

# Natural language processing for subjectivity analysis in personal narratives

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Thesis director: Alain Finkel

Co-advisors: Patrick Paroubek and Lina Ye



Laboratoire  
Méthodes  
Formelles

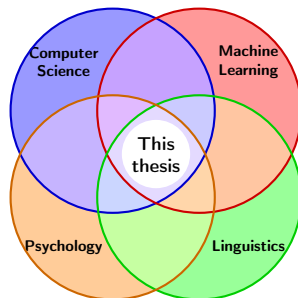
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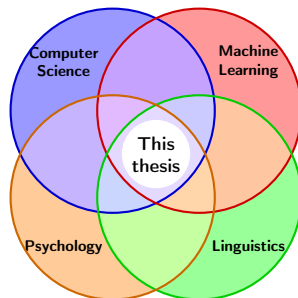
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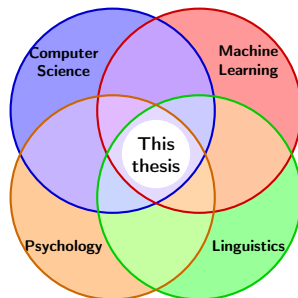
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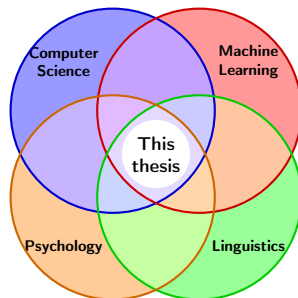
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- ▶ We study subjectivity (e.g., first-person perspective, meaning-making processes, and experiential content)
- ▶ We focus on personal narratives (emotional narratives, dream reports)

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*2 international conferences (EMNLP, LREC-COLING); 3 international workshops; 2 national conferences and journals (TALN)*

# Definition of objectives using cognitive science

**G. Cortal** and C. Bonard. [Improving Language Models for Emotion Analysis: Insights from Cognitive Science](#). *CMCL @ ACL 2024*.

# Psychological theories and emotion annotation schemes

Psychological theories	In text, emotion is...	Example
Basic emotions theory	a <i>category</i>	"I love philosophy." → joy
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Schachter and Singer (1962) and Russell and Barrett (1999) Buechel and Hahn (2017)		
Appraisal theory	a continuous value with a <i>cognitive</i> meaning	"I received a surprise gift." → sudden (4/5), control (0/5)
Arnold (1960) and Lazarus (1991) Hofmann et al. (2020), Troiano, Oberländer, and Klinger (2022), and Zhan, Ong, and J. J. Li (2023)		

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	composed of <i>semantic roles</i>	"Louise (experiencer) was angry (cue) towards Paul (target), because he didn't inform her (cause)."

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	composed of <i>semantic roles</i>	"Louise (experiencer) was angry (cue) towards Paul (target), because he didn't inform her (cause)."

Similar to aspect-based sentiment analysis (Zhang, X. Li, et al., 2022): "The battery life is *amazing* (+), but its camera quality is *disappointing* (-)."

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- ▶ Emotion verbalization is underexplored  
(Micheli, 2013b; Etienne, Battistelli, and Lecorvé, 2022)
- ▶ Benchmarks evaluate certain aspects of emotional understanding but do not consider its full complexity  
(Campagnano, Conia, and Navigli, 2022; Zhang, Deng, et al., 2023; Paech, 2024)

## Linguistic and cognitive science theories

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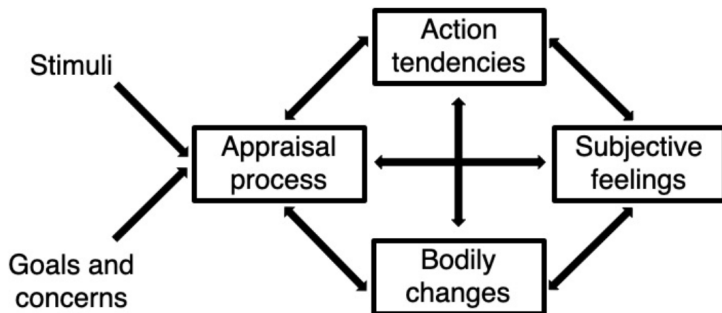
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→ [add refs] (Etienne, Battistelli, and Lecorvé, 2022; Dragos et al., 2022)

# How to integrate psychological theories of emotion?



**Figure: The integrated framework for emotion theories** (Scherer, 2022b). Rectangles represent the components constituting an emotional episode, and arrows represent causation.

→ We use this framework to construct an emotion dataset

# Construction of an emotion dataset

**G. Cortal**, A. Finkel, P. Paroubek, L. Ye. [Emotion Recognition based on Psychological Components in Guided Narratives for Emotion Regulation.](#)  
*SIGHUM @ EACL 2023.*



# French emotional narratives based on components

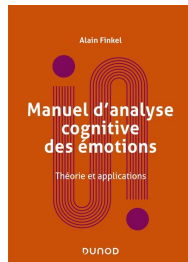
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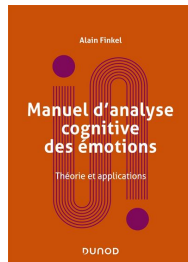
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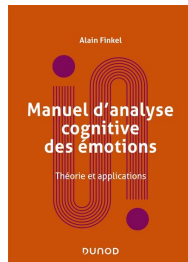
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- ▶ uses emotion components to reorganize the narrative of experienced events
- ▶ helps individuals better regulate their emotions



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→ We structure emotional narratives according to behaviors, thoughts, physical feelings, and appraisals



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Component	Answer
Behavior	I'm giving a lecture on a Friday morning at 8:30. A student goes out and comes back a few moments later with a coffee in his hand.
Feeling	My heart is beating fast, and I freeze, waiting to know how to act.
Thinking	I think this student is disrupting my class.
Territory	The student attacks my ability to be respected in class.

Chosen emotion: anger (possible choices: anger, fear, joy, sadness)

# Training language models for emotion analysis

**G. Cortal**, A. Finkel, P. Paroubek, L. Ye. [Emotion Recognition based on Psychological Components in Guided Narratives for Emotion Regulation](#). *SIGHUM @ EACL 2023*

**G. Cortal**. [Sequence-to-Sequence Language Models for Character and Emotion Detection in Dream Narratives](#). *LREC-COLING 2024*

## Discrete emotion detection based on components

Component	Logistic Regression			CamemBERT		
	Precision	Recall	$F_1$	Precision	Recall	$F_1$
All	71.2 (2.6)	69.1 (2.2)	67.8 (2.3)	<b>85.1</b>	<b>84.8</b>	<b>84.7</b>

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Without feeling	64.3 (1.9)	61.5 (1.2)	61.3 (2.2)	81.6	79.8	79.9
Without thinking	70.9 (1.8)	69.1 (2.0)	68.3 (2.2)	79.6	78.5	78.7
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Without territory	64.3 (4.1)	64.5 (2.4)	62.3 (2.8)	78.7	78.5	78.6
Only behavior	52.1 (3.5)	54.6 (2.9)	51.7 (2.9)	68.4	67.1	66.6
Only feeling	69.6 (1.5)	68.9 (2.1)	68.4 (2.0)	67.8	68.4	67.7
Only thinking	50.1 (3.4)	53.8 (2.3)	50.6 (2.7)	70.5	70.1	70.1
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→ Some components benefit from contextual understanding and world knowledge (e.g., behavior and thinking)



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How to automate the annotation process using language models?

# Hall and Van de Castle annotation scheme

DreamBank contains 27,000 narratives, only 1823 annotated with the Hall and Van de Castle (HVdC) scheme

(Domhoff and Schneider, 2008; Flanagan, 1966)

Series: **Girls (tutorial)**      Number: **0039**

CHAR.	AGGRESSION		FRIENDLINESS		SEXUALITY	SET.	OBJ.
2MUT 1MUT 1FKT	1MUT 3> 1FKT D 2= 1MUT		D 5= 1MUT			OU	[not coded]
	ACTIVITIES					MOD.	
	[not coded]					[not coded]	
	FAILURE	SUCCESS	MISFORTUNE	GOOD FORT.	EMOTIONS		
					AP, D		

#0039

It was my birthday and I was having a party but in a place I've never been before. It was in a forest type area. All I remember is that at the same time I had two boyfriends. Only one was at my party, though he had just broken up with my best friend so I kinda felt uncomfortable being with him. We had got in an argument so he left. I don't quite remember how but we did make up but I don't remember when or why even got in the argument. I woke up when I heard the telephone ringing. (103 words)

**Figure: Example of an annotated dream narrative with HVdC.**

# Existing research on computational dream analysis

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McNamara et al. (2019) and Yu (2022) combine the lexical-based and distributional semantic-based approaches with machine learning



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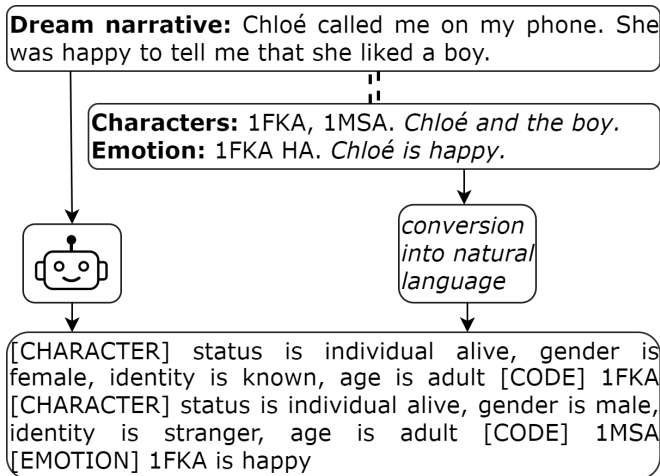
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→ We address this by identifying characters and their emotions with transformer-based sequence-to-sequence models

# Character and emotion detection in dream narratives

[add seq2seq architecture illustration, add list of characters and emotions]



# Results

Baseline is LaMini-Flan-T5 finetuned on 1823 dream narratives

Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13

Table: Character and emotion detection. \*\* ( $p < 0.01$ ), \* ( $p < 0.05$ ).

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No <sub>names</sub>	80.66*	74.32**	74.2	83.95*	60.93**	73.04*

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Size <sub>small</sub>	78.35**	72.13**	70.25**	81.66**	56.79**	70.15**
Size <sub>large</sub>	84.51*	80.3**	78.63**	87.29	67.63**	74.71

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Size <sub>small</sub>	78.35**	72.13**	70.25**	81.66**	56.79**	70.15**
Size <sub>large</sub>	84.51*	80.3**	78.63**	87.29	67.63**	74.71
First <sub>group</sub>	82.33	77.71	74.86	85.61	63.71	71.94
First <sub>individual</sub>	80.59**	76.14	74.22*	83.87**	62.67	67.32
First <sub>emotion</sub>	83.92	78.74	77.06	87.63	64.97	72.03

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Baseline is LaMini-Flan-T5 finetuned on 1823 dream narratives

Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13
No <sub>semantics</sub>	71.37	56.54*	61.0	90.51	41.79*	75.79
No <sub>names</sub>	80.66*	74.32**	74.2	83.95*	60.93**	73.04*
Size <sub>small</sub>	78.35**	72.13**	70.25**	81.66**	56.79**	70.15**
Size <sub>large</sub>	84.51*	80.3**	78.63**	87.29	67.63**	74.71
First <sub>group</sub>	82.33	77.71	74.86	85.61	63.71	71.94
First <sub>individual</sub>	80.59**	76.14	74.22*	83.87**	62.67	67.32
First <sub>emotion</sub>	83.92	78.74	77.06	87.63	64.97	72.03
Conversion <sub>comma</sub>	84.02**	79.84**	77.67**	87.08*	66.69**	73.68
Conversion <sub>marker</sub>	82.39	78.45	76.53	86.09	65.44	74.36

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**Table:** Character and emotion detection. \*\* ( $p < 0.01$ ), \* ( $p < 0.05$ ).

→ Our models can address this task; there is room for improvement

## Case study on the war veteran

Group	Category	% Vet	% Total	$\Delta$
Identity	known*	24.9	51.6	-26.7
	prominent	1.9	2.5	-0.6
	occupational*	22.4	8.0	14.4
	ethnic*	4.1	0.9	3.1
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	female*	24.1	33.1	-9.0
	joint	10.9	12.2	-1.3
	undefined	7.9	8.7	-0.9

**Table:** Identity and gender proportions for the veteran (n=566 narratives) versus other dreamers.  $\Delta$  shows the difference in percentage points. \* ( $p < 0.05$ ).

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**Table:** Identity and gender proportions for the veteran (n=566 narratives) versus other dreamers.  $\Delta$  shows the difference in percentage points. \* ( $p < 0.05$ ).

→ The veteran dreams more about *occupational*, *ethnic*, and *unknown* identities compared to other dreamers

# Formalization of style in personal narratives

**G. Cortal** and A. Finkel. [Formalizing Style in Personal Narratives](#). *EMNLP 2025*.

# Introduction

Scholarly work has examined personal modes of reasoning and expression

(Husserl, 2012; Hadamard, 1945; Dilts, 1994; Granger, 1968)



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Style is central to how authors express themselves: stylistics (Wales, 2014), stylometry (Neal et al., 2017)

→ They provide operational tools to capture linguistic form, but do not focus on how such forms encode subjective experience

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Formalization could enable more precise identification of linguistic patterns associated with psychological states and may support interventions (White and Epston, 1990)

→ Our approach complements existing works by providing a formal framework grounded in systemic functional linguistics

→ We aim to create a accessible framework that researchers can build upon in future studies

# How to give an operational definition of style?

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2. A methodology for identifying patterns using sequence analysis
3. A case study on dream narratives

# What linguistic features encode subjective experience?

We ground our framework in *systemic functional linguistics* (Halliday et al., 2014)

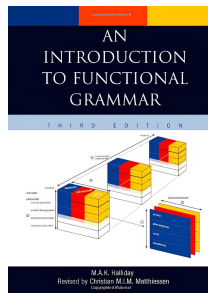


Figure: Halliday et al. (2014).  
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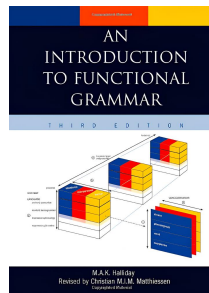


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Language achieves three functions: interpersonal (language builds social relationships), textual (information is organized to create coherent messages), and *ideational* (language represents experience)

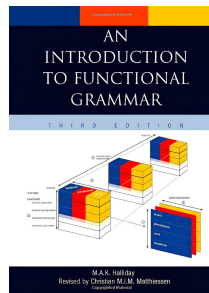


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# What linguistic features encode subjective experience?

Processes	Examples
Action: actions and events in the physical world.	He <sub>Actor</sub> takes <sub>Action</sub> the valuable <sub>Affected</sub> I <sub>Actor</sub> give <sub>Action</sub> her <sub>Recipient</sub> a chance <sub>Range</sub>
Mental: internal experiences such as thoughts, perceptions, and feelings.	The moon <sub>Senser</sub> sees <sub>Mental</sub> the earth <sub>Phenomenon</sub> He <sub>Senser</sub> disliked <sub>Mental</sub> Gilbert's writing <sub>Phenomenon</sub>
Verbal: acts of communication.	David <sub>Sayer</sub> said <sub>Verbal</sub> "the corrupt, [...]" <sub>Verbiage</sub>
State: states of being, having, or existence.	John <sub>Carrier</sub> is <sub>State</sub> an interesting teacher <sub>Attribute</sub> Chloé <sub>Possessor</sub> has <sub>State</sub> a cat <sub>Possessed</sub>

**Table:** According to *systemic functional linguistics*, language represents experience through **processes** and **participants**.



# Methodology for our sequence-based framework

**Goal:** Map narratives to sequences based on extracted linguistic features:

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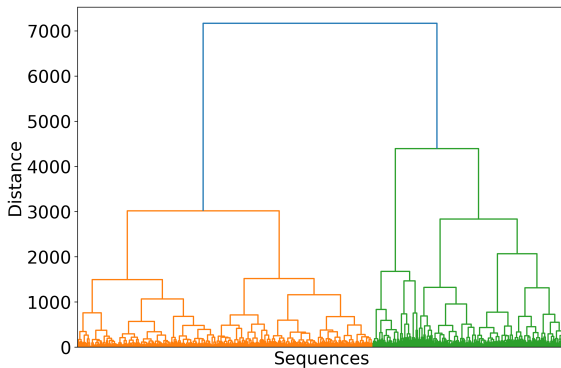
**Goal:** Map narratives to sequences based on extracted linguistic features:

“I wake in a dark room. I feel a cold wind. I tell myself to move.” → *amv*

Clause	Process (symbol)	Participants
I wake in a dark room	Action ( <b>a</b> )	Actor
I feel a cold wind	Mental ( <b>m</b> )	Sensor, Phenomenon
I tell myself to move	Verbal ( <b>v</b> )	Sayer, Recipient
<b>Sequence:</b> <i>amv</i>   <b>Substrings:</b> {am, mv}		

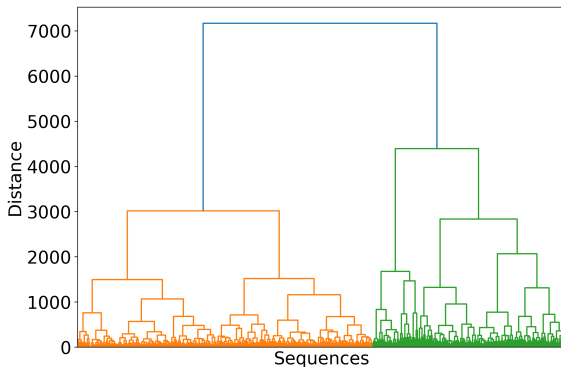
*Substrings are contiguous sequences of symbols within a sequence*

## Results on the war veteran



**Figure:** Dendrogram with Ward linkage and cosine similarity

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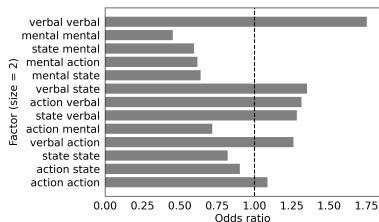
**Representative sequences:** *savamasasaaamaasavvvaaaaaaavssaaaaa* and *sssssavaavssvsavvvvsmasasaasasaamaamvmsss* with  
*a* = action, *m* = mental, *s* = state, *v* = verbal

## Results on the war veteran

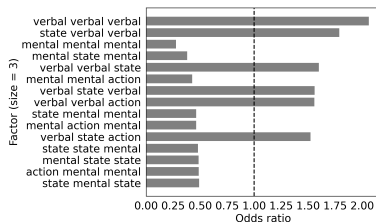
We compare the proportion of sequences containing a given substring

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(a) Size 2.

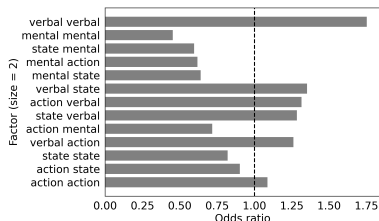


(b) Size 3.

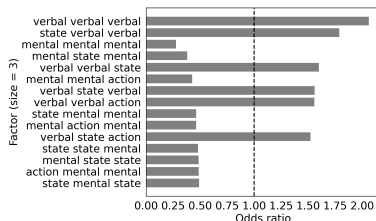
Figure: Top substring odds ratio between the veteran and the norm

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**Figure:** Top substring odds ratio between the veteran and the norm

→ The veteran favors verbal processes over mental ones



# How can this framework be extended?

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## Conclusion and perspectives

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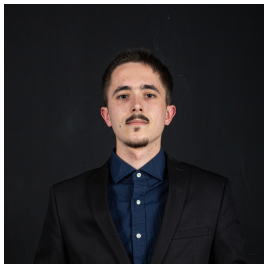
*My research models are publicly hosted on Hugging Face and were trained using the Jean Zay supercomputer*

# Impact

## Impact: Ongoing PhD thesis related to my works



(a) A. Haddou on cognitive distortions (2025, ENS Paris-Saclay).



(b) R. Faure on style analysis (2025, ENS Paris-Saclay).



(c) N. Richet on multimodal emotion (2024, ETS Montréal).

# Impact: NLP for psychiatry (industry)

6-month PhD internship at Callyope on *NLP for quantifying memory, future thinking, and the self in mental health narratives*



# Automatic thematic analysis in mental health narratives using language models

**G. Cortal**, S. Guessoum, X. Cao, R. Riad. *Fine-grained mental health topic modeling in different cohorts using large language models* (preprint). 2025.

# Introduction

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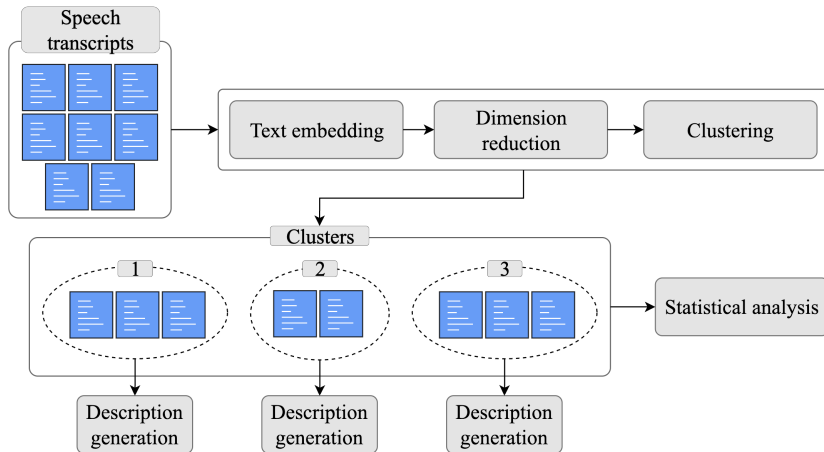
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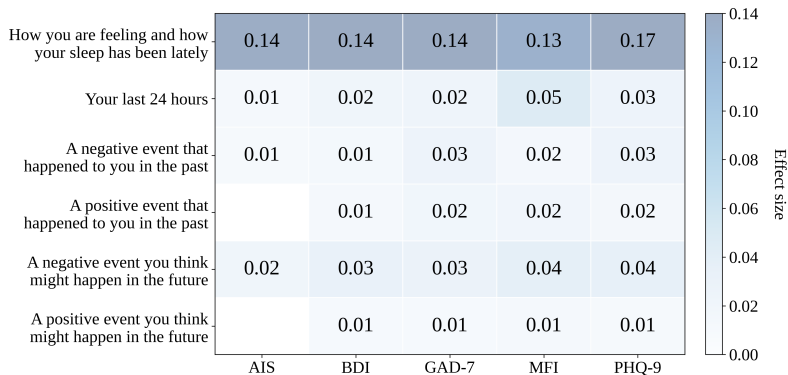
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- ▶ Qualitative analysis of speech content is central to clinical practice
- ▶ Thematic analysis studies how people construct meaning
- ▶ Thematic analysis is time-consuming, often constrained to small, monolingual corpora
- ▶ Computational approaches offers time savings, can analyze a larger amount of data

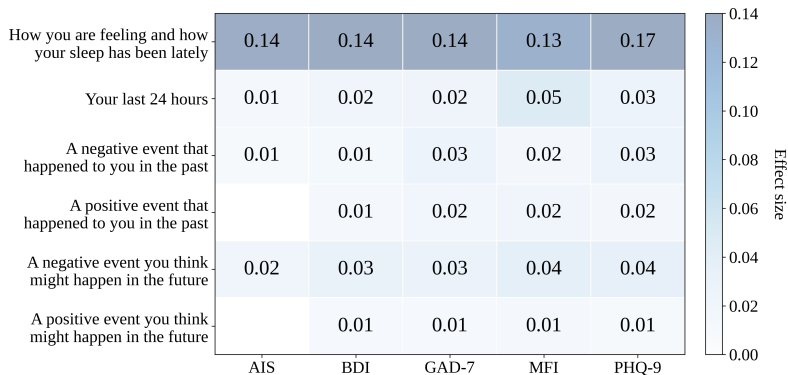
# Semantic clustering and description generation



# Effect size across questions and clinical scores

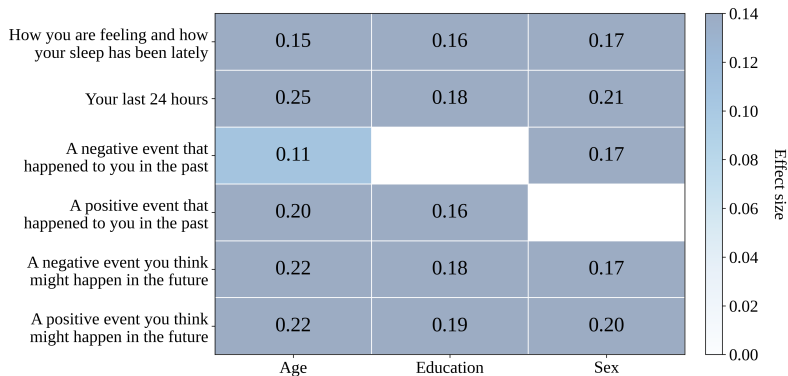


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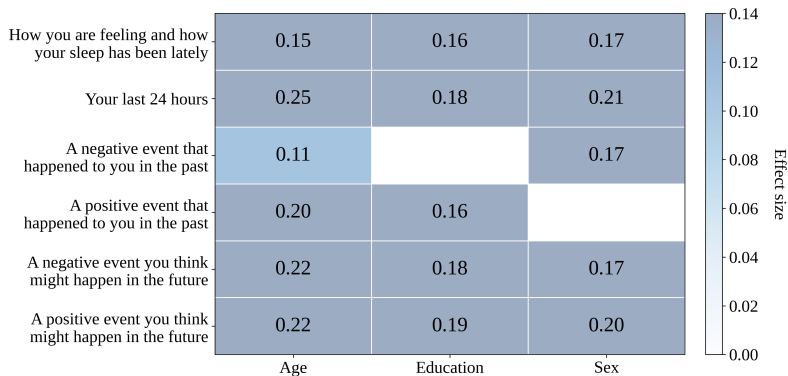


→ Certain questions better discriminate clinical scores

# Effect size across questions and sociodemographics



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→ Nearly all questions discriminate sociodemographics

# Perspectives



- ▶ **Emotion analysis for mental health:** empathic support (Sharma et al., 2023), cognitive distortions, theory of mind (Zhou et al., 2023; Ma et al., 2023; H. Kim et al., 2023; Gandhi et al., 2023)

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- ▶ **Post-training for psychology** (preferences and reasoning data) [add refs]

# Conclusion

How to model subjective experience in personal narratives?

- ▶ Definition of objectives and scope using cognitive science
- ▶ Construction of an emotion dataset
- ▶ Training of language models for emotion analysis
- ▶ Formalization of style in personal narratives

# Selected research papers

Constant Bonard and Gustave Cortal (2024). "Improving Language Models for Emotion Analysis: Insights from Cognitive Science". In: *Proceedings of the Workshop on Cognitive Modeling and Computational Linguistics*. Ed. by Tatsuki Kuribayashi et al. Bangkok, Thailand: Association for Computational Linguistics, pp. 264–277. DOI: 10.18653/v1/2024.cmc1-1.23

Gustave Cortal, Alain Finkel, et al. (2023). "Emotion Recognition Based on Psychological Components in Guided Narratives for Emotion Regulation". In: *Proceedings of the 7th Joint SIGHUM Workshop on Computational Linguistics for Cultural Heritage, Social Sciences, Humanities and Literature*. Ed. by Stefania Degaetano-Ortlieb et al. Dubrovnik, Croatia: Association for Computational Linguistics, pp. 72–81. DOI: 10.18653/v1/2023.latechc1f1-1.8

Gustave Cortal (2024). "Sequence-to-Sequence Language Models for Character and Emotion Detection in Dream Narratives". In: *Proceedings of the 2024 Joint International Conference on Computational Linguistics, Language Resources and Evaluation (LREC-COLING 2024)*. Ed. by Nicoletta Calzolari et al. Torino, Italia: ELRA and ICCL, pp. 14717–14728

Gustave Cortal and Alain Finkel (2025). "Formalizing Style in Personal Narratives". In: *Proceedings of the 2025 Conference on Empirical Methods in Natural Language Processing*. Ed. by Christos Christodoulopoulos et al. Suzhou, China: Association for Computational Linguistics, pp. 7322–7337. ISBN: 979-8-89176-332-6

# Appendix

# What are the psychological mechanisms used to infer what is communicated?

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The formal semantics of a language is made of syntactical and lexical rules that pairs <strings of words> with [sentential meanings]

What are the psychological mechanisms used to infer what is communicated?

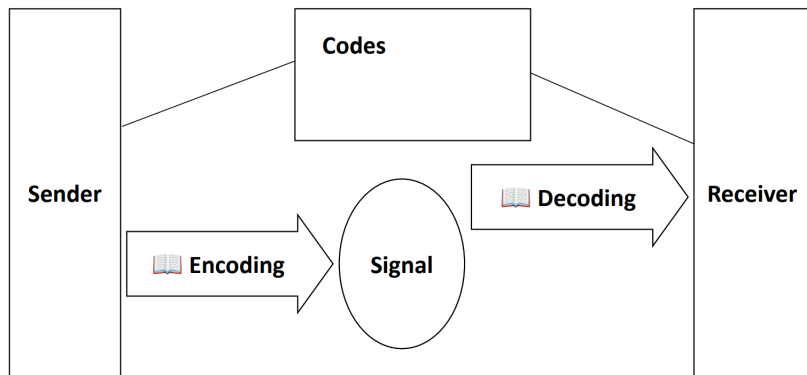


Figure: Dictionary analysis in cognitive pragmatics.

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→ We rely on other sources of evidence to infer what is communicated

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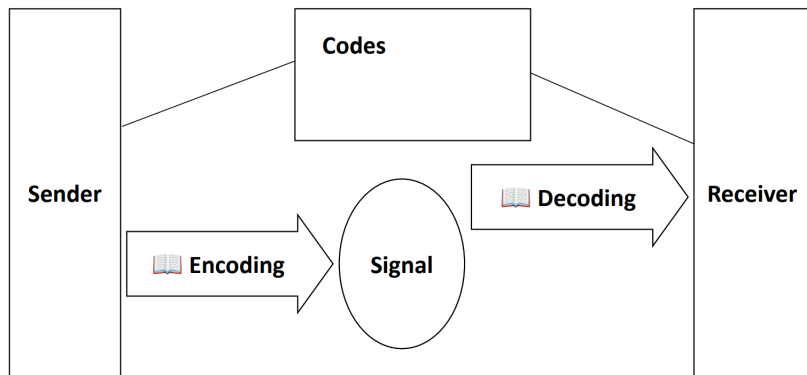
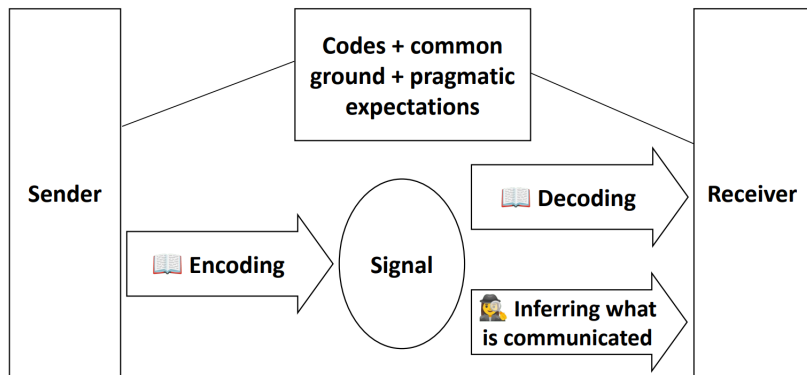


Figure: Dictionary analysis in cognitive pragmatics.

What are the psychological mechanisms used to infer what is communicated?



**Figure:** Detective analysis in cognitive pragmatics.

# Component classification in emotional narratives

Model	Precision	Recall	$F_1$
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→ Models can be used to automatically classify unstructured narratives

# Results

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Model	Status	Gender	Identity	Age	Character	Emotion
Baseline	82.87	78.02	76.17	86.21	64.74	75.13
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StableBeluga <sub>5</sub>	55.89**	46.29**	42.61**	63.73**	24.86**	-

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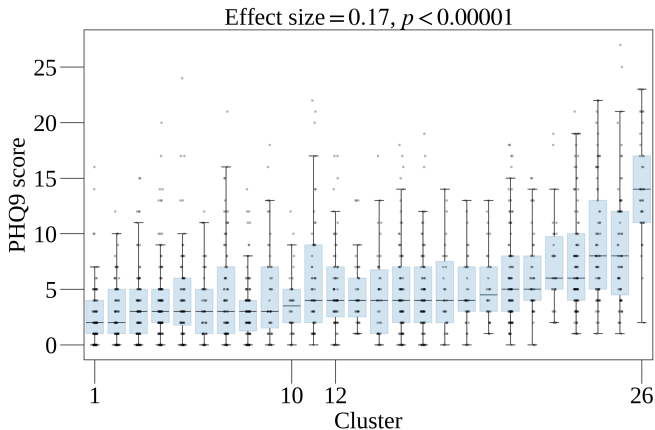
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**Table:**  $F_1$ -scores for character and emotion detection. Significant differences from baseline: \*\* ( $p < 0.01$ ), \* ( $p < 0.05$ ).

→ Compared to StableBeluga, our supervised models perform better while having 28 times fewer parameters (248M vs. 7B)

# Distribution of depression scores across clusters

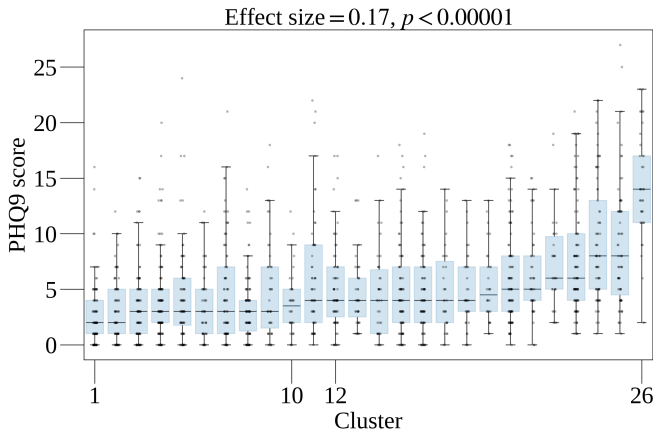
*How you are feeling and how your sleep has been lately*





# Distribution of depression scores across clusters

*How you are feeling and how your sleep has been lately*



→ Depression scores vary significantly: cluster 26 highest ( $13.4 \pm 5.4$ ), cluster 1 lowest ( $2.6 \pm 2.2$ )

# Generated cluster descriptions

**Cluster 1 description:** The individuals express consistent satisfaction with their current well-being, emphasizing good sleep quality, restful or pleasant nights, and a general sense of relaxation, even when noting variations in sleep duration or occasional fatigue. (age=39±19, n=92)

**Cluster 10 description:** The individuals express frequent nighttime urinary interruptions disrupting sleep, often attributed to age-related conditions like prostate issues or overactive bladder, alongside mixed reports of physical well-being, mental resilience, and lifestyle factors such as retirement or exercise influencing their overall health and sleep patterns. (age=69±15, n=34)

**Cluster 12 description:** The individuals express stress related to academic exams, significant life decisions, and workloads, alongside sleep disturbances caused by lifestyle changes, increased responsibilities, or environmental adjustments, while some also highlight temporary relief from pressures through personal achievements or upcoming positive events. (age=24±9, n=67)

**Cluster 26 description:** The individuals express sleep disturbances characterized by insomnia, frequent awakenings, and restless sleep, alongside pervasive anxiety, emotional instability, and self-esteem issues, which collectively contribute to persistent fatigue, impaired daily functioning, and a diminished sense of well-being. (age=25±9, n=37)

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→ Clustering captures symptom severity and age-related circumstances

# Demographics




	General Population n=1809	Androids n=116	MODMA n=52	VOCES n=90
<b>Demographics</b>				
<b>Language</b>	French	Italian	Chinese	Spanish
<b>Age</b>	***	<i>n.s.</i>	<i>n.s.</i>	***
Mean (SD)	37.8 (18.2)	37.4 (12.0)	31.3 (9.2)	38.6 (14.9)
Range	18–91	19–71	18–52	21–76
<b>Sex, n (%)</b>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
Female	1187 (66.2)	84 (72.4)	16 (30.8)	39 (43.3)
Male	595 (33.2)	32 (27.6)	36 (69.2)	48 (53.3)
Other	11 (0.6)	0 (0.0)	0 (0.0)	3 (3.3)
<b>Education, n (%)</b>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
No diploma	52 (2.9)	11 (9.5)	7 (13.5)	-
Secondary	291 (16.2)	37 (31.9)	8 (15.4)	-
Higher short	213 (11.9)	52 (44.8)	0 (0.0)	-
Higher long	1236 (69.0)	16 (13.8)	37 (71.2)	-

## Clinical evaluation

	<b>General Population n=1809</b>	<b>Androids n=116</b>	<b>MODMA n=52</b>	<b>VOCES n=90</b>
<b>C-SSRS</b>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
Suicidal risk, n (%)	-	-	-	60 (66.7)
No suicidal risk, n (%)	-	-	-	30 (33.3)
<b>MADRS / MDD</b>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>	<i>n.s.</i>
Depression, n (%)	-	64 (55.2)	23 (44.2)	-
No depression, n (%)	-	52 (44.8)	29 (55.8)	-
<b>PHQ-9</b>	<i>n.s.</i>	<i>n.s.</i>	***	***
Mean (SD)	5.2 (4.6)	-	9.4 (8.5)	10.5 (6.8)
Range	0–27	-	0–25	0.0–26.0

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