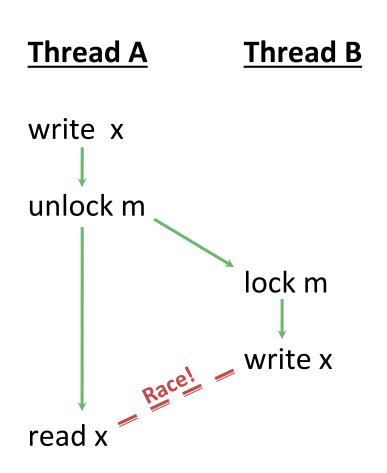
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  - Program order
  - Synchronization order
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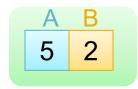
# Data Race Detection: Possible Strategies

How do dynamic data race detectors work?

- Vector clocks: check happens-before
- Collision analysis: simultaneous conflicting accesses
- Lockset: assume and check locking discipline

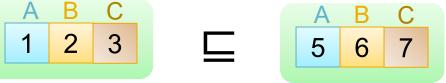
#### What is a Vector Clock?

- Each thread T maintains its own logical clock 'c'
  - Initially, c = 0 when T starts
  - Incremented at synchronization release operations
    - unlock m, volatile write
- Vector clock is a vector of logical clocks



# Vector Clock and Happens Before

$$V_1 \sqsubseteq V_2$$
 iff  $\forall t \ V_1(t) \leq V_2(t)$ 

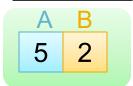


true

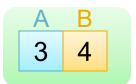
true

false

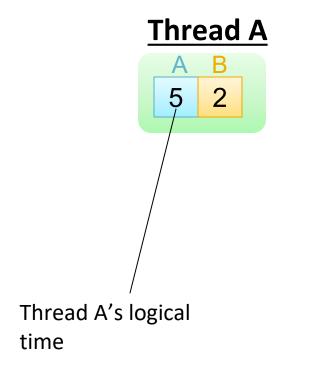


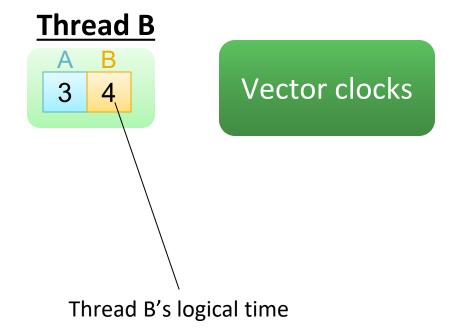


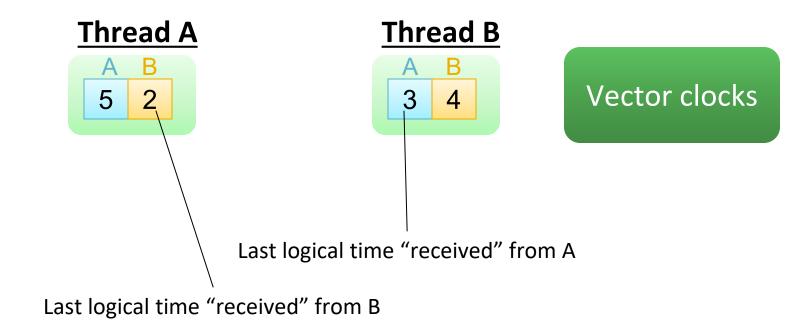
#### **Thread B**



Vector clocks







#### **Thread A**

A B 5 2

5 2 write x

#### **Thread B**

A B 3 4



A B 5 2

5 2 write x

unlock m 5

6

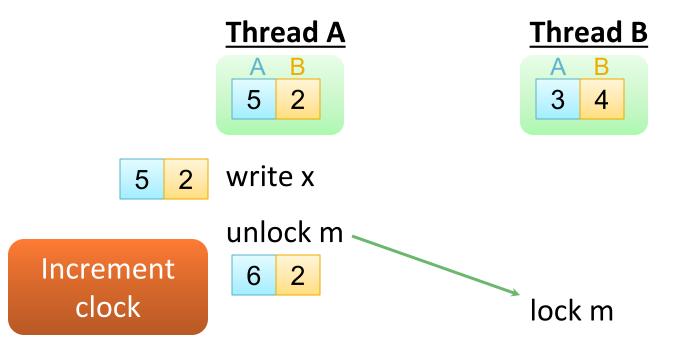
2

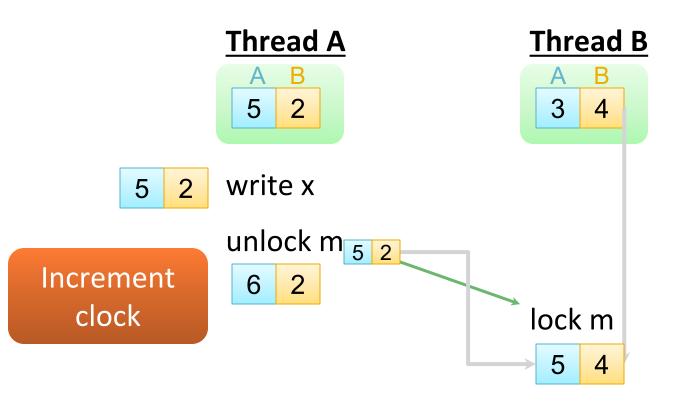
5 2

Increment clock

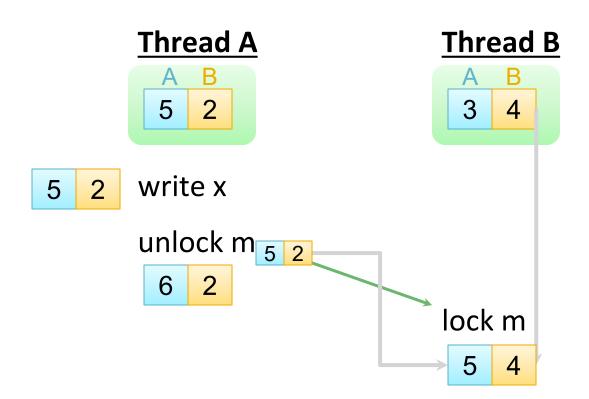
Thread B

A B 3 4



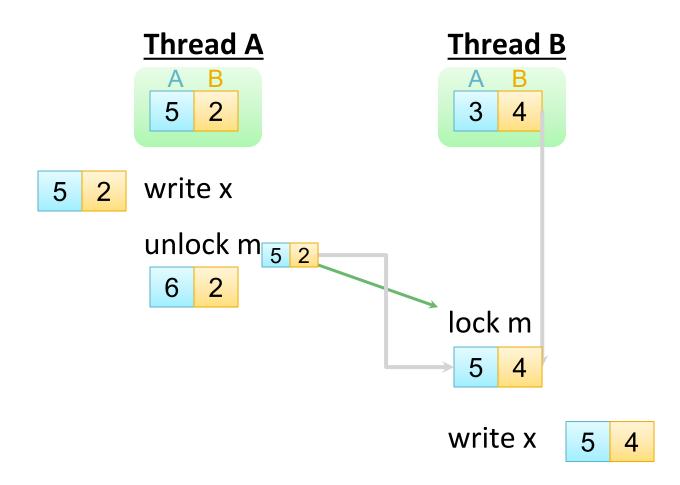


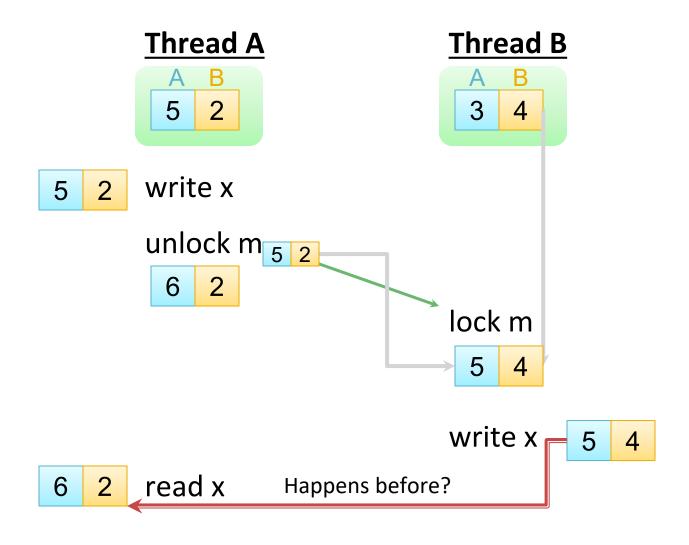
Join clocks

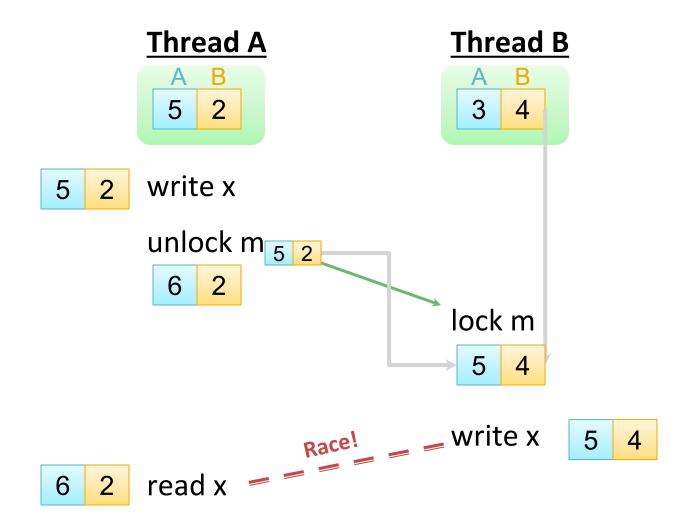


O(n) time

n = # of threads







# Soundness and Precision

#### Soundness

 No false negatives, i.e., all data races in that execution will be reported.

#### Precision

 No false positives, i.e., all reports are true data races.

These are not standard terms across all domains, e.g., architects might refer to these properties as complete and sound.

- Tracks happens-before: sound & precise
  - 80X slowdown
  - Each analysis step: O(n) time

(n = # of threads)

- FastTrack [Flanagan & Freund '09]
  - Reads & writes (97%): **O(1)** time
  - Synchronization (3%): O(n) time
  - 8X slowdown

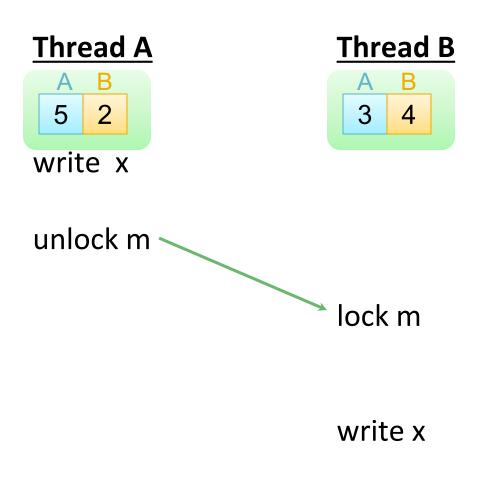
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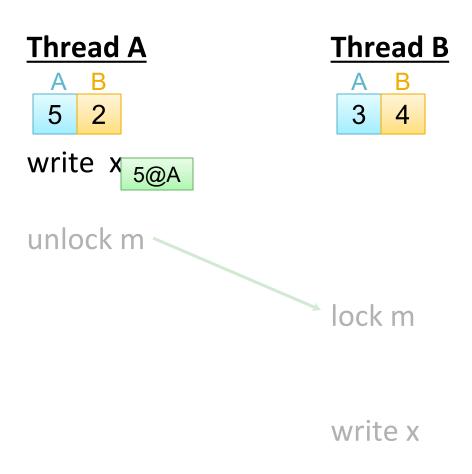
(n = # of threads)

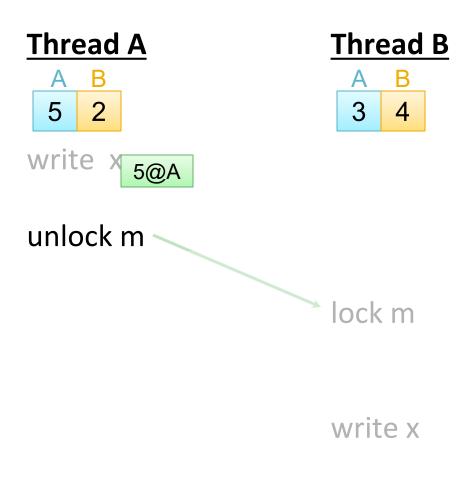
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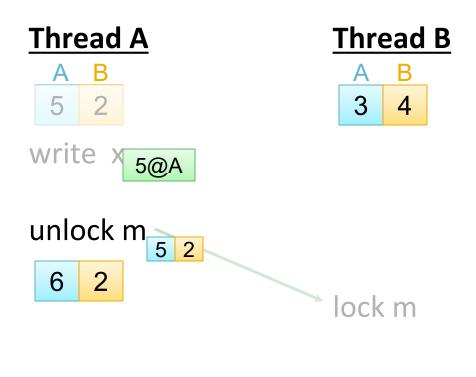
# FastTrack's Insight

- In a data-race-free (DRF) program, writes are totally ordered
  - Can maintain only the "last" writer

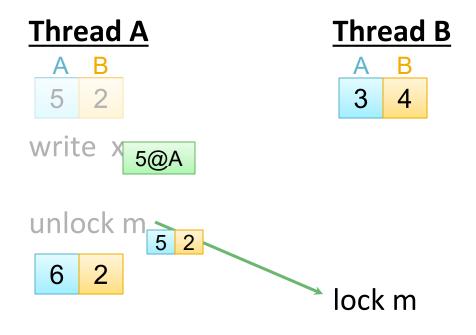




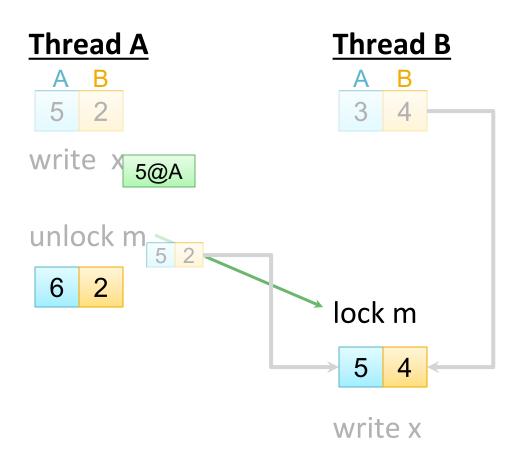


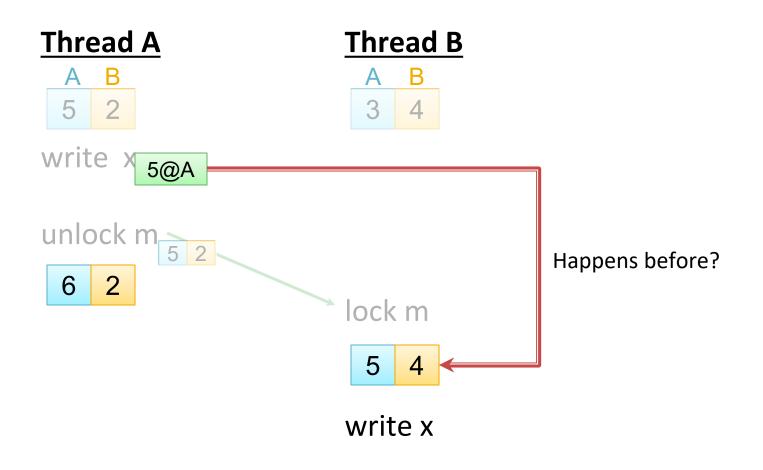


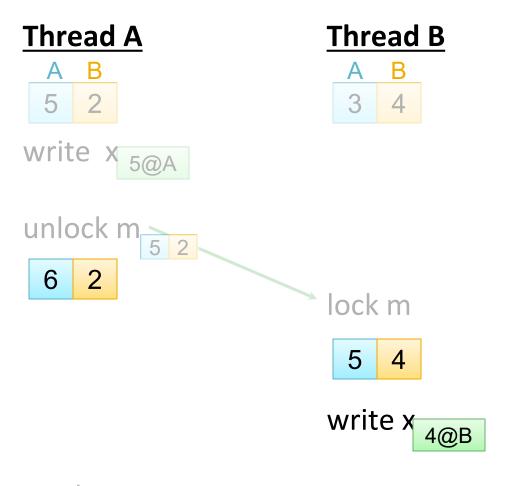
write x



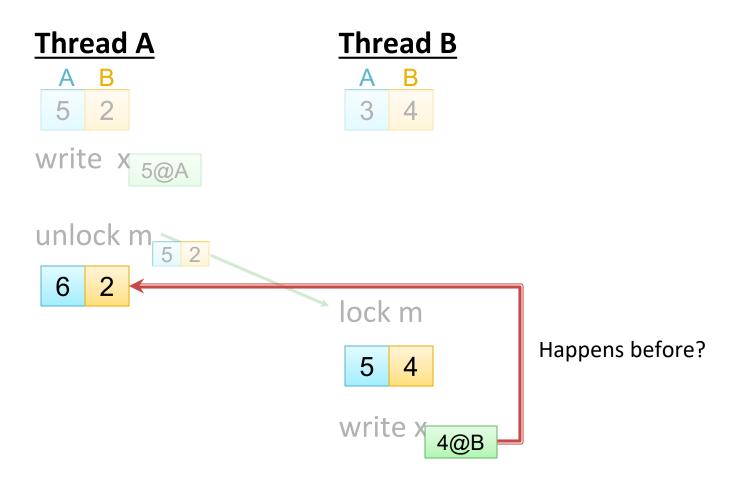
write x





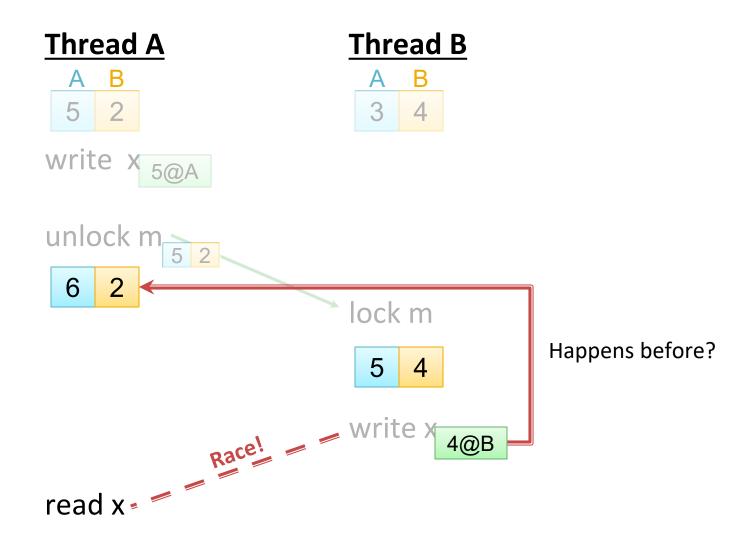


### **Vector Clock-Based Race Detection**



read x

### **Vector Clock-Based Race Detection**



### **Collision analysis**

Basic idea: Make two conflicting accesses happen at the same time

- (1) Pause one thread at memory access to x
- (2) Catch other threads that access x (in a conflicting way) in the meantime

Can be automatic (with instrumentation or hardware) or manual (programmer adds code)

### What is a Lockset?

Keeps track of the locks associated with each thread and program variable

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 Keeps track of the locks associated with each thread and program variable

#### **Thread A Lockset** lock m $\leftarrow -- \rightarrow L = \{ \}$ write $x \leftarrow -- \rightarrow L = \{m\}$ lock n write y $\leftarrow -- \rightarrow L = \{m, n\}$ unlock n unlock $m \leftarrow - \rightarrow L = \{m\}$ read x $\leftarrow -- \rightarrow L = \{ \}$

## **Lockset Algorithms**

- Two accesses from different threads with non-intersecting locksets form a data race
- Can detect more data races than vector clocks
  - A property referred to as coverage

# Is there a data race on variable y? Observed interleaving

Happens before

#### Thread A

#### **Thread B**

lock m

v = v + 1

unlock m

$$y = y + 1$$

$$y = y + 1$$

lock m

$$v = v + 1$$

unlock m

# Is there a data race on variable y? Observed interleaving

#### **Thread A**

#### **Thread B**

lock m

v = v + 1

unlock m

y = y + 1

Happens before?

$$y = y + 1$$

lock m

$$v = v + 1$$

unlock m

Data race with vector clock

Data race with lockset

### **Alternate Interleaving**

#### **Thread A**

$$y = y + 1$$

lock m

v = v + 1

unlock m

Happens before

No data race with vector clock

lock m

$$v = v + 1$$

Thread B

unlock m

$$y = y + 1$$

## **Alternate Interleaving**

#### **Thread A**

#### Thread B

$$y = y + 1$$

lock m

v = v + 1

unlock m

Happens before

Data race with lockset

lock m

v = v + 1

unlock m

$$y = y + 1$$

## Data Race on y with Lockset

Thread A	Thread B	<u>Lockset</u>
y = y + 1		L <sub>y</sub> = { }
lock m v = v + 1 unlock m	lock m v = v + 1 unlock m	$L_{v} = \{m\}$ $L_{v} = \{m\}$
	y = y + 1	$L_{V} = \{ \}$

## Lockset Algorithms are Imprecise

```
volatile boolean flag = false;
Object x;
```

#### **Thread A**

#### Thread B

```
x = new Object();

flag = true;

H_{\partial p_{p_{e_{n_s}}}}

h_{\partial p_{p_{e_{n_s}}}}

while (!flag);

x.print();
```

## Lockset Algorithms are Imprecise

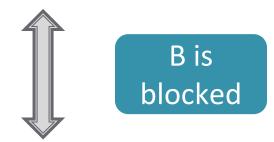
volatile boolean flag = false; Object x;

#### Thread A

## x = new Object(); flag = true; Happens before

#### Thread B

while (!flag);



while (!flag); x.print();

### **Vector Clock vs Lockset**

- Lockset algorithms
  - Generally unsound and imprecise
  - Better coverage
- Vector clock algorithms
  - Sound and precise
  - Limited coverage

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### **Atomicity**

Operations appear to happen all at once or not at all

Serializability – execution equivalent to some serial execution of atomic blocks

## **Atomicity violation?**

```
int x = 1;
```

```
T1:

t = x;

t = t + 1;

x = t;

x = t;
```

## **Atomicity violation?**

int x = 1;

## **Atomicity violation?**

```
int x = 1;
                                  T2:
T1:
synchronized(m) {
  t = x;
                                  synchronized(m) {
                                    t = x;
t = t + 1;
                                  t = t * 2;
synchronized(m) {
  x = t;
                                  synchronized(m) {
                                    x = t;
```

## **Atomicity**

```
T1:

synchronized (m) {
    t = x;
    t = t + 1;
    x = t;
}
synchronized (m) {
    t = x;
    t = t * 2;
    x = t;
}
```

int x = 1;

## **Atomicity**

```
int x = 1;
                                 T2:
T1:
synchronized (m) {
  t = x;
  t = t + 1;
 x = t;
                                 synchronized (m) {
                                   t = x;
                                   t = t * 2;
                                   x = t;
```

### **Atomicity with different outcome**

```
int x = 1;
                                  T2:
T1:
                                  synchronized (m) {
                                    t = x;
                                    t = t * 2;
                                    x = t;
synchronized (m) {
  t = x;
  t = t + 1;
  x = t;
```

```
class Vector {
   synchronized boolean contains(Object o) { ... }
   synchronized void add(Object o) { ... }
}
```

```
class Vector {
  synchronized boolean contains(Object o) { ... }
  synchronized void add(Object o) { ... }
class Set {
 Vector vector;
  void add(Object o) {
    if (!vector.contains(o)) {
      vector.add(o);
```

```
class Vector {
  synchronized boolean contains(Object o) { ... }
  synchronized void add(Object o) { ... }
class Set {
 Vector vector;
  synchronized void add(Object o) {
    if (!vector.contains(o)) {
      vector.add(o);
```

```
class Vector {
  synchronized boolean contains(Object o) { ... }
  synchronized void add(Object o) { ... }
class Set {
 Vector vector;
  void add(Object o) {
    atomic {
      if (!vector.contains(o)) {
        vector.add(o);
```

#### **Outline**

- Motivation & examples
- Threads, shared memory, & synchronization
  - How do locks work?
- Data races (a lower-level property)
- How do data race detectors work?
- Atomicity (a higher-level property)
- Concurrency exceptions & Summary

#### Extra:

Double-checked locking

### Concurrency exceptions?!

#### Java provides memory & type safety

- Buffer overflows, dangling pointers, array out-ofbounds, double frees, some memory leaks
- How are these handled? With exceptions?

### **Concurrency exceptions?!**

#### Java provides memory & type safety

- Buffer overflows, dangling pointers, array out-ofbounds, double frees, some memory leaks
- How are these handled? With exceptions?

Should languages (and the runtime systems & hardware that support them) provide concurrency correctness?

Check & enforce: atomicity, SC/DRF, determinism

### Summary

General-purpose parallel software: hard & unsolved Challenging semantics for parallel programs

Understand how to write correct, scalable programs

→ only a few experts

#### **Outline**

- Motivation & examples
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#### Extra:

Double-checked locking

```
class Movie {
 Vector<String> comments;
  addComment(String s) {
    if (comments == null) {
      comments = new Vector<String>();
    comments.add(s);
```

```
class Movie {
  Vector<String> comments;
  addComment(String s) {
    synchronized (this) {
      if (comments == null) {
       comments = new Vector<String>();
    comments.add(s);
```

# This is (incorrect) double-checked locking

```
class Movie {
Vector<String> comments;
  addComment(String s) {
    if (comments == null) {
      synchronized (this) {
        if (comments == null) {
          comments = new Vector<String>();
    comments.add(s);
```

```
addComment(String s) {
addComment(String s) {
 if (comments == null) {
  synchronized (this) {
   if (comments == null) {
    comments =
     new Vector<String>();
                            if (comments == null) {
                            comments.add(s);
 comments.add(s);
```

```
addComment(String s) {
addComment(String s) {
 if (comments == null) {
  synchronized (this) {
   if (comments == null) {
    Vector temp =
    alloc Vector;
    temp.<init>();
    comments = temp;
                            if (comments == null) {
                            comments.add(s);
 comments.add(s);
```

```
addComment(String s) {
addComment(String s) {
 if (comments == null) {
  synchronized (this) {
   if (comments == null) {
    Vector temp =
     alloc Vector;
    temp.<init>();
    comments = temp;
                             if (comments == null) {
                             comments.add(s);
 comments.add(s);
```

```
addComment(String s) {
addComment(String s) {
 if (comments == null) {
  synchronized (this) {
   if (comments == null) {
    Vector temp =
     alloc Vector;
    comments = temp;
                             if (comments == null) {
                            comments.add(s);
    temp.<init>();
 comments.add(s);
```

### This is correct double-checked locking

```
class Movie {
  volatile Vector<String> comments;
  addComment(String s) {
    if (comments == null) {
      synchronized (this) {
        if (comments == null) {
          comments = new Vector<String>();
    comments.add(s);
```