

NAT: Neural Architecture Transformer for Accurate and Compact Architectures

Yong Guo^{1*}, Yin Zheng^{2*}, Mingkui Tan^{1*†}, Qi Chen¹,
Jian Chen^{1†}, Peilin Zhao³, Junzhou Huang^{3,4}

¹South China University of Technology

²Weixin Group, Tencent ³Tencent AI Lab

⁴University of Texas at Arlington

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Background

Deep neural networks have achieved great success in many computer vision tasks, such as **image classification**, **face recognition**, **object detection**, etc.

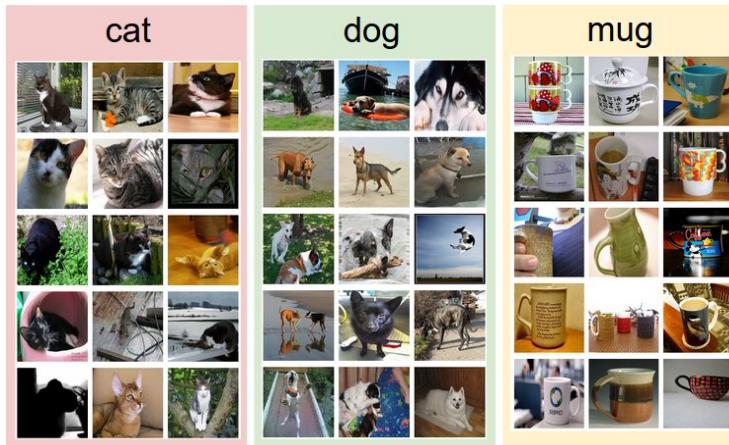
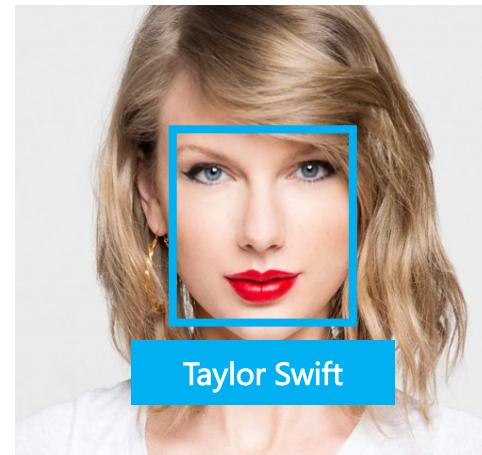
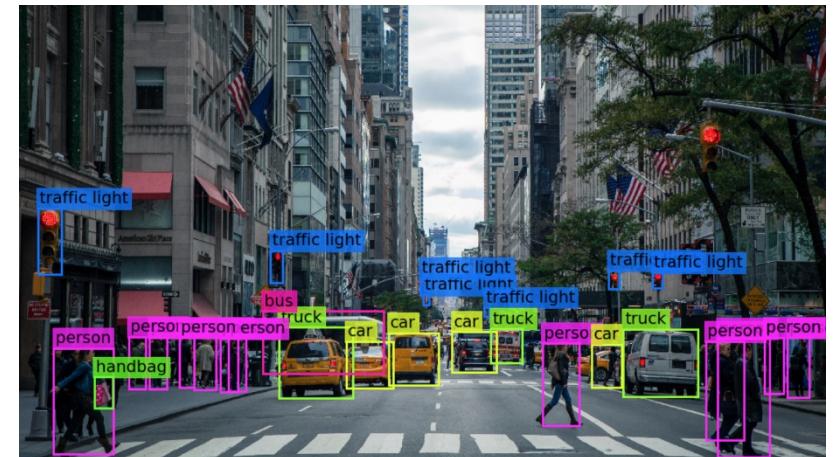


Image Classification



Face Recognition



Object Detection

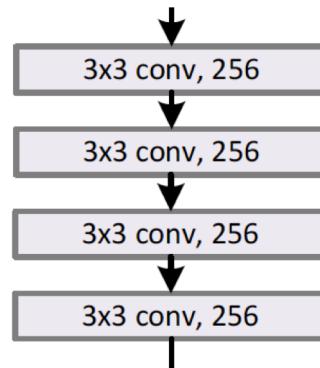
Figure: Applications of deep neural networks.

Neural Architecture Design

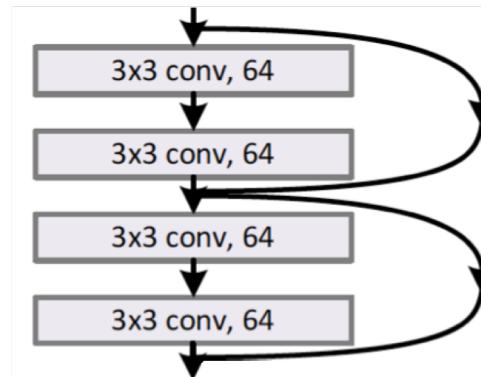
- Neural architecture design is one of the key factors behind the success of deep neural networks.
- Existing architectures can be divided into two categories:
 1. Hand-crafted architectures
 2. Automatically searched architectures

Hand-crafted Architectures

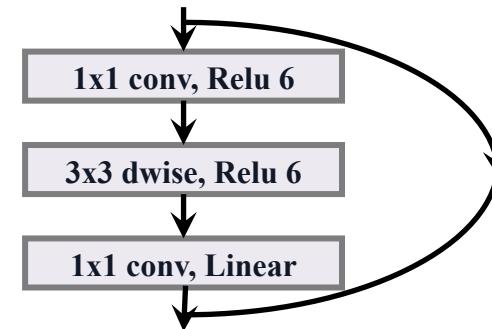
Several widely used hand-crafted architectures:



VGG



ResNet



MobileNetV2

Limitations of hand-crafted architecture design process

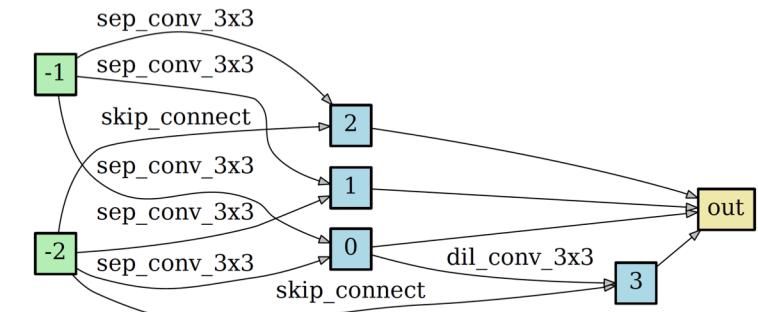
- Hand-crafted methods rely on substantial human expertise.
- Hand-crafted methods cannot fully explore the whole architecture space.

Automatically Searched Architectures

- There is a growing interest to **replace the manual process of architecture design** by Neural Architecture Search (NAS).

Graph Representation of Architectures: an architecture can be represented by a **directed acyclic graph (DAG)**.

- Node: feature maps of a specific layer
- Edge: a computational operation, e.g., convolution



DARTS normal cell

Limitations of NAS methods

- Search space is extremely large, e.g., billions of candidate architectures.
- NAS methods may find **suboptimal architectures** with limited performance.

Architecture Optimization

Since both the hand-crafted and NAS based architectures are not optimal, **can we optimize architectures to obtain the better ones?**

- One can **design architecture optimization methods** to optimize existing architectures for better performance.

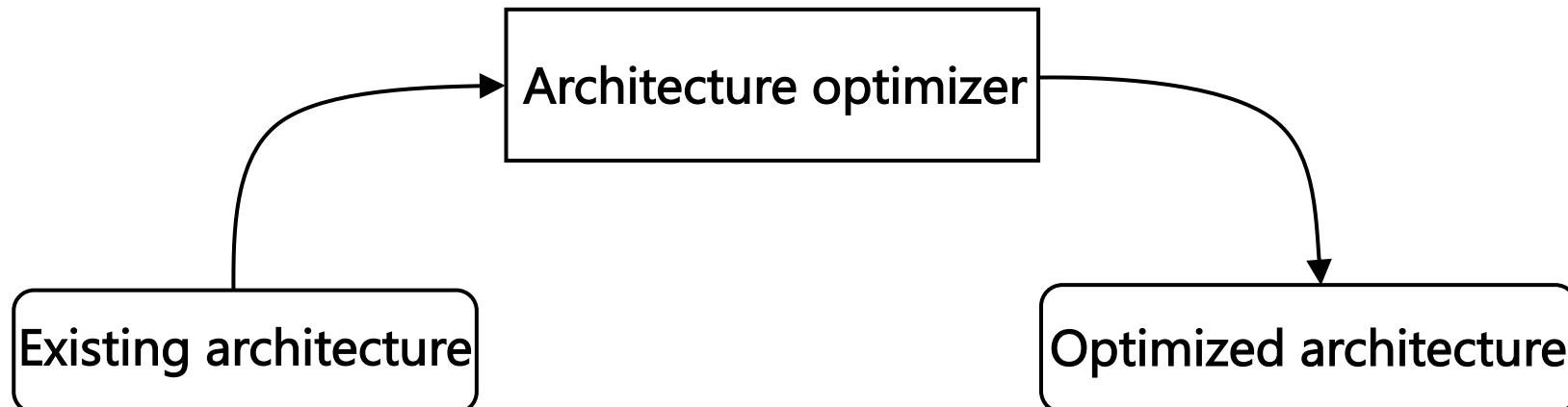
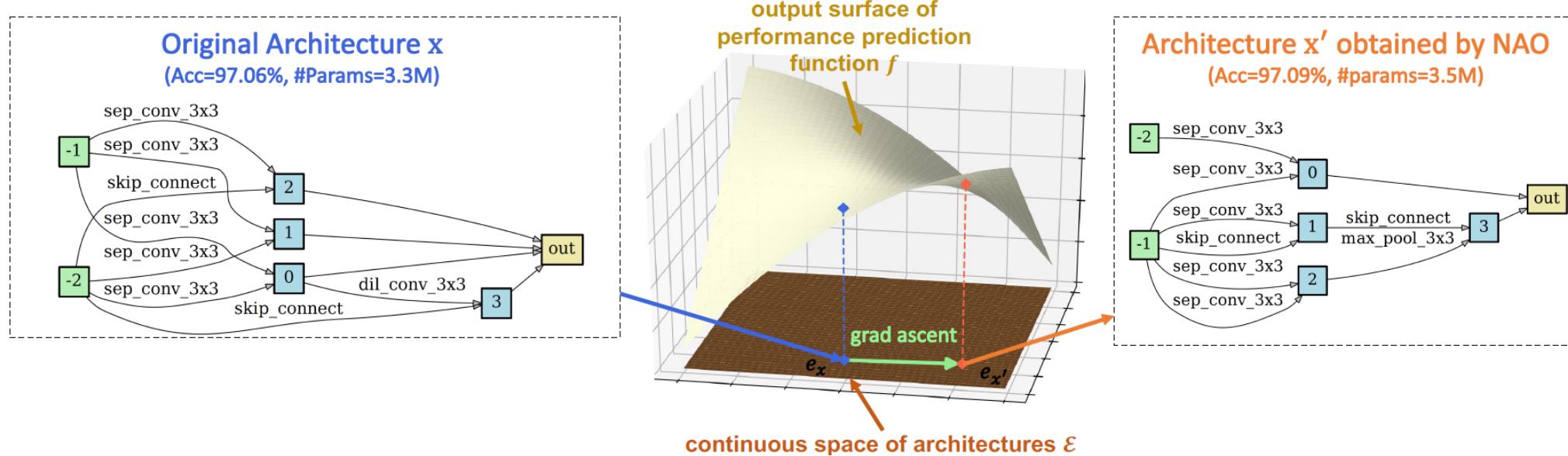


Figure: Architecture optimization scheme.

Existing Architecture Optimization Methods

■ Neural Architecture Optimization (NAO)



Limitations of NAO

- NAO may introduce extra parameters or additional computational cost.
- NAO has a NAS search space that is unnecessarily huge and expensive to train.

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Motivation

- Both hand-crafted architectures and NAS based architectures may contain **non-significant** or **redundant operations**.
- Existing architecture optimization methods may **introduce extra parameters** or **additional computational cost** into the architectures.

How to transform the redundant operations in **any arbitrary architecture** to improve the performance without introducing extra computational cost?

Problem Definition

Our goal: Transforming any arbitrary architecture for **better performance and less computational cost**.

One solution: Replacing the redundant operations with the **more efficient ones**.

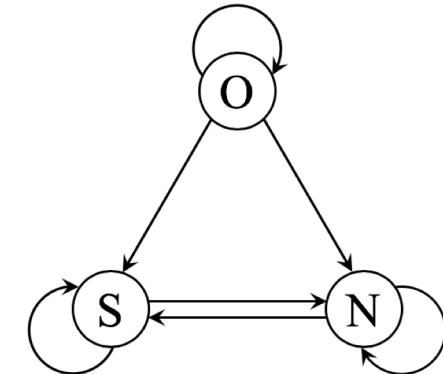


Figure: Operation transformation scheme.

- We divide the operations into three categories $\{S, N, O\}$. S denotes **skip connection**, N denotes **null connection**, O denotes the **other operations**.
- We have $c(O) > c(S) > c(N)$, where $c(\cdot)$ evaluates the computational cost.
- To **reduce the computational cost**, we allow the transitions: $O \rightarrow S$, $O \rightarrow N$, $S \rightarrow N$.
- Since skip connection has negligible cost but often can significantly improve the performance, we also allow $N \rightarrow S$.

Optimization for Arbitrary Architecture

Given any arbitrary architecture $\beta \sim p(\cdot)$, we seek to find the corresponding optimal architecture α . Then, the optimization problem can be formulated as

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [R(\alpha | \beta)], \text{ s.t. } c(\alpha) \leq \kappa$$

- $R(\alpha | \beta) = R(\alpha, w_\alpha) - R(\beta, w_\beta)$ denotes the performance improvement between the optimized architectures α and the given architectures β . w_α and w_β are the parameters of α and β .
- $c(\cdot)$ is a function to measure the computation cost of architectures.
- κ is an upper bound of the computational cost.

Optimization for Arbitrary Architecture

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [R(\alpha | \beta)], \text{ s.t. } c(\alpha) \leq \kappa$$

- It is non-trivial to directly obtain the optimal α .
- We instead sample α from the well learned policy, denoted by $\pi(\cdot | \beta; \theta)$, i.e., $\alpha \sim \pi(\cdot | \beta; \theta)$.

To learn the policy, we solve the following optimization problem:

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)], \text{ s.t. } c(\alpha) \leq \kappa, \alpha \sim \pi(\cdot | \beta; \theta)$$

where $\mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)]$ denotes the expectation of $R(\alpha | \beta)$ over the distribution of $\beta \sim p(\cdot)$ and the distribution of $\alpha \sim \pi(\cdot | \beta; \theta)$.

Optimization for Arbitrary Architecture

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)], \text{ s.t. } \underline{c(\alpha) \leq \kappa}, \alpha \sim \pi(\cdot | \beta; \theta)$$

Several challenges regarding the optimization problem

- It is hard to find a comprehensive measure to accurately evaluate the cost.
- The upper bound of computational cost κ is hard to determine.

Markov Decision Process for Learning NAT

Our solution

- We cast the optimization problem into an [architecture transformation problem](#) and reformulate it as a Markov decision process (MDP).
- We seek to optimize architectures by making a series of decisions to [replace redundant operations with the more computationally efficient operations](#).

Benefits: We do not have to [evaluate the cost](#) $c(\alpha)$ or [determine the upper bound](#) K to obtain an architecture with less computational cost.

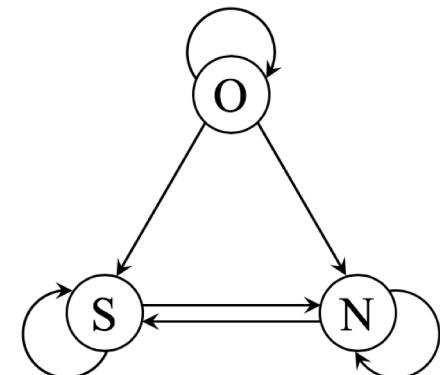


Figure: Operation transformation scheme.

Markov Decision Process for Learning NAT

Details of MDP

- An architecture is defined as a **state**.
- A transformation mapping $\beta \rightarrow \alpha$ is defined as an **action**.
- The **accuracy improvement** on validation set is regarded as **reward**.
- The **policy** $\pi(\cdot | \beta; \theta)$ parameterized by θ is the **probability distribution of the action**.

Based on MDP, how to build a model to learn the **optimal policy π** ?

Policy Learning by Graph Convolution Networks

To better exploit the **adjacency information** of the operations in an architecture, we use a two-layer **graph convolutional network (GCN)** to build the controller:

$$\mathbf{Z} = f(\mathbf{X}, \mathbf{A}) = \text{Softmax} \left(\mathbf{A} \sigma \left(\mathbf{A} \mathbf{X} \mathbf{W}^{(0)} \right) \mathbf{W}^{(1)} \mathbf{W}^{\text{FC}} \right)$$

Notations

- \mathbf{A} : adjacency matrix of the architecture graph.
- \mathbf{X} : attributes of the nodes in the graph.
- $\mathbf{W}^{(0)}$ and $\mathbf{W}^{(1)}$: weights of two graph convolution layers.
- \mathbf{W}^{FC} : weight of the fully-connected layer.
- σ : non-linear activation function.
- \mathbf{Z} : probability distribution of different candidate operations, *i.e.*, the learned policy.

Training Method

We train the transformer parameters θ and the model parameter w in an **alternative** way.

- Training the model parameters w :

$$w \leftarrow w - \eta \frac{1}{m} \sum_{i=1}^m \nabla_w \mathcal{L}(\beta_i, w)$$

where $\mathcal{L}(\cdot)$ is the cross-entropy loss, η is the learning rate.

- Training the transformer parameters θ :

To encourage exploration, we introduce an **entropy regularization term**:

$$\begin{aligned} J(\theta) &= \mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot|\beta;\theta)} [R(\alpha, w) - R(\beta, w)] + \underline{\lambda H(\pi(\cdot|\beta;\theta))}] \\ &= \sum_{\beta} p(\beta) \left[\sum_{\alpha} \pi(\alpha|\beta;\theta) (R(\alpha, w) - R(\beta, w)) + \underline{\lambda H(\pi(\cdot|\beta;\theta))} \right] \end{aligned}$$

where $H(\cdot)$ evaluates the entropy of the policy, and λ controls the strength of the entropy regularization term.

Training Method

Algorithm 1 Training method for Neural Architecture Transformer (NAT).

```
1: Initiate  $w$  and  $\theta$ .  
2: while not convergent do  
3:   for each iteration on training data do  
4:     Sample  $\beta_i \sim p(\cdot)$  to construct a batch  $\{\beta_i\}_{i=1}^m$ .  
5:     Update the model parameters  $w$  by descending the gradient.  
6:   end for  
7:   for each iteration on validation data do  
8:     Sample  $\beta_i \sim p(\cdot)$  to construct a batch  $\{\beta_i\}_{i=1}^m$ .  
9:     Obtain  $\{\alpha_j\}_{j=1}^n$  according to the policy learned by GCN.  
10:    Update the parameters  $\theta$  by ascending the gradient.  
11:  end for  
12: end while
```

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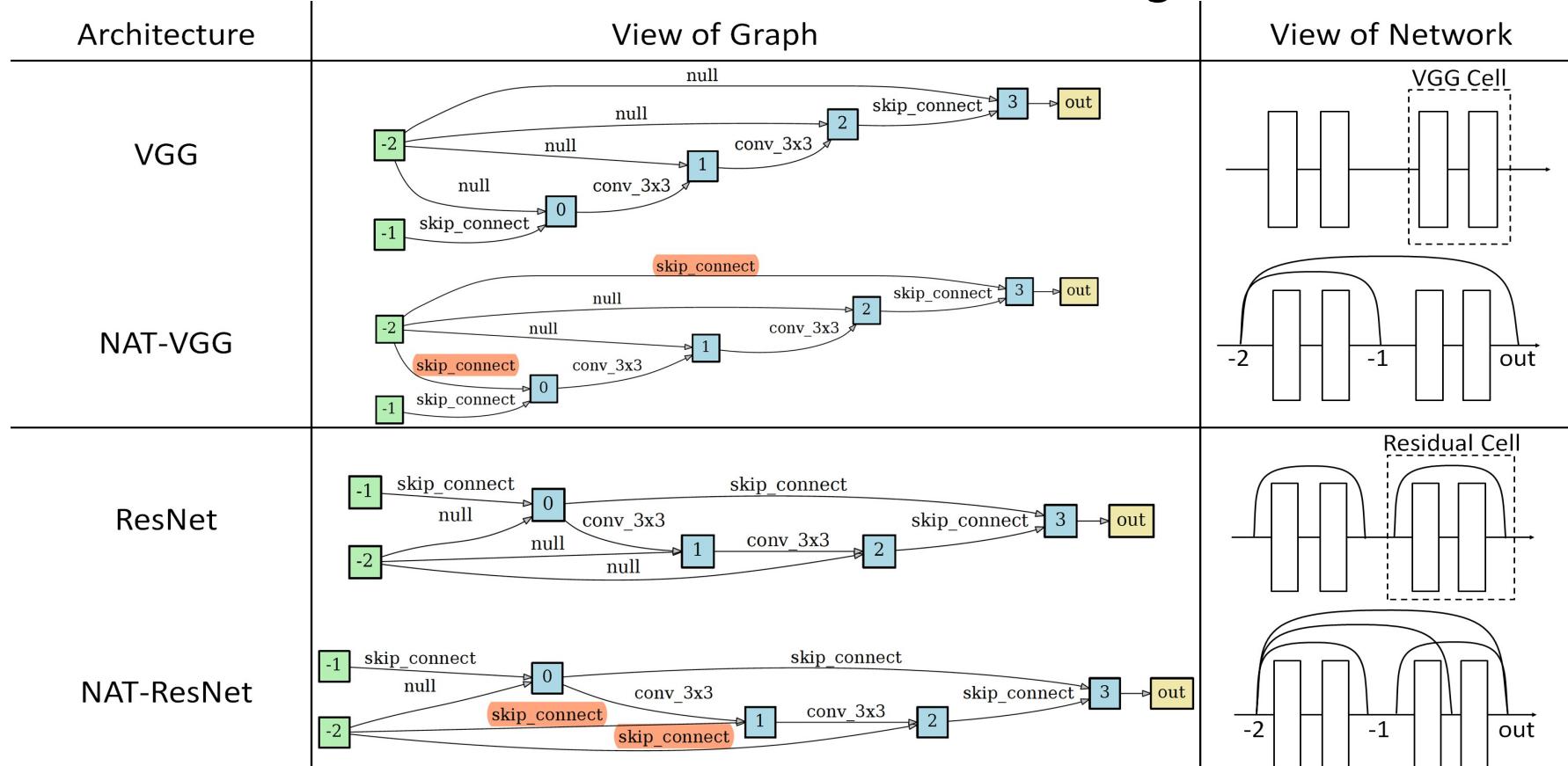
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Visual Results of Hand-crafted Architectures

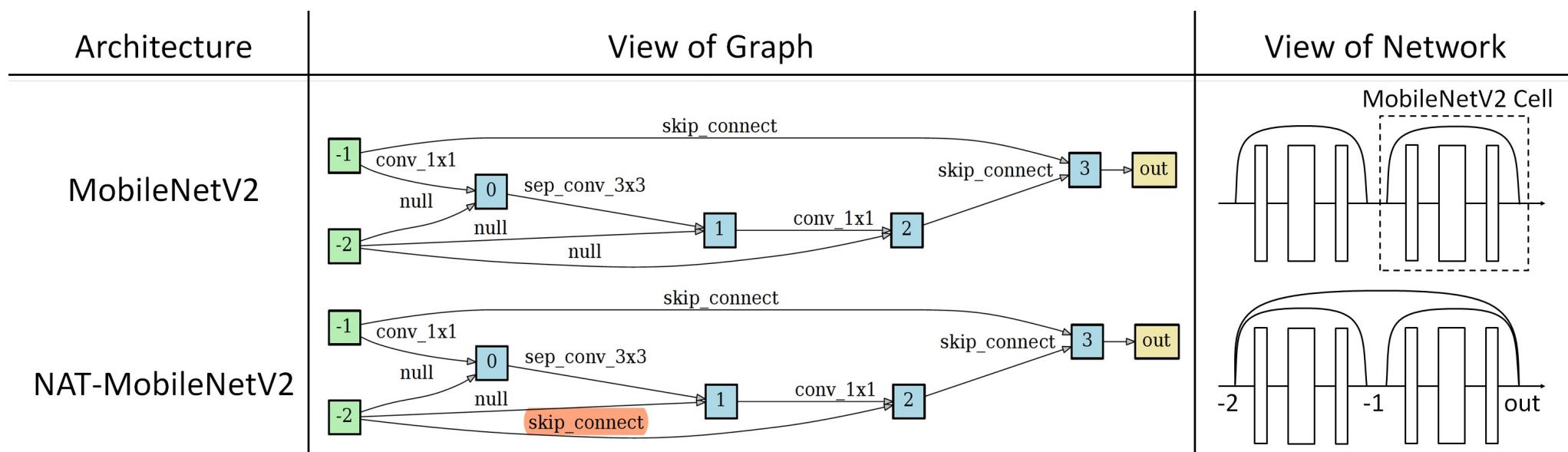
- Results on several hand-crafted architectures, including VGG, ResNet, and MobileNet.



➤ NAT introduces additional skip connections to improve the performance.

Visual Results of Hand-crafted Architectures

- Results on several hand-crafted architectures, including VGG, ResNet, and MobileNet.



- NAT introduces additional skip connections to improve the performance.

Comparison on Hand-crafted Architectures

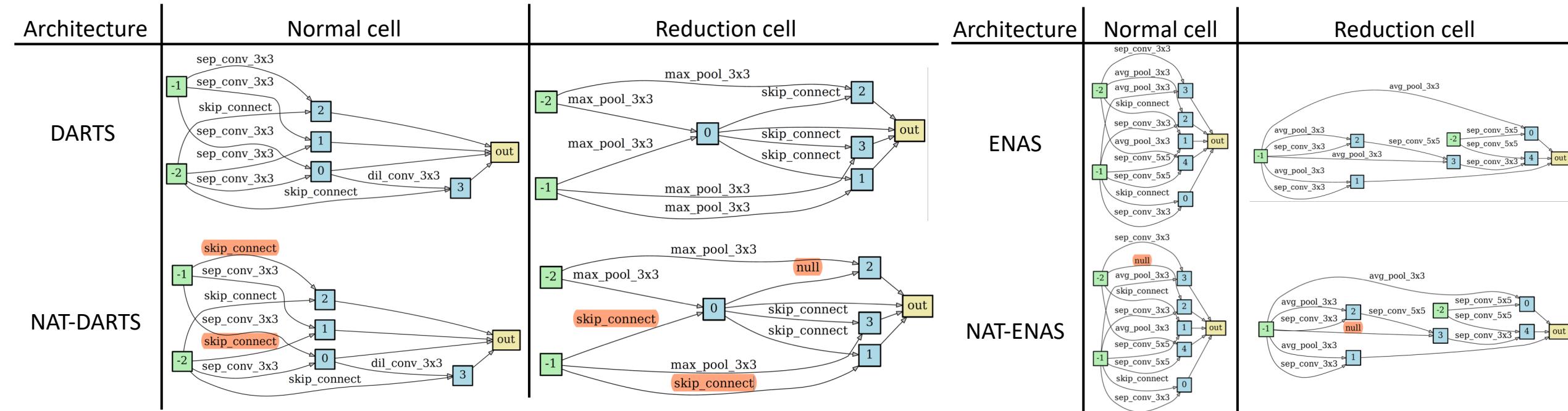
- Results on several hand-crafted architectures, including VGG, ResNet, and MobileNet.

CIFAR-10					ImageNet				
Model	Method	#Params (M)	#MAdds (M)	Acc. (%)	Model	Method	#Params (M)	#MAdds (M)	Acc. (%)
	/	15.2	313	93.56		/	138.4	15620	71.6 90.4
VGG16	NAO[32]	19.5	548	95.72	VGG16	NAO [32]	147.7	18896	72.9 91.3
	NAT	15.2	315	96.04		NAT	138.4	15693	74.3 92.0
	/	0.3	41	91.37		/	11.7	1580	69.8 89.1
ResNet20	NAO [32]	0.4	61	92.44	ResNet18	NAO [32]	17.9	2246	70.8 89.7
	NAT	0.3	42	92.95		NAT	11.7	1588	71.1 90.0
	/	0.9	127	93.21		/	25.6	3530	76.2 92.9
ResNet56	NAO [32]	1.3	199	95.27	ResNet50	NAO [32]	34.8	4505	77.4 93.2
	NAT	0.9	129	95.40		NAT	25.6	3547	77.7 93.5
	/	2.3	91	94.47		/	3.4	300	72.0 90.3
MobileNetV2	NAO [32]	2.9	131	94.75	MobileNetV2	NAO [32]	4.5	513	72.2 90.6
	NAT	2.3	92	95.17		NAT	3.4	302	72.5 91.0

- NAT based models yield significantly better performance with approximately the same computational cost as the baseline models.

Visual Results on NAS based Architectures

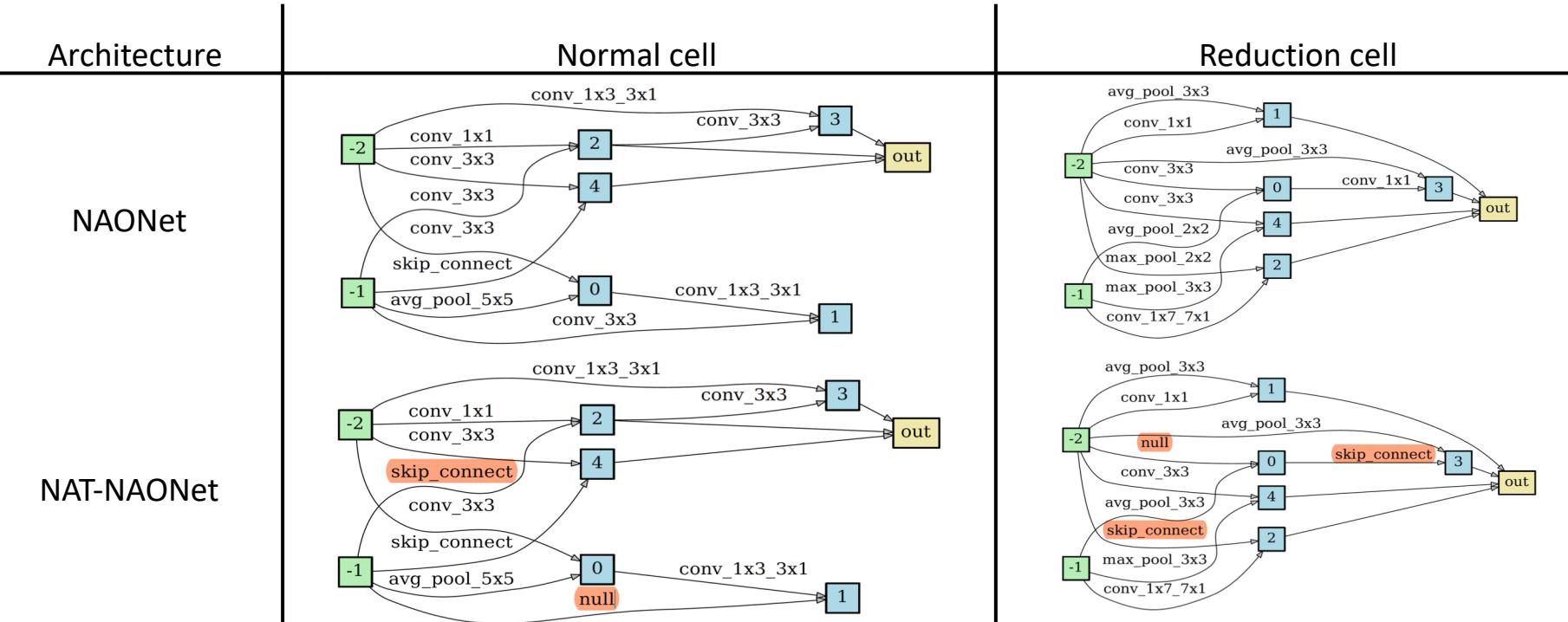
- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.



- NAT replaces several redundant operations with the skip connections or directly removes the connections to reduce computation cost.

Visual Results on NAS based Architectures

- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.



- NAT **replaces several redundant operations** with the skip connections or **directly removes the connections** to reduce computation cost.

Comparison on NAS based Architectures

- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.

CIFAR-10					ImageNet						
Model	Method	#Params (M)	#MAdds (M)	Acc. (%)	Model	Method	#Params (M)	#MAdds (M)	Acc. (%)		
										Top-1	Top-5
AmoebaNet [†] [37]	/	3.2	-	96.73	AmoebaNet [37]	/	5.1	555	74.5	92.0	
PNAS [†] [29]		3.2	-	96.67	PNAS [29]		5.1	588	74.2	91.9	
SNAS [†] [50]		2.9	-	97.08	SNAS [50]		4.3	522	72.7	90.8	
GHN [†] [54]		5.7	-	97.22	GHN [54]		6.1	569	73.0	91.3	
ENAS [†] [36]	/	4.6	804	97.11	ENAS [36]	/	5.6	679	73.8	91.7	
	NAO [32]	4.5	763	97.05		NAO [32]	5.5	656	73.7	91.7	
	NAT	4.6	804	97.24		NAT	5.6	679	73.9	91.8	
DARTS [†] [30]	/	3.3	533	97.06	DARTS [30]	/	5.9	595	73.1	91.0	
	NAO [32]	3.5	577	97.09		NAO [32]	6.1	627	73.3	91.1	
	NAT	3.0	483	97.28		NAT	3.9	515	74.4	92.2	
NAONet [†] [32]	/	128	66016	97.89	NAONet [32]	/	11.35	1360	74.3	91.8	
	NAO [32]	143	73705	97.91		NAO [32]	11.83	1417	74.5	92.0	
	NAT	113	58326	98.01		NAT	8.36	1025	74.8	92.3	

- NAT based models yield significantly better performance with less or comparable computational cost as the baseline models.

Comparison of Different Policy Learners

- We compare several policy learners, including Random Search, LSTM, and two GCN based methods.

Method	VGG16	ResNet20	MobileNetV2	ENAS [†]	DARTS [†]	NAONet [†]
/	93.56	91.37	94.47	97.11	97.06	97.89
Random Search	93.17	91.56	94.38	96.58	95.17	96.31
LSTM	94.45	92.19	95.01	97.05	97.05	97.93
Maximum-GCN	94.37	92.57	94.87	96.92	97.00	97.90
Sampling-GCN (Ours)	95.93	92.97	95.13	97.21	97.26	97.99

- Our Sampling-GCN method significantly outperforms the other methods.

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Conclusion

- We propose a novel Neural Architecture Transformers (NAT) to optimize any arbitrary architectures for better performance without extra computational cost.
- We cast the problem into a Markov decision process (MDP) and employ graph convolutional network (GCN) to learn the optimal policy.
- Extensive experiments show the effectiveness of NAT on both hand-crafted and NAS based architectures.

Thanks!
Q & A