# Three-phase generalized raking and multiple imputation estimators to address error-prone routinely collected data

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### Research question

- Data from the East Africa International Epidemiology Databases to Evaluate AIDS consortium
  - 85,324 HIV-positive women
  - between 15 and 45 years of age
  - January 1, 2011 to December 31, 2015.

Assess whether efavirenz-containing ART decreased effectiveness of the contraceptive implant.

#### Variables collected

ART REGIMEN	CONTRACEPTIVE TYPE	PREGNANCY
Nevirapine-containing ART	Implant	Yes
Efavirenz-containing ART	Depomedroxyprogesterone acetate (DMPA)	No
Protease inhibitor (PI)-containing ART	Combined oral contraceptives or oral contraceptive pills (OCPs)	
No ART	Other more effective family planning (MEFP)	
Nucleoside reverse transcriptase inhibitors (NNRTIs)	Less effective family planning (LEFP)	
Combination of ART regimens containing two or more of efavirenz, nevirapine, or PIs	No contraceptive method	

#### Data collection



Patient in her everyday life context

- Patient misrecalls events

Patient in her everyday life Patient-provider encounter

- Patient misreports or forgets to report
- Provider forgets to query

Data entry into paper chart

 Provider makes erroneous entry in chart or forgets to enter Data entry in EMR

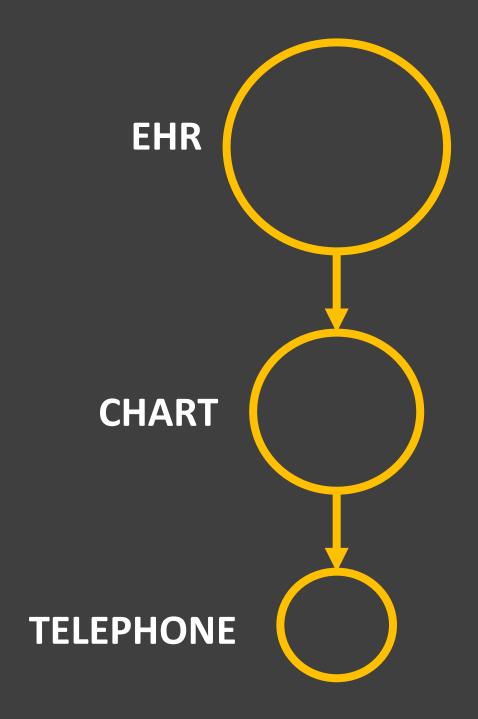
 Data clerk makes erroneous entry in EMR Data management

 Data analyst or management team makes errors in data manipulation

#### Validation design

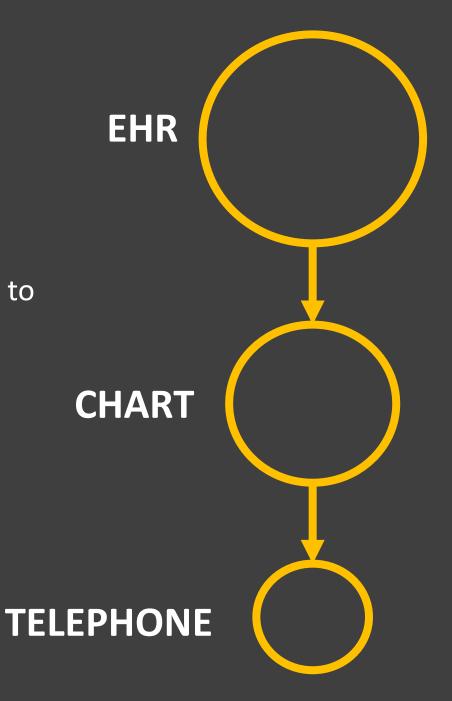
- Concerns about data collection
- 2-stage validation study
  - Chart review (5080)
  - Telephone interview (1285)

**3-phase study** 



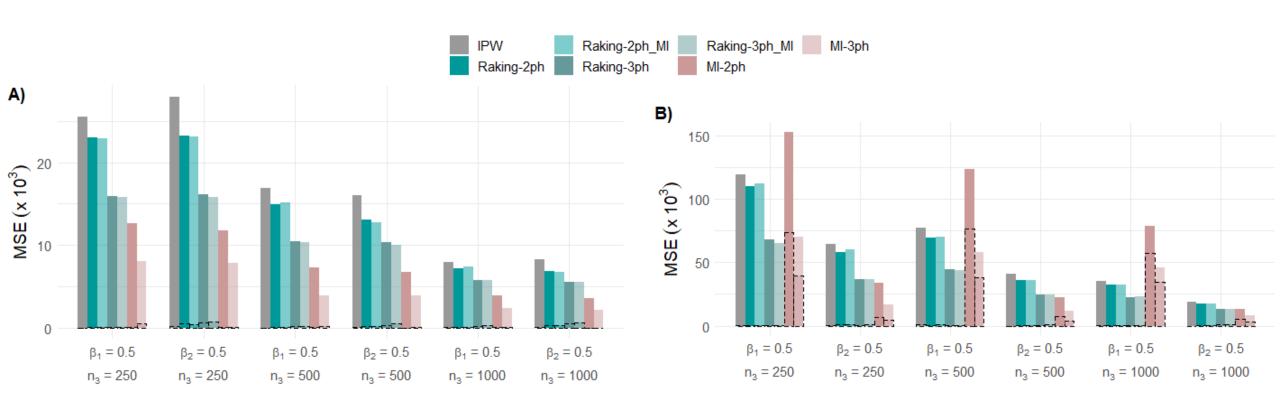
#### Estimation

- Design-based estimators will reduce the problem to a 2-phase study
  - Ignore the chart review data
  - Inefficient
- We propose:
  - A 3-phase Generalized Raking estimator that uses all information available



## Simulation results

#### Simulation results



## Revisiting the pregnancy study

CONTRACEPTIVE	ART REGIMEN	IPW (2-phase)	2-Phase Generalized Raking	3-Phase Generalized Raking	2-phase MI	3-phase MI
Implant	Nevirapine	Ref	Ref	Ref	Ref	Ref
	Efavirenz	3.2 (1.6,6.7)	3.3 (1.6,6.8)	3.2 (1.8,5.7)	3.5 (1.8,6.7)	1.4 (1.1,1.7)
	PI	0.9 (0.2,4.4)	1.7 (0.7,4.2)	0.9 (0.3,2.7)	1.1 (0.3,5.0)	1.0 (0.7,1.3)
	No ART	1.6 (0.6,3.9)	0.9 (0.2,4.5)	1.9 (0.9,3.7)	1.3 (0.5,3.0)	1.4 (1.2,1.7)
DMPA	Nevirapine	Ref	Ref	Ref	Ref	Ref
	Efavirenz	1.1 (0.4,2.9)	1.1 (0.4,2.9)	1.0 (0.3,2.9)	0.6 (0.3,2.0)	0.8 (0.4,1.5)
	PI	0.8 (0.2,3.3)	0.9 (0.4,2.1)	0.8 (0.2,2.9)	1.0 (0.2,3.8)	0.9 (0.2,2.0)
	No ART	1.0 (0.4,2.2)	0.8 (0.2,3.1)	0.9 (0.3,2.4)	0.9 (0.2,2.1)	1.0 (0.3,1.8)

More about 3-phase Generalized Raking, simulations, and the dataset can be found in the recorded talk

Thank you!

