

# Final Year Project Report

Full Unit – Final Report

---

## A Disassembler for Reverse Engineering Binary Executables

Gurjan Lally

---

A report submitted in part fulfilment of the degree of

**BSc (Hons) in Computer Science**

**Supervisor:** Professor Gregory Chockler



Department of Computer Science  
Royal Holloway, University of London

# Table of Contents

Abstract & Motivation.....	3
Chapter 1: <b>Introduction</b> .....	4
1.1 Project Specification 1	
1.2 Project Aim 1	
1.3 Necessity 1	
1.4 Objectives 2	
1.5 Report structure 2	
Chapter 2: <b>Legal and Professional Issues in Reverse Engineering</b> .....	6
2.1 Introduction to Reverse Engineering	
2.2 Legal Issues	
2.3 Professional Issues	
Chapter 3: <b>Disassembly</b> .....	10
3.1 Introduction to Disassembly	
3.2 Correct Disassembly	
3.3 Static Disassembly and Dynamic Analysis	
3.4 Static Disassembly Algorithms	
3.5 Obfuscation to Thwart Recursive Traversal	
3.6 Battling Obfuscation	
3.7 CFG representation	
Chapter 4: <b>Overview of Capstone</b> .....	16
4.1 Introduction to Capstone	
4.2 Capstone Engine Internals	
4.3 Features and Capabilities	
4.4 Project and Capstone	
4.5 Community and Products Utilising Capstone	
Chapter 5: <b>Parsing Binary Executables</b> .....	18
5.1 Introduction to ELF files	
5.2 Determining ELF Entry point	
5.3 Retrieving Function Names and Addresses	
Chapter 6: <b>Program Functionality</b> .....	21
6.1 User Interface	
6.2 Locating Functions	
6.3 Disassembly Algorithm	
6.4 Algorithm weakness and solution	
Chapter 7: <b>Evaluation of Disassembler on Set Binaries</b> .....	26
7.1 Runtime Performance	

## 7.2 Testing Output

Chapter 8: <b>Software Engineering</b> .....	33
8.1 Initial Requirements	
8.2 Methodology	
8.3 UML	
8.4 Architecture and Design Patterns	
8.5 Unit Testing	
8.6 Repository Usage	
8.7 Development Environment	
Chapter 9: <b>Self Analysis</b> .....	38
9.1 Overall Achievements	
9.2 Possible Improvements	
9.3 Conclusion	
9.4 Obfuscation to Thwart Recursive Traversal	
Chapter 10: <b>Appendices</b> .....	40
10.1 Diary	
10.2 User Manual	

## Abstract

Disassemblers are powerful tools, used for many purposes. One such purpose is the Reverse Engineering and analysis of Binary Executables. That is, scrutinizing the internal functionality of some program compiled as an Executable file containing some binary or machine code. To actually perform the analysis, Reverse Engineers cannot feasibly analyse Binary Executables with just the executable and no other tools. A higher-level abstraction from its Machine Code format is required. Such higher-level abstractions include Source Code and Assembly Code. Disassemblers provide the means to decode machine code, presenting interpretable assembly code. Disassemblers perform the inverse operation to that of an assembler, most often with output in a human-readable format and unsuitable for direct input to an assembler. This is what primarily makes a disassembler a Reverse Engineering Tool.<sup>[1]</sup> In addition to this, it makes verifying the output of a disassembler most difficult.

This project aims to produce a state-of-the-art disassembler, with core functionality being the graphical representation of output disassembly code from some input file. Additional important functionality of disassemblers in general involves means to represent the control flow graph of the given executable graphically, data export, resilience against binary obfuscation methods, and supporting various file types and architectures.

The Disassembler in this project makes use of the acclaimed Capstone Engine, a powerful ‘engine’/library, which is a level of abstraction below the Disassembler itself. The Capstone engine is used to actually decode instructions from bytes passed into it and feedback disassembly code. Capstone itself cannot take an input file and return accurate, meaningful

‘disassembly code’. Capstone will do this only for bytes passed which correspond to executable code/program instructions.

## Motivation

Upon reading the original project specification, I was shrouded with fear of the associated concepts, including everything surrounding executable files (format, how they’re executed, compilation process), Assembly code, and Reverse Engineering. I had no idea what to expect from the project, apart from the fact that it would be a challenge, as well as a perfect learning opportunity. I did not even know what a Disassembler was.

Undertaking this project will be incredibly useful going forward after university, due to the invaluable concepts studied and the complexity of them. To independently combat such complexity has given me insight into how to handle similar situations in the future. Furthermore, the work carried out has shown me how to develop a piece of software effectively in terms of managing a repository, outlining requirements, and devising program structure.

## Chapter 1

### Introduction

---

This chapter outlines the project rationale, covering the project specification, aims, objectives, and necessity for the software product produced.

#### 1.1 Project Specification

*‘To analyse and reverse engineer compiled binaries, it is necessary to disassemble the instructions that will be executed at runtime. A disassembler reads a target binary (ELF for Unix systems or PE for Windows) and determines the entry point from the file header. It then begins decoding instructions beginning at the entry point, in either a linear sweep (i.e., a straight sequence) or a recursive traversal (i.e., following jump targets). Determining jump targets can be hard, so practical disassemblers often also use various heuristics to discover code. The decoded instructions are arranged in a graph that can be drawn or exported in different formats for further analysis.*

*This project will build a disassembler in Java using the Capstone library, a framework for decoding instructions from multiple architectures. The Java disassembler will have to implement the file parsing, traversal, heuristics, data export and visualisation.’*

#### 1.2 Project Aim

The aim of the project is to build a state-of-the-art disassembler using the Capstone library. Associated with this main aim is to implement the Recursive Traversal algorithm, which is required to obtain overall useful and meaningful disassembly results.

## 1.3 Necessity

Disassemblers are required for the analysis of software which has been compiled to executable machine instructions, where source code is typically not available. At times, this can be frowned upon, as programs are not meant to be Reverse Engineered once compiled. The positive use cases, however, are instances such as malware analysis, where the analysis of malicious software is performed to understand the behaviour in order to mitigate its effect.

Reverse Engineering also has many other use cases, such as Vulnerability Research & Development, Digital Forensics, the Detection of Plagiarism in Software, and Debugging. Disassemblers are integral tools to carry out these activities and, as such, there is an industry requirement for top quality disassemblers.

## 1.4 Objectives

- Understand the process of Disassembly and its link to Reverse Engineering
- Gain an understanding of theoretical concepts and challenges associated with the disassembly process
- Build the Disassembler, giving a visual representation of disassembly to the standard of already available disassemblers
- Evaluate the results of disassembly (verifiability)

## 1.5 Report Structure

This report consists of 10 chapters.

- Chapter 2 covers the Legal aspects and Professional Review of Reverse Engineering
- Chapter 3 covers the theory associated with disassembly, introducing it from its most basic description, to complex challenges associated
- Chapter 4 gives an overview of the Capstone disassembly engine, introducing it, explaining its widespread adoption, and usage.
- Chapter 5 outlines the basics of parsing ELF files
- Chapters 6-9 explains the software produced in depth including functionality, testing, implementation decisions, and software engineering practices
- Chapter 10 consists of appendices being the project diary and the User Manual

# Chapter 2

## Legal and Professional Issues in Reverse Engineering

---

The Reverse Engineering of software has many applications. This section discusses the legal aspect of Reverse Engineering and professional issues associated with activities which Reverse Engineering and Disassembly is integral to.

### 2.1 Introduction to Reverse Engineering

The process of Reverse Engineering software arises from the fact that we typically do not have access to a piece of software's source code, and only its corresponding binary if we want to understand its internal functionality. The Reverse Engineering of Software involves taking a piece of software and heavily scrutinizing its internal functionality for one of many possible purposes. Such purposes could be malicious by intent or completely innocent, perhaps driven by curiosity. One may want to reverse engineer some software in order to derive architecture and design information, to the degree that it can be modified or reproduced in another independent software work. <sup>[11]</sup>

There are a range of professional activities which the Reverse Engineering of software can be integral to at times. Such activities include Malware research, software plagiarism detection, Digital Forensics, Assisting the modification of legacy programs, Vulnerability Research & Development, and Binary Patch Development for unmaintained software. <sup>[12]</sup> All of these uses for reverse engineering are positive use cases, with typically no ethical issues surrounding them should the parties carrying out the activities have the legal permission to do so, and the purpose remaining innocent.

From a legal perspective, we are concerned with the US Copyright act of 1976 and the Digital Millennium Copyright Act, as well as the End-User Licence agreement; all of which are outlined below. From an ethical perspective, we are concerned about the impacts of Reverse Engineering on people, as well as the responsibilities which professionals must be conscious of that come with Reverse Engineering.

## 2.2 Legal Issues

The US Fair Use Copyright act of 1976 states<sup>[15]</sup>:

The fair use of a copyrighted work, including such use by reproduction in copies or by any other means specified by that section, for purposes such as criticism, comment, news reporting, teaching (including multiple copies for classroom use), scholarship, or research, is not an infringement of copyright. In determining whether the use made of a work in any particular case is a fair use the factors to be considered shall include—

1. the purpose and character of the use, including whether such use is of a commercial nature or is for nonprofit educational purposes;
2. the nature of the copyrighted work;
3. the amount and substantiality of the portion used in relation to the copyrighted work as a whole; and
4. the effect of the use upon the potential market for or value of the copyrighted work.

The fact that a work is unpublished shall not itself bar a finding of fair use if such finding is made upon consideration of all the above factors.

By interpreting the fair use act in the context of Reverse Engineering, we can assume that it largely supports Reverse Engineering performed for academic purposes, as opposed to commercial purposes. In fact, the act is largely concerned with commercial impact, factoring in ‘effect of the use upon the potential market for or value of the copyrighted work’ when determining fair use. It is also clear that fair use acts as a potential point to reference for Reverse Engineers to defend their activities if legitimate.

The US Digital Millennium Copyright Act was also introduced in 1998 and introduced the following laws related specifically to Reverse Engineering Software<sup>[13]</sup>:

1. Notwithstanding the provisions of subsection (a)(1)(A), a person who has lawfully obtained the right to use a copy of a computer program may circumvent a technological measure that effectively controls access to a particular portion of that program for the sole purpose of identifying and analysing those elements of the program that are necessary to achieve interoperability of an independently created computer program with other programs, and that have not previously been readily available to the person engaging in the circumvention, to the extent any such acts of identification and analysis do not constitute infringement under this title.
2. Notwithstanding the provisions of subsections (a)(2) and (b), a person may develop and employ technological means to circumvent a technological measure, or to circumvent protection afforded by a technological measure, in order to enable the identification and analysis under paragraph (1), or for the purpose of enabling interoperability of an independently created computer program with other programs, if such means are necessary to achieve such interoperability, to the extent that doing so does not constitute infringement under this title.
3. The information acquired through the acts permitted under paragraph (1), and the means permitted under paragraph (2), may be made available to others if the person referred to in paragraph (1) or (2), as the case may be, provides such information or

means solely for the purpose of enabling interoperability of an independently created computer program with other programs, and to the extent that doing so does not constitute infringement under this title or violate applicable law other than this section.

4. For purposes of this subsection, the term ‘interoperability’ means the ability of computer programs to exchange information, and of such programs mutually to use the information which has been exchanged.

We can gather from the above the terms under which a piece of software may be Reverse Engineered. The key factor is that Reverse Engineering is considered acceptable if the purpose is to achieve ‘interoperability’ between an independently created program with another program. Additionally, it states that reversing a given program should be a necessity to achieve this. It should also be noted that Fair Use exists in line with the above, meaning that careful considerations must be made based on the situation.

### **End-User License Agreement**

An End-User License Agreement is a legal contract between a software manufacturer and user, outlining the terms under which users may use the software, giving a list of conditions of what the user may and may not do. It can state anything from the number of copies that can be made to conditions under which it can be reverse engineered.<sup>[14]</sup>

A key point to be made about the End User License agreement is that it can explicitly override conditions laid out by the previous two Copyright Act additions, due to the fact that it is a legally binding **contract**. This means that if an EULA states that a piece of software must not be reversed, it absolutely must not be reversed, as Fair Use is ignored if this is specified in the EULA. The contract’s terms hold priority over other legislations.

We can therefore gather that if some professional wishes to Reverse an Application, no assumptions should be made, and an expert in law should be consulted on the matter to avoid any risks. The laws are extremely situationally dependent so it makes good sense to do this – especially if any market impact on a software product can be associated with the Reverse Engineering of some other product.

## **2.3 Professional Issues**

There are some professional issues associated with disassembly and Reverse engineering. Given the legal aspects of Reverse Engineering, we consider potential scenarios which are the reason such laws exist in the first place. It is futile to assume that use cases for Disassemblers do not include Reverse Engineering with malicious intent, since they are highly supplementary to this.

Reverse Engineering with malicious intent is the use of associated tools (including Disassemblers) and methodologies, coupled with expert knowledge in order to understand the operation of a piece of software and thereafter abusing this understanding to do something unintended from the software’s original specification. The aforementioned understanding of a piece of software will go beyond the original level understanding expected from the software manufacture.



Abuse of such understanding could be used to find hidden features of a software product, modifying an existing product, 'cracking' software products, or developing malware based on information learned.

As an example, an application's license key validation algorithm could be reverse-engineered, allowing the construction of what are widely known as 'Keygens'. Keygens provide means to generate a product licensing key, such that an illegitimately obtained piece of software can be activated for use in a legitimate way. This is known as 'cracking', mentioned previously. Not only is this illegal in most cases, but it is highly unethical. Since most paid pieces of software require a validation key, cracking paid software offers a way around the validation key, so that paid software can be used for free. There will always exist users who would much rather obtain a free piece of software in this manner than pay the rather expensive current prices of software, should the opportunity arise. This directly impacts software manufacturers' revenue, since there might be less paying users on the market. This highlights the unethical aspects of Reverse engineering, since a software manufacturer may run bankrupt as a result of this form of software piracy.

There may also be cases in which Reverse Engineering with innocent intent poses an indirect threat to regular individuals. For example, a perfectly legitimate Reverse Engineer may be performing software vulnerability research and exploit development for presentation to the relevant software manufacture, and thereafter, publication. Unauthorised users could compromise the individual's system and discover the vulnerability in this time. An unauthorised individual may leak the vulnerability or develop a malicious piece of software which exploits the vulnerability, and release it onto the wider internet. In this case, Reverse Engineering is seen to still poses a threat even when unintended to. A similar situation occurred when vulnerabilities harvested and stored by the NSA were leaked.<sup>[16]</sup> It is therefore a Reverse Engineering professional's duty to keep information learned through the process undisclosed. Information obtained should only be disclosed once discussed and agreed with the original software manufacturer.

Reverse Engineering professionals should not participate in the previously mentioned malicious or unethical activities. They should also have full permission to Reverse Engineer pieces of software, or may face legal consequences. Furthermore, it is their full responsibility to still obey the IT professional code of ethics and conduct, ensuring that potentially impactful information discovered from Reverse Engineering a piece of software should be reported straight to the original software manufacturer. Professionals in this field may sometimes be requested by individuals of higher authority in an organisation to perform tasks that are inherently unethical, and they should not participate in doing so. Such a situation has been seen in [17], whereby Atari reverse-engineered a Nintendo product in order to create a product which defeated that Nintendo product. While the legal outcome found Atari to have not committed any wrong-doing, reversing the Nintendo product was a highly unethical task performed by the group of Reverse Engineers, which should not have performed the analysis.

It is important in this project to keep in mind the role of Disassembly in the Reverse Engineering process, and how it can contribute to some of the dark activities mentioned above. Undoubtedly, individuals involved in these activities are using disassemblers, which means that the software product being produced in this project may end up being used for unethical or illegal purposes if adopted. It is obviously unethical on my part to let malicious users potentially cause harm to others through information about a piece of software obtained through the Disassembler produced.

# Chapter 3

## Disassembly

---

This chapter outlines key theoretical concepts related to the the process of disassembly, learned over time in order to understand how to actually produce a disassembler.

### 3.1 Introduction to Disassembly

Disassembly is apart of the process of Reverse Engineering applications. At its simplest, Disassembly is the process of recovering a sequence of Assembly code from ‘Machine Code’ instructions. The next logical step in the Reverse Engineering process after disassembly would be decompilation; the inverse of compilation (source code to machine code), which aims to recover higher-level abstractions from the assembly code. The project itself includes an element of decompilation being the ‘Control Flow Graph’ (section 3.7), but ultimately involves implementing the means to disassemble.

Machine code is interpretable by a computer’s microprocessing unit, and consists of sets of instructions completely dependent on the CPU architecture. For example, Intel has its own instruction set, which has been updated consistently over the years as their processors have developed. Conversely, ARM has its own entirely different instruction set. Assembly language is arguably the lowest level huamn-interpretable programming language, and is one of the lowest level abstractions of machine code. This makes it incredibly valuable to know for Reverse Engineers, as it gives a direct representation of a program’s execution, including the tracing of registers and values passed to function calls.

As per Intel’s instruction set, an instruction can be anywhere between **one** and **fourteen** bytes. A typical Intel instruction, for example, will contain an instruction Prefix, used to provide section overrides, perform bus lock operations, and to change operand and address sizes.<sup>[2]</sup> An intel instruction will also contain Opcode, which is a 1-2 byte instruction. The highest level view of Opcodes is that they most commonly tend to either manipulate values in registers, manipulate control flow, or compare values in registers. Their functionality is abundant, however, going far beyond this. Finally, typical instructions will contain (but are by no means limited to containing) an Operand. Operands are the actual values affected by Opcodes. Most often, these are the registers/addresses.

It is a Disassembler’s job to parse executable files, and to decode every byte in streams of executable Machine Code within the file. They do this by taking into account every byte’s value, referencing rules set out by the instruction set’s designers to define what executable instruction bytes look like, and their meaning.

Despite the fact that an executable contains source code compiled to a series of Machine Code instructions, the contents of an executable are not solely executable Machine Code, and they contain many other sections. The *data* section of an executable is an example of this, containing a program's static variables, of a fixed size. The *text* section of an executable file, for example, will contain executable code, which is what a disassembler is concerned in dealing with to give meaningful output representing a program's execution. This means that the executable must be parsed to determine the beginning of the *text* section if the executable were to be disassembled. A more common term for the beginning of the *text* section and transitively the location of executable machine code is the **entry point**.

## 3.2 Correct Disassembly

The art of disassembly is not an exact science. Disassembly tends to give mixed results with regard to approximating a program's execution. Often, disassembly can provide an over-approximation of a program's execution, disassembling everything in the text section (and perhaps more by mistake). This can make it tricky to perform binary analysis, as analysts are led to believe in some execution flow of a program which may deviate from the actual flow.

Conversely, disassembly can provide an under-approximation of a program's execution. This is especially common in instances of obfuscated disassembly (see 2.6), where the purpose is to hinder the discovery of code by disassemblers. This can lead to entire regions of executable machine code instructions not being disassembled, resulting in them being omitted from control-flow representation.

This is obviously a serious problem when a deep understanding of a particular executable is required, as an accurate representation of the binary is not provided. This means that malware analysis or threat identification may transitively produce inaccurate or incorrect results.

## 3.3 Static and Dynamic Analysis

Once the entry point of an executable file has been determined, it can be disassembled. There are two main approaches to disassembly: **Static** disassembly and **Dynamic** analysis. Static disassembly involves examining the executable file and producing some disassembly code, but not actually executing the file during the process. Static disassembly deals with the file that it has been given, and performs analysis accordingly, unless the disassembly might have access to external libraries outside the scope of the executable. Its advantage is being able to process the entire file all at once. Dynamic analysis involves disassembling a slice of a program. This could be based on some particular input, where the execution is monitored by an external tool, such as a debugger, to identify instructions executed. Dynamic analysis therefore also means executing the program in question.

Static disassembly takes time proportional to the size of the program to execute, given that it analyses an entire machine code file. Dynamic analysis takes time proportional to the number of instructions executed during the runtime disassembly process, and takes time many factors greater than Static disassembly.<sup>[3]</sup> This project is only concerned with static disassembly and considers some approaches to static disassembly.

## 3.4 Static Disassembly Algorithms

There are two main algorithms associated with static disassembly, being the **Linear Sweep**, and **Recursive Traversal** algorithms. In addition to these two algorithms, many other algorithms exist as extensions, combinations, or both an extension and combination of the two algorithms.

### Linear Sweep

The Linear sweep algorithm begins at a program's entry point and disassembles the entire text section. It disassembles each instruction as and when encountered, until an illegal instruction is encountered. The main problem with this is that it is mistake prone. The linear sweep assumes that the entire section being disassembled is executable machine code, and does not take into account, for example, any data embedded in the instruction stream. Any data encountered is simply disassembled as if it were just another byte in a stream of executable machine code. For example, some embedded data could exist (such as null bytes for padding) in direct succession to a jump instruction pointing further ahead, out of the embedded data's address range. When executed, the data section will be skipped as a result of this jump instruction. Had the disassembly been accurate, the data would not have been disassembled in the first place, and the disassembly would continue from the jump location. However, this is not the result of the linear sweep algorithm. The linear sweep algorithm will instead disassemble the jump instruction, the embedded data in succession to it, and anything after that. Worse still, this will go unnoticed most of the time, apart from certain special circumstances (such as when an invalid opCode is detected)<sup>[4]</sup>. With the difficulty of verifying disassembly code produced as output, this can be very frustrating.

### Recursive Traversal

The static Recursive Traversal algorithm is an effective algorithm, which eliminates the linear sweep's shortcomings. This algorithm takes into account the destination address of branch instructions (such as Jump or Call), and proceeds to disassemble at that address whenever one is encountered. If, when disassembling at the target address, another branch instruction is encountered, the disassembly function is called at the destination address and so on. So, the disassembly is recursive, and is termed as such, but only different from a linear sweep in this way. Its key feature is the elimination of the disassembly of data embedded in executable code areas. As a general note for recursive traversal, the next processed byte in the executable file depends on the result of the last processed instruction.<sup>[5]</sup>

For Call Instructions and Conditional Transfer Instructions, i.e. branch instructions that only jump to some location if a condition is met, these instructions will have two disassembly targets, being the destination address and the next proceeding instruction from the Call/CTI. This differs to unconditional jump instructions, which only have the disassembly target specified by its operand.

### 3.5 Obfuscation to Thwart Recursive Traversal

Both the linear sweep and recursive traversal algorithms can be tricked by a variety of obfuscation methods, which could be purposefully implemented by hand-crafted assembly code before compilation or potentially binary instrumentation by code injection thereafter. Obfuscation methods could render entire disassembly algorithms useless if they rely on assumptions made by the algorithm. This is because obfuscation will often hide the invocation of functions and subroutines, meaning that entire regions of executable code may never be discovered.

The Recursive Traversal algorithm itself has some shortcoming, which can be exploited by obfuscation mechanisms. The most obvious shortcoming is that functions and subroutines which are not ever explicitly called during the program's execution may never actually be disassembled. While this may be representative of the program's true execution, it is not ideal for the vast majority of scenarios. The most common example of this arises from functions called by calculating their address during program execution. Recursive traversal algorithms most often fail to take this into account, especially if the calculation of the target address is related to some program input.

Recursive traversal algorithms may also have difficulty when dealing with indirect jump calls. For direct jump calls, the target address is encoded alongside the jump instruction. This makes it simple enough for the recursive algorithm to set sights on disassembling at the operand of the jump instruction. Indirect jump calls, however, use a target address held in some general purpose register or memory location. This is of course unknown at the time of disassembly for basic disassemblers, so it is a major hinderance. Despite this, various heuristics exist to approximate indirect jump target addresses, and disassembly at all possible addresses. Such heuristics often result in failure themselves: not so much in their inability to approximate addresses, but the fact that approximated addresses might just happen to land in the middle of some address – resulting in incorrect disassembly<sup>[4]</sup>.

Branch functions also present another big problem to the recursive traversal algorithm. Jump instructions can be replaced by calls to functions which manipulate registers, such that the original target of the jump instruction can be specially positioned so that when the function returns, it returns to target of the jump instruction instead of to the original execution flow. Recursive traversal disassemblers will not know this, and simply assume that, when a return instruction for a function is reached, disassembly should continue at the instruction proceeding the original call to the branch function.

Given that recursive traversal algorithms assume that Call/CTI instructions have two targets (proceeding instruction and branch target), code can be crafted such that bytes proceeding these types of instructions are junk bytes. This results in the junk bytes being disassembled, poisoning the disassembler to misinterpret future instructions.

## 3.6 Combating Obfuscation

The results of disassembly after a method of obfuscation which results in proceeding bytes being incorrectly disassembled will only be incorrect up until a certain point on most architectures. This is due to the fact that the disassembly will naturally re-synchronize with the actual instruction stream if the results produced are only off by a few bytes. This means that methods of obfuscation must take this into account.

However, self-repairing disassembly is not at all a reason to ignore obfuscation, as the problems introduced by the obfuscation are still present, even if only affecting part of the instruction stream. The impact can still be massive, especially if obfuscation mechanisms are abundant in some binary.

Disassemblers such as IDA pro tend to not fail silently, offering a list of failures encountered during the disassembly process. When failures occur, they are presented to the user. However, it is up to the users to determine how to fix any failures, such that a newly disassembled version will be correct. An example of solving a failure is patching bytes in an executable, perhaps replacing or modifying them, which is non-trivial. A nice approach offered by IDA is to mark certain bytes as text or data and get a newly updated version of the disassembly after this has been done. This can be particularly useful in instances such as when dealing with hand-crafted obfuscation methods designed to unconditionally jump in the middle of subroutines, or junk bytes preceding a call instruction. But again, it is down to the user to determine junk bytes – something which requires experimentation and extensive analysis.

There are several proposed algorithms to deal with methods of obfuscation, but very few algorithms which are capable of dealing with varieties of obfuscation mechanisms, and for instances where little assumptions can be made about information available related to a binary. [4] for example, outlines an effective algorithm working as a hybrid of the linear sweep and recursive traversal algorithms. The algorithm is effective for identifying and dealing with jump tables appearing in the text segment of an executable, but the algorithm is reliant on relocation information for executables, which is not always available as stated in the paper.

[10] presents an algorithm with perhaps the best results of any algorithm, disassembling with ~90% accuracy on obfuscated binaries with obfuscation tool specific knowledge. Additionally, the algorithm still performed better than the hybrid algorithm devised in [4] even without obfuscation tool-specific knowledge. This algorithm is actually optimal due to the fact that its non-tool-specific version can operate under the most basic of assumptions: valid instructions must not overlap, conditional jumps can either be taken or not taken, an arbitrary amount of junk bytes can be inserted at unreachable locations, and that control flow does not have to continue immediately after a call instruction.<sup>[10]</sup>

Such algorithms seem to be the best way to deal with obfuscation in executables. The recursive traversal and linear sweep basic algorithms will not persist against obfuscated executables how these algorithms do. As a side note, it would be an interesting task to perhaps attempt the implementation of [10] in the future.

### 3.7 Control Flow Graph representation

Control flow graphs are critical in the reverse engineering process in order to understand program semantics. They normally represent blocks of instructions ending with a branch instruction and none in-between, known as ‘Basic Blocks’. They help to visually assist the identification of common instruction sequences to the trained eye and provide a general hierarchical view of program subroutines and function calls. They are therefore most often represented by a hierarchical graph layout, with each function of a disassembled program maintaining their own control flow graph. The root node of a hierarchical CFG typically represents the first instructions of a function, with the end node representing the final instructions. Figure 0 shows a basic example of this.

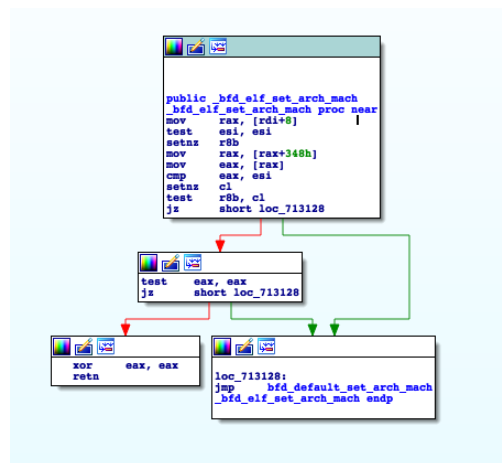


Figure 0 – IDA Pro control flow graph representation for a function

Control flow graphs can be built at different stages of the disassembly process. Some disassemblers are known to perform a linear sweep first, and then form a control flow graph after. The control graph is therefore formed from the disassembled instructions but prone to being incorrect due to the weaknesses of linear sweep. Some other disassemblers do the same but using the recursive traversal algorithm, and some construct the CFG *during* recursive traversal.

Through building the control flow graph during the recursive traversal process, we may not have a complete and correct representation of the control flow of an executable. This is due to the fact that the recursive traversal itself might produce an under-approximated representation of a program’s executable instructions. On the other hand, the linear sweep could help to produce a more complete control flow graph, as the entire .text section would be disassembled, meaning that building a CFG afterwards would have more nodes overall than a CFG produced by recursive traversal. But again, relying on the linear sweep is much more likely to produce incorrect disassembly results than recursive traversal.

Building a correct control flow graph is therefore just as tricky as obtaining correct disassembly when doing so by the above methods, especially as the process of obtaining a

CFG can be vastly similar to how the Recursive Traversal algorithm operates. However, it should be noted that there are alternative ways to build a control flow graph, as mentioned in [10]. The method of doing so in [10] works on the basis of disassembling **every** byte in an executable, and extracting all control transfer instructions, such as jump instructions or call instructions. An initial control flow graph is then built from these CTIs, with the assumption that a true representation of the program's CFG is a sub-graph of the initial CFG. A set of rules is then imposed on the initial CFG to obtain the sub-graph.<sup>[10]</sup> Such a method far outperforms the classical method of building a control flow graph in ordinance with a disassembly algorithm/

## Chapter 4

# Overview of Capstone

---

This chapter covers the background, internal functionality, and capabilities of the Capstone Engine.

### 4.1 Introduction to Capstone

Tools assisting exploitation development, debuggers, and disassemblers themselves sit a layer of abstraction above what are known as 'Disassembly Engines'. Disassembly engines/libraries are responsible for decoding encoded instructions passed in, in order to return some correct assembly code. Disassembly Engines must account for every possible machine code instruction, which is absolutely dependent on the CPU architecture. It is therefore a challenge to have a Disassembly Engine which can work for multiple CPU architectures, given the complexity of such a task. Decoding machine code instructions is, for a human at least, really a very complex task. Capstone, however, is a Disassembly Engine which can achieve this to a high standard.

### 4.2 Capstone Engine Internals

The Capstone project itself uses a modified fork of the 'LLVM' project, a set of frameworks to build a compiler. Included in the 'LLVM' project is a disassembler, known as the Machine Code Module. The background decision to choose the Machine Code Module was the fact that it is being maintained most by the developers of each CPU architecture. That is, Intel (x86 architecture), Arm and Apple (Arm + Arm64), Imgtect (MIPS), and others. This means that new instructions and bug-fixes are implemented incredibly frequently. The biggest shortcoming of using the Machine Code Module is that it is written in C, not designed for thread safety, nor designed for windows. Capstone works on the basis of taking the disassembler core and making minimal changes.<sup>[5]</sup>

### 4.3 Features and Capabilities

Capstone satisfies the industry requirement of working on multiple architectures, successfully decoding instructions from x86, Arm, Arm64, MIPS, PPC, and 4 more architectures.



Additionally, Capstone also works on multiple platforms, including MacOSX, Linux, iOS, BSD, Android, and Solaris. Capstone, as a key feature, has the ability to also deal with much more tricky x86 instructions than alternative disassembly engines. An example of some of these instructions are shown in figure 1. At the time of release in 2013, no such other disassembler even came close to satisfying these requirements. <sup>[5]</sup>

Where Capstone prioritises covering tricky and corner cases of code, the Machine Code Module of LLVM does not, and as such functions as the lesser disassembly engine, all (other) things considered. At the time of development, Capstone could also handle all notable x86 malware tricks. <sup>[5]</sup>

Other powerful features of the Capstone Engine include the ability to retrieve important semantics of disassembled instructions, such as a list of implicit registers read and written. For disassembled instructions, it can also be noted if the instruction belongs to a group of instructions. <sup>[5]</sup>

Hexcode & assembly	Capstone	Distorm3	Beaengine	Udis86	Libopcode	IDA
<b>678B0510000000</b> (64-bit) mov eax, [eip+10h]	✓	X	X	X	✓	X
<b>0F1A00</b> nop dword ptr [eax]	✓	X	✓	✓	X	✓
<b>F3F2660F58C0</b> addpd xmm0, xmm0	✓	X	X	X	X	X
<b>F7880000000000000000000000</b> test dword ptr [eax], 0	✓	X	✓	✓	X	✓
<b>D9D8</b> fstpncc st0, st0	✓	X	X	X	X	X
<b>DFDF</b> fstop st0, st7	✓	X	X	X	X	X
<b>0F2040</b> mov eax, cr0	✓	✓	✓	✓	X	✓

Figure 1 – tricky x86 instructions handled by Capstone

## 4.4 Project and Capstone

The Capstone Library is used in the disassembler, and is responsible for decoding sequences of bytes supposedly representing instruction bytes. It is used as a shared object in the project, invoked to disassemble instructions of the 64-bit Intel instruction set in its simplest (and fastest) mode of operation, ‘detail off’. This mode simply gives us instructions’ most basic details including size, address, and string representation.

## 4.5 Community and Products Utilising Capstone

As an open source project, Capstone receives plenty of contribution overall – with over 1,100 issues either closed or open on its GitHub page. The owner is fully committed to Capstone,

declaring ‘shame’ on the industry for not providing a solution similar to Capstone before its 2013 release. The project’s lifecycle is guaranteed by the owner, further highlighting commitment to the project.

In addition to this, according to Capstone’s showcase webpage, over **371** products use the Capstone engine internally. It seems a trustworthy and widely adopted project, most suitable for use in this project.

## Chapter 5

# Parsing Binary Executables (ELF)

---

This chapter explains the process involved in parsing an ELF file, resolving information suitable to the task of disassembling its executable instructions. Extracting information such as the ELF’s symbol table is the first step towards producing a more human-friendly visual representation of disassembly.

### 5.0 Introduction to ELF Files

ELF files are one of many possible executable file formats, produced perhaps as output from the compilation process of source code on Unix systems, or manually. As previously mentioned, to produce accurate disassembly of some executable, the entry point must be determined. That is, the beginning of the section of machine code in an executable that can actually be executed by a CPU of the relevant architecture. This is due to the fact that executable files do not solely consist of information useful to a disassembler to produce meaningful output.

### 5.1 Determining ELF Entry Point

To actually determine the entry point, the ELF files must be parsed. Due to the layout of ELF files, they provide means to do this swiftly programatically. The first four bytes of any ELF are 0x7F, 0x45, 0x4c, and 0x46. This translates to ‘ELF’ in ASCII, and is known as the Magic Number, used to identify ELF files. In fact, most files have a magic number, and can be identified by it. Windows exe files have the magic number 4D 5A, for example.

ELF files contain a few ‘headers’, which help us to read the ELF. The first of which, the File Header of length 64 bytes, ensures that data is correctly interpreted during linking or execution. Lots of useful information is contained in the File Header, including references to other headers, the file’s entry point, machine information, and even the Byte Order of the executable. To actually obtain this information though, we need to parse the File header as a sequence of bytes, and extract certain lengths of bytes based on whether the executable is 32 or 64 bit and the endianness. The structure of the ELF header is shown below in figure 3. Figure 2 outlines the fact that different ELF data-types have different purposes once read as bytes: they can be unsigned or signed values, and can serve different purposes depending on their specification.

## 5.2 Retrieving Function Names and Addresses

Once the ELF header has been parsed, of course we could proceed to disassemble an ELF file from the header's `e_entry` field. However, for a linear sweep, disassembly would just be of the entire executable code section, with no clear distinction between different functions, or extra useful symbolic information. Additionally, we would perhaps have to implement some (inaccurate) heuristic to discover functions not reachable from the entry point. Given that the ELF format provides means to accurately obtain function names and addresses within scope of the executable files, it makes sense to do so.

To obtain functions names, we must refer to data stored in the Section Header string table in the ELF. The Section Header table's offset is defined in the ELF header as `e_shoff`, with other additional useful fields `e_shentsize` (section header entry size), and `e_shnum` (number of section header entries). The Section Header table can then be accessed and parsed in the same way that the ELF header was parsed, to obtain information about different sections in the ELF. The sections we are concerned with in order to resolve function names by address are `.strtab` and `.symtab`.

Table 1. ELF-64 Data Types

Name	Size	Alignment	Purpose
Elf64_Addr	8	8	Unsigned program address
Elf64_Off	8	8	Unsigned file offset
Elf64_Half	2	2	Unsigned medium integer
Elf64_Word	4	4	Unsigned integer
Elf64_Sword	4	4	Signed integer
Elf64_Xword	8	8	Unsigned long integer
Elf64_Sxword	8	8	Signed long integer
unsigned char	1	1	Unsigned small integer

```
typedef struct
{
    unsigned char    e_ident[16]; /* ELF identification */
    Elf64_Half      e_type;      /* Object file type */
    Elf64_Half      e_machine;   /* Machine type */
    Elf64_Word       e_version;  /* Object file version */
    Elf64_Addr      e_entry;     /* Entry point address */
    Elf64_Off       e_phoff;     /* Program header offset */
    Elf64_Off       e_shoff;     /* Section header offset */
    Elf64_Word       e_flags;    /* Processor-specific flags */
    Elf64_Half      e_ehsize;    /* ELF header size */
    Elf64_Half      e_phentsize; /* Size of program header entry */
    Elf64_Half      e_phnum;     /* Number of program header entries */
    Elf64_Half      e_shentsize; /* Size of section header entry */
    Elf64_Half      e_shnum;     /* Number of section header entries */
    Elf64_Half      e_shstrndx;  /* Section name string table index */
} Elf64_Ehdr;
```

Figure 2 – 64 bit ELF data types<sup>[6]</sup>

Figure 3 – ELF header section structure<sup>[5]</sup>

By parsing the Section Header Table to obtain the offset, size, and entry size for each Section Header, we can obtain `.symtab` and `.strtab` by their name, and then proceed to obtaining function data.

`.symtab` entries have their own section structure, similar to that of Figure 3, but reserving a total of 24 bytes per entry. Every `.symtab` entry holds several pieces of information useful to us: `st_name`, `st_value`, `st_info` and `st_size`. `st_size` refers to the size of this entry in the symbol table, e.g. `main` could have a size of 220 bytes. The most significant four bits of `st_info` hold information about the linkage visibility of the symbol in question. The least significant four bits of `st_info` hold information about the type of symbol. If this has a value of '2', the symbol in question is a function, (a function is what we are mainly concerned with). `st_value` holds the actual absolute value or address of the symbol entry, crucially telling us where this symbol is located even in the file. If the address is absolute, we must analyse the LOAD segment of the ELF to determine how to interpret virtual addresses. This can be done by obtaining LOAD's physical address, and using it as a modifier to convert between virtual and physical addresses; subtracting the modifier from a virtual address to obtain a physical address and vice versa.

`st_name` holds the index at which the current symbol table entry's name is located in its string table (`.strtab`, as previously mentioned).

To finally get the name of a symbol, `.strtab`'s `st_name` index must be accessed. Each byte at this index must be converted to an ASCII character until the null byte is reached. The Symbol name is the result of concatenating all of the ASCII characters read.

Of course, this method of retrieving function names within the ELF file is dependant on a few things. The most critical dependency is the existence of `.symtab` itself. For execution of ELF files, `.symtab` does not necessarily need to exist, due to the fact that it is non-allocable. That is, the section is only needed during link-time (when the ELF file is built by the 'linker') and not during the execution of the program. Inversely, the existence of the (allocable) `.dynsym` section is paramount to the execution of the program<sup>[7]</sup>, containing required symbols for program execution. One could simply 'strip' the ELF, which discards all symbols from the file, apart from those stored in `.dynsym`. This would render this method for resolving function names useless. But in the case that the function name exists, it is sensible to resolve the function names this way.

Should it be noticed that the ELF is stripped, the `.dynsym` section can be parsed to extract symbols present there, but it does not offer as complete symbol coverage as parsing `.symtab`. Symbols in this table are also typically symbols representing shared libraries, but not always.

# Chapter 6

## Program Functionality

---

The final deliverable Disassembler program is a graphical user interface supporting a host of features. The goal is to present the CFG and associated disassembled instructions in a clear manner, such that a user can easily follow the flow of instructions in order to understand the input program's execution.

This section covers the functionality of the produced disassembler including its internal workings for some complex procedures.

### 6.1 User Interface

The disassembler's functionality is presented to the user through a graphical user interface. The objective of the user interface is to clearly display functions, a control flow graph, data visualisation, exporting of data, searching for instructions/addresses, and the exporting of a control flow graph.

Clearly presented to the user is a list of functions located in the executable file. Function names are resolved if possible, but simply have the function's start address set as the function name otherwise (such as for stripped ELF's). The disassembled instructions are presented in a table representing the set of all instructions disassembled. The table is searchable for any of its elements, which is quite convenient.

The control flow graph is slightly unconventional in that it allows call instructions to mark the end of a basic block, slightly overcomplicating the view of the graph. This is something that I realise and could fix, but at the cost of slight runtime when performing the disassembly, as blocks would need to be split or merged as seen in the solution to the problem of section 5.2. This is ultimately just down to user preference and can always be implemented.

Data export was outlined in the original specification, and has been implemented such that a user can export the control flow graph, selecting the directory in which to save to. The user can also select and export instructions in a desired format, including raw hexadecimal representation, hexadecimal format with spaces, to a textual representation equivalent to that of the user interface table, showing the desired columns.

An additional feature of the program is to clearly present sections of the ELF to the user in order to show addresses of different sections. This can aid users to determine what section in the ELF that perhaps a referenced address is located in, since relocation are not made by the disassembler.

## 6.2 Locating Functions

### Locating Functions in Stripped ELFs

For stripped ELF files, an experimental heuristic is applied to discover the main function. The program takes the entry point (the address of the `_start` function) and disassembles instructions up until a 'hlt' instruction is reached. When hlt is reached, the first call instruction before this is located, assumed to be a call to `libc_start_main`. `libc_start_main` takes as argument the memory address of the main function. This can either be pushed onto the stack directly or loaded into a register by a `mov` instruction or `lea` for example. The heuristic might fail in cases such as when start does not end with a hlt instruction, but has worked for all binaries tested in `/bin` and `/usr/bin` on my project's virtual OS, which are all stripped 64 bit ELF files.

The next step in determining all functions in stripped ELF files actually occurs after the recursive traversal has been performed. It is not as trivial as identifying function prologues, such as `(push .bp)` then `(mov .bp, .sp)` as these are not compulsory for compilers to include during assembly. Despite this, for programs with function prologues included, I have tested results and they have been great. However, compilers can simply be instructed to omit function prologues, so this is highly unsuitable. Instead, the way in which functions are located is by assuming that the destination of call instructions are the locations of functions, described below.

A Basic Block contains disassembly from a set start address up until the next branch instruction is reached, inclusive of this branch instruction (see 5.2 to understand Basic Blocks). Each block is assigned an 'Address Reference List', containing between zero and two addresses, being the addresses of the fall-through instruction (next instruction in sequence) and target of the block's branch instruction. Of course, a block may represent an end of a region, in which case it would have no references in its list. Additionally, a block may end with a normal instruction such as `'mov'`, in which case the address reference list would only contain the fall-through instruction.

The addresses in this list are known as 'children' of a Basic Block, and these blocks know their 'parent' blocks, after being set. The Address Reference List, crucially, does not include addresses of branch targets where the branch instruction is 'call'. Due to this property, addresses exclusively referenced by call instructions will not be in any block's address reference list. This means that Basic Blocks representing the target of call instructions, and thus functions, have no parent blocks. As an added feature dealing with a small corner case, the list of Basic Blocks maintained is iterated, dealing with blocks ending in a call instruction. For each block ending with a call instruction, the block referenced by the destination of the call is set to having *no* parents. The reason for this is that there were cases previously not taken into account whereby a jump instruction can have the destination address at an address also referenced by a call instruction, meaning that the block beginning with the destination has parents, which should not be a property of blocks referenced by call instructions.

We then iterate across the list of Basic Blocks, finding blocks with no parents and add them to a list of functions.

### Locating Functions in Non-Stripped ELFs

For non-stripped ELF files, the addresses of the main function and all others are known before the disassembly algorithm executes, unlike for Stripped ELFs. The way in which functions are discovered for non-stripped ELFs is described in section 5.2. This is done programmatically, however, working with the GNU Binutils Java library to first locate the addresses and size of the symbol and string tables. Once the program Symbol name and addresses have been resolved, each Symbol representing a function is added to a list of functions.

## 6.3 Disassembly Algorithm

The disassembly algorithm chosen for this project is the Recursive Traversal algorithm. I chose this algorithm for the advantages stated over the linear sweep algorithm in section 3.4. Additionally, the recursive traversal algorithm is complementary to construction of the CFG.

In the code, a Basic Block is an object holding a sequence of instructions, each located directly after the previous instruction in the executable, with no branch instruction located at any point in the sequence apart from the very end. A Basic block may directly reference zero, one, or two other Basic Blocks due to the property that a branch instruction may only be located at the end of a block. Each Basic Block holds references to other blocks in a block's Address Reference list, with these known as child blocks. Basic Blocks with no parent blocks are said to be blocks representing the first instructions of a function.

### Walkthrough

The algorithm starts by building the very first Basic Block, which represents the beginning of the main function. The first instruction located at the offset of main is disassembled and added to the block. The instruction is then subject to several checks. If the instruction is a ret instruction, the current block is returned and added to a list of known blocks. If the instruction is an unconditional or conditional control transfer instruction and the address can be directly resolved due to the fact that the operand is solely representative of a numerical value, proceed to deal with this instruction accordingly, by adding its jump target to a list of potential targets to disassemble at. Also, if the instruction is not a call instruction, its jump destination should be added to addresses referenced by the current block. If the operand does not represent a numerical value, the jump target is said to be to a value in some register, which it can't currently deal with.

Still within the scope of dealing with an conditional or unconditional jump instruction, a 'continue address' is then formulated as the (address currently being disassembled at) + (the current instruction size). This is effectively the .ip register value, which points to the next instruction to be executed at runtime.

The continue address is only added to the list of potential disassembly targets and reference addresses of the current block if the instruction is not an unconditional jump instruction, which always only continues disassembly at its jump target address, so has no fall-through. The current block is the returned and added to the list of known blocks.

After the above, we can see that if the instruction passed in was an unconditional / conditional control transfer instruction or a return instruction, the instruction has been dealt with and returns the current block; adding it to the list of known Basic Blocks.

In the event that the instruction wasn't any of these instructions, the address to disassemble at and therefore the next instruction in this block is set as the sum of the current address and size of the current instruction. The block is returned when a known address is reached.

The above procedure is carried out for every address in the potential disassembly targets list, and results in a list of known Basic Blocks being built.

## 6.4 Algorithm Weakness and Solution

A problem with the algorithm in section 5.2 is that a list of basic blocks has been constructed that may hold blocks which can (rarely) reference an address inside some other block. This arises due to the fact that possible disassembly targets are inserted at the tail of the possible disassembly target list as opposed to after the current index. The problem can be visualised in figure 5.

The below example shows how the problem is caused by the algorithm, where disassembly begins at 0x402C70. As an example of verbal description: 'at 2), a conditional jump is encountered, so the 0x402C70 block has been completed. Target of jump added to possible targets.'

e.g.

1) Disassembling at 0x402C70...continuing

Possible targets:

Jump target and fall-through added to targets, end of 0x402C700 block

2) 0x402CAC: je 0x402D50 encountered....

Possible targets: 0x402D50, 0x402CB2

3) Disassembling at 0x402D50...continuing

Possible targets: 0x402CB2

Added to end of list

4) 0x402D56: jmp 0x402CB8 encountered...

Possible targets: 0x402CB2, 0x402CB8

-----  
Ideally jmp straight to 2CB8, disasming there, but 2CB8 inserted **after** 2CB2 instead  
-----

5) Disassembling at 0x402CB2...continuing

Possible targets: 0x402CB8

6) **note: disassembled at 0x402CB8**

Possible targets: 0x402CB8

-----  
We just disassembled at 0x402CB8, as it was reached yet it's still a possible target of a block, as it is in the possible target list. This means that 0x402CB8 isn't rightfully treated as that beginning of a new Basic Block since it was just disassembled as a part of the block 0x402CB2. Therefore, the block containing jmp 0x402CB8 now references the block beginning 0x402CB2, so jumps mid-way into a block. See how a 0x402CB8 block is not created next....  
-----

7) END OF 0x402CB2 block, ret reached

Possible targets: 0x402CB8

8) Disassembling at 0x402CB2....

Possible targets:

8.1) ????????.....0x402CB2 already in visited  
address list...return current block

9) End of disassembly.



To overcome this problem, splitBlock() is invoked in the Disassemble class, splitting the problematic blocks such as the one beginning with 0x402CB2 in Figure 5 into blocks such as 0x402CB2 and 0x402CB8, as seen in Figure 6. The block with the jump to an instruction mid-way through it is patched such that it only contains instructions up until the mid-block instruction, and now references a newly created block containing instructions from the mid-block jump address onwards. The block referencing 0x402CB8 now happily reference a block beginning with that address, as it actually exists after the patch.

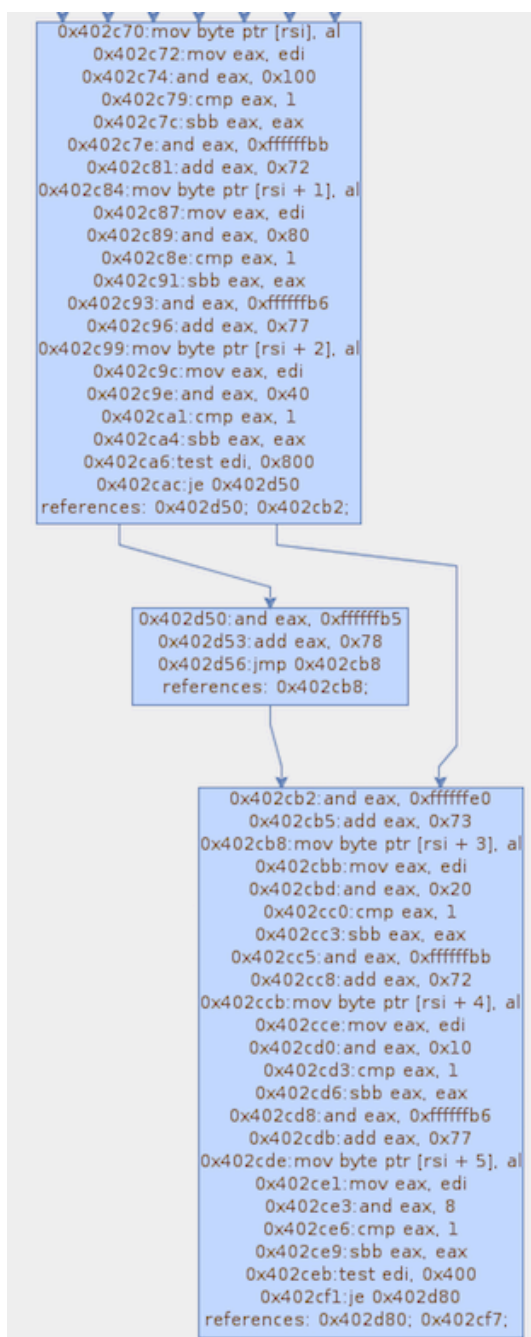


Figure 5 – result of mid-block reference

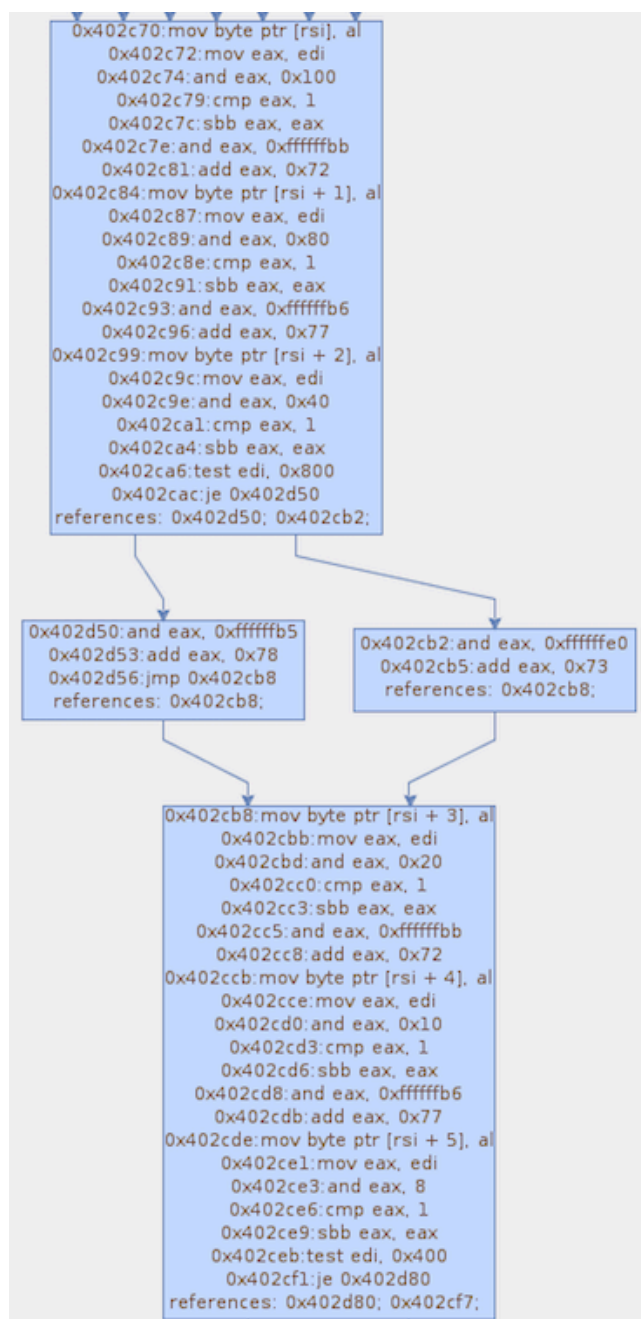


Figure 6 – mid-block jump problem solved

The downside to the patch is that it introduced some added runtime complexity for the disassembly procedure, when there definitely exists a quicker fix. However, I feel more

confident with this fix, given that it actually is proven to work, and that the issue was only noticed after the algorithm had been implemented. It should also be noted that instances such as in the error always involve all associated instructions being disassembled, so does not result in any erroneous or incorrect disassembly; just how we perceive Basic Blocks and the CFG.

## Chapter 7

# Evaluation of Disassembler on Set Binaries

This section analyses the disassembler's operation, as well as mechanisms to test the output of disassembly, ensuring its correctness.

## 7.1 Runtime Performance

For a most basic runtime test, the disassembler was given input of the eclipse executable ELF. The binary is a non-stripped 64-bit ELF of size 71.5 kB. This was done in 639ms.

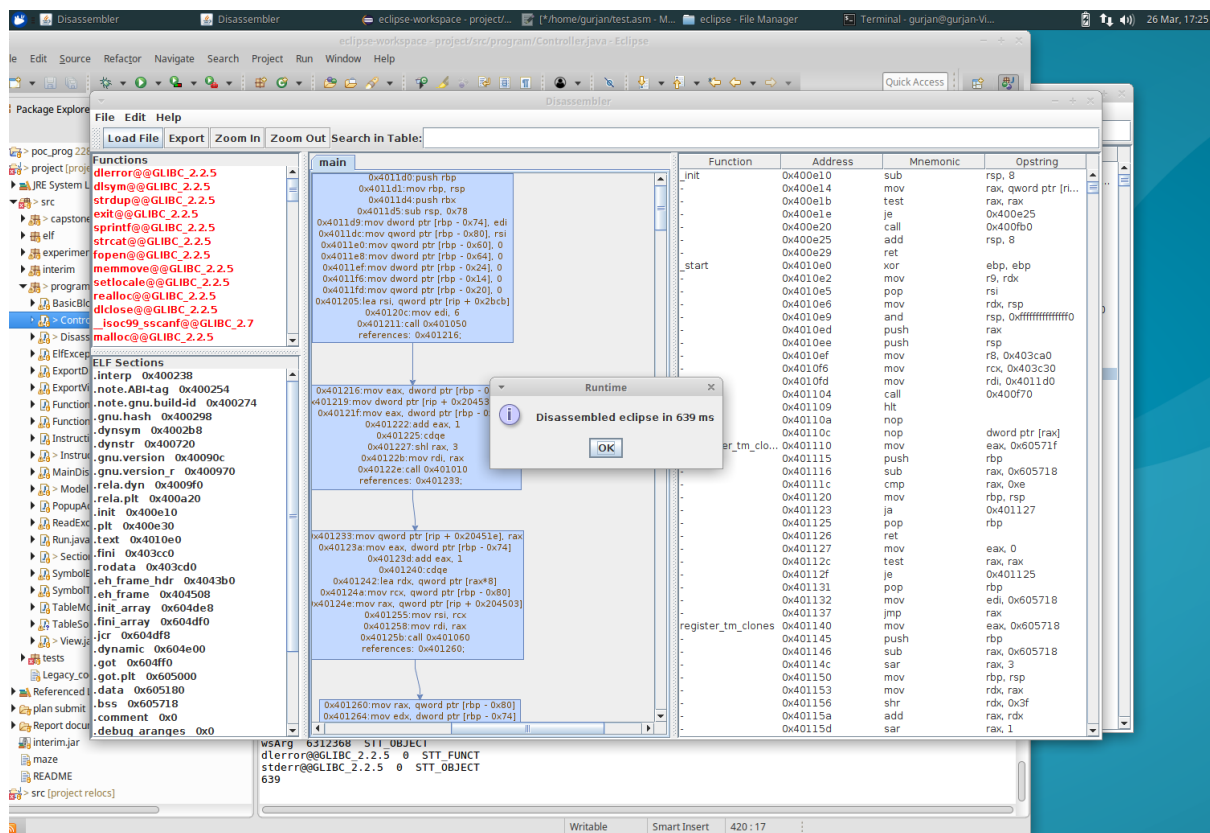


Figure 7 – Disassembly time of Eclipse ELF

The disassembler can perform the analysis of the 1Mb linux ‘bash’ ELF in ~14 seconds, which is a decent result, I feel. The result is shown in Figure 8. I previously received results of ~58 seconds for the same executable. The disassembly procedure is very much open to being sped up, however, due to extra computations performed post-disassembly.

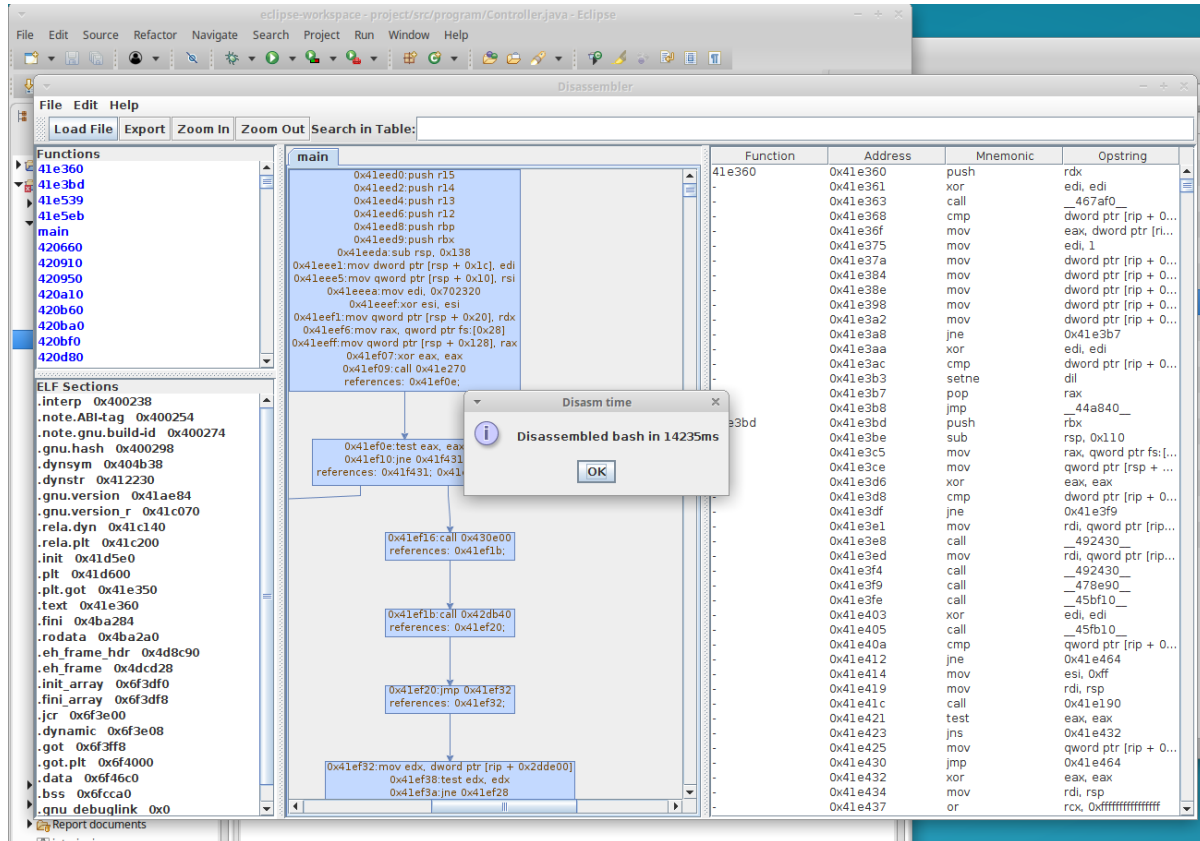


Figure 8 – Disassembly time of ‘bash’, ~14s

A faster algorithm constitutes construction of the control flow graph synchronously with the recursive traversal algorithm, in order to eliminate all post-disassembly computations related to control flow construction. The algorithm implemented only provides the data necessary to provide a control flow graph after instructions have been disassembled. Additionally, a more efficient algorithm and technically correct should not require the split block procedure or result in the issue seen in section 6.4.

## 7.2 Testing Output

### Simple Method of Testing CFG

A simple method of testing the control flow graph is to perform a side-by-side visual comparison of the control flow graph produced by this disassembler, and the control flow graph produced by another disassembler. The other disassembler used was IDA Pro (free), for its reputation as the best available disassembler. The most basic view of this method of testing was to stand the output CFG up against a CFG produced by an industry-standard tool and analyse quality, similarities, and differences in the control flow graph. Figure 9 shows how this was done.

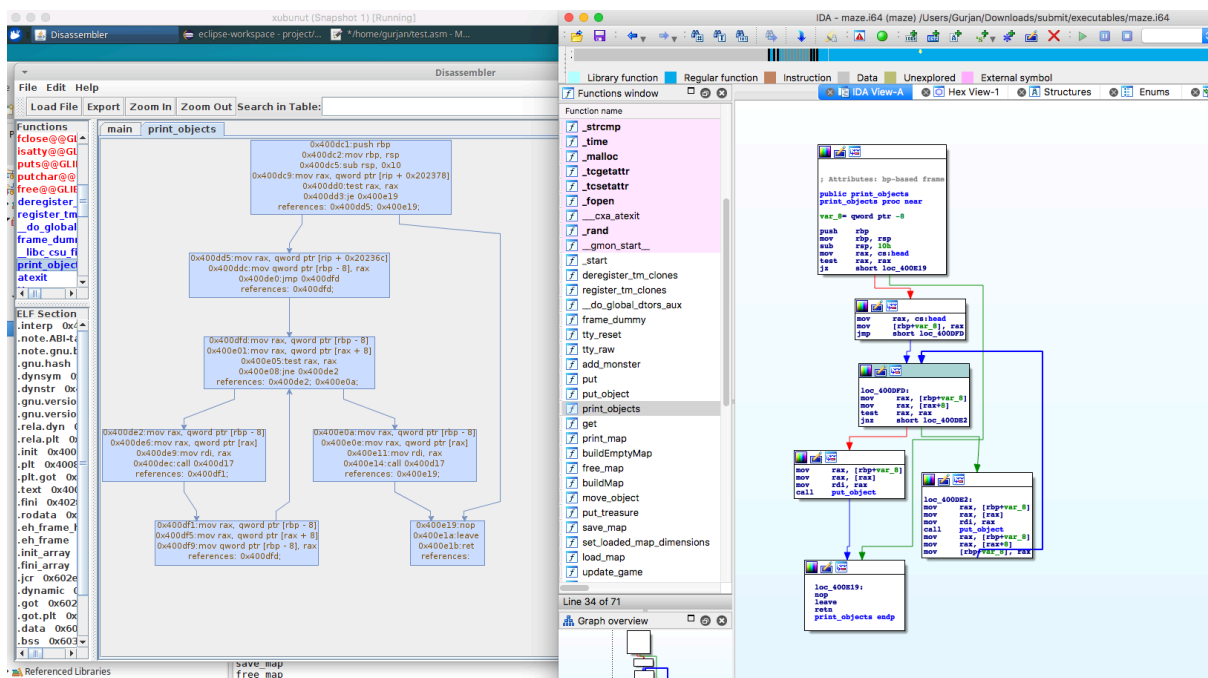


Figure 9 – Side-by-side comparison of Maze CFG in Disassembler and IDA

This is **not** a sound method of testing CFG output for larger binaries. It works for small functions and binaries as in Figure 9, as semantics can easily be compared, as well as disassembly code produced. However, there exist instances such as that shown by Figure 10 in which such as method of testing CFG output is virtually impossible.

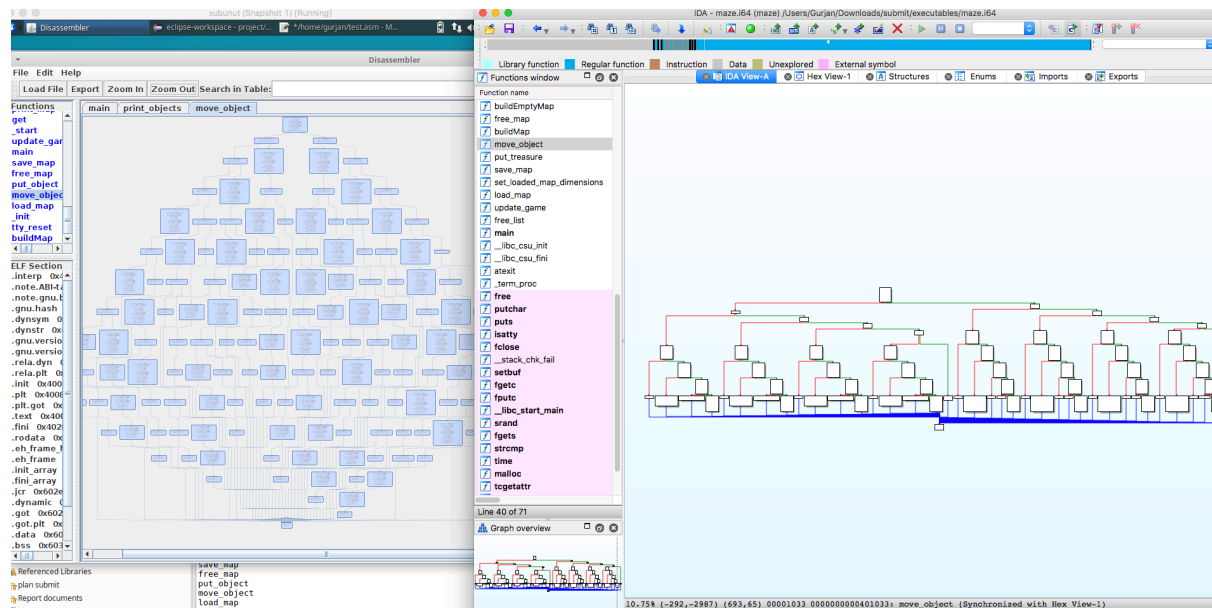


Figure 10 – The impossibility of comparing CFGs of the move\_object function in Maze visually.

In these instances, we cannot easily visually compare the control flow graphs: the graph is extremely complicated. This disassembler’s control flow graph also differs in that basic blocks can end in call instructions, meaning that the layout is different and there are naturally more blocks. Additionally, we may not even be able to trust IDA pro.

## An Elaborate Testing Mechanism

BinDiff is a comparison tool for binary files, which assists vulnerability researchers and engineers to quickly find differences and similarities in disassembled code.<sup>[11]</sup> It is a powerful and incredibly user-friendly, useful tool, which has been used in the project as per the method described below. The tool takes as input two binaries and returns a visual representation of differences and similarities in the control flow graph and instructions. This means that in order to determine the difference between the original binary and a binary representing the disassembly instructions, the disassembled instructions must be reassembled. The tool does not operate directly on binaries, but on saved IDA instances, which means that two instances of IDA must be invoked with the binaries as input and the instances saved as an IDA Pro Database file. The IDA files can then be passed into BinDiff to obtain disparities between the binaries. Additionally, mutual function names are listed, as well as matching Basic Blocks identified.

For this project, BinDiff was used, but only for a small set of three test binaries. This is due to the fact that re-assembling the output for every instance of disassembly proved incredibly non-trivial for me. When re-assembling disassembly code, the code must be passed into an assembler. Assemblers used in the testing phase of this project were ‘nasm’ and ‘GNU assembler’.

Such assemblers have certain syntax requirements that direct output from the disassembler do not obey, so disassembled instructions had to be passed into the assembler and then formatted accordingly. Along with this, by using readelf to find the variables in the .data section of the original executable, variables were declared in the .asm file. Additionally, disassembly output in the format given by Capstone can produce instructions such as ‘dword ptr [...]’. The ‘ptr’ in this instruction is invalid, and will raise slightly ambiguous errors upon compilation. Once every syntactic issue had been resolved, the file could be assembled. Any other assembly errors

encountered henceforth denoted that the output disassembly is incorrect, or executable otherwise. The results of comparing the binaries were then obtained from BinDiff, discussed next.

### **Observations**

For all Basic Blocks matched during the testing process, the programmatic functionality of the blocks seemed to be the same, indicating correct disassembly for whichever blocks identified.

Functions traceable from the main function in the original executable are all present in the reconstructed executable for non-stripped ELF's. This was true for the input of the Maze executable, where all functions were presented, barring function calls outside the scope of the text section.

For stripped executables, it was observed that overall function discovery was much poorer, although still yielded decent results. The main issue is that only functions unreachable from the main function were disassembled, meaning that any other functions not directly called were not disassembled. Also, due to the function discovery mechanism being unable to deal with calls to register values, functions which are only referenced by calls to some value in a register are not discovered. This led to BinDiff raising differences between the executables, which was overwhelming at times for certain executables. However, it is reassuring to know the problems causing function discovery.

### **Testing Disassembly During Development**

Development was highly testing orientated. For example, it had to be verified that Basic Blocks were correctly being created, matching the definition of a Basic Block. Each Basic Block was printed to the console and being analysed perspicaciously to ensure that results were in line with expected results. Such expected results included each block correctly referencing other blocks, referencing at most two other blocks, or ensuring that referenced addresses by a block were not in the middle of other blocks. The weakness discovered in section 6.4 was discovered in this manner. There were also issues related to the display of the control flow graph, whereby disassembly was correct, but the control flow graph had some minor errors due to its implementation programatically with the graph library.

### **Testing Obfuscation**

The algorithm does not handle most obfuscation methods designed to thwart Recursive Traversal and, as such, obfuscated binaries were not provided as test input to the disassembler. However, results of disassembling binaries with certain obfuscation mechanisms were determined by manually running each step of the disassembly process on obfuscated areas by hand. These results have already been devised for obfuscation mechanisms mentioned in section 2.5 using this method, and result in the obfuscation succeeding in all instances.

# Chapter 8

## Software Engineering

---

This section outlines all aspects related to Software Engineering related to the project.

### 8.1 Initial Requirements

The project requirements were derived from the original project specification and were split into two Functional Requirements and Non-Functional Requirements.

<b>Functional Requirements</b>	
Determine entry point	Disassembled instruction visualisation
Determine Symbol names and addresses	Interaction with disassembled instructions
Determine file input type	Search disassembled instructions
Disassemble stripped ELF's	Control flow graph export
Perform Recursive Traversal	Instruction export
Determine function addresses from symbols	Input file structure visualisation
Determine functions heuristically/otherwise for stripped ELF's	Function identification from address
Show function names	Error handling
Control flow graph visualisation	Meaningful error messages
Interaction with CFG	

Figure 11 – Functional Requirements

In addition to these initial requirements, extra features were added during development to keep an open-minded approach to the project. Such features had to have been in line with the Non-Functional requirements though.

<b>Non-Functional Requirements</b>
Speed of disassembly - fast
Memory usage - low
Scalable for larger binaries
Reliability of results
Usability
Maintainable software
Testability of output
Source code readability

Figure 12 – Non-Functional Requirements



## 8.2 Methodology

An agile approach was taken to development of the software. This was the right approach to take due to the unpredictability associated with the production of this software. Theoretical aspects were constantly being learned during the project, even towards the very end, and an agile approach was absolutely necessary. As theory was learned throughout the course of the project, it was expected that concepts were discovered which could undermine previous assumptions made, meaning that the program would need to be adjusted accordingly. For example, the fact that function labels can be referenced by jump instructions (and not only call) was learned a long way into development. This meant that a solution had to be provided in the code, which patched previous functionality based on the assumption the functions could only be referenced by call instructions. The issue discovered in the code due to the theory learned was some *functions* not being discovered due to the fact that they were reference by a jump instruction, which assigned them a parent block (undermining the definition of start-of-function block having no parents). Situations like these were expected given that my initial knowledge of theoretical aspects related to the project was close to nothing, and were the reason that the Agile methodology was used.

The process of iterative development of program slices is defined by the Agile and was supplementary to the development of the project. Development began with printing all functions discovered to console. This in itself was a user story devised, and added value to the product. Thereafter, the recursive algorithm was implemented, and basic blocks could be printed to console, delimited by ‘-----NEW BLOCK-----’ as an example. As soon as the recursive algorithm could do this, presentable disassembly had been obtained - a key deliverable component for the product developed. Functionality could then be built around this, for example showing instructions in a table, building a control flow graph, or data export. Each one of these components satisfied an appropriate user story, and added incremental value to the product as and when implemented.

The agile approach also complemented the production of working software, since the agile approach prioritises this over comprehensive documentation. This was especially important due to the experimental nature of the project and difficulty in ensuring that disassembly was correct, and coverage of executable instructions complete.



## 8.3 UML

A high level view of the program's *internal* functionality can be visualised with a UML activity diagram.

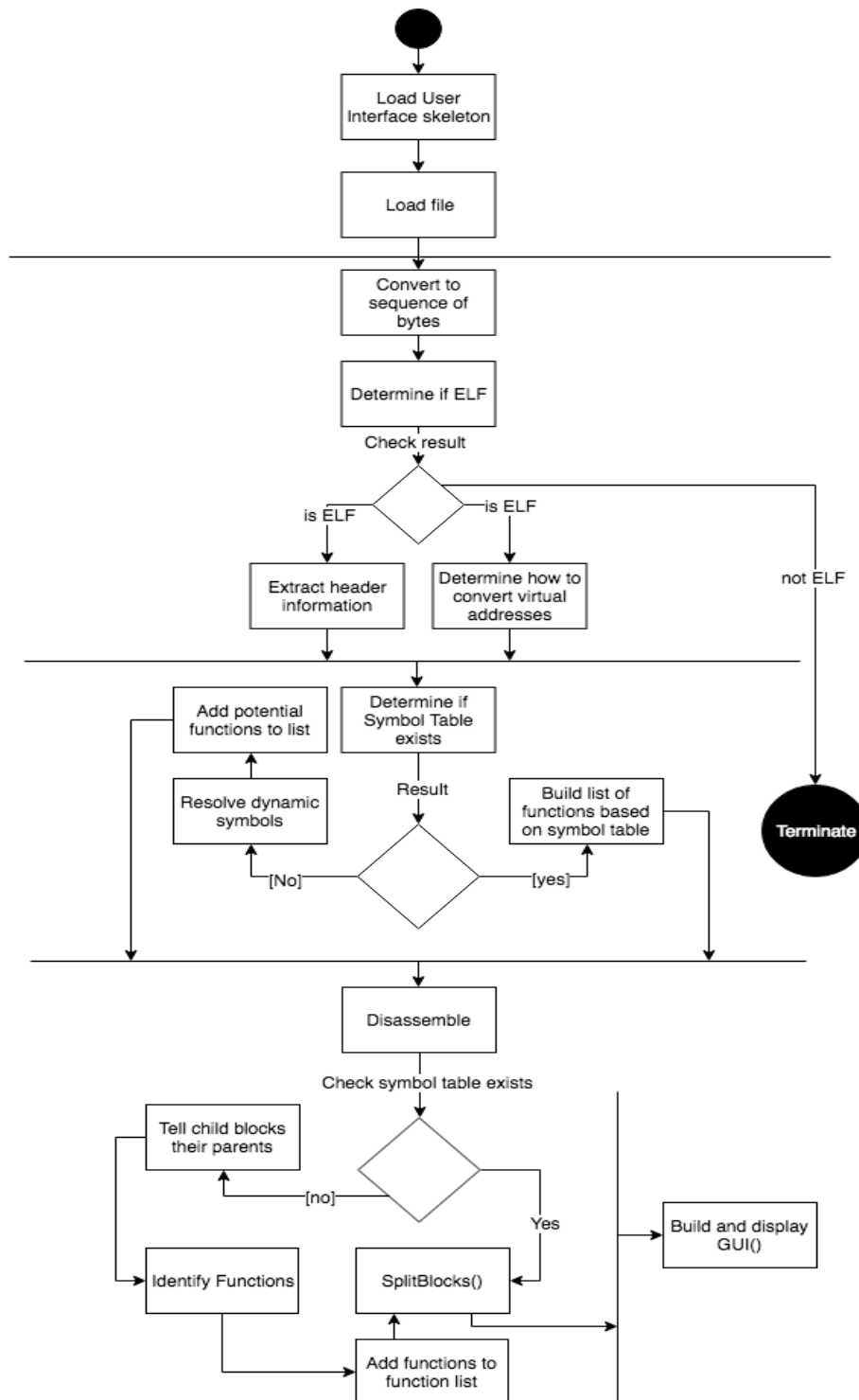


Figure 13 – Activity Diagram of internal functionality

## 8.4 Architecture and Design Patterns

### Architectural pattern: MVC

The MVC design pattern is incredibly useful for decoupling the disassembly Model and View, reducing design complexity. The MVC design pattern highly increases the Maintainability and Reusability of code. This is due to the fact that the disassembly Model is self-contained, meaning that adjustments can be easily made to it without the knowledge of the View and Controller. The Controller only cares about the output of data from the model being in a correct format such that it can be displayed in the View. We could potentially change the disassembly algorithm and, providing that it still returns a list of Basic Blocks which reference other blocks, all components of the user interface would be displayed as before.

MVC is implemented in the program for the main user interface through the classic use of a View, Controller, and Model. The View contains a list of fields local to it, representing all user interface components. When the View is invoked, its components are created through object declaration. Providing that the Model has performed its required computation, that is, the process of disassembly, the Controller is then invoked, filling the View's components with data provided by the Model. For example, the Controller uses Basic Block data from the model to display a CFG by analysing addresses associated with a function.

MVC is also implemented for the JDialogue box which appears when a set of instructions is exported. The ExportDialogue class represents the controller for this dialogue box, and the View class represented by ExportView, and uses the same Model as the main controller.

### Design Pattern: Observer

The Observer pattern is used in the main controller and ExportDialogue controller in order to *observe* changes in the corresponding Views passed into them. Listeners initialised in each of the controllers observe changes in the View and respond accordingly. The use of this design pattern decouples classes, given that a View is not aware of any of its observers.

### Design Pattern: Adapter

The Adapter pattern can be seen in the 'Model' class. Once the Controller discovers that a file has been selected in the view, the `disassemble()` method in Model is called from the Controller. This call instantiates an Object known as 'instance' (of class Disassemble) in the Model, with the file selected in the View as its argument. This invokes a sequence of methods and classes in the underlying subsystem, so that the disassembly procedure executes.

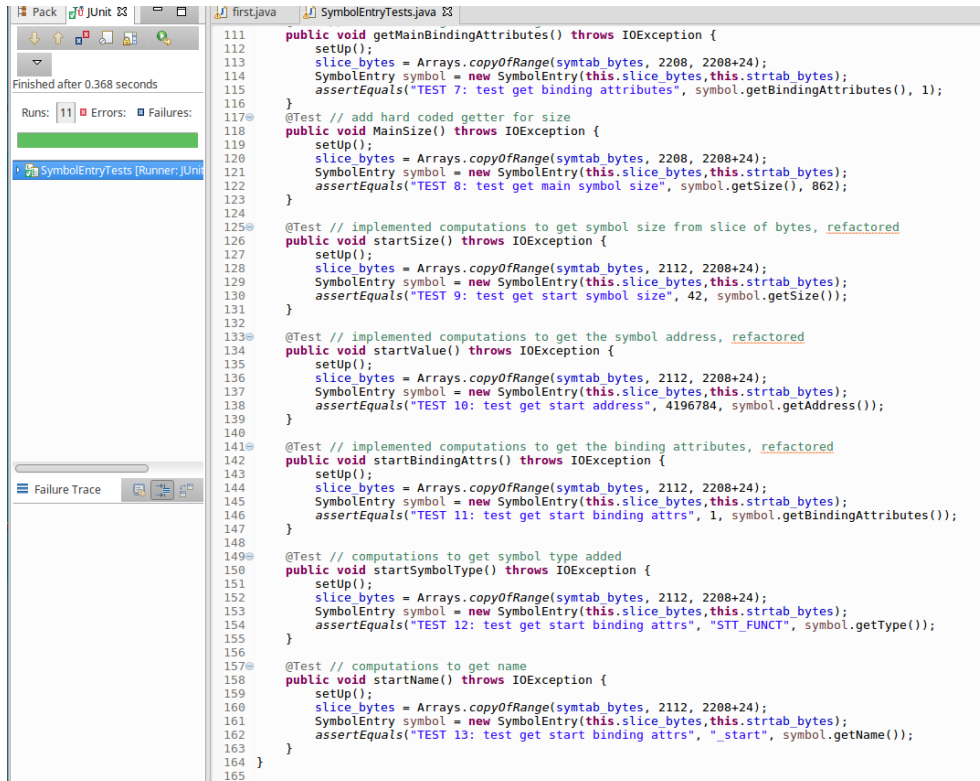
This pattern decouples the user interface from the complex workings of the subsystem performing disassembly.

### Design Pattern: Singleton

The Disassemble class is a Singleton, which has a single instance at any time in the program, located in the Model. This is because we are only disassembling one file at a time, which means that there should only be one instance of disassembly.

## 8.5 Unit Testing

Some unit tests were conducted during development. Below is a screenshot of several unit tests.



```
111 public void getMainBindingAttributes() throws IOException {
112     setUp();
113     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2208, 2208+24);
114     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
115     assertEquals("TEST 7: test get binding attributes", symbol.getBindingAttributes(), 1);
116 }
117
118 @Test // add hard coded getter for size
119 public void MainSize() throws IOException {
120     setUp();
121     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2208, 2208+24);
122     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
123     assertEquals("TEST 8: test get main symbol size", symbol.getSize(), 862);
124 }
125
126 @Test // implemented computations to get symbol size from slice of bytes, refactored
127 public void startSize() throws IOException {
128     setUp();
129     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2112, 2208+24);
130     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
131     assertEquals("TEST 9: test get start symbol size", 42, symbol.getSize());
132 }
133
134 @Test // implemented computations to get the symbol address, refactored
135 public void startValue() throws IOException {
136     setUp();
137     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2112, 2208+24);
138     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
139     assertEquals("TEST 10: test get start address", 4196784, symbol.getAddress());
140 }
141
142 @Test // implemented computations to get the binding attributes, refactored
143 public void startBindingAttrs() throws IOException {
144     setUp();
145     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2112, 2208+24);
146     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
147     assertEquals("TEST 11: test get start binding attrs", 1, symbol.getBindingAttributes());
148 }
149
150 @Test // computations to get symbol type added
151 public void startSymbolType() throws IOException {
152     setUp();
153     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2112, 2208+24);
154     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
155     assertEquals("TEST 12: test get start binding attrs", "STT_FUNC", symbol.getType());
156 }
157
158 @Test // computations to get name
159 public void startName() throws IOException {
160     setUp();
161     slice_bytes = Arrays.copyOfRange(symtab_bytes, 2112, 2208+24);
162     SymbolEntry symbol = new SymbolEntry(this.slice_bytes, this.strtab_bytes);
163     assertEquals("TEST 13: test get start binding attrs", "_start", symbol.getName());
164 }
165 }
```

Figure 14 – unit tests

Methods in the SymbolEntry class were developed, such that each public method created was due to a unit test being performed. It is of course difficult to unit test for parsing an executable, as these unit tests do, as we do not necessarily know what certain value are expected to be, but several commands on linux were able to show the information required as expected values for tests.

The tests for the SymbolEntry class were done such that information from the symbol table representating the main function was used as expected values for the tests. With the expected results defined, the method of obtaining the results were initially done such that the test failed when first written, and always passed after adding just enough code to do so. Tests were then executed for the `_start` function, but with `start`'s expected results for each test. Previous tests would ultimately fail, due to the hard coded test return values. Enough code was produced to then ensure that each test passed, as expected. For every test that passed, related code was refactored.

Similar tests were performed for pattern matching in the matchingTests test class.

## 8.6 Repository Usage

The project up until the interim view was maintained in SVN, but I really was not compatible with SVN, as specified in the interim report, so I ported the project over into a new GitHub repository for implementation of the main program.

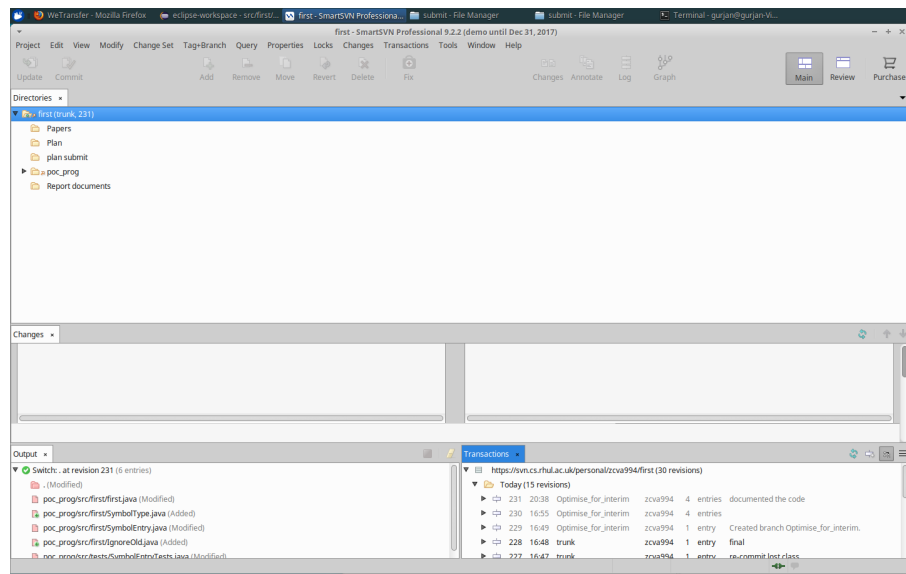


Figure 15 – Original SVN repository

The current repository can be found on GitHub at <https://github.com/gurjie/3yp>

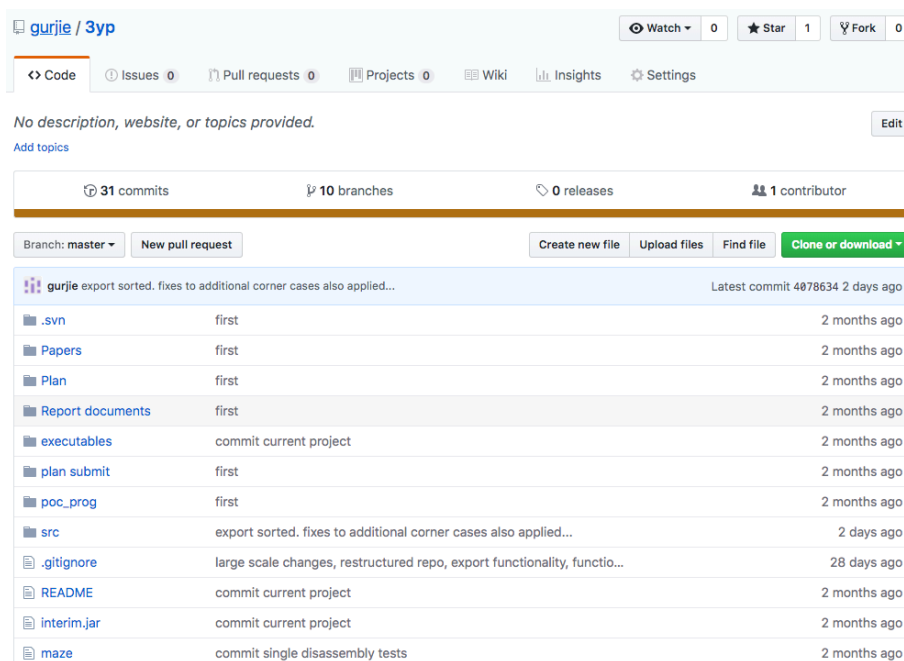


Figure 16 – GitHub repository for final program

The repository features in excess of 10 branches and 30 commits including all related project documents and executables.

## 8.7 Development Environment

A specialised development environment had to be setup in order to pursue this project. Initially, I was working on a Mac and had installed the Capstone Engine in order to understand its functionality. I quickly learned, however, that in order to disassemble ELF files, I needed to be working in a Linux environment to be able to quickly compile ELFs. This led me to installing VirtualBox and working in a virtualised environment.

After installing and testing several environments, I decided to use Xubuntu due to it being light-weight in nature and stable. A lot of issues were encountered, but it was finally setup with Eclipse, smartsvn, the Capstone Library installed and other software development dependencies. The JNA native library also had to be installed so that Capstone bindings would work.

Some way into development, several other Java graph libraries were also installed in order to find the library most suitable to represent a control flow graph.

# Chapter 9

## Self-Analysis

---

This section outlines Overall Achievements, a Reflection of Personal Progress, Future goals, and a Conclusion.

### 9.1 Overall Achievements

I am happy with the achievements of the project overall. While there is obvious room for improvement, all basic requirements from the specification have been met, each to some extent better than others. The algorithm used is the recursive traversal algorithm, which is a little more non-trivial than the linear sweep algorithm. I am happy with this, since the majority of powerful disassemblers implement this algorithm, so the disassembler's internal functionality could be considered to be of a high level. The disassembler can display a control flow graph clearly, and has support for data export. File parsing has been implemented to determine basic information related to executables passed in as input, such as the entry point, symbol table, the executable's virtual address conversion mechanism, and more. Earlier versions of the program parsed the .rel.plt table, but functional requirements were slightly modified such that this was made redundant. The piece of software produced also successfully disassembles stripped and unstripped ELF files.

I feel to have an understanding of how to build a user interface in Java. This is something prior to the project which I had always been interested in doing. I had great difficulty implementing some of the components in the user interface, and it feels good to have finally overcome these issues.

In addition to the software product produced, lots of theory has been learned, related the disassembly process, as well as Reverse Engineering. Coming into this project, my theoretical knowledge of the two concepts was close to nothing. I have also gained more confidence in my programming and Software Engineering skills, as well as a lower level understanding of how machines execute code. I could not be happier with the amount learned during the project about fundamental concepts related to computer science.

I feel that I have come a long way from assuming that executable files could simply be converted to a stream of bytes and passed into Capstone Engine's `disasm()` function in order to disassemble an executable! I have also gone from an understanding of absolutely nothing related to executable files (quite literally, thinking that they were all raw binary files which could be executed on any machine), to quite a bit of knowledge now.

## 9.2 Possible Improvements

I feel that I could have done more with this project, but I will re-state that I cannot be happier with the progress that have made overall. Aside from previously mentioned improvements to the disassembly algorithm and runtime performance, there is definitely more potential functionality to be added.

Some functionality would be quite simple:

- Disassemble different file formats
- Perform relocations in the ELF to programmatically in order obtain function names called and addresses in the procedural linkage table referenced in disassembly

The reason that relocations were not implemented in the final product was that it reached an unrealistic time in the project for me to add this feature by the time the theory behind doing so learned. I would definitely extend the program functionality to do this, however, in order to provide a better overall user experience, such that the user can view when and which calls to shared libraries are made. Disassembling different file formats would also be fairly simple, since learning the ELF has provided a great foundation for which to learn the layout of other executable files. I feel that if I have learned how to interpret ELF files, I can definitely do the same for other types of executable.

A great added functionality discussed with Dr Lorenzo Cavallaro of Royal Holloway's Information Security group would be the ability for the disassembler to perform what is known as 'value set analysis'. The concept, outlined in [18] in order to approximate the values of registers on the fly. VSA is a static analysis technique, combining numerical and pointer analysis. The disassembler does not implement any analysis of the value of registers, apart from in the heuristic discovering start if a register is loaded the with a value prior to calling `libc_start_main`.

## 9.3 Conclusion

This project has been one of the best learning experiences of my life, and I am happy to have chosen it. Equally, material learned along the way, as well as, during development has been invaluable. I will definitely try to extend the disassembler to achieve the possible improvements in section 9.2 in the future.

# Chapter 10

## Appendices

---

This section outlines Overall Achievements, a Reflection of Personal Progress, Future goals, and a Conclusion.

### 10.1 Diary

24 <sup>th</sup> September 2017	General acquaintance with disassembly & biggest challenge realised: you can't separate data from code. Entirely alien concepts covered...seriously...
1 <sup>st</sup> October 2017	Exploring concepts of Linear Sweep and Recursive Traversal
8 <sup>th</sup> October 2017	Problems touched upon including jumping back to middle of an instruction. What exactly is good disassembly? Is there perfect disassembly? What can thwart disassembly? Obfuscation techniques touched upon
15 <sup>th</sup> October 2017	Instruction sets, the actual process of disassembly itself, decoding instructions, Intel System ABI covered somewhat, in depth understanding attempted.
22 <sup>th</sup> October 2017	Exploration of current disassemblers, their features, what they're doing, and how they do it. Looking at control flow analysis, trying to make sense of output lined up against input.
29 <sup>th</sup> October 2017	How do we actually begin to disassemble a file? Background theory discovered in enough detail to begin. Began by try to disassembly with Capstone in Java, straight away.
5 <sup>rd</sup> November 2017	After trying to make sense of things, the disassembler was simply a mess. Trying to disassemble Mach-O files from their 0 <sup>th</sup> byte straight into an array of bytes and into Capstone. Concept of executable files themselves being by and large important. Realization that some development environment has to be setup. Tried to work with several Linux distro's, frustrating days, given most weren't suitable + software errors...
12 <sup>th</sup> November 2017	Begging to explore the concept of file parsing, and manually reading executable files. Searched for any alternative to this and found a compromise solution. Solid understanding at this point of how file parsing worked, and started to feel confident about the project for the first time.
19 <sup>th</sup> November 2017	Initial Version of the Disassembler written, output verification proceeded. This was the single most valuable week so far, where everything learnt beforehand suddenly just seemed to make sense. Linux tools assisting the development and verifying output discovered, working with readelf, objdump etc.



26 <sup>th</sup> November 2017	Reading further into theory. Knowledge starting to really get strong at this point. Finding enjoyment in talking about disassembly and surrounding concepts to other people! Things like On-Demand disassembly discovered, all sorts of unique interpretations of the Linear sweep and Recursive traversal. Report writing finalised, combined with reports drafted throughout the months so far.
December 2017	Read plenty of papers covering different types of mechanisms to deal with obfuscation. Trying to understand which algorithm to implement.
January 1 <sup>st</sup> 2018	Learned more about obfuscation by this stage, also started reading up on theory related to symbol table not displaying function addresses for shared libraries as expected.
January 8 <sup>th</sup> 2018	Obfuscation methods finally understood, but now trying to understand algorithms related. In particular, the paper [10] was discovered and an ambitious attempt made to understand it.
January 15 <sup>th</sup> 2018	Algorithm devised to deal with obfuscation mechanisms in [10]. Realising that implementation will not be trivial, and questioning whether this will actually be possible. Learned about function discover heuristics.
January 23 <sup>rd</sup> 2018	Learned that the project requires only a recursive traversal algorithm. No need for the complex algorithm in [10]. Slightly relieved, but also upset at wasted time.
January 30 <sup>th</sup> 2018	GitHub repository set up. Initial commit
January 31 <sup>st</sup> 2018	First disassembly programmatic procedures of the final project begun. This involved working out how to perform the disassembly of single instructions.
February 11 <sup>th</sup> – 17 <sup>th</sup> 2018	First graph library tested, not too sure how it will actually work – very skeptical. Researching potential libraries to implement a control flow graph and how to actually do this from a programming point of view. Libraries seem tricky to use, and can't seem to run a lot of libraries properly. Experimentation with Maven, never used it before but looks quite good. Decided not to use Maven. Devising graphical user interface designs.
February 21 <sup>st</sup> 2018	Control flow graph library decided upon. First stage of implementation, with the MVC pattern in mind. Classes designed as such. 21 <sup>st</sup> February 2018. GUI skeleton
February 22 <sup>nd</sup> 2018	MVC and CFG functionality furthered
February 23 <sup>rd</sup> 2018	Changes to GUI to enable flexibility with regards to potential future development in the future. Test the control flow graph library with sample nodes and edges
February 24 <sup>th</sup> 2018	Control flow graph can in theory now be built. Test cases built for basic block class to mark the first stage of back-end development. File loading added to functionality, disassembly model adapter devised and skeleton implemented, so that MVC can be fully implemented. Exception handling implemented.
February 27 <sup>th</sup> 2018	Export functionality added for the control flow graph, although control flow graph doesn't work
February 28 <sup>th</sup> 2018	Still unsure about exact algorithm structure, working around the clock to decide on a decent algorithm. It has mostly been devised, but needs more work.
March 9 <sup>th</sup> 2018	Disassembly algorithm implemented. Bugs do exist though.

	Bugs have to be washed out. Validity of results to proceed.
March 10 <sup>th</sup> 2018	Algorithm works properly. Functionality for functions still required...
March 11 <sup>th</sup> 2018	CFG displays for functions, requires cleaning up, but can display control flow graph elements related to a function.
March 12 <sup>th</sup> 2018	CFG in line with IDA. Testing phase begins
March 14 <sup>th</sup> 2018	Issues discovered during testing of disassembly and the control flow graph. Modify the algorithm to fix the problems....
March 16 <sup>th</sup> 2018	Bug fixes, CFG should be correct, verify output again
March 17 <sup>th</sup> 2018	Issues with disassembly and CFG again according to IDA and BinDiff. Find a patch. CFG can also be shown in tabs in the UI such that control flow graphs can be switched between
March 18 <sup>th</sup> 2018	Stripped ELF's can be disassembled in some cases, heuristics added to discover main, but they are vastly unreliable
March 19 <sup>th</sup> 2018	Instruction table added to the user interface. The functionality is satisfactory, displaying functions but more is required for it to be a successful user interface
March 20 <sup>th</sup> 2018	CFG bug discovered, fix ported to program – needs testing. Perform testing
March 21 <sup>st</sup> 2018	Export functionality complete to get instructions from the UI via export or copy/paste
March 26 <sup>th</sup> 2018	Issues related to export sorted. Corner case fixes applied, yielding solid disassembly results.
March 27 <sup>th</sup> 2018	Concrete disassembly results, perfect for the capabilities of the algorithm with regards to function discovery and code in the scope of the algorithm

## 10.2 User Manual

### 10.2.1 Installation

To install the disassembler, it is required that the Capstone shared library is installed on your computer.

See:

- <https://www.capstone-engine.org/download.html> for information on how to download Capstone
- <https://www.capstone-engine.org/documentation.html> for information on how to install Capstone

Download and installation instructions are very simple, with many different methods of installation for a whole host of operating systems.

Due to unexplainable issues building a .jar file in my virtual environment through Eclipse, the way in which the program can be run is through **Eclipse** and installation will be explained using eclipse.

1) Open Eclipse

2) Go to File → Open Project from File system → Locate and select the submitted ‘project’ folder

3) Under ‘folders’ in the import project window, select the src folder with path ‘project → src’ and then select finish.

4) We now need to set JARs used on the build path. Select the project folder and navigate to the ‘Project’ option on the eclipse toolbar.

5) Select ‘Properties’

6) under ‘Libraries’, click Add External Jars and then add the two JARs shown in the figure below.



Figure 17 – JARs to add to build path

7) The disassembler can now be run in Eclipse.

Apologies for having to run the project from source code, but I couldn't figure out how to export the JAR how it was exported in the interim project, as ambiguous errors were raised.

## 10.2.2 Disassembling and General Usage

- 1) Select File → load executable and then open the file you wish to disassemble.  
Alternatively, select Load File in the toolbar

NOTE: Some executables have been provided in the submitted folder; /executables

- 2) You may be notified if an executable is stripped. Press okay
- 3) Figure 18 shows Functions disassembled. Functions are red if their addresses could not be determined; shared libraries. Blue functions have been successfully disassembled
- 4) Very clearly displayed to you is the CFG, instruction table, function list, and a section showing ELF sections and their offsets

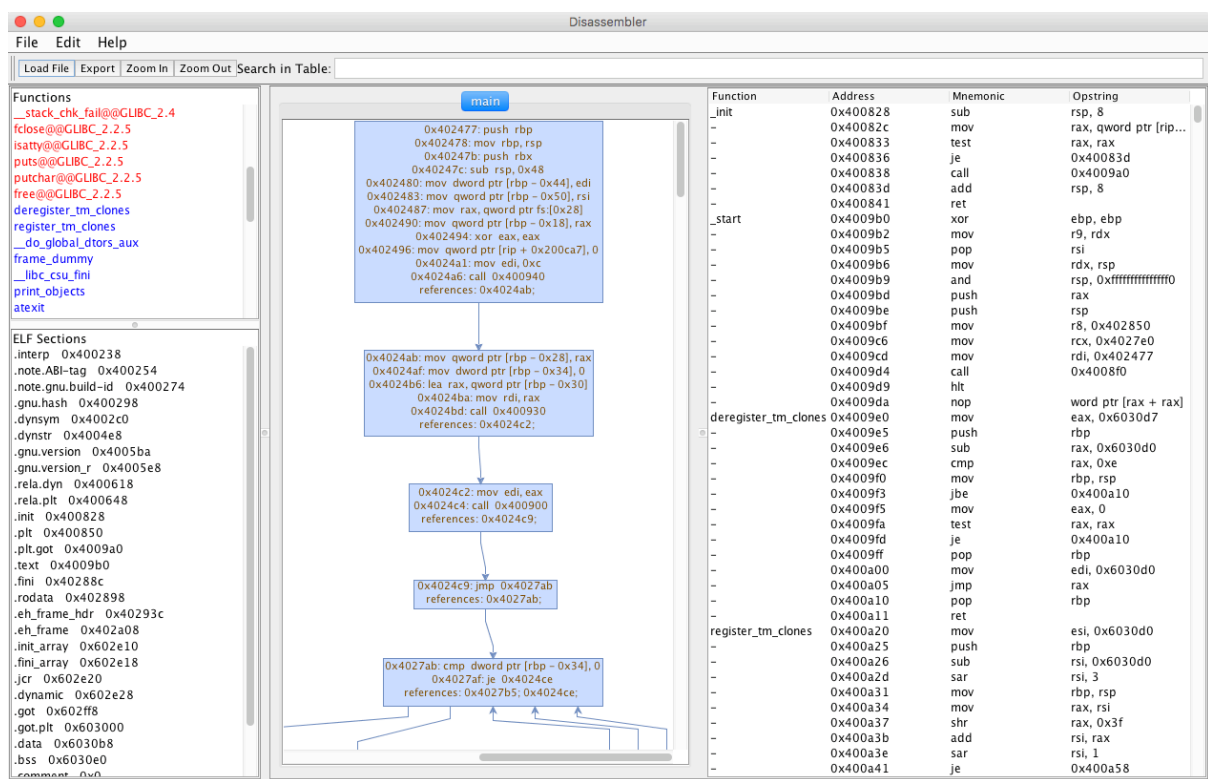


Figure 18 – GUI view

### General Usage

- You may select functions to be displayed in the GUI by double clicking a function from the list on the left
- Clicking on a basic block in the user interface will show scroll to and highlight corresponding instruction in the instruction table to the right
- The instruction table can be searched for any of its components using the searchbar in the toolbar

- A CFG can be zoomed in and out of by pressing the zoom in or zoom out buttons on the toolbar
- You may export a CFG by opening the desired CFG, perhaps from the tabbed pane, and selecting 'Export' on the toolbar. This writes a file to your computer in the selected directory
- You may select any number of functions in the instruction table by clicking and dragging over the desired functions, or clicking the table and selected edit → select all
- If instructions in the table are selected, you may right-click them and select 'export' which brings up a new window with different export options
  - Export Function name, address, mnemonic, and opstring
  - Export address, mnemonic, and opstring
  - Export mnemonic, and opstring
  - Export spaced hexadecimal representation of the instructions
  - Export non-spaced hexadecimal representation of the instructions.
- If instructions in the table are selected, you may alternatively right click and copy the instructions in one of the formats mentioned directly above to clipboard, but not for hexadecimal

## Bibliography

I am still actively reading into the topic as a whole, devising a plan to implement an algorithm, along the lines of something like the disassembly algorithm used by BIRD.

([https://www.researchgate.net/profile/Lap\\_Lam/publication/4231624\\_BIRD\\_binary\\_interpretation\\_using\\_runtime\\_disassembly/links/00b49518db7561ed95000000/BIRD-binary-interpretation-using-runtime-disassembly.pdf](https://www.researchgate.net/profile/Lap_Lam/publication/4231624_BIRD_binary_interpretation_using_runtime_disassembly/links/00b49518db7561ed95000000/BIRD-binary-interpretation-using-runtime-disassembly.pdf))

This is the most fascinating proposal yet, but I chose not to include it in this report, because I still have a lot to understand before being able to go into depth!

### Disassembly of Executables Revisited

Benjamin Schwarz, Saumya Debray, Gregory Andrews  
University of Arizona Department of Computer Science, 2002  
<https://www2.cs.arizona.edu/~debray/Publications/disasm.pdf>

**Annotation:**

In this publication, the Linear Sweep and Recursive Traversal techniques are discussed, with their positive and negative aspects taken into account. A hybrid algorithm is suggested, based on the assumption that the executable contains a relocation table.

### Obfuscation of Executable Code to Improve Resistance to Static Disassembly

Cullen Linn, Saumya Debray  
University of Arizona Department of Computer Science, 2003  
<https://www2.cs.arizona.edu/solar/papers/CCS2003.pdf>

**Annotation:**

This publication discusses the basic disassembly algorithms, and obfuscation techniques. Elaborates nicely on how each algorithm can be neutered.

### Linear Sweep vs Recursive Disassembling Algorithm

Dejan Lukan  
Infosec Institute, 2013  
<http://resources.infosecinstitute.com/linear-sweep-vs-recursive-disassembling-algorithm/>

**Annotation:**

This publication discusses how to break both Linear Sweep and recursive traversal, as well as outlining the main challenges of disassemblers.

### Thoughts about disassembling algorithms

Dejan Ge0  
Ge0-blog  
<http://ge0-it.blogspot.co.uk/2013/08/thoughts-about-disassembling-algorithms.html>

**Annotation:**

A really nice and simple introductory level blog on disassembly algorithms.

### Understanding the ELF

James Fisher, 2015  
Medium.com/@MrJamesFisher  
<https://medium.com/@MrJamesFisher/understanding-the-elf-4bd60daac571>

**Annotation:**

Hands down the single article which ended any confusion I had around executable files. This was something I was stuck on for so long, honestly...I even tried to disassemble Mach-O executables from their 0<sup>th</sup> byte thinking that was the way to do it...

## What is the algorithm used in Recursive Traversive disassembly?

Answer by PSS, question by perror

Reverse Engineering Stack Exchange

<https://reverseengineering.stackexchange.com/questions/2347/what-is-the-algorithm-used-in-recursive-traversal-disassembly>

### Annotation:

A question primarily outlining how IDA Pro conducts disassembly, and the different type of instructions that could be encountered.

## ELF-64 Object File Format

uClibc. 1998

<https://www.uclibc.org/docs/elf-64-gen.pdf>

### Annotation:

The go-to document for reading in ELF files. Very informative, covering everything from table layouts in ELF files to data types.

## Works Cited

<https://blogs.oracle.com/ali/inside-elf-symbol-tables>[8]

Benjamin Schwarz, S. D. G. A., n.d. *Disassembly of Executable Code Revisited*, s.l.: University of Arizona. [4]

Cullen Linn, S. D., n.d. *Obfuscation of Executable Code to Improve Resistance to Static Disassembly*, s.l.: University of Arizona. [3]

Singh, A., n.d. *Identifying Malicious Code Through Reverse Engineering*. 2009th ed. s.l.:Springer. [6]

uclibc, May 27, 1998. *ELF-64 Object File Format*. [Online]

Available at: <https://www.uclibc.org/docs/elf-64-gen.pdf> [7]

Wikipedia, 2017. *Disassembler*. [Online]

Available at: <https://en.wikipedia.org/wiki/Disassembler> [1]

[http://www.delorie.com/gnu/docs/binutils/as\\_265.html](http://www.delorie.com/gnu/docs/binutils/as_265.html) [2]

<http://www.capstone-engine.org/BHUSA2014-capstone.pdf> [5] Nguyen Anh Quynh, Coseinc, BlackHat USA: Capstone: Next-Gen-Disassembly Framework

<http://security.di.unimi.it/sicurezza1112/slides/Lezione4.pdf> [9] Alessandro Reina, Università degli Studi di Milano ` Facoltà di Scienze Matematiche, Fisiche e Naturali

[https://www.usenix.org/legacy/publications/library/proceedings/sec04/tech/full\\_papers/kruegel/kruegel\\_html/disassemble.html](https://www.usenix.org/legacy/publications/library/proceedings/sec04/tech/full_papers/kruegel/kruegel_html/disassemble.html) [10] Christopher Kruegel, William Robertson, Fredrik Valeur and Giovanni Vigna Static Disassembly of Obfuscated Binaries

<https://www.omicsonline.org/open-access/should-reverse-engineering-remain-a-computer-science-cinderella-2165-7866.S5-e001.php?aid=12682> [11] Should Reverse Engineering Remain a Computer Science Cinderella? Christian Mancas

<https://reverseengineering.stackexchange.com/questions/6455/what-are-the-targets-of-professional-reverse-software-engineering> [12] What are the targets of professional reverse software engineering? – Answer by joxeankoret

<https://www.gpo.gov/fdsys/pkg/PLAW-105publ304/pdf/PLAW-105publ304.pdf> [13] Public US Law

[https://en.wikibooks.org/wiki/Reverse\\_Engineering/Legal\\_Aspects#cite\\_note-1](https://en.wikibooks.org/wiki/Reverse_Engineering/Legal_Aspects#cite_note-1) [14] Reverse Engineering/Legal aspects

<https://www.law.cornell.edu/uscode/text/17/107> [15] Limitations on exclusive rights: Fair use Cornell Law School

<https://www.nytimes.com/2017/11/12/us/nsa-shadow-brokers.html> [16] NSA secrets leaked. Scott Shane, Nicole Perlroth, and David E. Sanger

[https://en.wikipedia.org/wiki/Atari\\_Games\\_Corp.\\_v.\\_Nintendo\\_of\\_America\\_Inc.](https://en.wikipedia.org/wiki/Atari_Games_Corp._v._Nintendo_of_America_Inc.) [17] Atari V Nintendo Wikipedia

[https://www.cs.ucsb.edu/~vigna/publications/2016\\_SP\\_angrSoK.pdf](https://www.cs.ucsb.edu/~vigna/publications/2016_SP_angrSoK.pdf) [18] The Art of War: Offensive Techniques in Binary Analysis