

# Deep Reinforcement Learning on Car Racing Game

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# Outline

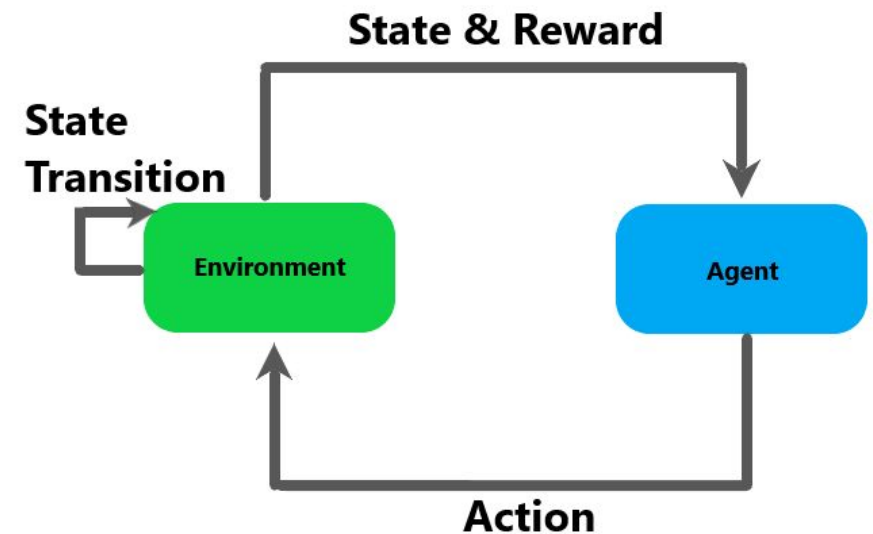
- Background
- Game Environment
- Deep Q-Network (DQN)
- Double DQN (DDQN)
- Dueling DQN
- Experimental Result

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# Reinforcement Learning Review

- Enables an **agent** to learn in an interactive **environment**
- Each **action** influences the agent's future state
- Success is measured by **reward**
- Goal: select actions to **maximize** future reward



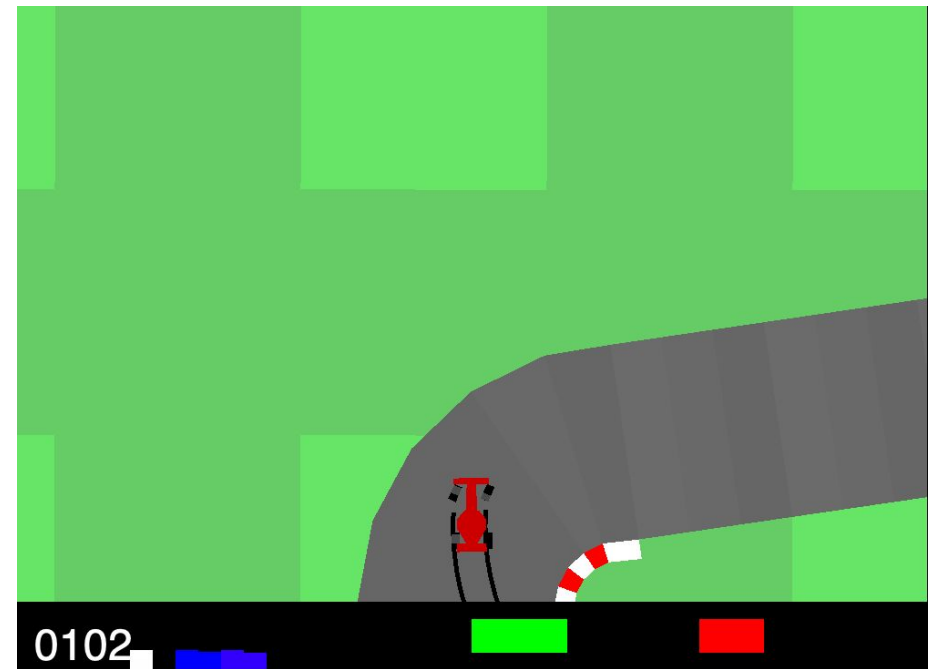
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# Game Environment



- Car-Racing-v0 from gym library as our basic environment
- Agent: car
- States: pics taken every 4 frames of 96×96 pixels
- Actions: forward, brake, left, right
- Reward:
  - if action detected, reward -= 0.1
  - if running out of playfield, reward -= 150



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# DQN -- The Combination of Q-learning and Neural Network

- Turn Q-table into Q-Network

$$Q(S_t, A_t) \leftarrow Q(S_t, A_t) + \alpha [R_{t+1} + \gamma \max_a Q(S_{t+1}, a) - Q(S_t, A_t)]$$

$$Q_t = r_t + \gamma \max_{a'} Q(S_{t+1}, a'; \theta)$$



# DQN

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**Algorithm 1** Deep Q-learning with Experience Replay

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Initialize replay memory  $\mathcal{D}$  to capacity  $N$

Initialize action-value function  $Q$  with random weights

**for** episode = 1,  $M$  **do**

    Initialize sequence  $s_1 = \{x_1\}$  and preprocessed sequenced  $\phi_1 = \phi(s_1)$

**for**  $t = 1, T$  **do**

        With probability  $\epsilon$  select a random action  $a_t$

        otherwise select  $a_t = \max_a Q^*(\phi(s_t), a; \theta)$

        Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$

        Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$

        Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in  $\mathcal{D}$

        Sample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from  $\mathcal{D}$

        Set  $y_j = \begin{cases} r_j & \text{for terminal } \phi_{j+1} \\ r_j + \gamma \max_{a'} Q(\phi_{j+1}, a'; \theta) & \text{for non-terminal } \phi_{j+1} \end{cases}$

        Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  according to equation 3

**end for**

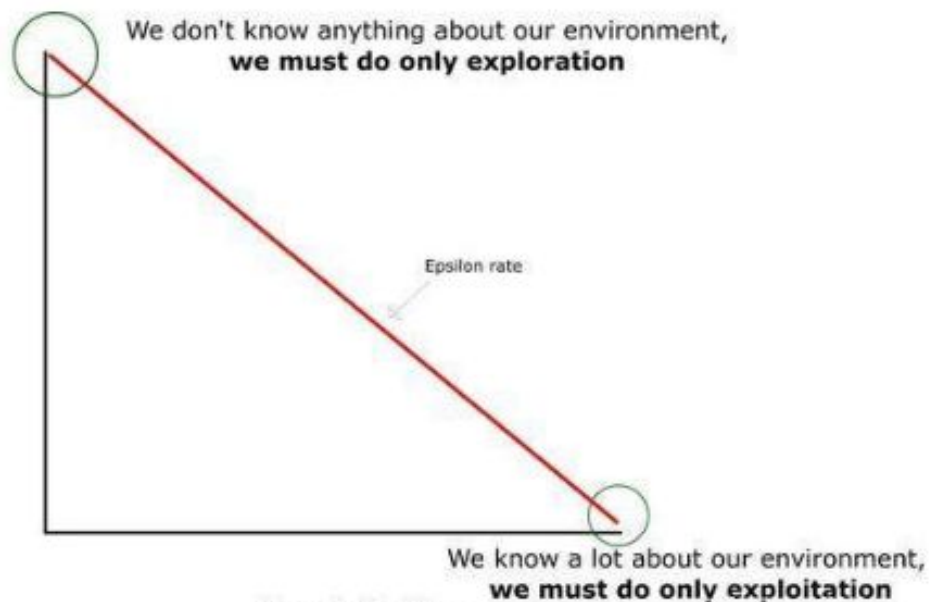
**end for**

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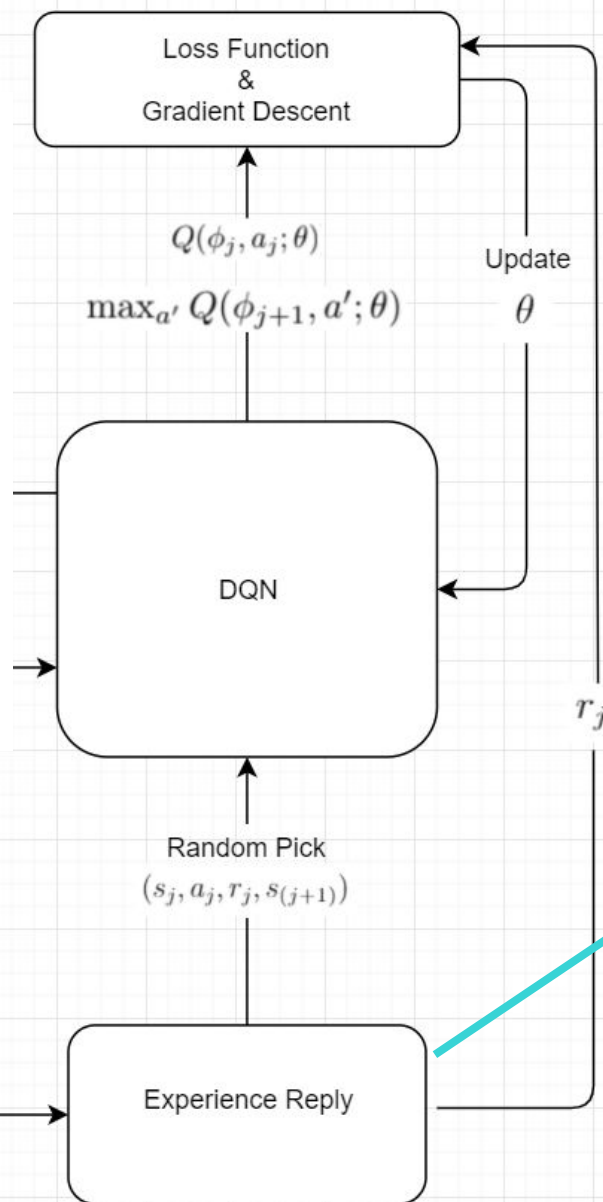
Algorithm from *Playing Atari with Deep Reinforcement Learning*

# DQN

Exploration



Exploitation



The prev\_state and new\_state won't change too much.

The correlation between state and state is too strong!

Solve:

Random sampling to minimize the correlation between states

# Q-net Architecture

Conv1 = 7*7	filter = 8	stride = 3
max_pooling1	filter = 2	stride = 2
Conv2 = 3*3	filter = 16	stride = 2
max_pooling2	filter = 2	stride = 2
fc1	node = 256	
fc2	node = 4	

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# Double DQN(DDQN) Improvement in Q value estimation

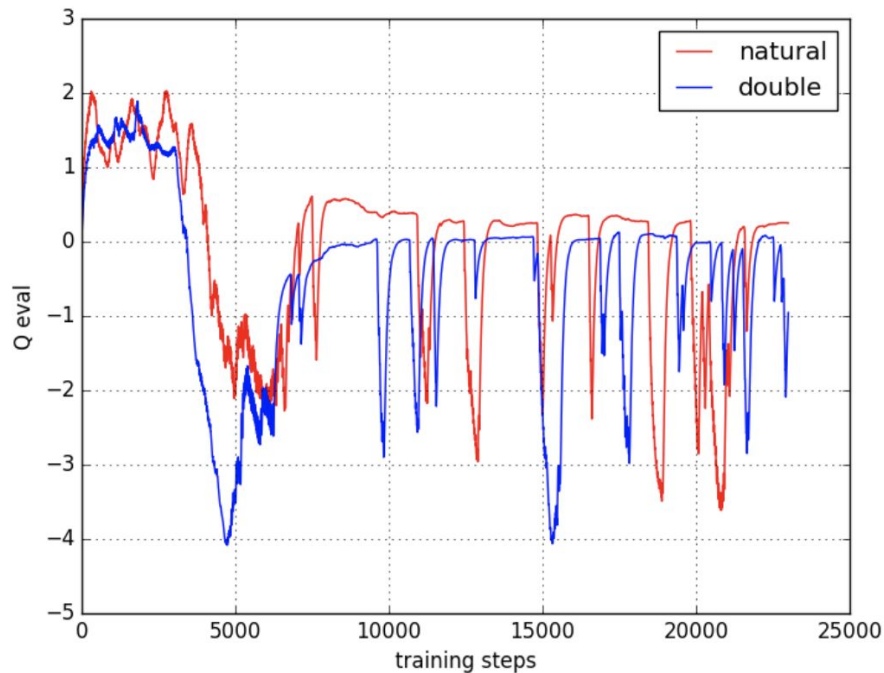
How Double DQN works?

Original DQN target Y:  $Y_t^{\text{DQN}} \equiv R_t + \gamma \max_a Q(S_{t+1}, a; \theta_t^-)$

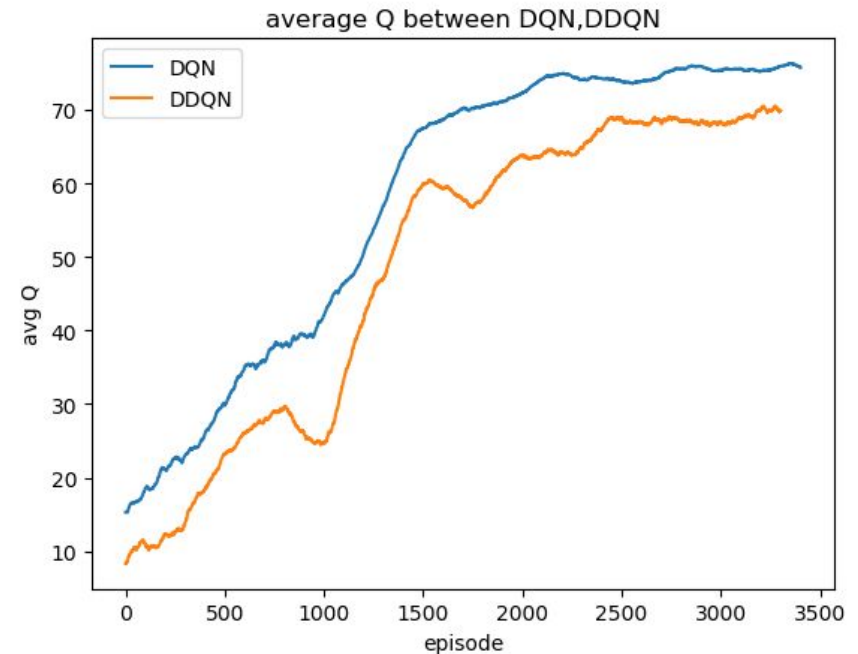
Double DQN target Y:  $Y_t^{\text{DoubleQ}} \equiv R_t + \gamma Q(S_{t+1}, \underset{a}{\operatorname{argmax}} Q(S_{t+1}, a; \theta_t); \theta'_t)$

In standard Q-learning and DQN, they both use same values to select and to evaluate an action. This may cause overestimated values, resulting overoptimistic value estimates. In double DQN, we use another second set of weights to evaluate the greedy policy estimating by the first set of weights (original DQN weights).

# Double DQN(DDQN)



An example showing that the double DQN tends to reduce the overestimation (online source)



Our results also shows that the double DQN tends to reduce the overestimation

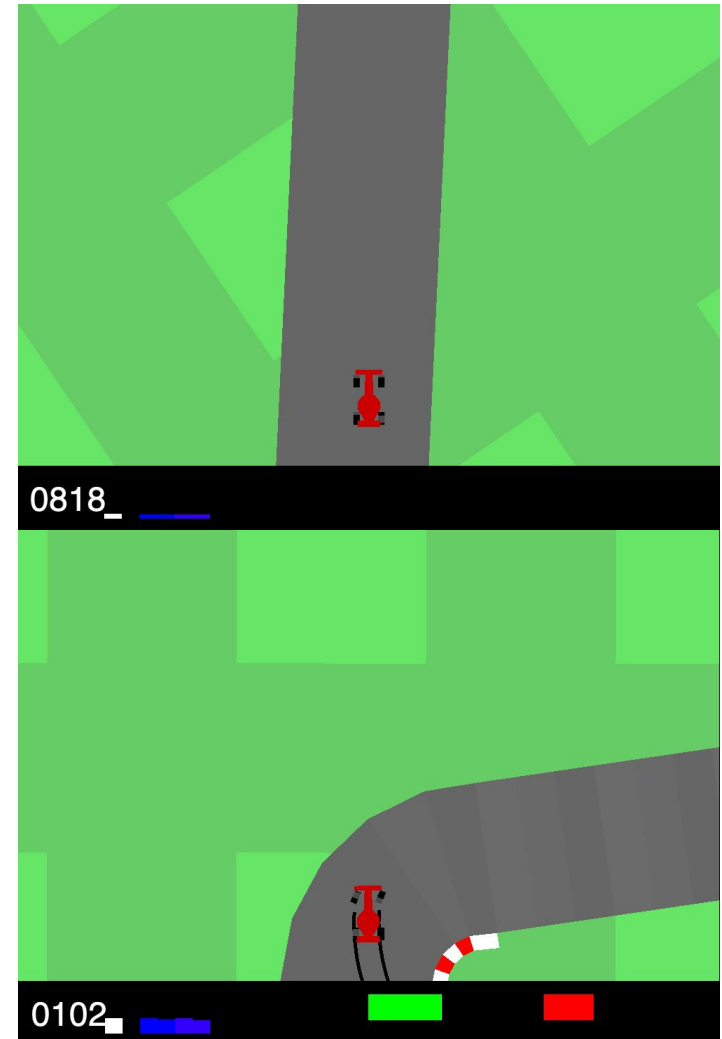
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# Dueling Network

- Why dueling network?  
There are many states where it's unnecessary to estimate the value of each action choice. Its actions do not affect the reward in any relevant way.

Improvement in network structure





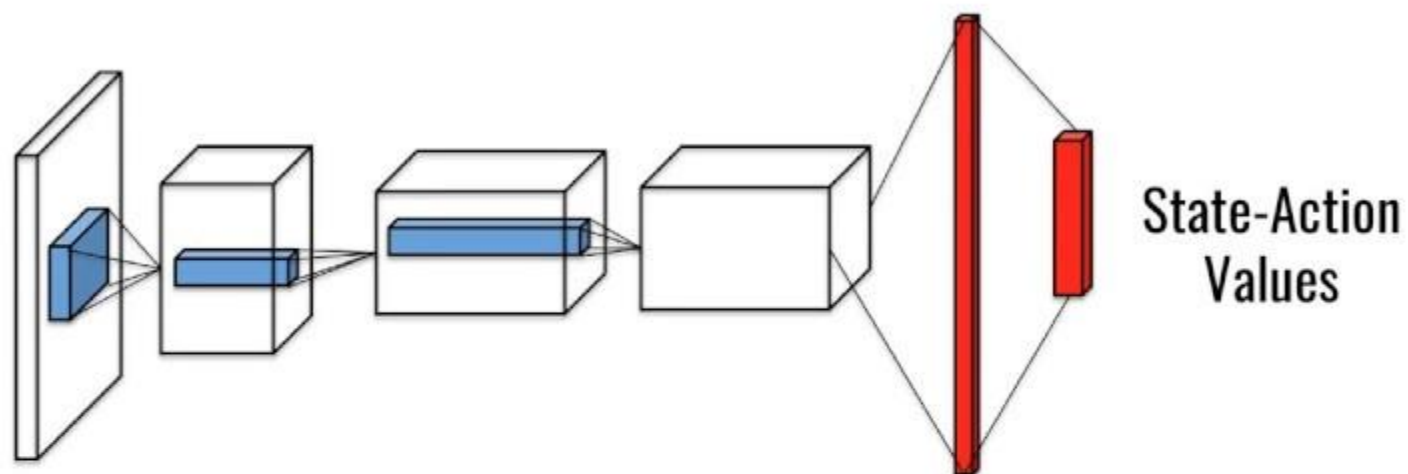
# Dueling Network

- What is the new Q value here?

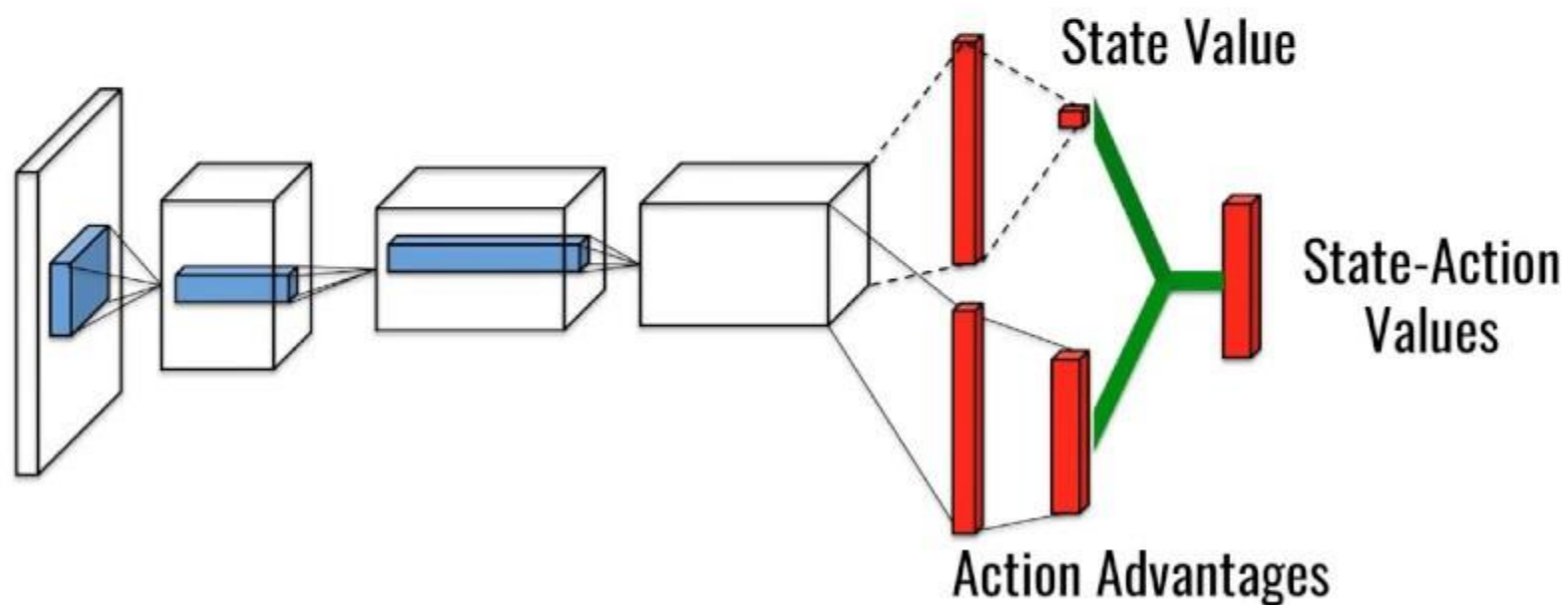
state-value function  $V(s; \theta, \beta)$ , advantage function  $A(s, a; \theta, \alpha)$

$$Q(s, a; \theta, \alpha, \beta) = V(s; \theta, \beta) + A(s, a; \theta, \alpha)$$

$$Q(s, a; \theta, \alpha, \beta) = V(s; \theta, \beta) + (A(s, a; \theta, \alpha) - \frac{1}{|A|} \sum_{a'} A(s, a'; \theta, \alpha))$$



**Standard  
Q-network**



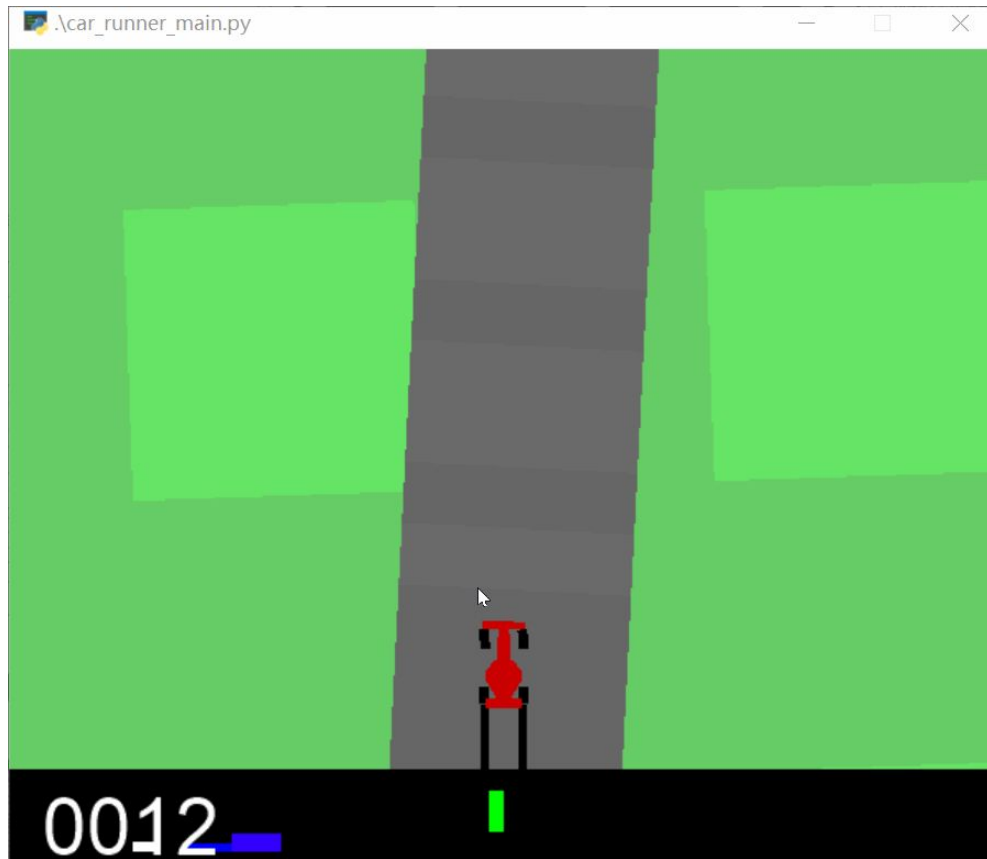
**Dueling  
Q-network**

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# Result

Before training



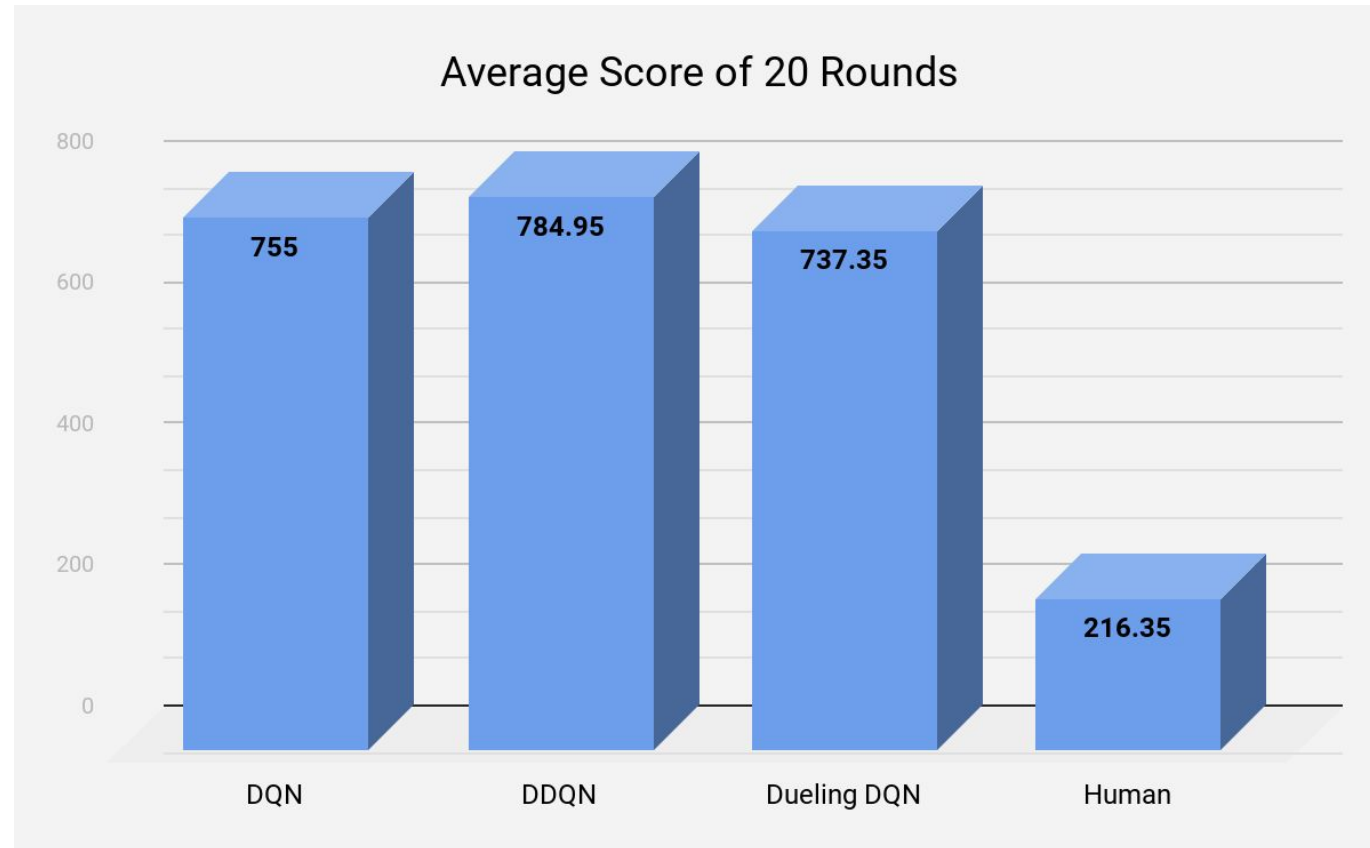
After 12h training



# Test Results

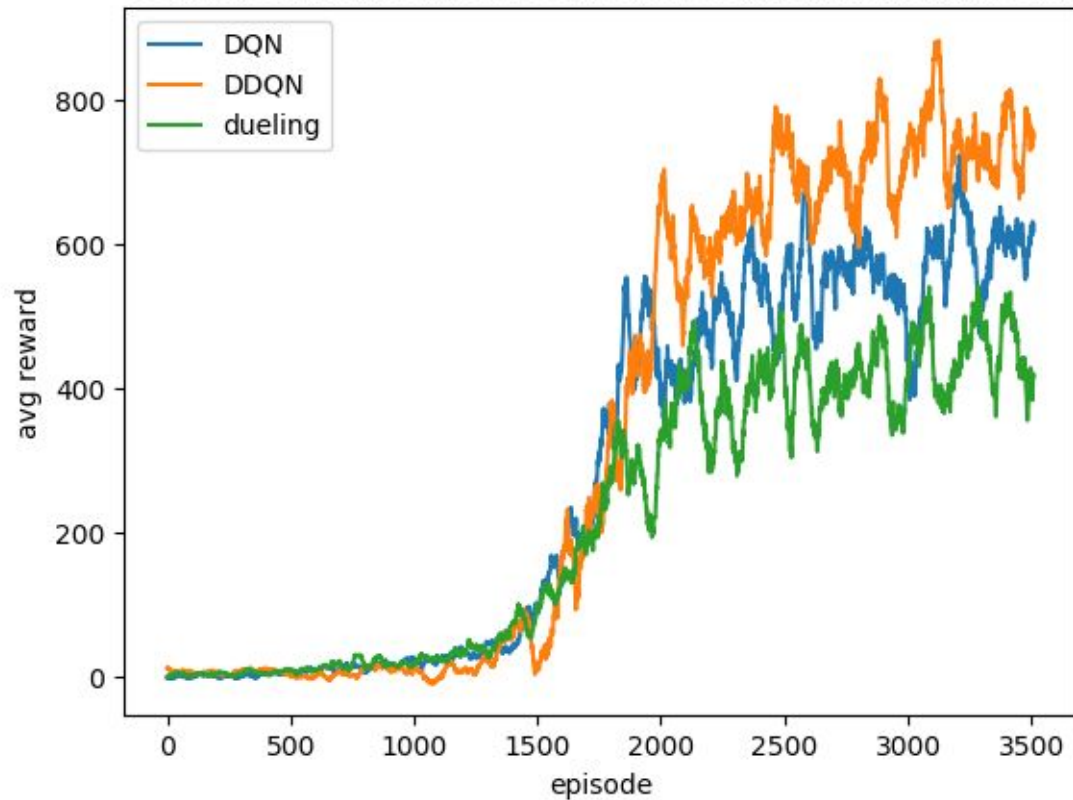
DQN	DDQN	Dueling DQN	Human
755	784.95	737.35	216.35

Average score of 20 rounds (episodes)

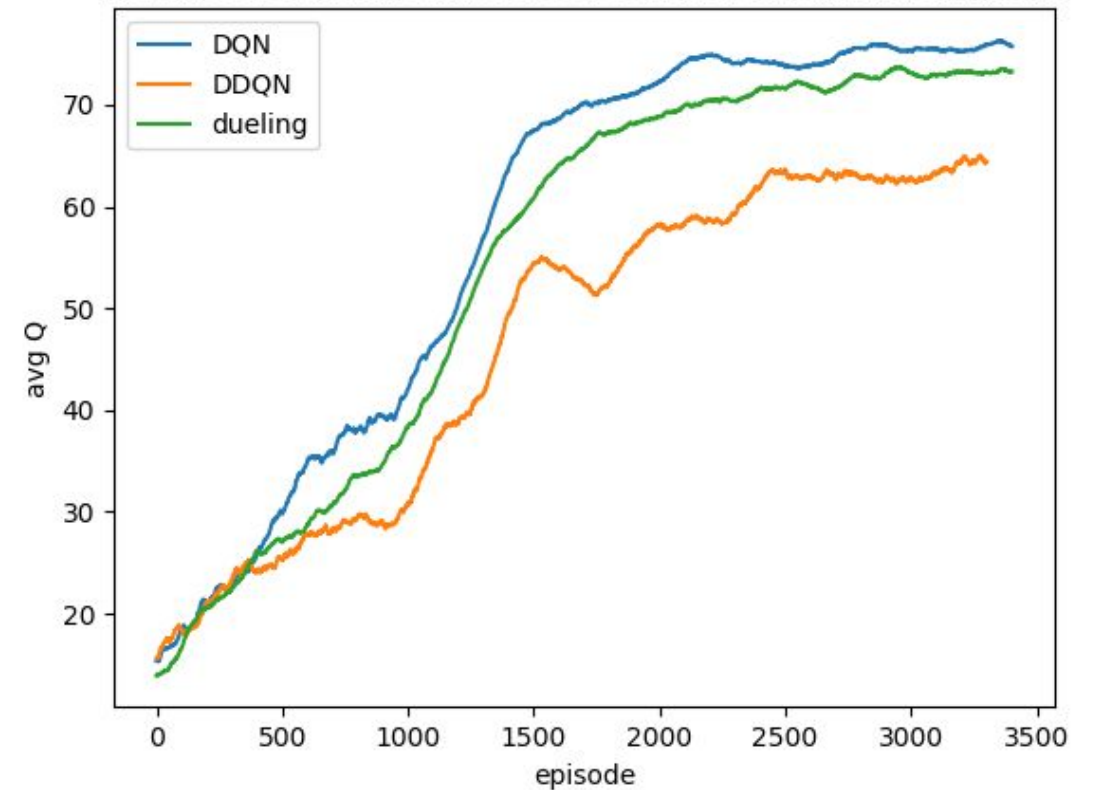


# Training Results

reward comparison between DQN,DDQN and dueling DQN



average Q comparison between DQN,DDQN and dueling DQN



# Thoughts on Dueling DQN bad results

- The view of the car is narrow, every action value estimation is significant in every state.
- The number of nodes in fully-connected layer in dueling network is smaller. Thus, features extracted is not sufficient.



# END