

# Nondeterministic Finite Automata

CENG 280

- Preliminaries: Alphabets and languages
- Regular languages
  - Regular expressions
  - **Finite automata: DFA and NFA**
  - Finite automata - regular expressions
  - Pumping lemma
  - State minimization for DFA
- Context-free languages
- Turing-machines

# Nondeterministic Finite Automata

- NFA
- NFA semantics
- Subset construction algorithm

# Nondeterministic Finite Automaton

- the successor state “partially” depends on the current state and the input symbol
- several possible combinations of “next states”

# Nondeterministic Finite Automaton

- the successor state “partially” depends on the current state and the input symbol
- several possible combinations of “next states”

## Example

Consider  $(ab \cup aba)^*$

# Nondeterministic Finite Automaton

## Definition (Nondeterministic finite state automaton)

Nondeterministic finite state automaton is a quintuple  $M = (K, \Sigma, \Delta, s, F)$ , where

- $K$  is a finite set of states,
- $\Sigma$  is an alphabet,
- $s \in K$  is the initial state,
- $F \subseteq K$  is the set of final states, and
- $\Delta \subseteq K \times (\Sigma \cup \{e\}) \times K$  is the transition relation.

$(q, a, p) \in \Delta$  is called a transition of  $M$ .  $(q, e, p)$  indicates that the machine can pass to state  $p$  from state  $q$  without reading an input symbol.

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .



# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .
- $(q, w) \vdash_M (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$  **in one step**. Note that  $\vdash_M$  might not be a function, i.e., there might be several pairs  $(q', w')$  (or none at all) such that  $(q, w) \vdash_M (q', w')$ .

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .
- $(q, w) \vdash_M (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$  **in one step**. Note that  $\vdash_M$  might not be a function, i.e., there might be several pairs  $(q', w')$  (or none at all) such that  $(q, w) \vdash_M (q', w')$ .
- $\vdash_M^*$  is the **reflexive transitive closure** of  $\vdash_M$ .  $(q, w) \vdash_M^* (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$ .

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .
- $(q, w) \vdash_M (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$  **in one step**. Note that  $\vdash_M$  might not be a function, i.e., there might be several pairs  $(q', w')$  (or none at all) such that  $(q, w) \vdash_M (q', w')$ .
- $\vdash_M^*$  is the **reflexive transitive closure** of  $\vdash_M$ .  $(q, w) \vdash_M^* (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$ .
- A string  $w \in \Sigma^*$  is **accepted** by  $M$  if and only if there is a state  $f \in F$  such that  $(s, w) \vdash_M^* (f, e)$ .

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .
- $(q, w) \vdash_M (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$  **in one step**. Note that  $\vdash_M$  might not be a function, i.e., there might be several pairs  $(q', w')$  (or none at all) such that  $(q, w) \vdash_M (q', w')$ .
- $\vdash_M^*$  is the **reflexive transitive closure** of  $\vdash_M$ .  $(q, w) \vdash_M^* (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$ .
- A string  $w \in \Sigma^*$  is **accepted** by  $M$  if and only if there is a state  $f \in F$  such that  $(s, w) \vdash_M^* (f, e)$ .
- The **language** of  $M$ ,  $L(M)$ , is the set of strings accepted by  $M$ .

# Nondeterministic Finite Automaton

- The **configuration** of the machine is the current state and the unread part of the input string, i.e., a configuration is an element of  $K \times \Sigma^*$ .
- $(q, w) \vdash_M (q', w')$  if and only if  $w = aw'$  for some  $a \in \Sigma \cup \{e\}$  and  $(q, a, q') \in \Delta$ .
- $(q, w) \vdash_M (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$  **in one step**. Note that  $\vdash_M$  might not be a function, i.e., there might be several pairs  $(q', w')$  (or none at all) such that  $(q, w) \vdash_M (q', w')$ .
- $\vdash_M^*$  is the **reflexive transitive closure** of  $\vdash_M$ .  $(q, w) \vdash_M^* (q', w')$  reads  $(q, w)$  **yields**  $(q', w')$ .
- A string  $w \in \Sigma^*$  is **accepted** by  $M$  if and only if there is a state  $f \in F$  such that  $(s, w) \vdash_M^* (f, e)$ .
- The **language** of  $M$ ,  $L(M)$ , is the set of strings accepted by  $M$ .

## Example

Construct an NFA  $M$  that recognize

$L = \{w \in \{a, b\}^* \mid w \text{ contains } ba \text{ or } bba\}$ . Show all executions over *babba*. Decide whether *babba*  $\in L(M)$ .

# Nondeterministic Finite Automaton

## Example

Let  $\Sigma = \{a_1, \dots, a_n\}$ . Consider

$L = \{w \in \Sigma^* \mid \text{there is a symbol } a_i \in \Sigma \text{ that does not appear in } w\}$ . DFA for  $n=1,2$ , NFA for  $n=3$ .

# Nondeterministic Finite Automaton

- A deterministic finite state automaton is just a special type of nondeterministic finite state automaton.
- We obtain a DFA when  $\Delta$  defines a function from  $K \times \Sigma$  to  $K$ .
- In other words, an NFA  $M = (K, \Sigma, \Delta, s, F)$  is deterministic if there are no transitions of the form  $(q, e, p)$  and for each  $q \in K$  and  $a \in \Sigma$ , there exists exactly one  $p \in K$  such that  $(q, a, p) \in \Delta$ .

# Nondeterministic Finite Automaton

- A deterministic finite state automaton is just a special type of nondeterministic finite state automaton.
- We obtain a DFA when  $\Delta$  defines a function from  $K \times \Sigma$  to  $K$ .
- In other words, an NFA  $M = (K, \Sigma, \Delta, s, F)$  is deterministic if there are no transitions of the form  $(q, e, p)$  and for each  $q \in K$  and  $a \in \Sigma$ , there exists exactly one  $p \in K$  such that  $(q, a, p) \in \Delta$ .
- The class of languages recognized by deterministic finite state automaton is a subset of the class of languages recognized by nondeterministic finite state automaton.



# Nondeterministic Finite Automaton

- A deterministic finite state automaton is just a special type of nondeterministic finite state automaton.
- We obtain a DFA when  $\Delta$  defines a function from  $K \times \Sigma$  to  $K$ .
- In other words, an NFA  $M = (K, \Sigma, \Delta, s, F)$  is deterministic if there are no transitions of the form  $(q, e, p)$  and for each  $q \in K$  and  $a \in \Sigma$ , there exists exactly one  $p \in K$  such that  $(q, a, p) \in \Delta$ .
- The class of languages recognized by deterministic finite state automaton is a subset of the class of languages recognized by nondeterministic finite state automaton.
- **A nondeterministic finite automaton can always be converted to an equivalent deterministic finite state automaton.**

## Definition

Two automaton  $M_1$  and  $M_2$  are said to be **equivalent** when  $L(M_1) = L(M_2)$ .

# Nondeterministic Finite Automaton - Subset construction

## Theorem

*For each nondeterministic finite automaton, there exists an equivalent deterministic finite automaton.*

# Nondeterministic Finite Automaton - Subset construction

## Theorem

*For each nondeterministic finite automaton, there exists an equivalent deterministic finite automaton.*

Constructive proof: **subset construction algorithm**

# Nondeterministic Finite Automaton - Subset construction

## Theorem

*For each nondeterministic finite automaton, there exists an equivalent deterministic finite automaton.*

Constructive proof: **subset construction algorithm**

Given an NFA  $M = (K, \Sigma, s, \Delta, F)$ , construct an equivalent DFA  $M' = (K', \Sigma, s', \delta, F')$  as follows.

$$E(q) = \{p \in K \mid (q, e) \vdash_M^* (p, e)\}$$

The reflexive transitive closure of  $\{q\}$  under the relation  $\{(p, r) \mid (p, e, r) \in \Delta\}$

# Nondeterministic Finite Automaton - Subset construction

## Theorem

*For each nondeterministic finite automaton, there exists an equivalent deterministic finite automaton.*

Constructive proof: **subset construction algorithm**

Given an NFA  $M = (K, \Sigma, s, \Delta, F)$ , construct an equivalent DFA  $M' = (K', \Sigma, s', \delta, F')$  as follows.

$$E(q) = \{p \in K \mid (q, e) \vdash_M^* (p, e)\}$$

The reflexive transitive closure of  $\{q\}$  under the relation  $\{(p, r) \mid (p, e, r) \in \Delta\}$

The DFA is defined as:

$$K' = 2^K, s = E(s)$$

$$F' = \{Q \subseteq K \mid Q \cap F \neq \emptyset\}$$

for each  $Q \in K'$  and  $a \in \Sigma$

$$\delta(Q, a) = \{E(p) : p \in K, (q, a, p) \in \Delta \text{ for some } q \in Q\}$$

# Nondeterministic Finite Automaton - Subset construction

Prove that  $M$  and  $M'$  are equivalent, show that for any string  $w \in \Sigma^*$

# Nondeterministic Finite Automaton - Subset construction

Prove that  $M$  and  $M'$  are equivalent, show that for any string  $w \in \Sigma^*$

$$(s, w) \vdash_M^* (f, e) \text{ for some } f \in F \text{ iff} \\ (E(s), w) \vdash_{M'}^* (P, e) \text{ for some } P \text{ containing } f$$

Thus they recognize the same language.

# Nondeterministic Finite Automaton - Subset construction

Prove that  $M$  and  $M'$  are equivalent, show that for any string  $w \in \Sigma^*$

$$(s, w) \vdash_M^* (f, e) \text{ for some } f \in F \text{ iff} \\ (E(s), w) \vdash_{M'}^* (P, e) \text{ for some } P \text{ containing } f$$

Thus they recognize the same language.

Proof by induction on  $|w|$  for

$$(q, w) \vdash_M^* (p, e) \text{ iff } (E(q), w) \vdash_{M'}^* (P, e) \text{ for some } P \text{ with } p \in P$$



# Nondeterministic Finite Automaton - Examples

## Example

Construct a DFA that is equivalent to the given NFA .