The given jupyter notebook contains code for task 1 evaluation of Genie Project Task objectives :

- Train an Auto-Encoder to learn a representation based on three images channels i.e.:
  - ECAL -> Electromagnetic Calorimeter
  - HCAL -> Hadronic Calorimeter
  - Tracks
- Show a side-by-side comparison of original and reconstructed events

The Quark-Gluon hdfs dataset was in compressed format so when loading every element batch wise it was decompressing it,

and then reading it which was taking up unnecessary time so, an uncompressed version with the same chunk\_size of (6000,...)

events per-chunk was created.

```
In [ ]:
        import h5py
        from tqdm import tqdm
        from torch.utils.data import Dataset, DataLoader, random split
        from torchvision.transforms import transforms
        import torch
        # from torchmetrics.functional import structural similarity index measure a
        import torchvision.transforms.functional as trans F
        from torch import nn, optim, functional as F
        import pytorch lightning as pl
        import os
        import matplotlib.pyplot as plt
        import multiprocessing as mp
        import torchvision
        from pytorch lightning.callbacks import LearningRateMonitor, ModelCheckpoin
        # Path to the folder where the pretrained models are saved
        CHECKPOINT PATH = "./saved models/"
In [ ]: def decompress_dataset(raw_path, processed_path):
            with h5py.File(raw_path, 'r') as r, h5py.File(processed_path, 'w') as p
                keys = list(r.keys())
                total events = r[keys[0]].shape[0]
                for key in keys:
                    if len(r[key].shape) > 1:
                        chunk\_shape = tuple([6000] + list(r[key].shape[1:]))
                    else:
                        chunk shape = (6000,)
                    p.create_dataset(key, shape=r[key].shape, chunks= chunk_shape)
                    for i in tqdm(range(0, total events, 6000)): #iterate till we r
                        stop idx = min(i+6000, total events)
                        p[key][i:stop_idx] = r[key][i:stop_idx]
        raw_path = "../Data/hdf5/raw/quark-gluon_data-set_n139306.hdf5"
In [ ]:
        uncompressed data path = "../Data/hdf5/processed/quark-gluon-dataset.hdf5"
        subset data path = "../Data/hdf5/processed/processed.hdf5"
```

```
In [ ]: | def subset_dataset(raw_path, processed_path, subset_len = 6000):
             with h5py.File(raw_path, 'r') as f, h5py.File(processed_path, 'w') as p
                 kevs = list(f.kevs())
                 total_events = f[keys[1]].shape[0]
                 for key in keys:
                     shape = (subset len,)
                     if len(f[key].shape) > 1:
                         shape = (subset len, 125, 125, 3)
                     p.create dataset(key, shape=shape)
                 quark count = 0
                 qluon count = 0
                 idx = 0
                 for i in range(total events):
                     if quark_count < subset_len // 2:</pre>
                         for key in keys:
                             p[key][idx] = f[key][idx]
                         quark count += 1
                         idx += 1
                     elif gluon count < subset len // 2:</pre>
                         for key in keys:
                             p[key][idx] = f[key][idx]
                         gluon count += 1
                         idx += 1
                     elif idx >= subset len:
                         break
        if not os.path.exists(uncompressed data path):
In [ ]:
             decompress dataset(raw path, uncompressed data path)
        if not os.path.exists(subset data path):
             subset dataset(uncompressed data path, subset data path)
```

## Quark-Gluon Torch Dataset Class

```
In [ ]: class QuarkGluonDataset(Dataset):
            def init (self, path, channel = 0, transform = None) -> None:
                super(). init ()
                self.path = path
                self.channel = channel
                self.transform = transform
                with h5py.File(self.path, 'r') as f:
                    self.keys = list(f.keys())
            def __len__(self):
                with h5py.File(self.path, 'r') as f:
                    return len(f[self.keys[1]])
            def getitem (self, index):
                with h5py.File(self.path, 'r') as f:
                    x = f[self.keys[0]][index]
                    x = torch.from numpy(x)
                    x = torch.permute(x, (2, 0, 1)) # convert(n, n, 3) -> (3, n, n)
                    if self.transform is not None:
                        x = self.transform(x)
                        return x
                    return x
```

# Methodology

- 1. Divide pytorch Quark dataset into:
  - Train -> 60%

- Validation -> 20%
- Test -> 20%
- 2. Create pytorch lightning DataModule with following with train, val and test dataloaders

#### **Bottle-Necks:**

- 1. Dataset is too large
- 2. Not enough GPU VRAM to try out larger and more state of the art models

### Adopted Solutions

Use only a subset of the dataset about 6K events

1. Use a lighter model for proof of concept

```
In []; def train val test split(dataset, train = 0.6, val = 0.2, test = 0.2):
            train data, val data, test data = random split(dataset, [train, val, te
            datasets = {}
            datasets['train'] = train data
            datasets['val'] = val_data
            datasets['test'] = test data
            return datasets
        cpu count = mp.cpu count()
        transform = transforms.Compose([
                        transforms.Normalize(mean=0.5, std=1),
        dataset = QuarkGluonDataset(subset data path, transform = transform)
        dataset = train val test split(dataset)
        train data = dataset['train']
        val data = dataset['val']
        test data = dataset['test']
        class QuarkGluonDataModule(pl.LightningDataModule):
            def init (self,dataset, batch size = 64) -> None:
                super().__init__()
                self.batch_size = batch_size
                self.dataset = dataset
            def setup(self, stage:str):
                self.train data = self.dataset['train']
                self.val data = self.dataset['val']
                self.test_data = self.dataset['test']
            def get_train(self, idx):
                return self.train data[idx][0]
            def train_dataloader(self):
                return DataLoader(self.train_data, batch_size=self.batch_size, shuf
            def val dataloader(self):
                return DataLoader(self.val data, batch size=self.batch size, shuffl
            def test dataloader(self):
                return DataLoader(self.test_data, batch_size=self.batch_size, shuff
```

# NN layer to print the dimesions of input data

```
In [ ]: class PrintDim(nn.Module):
    def __init__(self) -> None:
        super().__init__()
    def forward(self, x):
```

```
print(x.shape)
print("-" * 50)
return x
```

## Encoder layer

#### Architecture:

- CNN layer
- Activation Funtion -> Relu
- pooling layers -> maxpool, adaptive avg pooling
- Normalization -> BatchNorm

```
In [ ]: class Encoder(nn.Module):
            def init (self,
                          num input channels : int,
                          base channel size : int,
                          latent dim : int,
                          act fn : object = nn.GELU):
                Inputs:
                     - num_input_channels : Number of input channels of the image. F
                     - base_channel_size : Number of channels we use in the first co
                     - latent dim : Dimensionality of latent representation z
                    - act fn : Activation function used throughout the encoder netw
                super(). init ()
                c hid = base channel size
                self.net = nn.Sequential(
                    nn.Conv2d(num input channels, c hid, kernel size=3, padding=1,
                    act fn(),
                    nn.Conv2d(c_hid, c_hid, kernel_size=3, padding=1),
                     act fn(),
                     nn.Conv2d(c hid, 2*c hid, kernel size=3, padding=1, stride=2),
                    act fn(),
                    nn.Conv2d(2*c_hid, 2*c_hid, kernel_size=3, padding=1),
                    act fn(),
                    nn.Conv2d(2*c_hid, 2*c_hid, kernel_size=3, padding=1, stride=2)
                    act fn(),
                     nn.Flatten(), # Image grid to single feature vector
                    nn.Linear(2*16*16*c hid, latent dim) # input dim => 32768 \sim 2x1
            def forward(self, x):
                 return self.net(x)
```

# Decoder Layer

#### Architecture:

- ConvTranspose2d layer
- Normalization -> BatchNorm

```
In [ ]: class Decoder(nn.Module):
    def __init__(self,
```

```
num_input_channels : int,
             base_channel_size : int,
             latent_dim : int,
             act_fn : object = nn.GELU):
    0.00
    Inputs:
        - num input channels : Number of channels of the image to recon
        - base channel size : Number of channels we use in the last con
        - latent dim : Dimensionality of latent representation z
        - act fn : Activation function used throughout the decoder netw
    super().__init__()
    c hid = base channel size
    self.linear = nn.Sequential(
        nn.Linear(latent dim, 2*16*16*c hid),
        act fn()
    self.net = nn.Sequential(
        nn.ConvTranspose2d(2*c hid, 2*c hid, kernel size=3, output padd
        act fn(),
        nn.Conv2d(2*c_hid, 2*c_hid, kernel size=3, padding=1),
        act fn(),
        nn.ConvTranspose2d(2*c hid, c hid, kernel size=3, output paddin
        act fn(),
        nn.Conv2d(c hid, c hid, kernel size=3, padding=1),
        act fn(),
        nn.ConvTranspose2d(c hid, num input channels, kernel size=3, ou
        nn.Tanh() # The input images is scaled between -1 and 1, hence
def forward(self, x):
    x = self.linear(x)
    x = x.reshape(x.shape[0], -1, 16, 16)
    x = self.net(x)
    return x
```

# Encoder Decoder layer stacked to make CNN AutoEncoder

- Loss function -> structural similarity index measure
- Optimiser -> AdaGrad

```
class ConvAutoEncoder(pl.LightningModule):
    def __init__(self,
                base channel size: int,
                latent dim: int,
                encoder_class : object = Encoder,
                decoder class : object = Decoder,
                num_input_channels: int = 3,
                width: int = 125,
                height: int = 125,
                lr: float = 1e-3):
        super().__init__()
        self.lr = lr
        self.save hyperparameters()
        self.encoder = encoder class(num input channels, base channel size,
        self.decoder = decoder class(num input channels, base channel size,
        # Example input array needed for visualizing the graph of the netwo
        self.example_input_array = torch.zeros(2, num_input_channels, width
    def forward(self, x):
        z = self.encoder(x)
```

```
x_hat = self.decoder(z)
                return x_hat
            def _get_reconstruction_loss(self, batch):
                 reconstructed = self.decoder(self.encoder(batch))
                loss = torch.nn.functional.mse loss(batch, reconstructed, reduction
                loss = loss.sum(dim=[1,2,3]).mean(dim=[0])
                return loss
            def configure optimizers(self):
                optimiser = optim.Adam(self.parameters(), lr = self.lr)
                scheduler = optim.lr scheduler.ReduceLROnPlateau(optimizer=optimise
                                                                  mode='min',
                                                                  factor=.2,
                                                                  patience=20,
                                                                  min lr = 5e-5,
                 return {
                         "optimizer": optimiser,
                         "lr scheduler":scheduler,
                         "monitor":"val loss"
                        }
            def training step(self, batch, batc idx):
                loss = self. get reconstruction loss(batch)
                self.log("train loss", loss.item())
                return loss
            def validation step(self, batch, batch idx):
                loss = self. get reconstruction loss(batch)
                self.log("val_loss", loss.item())
                return loss
            def test_step(self, batch, batch_idx):
                loss = self. get reconstruction loss(batch)
                self.log("test_loss", loss.item())
                return loss
In [ ]: class GenerateCallback(pl.Callback):
            def init (self, input imgs, every n epochs=1):
                super(). init ()
                self.input imgs = input imgs # Images to reconstruct during trainin
                self.every_n_epochs = every_n_epochs # Only save those images every
            def on_train_epoch_end(self, trainer, pl_module):
                if trainer.current epoch % self.every n epochs == 0:
                     # Reconstruct images
                     input_imgs = self.input_imgs.to(pl_module.device)
                    with torch.no grad():
                         pl_module.eval()
                         reconst_imgs = pl_module(input_imgs)
                         pl module.train()
                     # Plot and add to tensorboard
                     imgs = torch.stack([input_imgs, reconst_imgs], dim=1).flatten(0)
                     grid = torchvision.utils.make_grid(imgs, nrow=2, normalize=True
                     trainer.logger.experiment.add_image("Reconstructions", grid, gl
In [ ]: | transform = transforms.Compose([
            transforms.Normalize(mean=0.5, std=1),
        data module = QuarkGluonDataModule(dataset, batch size=64) #increasing the
In [ ]: |
        def get_train_images(num):
```

return torch.stack([dataset['train'][i] for i in range(num)])

```
In [ ]: def train QuarkGluon(latent dim,epochs):
            # Create a PyTorch Lightning trainer with the generation callback
            trainer = pl.Trainer(default root dir=os.path.join(CHECKPOINT PATH, f"Q
                                 accelerator="gpu",
                                 devices="auto",
                                 max epochs=epochs,
                                 callbacks=[ModelCheckpoint(save weights only=True)
                                             GenerateCallback(get train images(8), e
                                             LearningRateMonitor("epoch")],
                                enable progress bar=False)
            trainer.logger. log graph = True
                                                     # If True, we plot the computa
            trainer.logger._default_hp_metric = None # Optional logging argument th
            model = ConvAutoEncoder(base_channel_size=64, latent_dim=latent_dim)
            trainer.fit(model, datamodule=data module)
            # Test best model on validation and test set
            val result = trainer.test(model, datamodule=data module, verbose=False)
            test result = trainer.test(model, datamodule=data module, verbose=False
            result = {"test": test_result, "val": val_result}
            return model, result
In [ ]: | model_dict = {}
        for latent dim in [64, 128, 256, 384]:
            model_ld, result_ld = train_QuarkGluon(latent_dim, 1000)
            model dict[latent dim] = {"model": model ld, "result": result ld}
```

```
GPU available: True (cuda), used: True
TPU available: False, using: 0 TPU cores
IPU available: False, using: 0 IPUs
HPU available: False, using: 0 HPUs
Missing logger folder: saved models/QuarkGluon 64/lightning logs
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
 | Name | Type | Params | In sizes | Out sizes
0 | encoder | Encoder | 2.5 M | [2, 3, 125, 125] | [2, 64]
1 | decoder | Decoder | 2.5 M | [2, 64] | [2, 3, 125, 125]
5.0 M Trainable params

Non-trainable params
0
        Non-trainable params
5.0 M Total params
20.170 Total estimated model params size (MB)
`Trainer.fit` stopped: `max epochs=10` reached.
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
GPU available: True (cuda), used: True
TPU available: False, using: 0 TPU cores
IPU available: False, using: 0 IPUs
HPU available: False, using: 0 HPUs
Missing logger folder: saved models/QuarkGluon 128/lightning logs
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
 | Name | Type | Params | In sizes | Out sizes
______
0 | encoder | Encoder | 4.6 M | [2, 3, 125, 125] | [2, 128]
1 | decoder | Decoder | 4.6 M | [2, 128] | [2, 3, 125, 125]
   9.2 M Trainable params0 Non-trainable params
9.2 M Total params36.947 Total estimated model params size (MB)
`Trainer.fit` stopped: `max_epochs=10` reached.
LOCAL_RANK: 0 - CUDA_VISIBLE_DEVICES: [0]
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
GPU available: True (cuda), used: True
TPU available: False, using: 0 TPU cores
IPU available: False, using: 0 IPUs
HPU available: False, using: 0 HPUs
Missing logger folder: saved_models/QuarkGluon_256/lightning_logs
LOCAL_RANK: 0 - CUDA_VISIBLE_DEVICES: [0]
 | Name | Type | Params | In sizes | Out sizes
0 | encoder | Encoder | 8.8 M | [2, 3, 125, 125] | [2, 256]
1 | decoder | Decoder | 8.8 M | [2, 256] | [2, 3, 125, 125]
-----
17.6 M Trainable params
0 Non-trainable params
17.6 M Total params70.502 Total estimated model params size (MB)
`Trainer.fit` stopped: `max epochs=10` reached.
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
GPU available: True (cuda), used: True
TPU available: False, using: 0 TPU cores
IPU available: False, using: 0 IPUs
HPU available: False, using: 0 HPUs
Missing logger folder: saved_models/QuarkGluon_384/lightning_logs
LOCAL RANK: 0 - CUDA VISIBLE DEVICES: [0]
```

```
| Type
  | Name
                      | Params | In sizes
                                            | Out sizes
0 | encoder | Encoder | 13.0 M | [2, 3, 125, 125] | [2, 384]
1 | decoder | Decoder | 13.0 M | [2, 384]
                                                 [2, 3, 125, 125]
         Trainable params
0
         Non-trainable params
26.0 M
         Total params
         Total estimated model params size (MB)
104.057
`Trainer.fit` stopped: `max_epochs=10` reached.
LOCAL_RANK: 0 - CUDA_VISIBLE_DEVICES: [0]
LOCAL_RANK: 0 - CUDA_VISIBLE_DEVICES: [0]
```

```
In []: latent_dims = sorted([k for k in model_dict])
    val_scores = [model_dict[k]["result"]["val"][0]["test_loss"] for k in laten

fig = plt.figure(figsize=(6,4))
    plt.plot(latent_dims, val_scores, '--', color="#000", marker="*", markeredg
    plt.xscale("log")
    plt.xticks(latent_dims, labels=latent_dims)
    plt.title("Reconstruction error over latent dimensionality", fontsize=14)
    plt.xlabel("Latent dimensionality")
    plt.ylabel("Reconstruction error")
    plt.minorticks_off()
    plt.ylim(0,10)
    plt.show()
```

# Reconstruction error over latent dimensionality 8 2 6 64 128 256 384

```
In []: def visualize_reconstructions(model, input_imgs):
    # Reconstruct images
    model.eval()
    with torch.no_grad():
        reconst_imgs = model(input_imgs.to(model.device))
    reconst_imgs = reconst_imgs.cpu()

# Plotting
imgs = torch.stack([input_imgs, reconst_imgs], dim=1).flatten(0,1)
grid = torchvision.utils.make_grid(imgs, nrow=4, normalize=True, range=
grid = grid.permute(1, 2, 0)
plt.figure(figsize=(7,4.5))
```

Latent dimensionality

```
plt.title(f"Reconstructed from {model.hparams.latent_dim} latents")
plt.imshow(grid)
plt.axis('off')
plt.show()

return reconst_imgs
```

```
In [ ]: input_images = get_train_images(4)
    for latent_dim in model_dict:
        recon_images = visualize_reconstructions(model_dict[latent_dim]["model"
```

#### Reconstructed from 64 latents



#### Reconstructed from 128 latents



#### Reconstructed from 256 latents



#### Reconstructed from 384 latents



# Problems with the given approach

1. The sparcity of the data is extremely high i.e. these events tend to have a very small that are none-zero so even-though the loss is low we are not able to effectively recreate the

the original events.

#### Possible solution:

- Rather than using a full 125\*125 image of an event we should create a possible smaller snapshot
  - of the image to decrease the sparsity.
- Increase the number of hidden layers and experiment with changing pooling layers and different
  - activation functions.
- Try different loss functions such as structural similarity index measure to effectively capture

the structural information of the event.