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(1)

## DAA Assignment - 1.

Q1. Sort all the functions below in increasing order of asymptotic (Big-O) growth. If some have the same asymptotic growth, then be sure to indicate that. As usual, lg means base 2.

1.  $5n$  (4)
2.  $4\lg n$  (2)
3.  $4\log\log n$  (1)
4.  $n^4$  (5)
5.  $n^{1/2} \log^4 n$  (3)
6.  $(\lg 5\lg n)^{5\lg n}$  (6)
7.  $\lg^5 n$  (?)
8.  $5^n$  (9)
9.  $4^{n^4}$  (13)
10.  $4^{4^n}$  (14)
11.  $5^{5^n}$  (15)
12.  $5^{5^n}$  (10)
13.  $n^{n^{(1/5)}}$  (8)
14.  $n^{n^{1/4}}$  (12)
15.  $(n/4)^{n/4}$  (11)

$$\Rightarrow 4\lg\lg n < 4\lg n < n^{1/2} \log^4(n) < 5n < n^4 < (\lg n)^{5\lg n} \\ < n^{5^n} < n^{n^{1/4}} < 5^n < 5^{5^n} < (n/4)^{n/4} < \\ n^{n^{1/4}} < 4^n < 4^{4^n} < 5^{5^n}$$

Question 2.

①  $T(n) = 2T\left(\frac{n}{4}\right) + 1$

$$a = 2, b = 4, f(n) = 1$$

$$n^{\log_b a} = n^{\log_4 2} = n^{0.5}$$

$$n^{\log_b a} > f(n) \quad \underline{\text{case 1}}$$
$$T(n) = \Theta(n^{\log_4 2})$$

②  $T(n) = 2T\left(\frac{n}{4}\right) + n^{v_2}$

$$a = 2, b = 4, f(n) = n^{v_2}$$

$$n^{\log_b a} = n^{\log_4 2} = n^{v_2}$$

$$f(n) = n^{\log_b a}$$
$$T(n) = \Theta(n^{\log_4 2} \log n) \quad \underline{\text{case 2}}$$

③  $T(n) = 7T\left(\frac{n}{3}\right) + n^2$

$$a = 7, b = 3, f(n) = n^2$$

$$n^{\log_b a} = n^{\log_3 7} = n^{1.77}$$

$$f(n) > n^{\log_b a}$$
$$T(n) = \Theta(n^2) \quad \underline{\text{case 3}}$$

④  $T(n) = 7T\left(\frac{n}{2}\right) + n^2$

$$a = 7, b = 2, f(n) = n^2$$

$$n^{\log_b a} = n^{\log_2 7} = n^{2.807}$$

$$f(n) < n^{\log_b a}$$

$$T(n) = \Theta(n^{\log_2 7})$$

case 1

(5)  $T(n) = T(n-3) + n^2$

$$T(n) = aT(n/b) + f(n)$$

$\because a \geq 1, b \geq 1$  and  $f(n)$  should be positive.  
Masters theorem cannot be applied here ( $\because b=0$ ).

(6)  $T(n) = 2T(n/2) + \frac{n}{\log n}$

$$a = 2, b = 2, f(n) = \frac{n}{\log n}$$

$$n^{\log_2 2} = n$$

$$f(n) < n^{\log_b a}$$

$$T(n) = \Theta(n^{\log_2 2}) = \Theta(n)$$

case 1

(7)  $T(n) = T(n-2) + \frac{n}{\log n}$

$$T(n) = aT(n/b) + f(n)$$

$\because a \geq 1, b \geq 1$  and  $f(n)$  should be positive,  
Master's theorem cannot be applied in this  
case. ( $\because b=0$ )

Q3. Using substitution method show the recurrence  
eq<sup>n</sup>. for  $T(n) = 2T(n/2) + \Theta(n)$ . Compute the  
upper bound & lower bound separately with  
steps involved.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

$$T(n) < 2T\left(\frac{n}{2}\right) + cn \quad (\text{for some const } c)$$

For upper bound:

guess:  $T(n) \leq dn \log n$  (for some free const. d)

$$T(n) \leq 2T\left(\frac{n}{2}\right) + cn$$

sub<sup>n</sup>

$$= 2d\left(\frac{n}{2}\right)\log\left(\frac{n}{2}\right) + cn$$

$$= dn\log n/2 + cn$$

$$= dn\log n - dn + cn \text{ (desired - residual)}$$

$$\therefore \leq dn\log n$$

$$\text{if } -dn + cn \leq 0$$

$$\boxed{d \geq c}$$

$$\therefore T(n) = O(n\log n)$$

For lower bound:

$$T(n) \geq 2T\left(\frac{n}{2}\right) + cn$$

guess:  $T(n) \geq dn\log n$

$$\text{sub}^n: T(n) \geq 2T\left(\frac{n}{2}\right) + cn$$

$$= 2d\left(\frac{n}{2}\right)\log\left(\frac{n}{2}\right) + cn$$

$$= dn\log n/2 + cn$$

$$= dn\log n - dn + cn \text{ (desired - residual)}$$

$$T(n) \geq dn\log n$$

$$-dn + cn \geq 0$$

$$\boxed{d \leq c}$$

$$\therefore T(n) = \Omega(n\log n)$$

Q4. Write a short note on Merge sort with an example (show the working of merge sort). Write the pseudo-code for recursive & iterative method. Explain briefly the time complexity of Merge sort.

- Merge Sort algorithm follows the divide & conquer approach.

Divide - divide the problem into subproblems.

Conquer - conquer the sub-problems by solving them recursively.

Combine the solutions to subproblems to get the final result.

Eg: Consider an array:

0	1	2	3	4	5	6	7
99	4	500	16	47	3	100	12

- The array is divided into 2 equal sub arrays.  
(divide)

99	4	500	16	47	3	100	12
----	---	-----	----	----	---	-----	----

99	4	500	16	47	3	100	12
↓	↓	↓	↓	↓	↓	↓	↓

combine  
and  
conquer

4	99	16	500	3	47	12	100
---	----	----	-----	---	----	----	-----

4	16	99	500	3	12	47	100
---	----	----	-----	---	----	----	-----

3	4	12	16	47	99	100	500
---	---	----	----	----	----	-----	-----

→ sorted.

## pseudocode for Recursive Merge Sort

→ MERGE\_SORT(A)

if A.length > 1

$$\text{mid} = (\text{A.length}) / 2$$

Divide the array into 2 parts

L = A[: mid] // first part

R = A[mid:] // 2<sup>nd</sup> part.

MERGE SORT(L)

MERGE SORT(R)

i = 0

j = 0

K = 0

while i < L.length & j < R.length

if L[i] < R[j]

A[K] ← L[i]

i++ increment

else

A[K] ← R[j]

j++ increment

K++ increment

while i < L.length

A[K] ← L[i]

i++ increment

K++ increment

while j < R.length

A[K] ← R[j]

j++ increment

K++ increment

pseudocode for Iterative Merge sort.

$\rightarrow \text{MERGE}(A, l, m, r)$

$$n_1 = m - l + 1$$

$$n_2 = r - m$$

Let  $L$  &  $R$  be the 2 new sub arrays.

for  $i = 0$  to  $n_1$

$$L[i] \leftarrow A[l+i]$$

for  $i = 0$  to  $n_2$

$$R[i] \leftarrow A[m+i+1]$$

$$i = 0$$

$$j = 0$$

$$k = 1$$

while  $i < n_1$  &  $j < n_2$

$$\text{if } L[i] > R[j]$$

$$A[k] \leftarrow R[j]$$

$j++$  increment

else

$$A[k] \leftarrow L[i]$$

$i++$  increment

$k++$  increment

while  ~~$i < n_1$~~

$$A[k] \leftarrow L[i]$$

$i++$  increment

$k++$  increment

while  $j < n_2$

$$A[k] \leftarrow R[j]$$

$j++$  increment

$k++$  increment

## MERGE SORT (A)

size = 1

while size &lt; len(A) - 1

left = 0

while left &lt; len(A) - 1

mid = min((left + size - 1), (len(A) - 1))

right = ((2 \* size + left - 1, len(A) - 1))

[2 \* size + left - 1 &gt; len(A) - 1])

MERGE (A, left, mid, right)

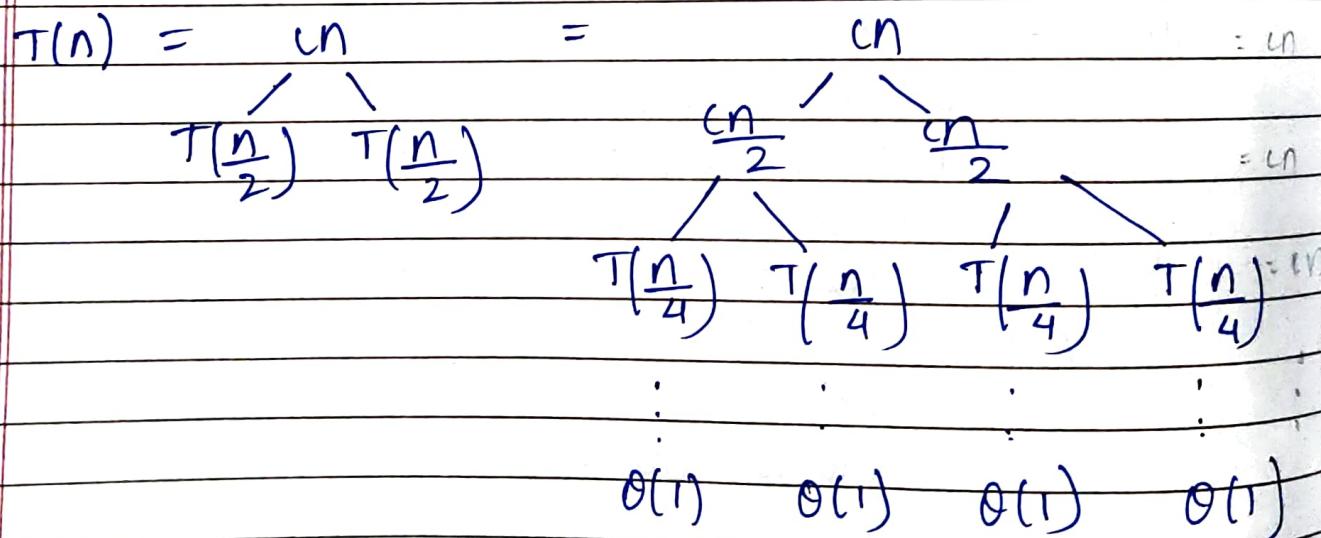
left = left + size \* 2

size = 2 \* size.

 $\Rightarrow$  Analysis of Merge Sort.

$$T(n) = \begin{cases} O(1) & n=1 \\ 2T\left(\frac{n}{2}\right) + O(n) & n > 1 \end{cases}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + cn$$

Best Case -  $\Omega(n \log n)$ Average Case -  $\Theta(n \log n)$ .

Height of the tree =  $\log N$

No. of leaves =  $N$  (length of array)

Time complexity of merge sort,  $T(n) = O(n \log n)$

Q5. Write pseudocode & explain different partition algorithm of quick sort. Explain briefly the time complexity of quick sort.

pseudocode -

① → PARTITION (A, p, r)

$x = A[p]$  // pivot

$i = p + 1$  (index)

for  $j = p + 1$  to  $q$

if  $A[j] \leq x$

then  $i = i + 1$

exchange  $A[i]$  with  $A[j]$

~~exchange  $A[p]$  with  $A[i]$~~

return  $i$  // to get the pivot element position.

② → Hoare partition Algorithm.

HOARE PARTITION (A, p, r)

$x = A[p]$

$i = p - 1$

$j = r + 1$

while TRUE :

repeat

$j = j - 1$

until  $A[j] \leq x$

repeat

$i = i + 1$

until  $A[i] \geq x$

if  $i < j$   
 exchange  $A[i]$  with  $A[j]$   
 else  
 return  $j$

③ → 3 way Partition.

Let  $v$  be the pivot value  
 $A[0] = \text{pivot}$ .

scan from left to right ' $i$ '

if  $A[0:i] < v$   
 exchange  $A[lt]$  with  $A[i]$   
 if increment  $lt$  and  $i$   
 $(lt \rightarrow \text{left side})$

if  $A[i] > v$   
 exchange  $A[gt]$  with  $A[i]$   
 if decrement  ~~$gt$~~   $gt$   
 $(gt \rightarrow \text{right side})$

if  $A[i] = v$   
 then increment  $i$

quick sort ( $A, p, r$ )

if  $p < r$

$q = \text{PARTITION}(A, p, r)$   
 quick sort ( $A, p, q-1$ )  
 quick sort ( $A, q+1, r$ ),

→ consider an array:

7	15	11	4	9	5	3	13
---	----	----	---	---	---	---	----

① first partition method —

i (j) → scans in the right and finds a value less than pivot i.e., 7

7	15	11	4	9	5	3	13
---	----	----	---	---	---	---	----

Pivot = 7  
(x)

x i ^ j

7	4	11	15	9	5	3	13
---	---	----	----	---	---	---	----

(increment of exchange)

7	4	5	15	9	11	3	13
---	---	---	----	---	----	---	----

x i ^ j

7	4	5	3	9	11	15	13
---	---	---	---	---	----	----	----

exchange A[Pivot]  
and A[i] once  
j reaches q.  
(loop terminates)

0	1	2	3	4	5	6	7
---	---	---	---	---	---	---	---

3	4	5	7	9	11	15	13
---	---	---	---	---	----	----	----

less than ← x → greater than

x pivot x

The array gets arranged in such a way that the elements on the left side of the pivot are less than the pivot value and elements on the right side are greater than the pivot value. Thus, the array keeps on dividing in a similar way and then the array gets sorted.

3	4	5	7	9	11	13	15
---	---	---	---	---	----	----	----

## ②. Hoare Partition -

Consider the array -

120	200	20	700	70	350	5	450
-----	-----	----	-----	----	-----	---	-----

pivot = 120

(x)

Consider the first element of the array as pivot pointer i → searches for elements ~~not~~ greater than the pivot value

pointer j → searches for elements less than pivot value

pivot

120	5	20	700	70	350	200	450
-----	---	----	-----	----	-----	-----	-----

exchanging  $A[i]$  with  $A[j]$ .

pivot $\leftarrow$	120	5	20	70	700	350	200	450
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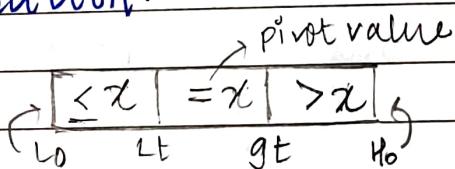
exchange  $A[0]$  with  $A[i]$ .

70	5	20	120	700	350	200	450
----	---	----	-----	-----	-----	-----	-----

The array is arranged in such a way that the elements less than pivot( $x$ ) are on the left while the elements greater than pivot( $x$ ) are on the right. This keeps on repeating until the array gets sorted.

5	20	70	120	200	350	450	700
---	----	----	-----	-----	-----	-----	-----

### (3) 3-way partition.



Consider an array:-

$A =$	R	A	B	U	S	R	R	N	R	F	R	E	X	Z
	↑	↑												
	lt	i												

$(x)$  pivot =  $A[lo] = R$

$A[i] = A$

$x > A[i]$

exchange  $A[i] \leftrightarrow A[lt]$  & increment  $i, lt$

$i$

$A | R | B | U | S | R | R | N | R | F | R | E | X | Z$

$gt$

$pivot(x) > A[i]$

exchange  $A[i] \leftrightarrow A[lt]$  & increment  $i, lt$

lt  
↓  
i

A	B	R	U	S	R	R	N	R	F	R	E	X	Z
---	---	---	---	---	---	---	---	---	---	---	---	---	---

 $x < A[i]$ 

gt

exchange  $A[i] \leftrightarrow A[gt]$ 

and decrement gt.

lt  
↓  
i

A	B	R	Z	S	R	R	N	R	F	R	E	X	U
---	---	---	---	---	---	---	---	---	---	---	---	---	---

 $x < A[i]$ 

gt

exchange  $A[i] \leftrightarrow A[gt]$  and decrement gt.lt  
↓  
i

A	B	R	X	S	R	R	N	R	F	R	E	Z	U
---	---	---	---	---	---	---	---	---	---	---	---	---	---

 $x < A[i]$ 

gt

exchange  $A[i] \leftrightarrow A[gt]$  and decrement gt.lt  
↓  
i

A	B	R	E	S	R	R	N	R	F	R	X	Z	U
---	---	---	---	---	---	---	---	---	---	---	---	---	---

gt

 $x > A[i]$ exchange  $A[i] \leftrightarrow A[lt]$  increment i, lt.lt  
↓  
i

A	B	E	R	S	R	R	N	R	F	R	X	Z	U
---	---	---	---	---	---	---	---	---	---	---	---	---	---

 $x < A[i]$ 

gt

exchange  $A[i] \leftrightarrow A[gt]$  and decrement gt

i

A	B	E	R	R	R	N	R	F	S	X	Z	U
---	---	---	---	---	---	---	---	---	---	---	---	---

lt

gt

 $x = A[i]$ , increment i.

- The above process continues and at some point of time the array is arranged in such a way that the elements less than pivot are on the left and the elements greater than the pivot are on the right side, and then in a similar way the array is sorted.

A	B	E	F	N	R	R	R	R	S	U	X	Z
---	---	---	---	---	---	---	---	---	---	---	---	---

Analysis of Quick Sort.  
(assuming the elements to be distinct).

Worst case -

The worst case behaviour for quick sort occurs when the partitioning routine produces one sub problem with  $(n-1)$  elements & one with 0 elements.

$$\begin{aligned}T(n) &= T(n-1) + T(0) + \Theta(n) \\&= T(n-1) + \Theta(n).\end{aligned}$$

$$\begin{array}{ccccccc}T(n) & = & cn & = & cn & = & cn \\ & & \swarrow \uparrow & & \swarrow \uparrow & & \swarrow \uparrow \\ T(0) & & T(n-1) & & T(0) & & T(0) \\ & & & & \cancel{\Theta(n-1)} & & \cancel{\Theta(n-1)} \\ & & & & \swarrow \uparrow & & \swarrow \uparrow \\ & & & & T(0) & & T(0) \\ & & & & T(n-2) & & T(n-2) \\ & & & & & & \vdots \\ & & & & & & T(0) \\ & & & & & & \vdots \\ & & & & & & \Theta(1)\end{array}$$

Height of tree = N.

$$T(n) = \Theta(n) + \Theta(n^2)$$

$$T(n) = \Theta(n^2).$$

Best case -

The best case behaviour occurs when the partition produces 2 sub-arrays each of size no more than  $n/2$ . In this, the quick sort runs much faster.

The recurrence for the running time is -

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n).$$

$$T(n) = n \log n.$$

Average Case -

$T(n) = \Theta(n \log n)$  for average case running time.  
If the pivot is equally likely to end up.

anywhere in subarray after partitioning, there's a 50% chance of getting a worst or a best case.