# Determinism and Parsing

**CENG 280** 

### Course outline

- Preliminaries: Alphabets and languages
- Regular languages
- Context-free languages
  - Context-free grammars
  - Parse trees
  - Push-down automaton
  - Push-down automaton context-free languages
  - Languages that are and that are not context-free, Pumping lemma
  - Deterministic CFLs
- Turing-machines

### Determinism and Parsing

- Parsing for programming languages
- Parsing with PDAs
- Deterministic CFLs with deterministic PDAs
- Heuristic rules for converting grammar to get a deterministic PDA

A pushdown automaton M is **deterministic** if for each configuration there is at most one configuration that can succeed it in a computation by M.

 Two strings are said to be consistent if one of them is the prefix of the other.

$$\bullet$$
  $e-aa$ ,  $a-aa$ ,  $aa-a$ 

- Two transitions  $((q, a, \beta), (p, \gamma))$  and  $((q, a', \beta'), (p', \gamma'))$  are said to be **compatible** if both a and a' are consistent, and  $\beta$  and  $\beta'$  are also consistent.
- If a PDA M has compatible transitions, then there can be situations where both transitions applicable.
  - $((q, a, e), (p, \gamma)) ((q, a, a), (p', \gamma'))$
  - $((q, e, ab), (p, \gamma)) ((q, e, a), (p', \gamma'))$
- A PDA is deterministic if it does not have compatible transitions, i.e., no non-deterministic choices.

## Deterministic-Nondeterministic PDA Examples

$$L = \{wcw^{R}\},\$$

$$S \to c \mid aSa \mid bSb$$

$$1.((s, a, e), (s, a))$$

$$2.((s, b, e), (s, b))$$

$$3.((s, c, e), (f, e))$$

$$4.((f, a, a), (f, e))$$

$$5.((f, b, b), (f, e))$$

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#### Definition

A language  $L \subseteq \Sigma^*$  is a deterministic context-free language if L\$ = L(M) for some deterministic pushdown automaton M (M senses the end of the input).

- Why \$ is necessary? Consider  $L = \{a^i \mid i \ge 0\} \cup \{a^n b^n \mid n \ge 0\}$
- Every deterministic context-free language is a context-free language.
   Why?
- Is every CFL deterministic?

#### Example

Consider  $L = \{a^n b^m c^p \mid m, n, p \ge 0, m \ne n \text{ or } n \ne p\}$ . Is L context-free, if yes, is it deterministic?

Consider the complement of L. It's complement is not CFL (in a few minutes). Deterministic CFL's are closed under complementation.

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The class of deterministic context-free languages is closed under complementation.

Proof: Read the book.

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- First convert M to a simple  $M' = (K, \Sigma, \Gamma, \Delta, S, F)$  (it only depends on input symbol, top of the stack, does not change the deterministic property).
- Consider the acceptance condition, simple switch of final/non-final states are not sufficient.
- R If the computation ends in F and the stack is empty, then REJECT (no issue,  $K \setminus F$  is the set of final states).
- A If the computation ends in  $K \setminus F$ , then accept (need to empty the stack)
- A If the computation ends in F and the stack is not empty, then empty the stack and ACCEPT.
- A If the computation ends in a dead-end, no transitions-stack operations is possible. Read the rest, empty the stack and accept the word.

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#### Corollary

The class of deterministic context-free languages is a proper subset of the class of context-free languages.

Proof?

Consider 
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$$\bar{L} \cap a^{\star}b^{\star}c^{\star} = \{a^nb^nc^n \mid n \ge 0\}$$

Nondeterminism is more powerful than determinism in the context of pushdown automata.

## **Parsing**

- Deterministic CFLs are not closed under union. Proof?
- Only deterministic CFL can be recognized by a deterministic PDA.
- Given a grammar G, can we construct a deterministic PDA M with L(G) = L(M)?
- This question is undecidable. There is no algorithm to answer the question for an arbitrary grammar.
- Deterministic context-free languages are never inherently ambiguous.
   Proof?
- There are some heuristic approaches to eliminate grammar rules that result in compatible transitions, so that the resulting automaton will be deterministic ( some examples in the book).

### Top-Down Parsing

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$$L = \{a^n b^n \mid n \ge 0\}, S \rightarrow e \mid aSb$$



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### Bottom up parsing

#### Definition

Given  $G=(V,\Sigma,R,S)$ , the bottom up push-down automaton  $M=(K,\Sigma,\Gamma,\Delta,p,F)$  is defined as follows:  $K=\{p,q\},\ \Gamma=V,\ F=\{q\},$  and  $\Delta$ :

1.((p, a, e), (p, a)) for each  $a \in \Sigma$ 2.( $(p, e, \alpha^R), (p, A)$ ) for each rule  $A \to \alpha$  in R3.((p, e, S), (q, e))

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) for each  $a \in \Sigma$   
2.( $(p, e, \alpha^R), (p, A)$ ) for each rule  $A \to \alpha$  in  $R$   
3.( $(p, e, S), (q, e)$ )

### Example

Construct a bottom up parser for  $G = (V, \Sigma, R, S)$ , with rules

$$S \rightarrow aSa \mid bSb \mid e$$

