Chapter 4: Intermediate SQL

Intermediate SQL

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Authorization

Joined Relations

- **Join operations** take two relations and return as a result another relation.
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the **from** clause

Join operations – Example

- Relation *course*

course_id	*title*	*dept_name*	*credits*
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

- Relation *prereq*

course_id	*prereq_id*
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

- Observe that

  prereq information is missing for CS-315 and

  course information is missing for CS-347

Outer Join

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join.
- Uses *null* values.

Left Outer Join

- *course* **natural left outer join** *prereq*

course_id	*title*	*dept_name*	*credits*	*prereq_id*
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	*null*

Right Outer Join

- *course* **natural right outer join** *prereq*

course_id	*title*	*dept_name*	*credits*	*prereq_id*
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	*null*	*null*	*null*	CS-101

Joined Relations

- **Join operations** take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- **Join condition** – defines which tuples in the two relations match, and what attributes are present in the result of the join.
- **Join type** – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join types
inner join
left outer join
right outer join
full outer join

Join Conditions
natural
on <predicate>
using $(A_1, A_1, \ldots, A_n)$

Full Outer Join

- *course* **natural full outer join** *prereq*

course_id	*title*	*dept_name*	*credits*	*prereq_id*
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	*null*
CS-347	*null*	*null*	*null*	CS-101

Joined Relations – Examples

- *course* **inner join** *prereq* **on**
  course.course_id = prereq.course_id

course_id	*title*	*dept_name*	*credits*	*prereq_id*	*course_id*
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

- What is the difference between the above, and a natural join?
- *course* **left outer join** *prereq* **on**
  course.course_id = prereq.course_id

				prereq_id	
course_id	*title*	*dept_name*	*credits*	*prere_id*	*course_id*
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	*null*	*null*

Joined Relations – Examples

- *course* **natural right outer join** *prereq*

course_id	*title*	*dept_name*	*credits*	*prereq_id*
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	*null*	*null*	*null*	CS-101

- *course* **full outer join** *prereq* **using** (*course_id*)

course_id	*title*	*dept_name*	*credits*	*prereq_id*
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	*null*
CS-347	*null*	*null*	*null*	CS-101

Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

  select *ID*, *name*, *dept_name*
  from *instructor*

- A **view** provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a **view**.

View Definition

- A view is defined using the **create view** statement which has the form

  create view *v* **as** < query expression >

  where <query expression> is any legal SQL expression. The view name is represented by *v.*
- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.

Example Views

- A view of instructors without their salary

  create view *faculty* **as**
  select *ID*, *name*, *dept_name*
  from *instructor*

- Find all instructors in the Biology department

  select *name*
  from *faculty*
  where *dept_name* = 'Biology'

- Create a view of department salary totals

  create view *departments_total_salary*(*dept_name*, *total_salary*) **as**
  select *dept_name*, **sum** (*salary*)
  from *instructor*
  group by *dept_name*;

Views Defined Using Other Views

- **create view** *physics_fall_2009* **as**
  select *course.course_id*, *sec_id*, *building*, *room_number*
  from *course*, *section*
  where *course.course_id* = *section.course_id*
  and *course.dept_name* = 'Physics'
  and *section.semester* = 'Fall'
  and *section.year* = '2009';
- **create view** *physics_fall_2009_watson* **as**
  select *course_id*, *room_number*
  from *physics_fall_2009*
  where *building*= 'Watson';

View Expansion

- Expand use of a view in a query/another view

create view *physics_fall_2009_watson* **as**
(**select** *course_id*, *room_number*
from (**select** *course.course_id*, *building*, *room_number*
from *course*, *section*
where *course.course_id* = *section.course_id*
and *course.dept_name* = 'Physics'
and *section.semester* = 'Fall'
and *section.year* = '2009')
where *building*= 'Watson';

Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation v_1 is said to *depend directly* on a view relation v_2 if v_2 is used in the expression defining v_1
- A view relation v_1 is said to *depend on* view relation v_2 if either v_1 depends directly to v_2 or there is a path of dependencies from v_1 to v_2
- A view relation v is said to be *recursive* if it depends on itself.

View Expansion

- A way to define the meaning of views defined in terms of other views.
- Let view v_1 be defined by an expression e_1 that may itself contain uses of view relations.
- View expansion of an expression repeats the following replacement step:

  repeat
  Find any view relation v_i in e_1
  Replace the view relation v_i by the expression defining v_i
  until no more view relations are present in e_1

- As long as the view definitions are not recursive, this loop will terminate

Update of a View

- Add a new tuple to *faculty* view which we defined earlier

  insert into *faculty* **values** ('30765', 'Green', 'Music');

This insertion must be represented by the insertion of the tuple

('30765', 'Green', 'Music', null)

into the *instructor* relation

Some Updates cannot be Translated Uniquely

- **create view** *instructor_info* **as**
  select *ID*, *name*, *building*
  from *instructor*, *department*
  where *instructor*.*dept_name*= *department*.*dept_name*;
- **insert into** *instructor_info* **values** ('69987', 'White', 'Taylor');
  - which department, if multiple departments in Taylor?
  - what if no department is in Taylor?
- Most SQL implementations allow updates only on simple views
  - The **from** clause has only one database relation.
  - The **select** clause contains only attribute names of the relation, and does not have any expressions, aggregates, or **distinct** specification.
  - Any attribute not listed in the **select** clause can be set to null
  - The query does not have a **group** by or **having** clause

- **create view** *history_instructors* **as**
  select *
  from *instructor*
  where *dept_name*= ’History’;

- What happens if we insert (’25566’, ’Brown’, ’Biology’, 100000) into *history_instructors?*

Materialized Views

- **Materializing a view**: create a physical table containing all the tuples in the result of the query defining the view
- If relations used in the query are updated, the materialized view result becomes out of date
  - Need to **maintain** the view, by updating the view whenever the underlying relations are updated.

Transactions

- Unit of work
- Atomic transaction
  - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions
- Transactions begin implicitly
  - Ended by **commit work** or **rollback work**
- But default on most databases: each SQL statement commits automatically
  - Can turn off auto commit for a session (e.g. using API)
  - In SQL:1999, can use: **begin atomic** …. **en**
    - Not supported on most databases

Integrity Constraints

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency.
  - A checking account must have a balance greater than $10,000.00
  - A salary of a bank employee must be at least $4.00 an hour
  - A customer must have a (non-null) phone number

Integrity Constraints on a Single Relation

- **not null**
- **primary key**
- **unique**
- **check** (P), where P is a predicate

Not Null and Unique Constraints

- **not null**
  - Declare *name* and *budget* to be **not null**

    name **varchar**(20) **not null**
    budget **numeric**(12,2) **not null**
- **unique** ($A_1, A_2, \ldots, A_m$)
  - The unique specification states that the attributes $A1, A2, \ldots Am$
    form a candidate key.
  - Candidate keys are permitted to be null (in contrast to primary keys).

The check clause

- **check** (P) where P is a predicate

Example: ensure that semester is one of fall, winter, spring or summer:

```
create table section (
    course_id varchar (8),
    sec_id varchar (8),
    semester varchar (6),
    year numeric (4,0),
    building varchar (15),
    room_number varchar (7),
    time slot id varchar (4),
    primary key (course_id, sec_id, semester, year),
    check (semester in ('Fall', 'Winter', 'Spring', 'Summer'))
);
```

Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
  - Example: If "Biology" is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for "Biology".
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a **foreign key** of R if for any values of A appearing in R these values also appear in S.

Cascading Actions in Referential Integrity

- **create table** *course (*
  course_id **char**(5) **primary key**,
  title **varchar**(20),
  dept_name **varchar**(20) **references** *department*
)
- **create table** *course* (
  …
  dept_name **varchar**(20),
  foreign key (*dept_name*) **references** *department*
  on delete cascade
  on update cascade,
  . . .
)
- alternative actions to cascade: **set null**, **set default**

Integrity Constraint Violation During Transactions

- E.g.

  create table *person* (
  ID **char**(10),
  name **char**(40),
  mother **char**(10),
  father **char**(10),
  primary key *ID,*
  foreign key *father* **references** *person,*
  foreign key *mother* **references** *person*)

- How to insert a tuple without causing constraint violation ?
  - insert father and mother of a person before inserting person
  - OR, set father and mother to null initially, update after inserting all persons (not possible if father and mother attributes declared to be **not null**)
  - OR defer constraint checking (next slide)

Complex Check Clauses

- **check** (*time_slot_id* **in** (**select** *time_slot_id* **from** *time_slot*))
  - why not use a foreign key here?
- Every section has at least one instructor teaching the section.
  - how to write this?
- Unfortunately: subquery in check clause not supported by pretty much any database
  - Alternative: triggers (later)
- **create assertion** <assertion-name> **check** <predicate>;
  - Also not supported by anyone

Built-in Data Types in SQL

- **date:** Dates, containing a (4 digit) year, month and date
  - Example: **date** '2005-7-27'
- **time:** Time of day, in hours, minutes and seconds.
  - Example: **time** '09:00:30' **time** '09:00:30.75'
- **timestamp**: date plus time of day
  - Example: **timestamp** '2005-7-27 09:00:30.75'
- **interval:** period of time
  - Example: interval '1' day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/time values

Index Creation

- **create table** *student*
  (*ID* **varchar** (5),
  name **varchar** (20) **not null**,
  dept_name **varchar** (20),
  tot_cred **numeric** (3,0) **default** 0,
  primary key (*ID*))
- **create index** *studentID_index* **on** *student*(*ID*)
- Indices are data structures used to speed up access to records with specified values for index attributes
  - e.g. **select** *
    from *student*
    where *ID* = '12345'

  can be executed by using the index to find the required record, without looking at all records of *student*

  More on indices in Chapter 11

User-Defined Types

- **create type** construct in SQL creates user-defined type

  create type *Dollars* **as numeric (12,2) final**

  - **create table** *department*
    (*dept_name* **varchar** (20),
    building **varchar** (15),
    budget Dollars);

Domains

- **create domain** construct in SQL-92 creates user-defined domain types

create domain *person_name* **char**(20) **not null**

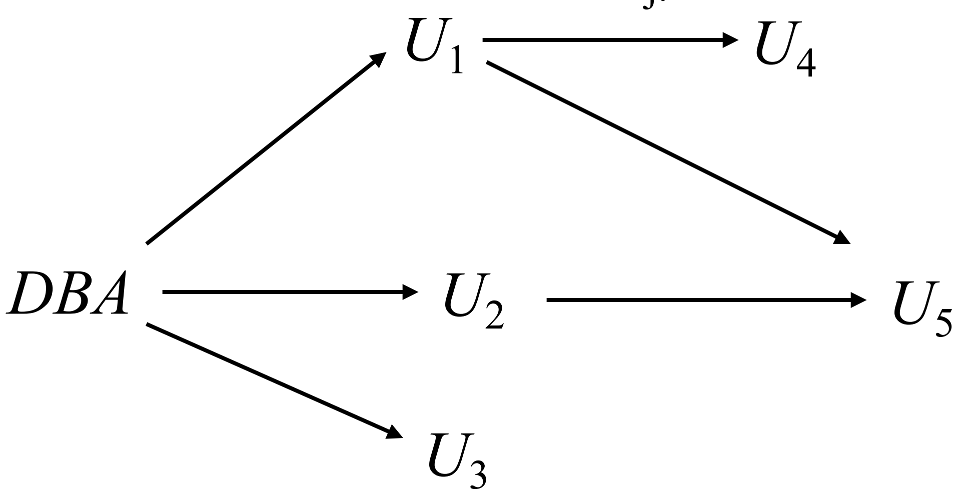
- Types and domains are similar. Domains can have constraints, such as **not null**, specified on them.
- **create domain** *degree_level* **varchar**(10)
  constraint *degree_level_test*
  check (**value in** ('Bachelors', 'Masters', 'Doctorate'));

Large-Object Types

- Large objects (photos, videos, CAD files, etc.) are stored as a *large object*:
  - **blob**: binary large object -- object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - **clob**: character large object -- object is a large collection of character data
  - When a query returns a large object, a pointer is returned rather than the large object itself.

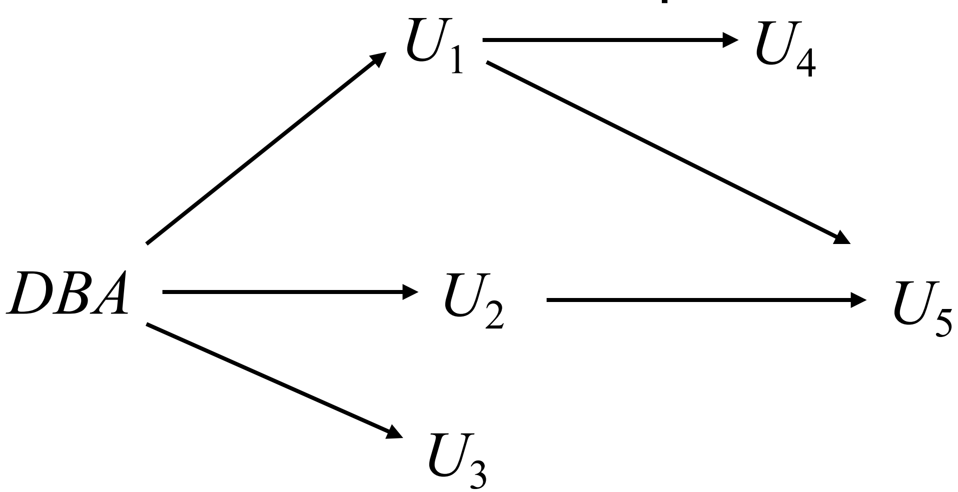
Granting of Privileges

- The passage of authorization from one user to another may be represented by an authorization graph.
- The nodes of this graph are the users.
- The root of the graph is the database administrator.
- Consider graph for update authorization on loan.
- An edge $U_i \rightarrow U_j$ indicates that user U_i has granted update authorization on loan to U_j.



Authorization Grant Graph

- *Requirement*: All edges in an authorization graph must be part of some path originating with the database administrator
- If DBA revokes grant from U_1:
  - Grant must be revoked from U_4 since U_1 no longer has authorization
  - Grant must not be revoked from U_5 since U_5 has another authorization path from DBA through U_2



Privileges

Forms of authorization on parts of the database:

- **Read** - allows reading, but not modification of data.
- **Insert** - allows insertion of new data, but not modification of existing data.
- **Update** - allows modification, but not deletion of data.
- **Delete** - allows deletion of data.

Forms of authorization to modify the database schema

- **Index** - allows creation and deletion of indices.
- **Resources** - allows creation of new relations.
- **Alteration** - allows addition or deletion of attributes in a relation.
- **Drop** - allows deletion of relations.

Authorization Specification in SQL

- The **grant** statement is used to confer authorization

  grant <privilege list>

  on <relation name or view name> **to** <user list>

- <user list> is:
  - a user-id
  - **public**, which allows all valid users the privilege granted
  - A role (more on this later)
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).

Privileges in SQL

- **select:** allows read access to relation,or the ability to query using the view
  - Example: grant users U_1, U_2, and U_3 **select** authorization on the *instructor* relation:

    grant select on *instructor* **to** U_1, U_2, U_3
- **insert**: the ability to insert tuples
- **update**: the ability to update using the SQL update statement
- **delete**: the ability to delete tuples.
- **all privileges**: used as a short form for all the allowable privileges

Revoking Authorization in SQL

- The **revoke** statement is used to revoke authorization.

  revoke <privilege list>

  on <relation name or view name> **from** <user list>

  revoke select on *branch* **from** U_1, U_2, U_3

- <privilege-list> may be **all** to revoke all privileges the revokee may hold.
- If <revokee-list> includes **public,** all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.

Roles

- **create role** instructor;
- **grant** *instructor* **to Amit;**
- Privileges can be granted to roles:
  - **grant select on** *takes* **to** *instructor*;
- Roles can be granted to users, as well as to other roles
  - **create role** *teaching_assistant*
  - **grant** *teaching_assistant* **to** *instructor*;
    - *Instructor* inherits all privileges of *teaching_assistant*
- Chain of roles
  - **create role** *dean*;
  - **grant** *instructor* **to** *dean*;
  - **grant** *dean* **to** Satoshi;

Authorization on Views

- **create view** *geo_instructor* **as**
  (**select** *
  from *instructor*
  where *dept_name* = 'Geology');
- **grant select on** *geo_instructor* **to** *geo_staff*
- Suppose that a *geo_staff* member issues
  - **select** *
    from *geo_instructor*;
- What if
  - *geo_staff* does not have permissions on *instructor?*
  - creator of view did not have some permissions on *instructor?*

Other Authorization Features

- **references** privilege to create foreign key
  - **grant reference** (*dept_name*) **on** *department* **to** Mariano;
  - why is this required?
- transfer of privileges
  - **grant select on** *department* **to** Amit **with grant option**;
  - **revoke select on** *department* **from** Amit, Satoshi **cascade**;
  - **revoke select on** *department* **from** Amit, Satoshi **restrict**;
- Etc. read Section 4.6 for more details we have omitted here.

Triggers

- A **trigger** is a statement that is executed automatically by the system as a side effect of a modification to the database.

- To design a trigger mechanism, we must:

  Specify the conditions under which the trigger is to be executed.

  Specify the actions to be taken when the trigger executes.

Triggering event and actions

- Triggering event can be **insert**, **delete** or **update;**

- Triggers can be activated **before/after** an event, which can serve as extra constraints.

create trigger *setnull-trigger* **before/after update**
on *r*
        referencing new row as *nrow*
        for each row
            when *nrow.phone-number* = ‘ ‘
            set *nrow.phone-number* = **null**

Triggering event and actions

- Instead of executing a separate action for each affected row, a single action can be executed for all rows affected by a single transaction
  - Use **for each statement** instead of **for each row**
  - Use **referencing old table** or **referencing new table** to refer to temporary tables containing the affected rows
  - Can be more efficient when dealing with SQL statements that update a large number of rows
- Values of attributes before and after an update can be referenced
  - **referencing old row as** : for deletes and updates
  - **referencing new row as :** for inserts and updates

Trigger to Maintain credits_earned value

```
create trigger credits_earned after update of takes
on (grade)
referencing new row as nrow
referencing old row as orow
for each row
when nrow.grade <> 'F' and nrow.grade is not null
   and (orow.grade = 'F' or orow.grade is null)
begin atomic
    update student
    set tot_cred= tot_cred +
         (select credits
          from course
          where course.course_id= nrow.course_id)
    where student.id = nrow.id;
end;
```