

Natural language processing for subjectivity analysis in personal narratives

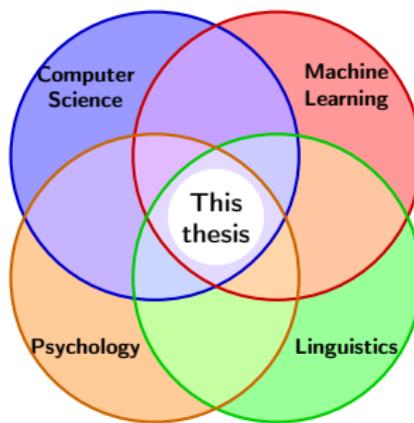
Gustave Cortal

Thesis director: Alain Finkel
Co-advisors: Patrick Paroubek and Lina Ye



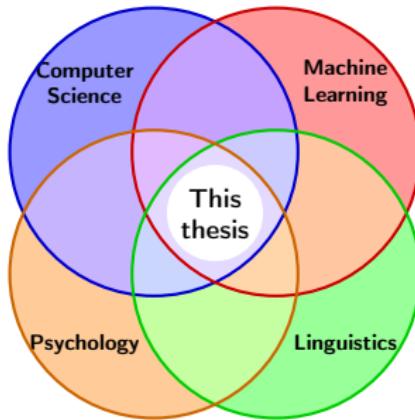
Introduction

Context



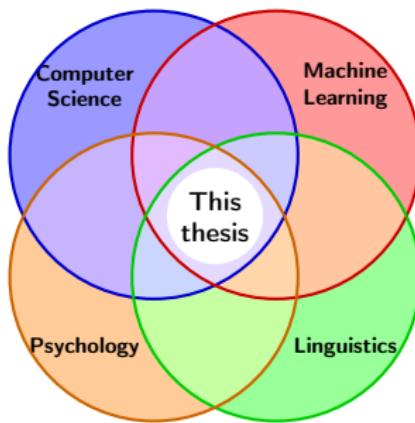
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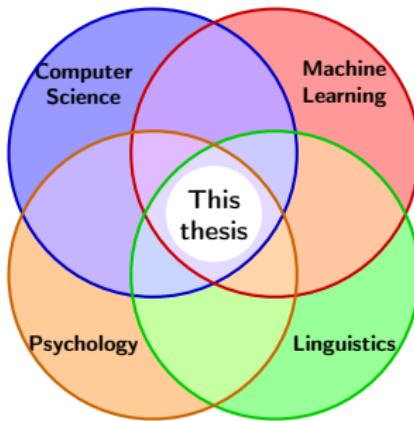
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- ▶ We build on an existing subfield: sentiment and emotion analysis
- ▶ We study subjectivity (e.g., first-person perspective, meaning-making processes, and experiential content)
- ▶ We focus on personal narratives (emotional narratives, dream reports)

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2 international conferences (EMNLP, LREC-COLING); 3 international workshops; 2 national conferences and journals (TALN)

Definition of objectives using cognitive science

G. Cortal and C. Bonard. [Improving Language Models for Emotion Analysis: Insights from Cognitive Science](#). CMCL @ ACL 2024.

Psychological theories and emotion annotation schemes

Psychological theories	In text, emotion is...	Example
Basic emotions theory	a <i>category</i>	"I love philosophy." → joy

Darwin (1872), Tomkins (1962), Ekman (1999), and Plutchik (2001)
Demszky et al. (2020) and Bostan and Klinger (2018)

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Constructivist theories	a continuous value with an <i>affective meaning</i>	"His voice soothes me." → valence (4/5), arousal (1/5)
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Schachter and Singer (1962) and Russell and Barrett (1999) Buechel and Hahn (2017)		
Appraisal theory	a continuous value with a <i>cognitive meaning</i>	"I received a surprise gift." → sudden (4/5), control (0/5)
Arnold (1960) and Lazarus (1991) Hofmann et al. (2020), Troiano, Oberländer, and Klinger (2022), and Zhan, Ong, and J. J. Li (2023)		

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	composed of <i>semantic roles</i>	"Louise (experiencer) was angry (cue) towards Paul (target), because he didn't inform her (cause)."

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	composed of <i>semantic roles</i>	"Louise (experiencer) was angry (cue) towards Paul (target), because he didn't inform her (cause)."

Similar to aspect-based sentiment analysis (Zhang, X. Li, et al., 2022): "The battery life is *amazing* (+), but its camera quality is *disappointing* (-)."

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- ▶ Emotion verbalization is underexplored
(Micheli, 2013b; Etienne, Battistelli, and Lecorv , 2022)
- ▶ Benchmarks evaluate certain aspects of emotional understanding but do not consider its full complexity
(Campagnano, Conia, and Navigli, 2022; Zhang, Deng, et al., 2023; Paech, 2024)

Linguistic and cognitive science theories

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→ [add refs] (Etienne, Battistelli, and Lecorv , 2022; Dragos et al., 2022)

How to integrate psychological theories of emotion?

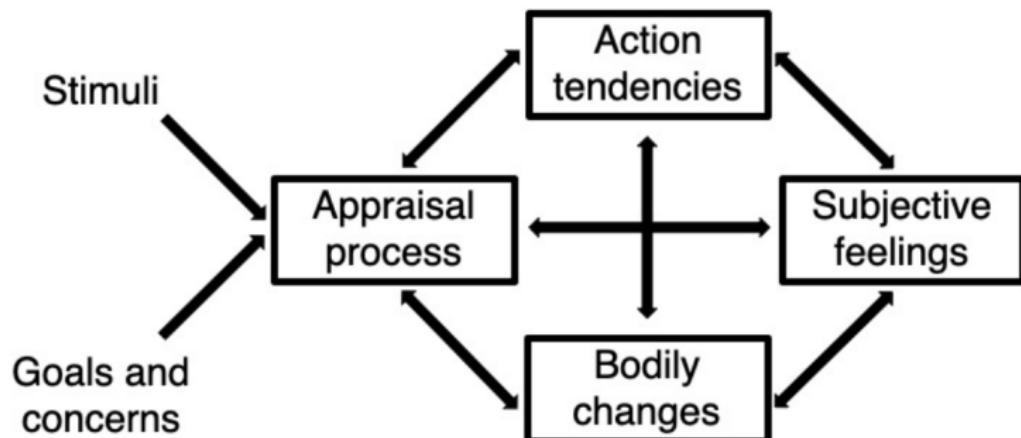


Figure: The integrated framework for emotion theories (Scherer, 2022b).

Rectangles represent the components constituting an emotional episode, and arrows represent causation.

→ We use this framework to construct an emotion dataset

Construction of an emotion dataset

G. Cortal, A. Finkel, P. Paroubek, L. Ye. [Emotion Recognition based on Psychological Components in Guided Narratives for Emotion Regulation.](#)
SIGHUM @ EACL 2023.

French emotional narratives based on components

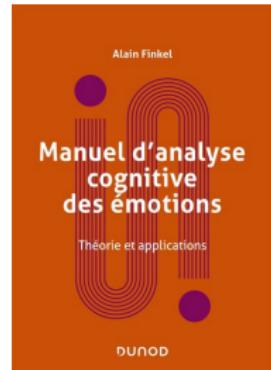
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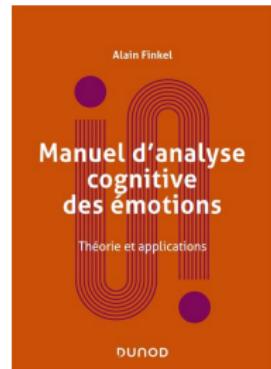
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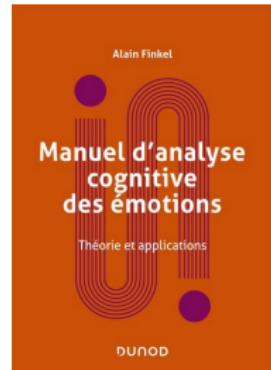
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- ▶ uses emotion components to reorganize the narrative of experienced events
- ▶ helps individuals better regulate their emotions



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→ We structure emotional narratives according to behaviors, thoughts, physical feelings, and appraisals

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Component	Answer
Behavior	I'm giving a lecture on a Friday morning at 8:30. A student goes out and comes back a few moments later with a coffee in his hand.
Feeling	My heart is beating fast, and I freeze, waiting to know how to act.
Thinking	I think this student is disrupting my class.
Territory	The student attacks my ability to be respected in class.

Chosen emotion: anger (possible choices: anger, fear, joy, sadness)

Training language models for emotion analysis

G. Cortal, A. Finkel, P. Paroubek, L. Ye. [Emotion Recognition based on Psychological Components in Guided Narratives for Emotion Regulation](#). *SIGHUM @ EACL 2023*

G. Cortal. [Sequence-to-Sequence Language Models for Character and Emotion Detection in Dream Narratives](#). *LREC-COLING 2024*

Discrete emotion detection based on components

Component	Logistic Regression			CamemBERT		
	Precision	Recall	F_1	Precision	Recall	F_1
All	71.2 (2.6)	69.1 (2.2)	67.8 (2.3)	85.1	84.8	84.7

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Without behavior	77.4 (2.3)	75.8 (2.4)	74.5 (2.6)	80.3	79.8	79.7
Without feeling	64.3 (1.9)	61.5 (1.2)	61.3 (2.2)	81.6	79.8	79.9
Without thinking	70.9 (1.8)	69.1 (2.0)	68.3 (2.2)	79.6	78.5	78.7
Without territory	64.3 (4.1)	64.5 (2.4)	62.3 (2.8)	78.7	78.5	78.6

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Without territory	64.3 (4.1)	64.5 (2.4)	62.3 (2.8)	78.7	78.5	78.6
Only behavior	52.1 (3.5)	54.6 (2.9)	51.7 (2.9)	68.4	67.1	66.6
Only feeling	69.6 (1.5)	68.9 (2.1)	68.4 (2.0)	67.8	68.4	67.7
Only thinking	50.1 (3.4)	53.8 (2.3)	50.6 (2.7)	70.5	70.1	70.1
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- Each component improves prediction performance, the best results are achieved by jointly considering all components
- Some components benefit from contextual understanding and world knowledge (e.g., behavior and thinking)

Quantitative analysis of dream narratives

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How to automate the annotation process using language models?

Hall and Van de Castle annotation scheme

DreamBank contains 27,000 narratives, only 1823 annotated with the Hall and Van de Castle (HVdC) scheme

(Domhoff and Schneider, 2008; Flanagan, 1966)

Series: Girls (tutorial) Number: 0039

CHAR.	AGGRESSION		FRIENDLINESS		SEXUALITY	SET.	OBJ.	
2MUT	1MUT 3> 1FKT		D 5= 1MUT			OU	[not coded]	
1MUT	D 2= 1MUT							
1FKT	ACTIVITIES					MOD.		
	[not coded]					[not coded]		
	FAILURE	SUCCESS	MISFORTUNE	GOOD FORT.	EMOTIONS			
					AP, D			

#0039

It was my birthday and I was having a party but in a place I've never been before. It was in a forest type area. All I remember is that at the same time I had two boyfriends. Only one was at my party, though he had just broken up with my best friend so I kinda felt uncomfortable being with him. We had got in an argument so he left. I don't quite remember how but we did make up but I don't remember when or why even got in the argument. I woke up when I heard the telephone ringing. (103 words)

Figure: Example of an annotated dream narrative with HVdC.

Existing research on computational dream analysis

Lexical-based approaches associate text spans with specific categories (e.g., type of interactions) (Miller, 1994; Fogli, Aiello, and Quercia, 2020)

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McNamara et al. (2019) and Yu (2022) combine the lexical-based and distributional semantic-based approaches with machine learning

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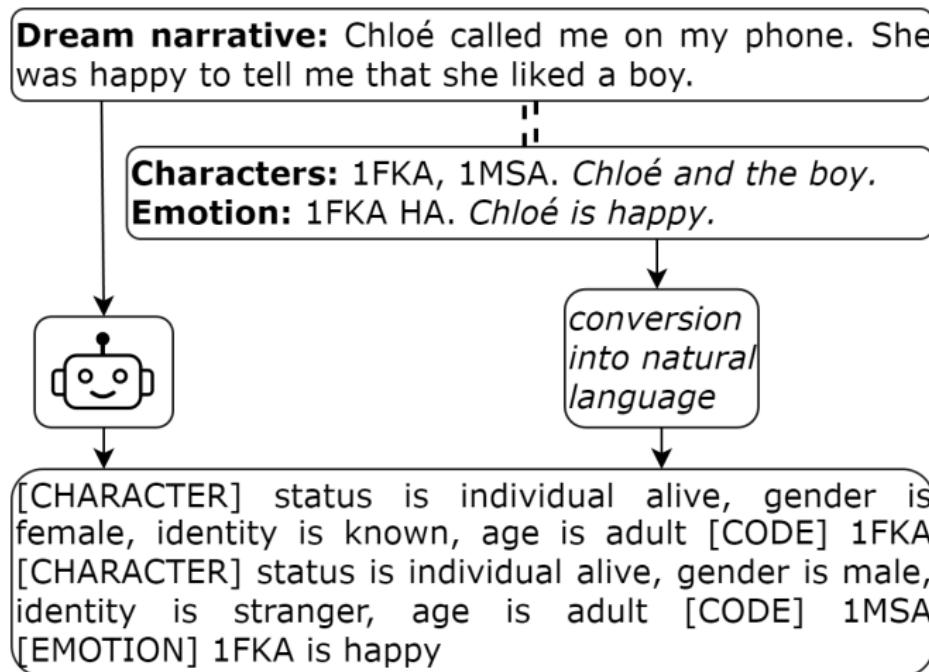
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→ We address this by identifying characters and their emotions with transformer-based sequence-to-sequence models

Character and emotion detection in dream narratives

[add seq2seq architecture illustration, add list of characters and emotions]



Results

Baseline is LaMini-Flan-T5 finetuned on 1823 dream narratives

Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13

Table: Character and emotion detection. ** ($p < 0.01$), * ($p < 0.05$).

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No _{semantics}	71.37	56.54*	61.0	90.51	41.79*	75.79
No _{names}	80.66*	74.32**	74.2	83.95*	60.93**	73.04*

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Size _{large}	84.51*	80.3**	78.63**	87.29	67.63**	74.71
First _{group}	82.33	77.71	74.86	85.61	63.71	71.94
First _{individual}	80.59**	76.14	74.22*	83.87**	62.67	67.32
First _{emotion}	83.92	78.74	77.06	87.63	64.97	72.03

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First _{emotion}	83.92	78.74	77.06	87.63	64.97	72.03
Conversion _{comma}	84.02**	79.84**	77.67**	87.08*	66.69**	73.68
Conversion _{marker}	82.39	78.45	76.53	86.09	65.44	74.36

Table: Character and emotion detection. ** ($p < 0.01$), * ($p < 0.05$).

Results

Baseline is LaMini-Flan-T5 finetuned on 1823 dream narratives

Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13
No _{semantics}	71.37	56.54*	61.0	90.51	41.79*	75.79
No _{names}	80.66*	74.32**	74.2	83.95*	60.93**	73.04*
Size _{small}	78.35**	72.13**	70.25**	81.66**	56.79**	70.15**
Size _{large}	84.51*	80.3**	78.63**	87.29	67.63**	74.71
First _{group}	82.33	77.71	74.86	85.61	63.71	71.94
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Table: Character and emotion detection. ** ($p < 0.01$), * ($p < 0.05$).

→ Our models can address this task; there is room for improvement

Case study on the war veteran

Group	Category	% Vet	% Total	Δ
Identity	known*	24.9	51.6	-26.7
	prominent	1.9	2.5	-0.6
	occupational*	22.4	8.0	14.4
	ethnic*	4.1	0.9	3.1
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Gender	male*	56.2	43.0	13.1
	female*	24.1	33.1	-9.0
	joint	10.9	12.2	-1.3
	undefined	7.9	8.7	-0.9

Table: Identity and gender proportions for the veteran (n=566 narratives) versus other dreamers. Δ shows the difference in percentage points. * ($p < 0.05$).

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Table: Identity and gender proportions for the veteran (n=566 narratives)
versus other dreamers. Δ shows the difference in percentage points. * ($p < 0.05$).

→ The veteran dreams more about *occupational*, *ethnic*, and *unknown* identities compared to other dreamers

Formalization of style in personal narratives

G. Cortal and A. Finkel. Formalizing Style in Personal Narratives. EMNLP 2025.

Introduction

Scholarly work has examined personal modes of reasoning and expression
(Husserl, 2012; Hadamard, 1945; Dilts, 1994; Granger, 1968)

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Style is central to how authors express themselves: stylistics (Wales, 2014),
stylometry (Neal et al., 2017)

→ They provide operational tools to capture linguistic form, but do not
focus on how such forms encode subjective experience

Introduction

Limitation: A formalization of style that captures how subjective experience is linguistically communicated is lacking

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- Our approach complements existing works by providing a formal framework grounded in systemic functional linguistics
- We aim to create a accessible framework that researchers can build upon in future studies

How to give an operational definition of style?

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2. A methodology for identifying patterns using sequence analysis
3. A case study on dream narratives

What linguistic features encode subjective experience?

We ground our framework in *systemic functional linguistics* (Halliday et al., 2014)

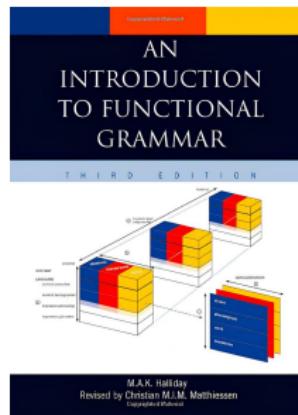


Figure: Halliday et al. (2014). +57,000 citations.

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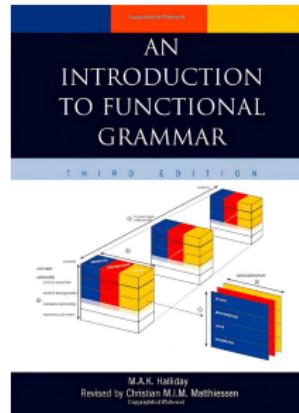


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Language achieves three functions: interpersonal (language builds social relationships), textual (information is organized to create coherent messages), and *ideational* (language represents experience)

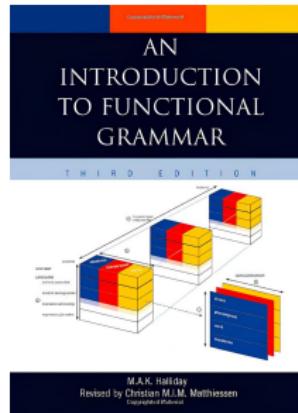


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What linguistic features encode subjective experience?

Processes	Examples
Action: actions and events in the physical world.	He _{Actor} takes _{Action} the valuable _{Affected} I _{Actor} give _{Action} her _{Recipient} a chance _{Range}
Mental: internal experiences such as thoughts, perceptions, and feelings.	The moon _{Senser} sees _{Mental} the earth _{Phenomenon} He _{Senser} disliked _{Mental} Gilbert's writing _{Phenomenon}
Verbal: acts of communication.	David _{Sayer} said _{Verbal} "the corrupt, [...]" _{Verbiage}
State: states of being, having, or existence.	John _{Carrier} is _{State} an interesting teacher _{Attribute} Chloé _{Possessor} has _{State} a cat _{Possessed}

Table: According to *systemic functional linguistics*, language represents experience through **processes** and **participants**.

Methodology for our sequence-based framework

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Clause	Process (symbol)	Participants
I wake in a dark room	Action (a)	Actor
I feel a cold wind	Mental (m)	Senser, Phenomenon
I tell myself to move	Verbal (v)	Sayer, Recipient
Sequence: <i>amv</i> Substrings: {am, mv}		

Substrings are contiguous sequences of symbols within a sequence

Results on the war veteran

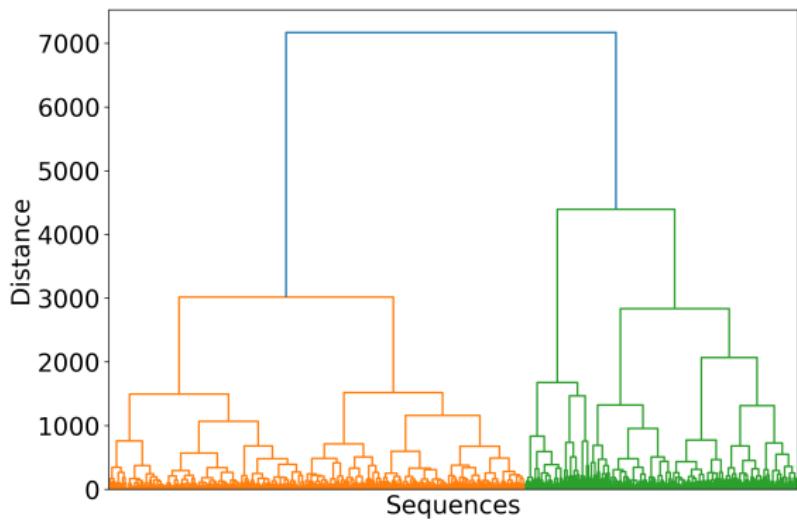


Figure: Dendrogram with Ward linkage and cosine similarity

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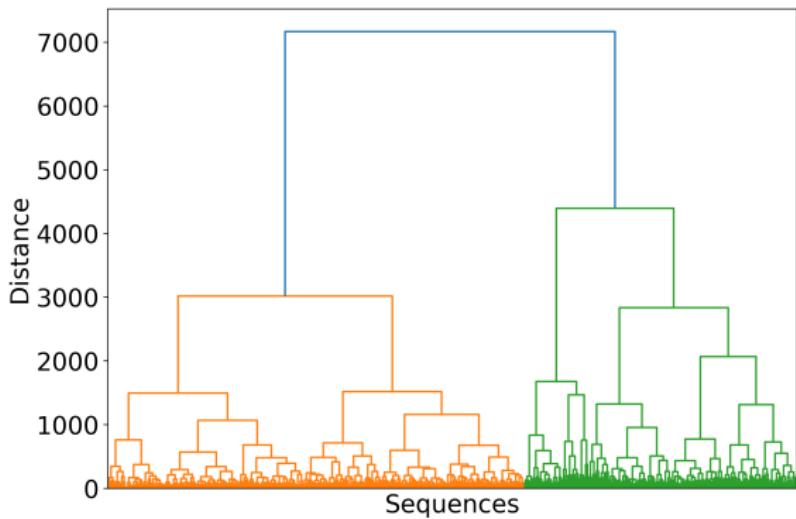


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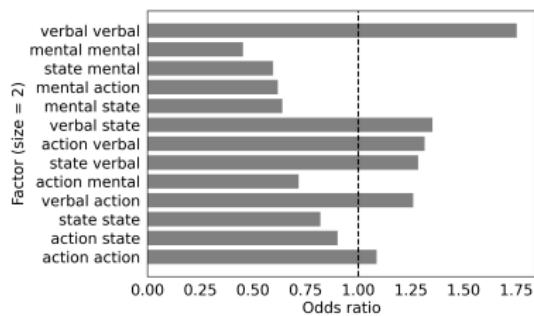
Representative sequences: *savamasasaaaamaaaasavvvaaaaaaavssaaaaa* and
ssssavaavssvsavvvvsmasasaasasaamaamvmsss with
 a = action, m = mental, s = state, v = verbal

Results on the war veteran

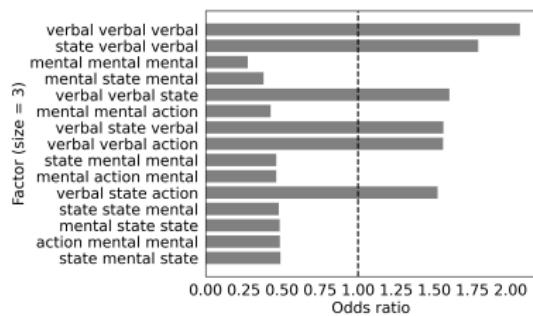
We compare the proportion of sequences containing a given substring

Results on the war veteran

We compare the proportion of sequences containing a given substring



(a) Size 2.

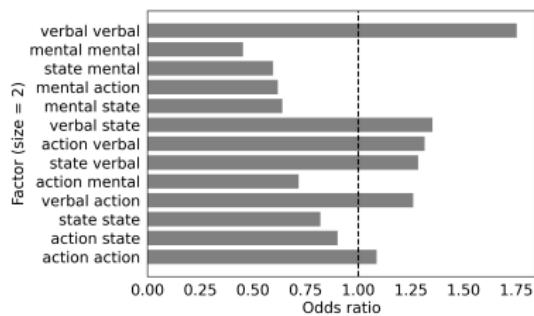


(b) Size 3.

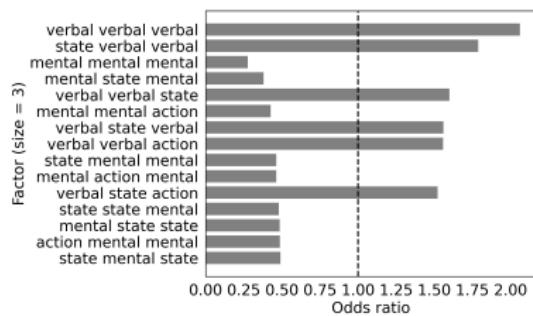
Figure: Top substring odds ratio between the veteran and the norm

Results on the war veteran

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(a) Size 2.



(b) Size 3.

Figure: Top substring odds ratio between the veteran and the norm

→ The veteran favors verbal processes over mental ones

How can this framework be extended?

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Conclusion and perspectives

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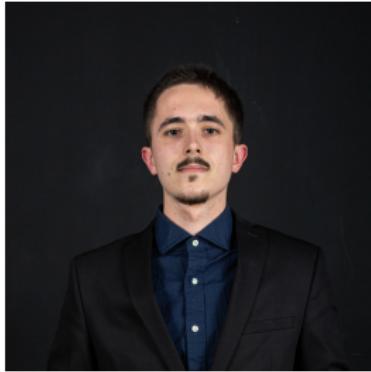
My research models are publicly hosted on Hugging Face and were trained using the Jean Zay supercomputer

Impact

Impact: Ongoing PhD thesis related to my works



(a) A. Haddou on cognitive distortions
(2025, ENS Paris-Saclay).



(b) R. Faure on style analysis
(2025, ENS Paris-Saclay).



(c) N. Richet on multimodal emotion
(2024, ETS Montréal).

Impact: NLP for psychiatry (industry)

6-month PhD internship at Callyope on *NLP for quantifying memory, future thinking, and the self in mental health narratives*



Automatic thematic analysis in mental health narratives using language models

G. Cortal, S. Guessoum, X. Cao, R. Riad. *Fine-grained mental health topic modeling in different cohorts using large language models* (preprint). 2025.

Introduction

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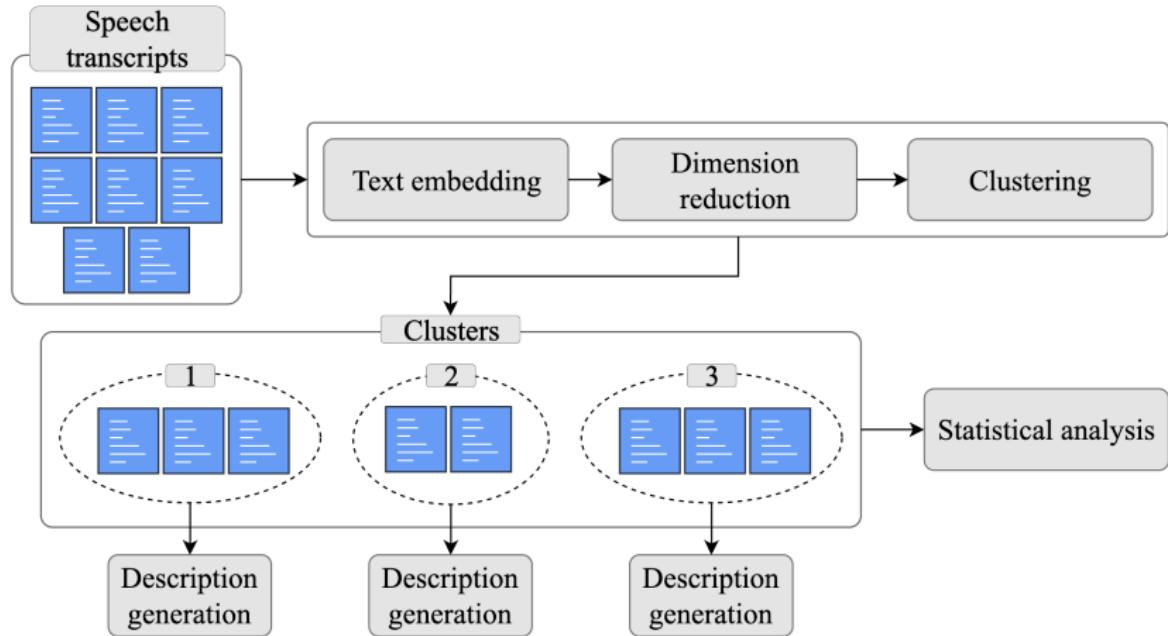
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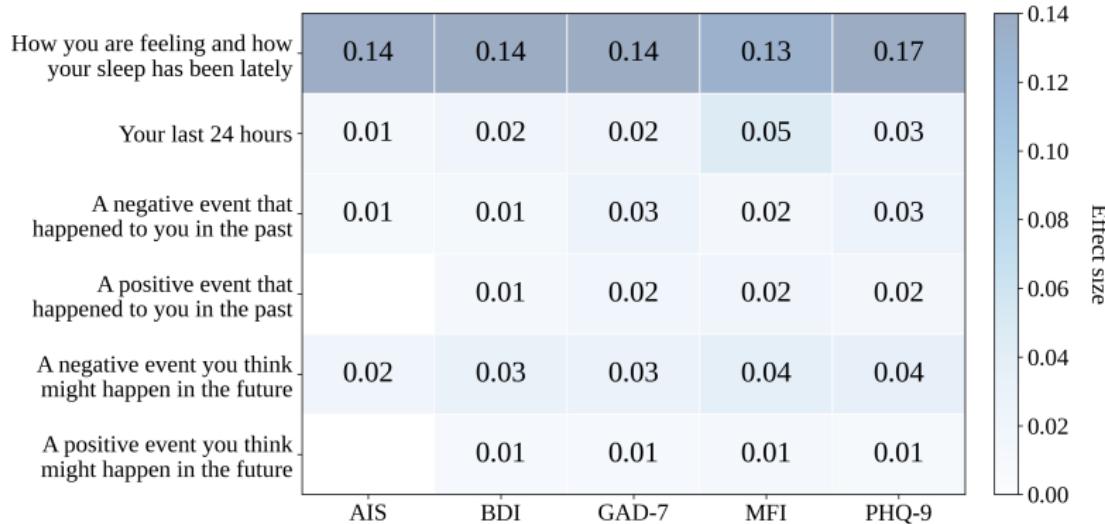
Introduction

- ▶ Qualitative analysis of speech content is central to clinical practice
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- ▶ Computational approaches offers time savings, can analyze a larger amount of data

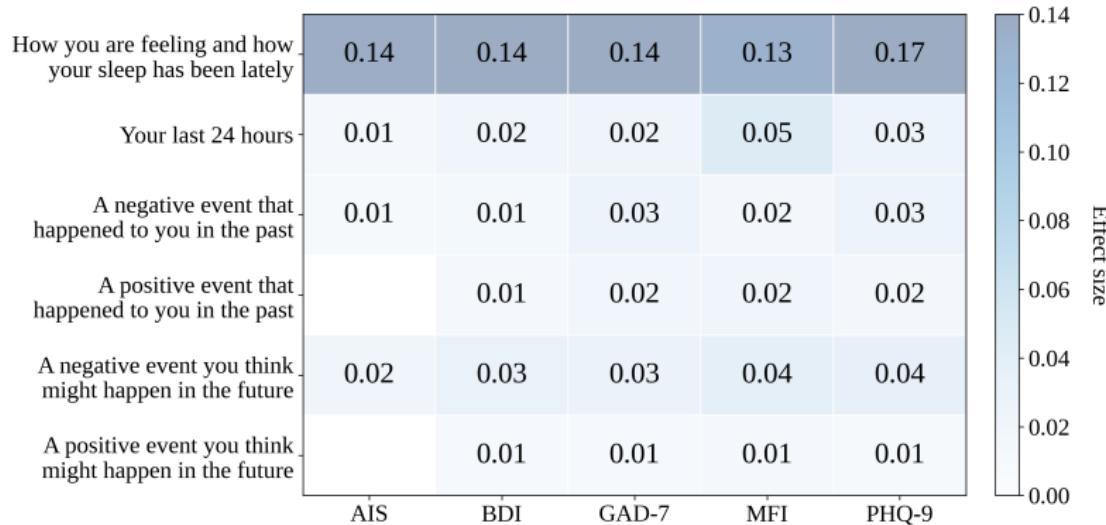
Semantic clustering and description generation



Effect size across questions and clinical scores

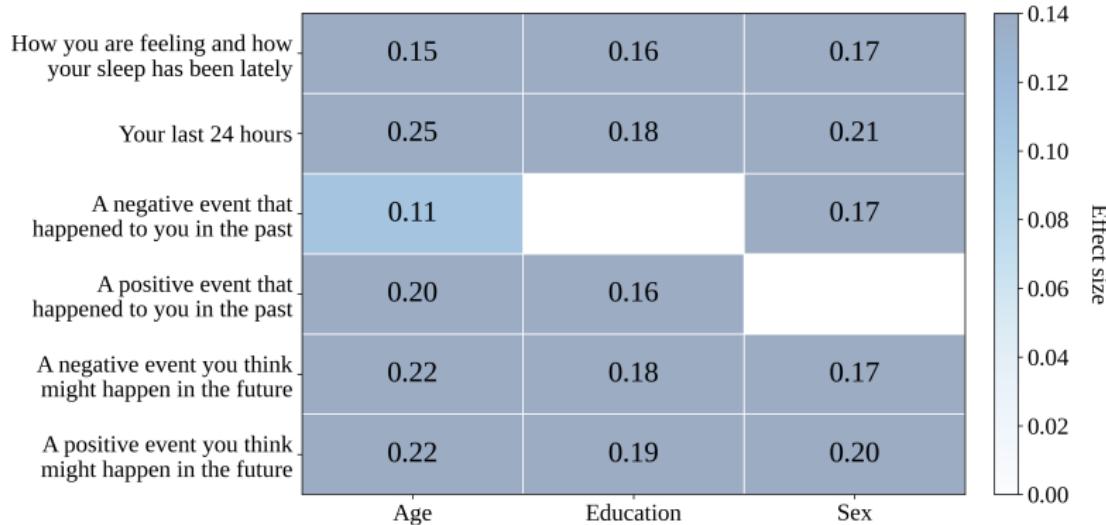


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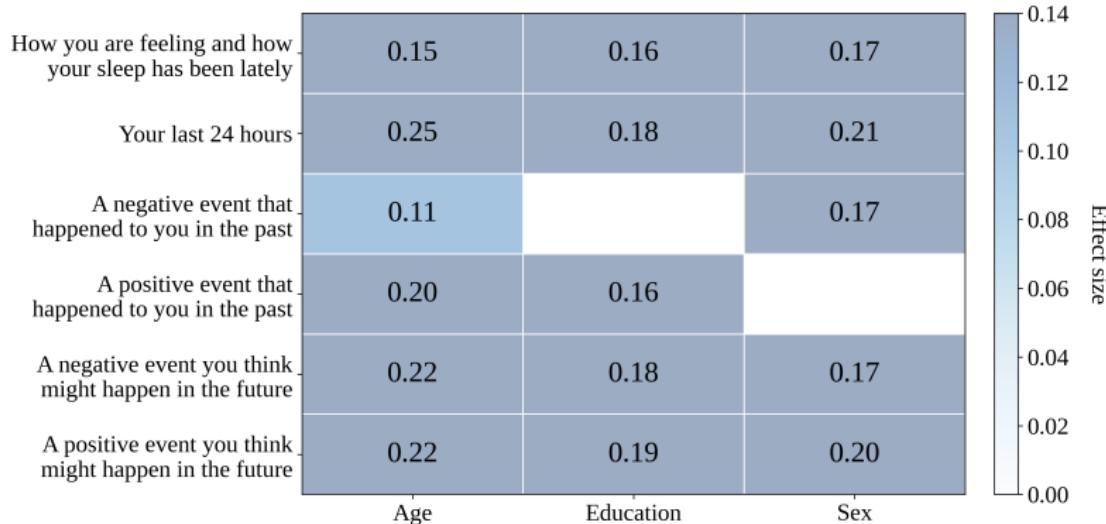


→ Certain questions better discriminate clinical scores

Effect size across questions and sociodemographics



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→ Nearly all questions discriminate sociodemographics

Perspectives

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- ▶ **Emotion analysis for mental health:** empathic support (Sharma et al., 2023), cognitive distortions, theory of mind (Zhou et al., 2023; Ma et al., 2023; H. Kim et al., 2023; Gandhi et al., 2023)

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- ▶ **Post-training for psychology** (preferences and reasoning data) [add refs]

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- ▶ Definition of objectives and scope using cognitive science
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- ▶ Training of language models for emotion analysis
- ▶ Formalization of style in personal narratives

Selected research papers

Constant Bonard and Gustave Cortal (2024). "Improving Language Models for Emotion Analysis: Insights from Cognitive Science". In: *Proceedings of the Workshop on Cognitive Modeling and Computational Linguistics*. Ed. by Tatsuki Kurabayashi et al. Bangkok, Thailand: Association for Computational Linguistics, pp. 264–277. DOI: [10.18653/v1/2024.cmcl-1.23](https://doi.org/10.18653/v1/2024.cmcl-1.23)

Gustave Cortal, Alain Finkel, et al. (2023). "Emotion Recognition Based on Psychological Components in Guided Narratives for Emotion Regulation". In: *Proceedings of the 7th Joint SIGHUM Workshop on Computational Linguistics for Cultural Heritage, Social Sciences, Humanities and Literature*. Ed. by Stefania Degaetano-Ortlieb et al. Dubrovnik, Croatia: Association for Computational Linguistics, pp. 72–81. DOI: [10.18653/v1/2023.latechclf1-1.8](https://doi.org/10.18653/v1/2023.latechclf1-1.8)

Gustave Cortal (2024). "Sequence-to-Sequence Language Models for Character and Emotion Detection in Dream Narratives". In: *Proceedings of the 2024 Joint International Conference on Computational Linguistics, Language Resources and Evaluation (LREC-COLING 2024)*. Ed. by Nicoletta Calzolari et al. Torino, Italia: ELRA and ICCL, pp. 14717–14728

Gustave Cortal and Alain Finkel (2025). "Formalizing Style in Personal Narratives". In: *Proceedings of the 2025 Conference on Empirical Methods in Natural Language Processing*. Ed. by Christos Christodoulopoulos et al. Suzhou, China: Association for Computational Linguistics, pp. 7322–7337. ISBN: 979-8-89176-332-6

Appendix

What are the psychological mechanisms used to infer what is communicated?

A *code* is a pre-established pairing between stimuli and sets of information

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The Morse code is a pairing between <combination of short and long signals> and [letters]

The formal semantics of a language is made of syntactical and lexical rules that pairs <strings of words> with [sentential meanings]

What are the psychological mechanisms used to infer what is communicated?

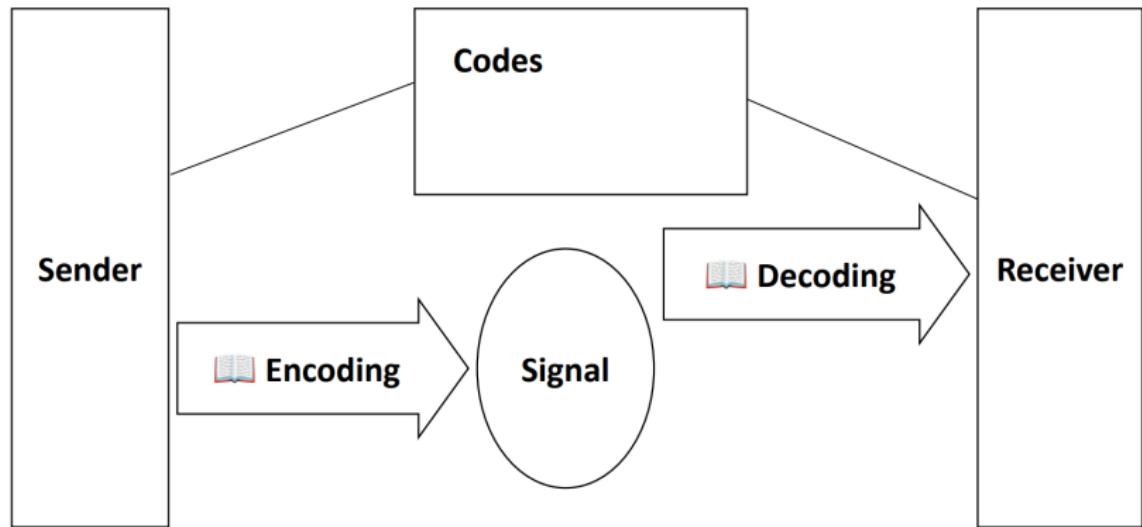


Figure: Dictionary analysis in cognitive pragmatics.

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- We rely on other sources of evidence to infer what is communicated

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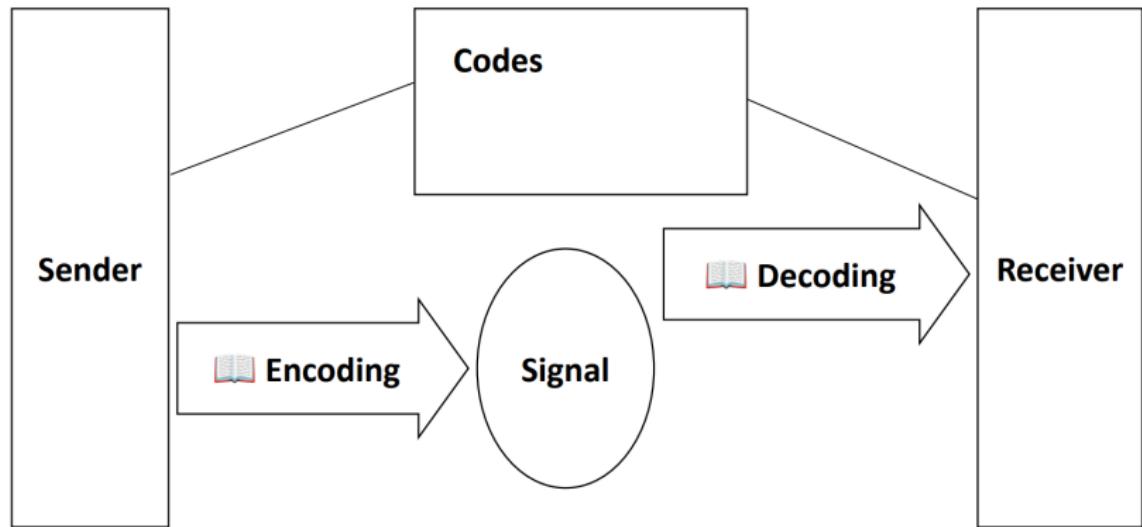


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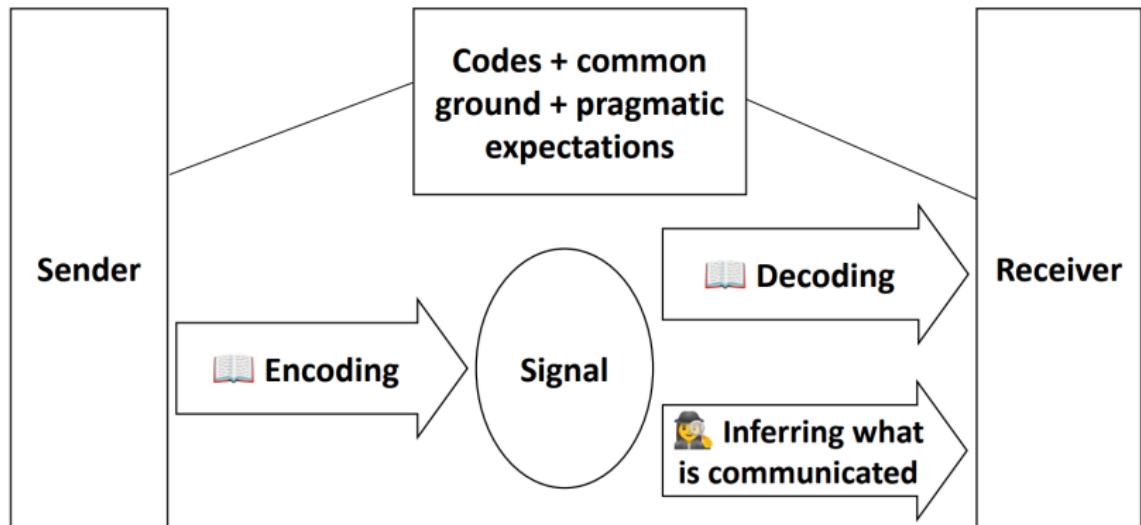


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Component classification in emotional narratives

Model	Precision	Recall	F_1
Logistic Regression	84.9 (0.3)	84.3 (0.3)	84.4 (0.3)
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Table: Scores (\pm std) for emotion component classification.

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→ Models can be used to automatically classify unstructured narratives

Results

StableBeluga_i is a 7B model with in-context learning using i examples

Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13
StableBeluga ₁	43.95**	39.76**	31.25**	56.16**	15.65**	-
StableBeluga ₃	52.44**	46.49**	38.46**	63.88**	21.06**	-
StableBeluga ₅	55.89**	46.29**	42.61**	63.73**	24.86**	-

Table: F_1 -scores for character and emotion detection. Significant differences from baseline: ** ($p < 0.01$), * ($p < 0.05$).

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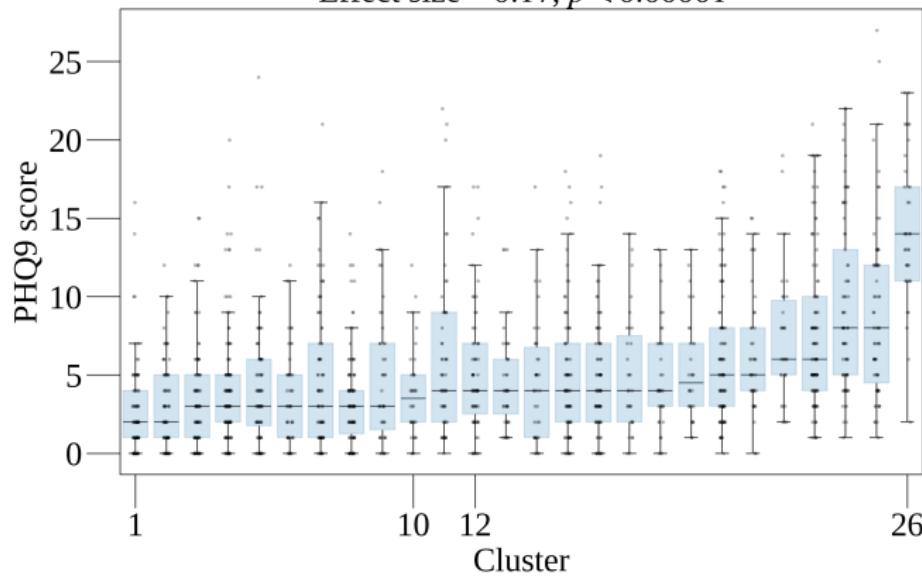
Table: F_1 -scores for character and emotion detection. Significant differences from baseline: ** ($p < 0.01$), * ($p < 0.05$).

→ Compared to StableBeluga, our supervised models perform better while having 28 times fewer parameters (248M vs. 7B)

Distribution of depression scores across clusters

How you are feeling and how your sleep has been lately

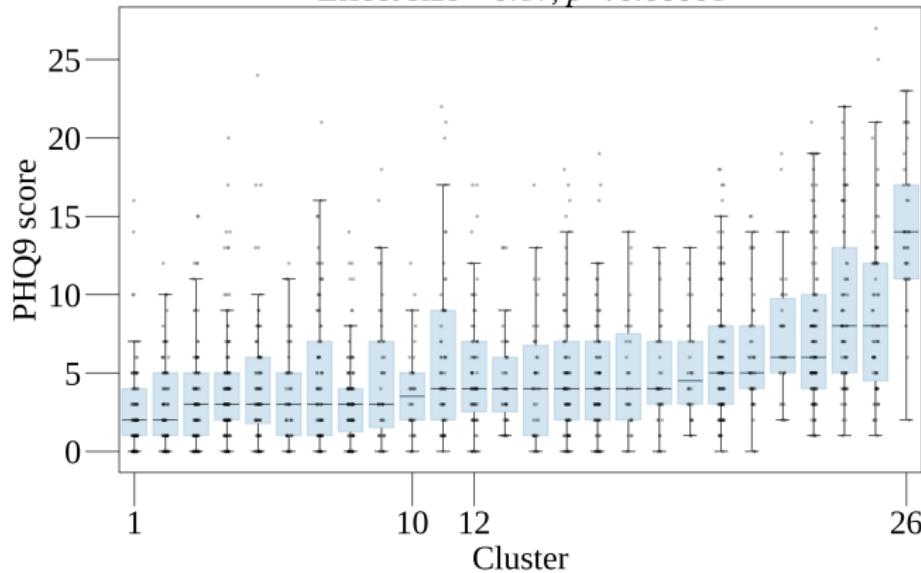
Effect size = 0.17, $p < 0.00001$



Distribution of depression scores across clusters

How you are feeling and how your sleep has been lately

Effect size = 0.17, $p < 0.00001$



→ Depression scores vary significantly: cluster 26 highest (13.4 ± 5.4), cluster 1 lowest (2.6 ± 2.2)

Generated cluster descriptions

Cluster 1 description: The individuals express consistent satisfaction with their current well-being, emphasizing good sleep quality, restful or pleasant nights, and a general sense of relaxation, even when noting variations in sleep duration or occasional fatigue. (age=39±19, n=92)

Cluster 10 description: The individuals express frequent nighttime urinary interruptions disrupting sleep, often attributed to age-related conditions like prostate issues or overactive bladder, alongside mixed reports of physical well-being, mental resilience, and lifestyle factors such as retirement or exercise influencing their overall health and sleep patterns. (age=69±15, n=34)

Cluster 12 description: The individuals express stress related to academic exams, significant life decisions, and workloads, alongside sleep disturbances caused by lifestyle changes, increased responsibilities, or environmental adjustments, while some also highlight temporary relief from pressures through personal achievements or upcoming positive events. (age=24±9, n=67)

Cluster 26 description: The individuals express sleep disturbances characterized by insomnia, frequent awakenings, and restless sleep, alongside pervasive anxiety, emotional instability, and self-esteem issues, which collectively contribute to persistent fatigue, impaired daily functioning, and a diminished sense of well-being. (age=25±9, n=37)

Generated cluster descriptions

Cluster 1 description: The individuals express consistent satisfaction with their current well-being, emphasizing good sleep quality, restful or pleasant nights, and a general sense of relaxation, even when noting variations in sleep duration or occasional fatigue. (age=39±19, n=92)

Cluster 10 description: The individuals express frequent nighttime urinary interruptions disrupting sleep, often attributed to age-related conditions like prostate issues or overactive bladder, alongside mixed reports of physical well-being, mental resilience, and lifestyle factors such as retirement or exercise influencing their overall health and sleep patterns. (age=69±15, n=34)

Cluster 12 description: The individuals express stress related to academic exams, significant life decisions, and workloads, alongside sleep disturbances caused by lifestyle changes, increased responsibilities, or environmental adjustments, while some also highlight temporary relief from pressures through personal achievements or upcoming positive events. (age=24±9, n=67)

Cluster 26 description: The individuals express sleep disturbances characterized by insomnia, frequent awakenings, and restless sleep, alongside pervasive anxiety, emotional instability, and self-esteem issues, which collectively contribute to persistent fatigue, impaired daily functioning, and a diminished sense of well-being. (age=25±9, n=37)

→ Clustering captures symptom severity and age-related circumstances

Demographics

	General Population n=1809	Androids n=116	MODMA n=52	VOCES n=90
Demographics				
Language	French	Italian	Chinese	Spanish
Age	***	n.s.	n.s.	***
Mean (SD)	37.8 (18.2)	37.4 (12.0)	31.3 (9.2)	38.6 (14.9)
Range	18–91	19–71	18–52	21–76
Sex, n (%)	n.s.	n.s.	n.s.	n.s.
Female	1187 (66.2)	84 (72.4)	16 (30.8)	39 (43.3)
Male	595 (33.2)	32 (27.6)	36 (69.2)	48 (53.3)
Other	11 (0.6)	0 (0.0)	0 (0.0)	3 (3.3)
Education, n (%)	n.s.	n.s.	n.s.	n.s.
No diploma	52 (2.9)	11 (9.5)	7 (13.5)	-
Secondary	291 (16.2)	37 (31.9)	8 (15.4)	-
Higher short	213 (11.9)	52 (44.8)	0 (0.0)	-
Higher long	1236 (69.0)	16 (13.8)	37 (71.2)	-

Clinical evaluation

	General Population n=1809	Androids n=116	MODMA n=52	VOCES n=90
C-SSRS	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
Suicidal risk, n (%)	-	-	-	60 (66.7)
No suicidal risk, n (%)	-	-	-	30 (33.3)
MADRS / MDD	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
Depression, n (%)	-	64 (55.2)	23 (44.2)	-
No depression, n (%)	-	52 (44.8)	29 (55.8)	-
PHQ-9	<i>n.s.</i>	<i>n.s.</i>	***	***
Mean (SD)	5.2 (4.6)	-	9.4 (8.5)	10.5 (6.8)
Range	0–27	-	0–25	0.0–26.0

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