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Survival of microencapsulated probiotic bacteria after processing and during storage: a review

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Abstract

The use of live probiotic bacteria as food supplement has become popular. Capability of probiotic bacteria to be kept at room temperature becomes necessary for customer's convenience and manufacturer's cost reduction. Hence, production of dried form of probiotic bacteria is important. Two common drying methods commonly used for microencapsulation are freeze drying and spray drying. In spite of their benefits, both methods have adverse effects on cell membrane integrity and protein structures resulting in decrease in bacterial viability. Microencapsulation of probiotic bacteria has been a promising technology to ensure bacterial stability during the drying process and to preserve their viability during storage without significantly losing their functional properties such as acid tolerance, bile tolerance, surface hydrophobicity and enzyme activities. Storage at room temperatures instead of freezing or low temperature storage is preferable for minimizing costs of handling, transportation and storage. Concepts of water activity and glass transition become important in terms of determination of bacterial survival during the storage. The effectiveness of microencapsulation is also affected by

microcapsule materials. Carbohydrate- and protein-based microencapsulants and their combination are discussed in terms of their protecting effect on probiotic bacteria during dehydration, during exposure to harsh gastrointestinal transit and small intestine transit and during storage.

Keywords: freeze drying, spray drying, gut, room temperature storage

INTRODUCTION

Probiotics have been considered as a functional food due to their abilities to provide health benefits (Lin, 2003; Sarkar, 2007) beyond nutrition. The use of probiotic bacteria is not limited to fermented milk, such as yogurt or yakult, but is extended to other forms of functional foods or beverages (Gibson, 2007; Prado et al., 2008). Consumption of probiotic bacteria in dried form is currently being developed with global market of worth \$1.2 billion in 2007 with predictions to achieve \$1.7 billion in 2013 (Anonymous, 2008). From many consumers, it is more practical to take dehydrated probiotic bacteria due to ease of convenience. In fact, most of probiotic bacteria supplement products has to be kept at refrigerator to keep the bacteria alive in high population (Amagase and Ide, 2007), which means high costs of transportation and storage. In addition, there is high expectation that probiotic bacteria should be still alive at certain population number during passage through gastrointestinal tract before adhering to lower intestinal tract and colon of the hosts. Microencapsulation is designed to cope with these adverse conditions. Studies have been conducted to produce microencapsulated probiotic bacteria which can easily be kept at room temperature and survive during exposure to harsh digestive systems (O'Riordan et al., 2001; Desmond et al., 2002; Sunny-Roberts and Knorr, 2009; Heidebach et al., 2010). The harsh conditions encountered by microencapsulated probiotic bacteria prior to exerting beneficial effects to the hosts are shown in Figure 1.

Microencapsulation methods of probiotic bacteria are based on hydrocolloid system or emulsion system followed by spray drying (O'Riordan et al., 2001; Crittenden et al., 2006), freeze drying (Bruno and Shah, 2003; Capela et al., 2006; Heidebach et al., 2010), vacuum desiccation (Efiuvwevwere et al., 1999; Xiaoyan and Xiguang, 2009), hybridisation system (Ann

et al., 2007) and extrusion followed by fluidized bed drying (Kim et al., 1988). Freeze drying method is the most common technique to dehydrate probiotic bacteria within coating materials or in dairy products (Meng et al., 2008). On the other hand, spray drying is popular in microencapsulation industries due to its economical and flexibility (Kailasapathy, 2002). A combination of encapsulating material(s) and suitable drying method with optimum setting conditions, for instance proportion of formulations, freezing temperature, time, temperature and pressure of freeze drying, or inlet and outlet temperatures of spray drying, improves the stability of probiotic bacteria during storage and during exposure to harsh gastrointestinal and small intestinal tract before adhering to colon to exert beneficial effects. Reviews on probiotic microencapsulation technology including application of various substances as protectants have been carried out by Anal and Singh (2007), Mortazavian et al. (2007), Kailasapathy (2002), Poncelet (2006) and Rokka et al. (2010). Carvalho (2004) reviewed more specifically about effects of freeze drying on probiotic bacteria, and Peighambardoust et al. (2011) emphasized on spray drying technique and its effect on lactic acid bacterial stability. Storage at ambient temperature has gained more attention due to its low storage cost as compared to that in refrigeration or frozen conditions. In addition, review on effectiveness of spray- and freeze drying as part of microencapsulation in preserving probiotic bacteria protected by hydrocolloids, sugars, emulsion-based system or their combinations during storage is lacking. Therefore, the present article is more focused on the effectiveness of microencapsulation of probiotic bacteria in improving survival including their acid and bile tolerance after freeze-drying and spray-drying and during subsequent storage with an emphasis on storage at room temperatures. Studies related to microencapsulation technology of probiotic bacteria are shown in Table 1.

STABILITY OF PROBIOTIC BACTERIA

Probiotic has been defined by FAO/WHO (2001) as microorganisms that when administered in adequate amount provide one or more health benefits to the hosts. The definition proposed by Tabbers and Benninga (2007) and Boirivant and Strober (2007) is that probiotics are single or mixed non-pathologic bacteria that have capability to alleviate inflammation when supplied into inflamed intestine. They have abilities to release advantageous effects to the host such as maintaining the balance of bacteria thus improving strength of intestinal environment, enhancing the host's immune system resulting in reduction in intestinal infection, reducing the symptoms of lactose intolerance, reducing the risk of certain cancers, reducing inflammatory bowel disease and counteracting allergies, and providing antioxidants (Gilliland, 1990; Shah and Jelen, 1990; Gill and Guarner, 2004; Mottet and Michetti, 2005). In conjunction with those expectations, probiotic bacteria should be stable in gastric juice and bile salts of intestinal tracts, be able to adhere to human epithelial cells before releasing some benefits such as antimicrobial activity and prohibiting adhesion of pathogen to the epithelial cells (Dicks and Botes, 2010). Most probiotic bacteria belong to the species of *Lactobacillus* and *Bifidobacterium* (Lin, 2003), some *Lactococcus* strains have also been considered as probiotic based on their acid and bile tolerance (Kimoto et al., 1999; Kimoto et al., 2003).

Bifidobacterium

The first invention of *Bifidobacterium* was by Tissier of the Pasteur Institute in France in 1899 with an original name of *Bacillus bifidus communis*. It was classified as genus *Lactobacillus* based on its morphology and its characteristics, but was then declared as a sovereign genus namely *Bifidobacterium* in 1960s (Ishibashi et al., 1997). Currently, more than

30 *Bifidobacterium* species have been identified isolated from either human or animal intestines. *Bifidobacterium* isolated from human feces include *B. longum*, *B. breve*, *B. infantis*, *B. bifidum*, *B. adolescentis* and *B. pseudocatenulatum*, while those isolated from animal feces are *B. pseudolongum*, *B. thermophilus* and *B. animalis* (Ishibashi et al., 1997). Among *Bifidobacterium*, *B. animalis* is more adaptive in acid environment; *B. animalis* that has encountered the genetic changes is known as *B. lactis* strains (Meile et al., 1997).

The bacteria of the genus *Bifidobacterium* are gram positive, immobile and nonsporulated (Ballongue, 1998). They have rod and coccoid forms in the exponential and early stationary growth phases, and the cells are developed into branched and septated filaments, clubbed cell forms in the late stationary and death phases. The glucose fermentation end-products formed during their growth are acetic and lactic acids. They are not acid tolerant, however they are able to develop mechanism to adjust to the unfavorable environments including pH of 4.0-4.2 (Novik et al., 2001). Some strains of *B. animalis* and *B. thermacidophilum* are still capable of surviving at pH 3.5-4.0 (Dong et al., 2000). Bifidobacteria are also rigorously anaerobic, even though some strains such as *Bifidobacterium animalis* subsp. *lactis* and *Bifidobacterium thermophilum* are considered as microaerophilic (Von-Ah et al., 2007; Li et al., 2010).

Lactobacillus

Lactic acid bacteria are gram-positive, non-sporing, non-respiring cocci or rods, and producing lactic acid as the major end-product during the fermentation of carbohydrates. *L. acidophilus* species is a microaerophilic having ability to ferment sugars (Axelsson, 1998) but some strains are capable of digesting sucrose more efficiently than lactose (Mital and Garg, 1992). Catabolic metabolism through Embden-Meyerhof-Parnas pathway occurs to digest

glucose in order to produce the main product namely lactic acid; thus lactic acid bacteria are categorized as homofermentative bacteria (Axelsson, 1998).

Due to their health-promoting properties, some of them are classified as probiotic bacteria. Some of LAB species such as *L. acidophilus* has been recognized as probiotic bacteria due to their ability to adhere to animal or human intestines and to release health advantages for the hosts. In addition, the ability of LAB to bind mutagens has been hypothesized as a protecting mechanism against cancer (Ljungh and Wadström, 2006). *L. acidophilus* and *L. salivarius* could be good examples of LAB with their probiotic properties. They survive well in harsh environments such as very low pH and high bile concentration. They have ability to reduce the population of *Salmonella* by preventing their colonization on the epithelium (which is known as co-aggregation mechanism) (Del-Re et al., 2000), while they are able to adhere on it (Orłowski and Bielecka, 2006).

Lactococcus

Lactococcus strains, besides *Lactobacillus* strains, are also categorized as lactic acid bacteria. *Lactococcus* has an important role as a starter (or mixed cultures) in cheese and other fermented milk products owing to their high proteolysis and acidifying abilities (Monteagudo-Mera et al., 2011). These are gram-positive and non-spore forming; they forms pair or short chain on the media. They are categorized as homo-fermentative bacteria with lactic acid as a main product. They also produce nisin and cytokine which has a role in immune system (Nouaille et al., 2003; Elmarzugi et al., 2010). Two *Lactococcus* strains generally used in milk industry are *L. lactis* ssp. *lactis* and *L. lactis* ssp. *cremoris*. The difference between them is *L. lactis* ferments lactose, sucrose, glucose, maltose, galactose and fructose; while *L. cremoris*

metabolizes lactose, glucose, galactose and fructose. However, none reacts with mannitol: a characteristic similar to that of *L. acidophilus* (Ahmed and Kanwal, 2004).

Current studies have shown that *L. lactis* has potential to be probiotic bacteria. Most of the studies showed that their functional properties such as survival in gastrointestinal tract as well as adherence ability to mucosal surface are highly strain-dependent (Drouault et al., 1999; Kimoto et al., 1999). Some lactococci strains can survive in intestinal tract of mice (Kimoto et al., 2003). A study on human feces showed that *L. lactis* was still able to survive in human gastrointestinal tract up to 3 days (Klijn et al., 1995). *L. lactis* has also ability to form proteins and antigen in order to improve mucosal vaccines (Nouaille et al., 2003). More detailed studies regarding the functional properties of *L. lactis* as probiotic bacteria have been established (Drouault et al., 1999; Kimoto et al., 1999; Kimoto et al., 2003; Sabir et al., 2010).

Stability of Probiotic Bacteria in the Gut

Viable probiotic bacteria are expected to improve microflora in the intestinal system and provide health benefits to the hosts. Therefore, probiotic bacteria are expected to survive during passage through gastrointestinal tract and adhere to mucosal layer of the hosts (Vinderola and Reinheimer, 2003). However, in particular cases, lysed probiotic bacteria might also be desirable for functionalities such as decreasing gut inflammation, and improvement in immunity and brain function (Ray et al., 2010). Stability of some probiotic bacteria in acid and bile environment is shown in Table 2. In general, they show different response to those environments depending upon their characteristics. Study on acid stability (pH 1.5-3.0, 3h exposure) and on bile stability (bile salts 0-1.5%, 3 h exposure) of 6 *L. acidophilus* and 9 *Bifidobacterium* showed that the most robust strains surviving in both adverse conditions were *L. acidophilus* strains 2415,

Bifidobacterium pseudolongum strain 20099 and *B. longum* strain 1941 (Lankaputhra and Shah, 1995). Acid stability of gram-positive bacteria was affected by ATPase activity which has the role in pumping proton out in order to maintain the pH of intracellular cells (Cotter and Hill, 2003; Corcoran et al., 2005). Presence of glucose as metabolizable sugar is necessary as ATPase substrate (Galazzo and Bailey, 1990; Corcoran et al., 2005).

Survival of probiotic and LAB in bile environment depends on concentration of bile, exposure time and bacterial species and strains (Vinderola and Reinheimer, 2003). Bile tolerance of some probiotic is also shown in Table 2. In fact, bacteria get partially injured due to very low pH of gastric juice resulting in irregular responses to new harsh environment of bile salts (Dicks and Botes, 2010). All the bacteria showed ability to survive in 0.3% bile but some of them were not capable of surviving in 1% bile. Most *Lactobacillus* were sensitive to bovine and porcine bile (Ljungh and Wadström, 2006). All bifidobacteria survived well in the medium added with 0.5% conjugated bile salts; however, higher concentrations had a deteriorative effect (Noriega et al., 2006).

Adherence on epithelial surface might be a requirement for probiotic bacteria in conjunction with colonization in the lower intestinal tract or colon (Canzi et al., 2005). Its activity consists of ðreceptor-specific binding and charge and hydrophobic interactionö; and was expressed as contact angle or adhesion to xylene (Ljungh and Wadström, 2006). Malagelada (1998) reported that due to the hydrophobic nature of the intestinal mucus layer, the hydrophobic bacterial surface is essential for non-specific interface with mucin, the glycoprotein intestinal layer, the receptor on the intestinal epithelial cell with fatty acid binding sites (Ballongue, 1998).

Cell surface hydrophobicity (SHb) can be accurately measured by determining the adherence of bacteria to hydrocarbons (hexadecane, octane and xylene) (Rosenberg et al., 1980; Pan et al., 2006; Rahman et al., 2008). Determination of microbial adhesion to hexadecane involved Van der Waals interactions and is affected by pH and övortexingö (Kiely and Olson, 2000). Several *Lactobacillus* species possess a surface layer protein comprising glyco-proteins (Vadillo-Rodríguez et al., 2004), the S-proteins with relative molecular weight between 40,000 and 200,000 (Sara and Sleytr, 2000), which help *Lactobacillus* to adhere to hexadecane via hydrophobic interactions (Greene and Klaenhammer, 1994; van der Mei et al., 2003). In addition, the presence of predominant apolar groups of bacterial membrane such as saturated fatty acids, monoenoic acids (Veerkamp, 1971) and lipoteichoic acids of bifidobacterial membrane (Op-den-Camp et al., 1985) may also support the adherence.

The adhesion ability varies with the type of bacteria and strain. Canzi et al. (2005) revealed that adhesion ability of *B. bifidum* strains with xylene or n-hexadecane was the highest (76-98%), *B. pseudocatenulatum* the lowest, *B. longum* and *B. adolescentis* low to moderate adhesion ability (2-48% and 4-58%, respectively); all were strain-dependent. This observation was contradictory to that of Rahman et al. (2008) who found strains of *B. longum* with the highest SHb (surface hydrophobicity) (91.4 ó 97.3%) except *B. longum* BB 536 (51.5%). On the other hand, SHb of *B. bifidum* was in the wide range of 51.9 ó 92.8% depending on the strains. The highest SHb of *B. asteroides* and *B. pseudocatenulatum* was 37.2% and 32.1%, respectively; it was higher than SHb of *B. longum* (12.5%) and that of *B. animalis* (18.6%) (Pan et al., 2006). Wang et al. (2010) demonstrated that SHb of *B. animalis* Bb12, *L. acidophilus* NCFM and *L. rhamnosus* GG were 50, 8 and 20%, respectively. SHb of *L. acidophilus* was 57-70% (strain-

dependent); of *L. delbrueckii* subsp. *delbrueckii* and of *L. paracasei* was 90 and 90%, respectively; and of *L. plantarum* was 65 to 84% (strain-dependent) (Colloca et al., 2000). SHb of *L. acidophilus* M92 was high (71%); pH decrease resulted in decrease in SHb; and the reduced of pronase and pepsin removed SHb of *L. acidophilus* totally (Kos et al., 2003). However, any related factors such as different chemical composition of cell membranes, media (compositions, pH) and time of cultivation contributed large discrepancy of SHb between strains (Pan et al., 2006). In addition, adhesion might be reduced due to previous exposure to very low pH of gastric tract and bile salts environment of small intestinal tract (Dicks and Botes, 2010). Zavaglia et al. (2002) showed that surface hydrophobicity of *B. pseudolongum* and *B. bifidum* grown on MRS media (37°C, 15 h) was in the range of 90.36-97%; whereas that of both bifidobacteria grown on MRS media supplied with 0.1% bile (37°C; 24h) decreased into 28-49%. Bile interaction with hydrophobic site of cell membrane of the strains might be the reason for decreased SHb of cells since bile acts as emulsifier (Ding and Shah, 2009b).

Stability of Probiotic Bacteria during Processing and Storage

Stability of probiotic in acid and bile environments has been described; however, extrinsic factors such as temperatures and oxygen also need to be considered. The optimal growth temperature of bifidobacteria is in the range of 36-38°C and 41-43°C for human and animal origin strains, respectively. However, *B. thermacidophilum* and *B. psychraerophilum* are still capable of growing at 49°C and at 4°C, respectively (Ruiz et al., 2011). Survival of *B. animalis* ssp. *lactis* JCM 7117, *B. animalis* ssp. *lactis* DSMZ 20105 and *B. animalis* ssp. *lactis* BB12 were 65.4, 1.3 and 1.2%, respectively, after heating at 60°C; while survival of those bifidobacteria after grown under aerobic condition (37°C, 24 h) were 25.8; 24.9 and 25.6%,

respectively. These strains appeared superior to other bifidobacterial strains such as *B. longum*, *B. thermophilum* and *B. bifidum* which showed no growth at 60°C and very low survival in aerobic condition (0.90, 6.60 and 0.85%, respectively). These observations confirmed that bifidobacteria are more susceptible to heat instead of aerobic environment (Simpson et al., 2005). Some heat shock proteins such as chaperones and proteins responsible to δ DNA and RNA synthesis and cell division appeared to have developed at high temperatures (Schmidt and Zink, 2000). In fact, spray drying might have severe effects on bacteria not only due to heat stress but also osmotic stress related to dehydration and oxidative stress (Teixeira et al., 1997). High inlet temperature of spray drying can reduce viability depending upon exposure time. Decrease in viability could be due to heat damage of cell membrane substances such as fatty acids and S-layer proteins, or even intracellular proteins, ribosomes and RNA (Teixeira et al., 1997).

Other process to extend the shelf life of probiotic bacteria is freeze drying. Some stages of freeze drying are considered as less harmful as compared to spray-drying due to the use of low temperature (Wang et al., 2004). However, disturbance on intact cells, ribosome functions, folding of proteins and enzyme stability occurred due to storage at low temperatures (Mills et al., 2011). Besides, cell is damaged by formation of ice crystals and a high difference in osmolality due to solute concentration effects (Angelis and Gobbetti, 2004). Water movement from the cells to the environment induces loss of cell turgor pressure, an increase in concentration of intracellular solutes along with a decrease in cell volume was also taken place during freeze dehydration (Angelis and Gobbetti, 2004). Bacteria are able to cope with temperature decrease by inducing a group of cold shock proteins such as CspA, CspB, CspG, RecA, dihydrolipoamide transferase and pyruvate dehydrogenase (Phadtare, 2004); however, circumstances encountered

by probiotic bacteria during freeze drying and storage are more severe and complex. Freezing of *L. delbrueckii* ssp. *bulgaricus* (also *L. delbrueckii bulgaricus*) L2 in water without any coating materials showed a very low survival i.e. 4%. After 14th day of freezing storage (-20°C) in water or ice milk, the number of the uncoated bacteria was 2% or 87% indicating a protective effect of milk proteins on the bacteria (Sheu et al., 1993). Stability of some probiotic bacteria after freeze drying and after storage at various conditions is shown in Table 3 and 4, respectively. It also appeared that cold storage of probiotic bacteria, particularly *Bifidobacterium* in fermented milk, was not a suitable storage method for bacterial viability (Fachin et al., 2008). The authors demonstrated that viability of *B. animalis* ssp. *lactis* Bb12 in MRS-LP media and *L. bulgaricus* in MRS media was 9.5 and 7.6 log CFU/mL, respectively, after 30 days of storage in the refrigerator; whereas that in yoghurt after the same storage conditions was undetectable. As comparison, population of *L. bulgaricus* in yoghurt after the above mentioned storage was 6.4 log CFU/mL (no initial number was given). These studies showed the importance of microencapsulation of probiotic bacteria to preserve them from drying process and to extend their shelf life without losing viability during storage.

Adverse effect of oxygen in bacterial toxicity takes place during fermentation, harvesting, processing and upon storage. Formation of H₂O₂ due to an interaction of oxygen with moisture showed adverse effects on proteins, lipids and DNA (Mills et al., 2011). A study on the effect of oxygen levels (0, 5, 10, 15, and 21%) on metabolic activities of *Lactobacillus acidophilus* and *Bifidobacterium* spp. has been carried out by Talwalkar and Kailasapathy (2003) who found that either lactic acid or lactate-to-acetate ratio decreased due to an increase in oxygen percentage. At level of 21% oxygen, activity of NADH oxidase, NADH peroxidase and ability to decompose

H₂O₂ of *Bifidobacterium* spp. increased significantly into 6.2618.9 (units/per mg of total protein of the cell free extract), 6.1616.9 (units/per mg of total protein of the cell free extract) and 3.7613.3 (nmol H₂O₂), respectively; the results were strain-dependent. However, those of *L. acidophilus* were high i.e. 27.3 (units/per mg of total protein of the cell free extract), 25.6 (units/per mg of total protein of the cell free extract) and 38.4 (nmol H₂O₂) at the same oxygen level introduced. Bifidobacterial strains are more susceptible to oxygen than *L. acidophilus*. In addition, the presence of oxygen resulted in a discrepancy of SHb, a decrease in level of carbon source as well as an increase in protein content of *L. acidophilus* LA5 and *B. lactis* Bb12 (Shakirova et al., 2010). The sensitiveness of bacteria to harsh external factors leads scientists to develop microencapsulation technology for probiotic bacteria.

MICROENCAPSULATION TECHNOLOGY

A bacterium in spore form is naturally resistant to temperature changes, radiation, toxic chemicals and starvation (Sunde et al., 2009). The outermost part of spore known as *õcoatõ* is consisted of several coatings of cross-linked proteins; the inside part is called *õcortexõ* consisted of cross-linked peptidoglycan matrix. Both layers are responsible for maintaining the dry-state of the *õcoreõ* and for protecting from oxygen, moisture, chemicals and enzyme (Driks, 1999; Henriques and Moran, 2000). Based on this natural encapsulation phenomenon (Gibbs et al., 1999), the concept of microencapsulation of probiotic bacteria has been developed to improve stability during storage and passage through digestive systems.

Microencapsulation is an *õentrapment* of a compound or a system inside a dispersed material for its immobilization, protection, controlled release, structuration and functionalization (Poncelet, 2006). According to this definition, microencapsulation can limit

contact between protected substance and other parts in the system or in environment, can homogenize the small liquid core with high volume of microencapsulating materials and convert the mixture into powder, can release the active ingredient and display its functionality in targeted tract once microcapsule is ruptured. This technology allows entrapping probiotic bacteria and protecting them during oral delivery and during exposure to harsh digestive systems (Islam et al., 2010).

By applying microencapsulation technology, bacterial integrity can be maintained during passage through the harsh environment of digestion systems and be released when they reach their target destination by the gradual breakdown of coating materials. Key objectives of microencapsulation are to increase the stability of the core, to control the release of the core into environmental destination and to facilitate ease of transportation and storage (Shahidi and Han, 1993). Application of microencapsulation technology for lactobacilli and bifidobacteria could improve their survival against harsh environments (Tannock, 1999).

A microcapsule comprises a semi permeable, round, thin, and strong membrane bordering a solid/liquid core, with a diameter in a range from a few microns to 1 mm (Anal and Singh, 2007); however, its characteristic depends upon some variables such as microencapsulating materials, techniques of microencapsulation, the presence of secondary coatings and drying process. The substance within the microcapsule is recognized as the core, internal phase, or fill, while the wall is named as shell, coating, wall material, or membrane. The walls can be single or even multiple, meanwhile the core can be a crystalline material, an emulsion, a suspension of solids, or a suspension of smaller microcapsules (Gharsallaoui et al., 2007). Based on the morphology, microcapsules can be divided into three elementary categories

i.e. mono-cored in which single core is coated by protectants, poly-cored in which some cores are within protectants, and matrix types in which protectants form matrices with core entrapped therein (Yoshizawa, 2004). Sugars, polysaccharides, proteins or their combinations are used as coating agents as shown in Table 1. Alginate-based materials are mostly applied for probiotic microencapsulation. Other polysaccharides such as modified starch, maltodextrin or prebiotics have been studied as a potential microencapsulant combined with different proteins (Table 1). The use of microencapsulating materials is to entrap or immobilize bacteria within microcapsule and to protect the bacteria, from damages due to drying process, which is the last stage of microencapsulation technique.

Stability of Microencapsulated Probiotic Bacteria during Freeze-Drying or Spray-Drying

Drying is used to form a structure of the micro-capsule technology and reduce the moisture content to ensure desirable shelf life of probiotic bacteria. The effect of drying on the viability varies with the characteristics of bacteria, type of drying and the formulation used for microencapsulation. Both freeze- and spray drying are used for this purpose. Freeze drying is preferred commercially due to its mild characteristics avoiding thermal stress regardless its high production cost. Currently, spray drying has been developed as an alternative to freeze drying as it offers some advantages such as low cost and high production rate. Drying is usually carried out at as low a temperature as possible to maintain viability of cells. Since the reduction in viability is a function of temperature and the resident time used, the use of low inlet temperature resulting in low outlet temperature was successful in maintaining bacterial viability. From industrial point of view, the use of spray drying is more beneficial since its fixed cost and

manufacturing cost were 12% and 20%, respectively, of that of freeze drying (Peighambardoust et al., 2011).

Due to sensitivity of probiotic bacteria toward extremely low or high drying temperatures, coating probiotic bacteria using cryoprotectants or thermoprotectants has been studied. Comparison between freeze-drying and spray-drying method as a final step of microencapsulation technology for probiotic bacteria has been carried out since more than 20 years ago initiated by Johnson and Etzel (1995); they found that freeze drying was more effective than spray drying to maintain viability (Table 3). Interestingly, spray drying (T_{outlet} of 82°C) retained high aminopeptidase and α -galactosidase of 85 and 17%, respectively, compared to those of frozen cells which were 15 and 2%, respectively. These enzymes were absent in freeze-dried or spray-dried cells with T_{outlet} 120°C. Similar results were obtained by Kim et al. (1990) and Wong et al. (2010). In contrast, Ying et al. (2010) and Zamora et al. (2006) did not find any difference in loss of viability after spray or freeze drying. Zamora et al. (2006) found 100% and 66.7% survival of *L. reuteri* after spray drying and freeze drying, respectively (Table 3). The contradictory results indicate effects of factors such as strains, growth conditions (medium, pH), growth phase, coating materials, different set-up of freeze or spray drying used (Johnson and Etzel, 1995; Ying et al., 2010) and extent of drying (moisture or a_w readings).

Loss in cell viability is mainly due to protein denaturation, changes in cell envelopes and removal of water during evaporation. Those variables have an important role in stabilizing the structure of cells and in maintaining cell functional integrity (Brennan et al., 1986). Encapsulation of sensitive materials such as proteins, enzymes and probiotic bacteria within polysaccharides and proteins based system using spray drying technique has been carried out to

protect the core from thermal and dehydration inactivity (Broadhead et al., 1994; Adler and Lee, 1999; Desmond et al., 2002; Hsiao et al., 2004; Yadav et al., 2009).

A specific study on spray-coating method to microencapsulate *Lactobacillus rhamnosus* R0011 or *Bifidobacterium longum* ATCC 15708 with fat DP108 blend of fractionated palm kernel oil and palm oil has been carried out by Champagne et al. (2010); the microencapsulation method was according to Durand and Panes (2003). The cells were previously freeze dried using skim milk and sucrose as protectant. The precise viable count was not achieved due to incomplete rehydration of microencapsulated powder when they were exposed to water with gentle agitation. However, sample preparation using blender or homogenizer showed no difference on CFU determination. Viable count of microencapsulated bacteria was 10.2 and 10.6 log CFU/g depending upon sample preparation method. The powder mass recovered of spray-coated *Lactobacillus rhamnosus* R0011 with fats with particle size ranging from 53 to 250 μ m was 95%; meanwhile that of commercial spray-coated *Lactobacillus rhamnosus* R0011 (provided by Institut Rosell-Lallemand, Montréal, QC, Canada) within the same range of particle size was 64.4% (Champagne et al. 2010).

Microencapsulating Materials

The use of sugars, polysaccharides, protein-based system or combinations to preserve probiotic bacteria during spray- or freeze drying has been established. The following sections focus on the effectiveness of carbohydrate-based or protein-based systems and their combinations in protecting probiotic bacteria from microencapsulation processes and from harsh gastro- and intestinal environment.

Carbohydrate-based system

Among polysaccharides, alginate is common microencapsulation material due to it being nontoxic, relatively cheap and its easiness to create strong beads; thus its use is discussed more specifically. Calcium alginate in the form of gel beads has been widely used for the immobilization of probiotic bacteria (Sultana et al., 2000) due to its easy handling, nontoxic nature, low cost, gentle process condition, and easy to dissolve in intestine thus releasing entrapped cells (Reid et al., 2005; Mortazavian et al., 2007). Freeze drying has been commonly used as a final process of alginate microencapsulation. Frozen *Lactobacillus bulgaricus* L2 entrapped in 6% of alginate beads showed almost 100% survivals, while 1.5% and 3.0% of alginate beads showed 80% survival. However, high proportion of alginate was too dense to be applied in commercial scale. Combination of alginate with proteins, cryoprotectants, or antioxidants has been established to improve bacterial stability (Cui et al., 2006; Gbassi et al., 2009; Sultana et al., 2000). Alginate 3.6% combined with 6% of glycerol or mannitol as cryoprotectants improved the bacterial survival during freezing at -20°C for 2 weeks (95 and 90%, respectively) (Sheu et al., 1993). Data on acid and bile tolerance of freeze dried *Lactobacillus* strains coated with alginate-base are shown in Table 2. Cui et al. (2006) demonstrated that addition of either yeast extract, cryoprotectants (glycerol or lactose), antioxidants (NaHSO₃ or ascorbic acid) or buffering agent (Mg₃(PO₄)₂) improved the survival of bifidobacteria-loaded alginate poly-l-lysine microparticles significantly during freeze drying as compared to control (cells entrapped in alginate poly-l-lysine without fortification).

Particle size of microcapsules also affected survival of probiotic bacteria. Ding and Shah (2009c) studied the effect of homogenization techniques (microfluidizer, the ultra-turrax

homogenizer and standard magnetic stirrer method) on size of alginate microcapsules and survival of the probiotic bacteria. Standard method using magnetic stirrer produced the largest size of microcapsules (120-132 μm) with the highest encapsulation efficiency (84.4-88.3%). On the other hand, ultra-turrax homogenizer set at 5 min at 4000 rpm resulted in higher size of microcapsules (90-97 μm) but lower encapsulation efficiency (59.8-69.6%) than microfluidizer set at 10 passes at 10000 psi (size 72-80 μm ; efficiency 76.2-80.6%). Viability of microencapsulated probiotic bacteria produced by standard method, microfluidizer and ultra-turrax (the same settings) was $9.1 \pm 9.4 \log \text{CFU/ml}$, $8.6-9.0 \text{ CFU/mL}$ and $7.5-8.4 \text{ CFU/mL}$, respectively; all strain-dependent. This experiment also showed that speed (rpm) and time of mixing controlled cell viability (Ding and Shah, 2009c). Similarly, Sheu et al. (1993) demonstrated that large- (102 μm) and medium-sized (30 μm) alginate beads were more effective in preserving bacterial viability than small beads (15 μm) ($P < 0.05$). In addition, increase in size of alginate beads (from 200 to 1000 μm) resulted in higher viability of *L. acidophilus* during 3 h exposure to pH 2.0 at 37°C (from ~ 5.0 to $\sim 5.5 \log \text{CFU/mL}$) (Chandramouli et al., 2004).

In contrast, some studies revealed ineffectiveness of alginate matrix as cell coating material. Zohar-Perez et al. (2004) showed that bacterial distribution in alginate-beads was not homogenous; the cells tend to be on the surface of alginate beads instead of within the beads. Dianawati and Shah (2011b) demonstrated that alginate was efficient as a coating material, but was not effective in protecting *B. animalis* ssp. *lactis* Bb12 during freeze drying and during exposure to pH 2.0 for 2h; a significant plummeting ($10^4 \log \text{CFU/g}$) occurred. Alginate beads immersed in peptone solution was not effective in protecting *Streptococcus thermophilus* from freeze drying; a decrease of more than 99% of viable population occurred after freeze drying;

similar results were shown for *Lactococcus lactis* in alginate beads coated with poly-L-lysine (Champagne et al., 1992; Champagne and Gardner, 2001). Meanwhile, Andrade et al. (2010) found no difference between alginate alone or alginate combined with non-milk protein isolates in protecting *L. casei* from harsh gastric intestinal environment. Similarly, no *B. lactis* encapsulated with alginate was detected after 14 days of refrigerated storage of yoghurt; however, survival of *L. bulgaricus* encapsulated with alginate was 85.7% after storage at the same conditions (Grosso and Fávoro-Trindade, 2004). Krasaekoopt et al. (2004) demonstrated that chitosan-coated alginate beads provided a good protection only for *L. acidophilus* in acid (pH 1.5) and bile environment (0.6% bile salt) but not for *B. bifidum* (Table 2).

Polysaccharides such as cellulose acetate phthalate (used for medicinal market) (Fávoro-Trindade and C.R.Grosso, 2002), maltodextrin (Johnson and Etzel, 1995; To and Etzel, 1997) and modified waxy maize starch (O'Riordan et al., 2001) has also been applied as microencapsulants (Table 3). The results were highly varied; cellulose acetate phthalate preserved probiotic better than maltodextrin and modified starch. Two latter components appeared ineffective as protectants. It is because maltodextrin acts as inactive bulking compound which does not interact with cell envelopes of the bacteria (Oldenhof et al., 2005). However, those polysaccharides cannot be compared due to the use different probiotic species and strain and microencapsulation technique applied in their studies.

The use of low molecular weight sugars (lactose, trehalose, maltose, sucrose) was effective in protecting *L. rhamnosus* (Miao et al., 2008); but sucrose and trehalose were not effective in protecting *L. salivarius* (Zayed and Roos, 2004) (Table 3). The use of sugar alcohols as protectant have been established by De Valdez et al. (1983) and Carvalho et al. (2003b). The

effectiveness of mannitol and sorbitol in protecting bacteria was demonstrated by Mugnier and Jung (1985); Efiuvwevwere et al. (1999) and Santivarangkna et al. (2010). Study of Berner and Viernstein (2006) (Table 3) on microencapsulation of *L. lactis* indicated that the higher proportion of mannitol such as 10% (w/v) had an adverse effect on bacterial survival possibly due to the formation of crystalline mannitol, as suggested by Constantino et al (1998). The mechanism of protection by sorbitol and mannitol has been explained by Santivarangkna et al. (2010) and Dianawati et al. (2012) which is in agreement with that of Leslie et al. (1995) and Oldenhof et al. (2005).

Protein-based system

Current studies have been developed by applying skim milk, caseins, and whey proteins as microencapsulating materials for probiotic bacteria using spray drying or freeze drying (Doherty et al., 2011; Heidebach et al., 2010; Heidebach et al., 2009; Reid et al, 2007; Crittenden et al., 2006; Reid et al. 2005); these studies used different encapsulation techniques such as emulsion, extrusion or cross-linking. The use protein-based systems combined with relatively short carbon chains of sugars was effective in increasing survival of probiotic bacteria during spray drying, whereas incorporation of polysaccharides showed no or less effect (Gardiner et al., 2000; Corcoran et al., 2004; Ananta et al., 2005; Sunny-Roberts and Knorr, 2009). These are in contradiction with the results of Desmond et al. (2002) and Rodriguez-Huezo et al. (2007) who found that incorporation of polysaccharides into proteins improved bacterial stability significantly as shown in Table 3. Sodium caseinate provided excellent protection for bifidobacteria during spray drying and storage (Crittenden et al., 2006). Similarly, heat denatured 10% whey protein solution was effective to immobilize bifidobacteria using spray drying

technique (Picot and Lacroix, 2004). Maltodextrin blended with sodium caseinate, gelatin, or soy protein was also used to protect phospholipids during spray drying (Yu et al., 2007). The authors revealed that a high stability of emulsion comprised of maltodextrin and sodium caseinate was attained when spray drying with an air inlet temperature (140°C), a solid concentration (20%) and feed temperature (30°C) were carried out; it retained 90% of phospholipids. This study indicates that this technique can have potential for microencapsulation of probiotic bacteria, as cell envelopes of bacteria are mainly consisted of phospholipid bilayers (Crowe et al., 1987).

Cell dehydration can have serious effects on membrane phospholipids such as fusion and transformation from crystal liquid of fatty acids into gel phase; which increases the membrane permeability (Crowe et al., 1987). The use of protective materials such as sugars, proteins or their combinations is effective in preserving probiotics during freezing and freeze drying. This is due to interactions between sugars (sucrose, trehalose) or sugar alcohols (mannitol, sorbitol) and polar site of phospholipid bilayer of cell membranes occurs via hydrogen bond as demonstrated by Fourier Transform infrared (FTIR) spectroscopy (Leslie et al., 1995; Oldenhof et al., 2005; Santivarangkna et al., 2010; Dianawati et al., 2012). Some studies related to the use of sugars or their combination with various proteins to protect probiotic bacteria during freeze drying has been compiled in Table 3.

Stability of Microencapsulated Bacteria in Gastrointestinal Tract

Effectiveness of microencapsulation on protecting probiotic bacteria is dependent on some variables such as the type of microcapsulating substances, method of microencapsulation and bacterial strains reflecting their different characteristics. Alginate is a common microencapsulant used for protecting probiotic bacteria from harsh acid environment (Table 2).

Nevertheless, alginate was not successful in protecting *B. bifidum* from high acidity of gastric juice (pH=1.55) (Krasaekoopt et al., 2004); this study was in agreement with Dianawati and Shah (2011b) who demonstrated that a significant plummeting ($>10^4$ log CFU/g) of freeze-dried *B. animalis* ssp. *lactis* Bb12 coated with alginate occurred during exposure to pH 2.0 for 2h. It is because alginate is hydrolyzed into D-mannuronic and L-guluronic acid during exposure to very acid environment (Heyraud and Leonard, 1990); hence the bacteria will be released before achieving the targets (lower intestinal tract or the colon).

Some studies have incorporated proteins to improve the protective effect of alginate on probiotic bacteria. Some strains of *L. plantarum* were successfully protected by Ca-alginate-based microcapsules layered by whey proteins (Gbassi et al., 2009). Similarly, the use of Ca-alginate coated with chitosan also improved survival during exposure to simulated gastrointestinal tract (Chavarri et al., 2010; Li et al., 2011b) (Table 2). Ding and Shah (2009b) found high viability of some species of *Lactobacillus* and *Bifidobacterium* microencapsulated with Ca-alginate coated with poly-L-lysine and palm oil. The application of Ca-alginate combined with other carbohydrates such as starch and glycerol (Sultana et al., 2000) or glycerol and xanthan gum (Kim et al., 2008) was also proven effective in increasing probiotic bacterial bile tolerance. However, some studies demonstrated that the use of polysaccharides such as alginate and starch as coating materials without any addition of protein was less effective in protecting *Lactobacillus* and *Bifidobacterium* from acid environment (O'Riordan et al., 2001; Krasaekoopt et al., 2004; Sultana et al., 2000). O'Riordan et al. (2001) stated that starch was not able to protect spray dried *Bifidobacterium* PL1 at very low pH; no survivors was detected after 3 h exposure to pH 2.8 (37°C).

Modification method of microencapsulation using milk protein matrices induced by rennet was successful in improving stability of *Lactobacillus paracasei* ssp. *paracasei* and *Bifidobacterium lactis* Bb12 during exposure to pH 2.5 for 1.5 h (Heidebach et al., 2009). The use of whey proteins as microcapsule of spray-dried *Bifidobacterium breve* R070 and *Bifidobacterium longum* R023 also improved bacterial stability in simulated GIT; however, survival level was strain-dependent (Picot and Lacroix, 2004). Similar result was reported by Doherty et al. (2011) using *Lactobacillus rhamnosus* GG as a model. Sudden drop of viability occurred when microencapsulated bifidobacteria strains were exposed to SGJ containing pepsin (pH 1.9) for 30 min (less than 1.0 log CFU/g), but they were able to grow when exposed to pancreatin pH 7.5 for 6 h (achieving 7.5 and 4.0 log CFU/g for R070 and R023, respectively) (Rodríguez-Huezo et al., 2007).

Combination of proteins and carbohydrates were investigated to increase the effectiveness of microencapsulation. Casein provided the shielding effect on bifidobacteria from low pH of simulated gastric tract (Charteris et al., 1998; Crittenden et al., 2006). Survival in SGJ (pH 1.6, 60 min, 37°C) of spray dried *L. rhamnosus* GG encapsulated with trehalose-MSG was 1.7×10^7 CFU/mL; but *L. rhamnosus* E800 was not able to survive (Sunny-Roberts and Knorr, 2009). The use of complex formulation comprising cellulose, maltodextrin, prebiotic and reconstituted milk as protectant also increased acid and bile tolerance of spray-dried *Lactobacillus acidophilus* and *Bifidobacterium lactis* (Bb-12) (Fávaro-Trindade and C.R.Grosso, 2002). Polysaccharides such as gum acacia (GA) combined with RSM improved survival of spray dried *L. paracasei* NFBC 338 as compared to RSM only (Desmond et al. 2002). Similarly, Fávaro-Trindade and Grosso (2002) demonstrated the effectiveness of skim milk (as carrier of

the microorganisms) combined with cellulose acetate phthalate (as wall material), detail is shown in Table 2.

Enzyme activities of microencapsulated bacteria

Determination of activity of enzymes is important since it relates to fermentation pathways; some enzymes are also related to probiotic functional properties. The cleavage of β -glycosidic linkage of glucosides, disaccharides and oligosaccharides as well as the transformation of isoflavone glycoside (in soybean) into isoflavone aglycone takes place due to the activity of β -glucosidase (β -glu) (Izumi et al., 2000; Otieno et al., 2007; Yang et al., 2009). Lactose hydrolysis into glucose and galactose can take place due to β -galactosidase (β -gal) activity. This activity decreases the possibility of lactose intolerance (Vasiljevic and Jelen, 2003). β -Galactosidases are categorized as thermo-resistant enzymes; however, they have wide a range of thermal stability from 0 to 80°C depending on bacterial species (Asraf and Gunasekaran, 2010). Stability of β -gal of *B. longum* CCRC 15708 was optimum at 30°C for 40 min exposure (98% remaining); an increase in exposure temperature to 40°C decreased enzyme activity to 80% (Hsu et al., 2006). The optimum temperature for activity of β -glu is 60°C (Xie et al., 2004); however, its stability can be preserved at 40°C for 150 min when it is protected by polysaccharide matrices (Rashid, 1997).

In fact, study on stability of some enzymes of microencapsulated probiotic bacteria is still very few; most studies observed microencapsulation or entrapment of enzymes instead of the bacteria. Sugars or sugar-protein combination have been applied as protecting ingredients to maintain high activities of some enzymes during dehydration (Burin et al., 2002; Izutsu and Kojima, 2002; Vasiljevic and Jelen, 2003; Okamoto et al., 2002; Han et al., 2007; Yoshii et al.,

2008; Carpenter and Crowe, 1988; Izutsu and Kojima, 2002; Singh and Singh, 2003; Li et al., 2011a; Santagapita and Buera, 2008; Broadhead et al., 1994). Grosova et al. (2009) encapsulated α -gal using polyvinylalcohol hydrogel to produce D-galactose. The results indicated that the activity of entrapped α -gal was maintained and hence, shortened the production time. Different microencapsulation techniques namely precipitation, emulsion cross-linking and ionic gelation was used to preserve α -gal using chitosan as microencapsulating material (Biró et al., 2008). Ionotropic gelation using sodium sulphate as gelation agent resulted in the highest enzyme activity. Microencapsulated α -gal was preserved well after 3 weeks of storage in aqueous solution at 4 °C and pH 7.0; decrease in activity was below 5%. Woodward et al. (1993) found that microencapsulated β -glu using propylene glycol alginate/bone gelatin was stable during exposure to 40°C for couple months without losing its effectiveness. Li et al. (2011a) demonstrated that ATPase of *L. reuteri* protected by trehalose or RSM decreased significantly during freeze drying compared to pyruvate kinase and hexokinase.

Microencapsulation of bacteria and its effect on the activity of some enzymes were observed by Goel et al. (2006), Dianawati et al (2011a) and Dianawati et al (2013a). Encapsulation of *S. thermophilus* using of calcium alginate, carrageenan and gellan-xanthan enhanced the stability of α -gal of the bacteria at temperature of (>55°C) (Goel et al., 2006). Dianawati and Shah (2011a) observed some enzyme activities of microencapsulated probiotic bacteria using alginate-based system. Freeze drying decreased the activities of α -glu, α -gal, lactate dehydrogenase, pyruvate kinase, hexokinase, and ATPase of microencapsulated *B. animalis*; percent retention was enzyme-dependent. Incorporation of mannitol into alginate system improved stability of the observed enzymes of microencapsulated bacteria after storage at

a_w of 0.07 and 0.1 at 25°C. Alginate alone and alginate-mannitol microcapsules and a_w affected the retention of β -glu, β -gal, HK, and ATPase ($P < 0.05$), but not of LDH and PK. Emulsion system using caseins added by glucose and mannitol followed by spray drying also maintained high stability of β -gal of microencapsulated *L. acidophilus* and *L. cremoris* ssp. *lactis* after 10 weeks of storage at 25°C at a_w of 0.07 and 0.1 (86.3 \pm 87.7% of enzyme retention) (Dianawati et al., 2013a).

STORAGE

We have discussed freeze drying and spray drying as a part of microencapsulation technology in subsection 3.1; in which spray drying with low outlet temperature could be more beneficial than freeze drying in terms of maintaining viability. However, it might result in a high residual moisture content of products due to inadequate drying. It might have a harmful effect on bacterial survival during storage, as water could be available as solvent required for physico-chemical reactivity. Removal of remaining free water of dehydrated microcapsules can be carried out through further treatments such as use of desiccants or vacuum drying in second stage. Freeze- or spray dried microcapsules with low a_w is desired for the purpose of long term storage at room temperatures i.e. between 8-12 weeks (Corcoran et al., 2004; Donthidi et al., 2010) and storage up to 20 months at cold temperature (Bruno and Shah, 2003).

Materials and proportion of microencapsulant, temperature, a_w and period of storage are factors that influence stability of microencapsulated bacteria during storage (Zamora et al., 2006; Chavez and Ledebor, 2007; Higl et al., 2007; Miao et al., 2008; Kurtmann et al., 2009; Coulibaly et al., 2010; Savini et al., 2010; Ying et al., 2010). Storage of microencapsulated probiotic bacteria at low temperature (4-7°C) ensures high viability of the cells for long periods

but results in increased cost of transportation and storage. As a result, transporting microencapsulated bacteria for a long distance becomes impracticable. The following part emphasizes on storage of freeze- or spray dried probiotic bacteria at room temperature, mainly at low water activity (a_w). Storage at frozen- and cold temperatures is also discussed briefly.

Storage at Cold and Frozen Temperatures

Storage at 4°C (Boza et al., 2004; Lee et al., 2004; Heidebach et al., 2010; Savini et al., 2010) or at -18 °C (Bruno and Shah, 2003) has always been proven effective in lengthening shelf life of probiotic bacteria. Freeze dried *Bifidobacterium longum* 1941 protected with unipeptin was only able to survive at freezing or cold temperatures but not at room temperature (Bruno and Shah, 2003). This was in contradiction with the results of Saarela et al. (2005); details of both studies are shown in Table 4. It demonstrated superiority of milk or low MW sugars as protectants as compared to polysaccharides. Similarly, SM + trehalose + ascorbic acid improved survival of freeze-dried *Lactobacillus* sp.; however, storage at 4°C was preferable (Jalali et al. 2011). On the contrary, survival of *L. acidophilus* and *B. infantis* encapsulated with whey proteins was lower than that encapsulated with Ca-alginate after 6 weeks of storage at -20°C (Kailasapathy and Sureeta, 2004); protein denaturation of whey proteins might occur during storage at freezing temperature (Bedu-Addo, 2004) reducing its effectiveness as microencapsulant. In spite of its benefit in maintaining high survival of microencapsulated bacteria, a high transportation and storage costs adds to the high price of products. Hence, storage of microencapsulated probiotic bacteria at room temperature is needed in order to decrease cost of handling and storage along with retaining high survival of the bacteria.

Storage at Room Temperature

Conventional storage of frozen probiotic bacteria in the freezer requires high handling and storage costs in addition to increased risk associated with thawing. Microencapsulation of probiotic bacteria using dehydration methods such as spray-or freeze drying elongates storage periods and reduces the distribution and storage costs due to the convenience of storage of products at room temperature. Besides the type of coating materials, water activity (a_w) and glass transition affect probiotic survival during storage at room temperature (Peighambardoust et al., 2011). Water activity determines the accessibility of water for chemical reactions or the growth of microorganisms (Roos, 1995). Glass transition indicates a physical change in an amorphous material promoted by the addition of heat and/or the uptake of low molecular weight substances (Bell and Hageman, 1994). Rahman (2010) suggested that both a_w and glass transition concepts are useful in determining food deterioration or food stability, and also in predicting shelf-life of food products during storage.

Water activity during storage needs to be adjusted at a lower value and kept at low water activity constantly for preserving dried probiotic bacteria. Storage at very low a_w such as 0.07 and 0.1 (Mugnier and Jung, 1985; Higl et al., 2007; Kurtmann et al., 2009) improved bacterial survival during storage at room temperature. Kearney et al. (2009) stated that a residual water content of 4% corresponding to a_w of 0.2 is required to extend the shelf life of probiotic bacteria in dried dairy products. In fact, a_w is more useful parameter than moisture content to determine quality of food products. The growth of microorganisms, chemical, enzymatic and physical reactions, and moisture migration in complex system of foods are well indicated by a_w instead of

moisture content (Maltini et al., 2003). Stability of some microencapsulated probiotic bacteria during storage at room temperature is shown in Table 4.

Dianawati et al. (2013b) studied microencapsulation of *L. acidophilus* and *Lactococcus lactis* ssp. *cremoris* using casein-based emulsion system. The authors demonstrated that decrease in T_g occurred along with an increase in residual moisture content. The type of desiccants such as NaOH, LiCl or silica gel influenced T_g of microcapsules after 10 weeks of storage. However, changes in cell envelopes and secondary protein structures of microencapsulated bacteria still took place as confirmed by FTIR, even though no glass transition was observed at storage at 25°C. These might affect survival of microencapsulated *L. acidophilus* and *Lactococcus lactis* ssp. *cremoris* during storage (Dianawati et al. 2013a). Similar results were also confirmed by Chang et al. (1996), Ananta et al. (2005), Chavez and Ledebor (2007) demonstrating that structural alteration of bacteria during freeze-drying or spray-drying contributed a further damage during following storage, even with storage temperature below T_g .

Some studies on stability of microencapsulated probiotic bacteria during storage at room temperature have been carried out by Ananta et al. (2005), Heidebach et al. (2010) and Crittenden et al. (2006). Even probiotic bacteria have been microencapsulated and kept at low a_w at room temperature for certain periods, but the bacterial strains, coating materials and microencapsulation techniques are different between studies. Therefore bacterial survival data could not be compared. Water activity plays an important role in controlling reaction rate during storage. Ying et al. (2010) compared the effectiveness of freeze drying (-18 °C of freezing, 48 h of freeze drying) and spray drying ($T_i/T_o = 160$ and 65 °C) on retaining the viability of *L. rhamnosus* GG microencapsulated with whey proteins and resistant starch during storage at 25°C

at a_w of 0.32, 0.57, and 0.70. Spray-dried powder containing bacteria was more stable than freeze-dried powder during 37 days of storage. It was because spray-dried capsules had a stronger water-binding energy as measured by NMR spectroscopy.

In addition to proteins combined with sugars, alginate is common microencapsulating material for probiotic bacteria (Mortazavian et al., 2007) and was successfully applied in yoghurt (Sultana et al., 2000), but effectiveness of alginate in protecting probiotic bacteria during storage at room temperature was only carried out by Donthidi et al. (2010). The authors demonstrated that after 24 weeks of storage, some probiotic bacteria encapsulated with alginate+starch+lecithin kept at 23°C did not survive (Table 4). Incorporation of chitosan into alginate improved survival of *L. bulgaricus* during 4 weeks storage (4°C) (Lee et al., 2004) (Table 4). Mannitol incorporation into alginate improved freeze-dried bifidobacterial survival including their acid and bile tolerance during storage (25°C, 10 weeks) at a_w of 0.1, but at the end of the storage, the bacterial viability was only 5.2 log CFU/g (82.6% survival relative to that after freeze drying) (Dianawati and Shah, 2011b). This suggests that even though alginate has been widely applied as microencapsulant for probiotic bacteria, its use as single material is not effective in improving cell survival during storage at room temperature.

Reconstituted skim milk (RSM) was compared to disaccharides (lactose, trehalose, sucrose, maltose, lactose + maltose and lactose + trehalose) to ascertain their effectiveness in protecting freeze-dried *L. rhamnosus* survival during storage at a_w of 0.0; 0.11; 0.22, 0.33 and 0.76 at room temperature (Miao et al., 2008). Results showed that trehalose and lactose + maltose were the most effective encapsulants protecting viability of bacteria during 38 days storage at 25°C at a_w of 0.00 and 0.11; the protective effect of lactose + maltose was higher than

that of RSM (Table 4). This appears controversial with the result of Zayed and Roos (2004). They found that storage at a_w of 0.00 (using P_2O_5 as a desiccant) resulted in decrease in survival of freeze-dried *L. salivarius* ssp. *salivarius* protected with skim milk combined with sucrose or trehalose at 44% after 1 week of storage at room temperature. Further, decrease of viability by 72% was observed after 7 weeks of storage at a_w of 0.00; while no significant decline was detected when LiCl was used as a desiccant ($a_w = 0.11$). Higher a_w contributed crystallization of disaccharides; thus survival of encapsulated *Lactobacillus* decreased. The authors stated that T_g of disaccharides decreased at higher a_w , and vice versa; this result was in agreement with that of Higl et al. (2007) and Kurtmann et al. (2009). Water activity of 0.11 was capable of providing higher viability of freeze-dried *L. acidophilus* than a_w of 0.23 and of 0.43 during 10 weeks of storage at 20°C, and sucrose maintained higher viability than lactose (Kurtmann et al., 2009).

Besides skim milk, the use of sodium caseinate as coating material has also been proven effective in improving freeze-dried *Lactobacillus* F19 and *Bifidobacterium* Bb12 during storage at 25°C (Heidebach et al., 2010). The authors examined stability of freeze-dried *Bifidobacterium* Bb12 encapsulated with enzymatic cross-linked casein during storage at different temperature and a_w . An incorporation of resistant starch into microcapsule formulation made from enzymatic cross-linked casein showed an adverse effect on freeze-dried *Bifidobacterium* Bb12 and did not improve stability of *Lactobacillus*. Storage at 4°C of encapsulated freeze-dried *Bifidobacterium* Bb12 provided superior result as compared to that at 25°C; a_w of both storages was adjusted to 0.1. Survival of both encapsulated and free bacteria kept at 25°C decreased when a_w was increased into 0.3; whereas encapsulated bacteria kept at 4°C were not affected by the increase in a_w . Casein-based microcapsule showed significant protective effect of on freeze-dried

Bifidobacterium BB12 regardless of a_w and temperature of storage. Spray-dried probiotic bacteria coated with casein-based system, however, showed less survival as compared to freeze-dried bacteria after drying process and after storage at 25°C at low a_w (Dianawati et al., 2013). This finding is in agreement with that of Wong et al. (2010), Zamora et al. (2006) and Johnson and Etzel (1995).

Savini et al. (2010) freeze-dried *Lactobacillus rhamnosus* IMC 501 and *Lactobacillus paracasei* IMC 502 using sugar alcohols (glycerine, mannitol, sorbitol), inulin, dextrin and crystalline; semi-skimmed milk was used as a control. Glycerin, followed by mannitol, was the most effective sugars in protecting both strains during storage at room temperature for five months. Addition of sorbitol improved survival of freeze-dried *L. paracasei* ssp. *paracasei* LMG 9192 and *L. plantarum* CWBI-B1419 after 150 day storage at 25°C in the vacuum sealed bags; increase in unsaturated fatty acids indicated an adaptation mechanism of the bacteria to survive (Coulibaly et al., 2010) (Table 4). Similarly, Mugnier and Jung (1985) found that mannitol, an isomer of sorbitol, was more effective in protecting dehydrated gram positive bacteria than glycerol, reducing sugars or higher MW sugars during storage at room temperature at a_w of 0.07. This result was in agreement with that of Carvalho et al. (2003a) who reported that an incorporation of sucrose, a disaccharides, as cryoprotectant was ineffective in increasing the survival of freeze-dried *L. bulgaricus* during storage at 20°C.

Reconstituted skim milk (RSM) combined with prebiotic (raffinose or polydextrose) and RSM alone as control (20% total solids) has been compared to establish their effectiveness in improving survival of *Lactobacillus rhamnosus* GG (ATCC 53103) during spray drying and during storage at room temperature (Ananta et al., 2005). Incorporation of prebiotics did not

influence bacterial survival during spray drying ($T_{\text{outlet}} = 80^{\circ}\text{C}$) with survival of 55-67%; partial replacement of prebiotics to RSM had an adverse impact on bacterial survival during 6 weeks of storage at 25 or 37°C compared to RSM only (% survival was not shown). These results were similar to those of Corcoran et al. (2004). Similarly, the use of waxy maize starch as microencapsulant appeared effective only in protecting *Bifidobacterium* strain during spray drying ($T_{\text{inlet}} = 100^{\circ}\text{C}$; $T_{\text{outlet}} = 45^{\circ}\text{C}$), but was ineffective in protecting the bacteria from acid environment and during 20 days of storage at room temperature (O'Riordan et al., 2001). The use of RSM and gum acacia as growth media instead of microencapsulant for *L. paracasei* NFBC 338 was carried out by Desmond et al. (2002). Both bacteria and milk-based media were then spray dried (air inlet temperature of 170°C; outlet temperature was 95 to 100°C and 100 to 105°C). The result showed that stability of spray-dried bacteria was good only during storage at 4°C, but more than 99.9% decrease occurred when spray dried bacteria in RSM-gum acacia were kept at 30°C for 8 weeks.

The role of sugars with high molecular weight raises the question whether they can function as encapsulating materials, just as a carrier or space filler without any interaction with the core (Oldenhof et al., 2005) or in the contrary, they had an adverse effect on bacterial survival as proposed by Hinch et al. (2002) and Ananta et al. (2005). The presence of prebiotic (10% w/v) in skim milk (10% w/v) decreased bacterial viability during storage at 37°C as compared to skim milk alone (20% w/v) (Ananta et al., 2005). The presence of large size of polymers (such as prebiotic) might cause the steric hindrance preventing them to interact with dehydrated proteins and membrane lipids (Hinch et al., 2002). Ananta et al. (2005) proposed that skim milk alone was capable of interacting with polar headgroups of membrane

phospholipids and protecting cell membranes during spray drying and storage. However, this mechanism might be difficult due to high molecular weight of milk proteins. On the other hand, it was proven that the presence of sorbitol or mannitol as sugar alcohol was able to interact with polar site of phospholipids of probiotic bacteria via hydrogen-bond (Santivarangkna et al., 2010; Dianawati et al., 2012).

Other studies also demonstrated that mostly combination between proteins, low MW sugars and high MW sugars were effective in protecting bifidobacteria, but the results vary depending upon strains. Chavez et al. (2007) found that soy isolate proteins combined with maltodextrin contributed to the highest viability of *B. lactis* BB12 during 3 months of storage at 30°C, while skim milk + trehalose and skim milk + arabic gum provided lower stability. Crittenden et al. (2006) found that high survival of spray-dried *Bifidobacterium infantis* Bb-02 was achieved when they were encapsulated using formulation containing sodium caseinate, fructooligosaccharides and resistant starch. However, survival of bifidobacteria after spray drying and after storage at 25°C was strain-dependent (Table 4) (Simpson et al., 2005). Sunny-Roberts and Knorr (2009) observed the protection effect of trehalose and trehalose + MSG on *Lactobacillus rhamnosus* strains after spray drying ($T_{\text{outlet}} = 60\text{--}75^{\circ}\text{C}$) and during storage at 25 or 37°C. The results showed that T_{outlet} optimum was 65–70°C providing highest viability of bacteria after spray drying (1.8×10^9 CFU/mL; moisture content (MC) = 3.8% w/w); MC ranged between 3.57 to 4.43%, depending on strains. Storage at 25°C for 6 weeks at a_w equals 0.11 with the presence of trehalose and MSG provided highest stability of spray-dried *L. rhamnosus* strains (10^8 CFU/mL); while encapsulation using trehalose without MSG or storage at 37 °C decreased bacterial stability significantly. The protection of MSG was likely due to its anti-oxidation

potential (Sunny-Roberts and Knorr, 2009). Overall, some studies did not determine moisture content or a_w of their products (Table 3 and 4); this could compromise the accuracy of the survival data.

Survival of spray-dried bifidobacteria ($T_{\text{inlet/outlet}} = 100/50^\circ\text{C}$) in skim milk, gum arabic, gelatin or soluble starch with or without oxygen absorber and desiccant was observed (Hsiao et al., 2004). The presence of absorber and desiccant increased the survival of microencapsulated cells when kept at 25°C (42 days). Population reduction was the lowest when skim milk was used, and was the highest when gum arabic was used; this difference was more apparent during storage at 25°C (Table 4). The diffusion of oxygen through microcapsule might still occur resulting in adverse effects such as changes in cell membrane structure (Hsiao et al., 2004). Among probiotic organisms, bifidobacteria were the most susceptible to oxygen due to their anaerobic characteristic (Talwalkar and Kailasapathy, 2003). In agreement with the result of Ananta et al. (2005), a combination of casein with resistant starch decreased the stability of *Bifidobacterium* during storage (Heidebach et al. 2010). The authors hypothesized that protein matrix consistency might be disturbed due to the existence of resistant starch; hence, its function to protect the bacteria from oxygen diffusion decreased. For *Lactobacillus* F19 which is more oxygen tolerant, the presence of RS in casein-matrix had no effect. The difference in bacterial strains or species, a_w of storage, sugars or proteins as encapsulant materials and drying method have an influence on bacterial retention during storage at room temperature.

CONCLUSION

Microencapsulation of probiotic bacteria is carried out to improve the bacterial stability during transportation and storage and during passage through harsh environment of

gastrointestinal tract before adhering onto colon of the host in order to provide several health benefits. Various encapsulating materials prepared from carbohydrates (sugar alcohols, reducing sugars, polysaccharides, hydrocolloids) or proteins (milk- or non-milk based) or their combination have been studied to ascertain their effectiveness in protecting probiotic bacteria during process of microencapsulation, storage and passage through simulated gastric or bile juice. Skim milk and casein and/or sugars such as mannitol, sorbitol, trehalose and sucrose were proven effective as encapsulating materials for *Lactobacillus* and *Bifidobacterium*. On the other hand, the use of polysaccharides showed varied results depending on bacterial strains and method of microencapsulation including the freeze- or spray drying stage. Each of these must be optimized to increase the shelf life of probiotic bacteria without any significant changes in functional characteristics.

Storage at cold and frozen temperatures is ideal in maintaining bacterial viability. However, it requires high cost of transportation and storage, thus increasing the product price. Storage at room temperature (usually between 20 and 30°C) can be a cheap alternative required to be developed. Water activity and glass transition are critical factors that will trigger adverse physico-chemical reactions causing bacterial inactivation. Viability is favored in an amorphous state which is meta-stable and can only be achieved at low a_w storage. Storage at ambient temperature at low a_w of 0.07 and 0.1, and under vacuum are effective in improving bacterial survival for long period assuring health benefits associated with consumption.

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Table 1. Microencapsulation technology of some probiotic bacteria using some combination of encapsulants

Probiotic / LAB bacteria	Basic microencapsulat ion technique	Microencapsulati ng material	Protective ingredients	Secondary coatings	Drying process	Functionalit y	References
<i>L. acidophilus</i> <i>ATCC 43121</i>	hybridisation	A poly (vinylacetate) phthalate-based aqueous enteric coating system	fructooligosacchari de, lactulose or raffinose	-	-	Acid, salt and heat tolerance	Ann et al. (2007)
<i>Lactobacillus</i> <i>casei 81</i>	Gel beads (Ca- alginate cross linking)	sodium alginate blended with low- methoxyl pectin or modified starch	-	-	-	Viability in yoghurt	Calleros et al. (2007)
<i>Lactobacillus</i> <i>acidophilus</i> ,	Gel beads (Ca- alginate cross	sodium alginate	fructooligosaccharide s	-	-	Storage, acid and	Chen et al. (2005)

<i>Lactobacillus</i>	linking)		or		bile	
<i>casei</i> ,			isomaltooligosacchar		tolerance	
<i>Bifidobacteriu</i>			des, peptides			
<i>m bifidum</i> ,						
<i>and</i>						
<i>Bifidobacteriu</i>						
<i>m longum</i>						
<i>L. rhamnosus</i>	Emulsion	Alginate emulsion	-	-	Acid, bile	Ding and
<i>type</i>	combined with	(with vegetable			and heat	Shah
<i>Lr-32, B.</i>	cross linking	oil, Tween 80)			tolerance	(2007)
<i>longum</i>	system					
<i>typeBl-05, L.</i>						
<i>salivarius</i>						
<i>type Ls-33, L.</i>						
<i>plantarum</i>						
<i>Lpc-37, L.</i>						

<i>acidophilus</i>						
<i>NCFM, L.</i>						
<i>paracasei Lp-</i>						
<i>115, B. lactis</i>						
<i>type Bl-04,</i>						
<i>and B. lactis</i>						
<i>type Bi-07</i>						
<i>Lactobacillus</i>	Emulsion	alginate, guar	-	-	acid and	Ding and
<i>rhamnosus,</i>	combined with	gum, xanthan			bile	Shah
<i>Bifidobacteriu</i>	cross linking	gum, locust			tolerance	(2009a)
<i>m longum, L.</i>	system	bean gum, or				
<i>salivarius,</i>		carrageenan gum				
<i>L. plantarum,</i>		(with vegetable				
<i>L.</i>		oil, Tween 80)				
<i>acidophilus,</i>						
<i>L. paracasei,</i>						

<i>B. lactis</i> type					
<i>Bl-04</i> , <i>B.</i>					
<i>lactis</i> type <i>Bi-</i>					
<i>07</i> , <i>HOWARU</i>					
<i>L. rhamnosus</i> ,					
<i>and</i>					
<i>HOWARU B.</i>					
<i>bifidum</i>					
<i>L.</i>	Emulsion	Alginate emulsion	Palm oil and -	acid and	Ding and
<i>rhamnosus</i>	combined with	(with vegetable oil,	poly-L-	bile	Shah
<i>B. longum</i>	cross linking	Tween 80)	lysine	tolerance	(2009b)
<i>L. salivarius</i>	system				
<i>L. plantarum</i>					
<i>L.</i>					
<i>acidophilus</i>					
<i>L. paracasei</i>					

B. lactis type

BI-O4

B. lactis type

Bi-07

<i>Lactobacillus</i>	Cross linking	Hydroxypropyloa		Alginate	Freeze	Survival in	Goderska
<i>rhamnosus</i>		mmonium starch		(polyanion)	drying	acid and pH	et al.
		(polycation)				7.0, 8.0	(2003)
<i>Lactobacillus</i>	Gel beads (Ca-	alginate and Hi-		-	-	Application	Kailasapath
<i>acidophilus</i>	alginate cross	Maize starch				to yoghurt,	y (2006)
<i>and</i>	linking)					storage at	
<i>Bifidobacteriu</i>						4°C	
<i>m lactis</i>							
<i>Lactobacillus</i>	Gel beads (Ca-	alginate	pectin,	-	Freeze	Survival in	Reid et al.
<i>rhamnosus</i>	alginate cross		carrageenan, whey		drying	gastrointesti	(2005)
	linking)		protein isolate			nal tract	
						model	

<i>LAB cells</i>	Gel beads (Ca- alginate cross linking)	alginate	gelatin and trehalose	-	-	Survival in acid-bile tract, storage at 4°C	Xiaoyan and Xiguang (2009)
<i>Lactobacillus acidophilus, Lactobacillus casei, Lactobacillus rhamnosus and Bifidobacteriu m spp.</i>	Gel beads (Ca- alginate cross linking)	alginate	Unipeptine™ RS 150, Hi-maize, FOS, or inulin	-	-	application to yoghurt (fresh and freeze dried), survival during storage	Capela et al. (2006)
<i>Lactobacillus paracasei ssp.</i>	Cross linking (rennet)	Casein		-	-	Survival, stability in	Heidebach et al. (2009)

<i>paracasei</i>	followed by					low and	
F19,	emulsification					neutral pH	
<i>Bifidobacteriu</i>	(W/O system)						
<i>m lactis</i> Bb12							
<i>Lactobacillus</i>	Cross linking	Casein, Resistant	-	-	Freeze	Survival	Heidebach et
<i>F19 and</i>	(Transglutamin	starch			drying	after drying	al. (2010)
<i>Bifidobacteriu</i>	ase) followed					and storage	
<i>m Bb12</i>	by						
	emulsification						
	(W/O system)						
<i>Lactobacillus</i>	Matrix		-lactose	-	Freeze	Storage	Higl et al.
<i>paracasei ssp.</i>			monohydrate		drying	stability at	(2007)
<i>paracasei</i>						low a_w	
<i>Lactobacillus</i>	Spray drying	reconstituted skim	-	-	Spray	Storage	Corcoran et
<i>rhamnosus</i>	(globular form)	milk only,			drying	stability	al. (2004)
		reconstituted skim					

		milk-					
		polydextrose,					
		polydextrose only					
<i>Bifidobacteriu</i>	O/W emulsion	sodium caseinate,	Glucose,	-	Spray	Acid-bile	Crittenden et
<i>m infantis</i>	microcapsules	resistant starch	fructooligosacchari		drying	tolerance,	al. (2006)
			des			storage	
						stability	
<i>Lact.</i>	Spray drying	reconstituted skim	-	-	Spray	survival in	Desmond et
<i>paracasei</i>	(globular form)	milk, gum acasia			drying	gastric	al. (2002)
NFBC 338						juice,	
						storage	
						stability	
<i>Bifidobacteriu</i>	Spray drying	modified waxy	-	-	Spray	Acid	O'Riordan et
<i>m PL1</i>	(globular form)	maize starch			drying	tolerance,	al. (2001)
						storage,	
						stability in	

						food	
<i>Bifidobacteriu</i>	Spray drying	whey protein	local prebiotic	-	Spray	storage	Rodríguez-
<i>m bifidum</i>	(globular form)	concentrate, gum			drying	stability	Huezo et
		arabic, mesquite					al. (2007)
		gum, maltodextrin					

Table 2. Stability of free and microencapsulated probiotic bacteria in acid and bile environment

Probiotic	Products	Microencapsulant	acid stability	acid condition	bile stability	bile condition	References
<i>L. acidophilus</i> 2409	Freeze dried beads	2% alginate + Hi-maize resistant starch	56.2%	pH 2.0, 3 h	80.2%	1%, 6 h	Sultana et al. (2000)
<i>L. acidophilus</i>	Freeze dried beads	sodium alginate 2% + xanthan gum 5% + glycerol 0.15% (w/v)	56.0%	pH 1.5, 3 h, 37°C	86.4%	0.3% bile, after 24 h, 37°C	Kim et al. (2008)
<i>B. bifidum</i>		Free	0%	3.0 g/L pepsin, pH 2.0, 120 min, 37°C	0%	Bile salt 3.0 g/L, pH 7.5, 120 min, 37°C	Chavarri et al. (2010)
		20 g/L of sodium alginate coated with	>90%		95.6%		

	chitosan 0.4% (w/v)					
	solution					
<i>L. gasseri</i>	free	0%		0%		
	20 g/L of sodium	>85%		98.9%		
	alginate coated with					
	chitosan 0.4% (w/v)					
	solution					
<i>L.</i>	Free	36.1%	pH 1.55, 2 h	21.9%	0.6% bile salt	Krasaekoopt
<i>acidophilus</i>	Chitosan 1%	75.1%		55.5%	, pH 8.25,	et al. (2004)
	alginate	60.4%		57.6%	37°C, 2 h	
	poly-L-lysine 0.05%	56.6%		52.2%		
	+ alginate solution					
	0.17%					
<i>B. bifidum</i>	Free	All not		27.8%		
	Chitosan 1%	detected		84.9%		
	alginate			49.5%		

poly-L-lysine 0.05%

39.1%

+ alginate solution

0.17%

<i>L.</i>	Free	-	3.4 - 5.6	pH 2.0, 3 h,	45.7-79.9%	1%, 72 h	Vinderola
<i>acidophilus</i>				37°C			and
sp.							Reinheimer
							(2003)
<i>L.</i>			6		0.0-5.1%		
<i>delbrueckii</i>							
subsp.							
<i>bulgaricus</i>							
<i>L. lactis</i>			6		0.9-61.3%		
strains							
<i>B. bifidum</i>			3.3 6 4.9		18.9-41.0%		
<i>B. longum</i>			>6.0		7.1-43.1%		
			(all in log				

			CFU/mL			
			decrease)			
<i>L.</i>			79%	pH 2.0, 1 h	81%	0.3%, 2 h,
<i>acidophilus</i>						37°C
Z1L			71%		74%	
<i>L. cremoris</i>						
<i>L.</i>	free		35.3%	pH 2.0, 2 h	40.2%	3%, 8 h
<i>acidophilus</i>	wet beads	3% alginate	67.3%		63.0%	
<i>L. bulgaricus</i>	free		0%	pH 2, 1h	83.3%	phosphate-
KFRI 673						buffered
						saline solution
	Freeze dried	2% (w/v) sodium	46.4%	pH 2.0, 3 h,	77.9%	(pH 7.4)
	beads	alginate, 5.5% (w/v)		37°C		without
		MRS broth, 5%				pancreatin,
		(v/v) glycerol,				37°C, 120

0.26% xanthan gum,
0.1% Tween 20,
coated with chitosan

min

<i>L. plantarum</i>	free		0%	pH 1.8, 90	-	0.9% sodium	Gbassi et al.
299				min		chloride +	(2009)
	Freeze dried	alginate 0.2% coated	67%	pH 1.8, 2 h	34%	pancreatin +	
	beads	with whey proteins				1% trypsin +	
		0.2% (all w/v)				0.3% of bile	
						salts, pH 6.5,	
						180 min, 37°C	
<i>L.</i>	free		42.6%	pH 2.0; 3 h	87.1%	1%, 6h, 37°C	Chandramou
<i>acidophilus</i>	wet beads	alginate 1.5%	71.1%	pH 2.0, 3 h,	89.0%		li et al.
CSCC 2400				37°C			(2004)
<i>L. casei</i>	free		44.7%	pH 1.5, 3 h	77.1%	1% bile salt,	Mandal et al.
NCDC-298						12 h	(2006)
	wet beads	2% alginate	63.8%		84.7%		

		4% alginate	80.1%		86.7%	
<i>L. rhamnosus</i>	free		84.70%	pH 2.0, 3 h	84.70%	MRS broth
	freeze dried	Alginate and Hydroxypropyloam monium starch (No concentration given)	95.30%		100%	pH 7.0
						Goderska et al. (2003)
<i>L. casei</i>	Dried under control air-flow, 4°C	Alginate bead (1.5%)	7.1	pH 2.0, 120 min	4.1	Bile 0.5% 6 h
		Alginate beadó chitosan solution (1%)	7.4		7.3	
		Alginate beadó chitosan solutionó carboxymethyl chitosan solution	7.9		7.9	Li et al. (2011)

(0.5%)

(all in w/v)

(log CFU/g;

no initial

CFU given)

(log

CFU/g;

no initial

CFU

given)

<i>L.</i>	Spray dried	free	95.6%	pH 2.0, 120	103.1%	Bile 2%, 12 h	Fávaro-
<i>acidophilus</i>	powder	RSM 10% +	95.5%	min	103.1%		Trindade
(La-05)	(T _i /T _o =130/75	cellulose acetate					and Grosso
)	phthalate 10% +					(2002)
		Glycerol 3.5% +					
		Maltodextrin 2.0% +					
		Raftilose 1.0% +					
		Tween 80 0.1%					
<i>B. lactis</i> (Bb-	Spray dried	free	87.5%		94.4%		
12)	powder	RSM 10% +	100.0%		95.6%		

(T_i/T_o
=130/75) cellulose acetate
phthalate 10% +
Glycerol 3.5% +
Maltodextrin 2.0% +
Raftilose 1.0% +
Tween 80 0.1% (all
in w/v)

<i>L. paracasei</i>	Spray dried	RSM: Gum Acasia	49.1%	pH 3.0, 120	Desmond et
NFBC 338	powder	(10:10)%		min, 37°C	al. (2002)
	(T_i/T_o =170/95-100)	RSM 20%	18.2%		
<i>B. PL1</i>	Spray dried	modified waxy	29.60%	pH 2.8; 0h;	O'Riordan et
	powder (T_i/T_o =100/45)	maize starch 10% (w/v)		37°C	al. (2001)
			0%	pH 2.8; 3h; 37°C	

<i>L. rhamnosus</i>	Spray dried	Trehalose 20%	n.d.	pH 1.6, 37°C,	97.5%	5 mg/mL	Sunny-
GG	powder	Tre20%+12.5 MSG	0.60%	90 min	68.5%	lysozyme +	Roberts and
	(T _o =65-70)	(g/L)				1% bile	Knorr
							(2009)
<i>L. rhamnosus</i>	Spray dried	Tre 20%	n.d.	pH 1.6, 37°C,	80.6%	5 mg/mL	
E-97800	powder	Tre20%+12.5 MSG	0.01%	90 min	69.2%	lysozyme +	
	(T _o =65-70)	(g/L)				1% bile	
<i>L. casei</i>	free		45.8%	pH 2.0,	75.7%	porcine bile	Nag (2011)
				without		1%, 37°C	
				pepsin, 37°C,			
				100 rpm			
	free		55.1%	pH 2.0, pepsin			
				0.32%, 37°C,			
				100 rpm			
	wet	0.25% (w/w) gellan	72.0%	pH 2.0,	100%	porcine bile	
	microcapsule	gum+10%(w/w)		without		1%, 37°C	

		sodium caseinate		pepsin, 37°C,	
		acidified by		100 rpm	
		glucono- γ -lactone	66.4%	pH 2.0, pepsin	
				0.32%, 37°C,	
				100 rpm	
<i>B. lactis</i>	free		64.1%	pH 2.0,	Heidebach et
Bb12				without	al. (2009)
	wet	SM 35% (w/w)	91.3%	pepsin, 37°C	
	microcapsule	incubated with			
		rennet			
<i>L. paracasei</i>	free		51.5%		
<i>ssp.</i>					
<i>paracasei</i>					
F19					
	wet	SM 35% (w/w)	59.2%		
	microcapsule	incubated with			

rennet							
<i>B. breve</i>	SD	free	25.3%	pH 1.9;	63.3%	bile 15% +	Picot and
R070	(T _i /T _o =185/85			pepsin, 30'		pancreatin,	Lacroix
)	harvested	12.7%		91.1%	pH 7.5, 360'	(2004)
		cells+denatured WPI					
		10% (w/v)					
<i>B. longum</i>		free	0.0%		15.0%		
R023							
		harvested	0.0%		55.9%		
		cells+denatured WPI					
		10% (w/v)					
<i>B. animalis</i>	Freeze dried	free	65.2%	pH 2.5; no	70.4%	bile extract	(Saarela et
<i>ssp. lactis</i> E-	powder			pepsin; 2h		1%; 3h	al. (2005)
012010							
		sucrose (5% w/w)	69.6%		73.9%		
		RSM (5% w/w)	69.6%		-		

<i>L.</i>	free		70.5%	pH 1.0; 3 h	Oliveira et
<i>acidophilus</i>					al. (2007)
(LAC 4)					
	Freeze dried	pectin and casein (1	97.7%		
	powder	: 1, total solids			
		content of 8%, w/v)			
<i>B. lactis</i> (BI	free		58.8%		
01)					
	Freeze dried	pectin and casein (1	89.3%		
	powder	: 1, total solids			
		content of 8%, w/v)			

Table 3 Survival of free and microencapsulated probiotic bacteria after spray or freeze drying

Probiotic	Protectant	Drying method	Survival after drying	a _w /MC	
<i>B. bifidum</i>	20 g/L of sodium alginate coated with chitosan 0.4% (w/v) solution	FD	94.8%	-	Chavarri et al. (2010)
<i>L. gasseri</i>	As above	FD	96.1%		
<i>L. lactis</i>	mannitol 10% - sucrose 10% mannitol 5% - sucrose 10% mannitol 10% - skim milk 10% mannitol 5% - MRS broth	FD	16% 26% 10% 62%	-	Berner and Viernstein (2006)
<i>L. acidophilus</i> (La-05)	RSM 10% + cellulose acetate phthalate 10% + Glycerol 3.5% + Maltodextrin 2.0% + Raftilose 1.0% + Tween 80	SD (Ti/To=130/75)	98.3%	0.23 / 5.3%	Fávaro-Trindade and Grosso (2002)

	0.1%				
<i>B. lactis</i> (Bb-12)	As above		78.7%		
<i>Lactobacillus</i>	maltodextrin (19% w/v)	SD	-		Johnson and Etzel
<i>helveticus</i>		To = 82°C	15%		(1995)
		To = 120°C	0.08%		
		FD	48%		
		Freezing	54%		
<i>L. reuteri</i> -PS77	non fat skim milk (20% w/v)	FD	66.7%	6.5-7.0%	Zamora et al.
					(2006)
		SD; T _i /T _o =	100%	5.8-6.7%	
		170/85			
<i>L. lactis</i> ssp.	230g maltodextrin, 76g	FD	63%	-	To and Etzel (1997)
<i>cremoris</i>	lactose, 3.5g NaH ₂ PO ₄ ·zH ₂ O,	SD (T _o = 65°C)	2.95%		
	7.1g Na ₂ HPO ₄ and 685 mL	SD (T _o = 90°C)	0.35%		
	deionized water				
<i>L.</i>	As above	FD	71%	-	

<i>pseudoplatantarum</i>		SD ($T_o = 65^\circ\text{C}$)	14.7%		
		SD ($T_o = 70^\circ\text{C}$)	13%		
<i>B. lactis</i> (Bb-12)	SPI-MD (1:1) of total 20% (w/v)	FD	79.0%	0.13 / 3.58	Chavez and Ledeboer (2007)
		SD; $T_i/T_o = 80/48$	44%	0.35 / 7.51	
		SD; $T_i/T_o = 80/48$ + vacuum (45°C)	23%	0.18 / 4.97	
<i>B.</i>	SM (10% w/v)	SD; T_i/T_o	0.26%	9.2%	Wong et al. (2010)
<i>pseudocatenulatum</i>		=160/75	0.05%	6.2%	
G4		SD; T_i/T_o			
		=160/85			
	free	FD	87%	12.7%	
	SM (10%)	FD	82.1%	4.4%	
	SM (10%) + Lactose (5%)	FD	81.1%	5.1%	
<i>B. lactis</i> Bb12	Free	FD	43%	0.092	Heidebach et al.

(2010)

	Casein 15% (w/w) + transglutaminase (10 U/g casein)	FD	42%	0.109	
	As above + Resistant Starch (1% w/w)	FD	45%	0.118	
					(MC 3- 4%)
<i>L. paracasei</i> ssp. <i>paracasei</i> F19	Free	FD	71%		
	Casein 15% (w/w) + transglutaminase (10 U/g casein)	FD	34%		
	As above + Resistant Starch (1% w/w)	FD	16%		
<i>B. breve</i> A71	Lactose 5%, gelatine 1.5%		83.3%	-	Trsic-Milanovic et

	and glycerol 1% (all w/v)			al. (2001)
	Saccharose 8%, gelatine 1.5%		66.6%	
	and skim milk 10% (all w/v)			
<i>B. bifidum</i> BbTD	Lactose 5%, gelatine 1.5%		73.9%	
	and glycerol 1% (all w/v)			
	Saccharose 8%, gelatine 1.5%		68.2%	
	and skim milk 10% (all w/v)			
<i>L. salivarius</i> I 24	Distilled water (control)		0.08%	Ming et al. (2009)
	Skim milk (20% w/v)		13.03%	
	Sucrose (20% w/v)		9.00%	
	Glycerol (5% w/v)		0.005%	
	Calcium carbonate (Ca ²⁺)		0.00%	
	(0.5% w/v)			
<i>L. lactis</i>	egg yolk	FD	44.6%	Nanasombat and
			-	Sriwong (2007)
	glucose		46.3%	

lactose	64.2%
skim milk	61.6%
sorbitol	59.7%
soy milk	60.4%
sucrose	61.0%
trehalose	55.7%
(each 9% w/v distilled water)	

<i>L. paracasei</i>	SM/Tre/asc(6/8/4)w/v	FD	82%	-	Jalali et al. (2011)
subsp. <i>tolerance</i>					
<i>L. delbrueckii</i>	SM/Tre/asc(6/8/4)w/v	FD	74%		
subsp. <i>bulgaricus</i>					
<i>L. plantarum</i>	Control	FD	8%		De-Valdez et al.
ATCC 8014					(1983)
	Adonitol (0.8 M)		72%	All <1%	
	Dulcitol (0.8 M)		8%		
	Glycerol (1 M)		33%		

m-Inositol (0.8 M) 10%

Mannitol (0.8 M) 9.50%

Sorbitol (1 M) 11%

(all dissolved in 10% non fat
skim milk; final concentration
of each polyol was 0.32 M)

<i>L. rhamnosus</i> GG	Free	FD	87.90%	Miao et al. (2008)
	Lactose		93.7 -	
	Trehalose		97.1	
	Maltose		96.4	
	Sucrose		88.4	
	Lactose-Trehalose (1:1)		97.5	
	Lactose-maltose (1:1)		98.7	
	(all 15%)			
<i>L. salivarius</i>	free	FD	4% -	Zayed and Roos
subsp. <i>salivarius</i>				(2004)

	Sucrose 4% (w/v)		13%		
	SM 18% (w/v)		22.4%		
	Trehalose 4% (w/v)		34%		
<i>L. rhamnosus</i> GG	RSM 20% (w/v)	SD; T _o =80	65%	MC =	Ananta et al. (2005)
				3.7%	
	RSM/polydextrose (1:1; total		56%	MC=3.4%	
	20% w/v)				
	RSM/raffinose P95 (1:1; total		65%	MC=3.7%	
	20% w/v)				
<i>L. rhamnosus</i> GG	RSM (20%)	SD; T _o =85	50%	-	Corcoran et al.
		690			(2004)
	RSM:raffinose (10:10)%		43%		
	inulin (20%)		0.25%		
<i>L. paracasei</i>	20% (wt/vol) RSM	SD; T _o =70-75	97%	7.30%	Gardiner et al.
NFBC 338	supplemented with 0.5%				(2000)
	(wt/vol) yeast extract				

		SD; T _o = 80-85	65%	5%	
<i>L. salivarius</i>	20% (wt/vol) RSM	SD; T _o =70-75	11.30%	8.80%	
UCC 118	supplemented with 0.5% (wt/vol) yeast extract				
		SD; T _o = 80-85	1%	2.10%	
<i>L. plantarum</i>	SM 11%(wt/vol)	SD; Ti/T _o	98.9%	-	Golowczyc et al.
CIDCA 83114		=160/70			(2010)
<i>L. paracasei</i>	RSM + 1% glucose	SD; Ti/T _o	11.80%		Kearney et al.
NFBC 338		=170/80-85			(2009)
<i>L. paracasei</i>	RSM: Gum Acasia (10:10)%	SD; Ti/T _o	1.40%	MC=2.8%	Desmond et al.
NFBC 338		=170/95-100			(2002)
		SD; Ti/T _o	0.90%	MC=2.5%	
		=170/100-105			
	RSM 20%	SD; Ti/T _o	1.70%	MC=3.2%	
		=170/95-100			
		SD; Ti/T _o	0.01%	MC=2.8%	

=170/100-105

<i>Bifidobacterium</i>	free	SD; Ti/T ₀	29.60%	-	O'Riordan et al.
PL1		=100/45			(2001)
	Modified starch 10% (w/v) :		30.20%		
	cells (10:1)				
	Modified starch 10% (w/v) :		26.84%		
	cells (5:1)				
<i>B. bifidum</i>	WPC, whey protein			-	Rodr,quez-Huezo et
	concentrate; GA, gum arabic;				al. (2007)
	MG, mesquite gum; MD,				
	maltodextrin DE 10.				
	WPC 17% + MG 17% + MD	SD; Ti/T ₀	25.10%		
	66% + aguamiel 1.4%	=155/70			
	GA 17% +MG 66% +MD		19.90%		
	17% + aguamiel 1.4%				
	GA 50% + MG 50% +		10%		

aguamiel 1.4%

WPC 17% +MG 17% + MD

19.90%

66%

GA 17% + MG 66% + MD

1.60%

17%

GA 50% + MG 50%

1.30%

(all in w/w; aguamiel in w/v

as cell re-suspension)

<i>L. rhamnosus</i> GG	Tre 20%	SD; T _o =65-70	68.8%	3.8-4.1%	Sunny-Roberts and Knorr (2009)
	Tre20%+12.5 MSG (g/L)		80.8%		
	SM 20%		75%		
<i>L. rhamnosus</i> E- 97800	Tre 20%	SD; T _o =65-70	23.4%		
	Tre20%+12.5 MSG (g/L)		89.3%		
	SM 20%		55%		

<i>L. acidophilus</i>	mod. Starch Hylon VII 30%	SD; Ti/T ₀	123.3%	-	Goderska and
DSM 20079	(w/v)	=185/85			Czarnecki (2008)
<i>B. bifidum</i> DSM	mod. Starch N-Tack 30%	SD; Ti/T ₀	121.6%		
20239	(w/v)	=185/85			
<i>L. rhamnosus</i>	WPI gelled by CaCl ₂ , 20%	FD	9.5×10 ⁸	-	Reid et al. (2007)
R011	(w/w) SM, 5%(w/w) sucrose, 1%(w/w) bacto casitone 0.35% (w/v) ascorbic acid SM (control) un-gelled WPI + 25.6% (w/w) lactose + 13.9% (w/w) sucrose		1.5×10 ¹⁰ 2.3×10 ¹⁰		
				(no initial population given)	

<i>B. breve</i> R070	harvested cells+denatured	SD; Ti/T ₀	25.67%	0.16/1.95	Picot and Lacroix (2004)
	WPI 10%	=185/85			
	harvested cells+milk		10.58%	0.16/1.40	
	fat+denatured WPI 10%				
	freeze dried cells+milk		0.71%	0.14/1.28	
	fat+denatured WPI 10%				
<i>B. longum</i> R023	harvested cells+denatured		1.44%	0.18/2.05	
	WPI 10%				
	harvested cells+milk		0.03%	0.16/2.07	
	fat+denatured WPI 10%				
	freeze dried cells+milk		0.03%	0.14/1.42	
	fat+denatured WPI 10%				
<i>B. animalis</i> ssp. <i>lactis</i> E-012010	sucrose (5% w/w)	FD	122.3%	2.70%	Saarela et al. (2005)
	RSM (5% w/w)		122.3%	2.80%	
<i>L. casei</i>	2% alginate + 2% rice starch	FD	95.3%	-	Donthidi et al.

	+ 1% lecithin				(2010)
<i>L. casei</i>	2% alginate + 2% hylon + 1% lecithin		92.5%		
	2% alginate + 2% maize + 1% lecithin		91.1%		
	2% alginate + 2% potato + 1% lecithin		83.3%		
	2% alginate + 2% wheat + 1% lecithin		96.1%		
<i>B. lactis</i> (BI 01)	pectin and casein (1 : 1, total solids content of 8%, w/v)	SD; $T_i/T_o = 70/46$	93.0%	11%	Oliveira et al. (2007)
<i>L. acidophilus</i> (LAC 4)	pectin and casein (1 : 1, total solids content of 8%, w/v)		95.3%	9.60%	
<i>B. animalis</i> ssp. <i>lactis</i> DSMZ 20105	RSM (20%, w/v)	SD; $T_i/T_o =$ 170/90	87%	2.47%	Simpson et al. (2005)

<i>B. animalis</i> ssp.	79%	3.16%
<i>lactis</i> BB12		
<i>B. breve</i>	23-38%	3.05- 3.23%
<i>B. longum</i>	20 %	4.18%
<i>B. thermophilum</i>	22-26%	3.38- 3.78%)

Table 4 Stability of microencapsulated probiotic bacteria during storage

Probiotic	protectant	drying method	survival after storage	storage conditions	aw/MC	References
<i>B. lactis</i> (Bb-12)	SPI-MD (1:1) of total 20% (w/v)	FD	4.9%	2 mo, 30°C	0.13	Chavez and Ledeboer (2007)
		SD; Ti/T _o =80/48	n.d.		0.35	
		SD; Ti/T _o =80/48 + vacuum (45°C)	5.1%		0.18	
<i>L. reuteri</i> -PS77	NFSM (20%)	FD	85.9%	5°C, 60 days	-	Zamora et al. (2006)
	NFSM (20%) + 0.5% yeast extract	SD; Ti/T _o =170/85	33.1%			
<i>L. reuteri</i> -PS77	NFSM (20%)	FD	66.6%	20°C, 60 days		
	NFSM (20%) + 0.5% yeast	SD; Ti/T _o	10.7%			

extract		=170/85				
<i>L. paracasei</i>	SM/Tre/asc(6%/8%/4%)w/	FD	76.0%	3 mo, 4°C	-	Jalali et al.
subsp. <i>tolerance</i>	v					(2011)
			37.0%	3 mo, 23°C		
<i>L. delbrueckii</i>	SM/Tre/asc(6%/8%/4%)w/	FD	72.0%	3 mo, 4°C		
subsp.	v					
<i>bulgaricus</i>			35.0%	3 mo, 23°C		
<i>B. longum</i> 1941	Unipectin 2% (w/v)	FD	85.3%	-18 °C, 20 mo	-	Bruno and
			46%	4 °C, 20 mo		Shah (2003)
			n.d.	20°C, 5 mo		
<i>L. bulgaricus</i>	free cells in 10% skim	FD	94.7%	4 weeks, 4°C	-	Lee et al.
KFRI 673	milk solution					(2004)
	alginate		89.0%			
	alginate 2% + chitosan		91.3%			

(MW 3.852 x 10 ³)		
alginate 2% + chitosan	94.0%	
(MW 1.824 x 10 ⁴)		
alginate 2% + chitosan	94.0%	
(MW 1.709 x 10 ⁵)		
free cells in 10% skim	69.9%	4 weeks, 22°C
milk solution		
alginate	72.6%	
alginate 2% + chitosan	79.4%	
(MW 3.852 x 10 ³)		
alginate 2% + chitosan	83.6%	
(MW 1.824 x 10 ⁴)		
alginate 2% + chitosan	90.4%	
(MW 1.709 x 10 ⁵)		

<i>B. bifidum</i>	free	FD	0%	28 days, 4°C	-	Chavarri et al. (2010)
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<i>L. gasseri</i>	20 g/L of sodium alginate coated with chitosan 0.4% (w/v) solution		87.2%			
	free		0%	28 days, 4°C		
	20 g/L of sodium alginate coated with chitosan 0.4% (w/v) solution		88.5%			
<i>Lactobacillus</i>	free	FD	20.0%	Vacuum-sealed	-	Coulibaly et
<i>ssp. paracasei</i>				aluminium foil,		al. (2010)
LMG9192T				150 days, 25°C		
	Glycerol 3% (w/v) + Sorbitol 1.2% (w/v)		40.0%			
	Glycerol 3% (w/v) + MSG		25.0%			
	1.2% (w/v)					

<i>L. plantarum</i>	free		30.0%			
CWBI-B1419	Glycerol 3% (w/v) + sorbitol 1.2% (w/v)		60.0%			
	Glycerol 3% (w/v) + MSG 1.2% (w/v)		43.0%			
<i>Lactobacillus</i>	lactose + trehalose (1:1;	FD	42.7%	38 days, $a_w = 0.0$,	-	Miao et al.
<i>rhamnosus</i> GG	total 15% w/v)			25°C		(2008)
	lactose + maltose (1:1;		86.4%	38 days, $a_w = 0.0$,		
	total 15% w/v)			25°C		
	lactose + maltose (1:1;		100.0%	38 days, $a_w = 0.11$,		
	total 15% w/v)			25°C		
	lactose+trehalose (1:1;		18.0%	38 days, $a_w = 0.11$,		
	total 15% w/v)			25°C		
	RSM 15% (w/v)		65.4%	38 days, $a_w = 0.11$,		
				25°C		
<i>L. acidophilus</i>	free	FD	44.0%	6 weeks, -20°C	-	(Kailasapath

CSCC 2409						y and
						Sureeta
						(2004)
	Ca-alginate 2% (w/v)		71.3%			
	WP 10% (w/v)		66.6%			
<i>B. infantis</i>	free	FD	31.8%	6 weeks, -20°C		
CSCC 1912						
	Ca-alginate 2% (w/v)		70.8%			
	WP 10% (w/v)		52.6%			
<i>L. paracasei</i>	20% (wt/vol) RSM	SD; T _o =80-85	92.0%	4°C, 2 months	-	Gardiner et
NFBC 338	supplemented with 0.5%					al. (2000)
	(wt/vol) yeast extract					
			11.0%	15°C, 2 months		
<i>L. salivarius</i>	20% (wt/vol) RSM	SD; T _o =80-85	13.0%	4°C, 2 months	-	Kearney et
UCC 118	supplemented with 0.5%					al. (2009)
	(wt/vol) yeast extract					

2.0% 15°C, 2 months

86.0% 15°C, 42 days

n.d. 37°C, 42 days

<i>L. paracasei</i>	RSM: Gum Acasia	SD; Ti/T _o	68.0%	4°C, 8 weeks	0.37	Desmond et
NFBC 338	(10:10)%	=170/95-100				al. (2002)
		SD; Ti/T _o	19.0%		0.35	
		=170/100-105				
	RSM 20%	SD; Ti/T _o	3.5%		0.36	
		=170/95-100				
		SD; Ti/T _o	11.0%		0.36	
		=170/100-105				
	RSM: Gum Acasia	SD; Ti/T _o	0.0%	30°C, 8 weeks	0.44	
	(10:10)%	=170/95-100				
		SD; Ti/T _o	0.0%		0.34	
		=170/100-105				
	RSM 20%	SD; Ti/T _o	0.0%		0.41	

=170/95-100

SD; Ti/T_o

0.0%

0.34

=170/100-105

<i>Bifidobacterium</i>	SM 15%	SD; Ti/T _o	54.0%	Glass, 25°C,	-	Hsiao et al.
<i>infantis</i> CCRC		=100/50		deoxidant+desicca		(2004)
14633				nt		
			44.6%	Polyester, 25°C,		
				deoxidant+desicca		
				nt		
			96.1%	Glass, 4°C,		
				deoxidant+desicca		
				nt		
			95.6%	Polyester, 4°C,		
				deoxidant+desicca		
				nt		
	gum arabic 15%		0.0%	Glass, 25°C,		

Gelatin 15%

deoxidant+desicca
nt
0.0% Polyester, 25°C,
deoxidant+desicca
nt
81.2% Glass, 4°C,
deoxidant+desicca
nt
73.4% Polyester, 4°C,
deoxidant+desicca
nt
41.2% Glass, 25°C,
deoxidant+desicca
nt
34.8% Polyester, 25°C,
deoxidant+desicca

Soluble starch (proportion
was not given)

nt
72.4% Glass, 4°C,
deoxidant+desicca
nt
69.9% Polyester, 4°C,
deoxidant+desicca
nt
30.9% Glass, 25°C,
deoxidant+desicca
nt
19.8% Polyester, 25°C,
deoxidant+desicca
nt
62.3% Glass, 4°C,
deoxidant+desicca
nt

59.8% Polyester, 4°C,
deoxidant+desicca
nt
(all kept for 42
days)

<i>Bifidobacterium</i>	Free	SD; T_i/T_o	56.4%	20 days, (19-24°C	-	O'Riordan et
<i>PL1</i>		=100/45		RT)		al. (2001)
	modified waxy maize		44.9%			
	starch 10% (w/v);					
	coating polymer : core =					
	10:1					
<i>B. animalis</i> ssp.	RSM (20%, w/v)	SD;	59%	90 days, 25°C	-	Simpson et
<i>lactis</i> DSMZ		$T_i/T_o=170/90$				al. (2005)
20105						
<i>B. animalis</i> ssp.			49%			
<i>lactis</i> BB12						

<i>B. breve</i>	47%
<i>B. longum</i>	<25%
<i>B. thermophilum</i>	32%

<i>B. bifidum</i>	WPC, whey protein	-	Rodr, guez-
	concentrate; GA, gum		Huezo et al.
	arabic; MG, mesquite		(2007)
	gum; MD, maltodextrin		
	DE 10.		
	WPC 17% + MG 17% + SD; Ti/T ₀	2.0%	5 weeks, 4°C, a _w
	MD 66% + aguamiel 1.4% =155/70		0.32
	GA 17% +MG 66% +MD	1.0%	
	17% + aguamiel 1.4%		
	GA 50% + MG 50% +	0.6%	
	aguamiel 1.4%		
	WPC 17% +MG 17% +	<0.1%	
	MD 66%		

GA 17% + MG 66% + 0.0%

MD 17%

GA 50% + MG 50% <0.1%

(all in w/w; aguamiel in

w/v as cell re-suspension)

<i>L. acidophilus</i>	mod. Starch Hylon VII	SD; Ti/T _o	60.2%	4°C, 4 months	-	Goderska
DSM 20079		=185/85				and
						Czarnecki
						(2008)
	mod. Starch N-Tack		34.1%			
	mod. Starch N-Lock		33.3%			
<i>B. bifidum</i> DSM	mod. Starch N-Tack	SD; Ti/T _o	72.3%			
20239		=185/85				
	mod. Starch N-Lock		36.1%			
	(all 30% w/v)					
<i>L. acidophilus</i>	SM	FD	100.0%			

DSM 20079

SM+5% sacch+0.35% 92.5%

ascorbic acid

saccharides (20%) 90.4%

B. bifidum DSM SM 100.0%

20239

SM+5% sacch+0.35% 92.5%

ascorbic acid

saccharides (20%) 93.3%

<i>Bifidobacterium</i>	sucrose (5% w/w)	FD	91.3%	37°C, 2 mo	-	Saarela et al.
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<i>animalis</i> ssp.						(2005)
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lactis E-012010

RSM (5% w/w) 80.0%

sucrose (5% w/w) 99.1%

RSM (5% w/w) 98.3%

sucrose (5% w/w) 99.1%

	RSM (5% w/w)		96.5%		
<i>L. casei</i> NCFB 161	alginate (2% w/v) + gelatinized starches (2% w/v) + lecithin (1% w/v)	FD	73.5% / 12 weeks / 24 weeks (23°C)	-	Donthidi et al. (2010)
<i>L. plantarum</i> DSM 12028			69.3% / 46.7%		
<i>L. acidophilus</i> NCFB 1748			88.4% / n.d.		
<i>L. gasseri</i> NCFB 2233			65.4% / n.d.		
<i>L. bulgaricus</i> NCFB 1489			72.2% / n.d.		
<i>B. adolescentis</i> NCIMB 702204			62.2% / n.d.		
<i>Lactococcus lactis</i> NCIMB			93.8% / 68.8%		

6681

<i>B. lactis</i>	pectin and casein (1 : 1, total solids content of 8%, w/v)	SD; Ti/To =70/46		37°C, 120 d	-	Oliveira et al. (2007)
				7°C, 120 d		
<i>L. acidophilus</i>	pectin and casein (1 : 1, total solids content of 8%, w/v)			37°C, 120 d		
				7°C, 120 d		
<i>L. rhamnosus</i> GG	RSM 20%	SD; T _o =85690	88.9%	4°C, 8 weeks	-	Corcoran et al. (2004)
			74.4%	37°C, 8 weeks		
	RSM 10%+inulin 10%		43.4%	37°C, 8 weeks		
	Inulin 20%		42.7%	37°C, 1 week		

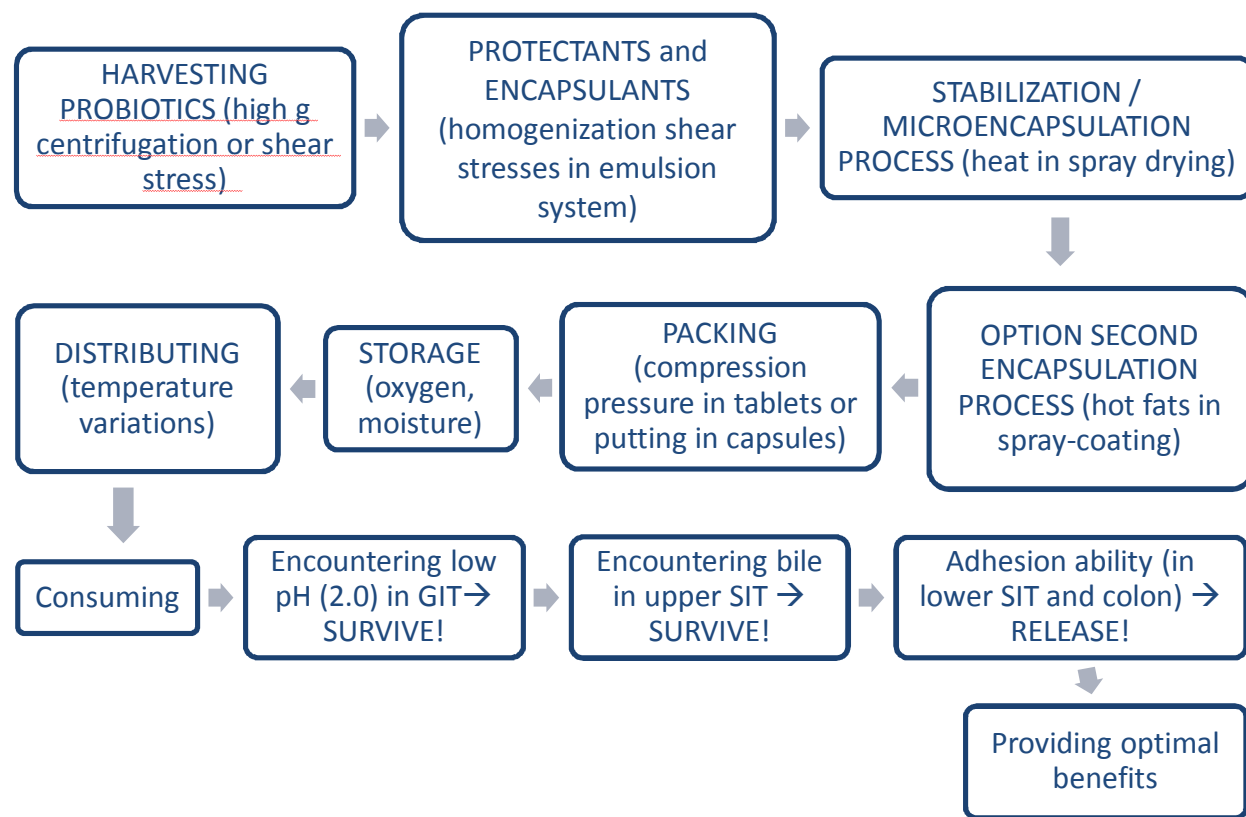


Figure 1. The "long journey" of microencapsulated probiotic bacteria prior to exerting beneficial effects to the hosts