

# Coupling Retrieval and Meta-learning for Context-dependent Semantic Parsing

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- **Context-Dependent Semantic Parsing**
  - Input: a natural language with context
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Q1: Where was the president of the United States born?

A1: New York City

### Current Question:

Q2: Where did he graduate from?

### Output:

*find( find(United States, isPresidentof), graduateFrom)*



Executed on KB

A2: University of Pennsylvanian

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## Task II: Code Generation

### Class Environment:

#### Variables: [Type, Name]

double[] vecElements

double[] weights

#### Methods: [Return Type, Name]

void add(double)

### Natural Language Query:

Increment this vector in this place

### Source Code:

```
public void inc() { this.add(1);}
```

# Related works

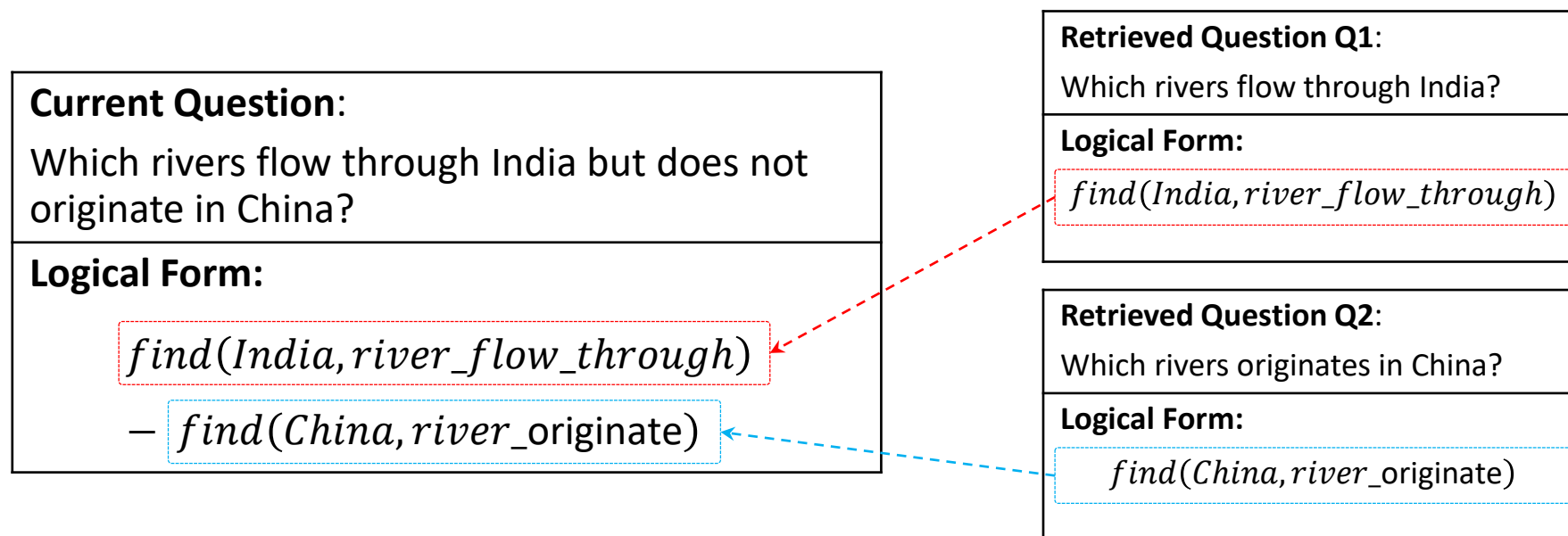
- Standard methods
  - Sequence-to-Sequence approaches: translate a sentence to a logical form  
[Dong and Lapata, 2016; Jia and Liang, 2016; Ling et al., 2016; Xiao et al., 2016]
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- Retrieve-and-Edit based methods
  - Increase the probability of actions that can derive the retrieved subtrees [Hayati et al., 2018]
  - Treat each example with retrieved datapoints as a new task [Huang et al., 2018a]
  - Encoder-decoder based retrieval model [Hashimoto et al., 2018]

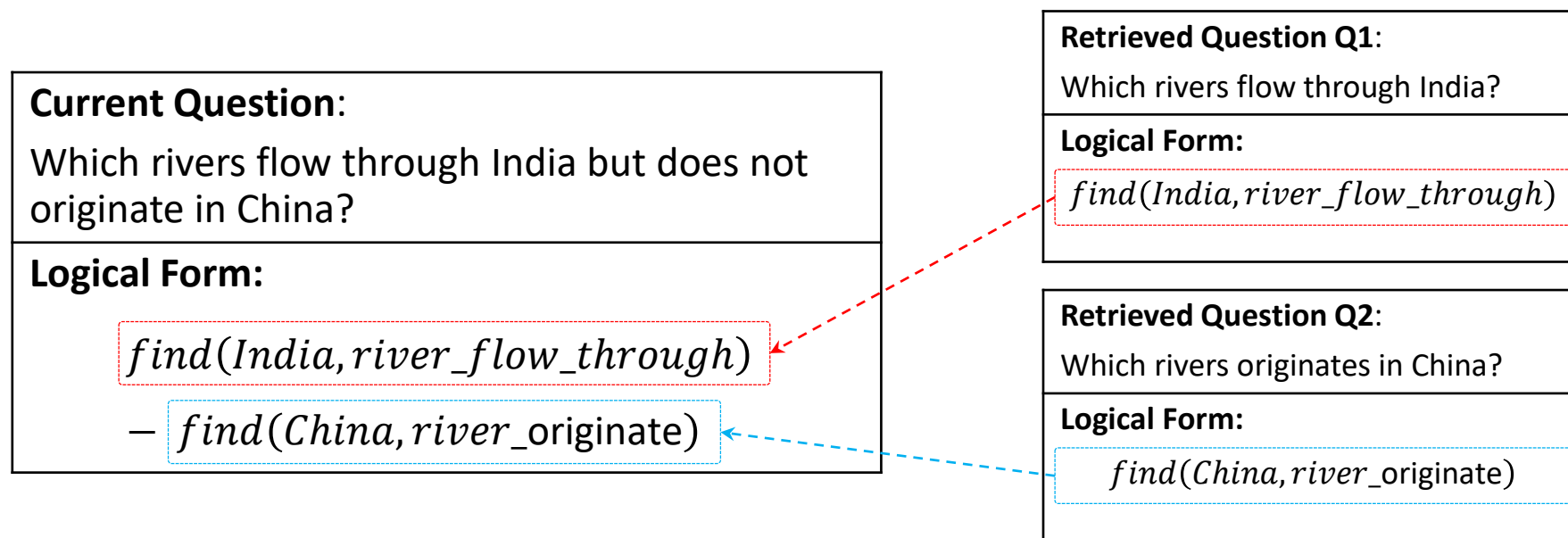
# Motivation

- Programmers usually leverage similar examples as a guidance
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- How to automatically retrieve similar examples?
- How to use them as the supporting evidence to improve semantic parsing?



# Overview

- Standard prediction

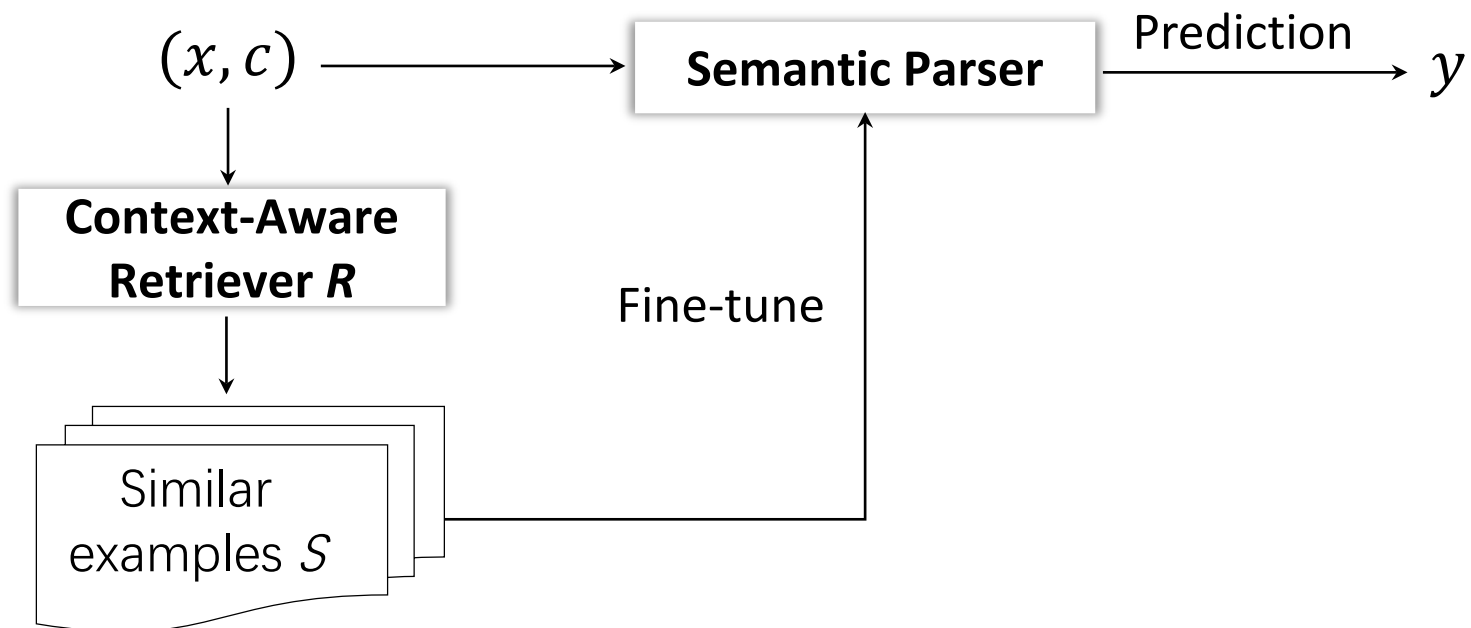


# Overview

- Standard prediction



- Fine-tune semantic parser before prediction

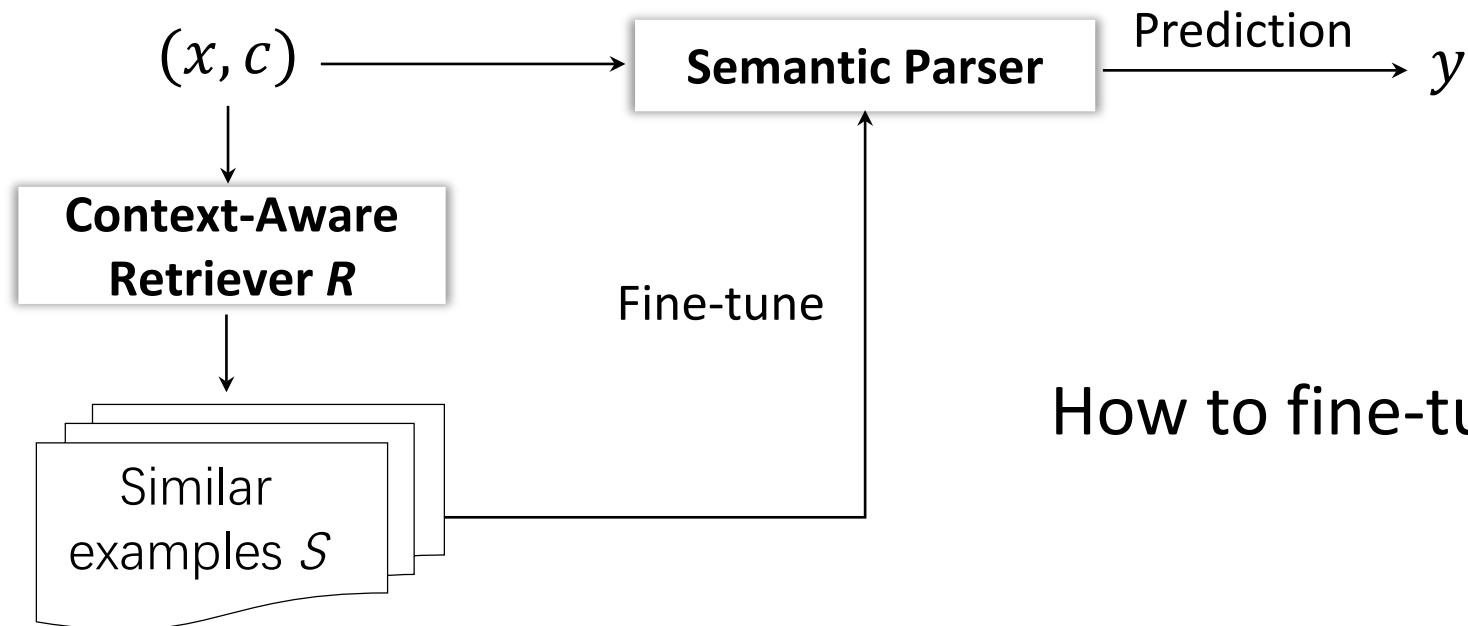


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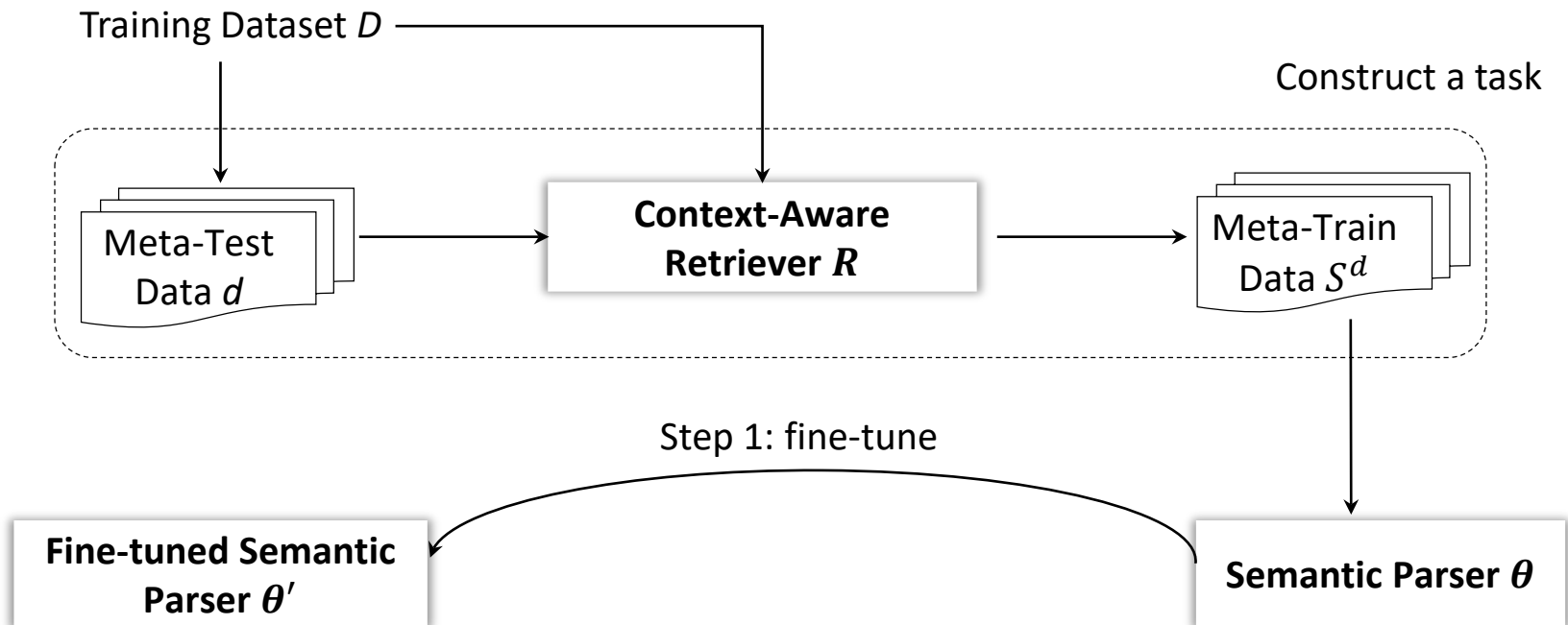
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How to fine-tune the semantic parser?

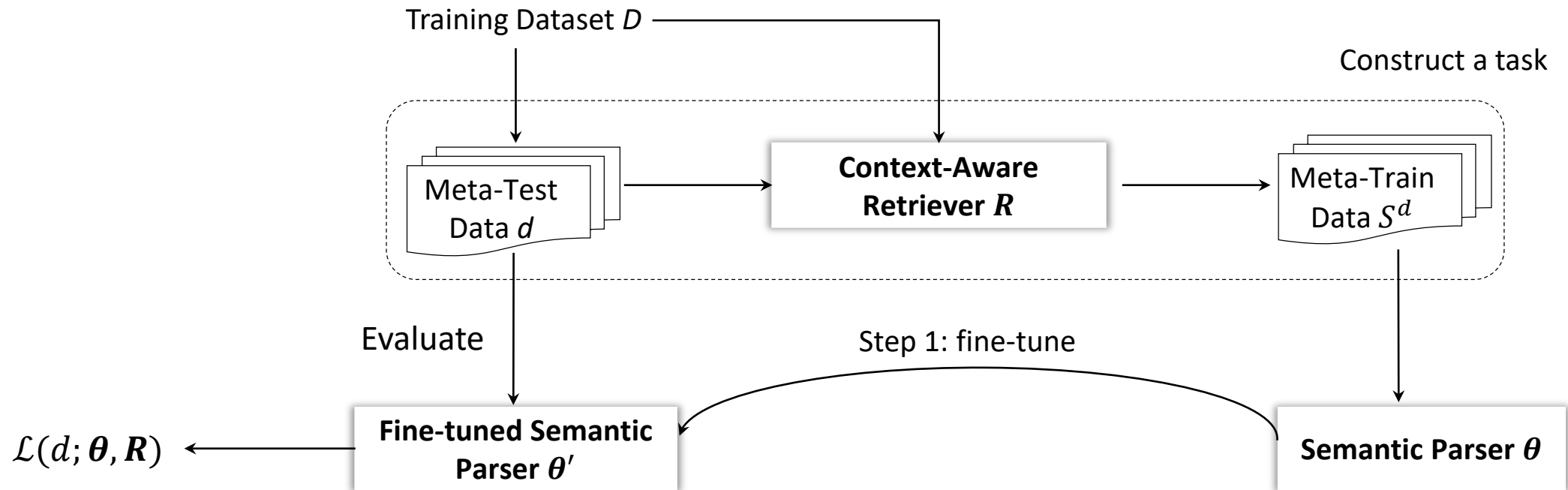
# Framework

- Fine-tune semantic parser



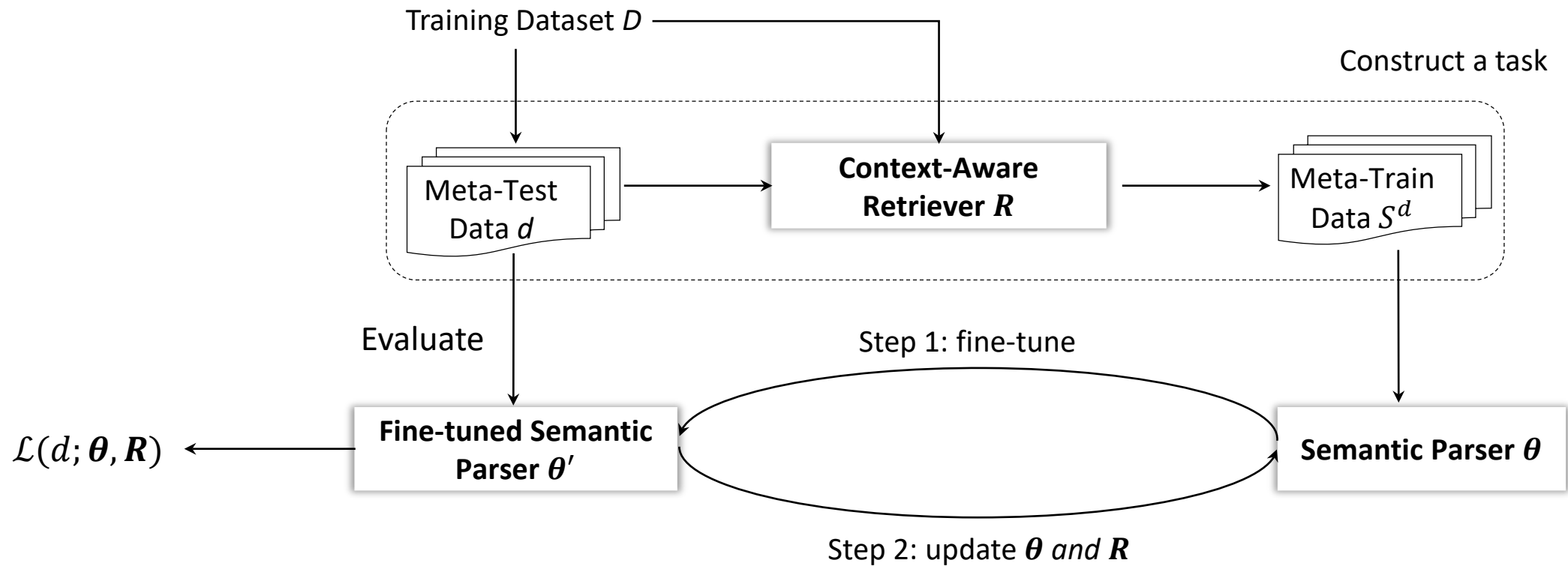
# Framework

- Calculate the loss function  $\mathcal{L}(d; \theta, R)$

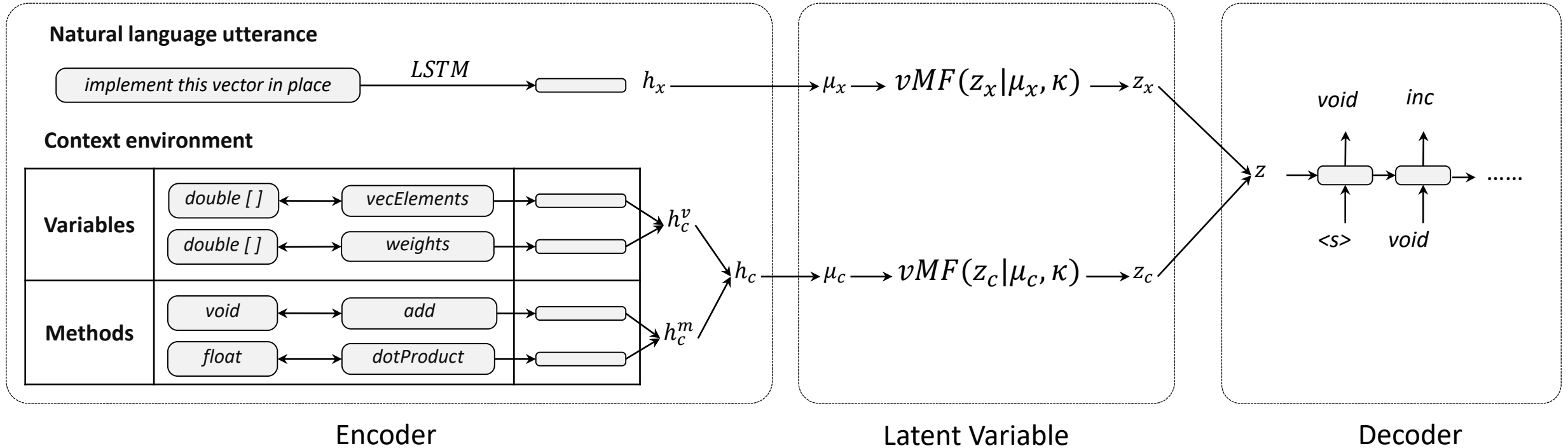


# Framework

- Update semantic parser and retriever by meta-learning

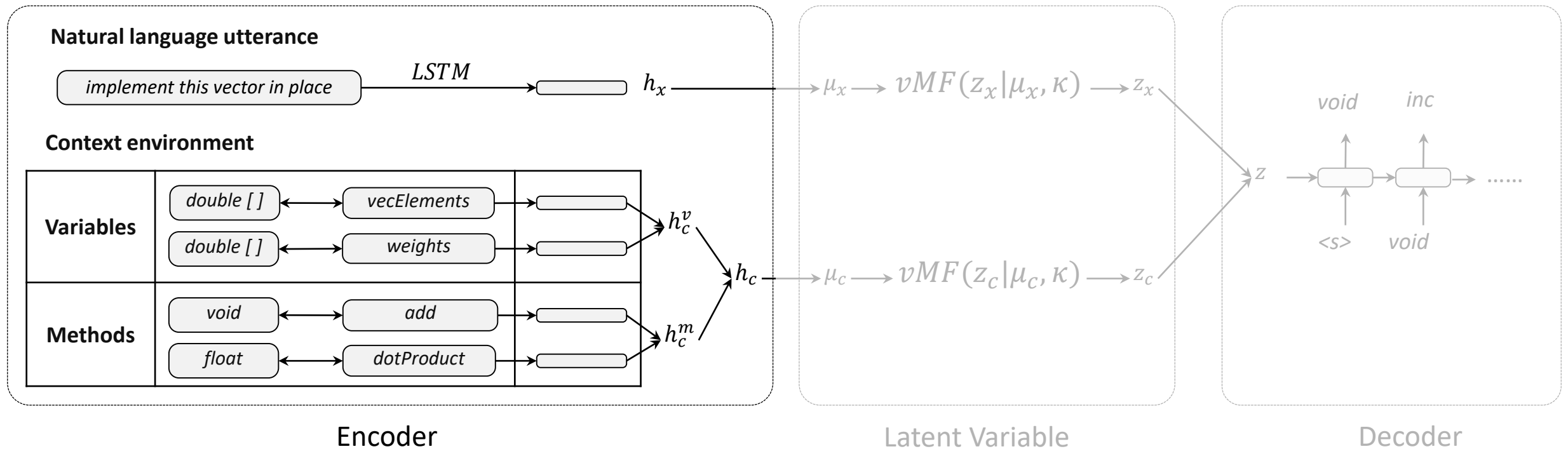


# Context-Aware Retrieval Model



- The retrieval model is based on variational autoencoder framework
- Train the model using semantic parsing supervised dataset

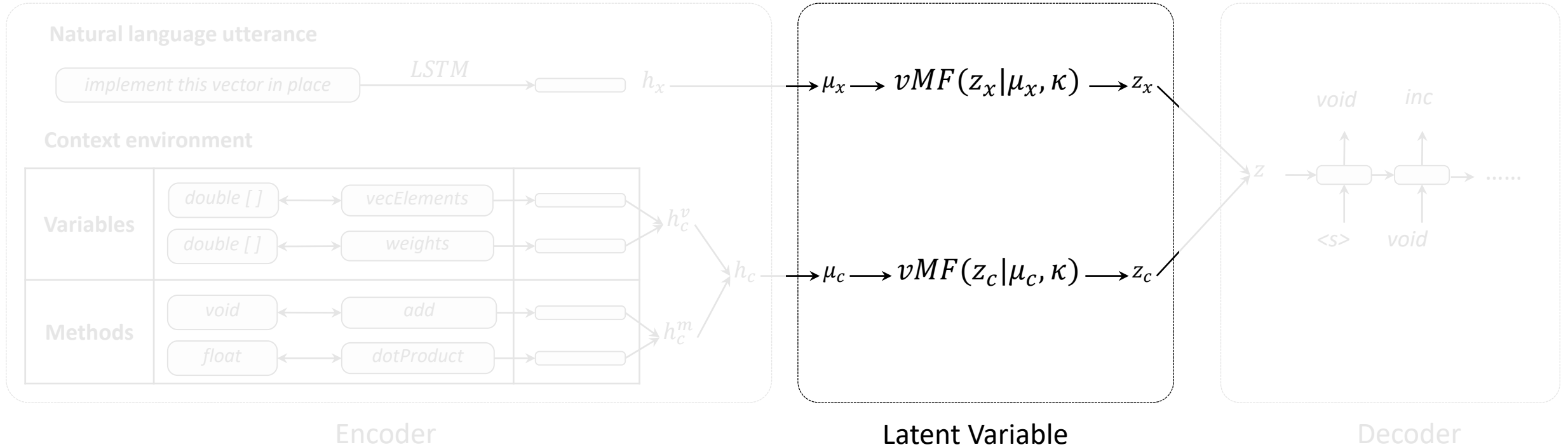
# Context-Aware Retrieval Model



- Encode a natural language with the context environment into hidden states



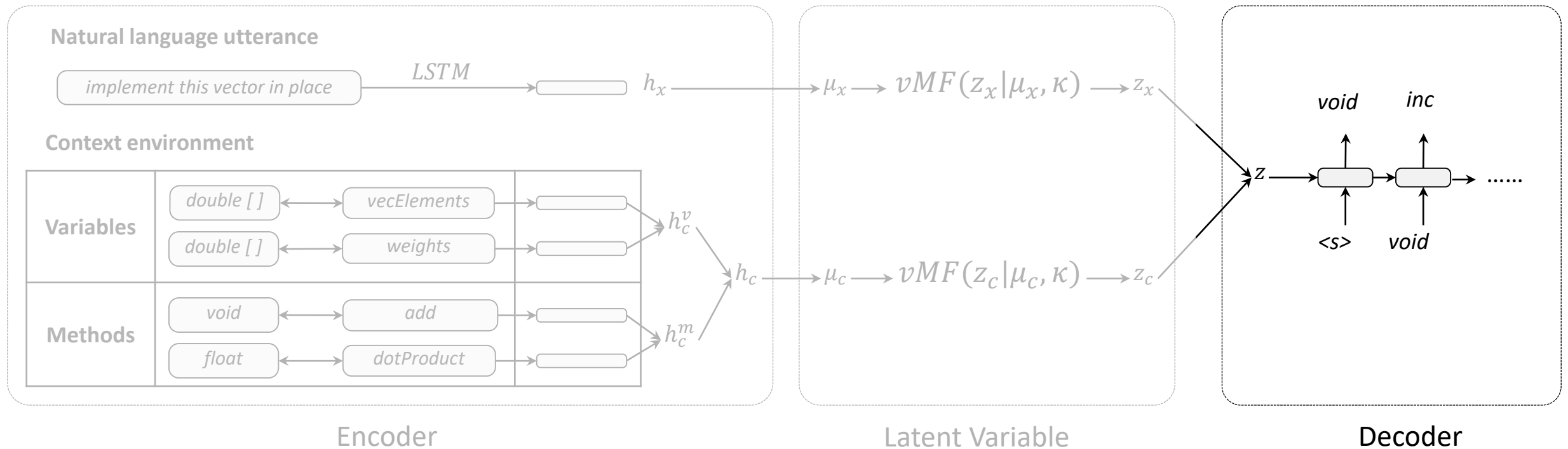
# Context-Aware Retrieval Model



- Our choice of encoder noise: the von-Mises Fisher ( $vMF$ ) distribution

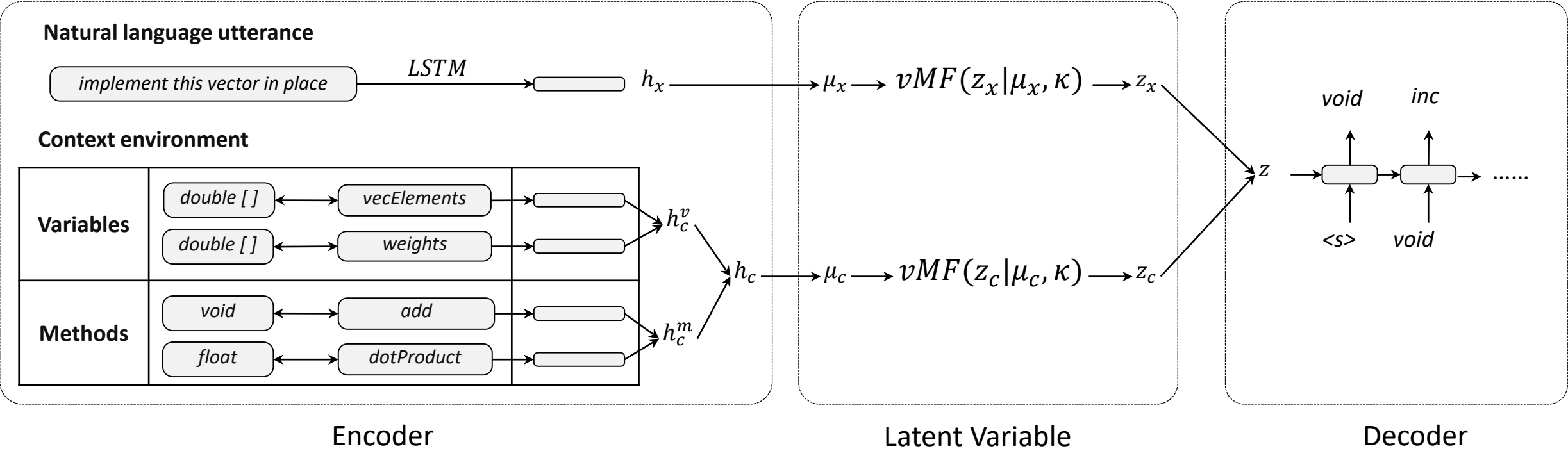
$$p(z_x|x) = vMF(z_x; \mu_x, k) = Z_k^{-1} e^{(\kappa \mu_k^T z_x)}$$

# Context-Aware Retrieval Model



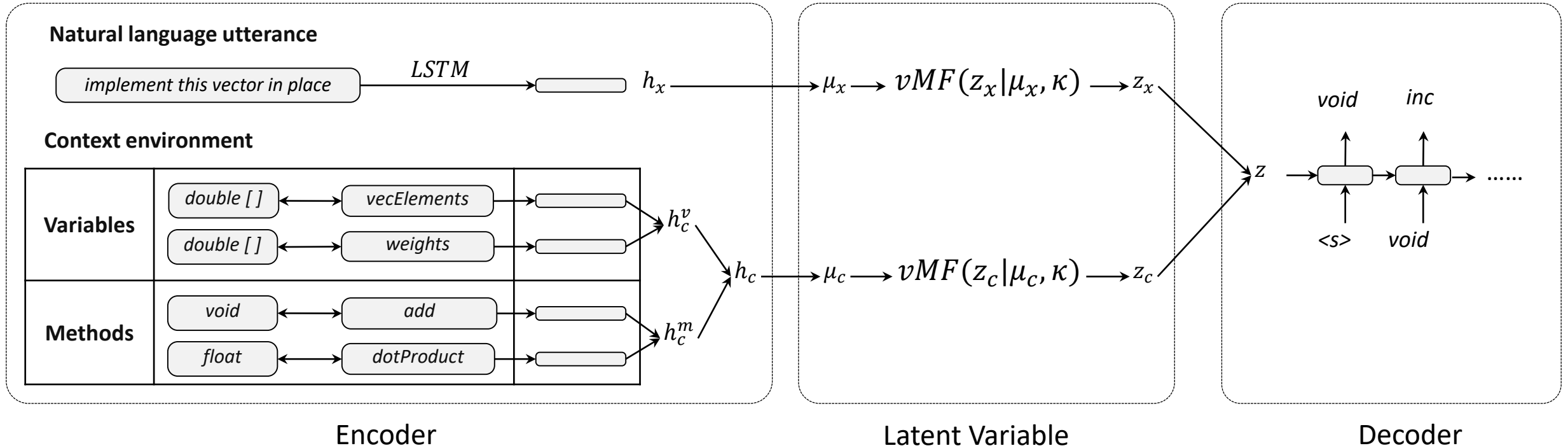
- The decoder predicts logical form from the latent variable  $z$

# Context-Aware Retrieval Model



How to retrieve similar examples?

# Context-Aware Retrieval Model



- KL-divergence between latent variables  $z$  as distance

$$distance = KL(p(z|x, c) || p(z|x', c')) = C_k (\|\mu_x - \mu_{x'}\|_2^2 + \|\mu_c - \mu_{c'}\|_2^2)$$

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- Definition of grammar
  - A set of actions that can construct logical forms

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**Grammar I: Conversational Question Answering over KB**

Action	Operation	Description
A1-A3	$S \rightarrow \text{Set} \mid \text{Num} \mid \text{Bool}$	S is start symbol
A4	$\text{Set} \rightarrow \text{Find}(R, E)$	Set of entities with a r edge to e
A5	$\text{Num} \rightarrow \text{Count}(\text{Set})$	Total number of set
A6	$\text{Bool} \rightarrow (\in, E, \text{Set})$	Whether $E \in \text{Set}$
A7	$\text{Set} \rightarrow \text{Set} \cup \text{Set}$	Union of Sets
A8	$\text{Set} \rightarrow \text{Set} \cap \text{Set}$	Intersection of Sets
A9	$\text{Set} \rightarrow \text{Set} - \text{Set}$	Difference of Sets
A10	$\text{Set} \rightarrow \text{larger}(\text{set}, r, \text{num})$	Entity from set linking to more than num entities with relation r
A11	$\text{Set} \rightarrow \text{less}(\text{set}, r, \text{num})$	Entity from set linking to less than num entities with relation r
A12	$\text{Set} \rightarrow \text{equal}(\text{set}, r, \text{num})$	Entity from set linking to num entities with relation r
A13	$\text{Set} \rightarrow \text{argmax}(\text{set}, r, \text{num})$	Entity from set linking to most entities with relation r
A14	$\text{Set} \rightarrow \text{argmin}(\text{set}, r, \text{num})$	Entity from set linking to least entities with relation r
A15	$\text{Set} \rightarrow \{e\}$	
A16-A18	$e \mid r \mid \text{num} \rightarrow \text{constant}$	instantiation for entity e, predicate r or number num
A19-A21	$\text{Set} \mid \text{Num} \mid \text{Bool} \rightarrow \text{action}(i-1)$	Replicate previous operation sequence

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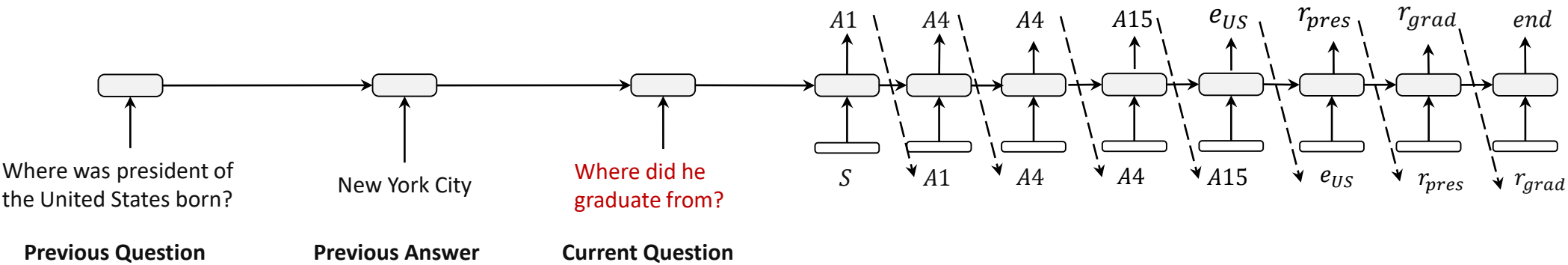
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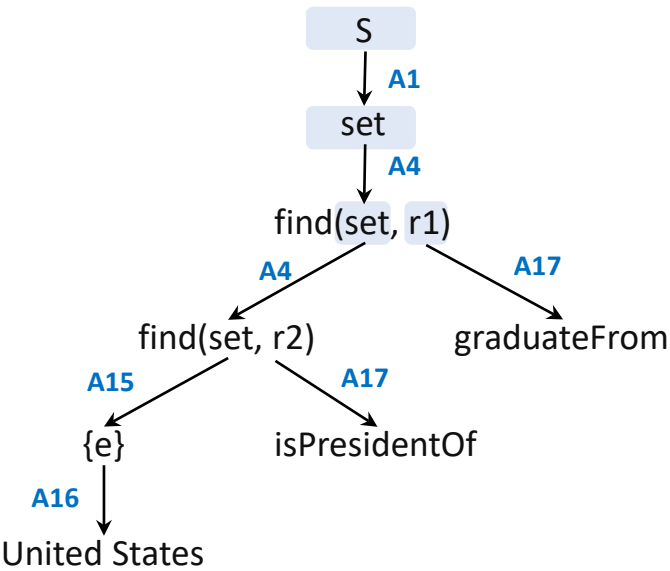
**Grammar II: Code Generation**

Action	Production rule	Description
A1	$\text{MemberDeclaration} \rightarrow \text{MethodDeclaration}$	Declaration of member
A2	$\text{TypeTypeOrVoid} \rightarrow \text{void}$	Type of function
A3	$\text{IdentifierNT} \rightarrow \text{ClassMethod}$	Identifier comes from member methods
A4	$\text{IdentifierNT} \rightarrow \text{ClassVariable}$	Identifier comes from member variables
A5	$\text{ClassMethod} \rightarrow \text{Constant}$	Instantiation for the member method or variables
A6	$\text{ClassVariable} \rightarrow \text{Constant}$	Instantiation for the member variable
....	.....	.....

# Sequence-to-Action Model



Dialog Memory	
Entity	{United States, tag=utterance} {New York City, tag=answer}
Predicate	{isPresidentOf} {placeOfBirth}
Action Subsequence	$set \rightarrow A4 A15 e_{US} r_{pres}$ $set \rightarrow A4 A15$ $set \rightarrow A4 A4 A15 e_{US} r_{pres} r_{bth}$ $set \rightarrow A4 A4 A15$



- $A1: S \rightarrow set$
- $A4: set \rightarrow find(set, r1)$
- $A4: set \rightarrow find(set, r2)$
- $A15: set \rightarrow \{e\}$
- $A16: e \rightarrow United States$
- $A17: r2 \rightarrow isPresidentOf$
- $A17: r1 \rightarrow graduateFrom$



# Sequence-to-Action Model

## Class Environment:

### Variables: [Type, Name]

double[] vecElements

double[] weights

### Methods: [Return Type, Name]

void add(double)

**NL Query:** Increment this vector in this place

### Source Code:

```
public void inc() { this.add(1);}
```

## Action Sequence:

MemberDeclaration → MethodDeclaration

MethodDeclaration → Type TypeOrVoid IdentifierNT  
FormalParameters MethodBody

Type TypeOrVoid → void

....

Primary → IdentifierNT

IdentifierNT → 1

# Experiment

- Dataset
  - Conversational Question answering
    - CSQA dataset [\[Saha et al., 2018\]](#)
    - Created based on Wikidata with 12.8M entities, including 200k dialogs
    - Contain conversational history and current questions with the answer.
  - Code Generation
    - CONCODE dataset [\[Iyer et al., 2018\]](#)
    - Built from about 33,000 public Java projects on Github
    - Contain natural description and codes together with class environment information

# Experiment

Methods	Dev		Test	
	Exact	BLEU	Exact	BLEU
Retrieval ONLY				
TFIDF	1.25	17.78	1.50	19.73
Context-independent Retrieval	0.85	19.63	0.80	21.98
Context-dependent Retrieval	1.30	21.21	1.00	24.94
Parsing-based methods without retrieved examples				
Seq2Seq	2.90	21.00	3.20	23.51
Seq2Prod (Yin and Neubig, 2017)	5.55	21.00	6.65	21.29
Iyer et al. (2018)	7.05	21.28	8.60	22.11
<b>Seq2Action</b>	<b>7.75</b>	<b>22.47</b>	<b>9.15</b>	<b>23.34</b>
Parsing-based methods with retrieved examples				
Seq2Action+Edit vector (Context-independent Retrieval)	6.6	21.27	7.90	22.51
Seq2Action+Edit vector (Context-aware Retrieval)	7.75	20.69	9.20	22.68
Seq2Action+Retrieve-and-edit (Context-independent Retrieval)	5.55	21.27	7.05	22.74
Seq2Action+Retrieve-and-edit (Context-aware Retrieval)	7.55	22.20	9.30	23.95
Seq2Action+MAML (Context-independent Retrieval)	9.15	21.48	9.85	23.22
Seq2Action+MAML (Context-aware Retrieval, w/o finetune)	8.30	21.27	10.30	24.12
<b>Seq2Action+MAML (Context-aware Retrieval)</b>	<b>8.45</b>	<b>21.32</b>	<b>10.50</b>	<b>24.40</b>

Table 1: Performance of different approaches on the CONCODE dataset.

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} Retrieve-and-Edit

} Retrieve-and-MAML

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# Experiment

Methods	HRED +KVmem	D2A	S2A	S2A +EditVec	S2A +RAndE	S2A +MAML
Question Type	F1					
Simple Question (Direct)	13.64%	91.41%	92.01%	91.95%	92.08%	<b>92.66%</b>
Simple Question (Co-referenced)	7.26%	69.83%	71.40%	72.94%	<b>73.19%</b>	71.18%
Simple Question (Ellipsis)	9.95%	81.98%	81.75%	83.31%	<b>84.61%</b>	82.21%
Logical Reasoning (All)	8.33%	43.62%	42.00%	43.85%	41.83%	<b>44.34%</b>
Quantitative Reasoning (All)	0.96%	50.25%	45.37%	46.93%	42.64%	<b>50.30%</b>
Comparative Reasoning (All)	2.96%	44.20%	41.51%	43.96%	44.46%	<b>48.13%</b>
Clarification	16.35%	18.31%	18.9%	18.42%	18.70%	<b>19.12%</b>
Question Type	Accuracy					
Verification (Boolean)	21.04%	45.05%	51.17%	47.81%	<b>55.00%</b>	50.16%
Quantitative Reasoning (Count)	12.13%	40.94%	46.01%	44.67%	43.07%	<b>46.43%</b>
Comparative Reasoning (Count)	5.67%	17.78%	16.52%	17.52%	16.43%	<b>18.91%</b>

Table 2: Performance of different approaches on the CSQA dataset.

# Analysis

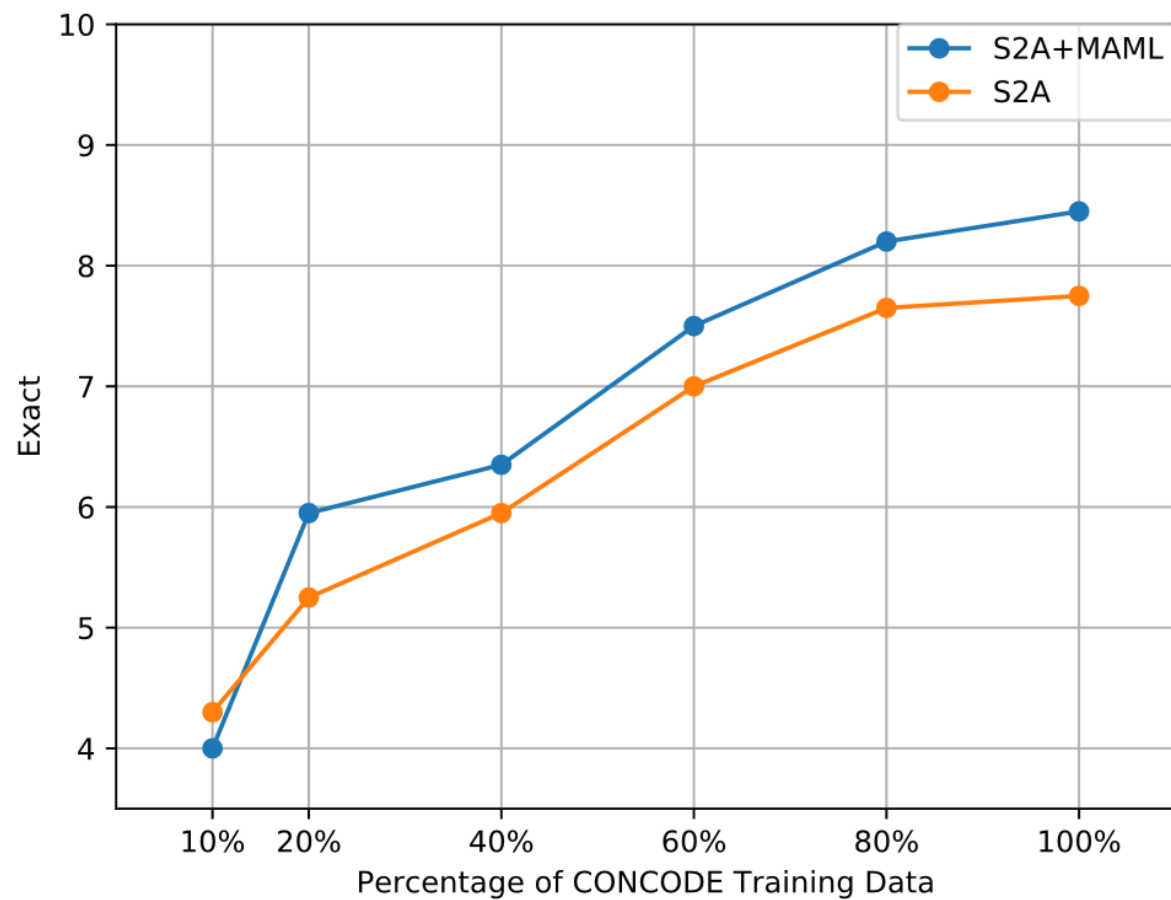


Figure 4: Comparison between S2A and S2A+MAML with different portions of supervised data.



# Analysis

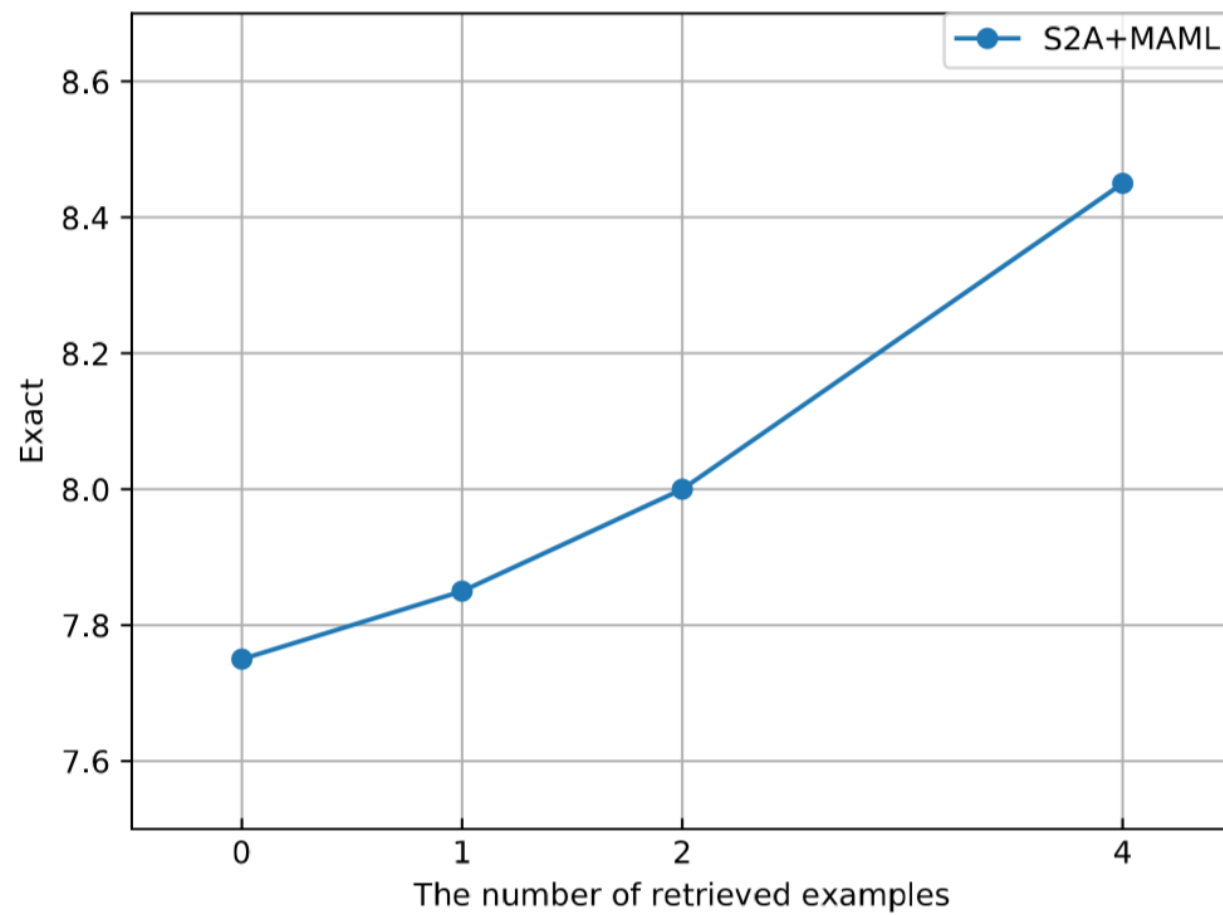


Figure 4: S2A+MAML with different number of retrieved examples on the CONCODE devset.

# Analysis

Input	Context-Aware Retriever	Context-Independent Retriever
<p><b>Class environment:</b> HashMap&lt;lalr_item, lalr_item&gt; _all;</p> <p><b>NL:</b> Does the set contain a particular item</p> <p><b>Code:</b> boolean function(lalr_item arg0){     return _all.containsKey(arg0); }</p>	<p><b>Class environment:</b> Map&lt;Point, RailwayNode&gt; _nodeMap;</p> <p><b>NL:</b> Check if a node at a specific position exists.</p> <p><b>Code:</b> boolean function(Point arg0){     return _nodeMap.containsKey(arg0);}</p>	<p><b>Class environment:</b> Node root; Node get(Node x, String key, int d);</p> <p><b>NL:</b> Does the set contain the given key</p> <p><b>Code:</b> boolean function(String arg0){     Node loc0==get(root,arg0,0);     if (loc0==null) return false;     return loc0.isString; }</p>
<p><b>Q1:</b> who is the dad of jorgen ottesen brahe?</p> <p><b>A1:</b> otte brahe</p> <p><b>Q2:</b> who is the spouse of that one?</p>	<p><b>Q1:</b> whose child are gio batta bellotti?</p> <p><b>A1:</b> matteo bellotti, paola cresipi guzzo</p> <p><b>Q2:</b> which person is married to that one?</p>	<p><b>Q1:</b> which abstract beings have marge simpson as an offspring?</p> <p><b>A1:</b> clancy bouvier, jacqueline bouvier</p> <p><b>Q2:</b> who is the spouse of that one?</p>

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Input	Context-Aware Retriever	Context-Independent Retriever
<b>Class environment:</b> HashMap<lalr_item, lalr_item> _all; <b>NL:</b> Does the set contain a particular item <b>Code:</b> boolean function(lalr_item arg0){ return _all.containsKey(arg0); }	<b>Class environment:</b> Map<Point, RailwayNode> _nodeMap; <b>NL:</b> Check if a node at a specific position exists. <b>Code:</b> boolean function(Point arg0){ return _nodeMap.containsKey(arg0);}	<b>Class environment:</b> Node root; Node get(Node x, String key, int d); <b>NL:</b> Does the set contain the given key <b>Code:</b> boolean function(String arg0){ Node loc0==get(root,arg0,0); if (loc0==null) return false; return loc0.isString; }
<b>Q1:</b> who is the dad of jorgen ottesen brahe? <b>A1:</b> otte brahe <b>Q2:</b> who is the spouse of that one?	<b>Q1:</b> whose child are gio batta bellotti? <b>A1:</b> matteo bellotti, paola cresipi guzzo <b>Q2:</b> which person is married to that one?	<b>Q1:</b> which abstract beings have marge simpson as an offspring? <b>A1:</b> clancy bouvier, jacqueline bouvier <b>Q2:</b> who is the spouse of that one?

# Analysis

Input	Context-Aware Retriever	Context-Independent Retriever
<p><b>Class environment:</b> HashMap&lt;lalr_item, lalr_item&gt; _all;</p> <p><b>NL:</b> Does the set contain a particular item</p> <p><b>Code:</b> boolean function(lalr_item arg0){     return _all.constainsKey(arg0); }</p>	<p><b>Class environment:</b> Map&lt;Point, RailwayNode&gt; _nodeMap;</p> <p><b>NL:</b> Check if a node at a specific position exists.</p> <p><b>Code:</b> boolean function(Point arg0){     return _nodeMap.constainsKey(arg0);}</p>	<p><b>Class environment:</b> Node root; Node get(Node x, String key, int d);</p> <p><b>NL:</b> Does the set contain the given key</p> <p><b>Code:</b> boolean function(String arg0){     Node loc0==get(root,arg0,0);     if (loc0==null) return false;     return loc0.isString; }</p>
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# Analysis

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<p><b>Class environment:</b></p> <p>HashMap&lt;lalr_item, lalr_item&gt; _all;</p> <p><b>NL:</b></p> <p>Does the set contain a particular item</p> <p><b>Code:</b></p> <pre>boolean function(lalr_item arg0){     return _all.constainsKey(arg0); }</pre>	<p><b>Class environment:</b></p> <p>Map&lt;Point, RailwayNode&gt; _nodeMap;</p> <p><b>NL:</b></p> <p>Check if a node at a specific position exists.</p> <p><b>Code:</b></p> <pre>boolean function(Point arg0){     return _nodeMap.constainsKey(arg0);}</pre>	<p><b>Class environment:</b></p> <p>Node root;</p> <p>Node get(Node x, String key, int d);</p> <p><b>NL:</b> Does the set contain the given key</p> <p><b>Code:</b></p> <pre>boolean function(String arg0){     Node loc0==get(root,arg0,0);     if (loc0==null) return false;     return loc0.isString; }</pre>
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# Conclusion

- We present an approach to couple retrieval and meta-learning to improve context-dependent semantic parsing
- In conversational question answering and code generation tasks, our approach achieves state-of-the-art performances on CSQA and CONCODE datasets.

Thanks

# Learning Method

- Basic idea to learn models by jointly learning

$$p(y|x, c) = \sum_S p_{edit}(y|x, c, S) p_{ret}(S|x, c)$$

- Assuming that an oracle semantic parser  $p_{edit}^*$  provides the true conditional distribution

$$\log p(y|x, c) \geq \underbrace{E_{z \sim p_{encoder}(z|x, c)} \log p_{decoder}(y|z)}_{\text{Reconstruction term}} - \underbrace{E_{\{(x', c')\} \sim p_{ret}} KL(p_{encoder}(z|x, c) || p_{encoder}(z|x', c'))}_{\text{KL-divergence}}$$

- We can maximize the worst-case lower bound

$$distance = KL(p_{encoder}(z|x, c) || p_{encoder}(z|x', c')) = C_k (\|\mu_x - \mu_{x'}\|_2^2 + \|\mu_c - \mu_{c'}\|_2^2) \leq 8C_k$$



$$\log p(y|x, c) \geq E_{z \sim p_{encoder}(z|x, c)} \log p_{decoder}(y|z) - distance \geq \underbrace{E_{z \sim p_{encoder}(z|x, c)} \log p_{decoder}(y|z)}_{\text{Reconstruction term}} - 8C_k$$