

Direction of Arrival Estimation for Wildlife Protection

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Licentiate Seminar

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Co-supervisor: Gustav Hendeby

Opponent: Andreas Jakobsson (LU)
Examiner: Svante Gunnarsson

Background

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- Caused by human population growth and increased consumption

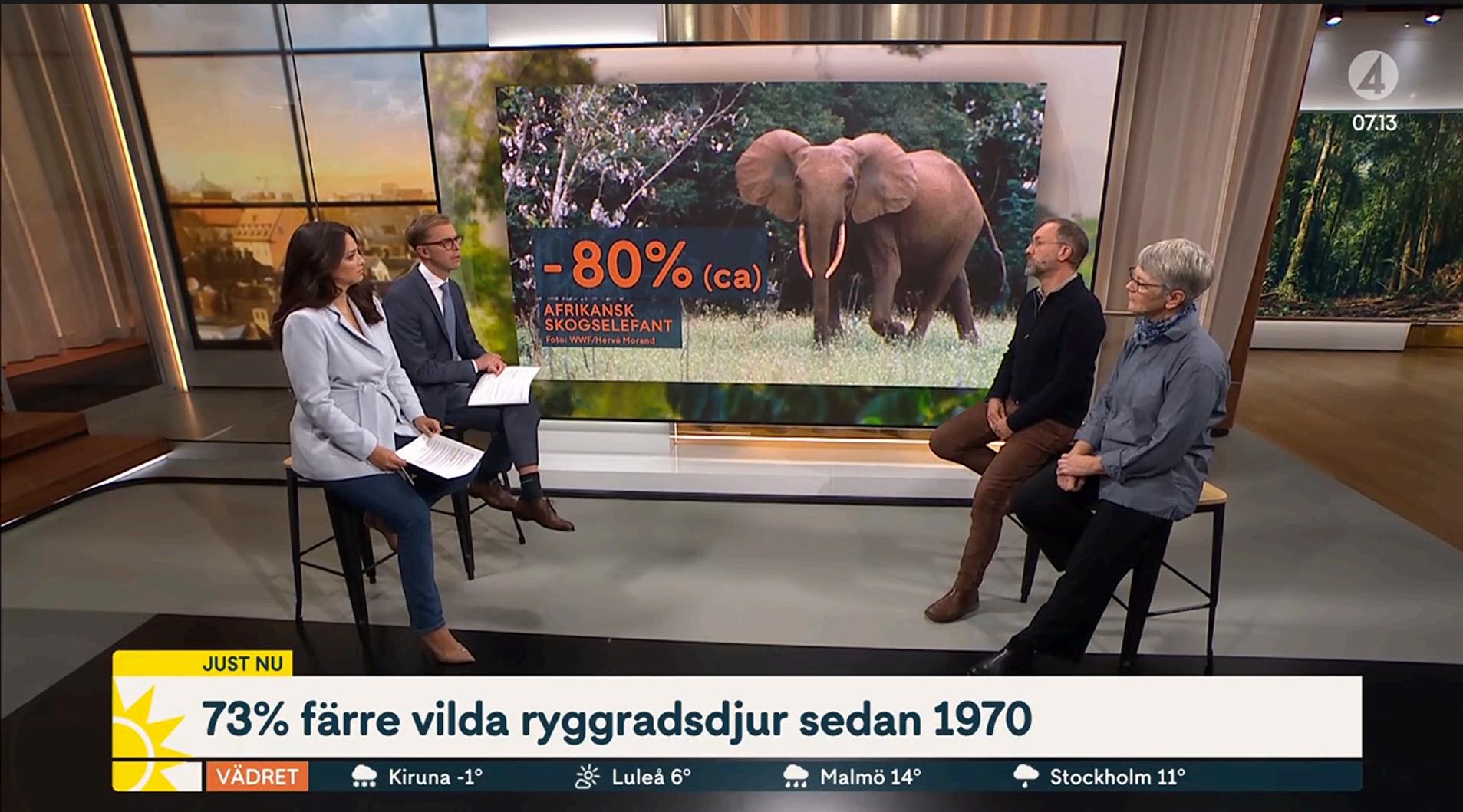
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- Elephant population has decreased by 98% since the 1500s.
- Decreasing rate between 2010-2014 was 8%.

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Nyhetsmorgon 10th of October

Example 1 - Elephant tracking

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- A geophone-based system detects seismic waves from elephant footsteps to estimate direction.
- Real-time tracking helps warn residents and guide elephants back to their habitats.

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Example 2 - Acoustic Surveillance for Savanna Protection

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- Wildlife protection requires localizing poachers and analyzing sound events on the savanna.
- Microphone arrays estimate the direction of rifle shots to locate poachers.
- Key sounds monitored: elephant trumpets, a woman's scream, and police sirens.
- The system offers a complete overview, enhancing response and conservation efforts.

Outline

1. Problem Formulation

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2. Classical Direction of Arrival

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5. Conclusions & Future Work

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- How can a conventional DOA estimator be used to estimate the **DOA of elephant footsteps?** And how can we **differentiate** between an elephant footstep and other seismic signals?
- How can the **directional sensitivity** of the sensors be utilized to estimate the DOA, and how should the directional sensitivity be **modeled?**

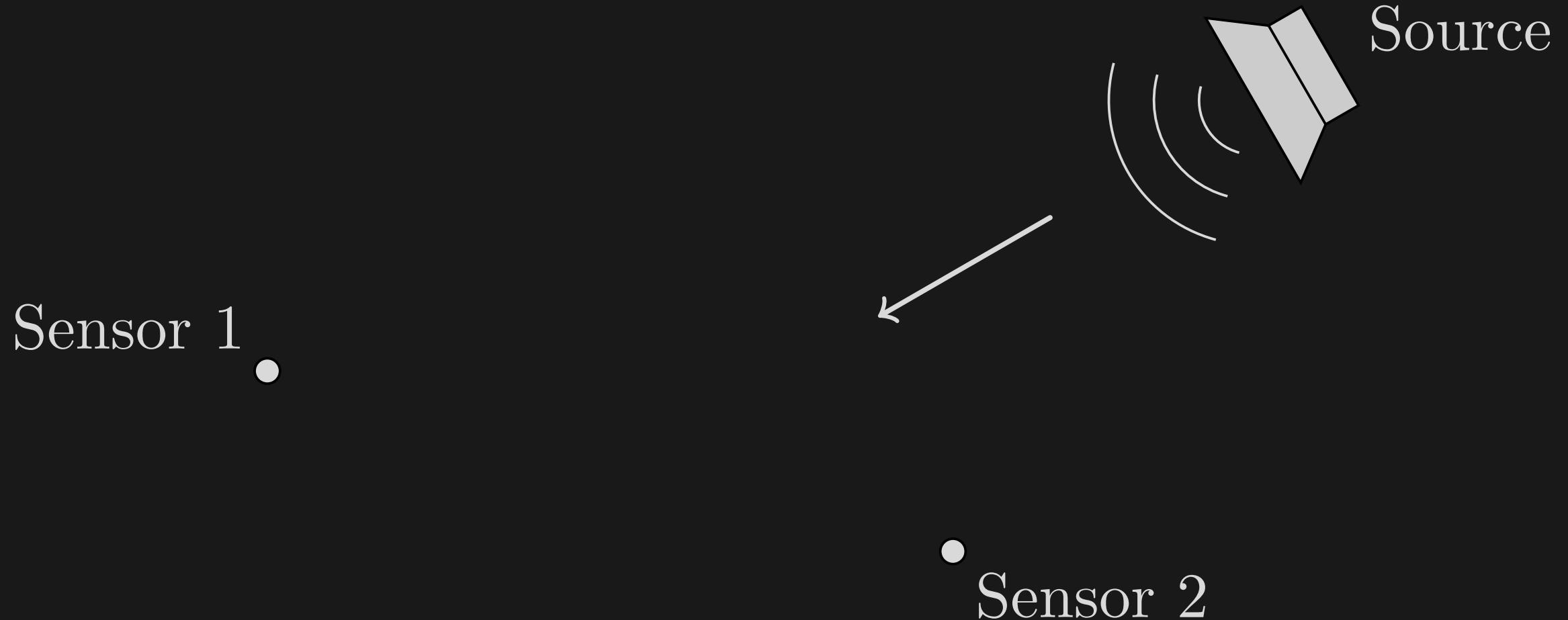
Problem Statement

- What are the **theoretical limits** of the directional sensitivity based DOA estimator, and how does the **frequency content** of the signal affect the directional sensitivity?

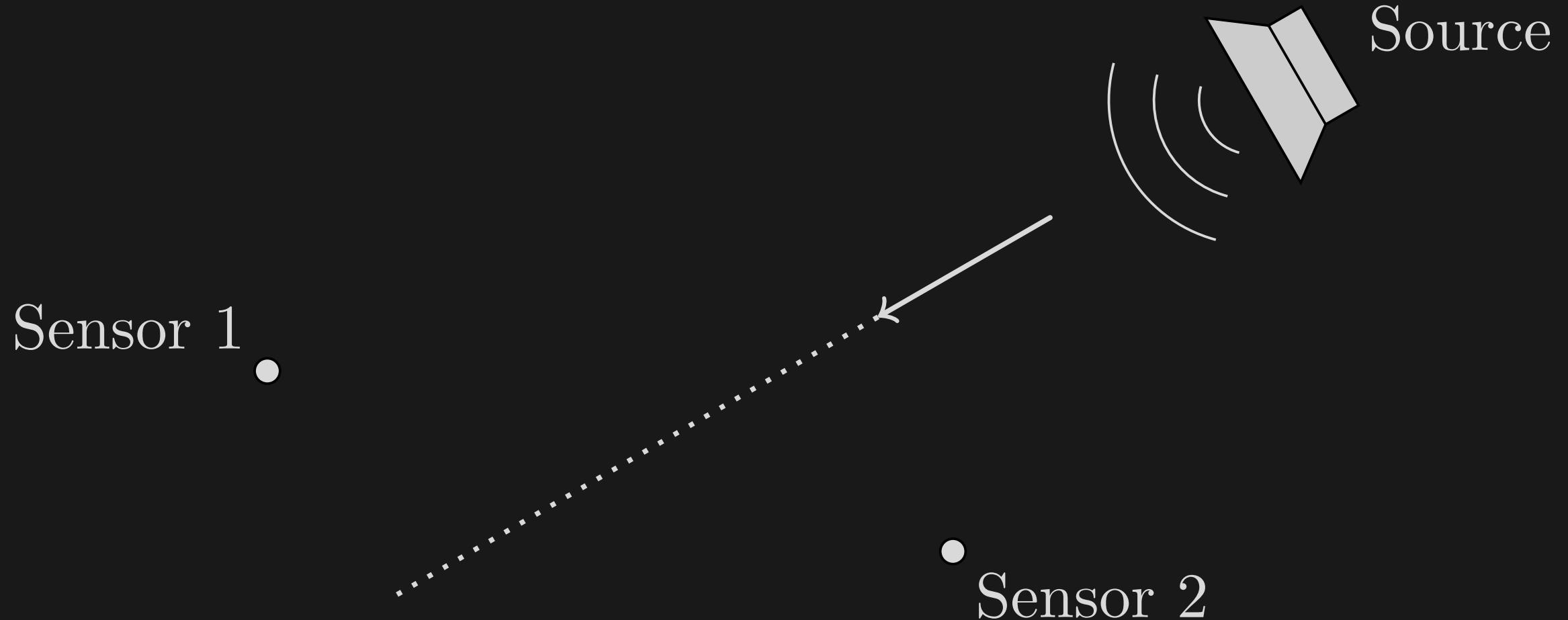
Problem Statement

- What are the **theoretical limits** of the directional sensitivity based DOA estimator, and how does the **frequency content** of the signal affect the directional sensitivity?
- How does the directional sensitivity based DOA estimate **compare to state-of-the-art** methods using narrowband and realistic signals?

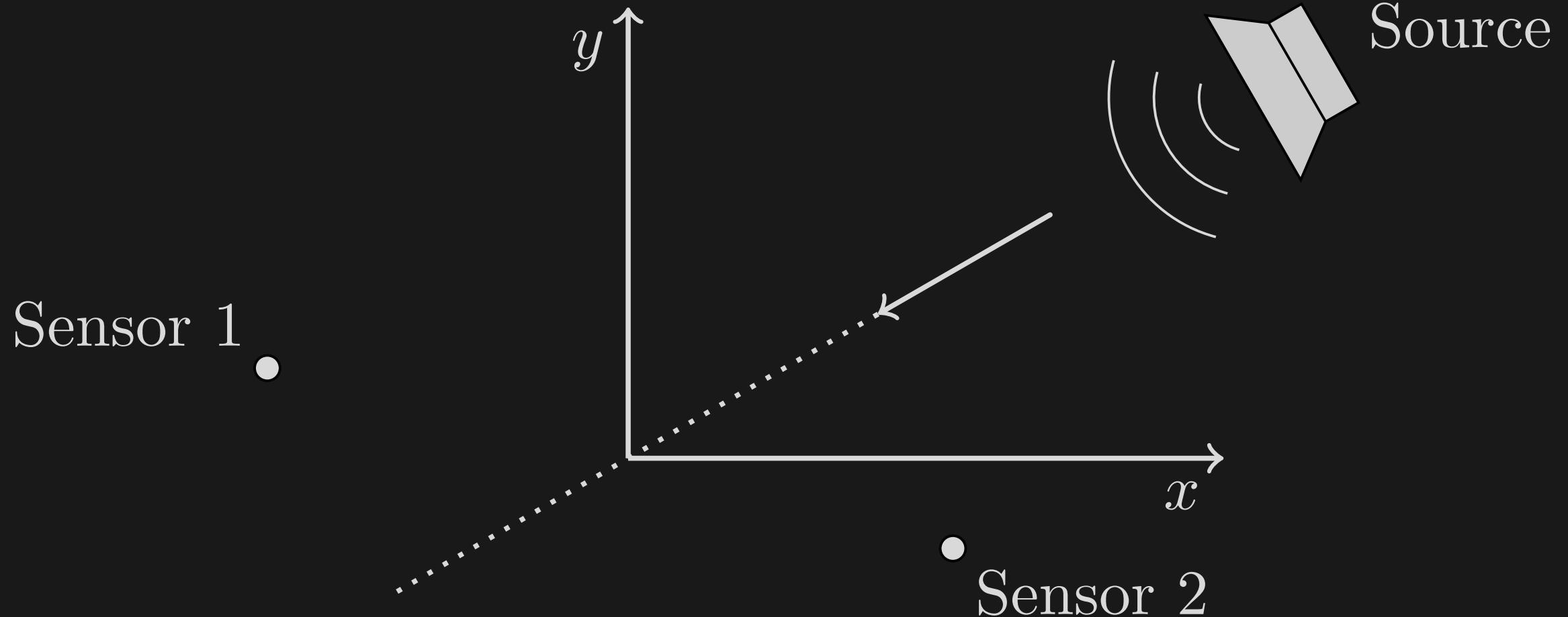
Classical DOA Estimation



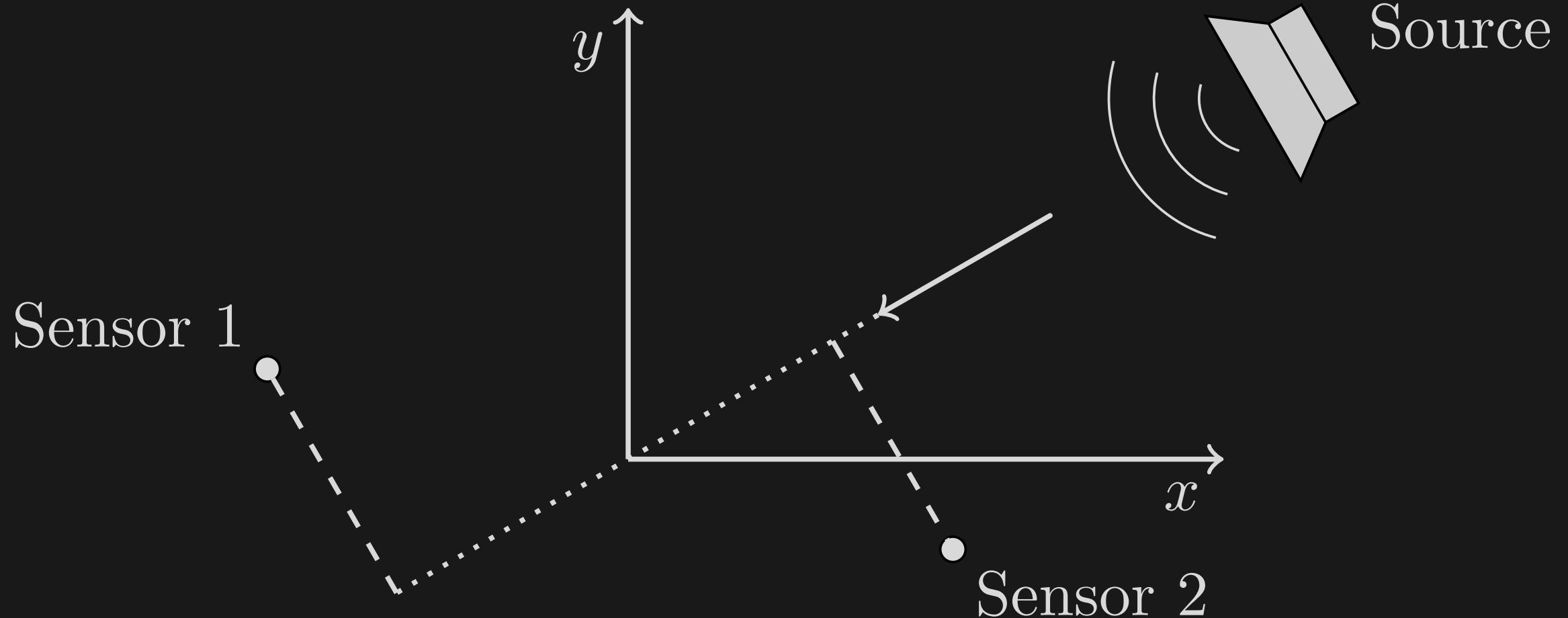
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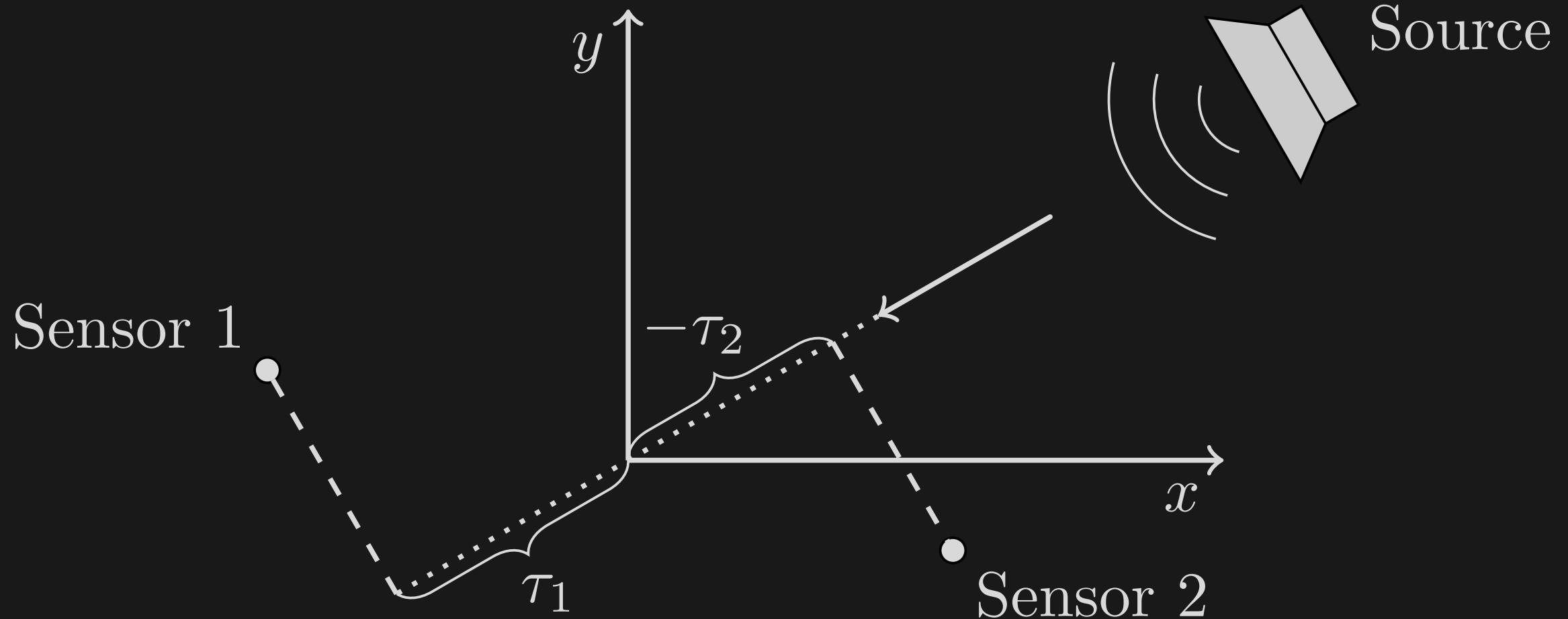
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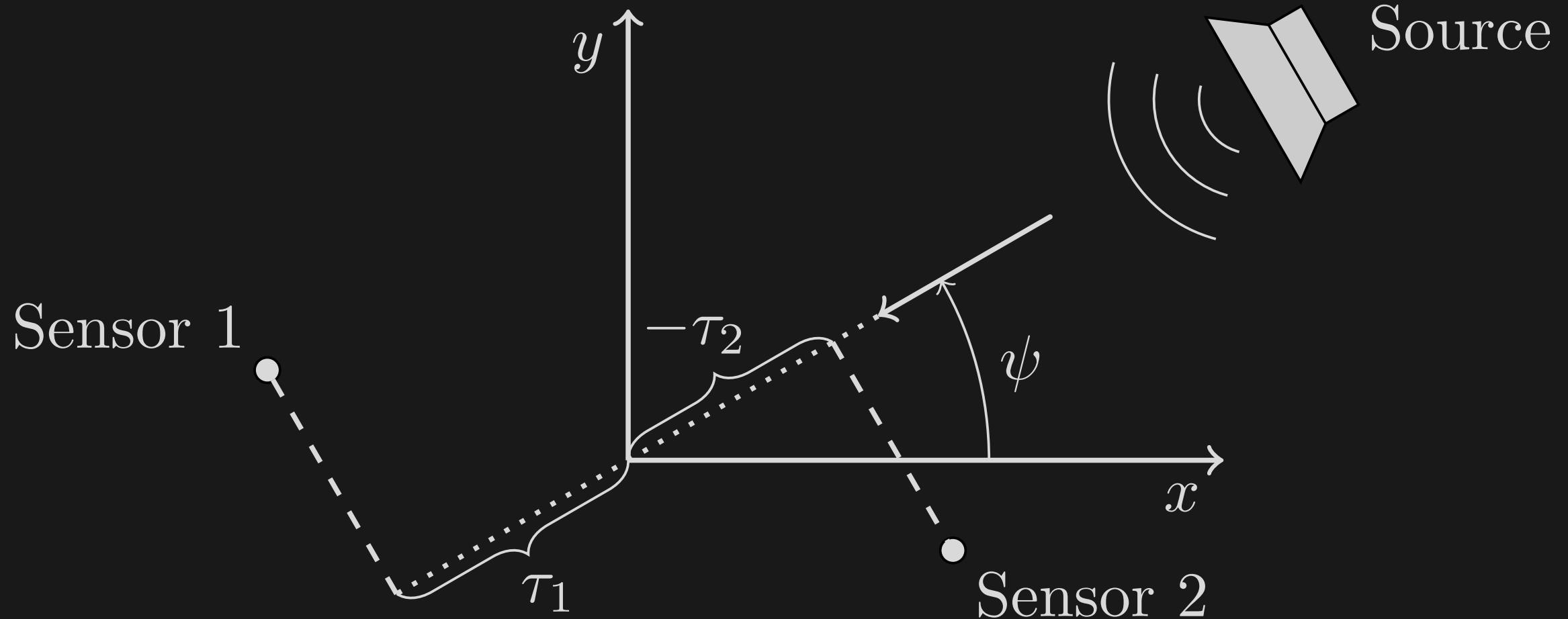
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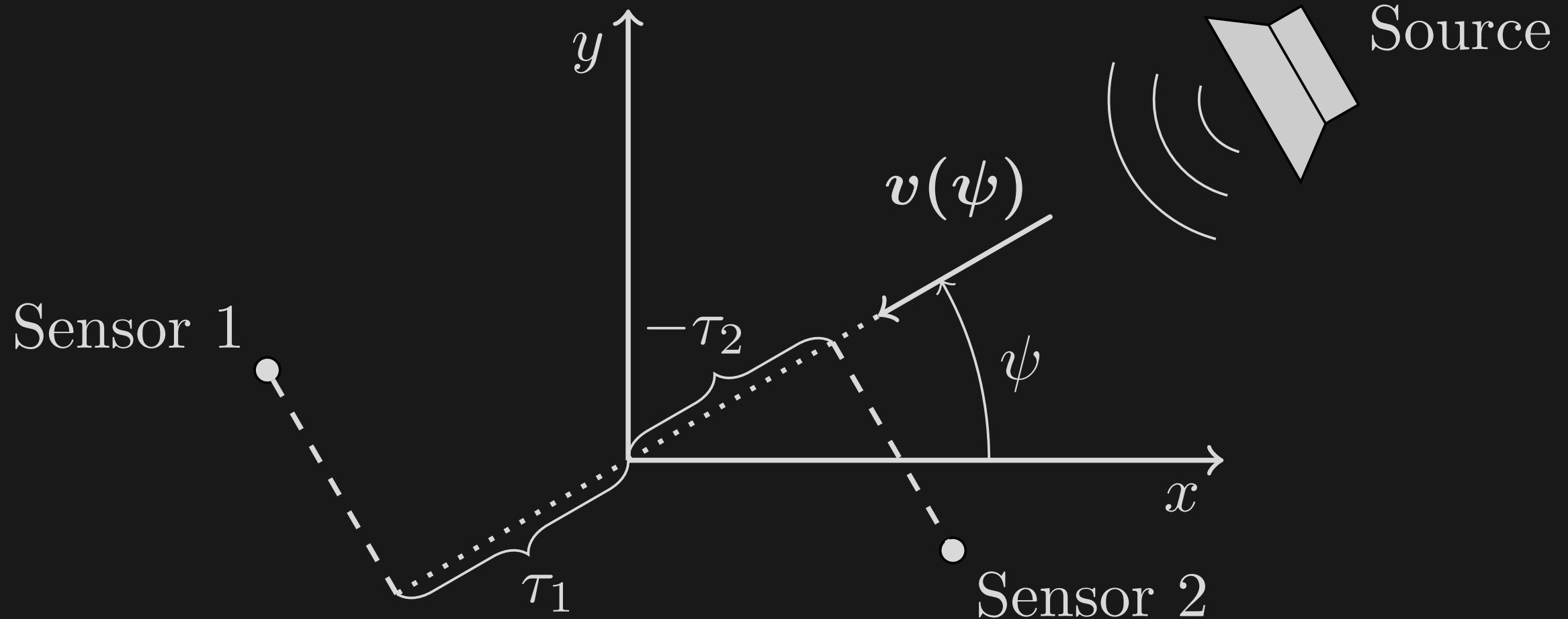
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$$\tau_m = \frac{\mathbf{p}_m^T \mathbf{v}(\psi)}{c}$$

\mathbf{p}_m : position of sensors

$\mathbf{v}(\psi)$: direction vector

c : speed of sound

ψ : DOA

Classical DOA Estimation

$$y_m(t) = s(t - \tau_m(\psi)) + e_m(t)$$

$$\xrightarrow{\mathcal{F}} Y_m(f) = S(f)e^{-j2\pi f \tau_m(\psi)} + E_f$$

$$\tau_m = \frac{p_m^T v(\psi)}{c}$$

: position of sensors

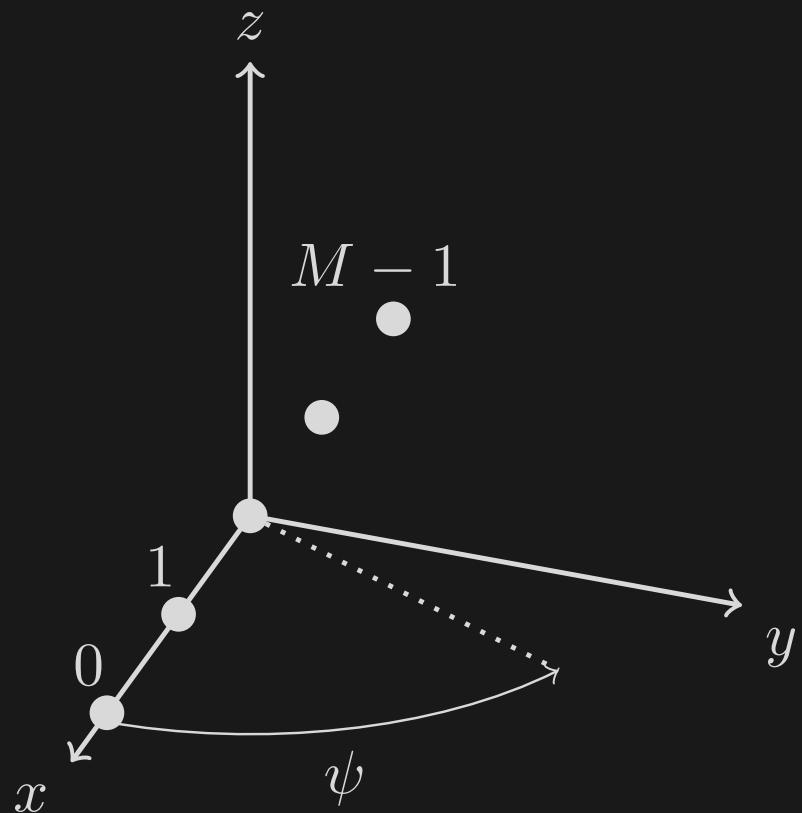
p_m : direction vector

$v(\psi)$: speed of sound

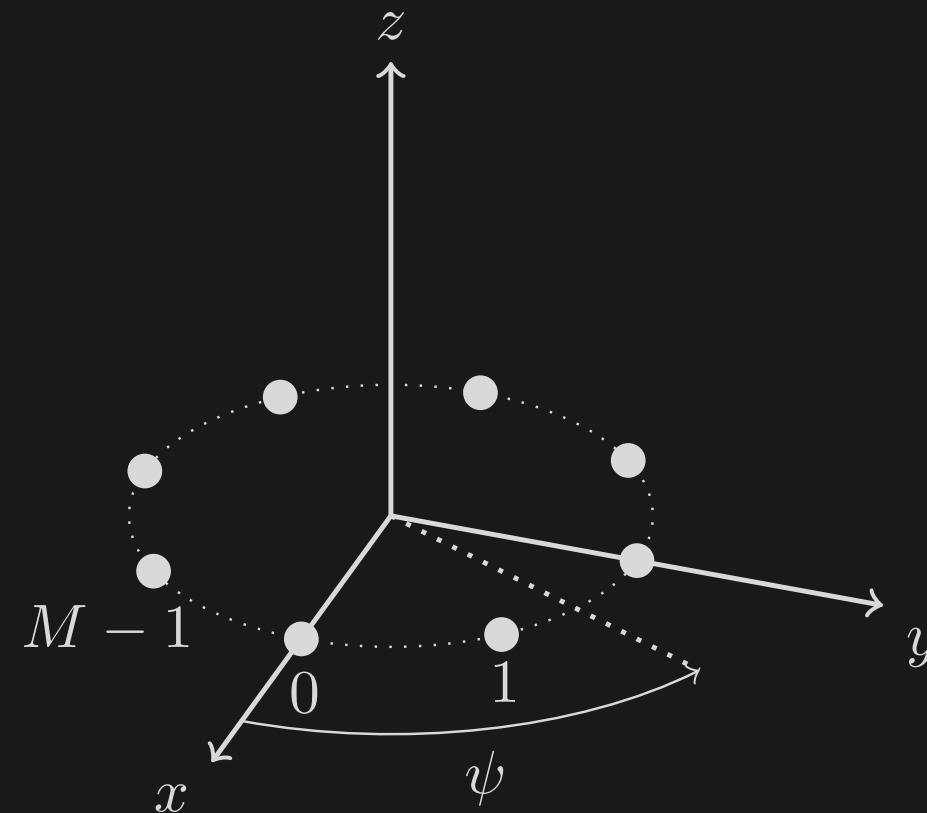
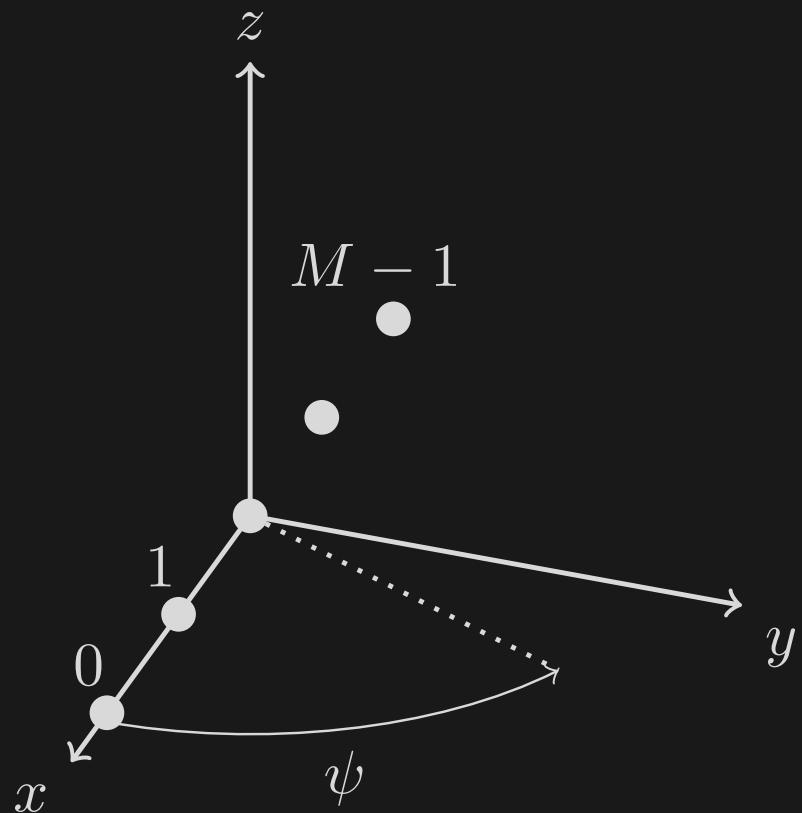
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Array Structures



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Estimation Methods

- Delay-and-Sum Beamformer
- MUSIC
- Bartlett
- MVDR (Capon)
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Delay-and-Sum Beamformer:

$$\hat{\psi} = \arg \max_{\psi} \sum_{k=1}^N \left| \frac{1}{M} \sum_{i=1}^M y_m[k + \psi] \right|^2$$

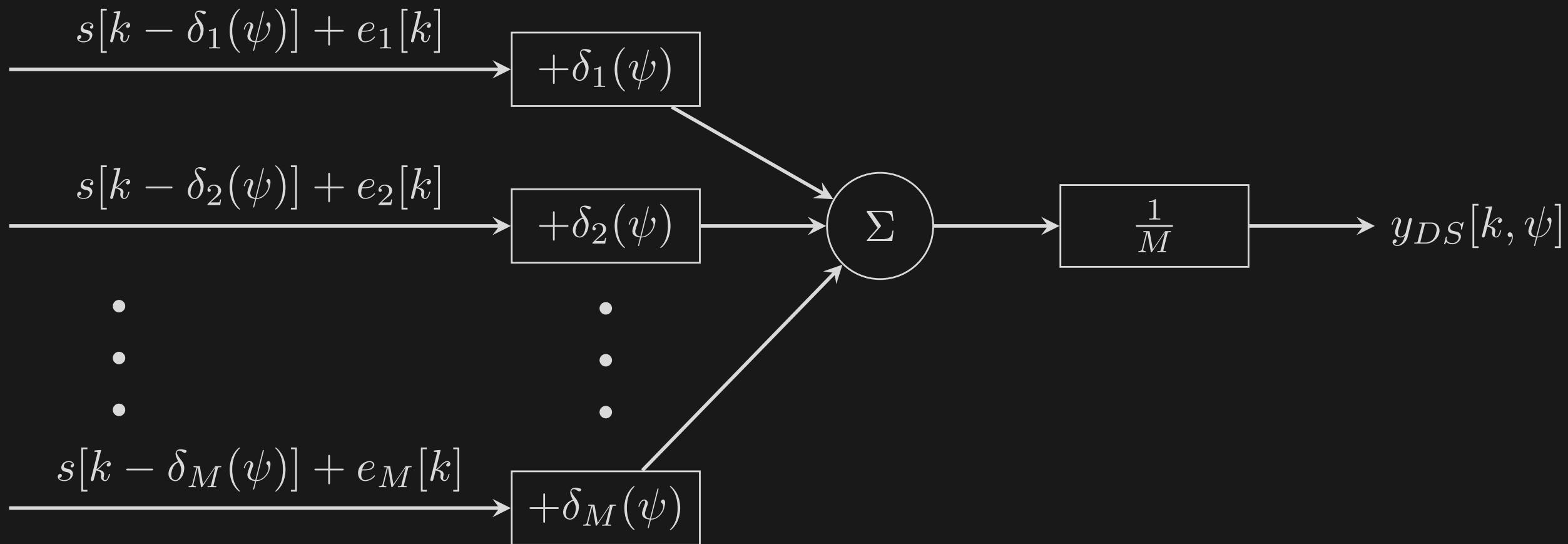
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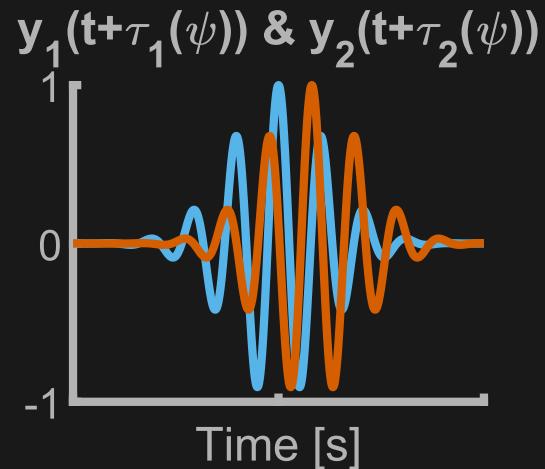
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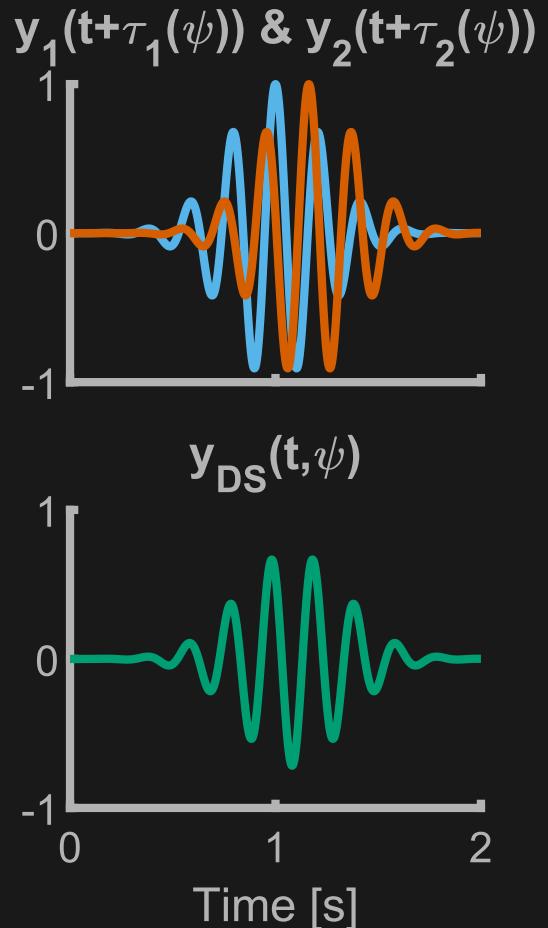
Delay-and-Sum Beamformer



Direction of Arrival Estimation

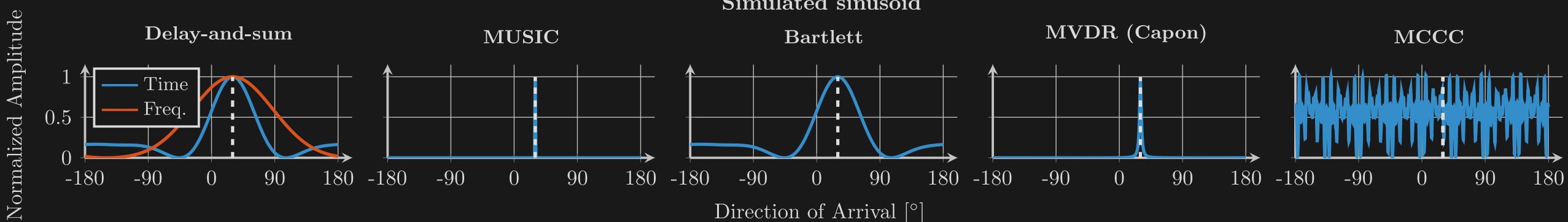


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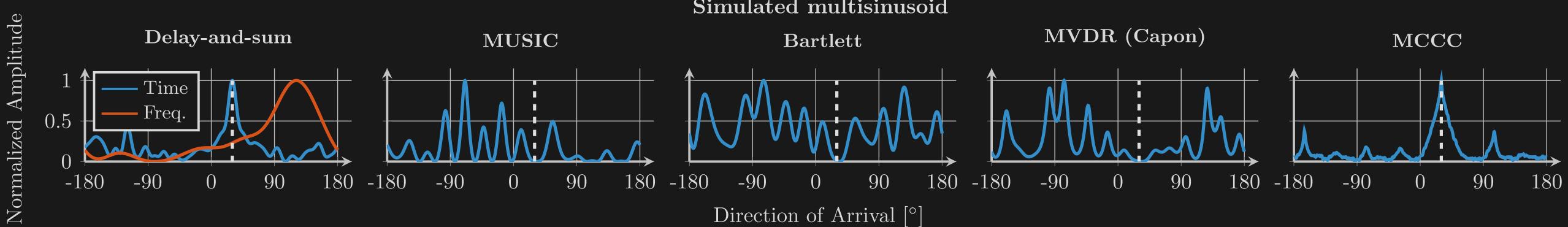
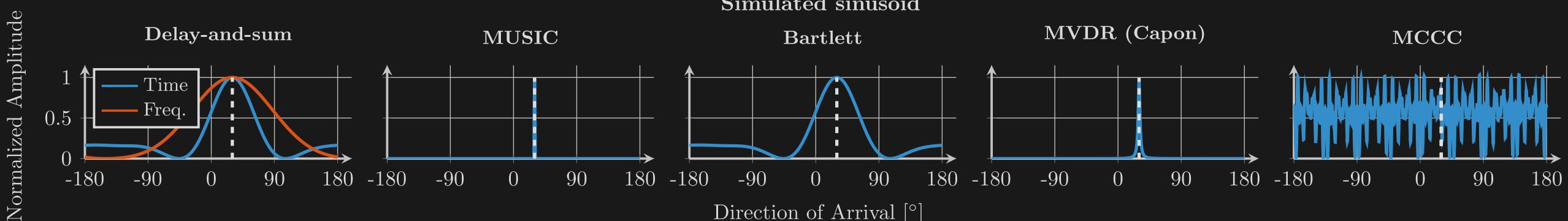


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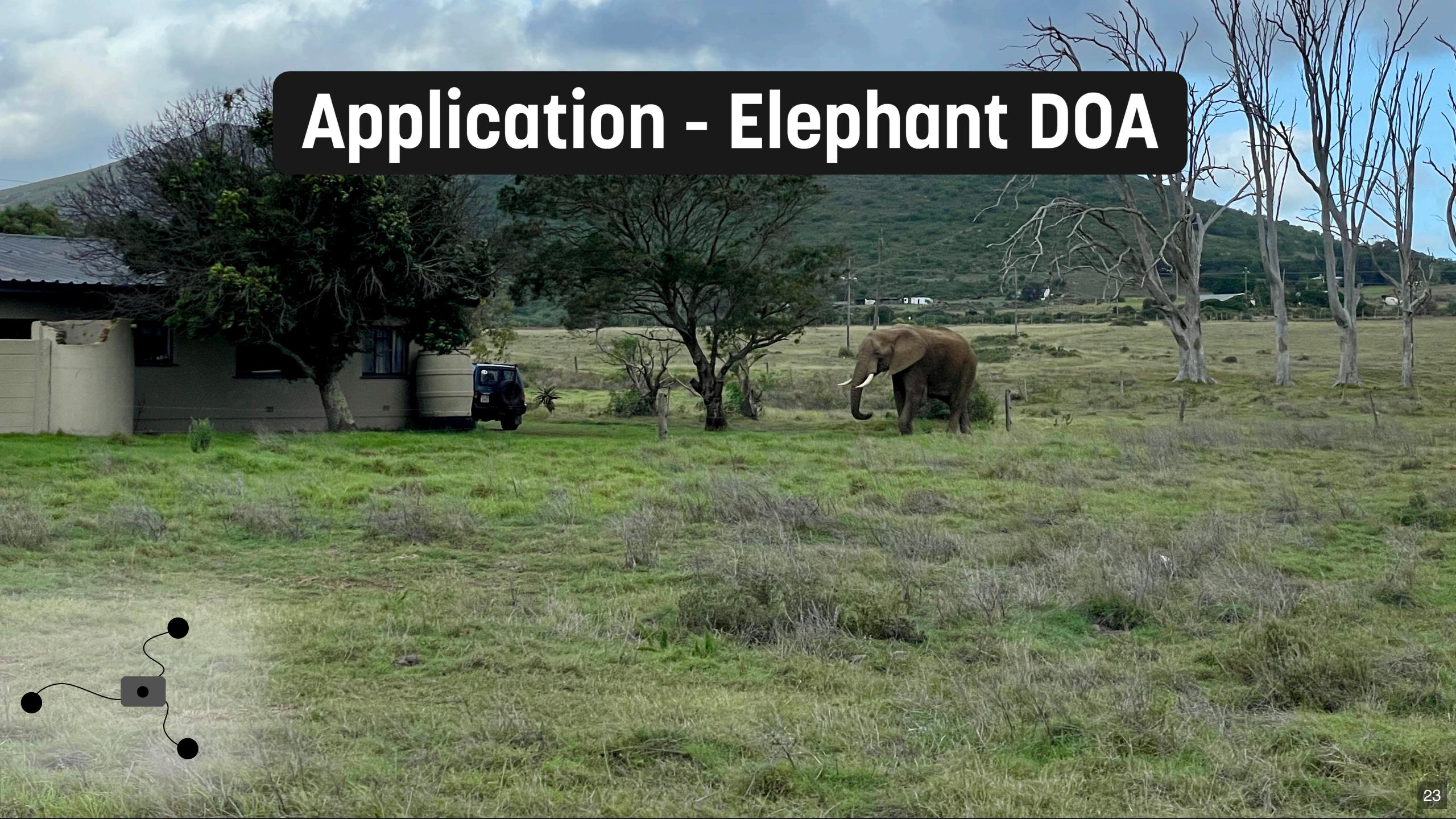
Method Comparision



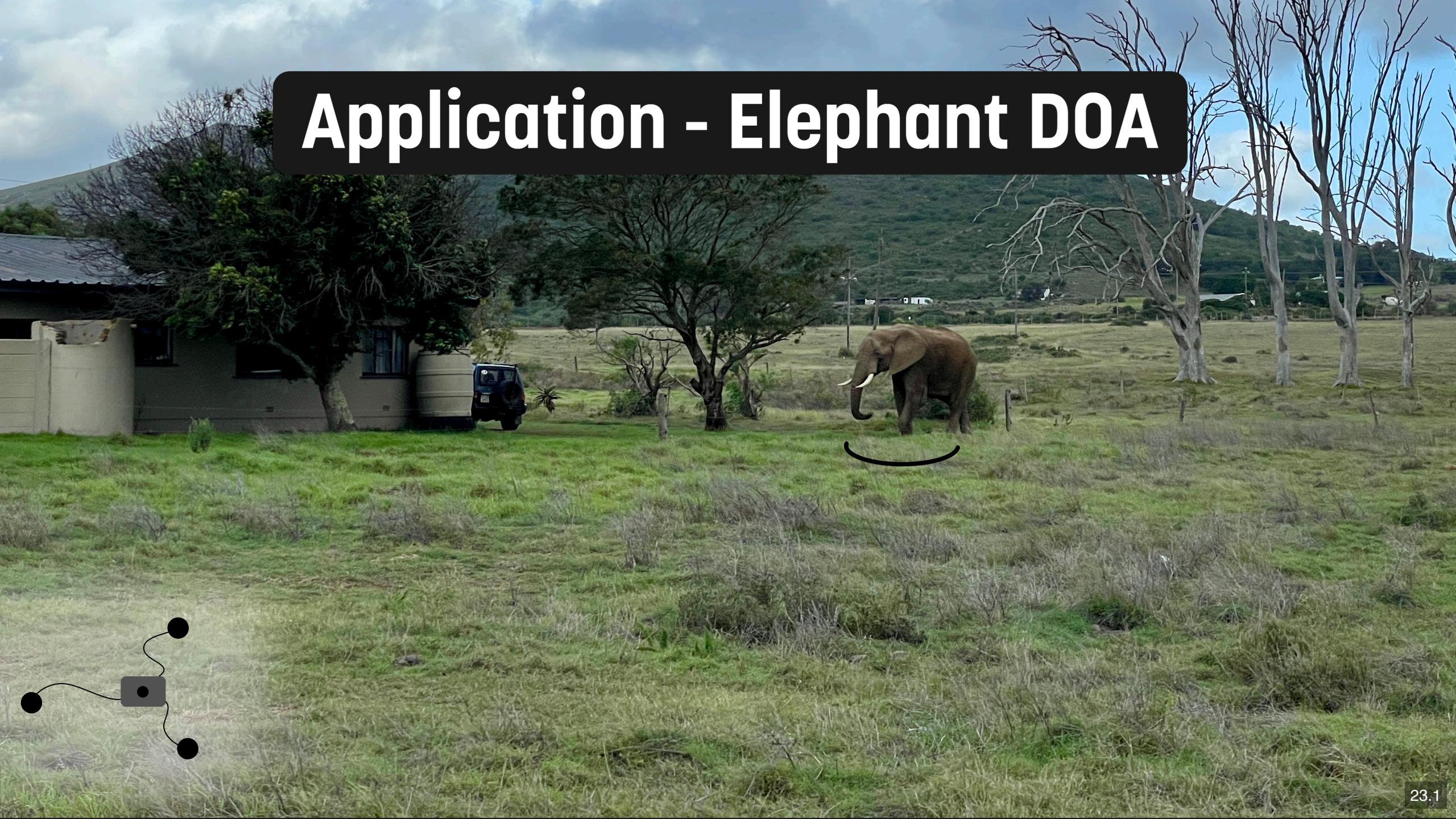
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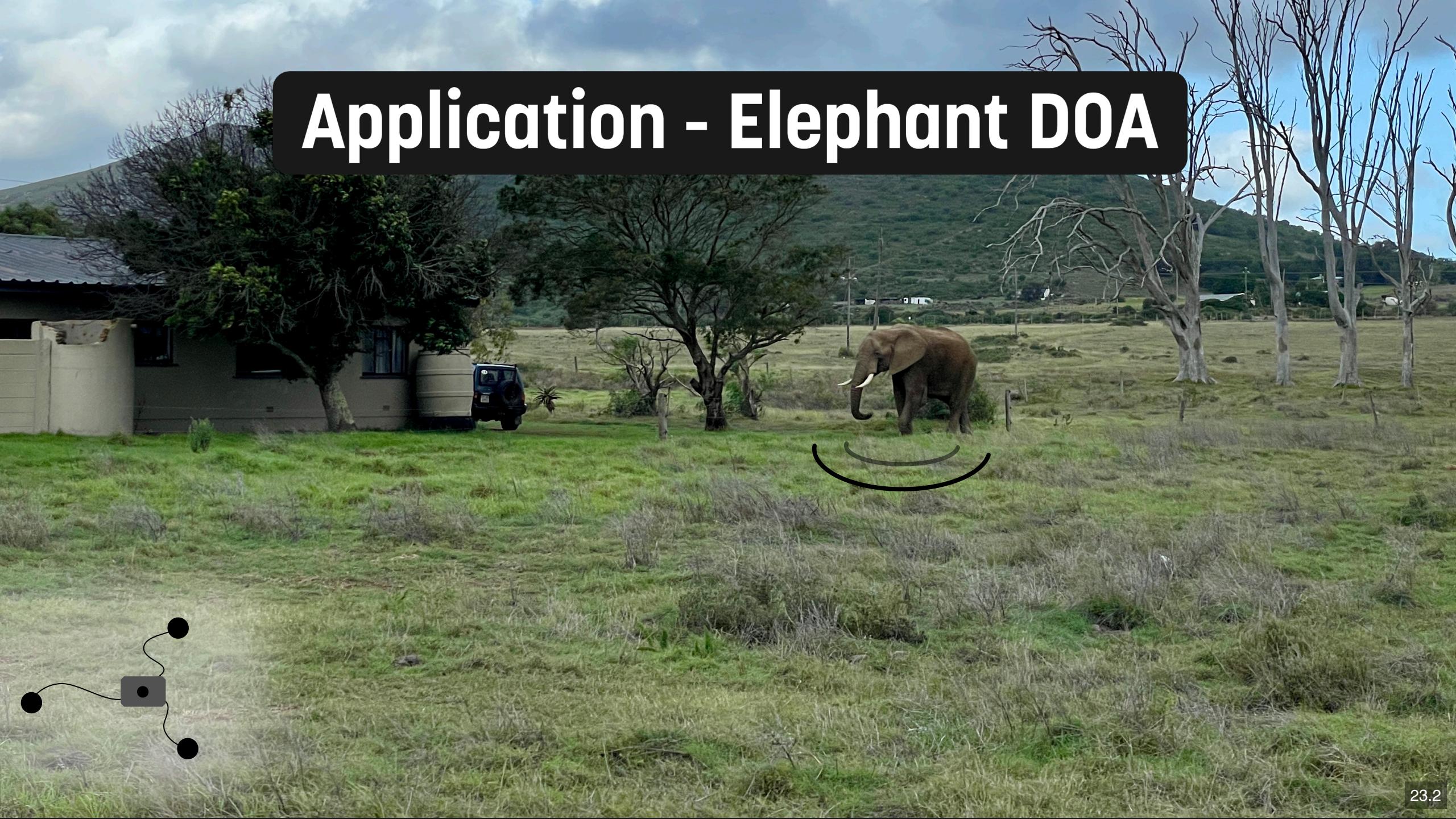
Application - Elephant DOA



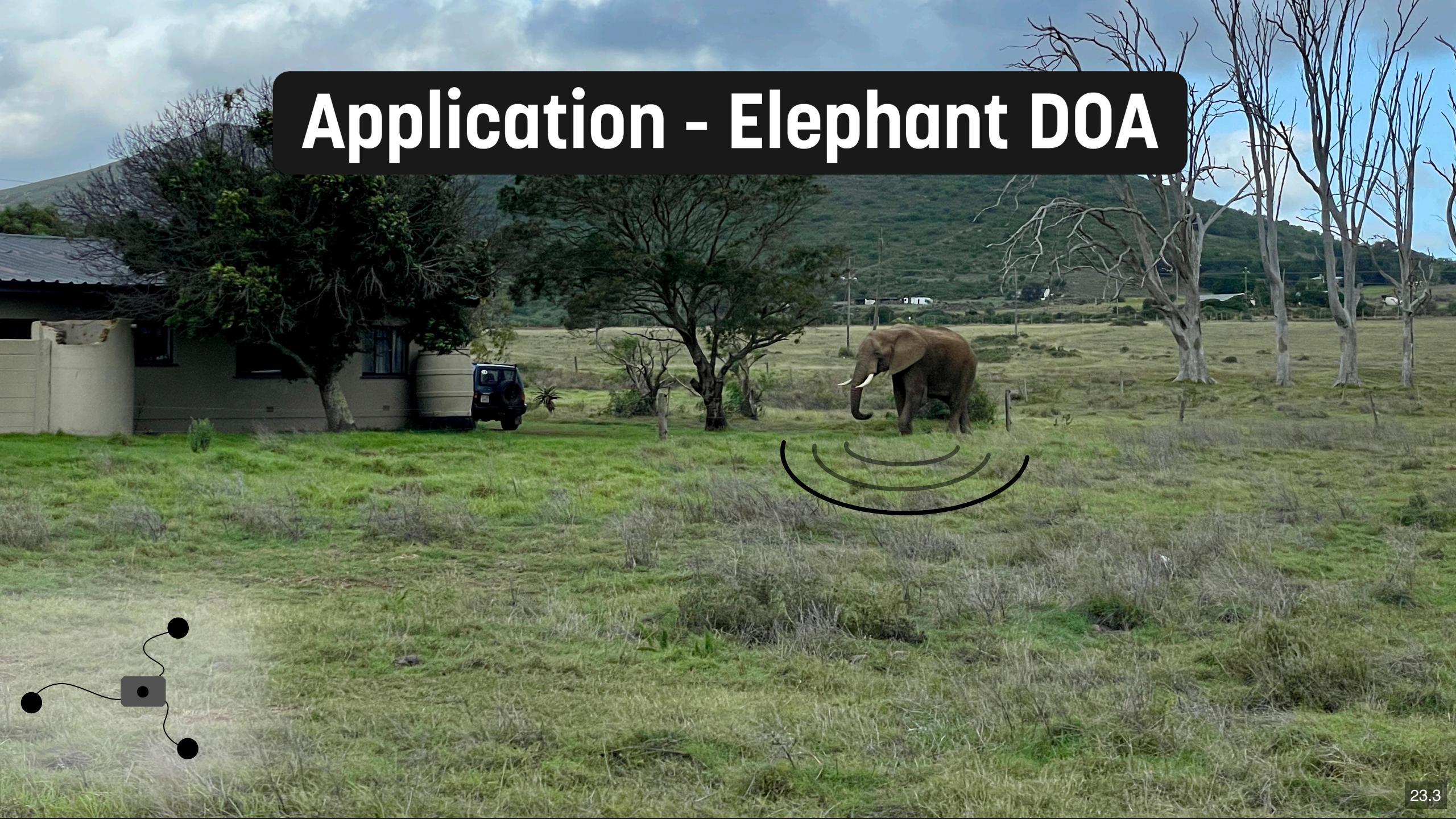
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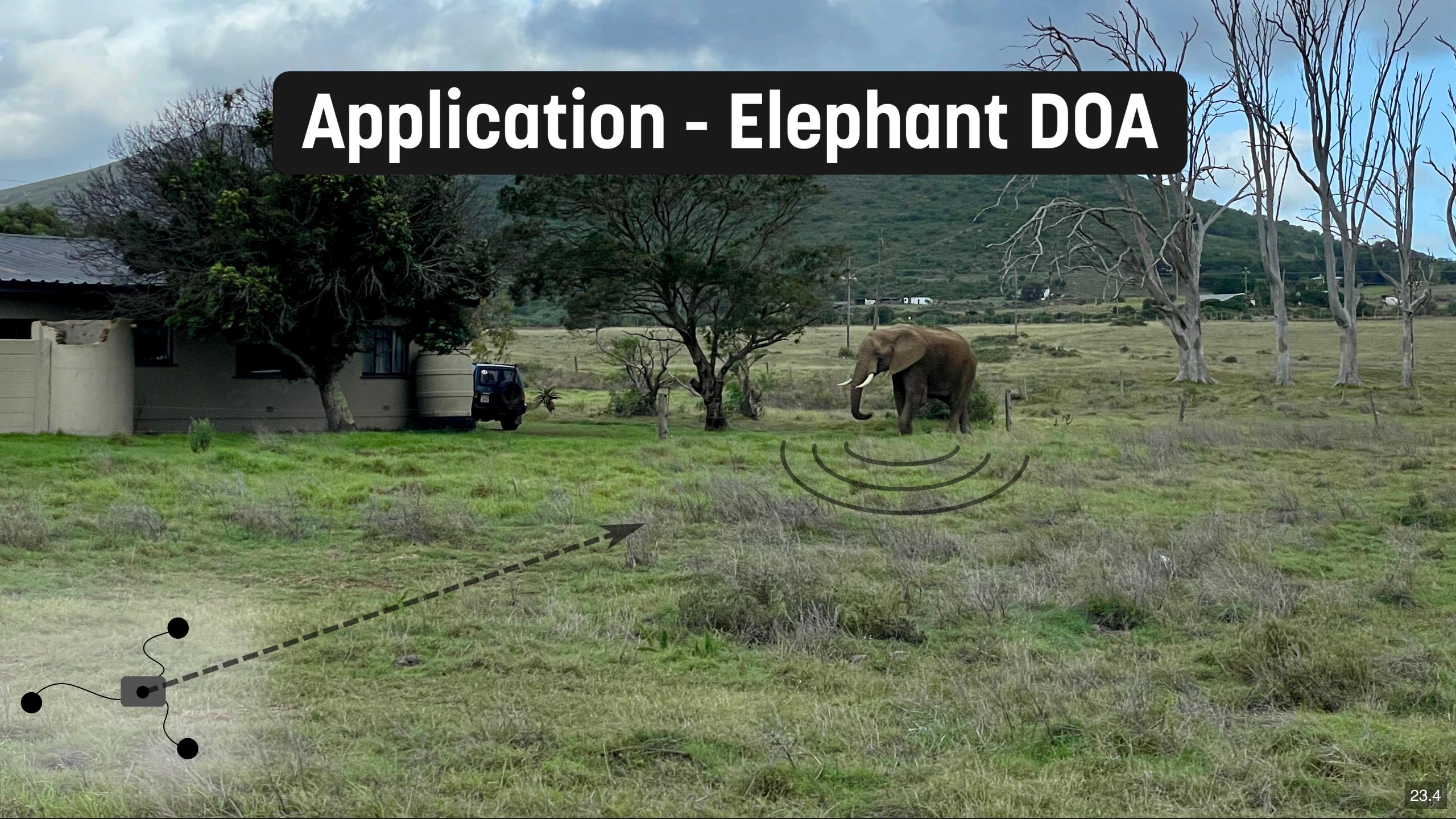
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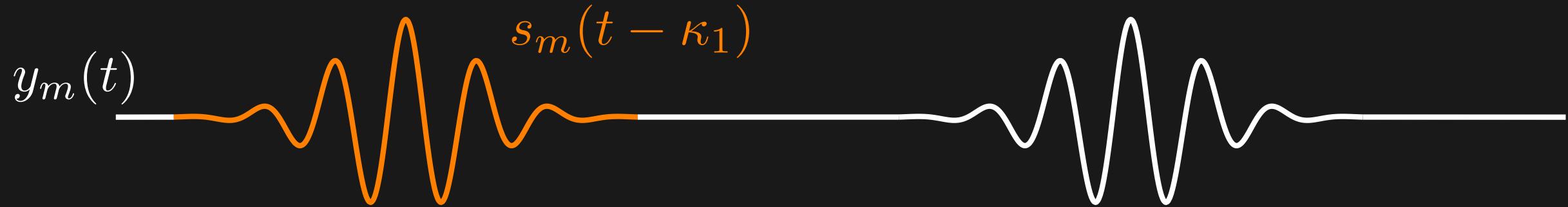
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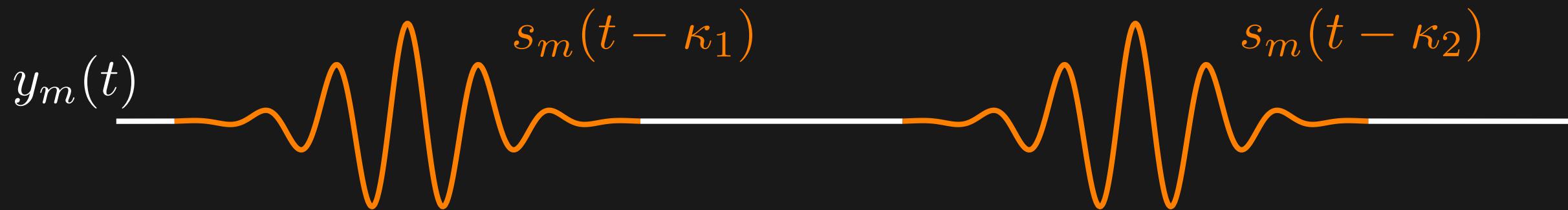
Signal Model



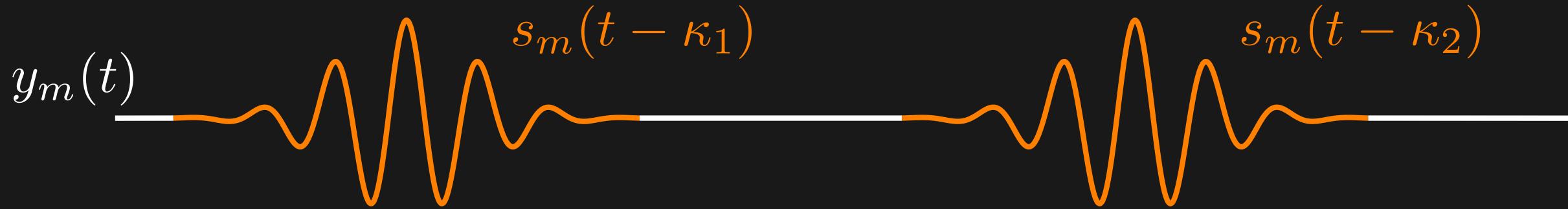
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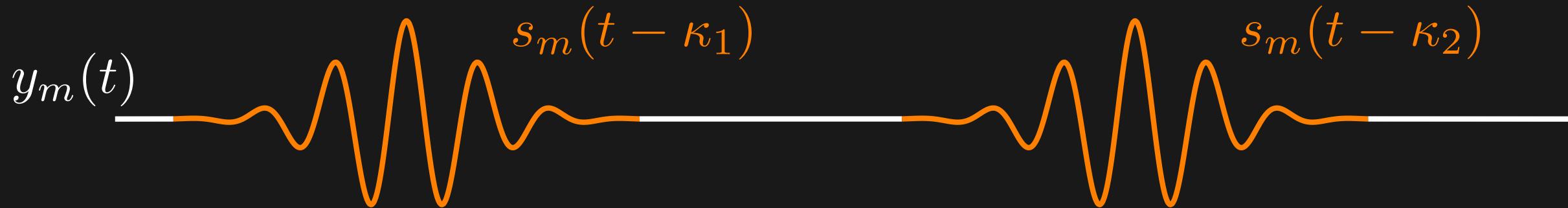


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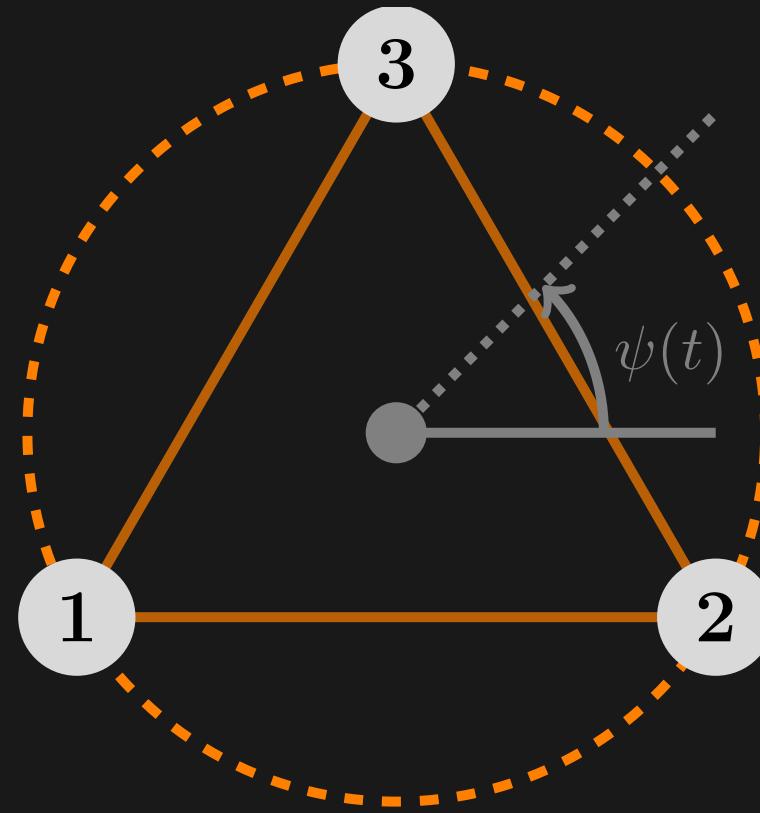
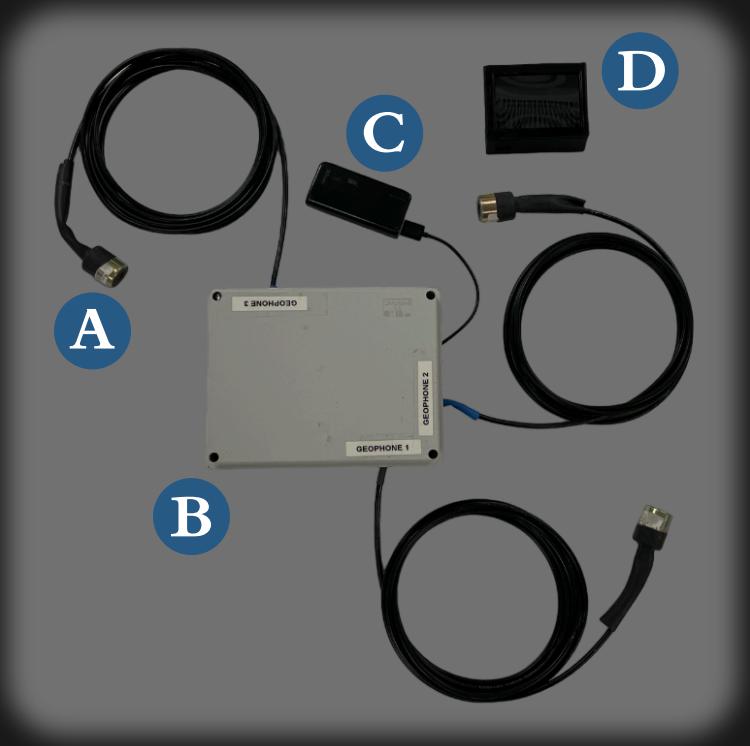
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$s_m(t - \kappa_n)$: n th footstep for geophone m

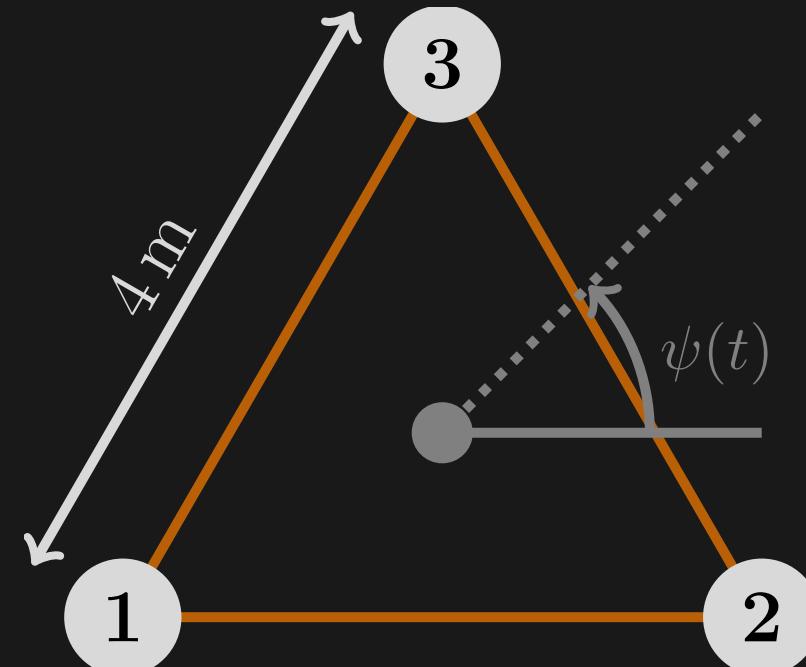
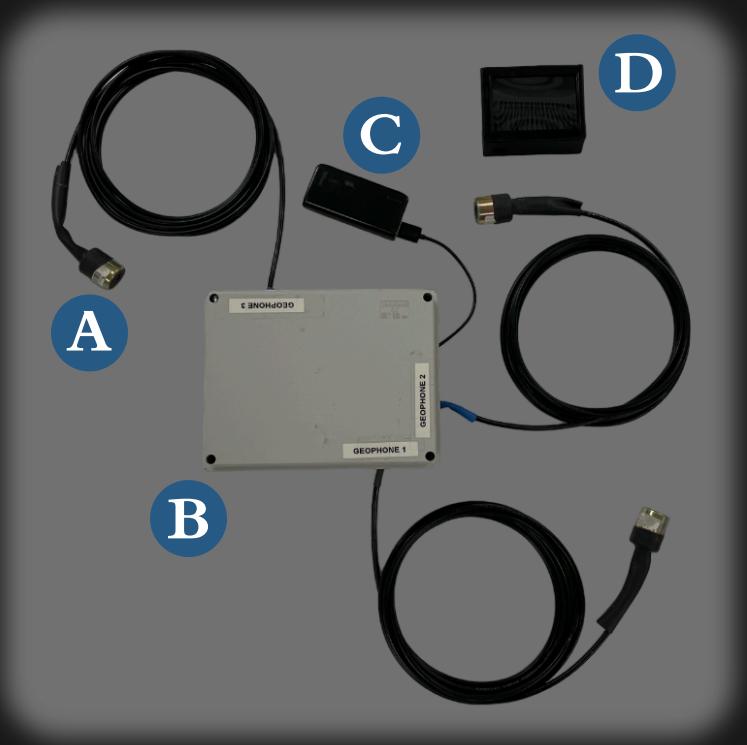
κ_n : time for footstep n

$e_m(t)$: ambient noise

Experimental setup



Experimental setup

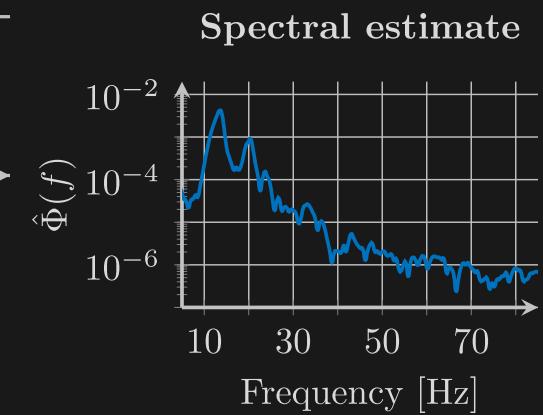
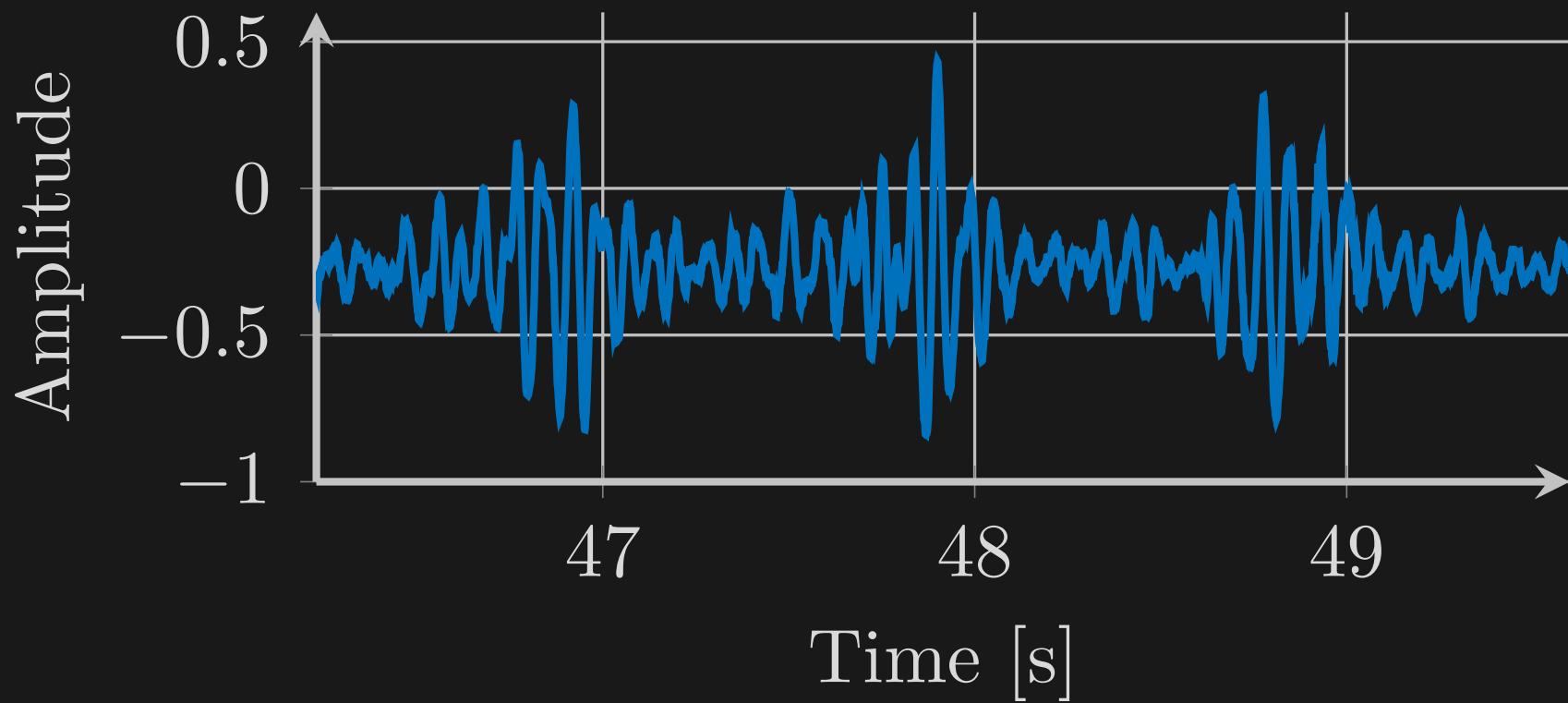


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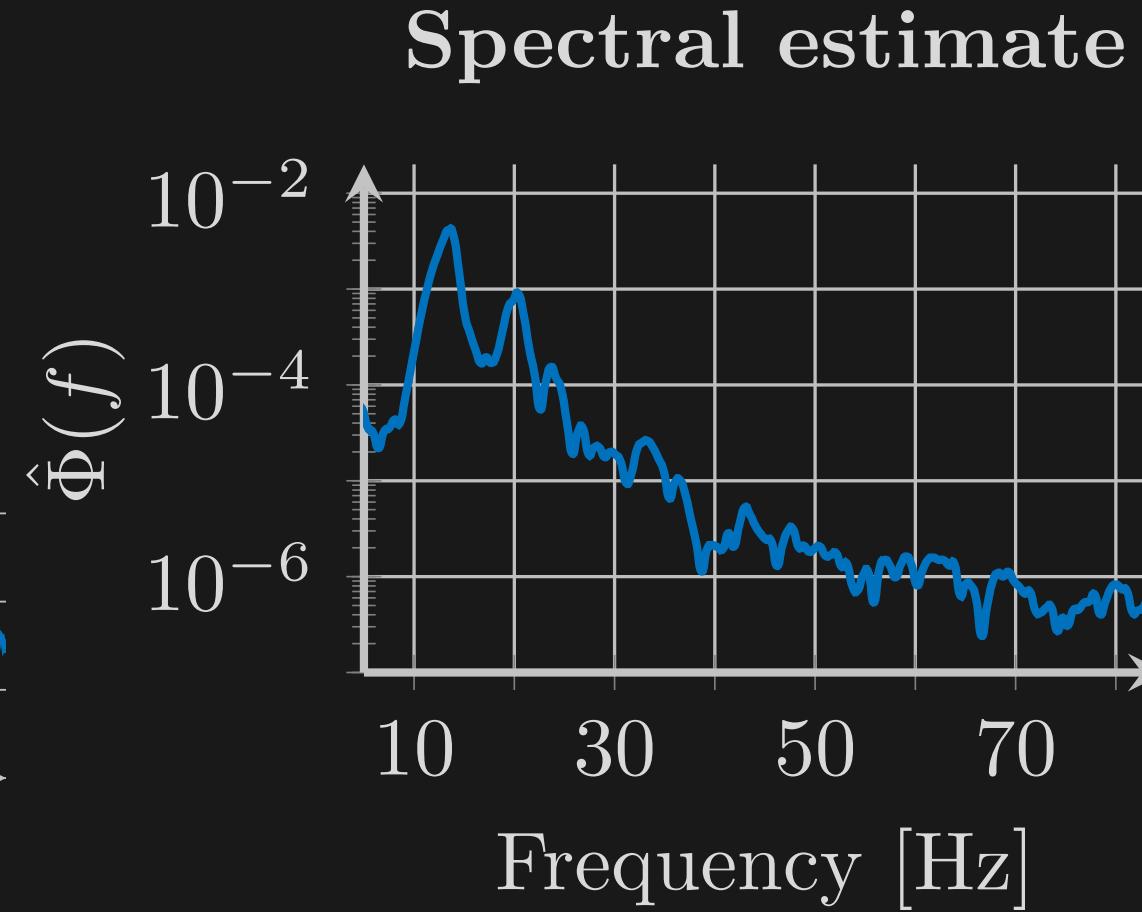
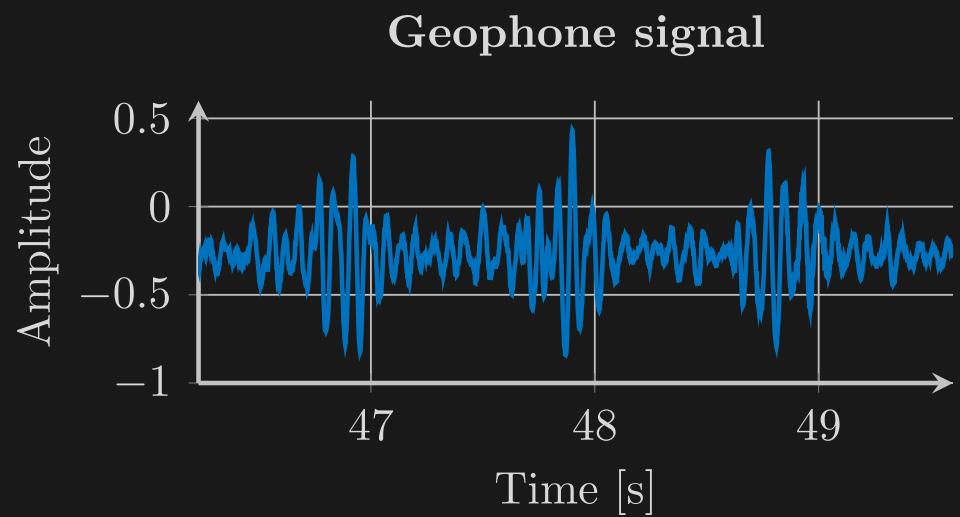


Signal Characteristics

Geophone signal

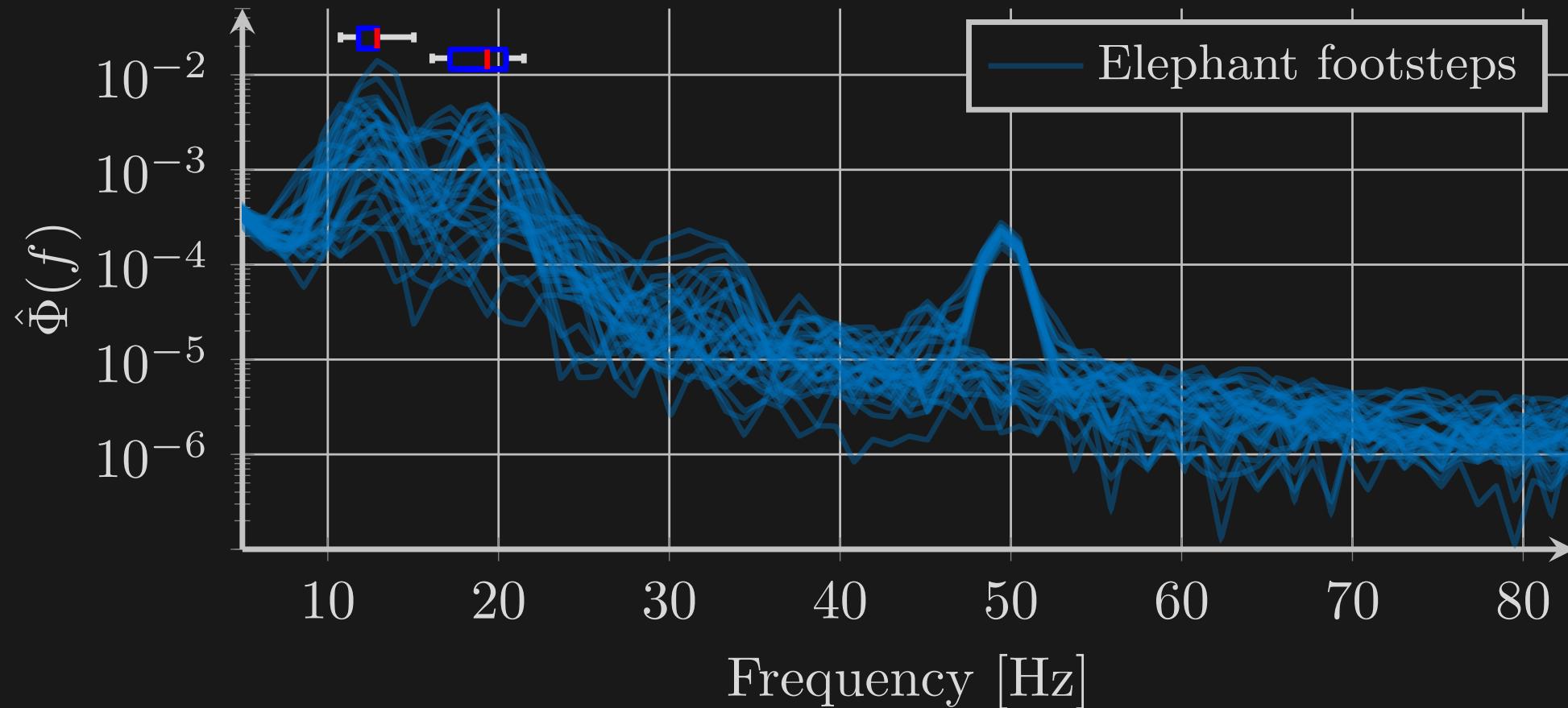


Signal Characteristics



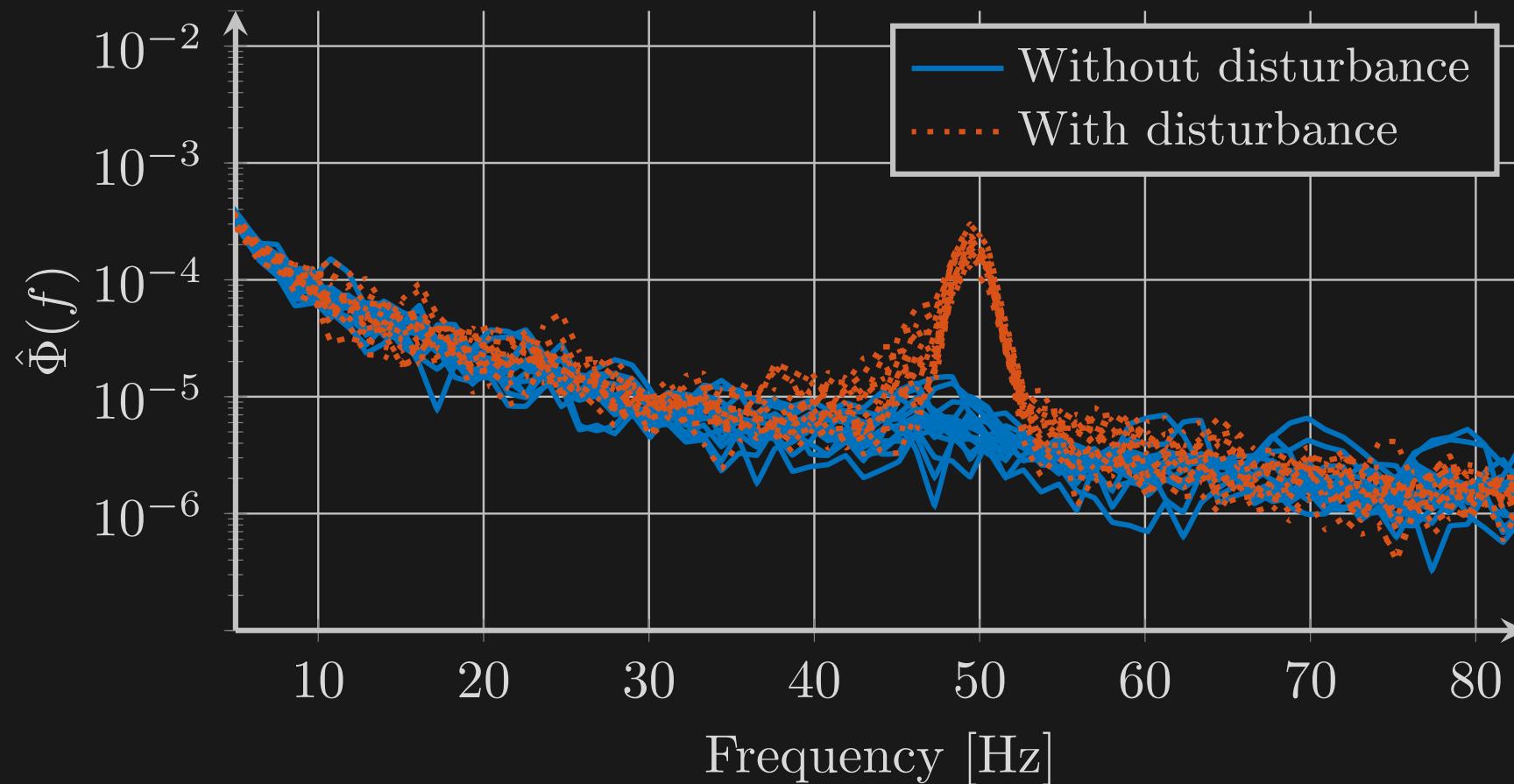
Signal Characteristics

Spectral estimate of 30 elephant footsteps

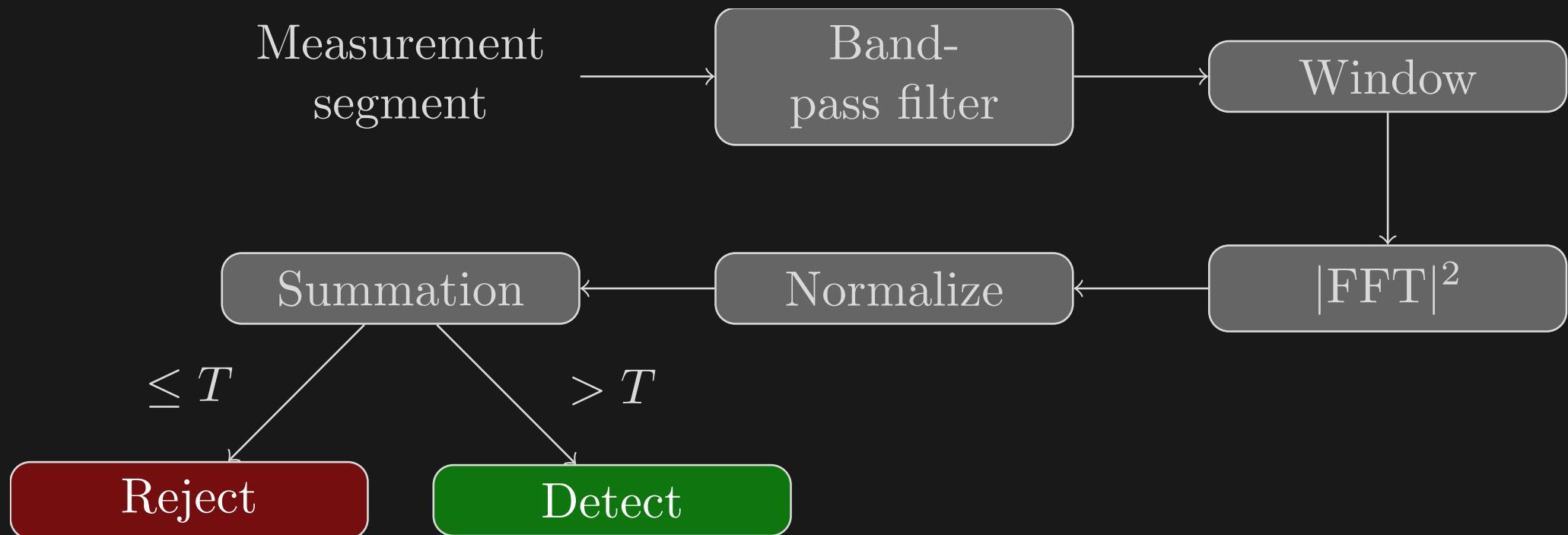


Noise Characteristics

Spectral estimate of noise



Elephant Footstep Detection



Pre-processing

- Bandpass filter between 4 and 30 Hz
- Hanning Window

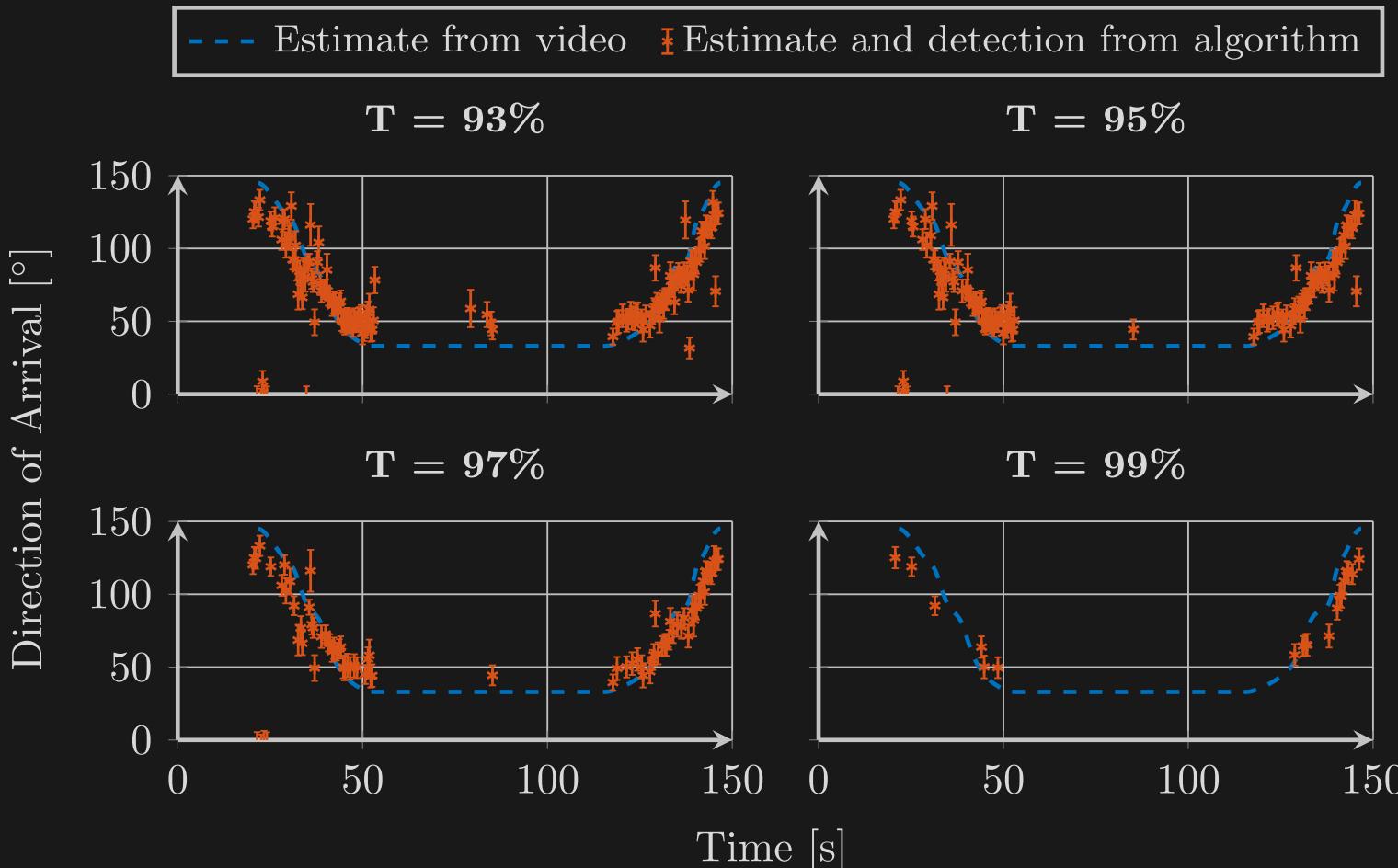
Signal Feature

- Fast Fourier transform.
- Normalized amplitude.
- Frequency content between 8 and 23 Hz.

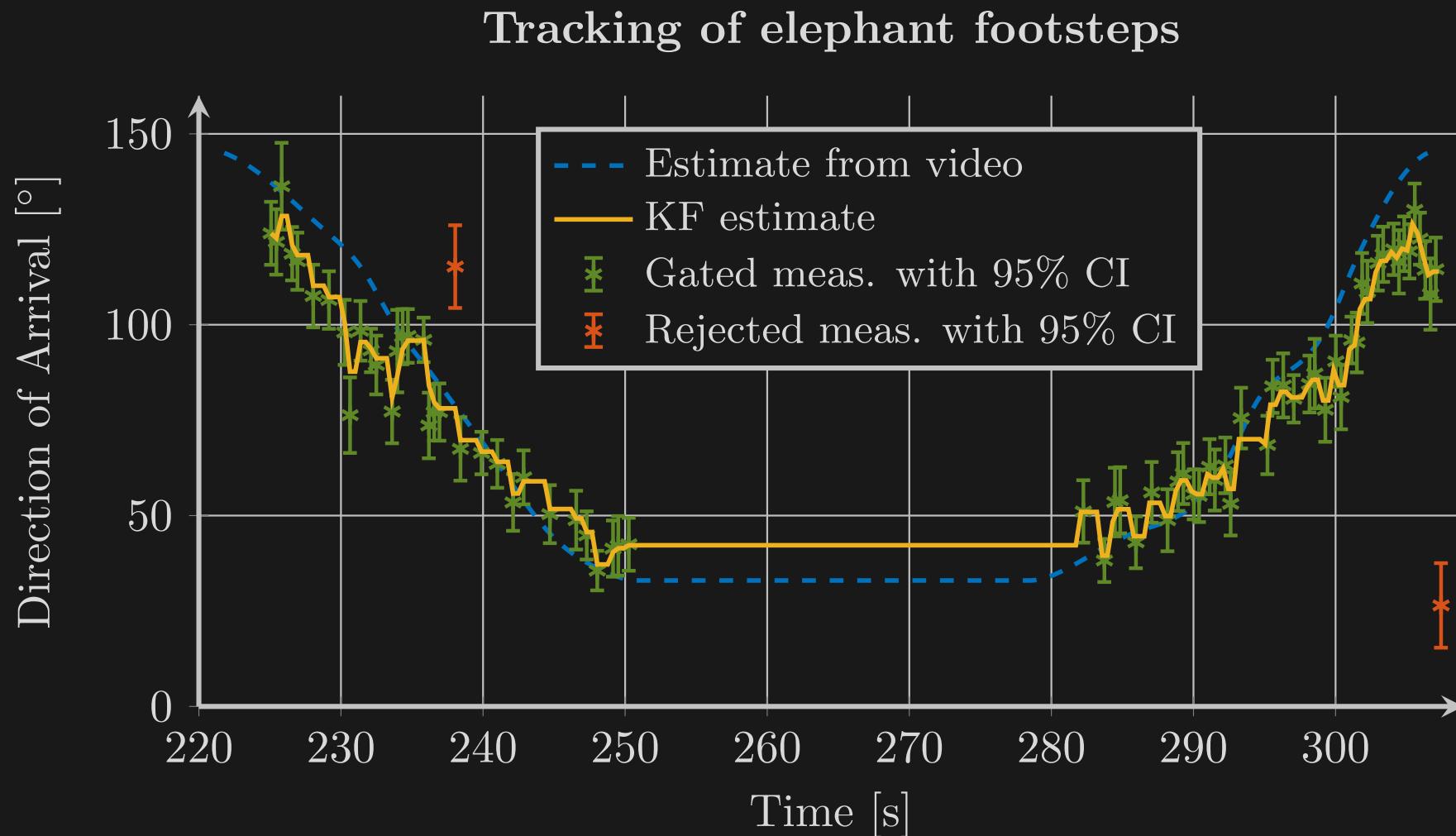
$$\frac{\text{Energy in 8-23 Hz}}{\text{Energy in 4-30 Hz}}$$

Detection Results

Detection and DOA estimation using training data



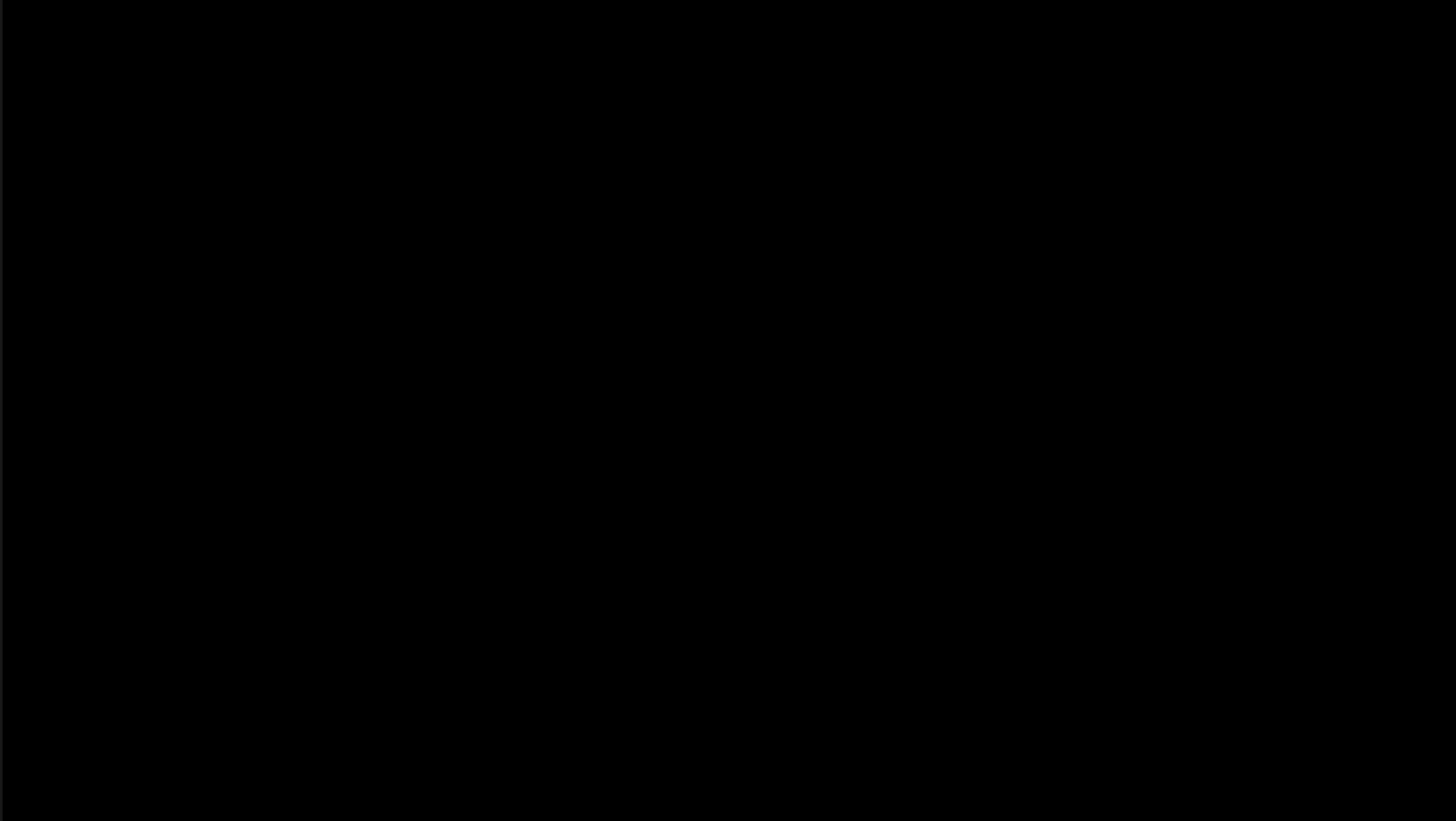
Tracking Results



Tracking Results



Tracking Results



Conclusions

- Detection and DOA estimation of elephants

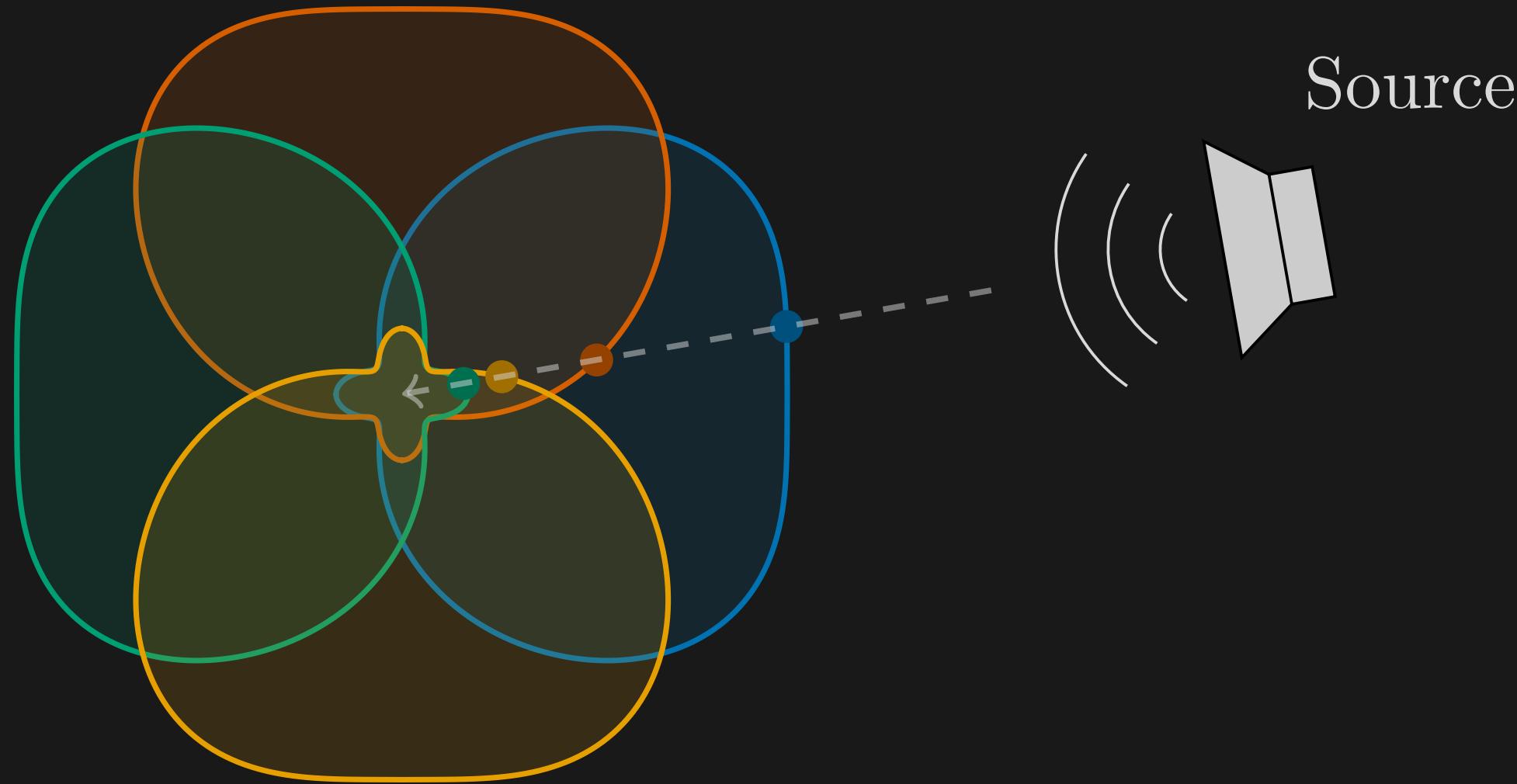
Conclusions

- Detection and DOA estimation of elephants
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- Detection and DOA estimation of elephants
- Works well within 15-40 meters
- Direction of arrival estimate is accurate

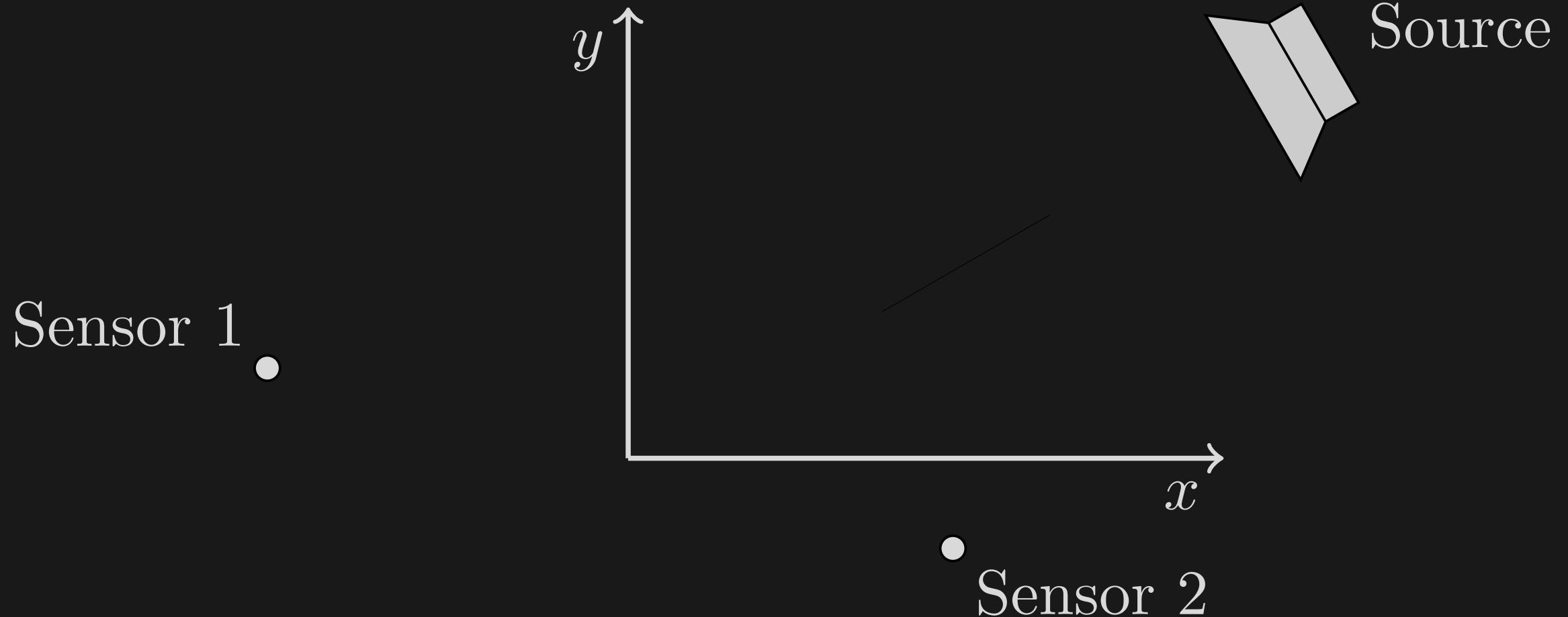
Directional Sensitivity DOA



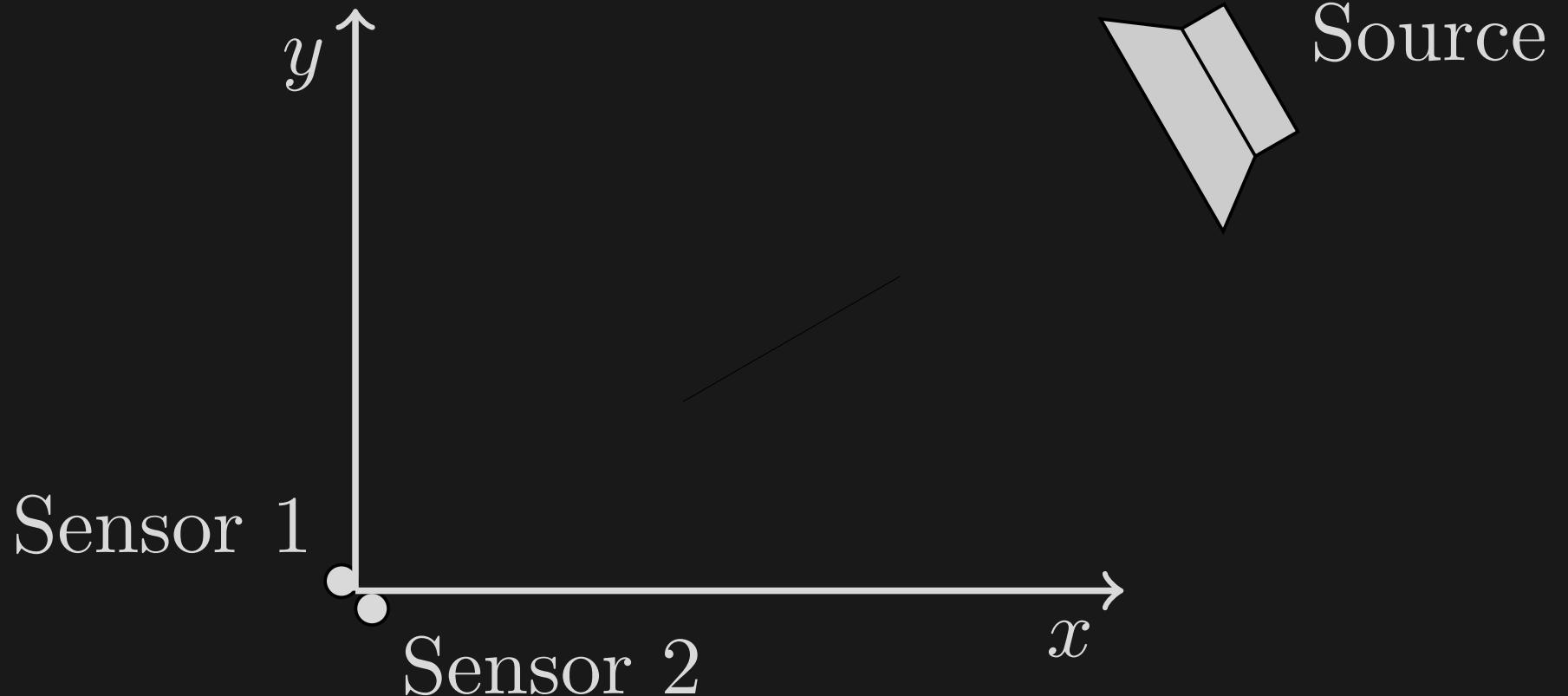
Motivation

- Classical methods rely on time-differences.
- Requires separation of the sensors in space.
- Placing them too far apart leads to ambiguity in DOA.
- Placing them too close decrease DOA resolution.

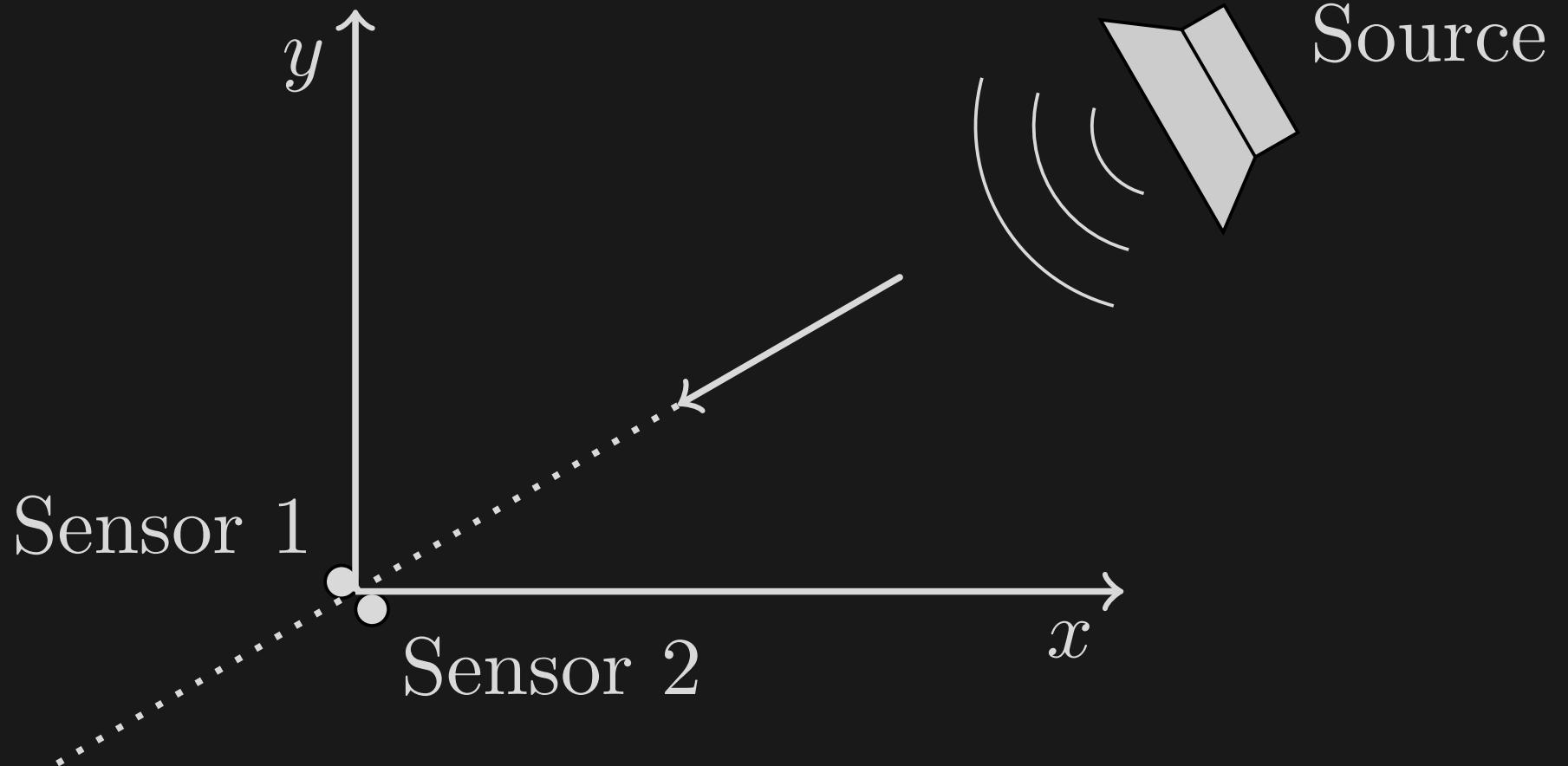
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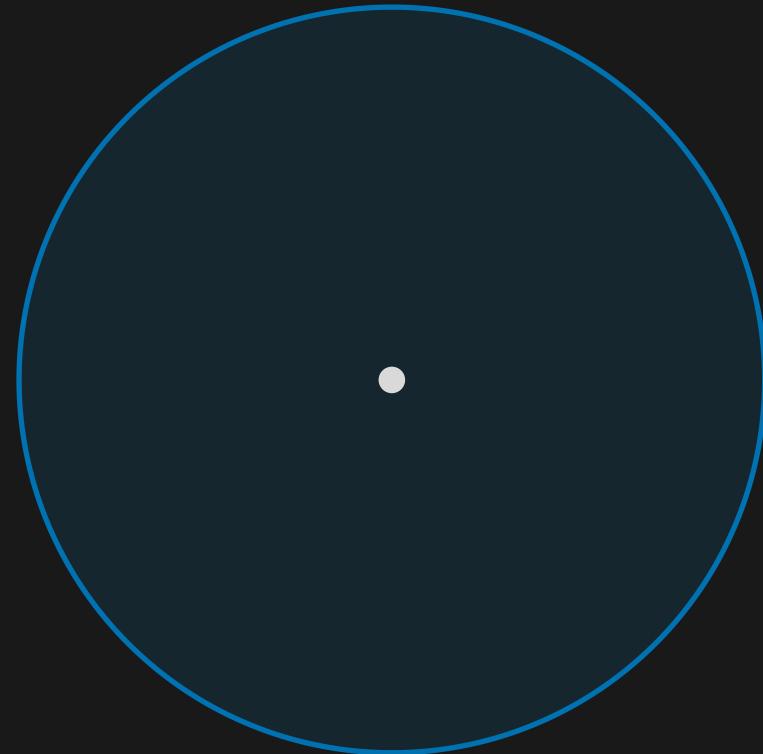


General Idea



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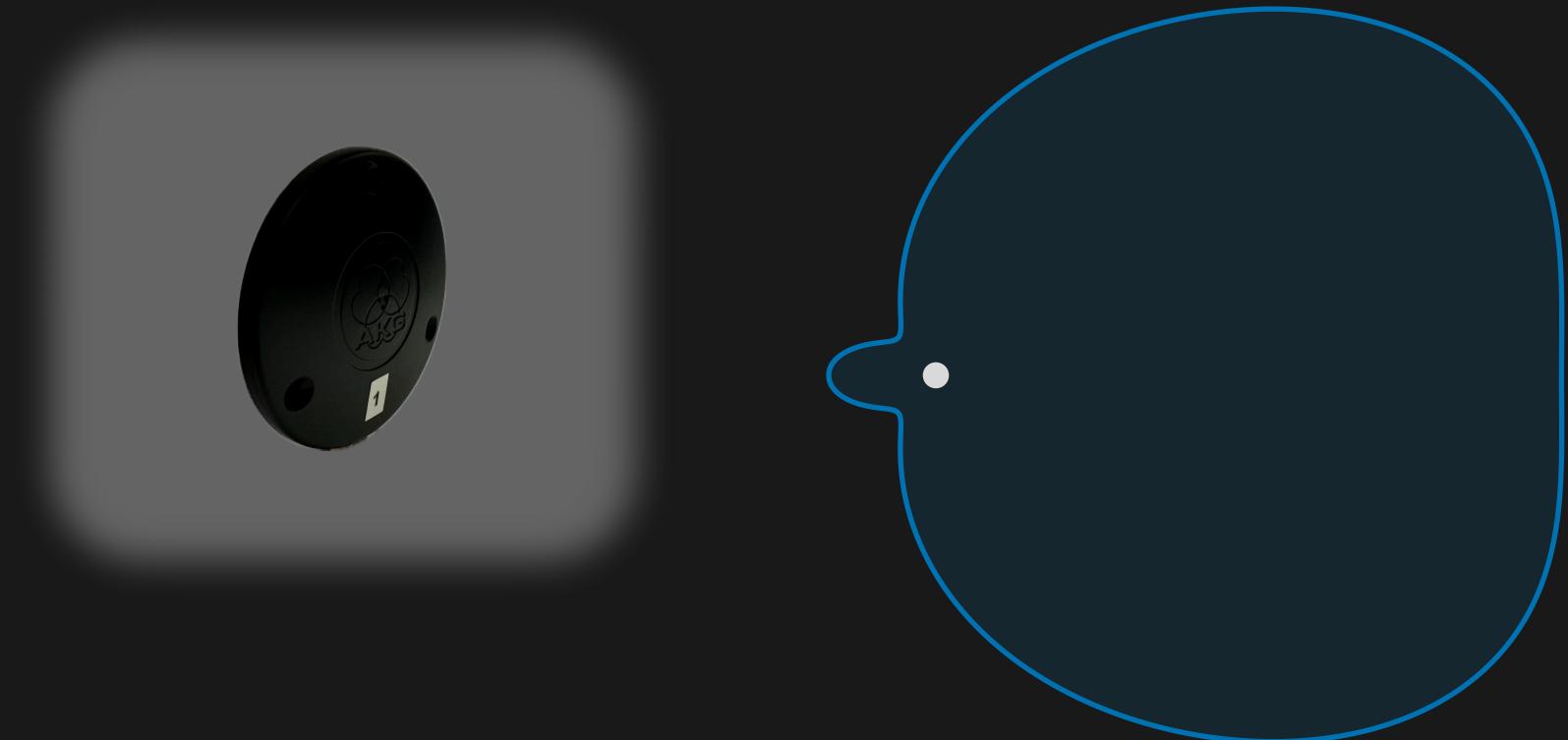


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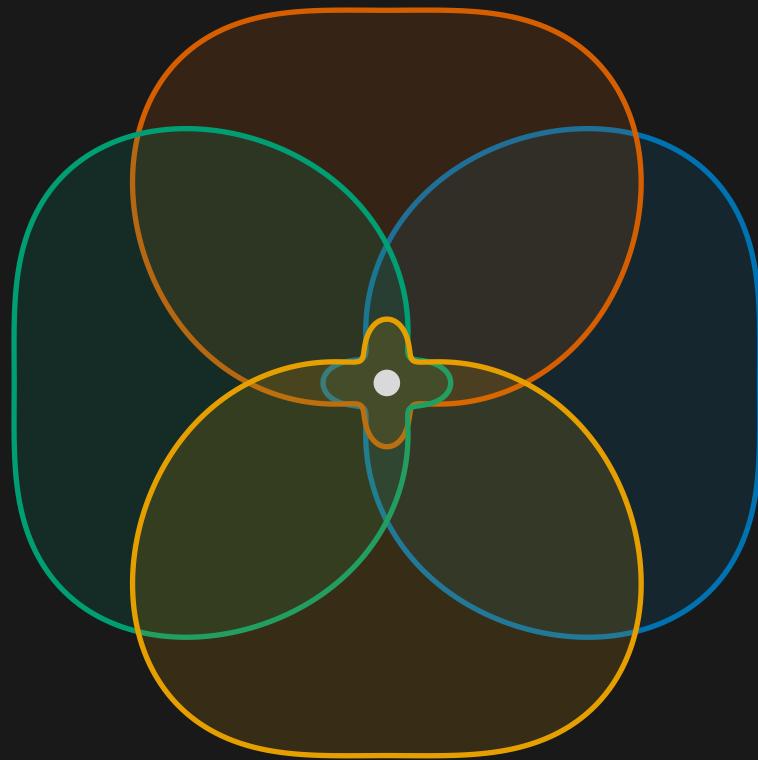


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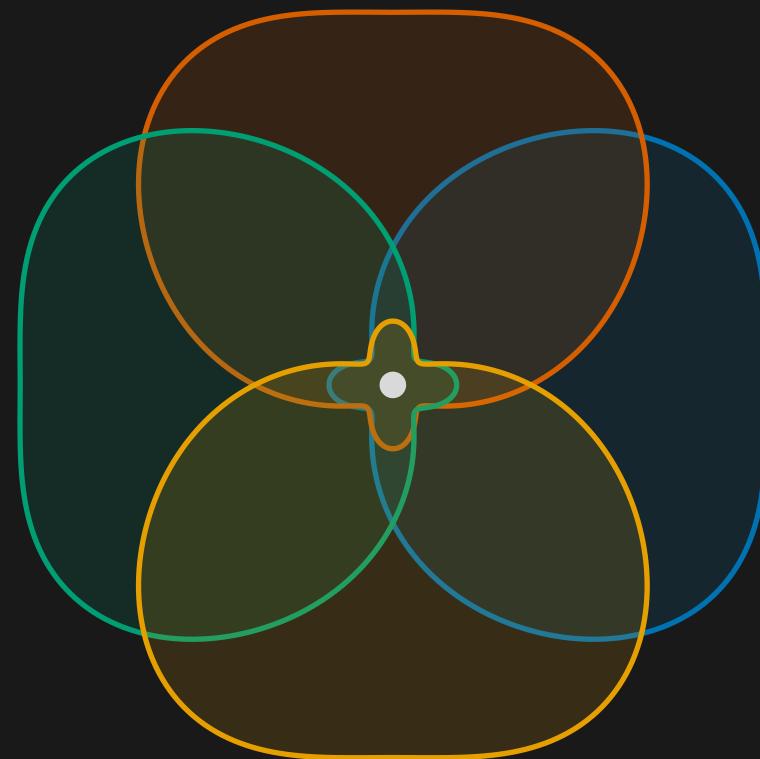


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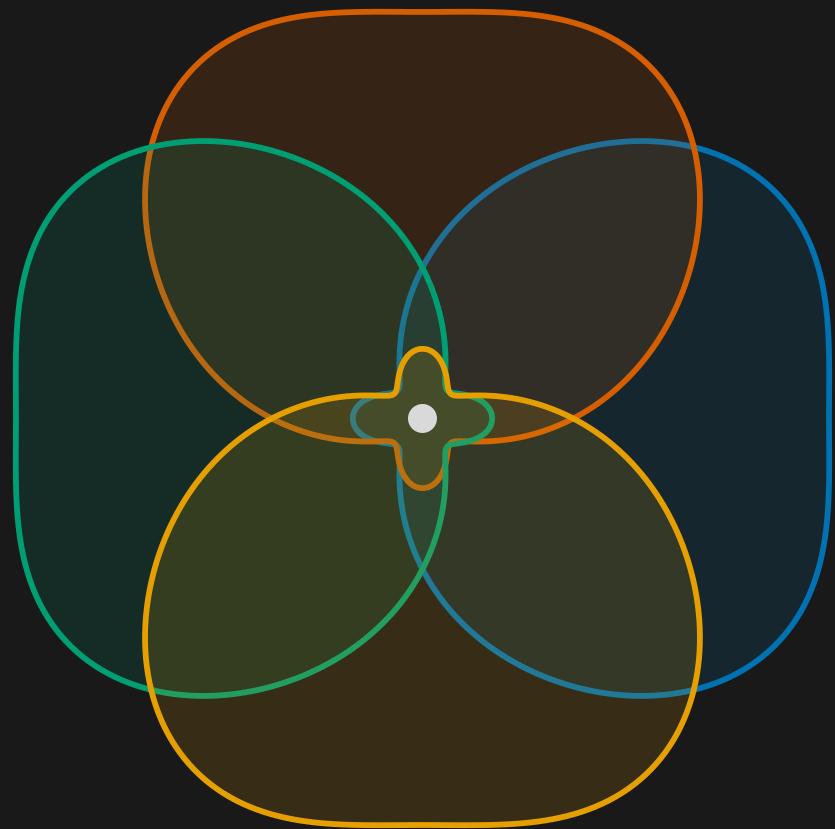
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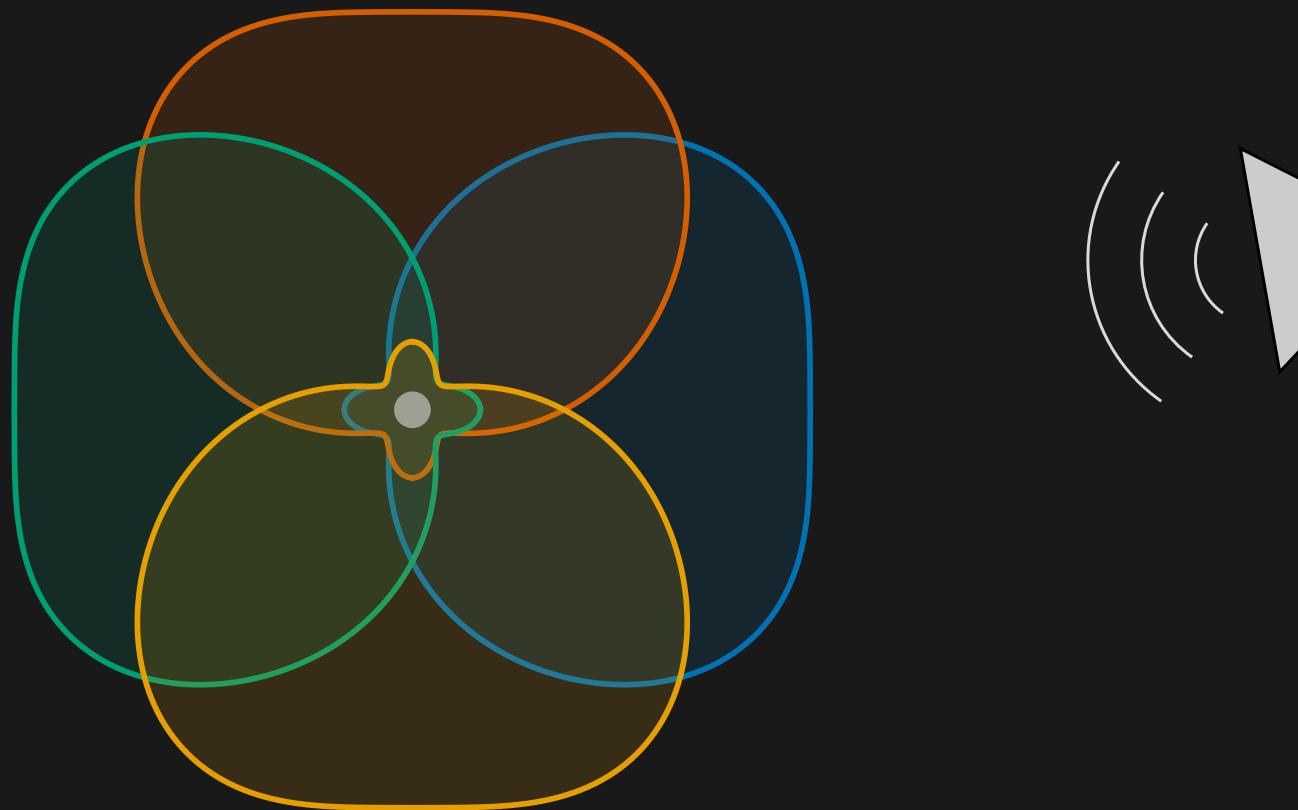
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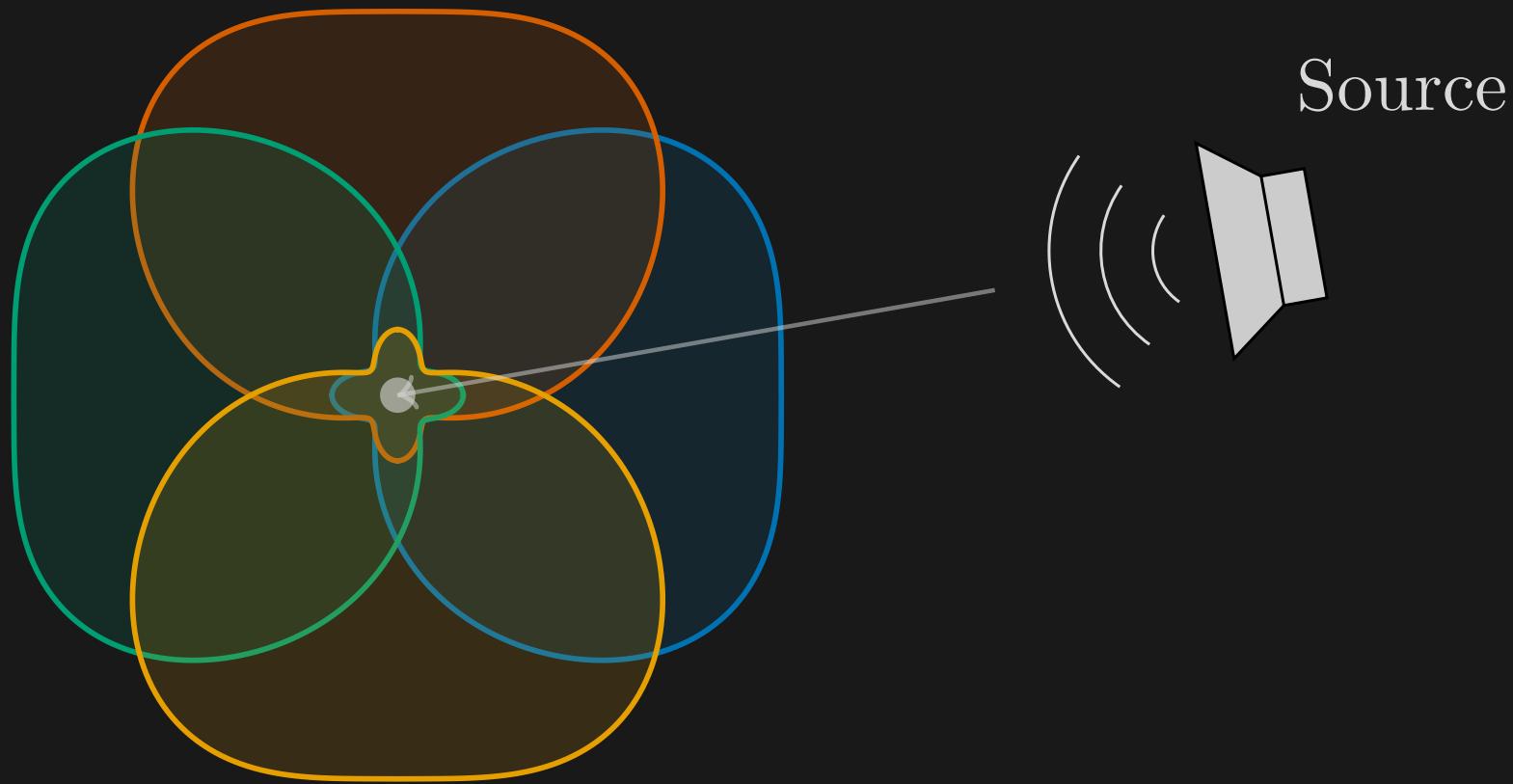


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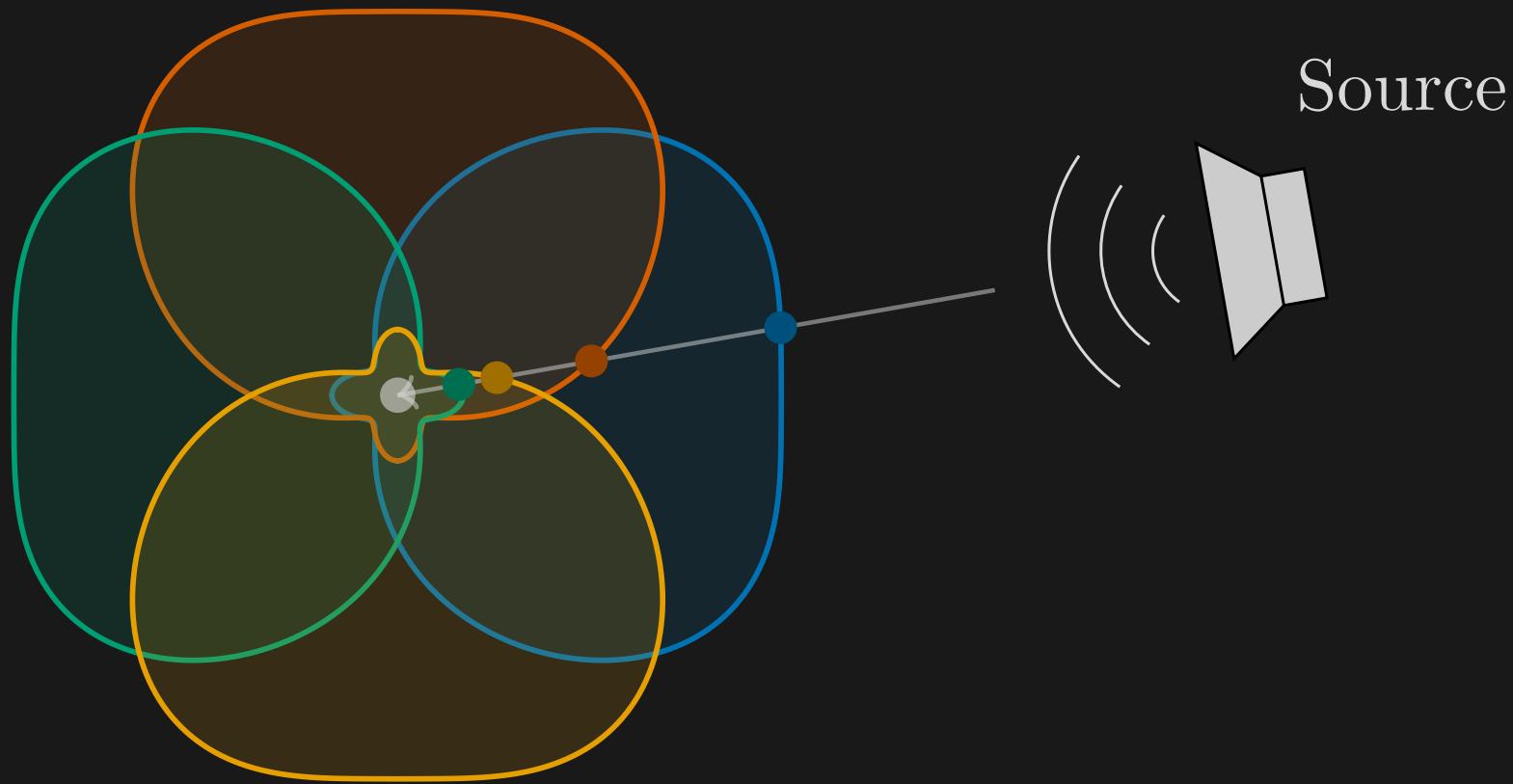


Source

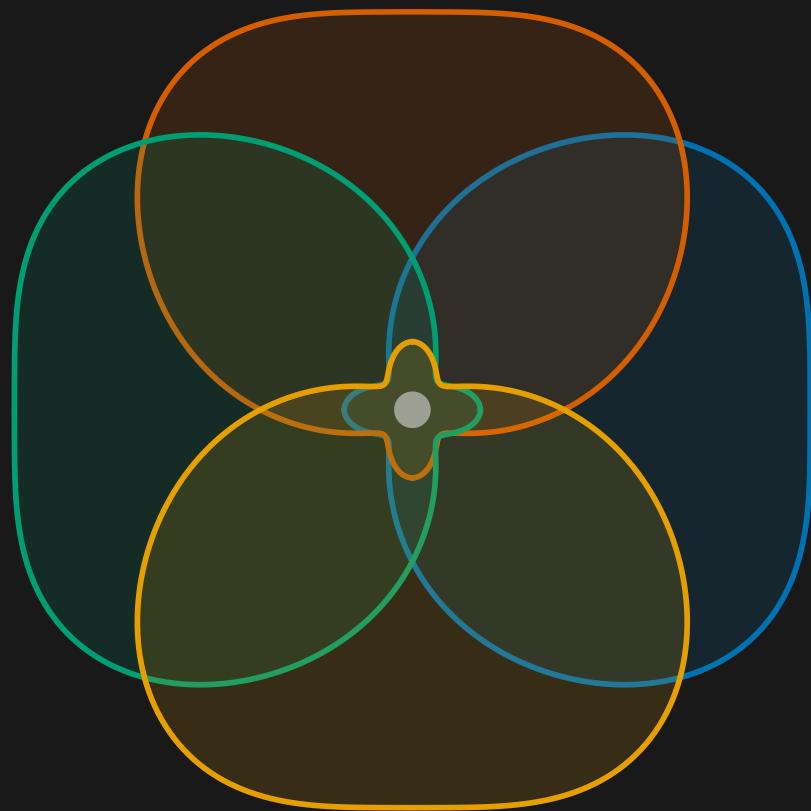
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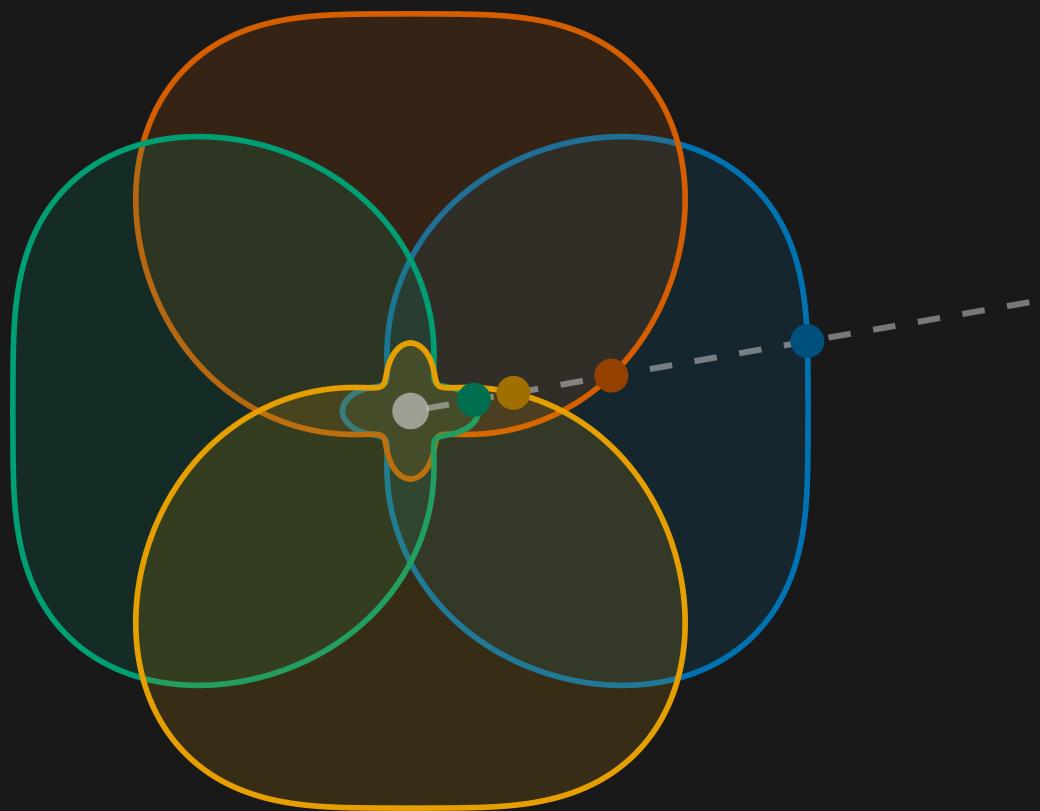
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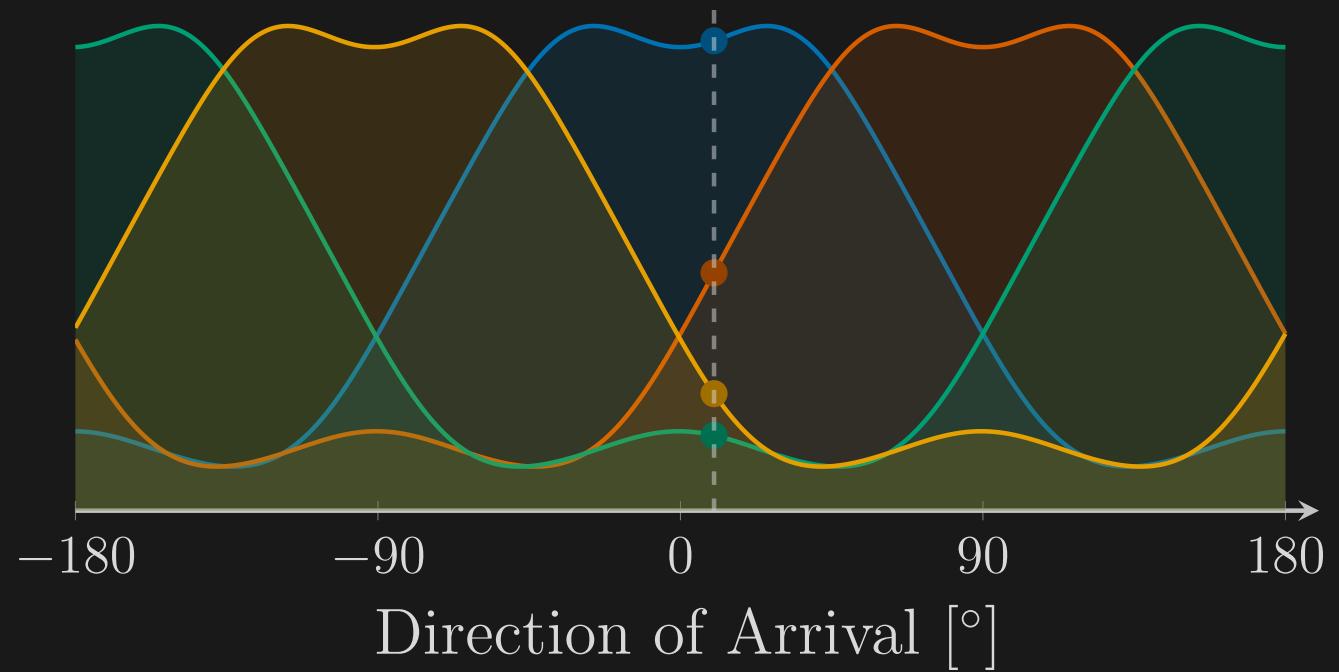
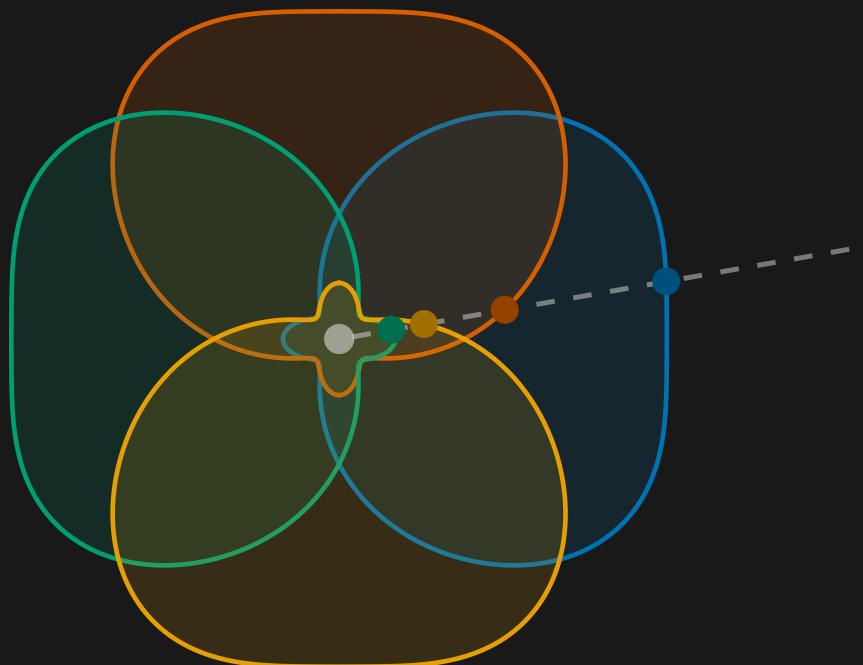
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$$P_m = \frac{1}{L} \sum_{l=1}^L s_m^2[l] + \frac{1}{L} \sum_{l=1}^L 2s_m[l]e_m[l] + \frac{1}{L} \sum_{l=1}^L e_m^2[l],$$

$$\tilde{P}_m^s$$

$$\tilde{P}_m^{sw}$$

$$\tilde{\nu}_m$$

Signal model

$$y_m[l] = s_m[l] + e[l], \quad e[l] \sim \mathcal{N}(0, \sigma_m^2)$$

$$P_m = \frac{1}{L} \sum_{l=1}^L s_m^2[l] + \frac{1}{L} \sum_{l=1}^L 2s_m[l]e_m[l] + \frac{1}{L} \sum_{l=1}^L e_m^2[l],$$

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$$\tilde{P}_m^s$$

$$\tilde{P}_m^{sw} \rightarrow 0$$

$$\tilde{\nu}_m$$

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$$\begin{aligned} P_m^s &\xrightarrow{\omega} 0 \\ P_m^{sw} &\xrightarrow{\omega} 0 \\ v_m &\sim \mathcal{N}\left(\sigma_m^2, \frac{2\sigma_m^4}{L}\right) \end{aligned}$$

Signal model

$$P_m = P_m^s + v_m$$

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$$P_m(\psi) = \alpha g_m h(\psi, \theta_m) + v_m$$

α : absolute power level

g_m : gain of microphone m

$h(\psi, \theta_m)$: directivity pattern

Fourier series Model

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Fourier series Model

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$$\begin{aligned} h(\psi, \theta_m) &= \theta_0^m + \sum_{d=1}^D \theta_{d,c}^m \cos(d\psi) + \theta_{d,s}^m \sin(d\psi) \\ &= \Phi(\psi)\theta_m \end{aligned}$$

Training

The parameters are estimated by minimizing the error between the
 θ_m, g_m
predicted and the measured power.

Training

The parameters θ_m, g_m are estimated by minimizing the error between the predicted and the measured power.

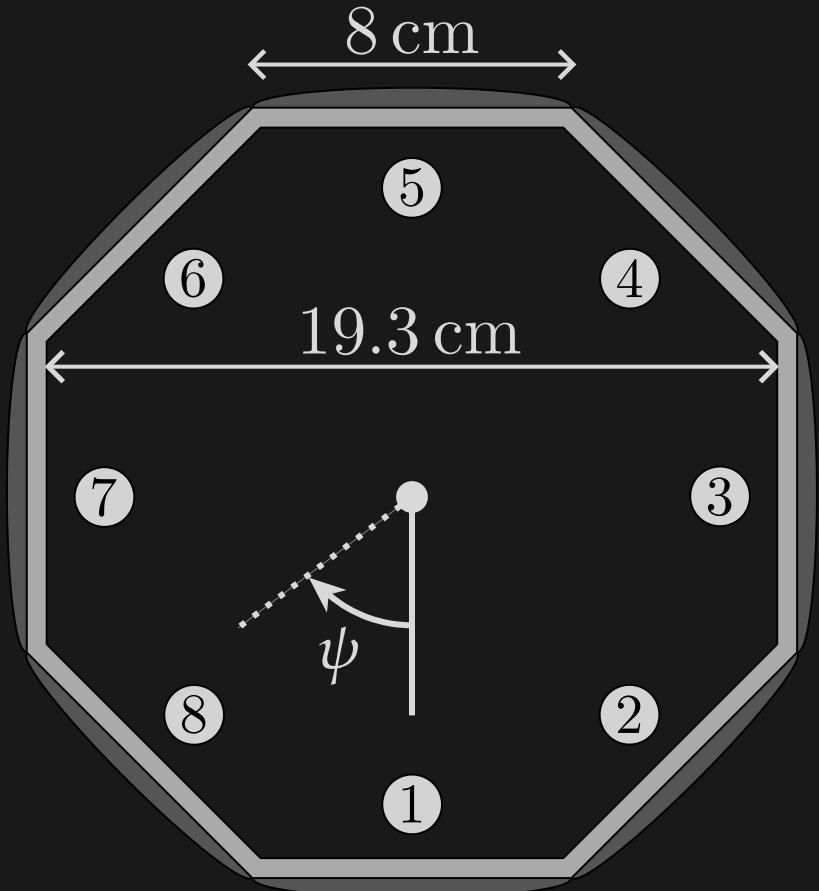
$$\underset{\alpha, g_{1:M}, \theta_{1:M}}{\text{minimize}} \quad \sum_{m=1}^M \frac{1}{\eta_m} \sum_{k=1}^K \left(P_m(\psi_k) - \left(\alpha g_m h(\psi_k, \theta_m) + \sigma_m^2 \right) \right)^2$$

subject to $\alpha > 0$

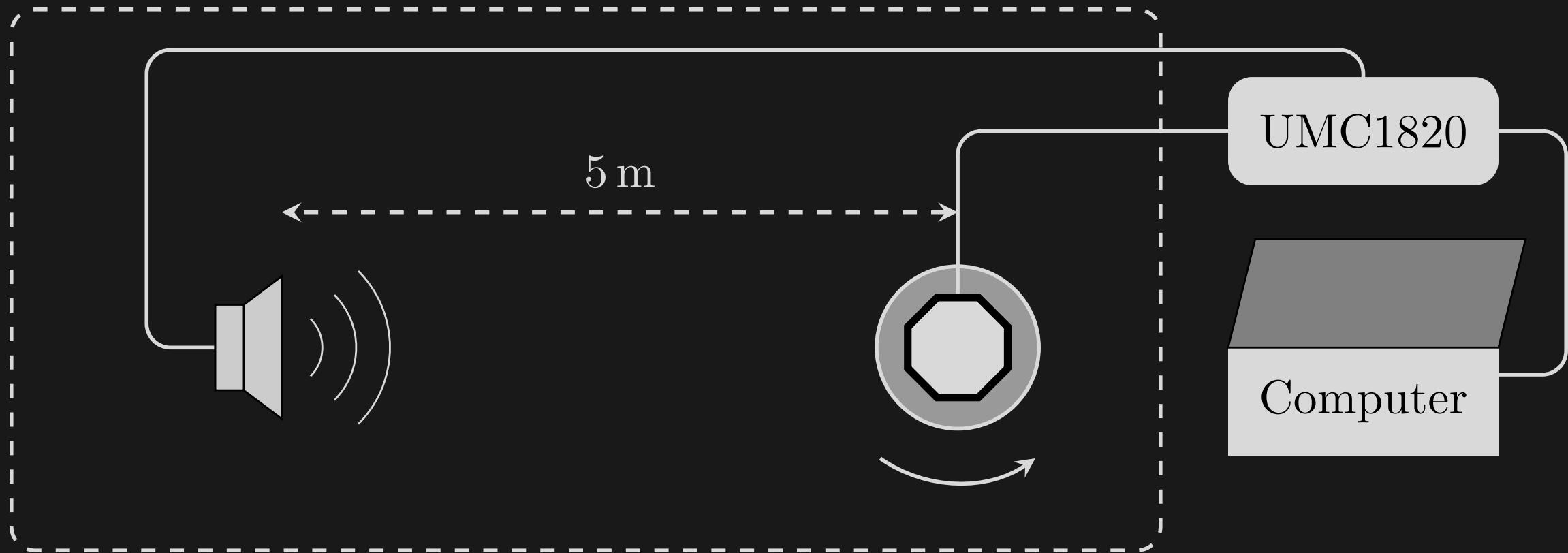
$$g_1 = 1$$

$$h(\psi_m, \theta_m) = 1, \quad \forall m = 1, 2, \dots, M,$$

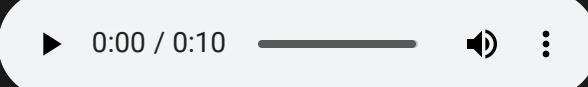
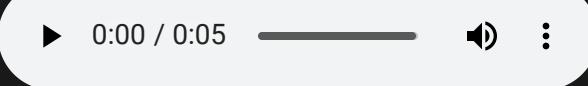
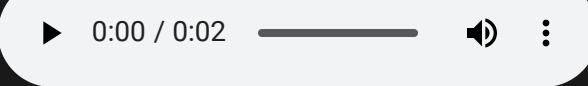
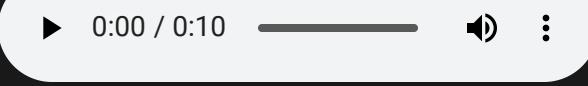
Experimental Setup



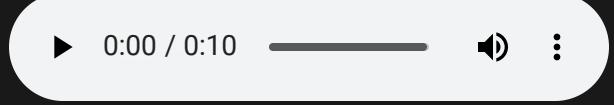
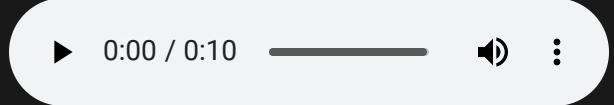
Experimental Setup



19 different sounds

No.	Sound	Signal
1	Wideband noise	
2-11	Sinusoids	
12	Hovering drone	
13	Elephant trumpet	
14	Police Siren	

19 different sounds

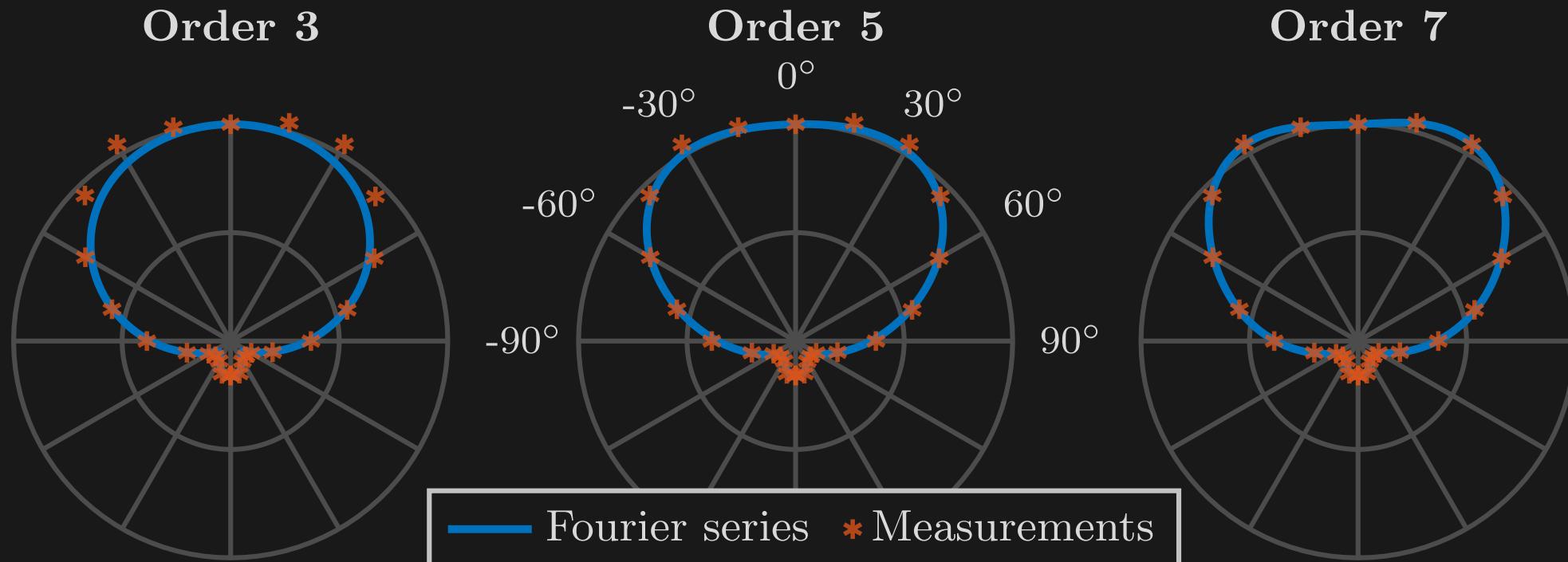
No.	Sound	Signal
15	Woman Scream	 0:00 / 0:01
16	Wideband noise low	 0:00 / 0:10
17	Wideband noise high	 0:00 / 0:10
18	Gunshot	 0:00 / 0:00
19	Background noise	 0:00 / 0:26

Data summary

Training		Estimation		
	Signals	# of angles	Signals	# of angles
Anechoic	Signal 1	24	Signal 1-18	24
Outdoor	-	-	Signal 1-17	10

Fourier Series Order

Directional sensitivity of microphone 1



DOA Estimation

$$\hat{P}_m(\psi) = \underbrace{\alpha \hat{g}_m \Phi(\psi) \hat{\theta}_m}_{\hat{h}_m(\psi)} + \sigma_m^2$$

DOA Estimation

$$\hat{P}_m(\psi) = \alpha \hat{g}_m \Phi(\psi) \hat{\theta}_m + \sigma_m^2$$

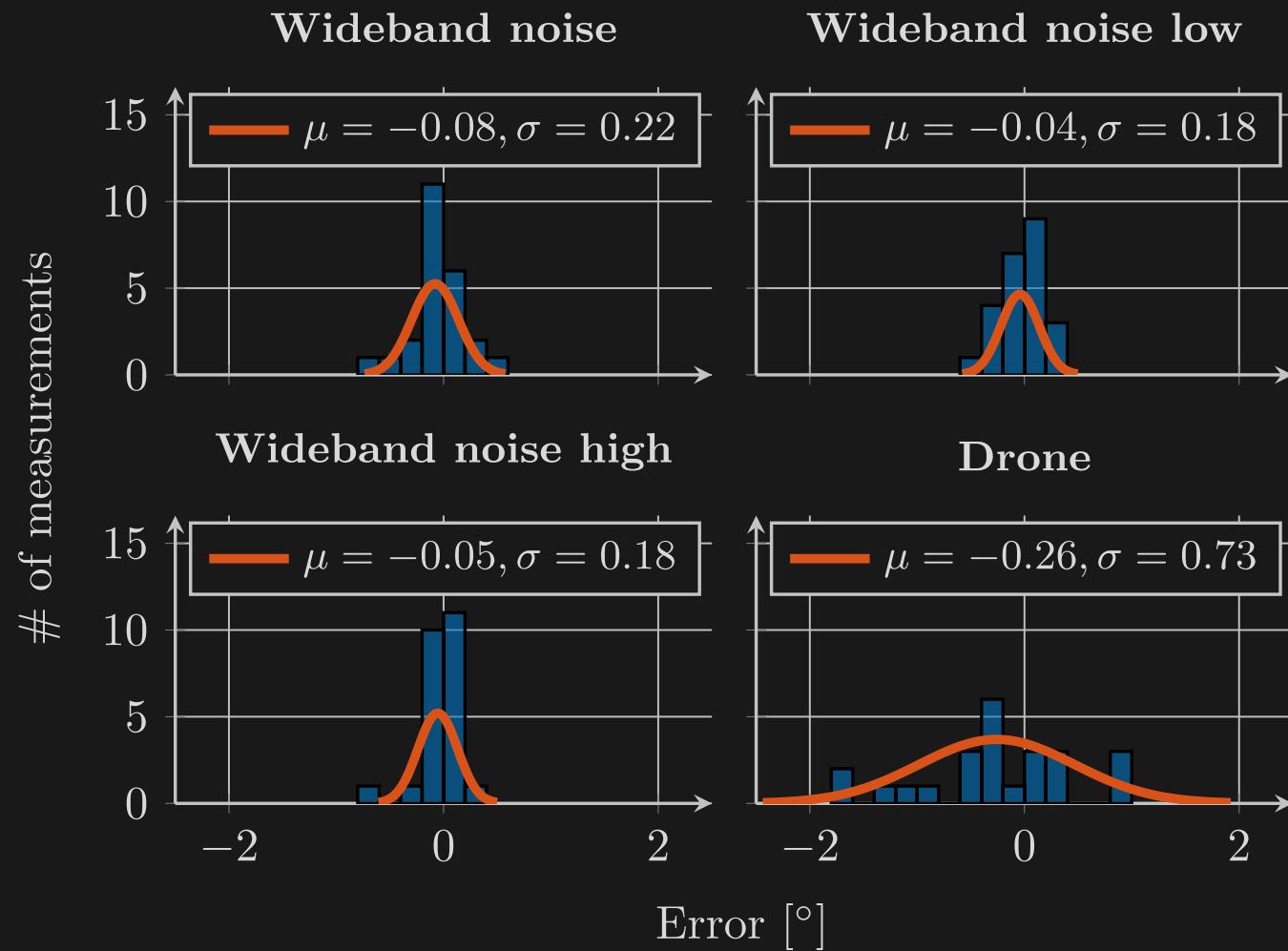
$$\tilde{h}_m(\psi)$$

$$\hat{\psi} = \arg \max_{\psi} \frac{\left(\sum_{m=1}^M \hat{h}_m(\psi) (P_m - \sigma_m^2) \right)^2}{\sum_{m=1}^M \hat{h}_m^2(\psi)}$$

$$\hat{\alpha} = \frac{\sum_{m=1}^M \hat{h}_m(\hat{\psi}) (P_m - \sigma_m^2)}{\sum_{m=1}^M \hat{h}_m^2(\hat{\psi})}$$

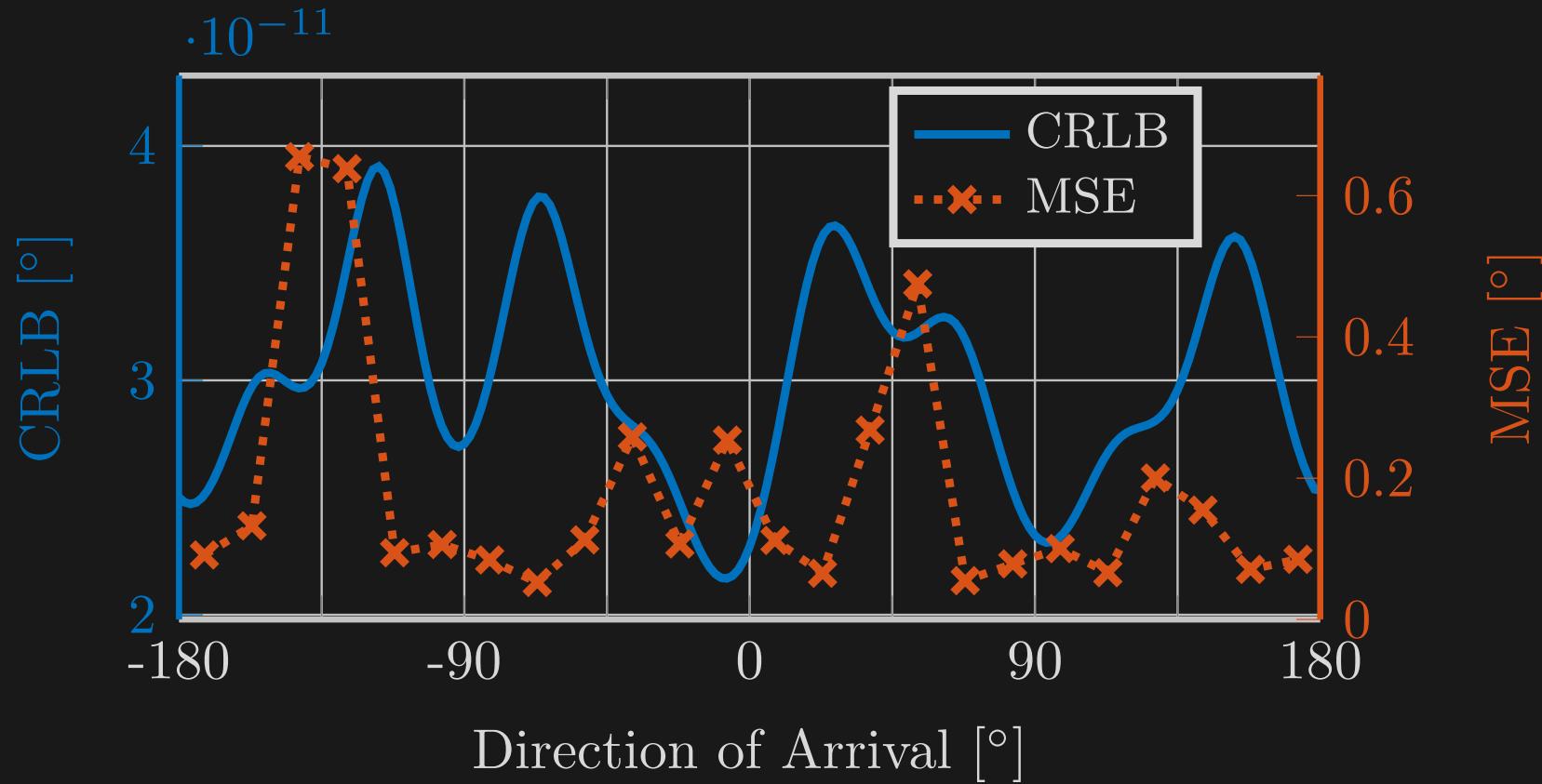
Result

Histogram of the estimation error, wn-model



Cramér-Rao Lower Bound

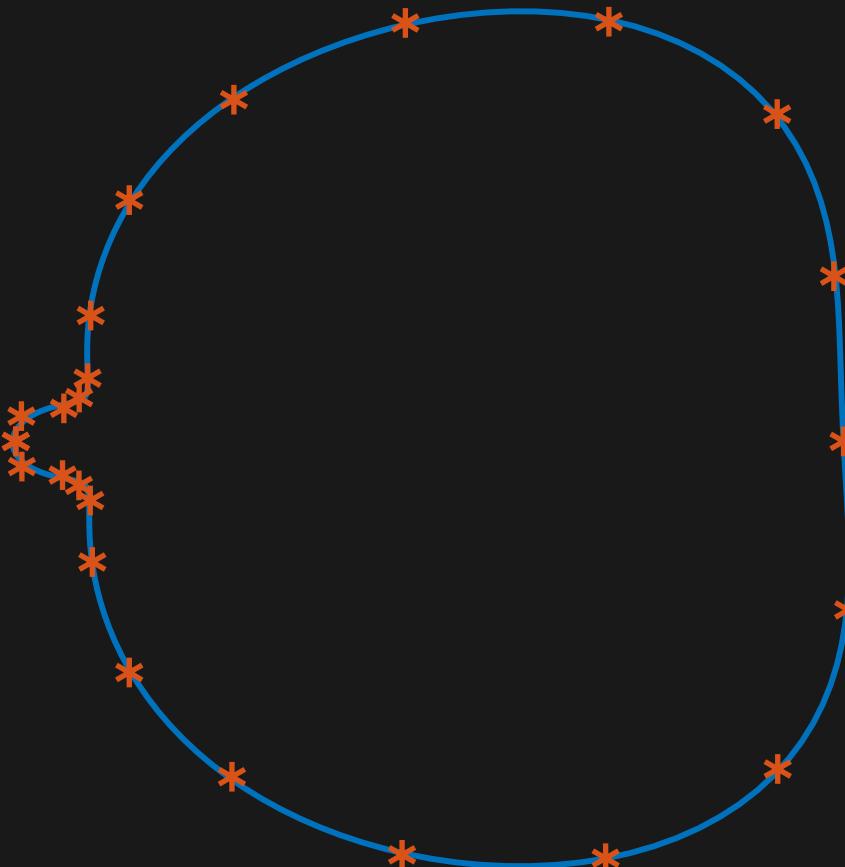
CRLB vs MSE of 100 wideband noise signals



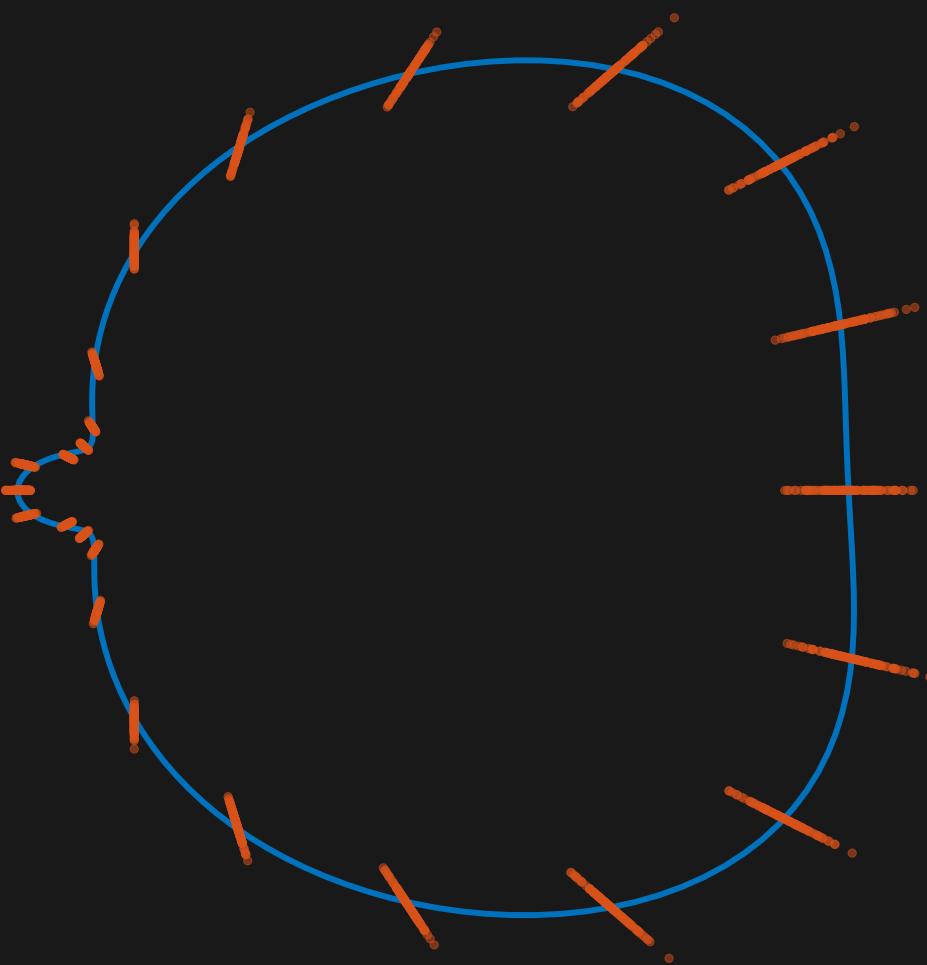
Noise distribution

$$P_m = \alpha g_m h(\psi, \theta_m) + v_m, \quad v_m \sim N(0, \sigma^2)$$

Noise distribution

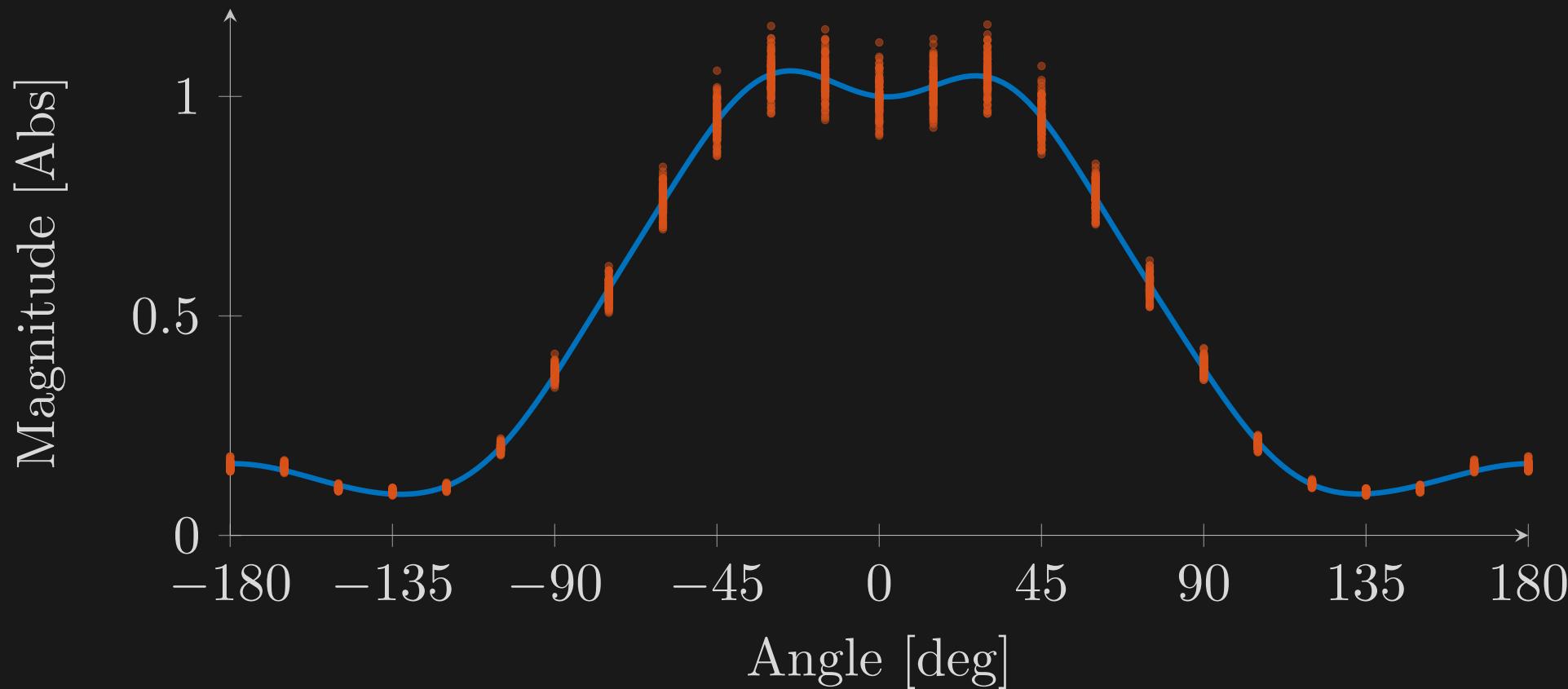


Noise distribution



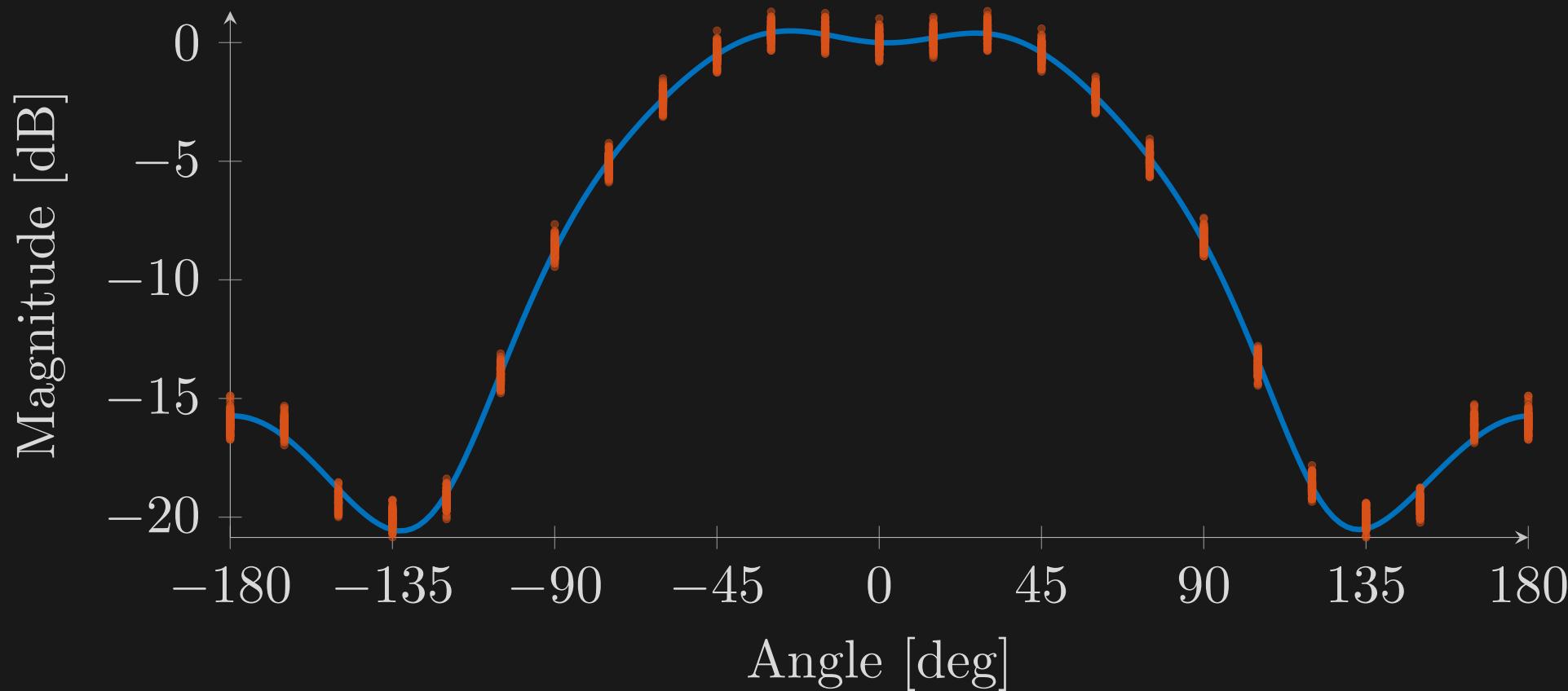
Noise distribution

Directional Sensitivity



Noise distribution

Directional Sensitivity in Decibel scale

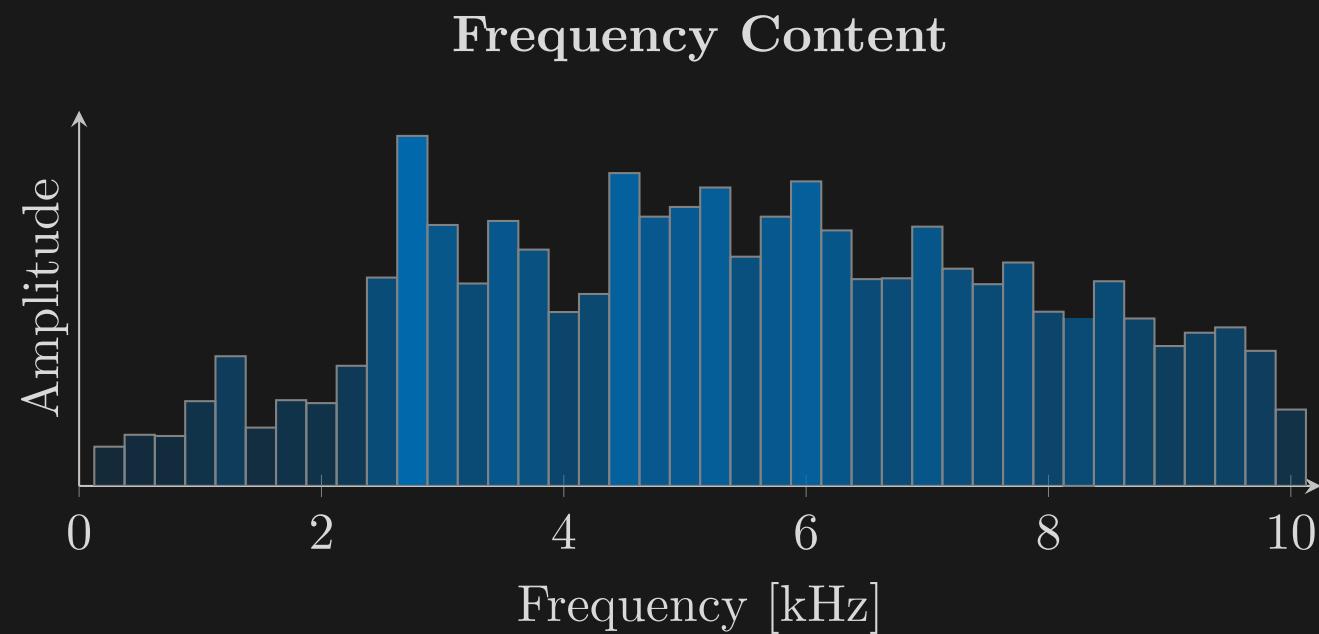


Noise distribution

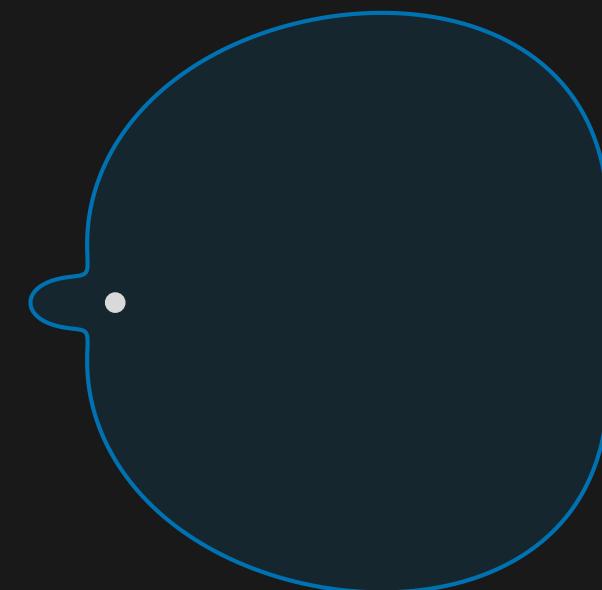
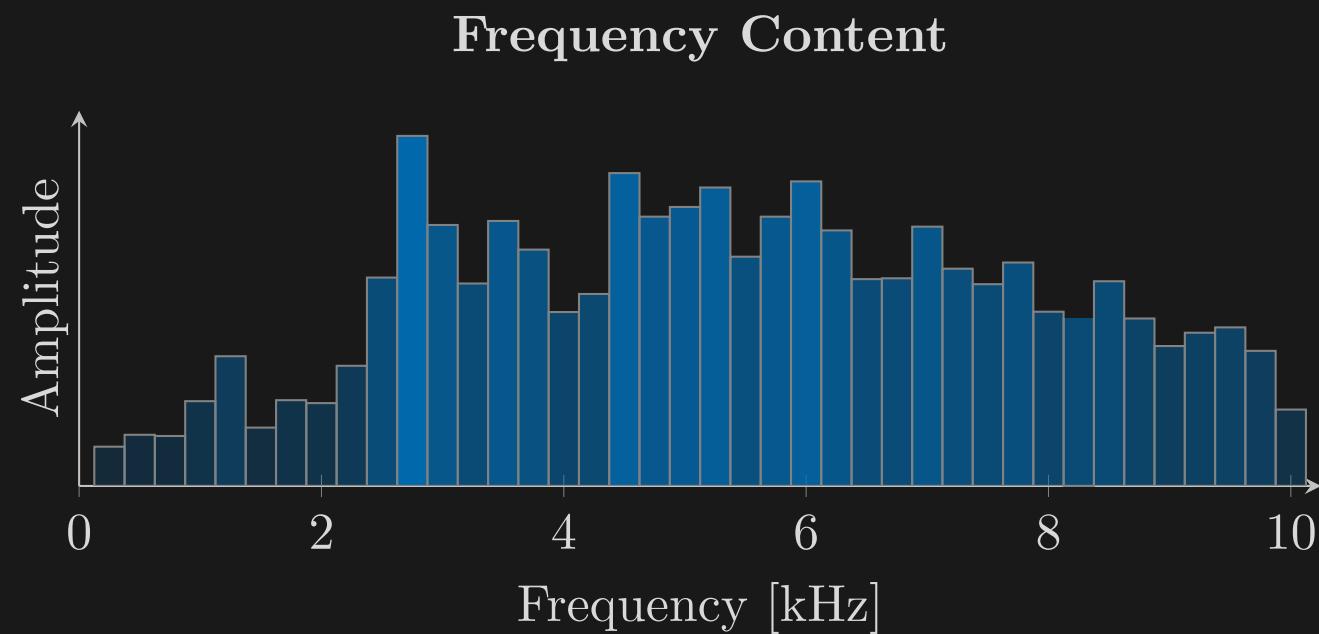
Introduce model in dB scale as

$$\bar{P}_m = \bar{\alpha} + \bar{g}_m + h(\psi, \bar{\theta}_m) + \bar{v}_m,$$

Frequency Dependency

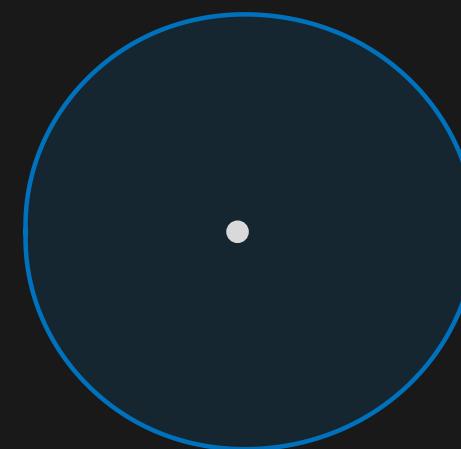
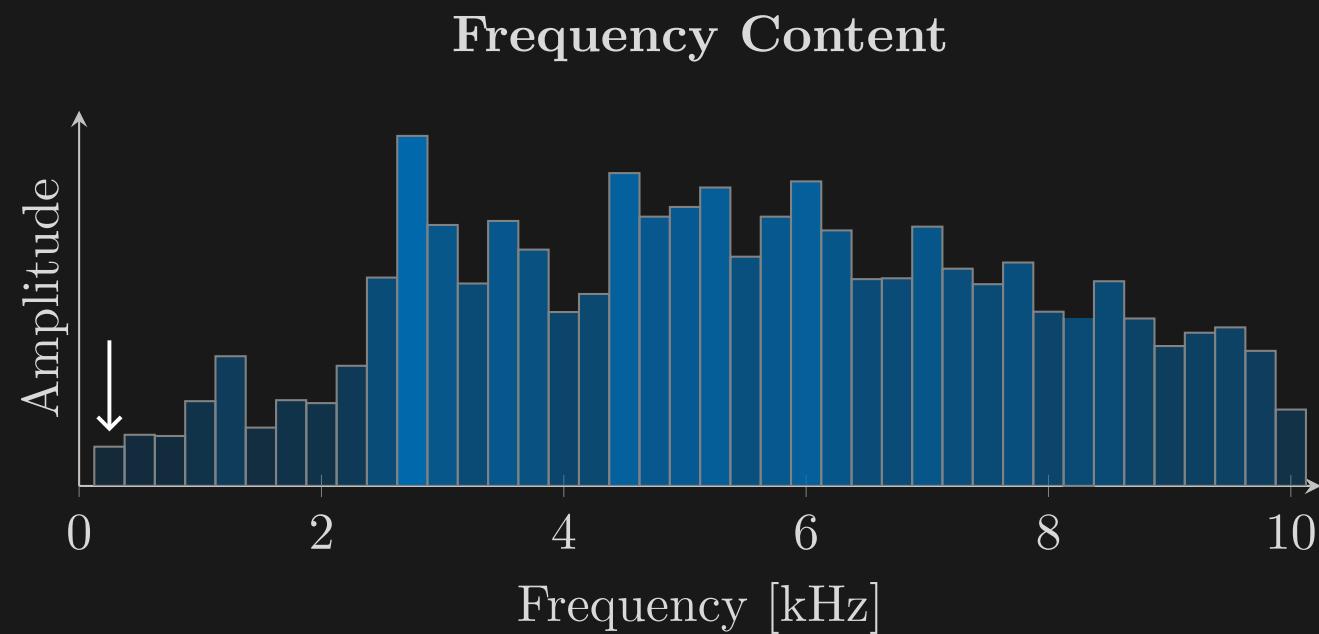


Frequency Dependency



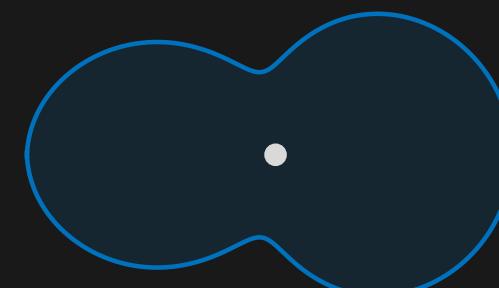
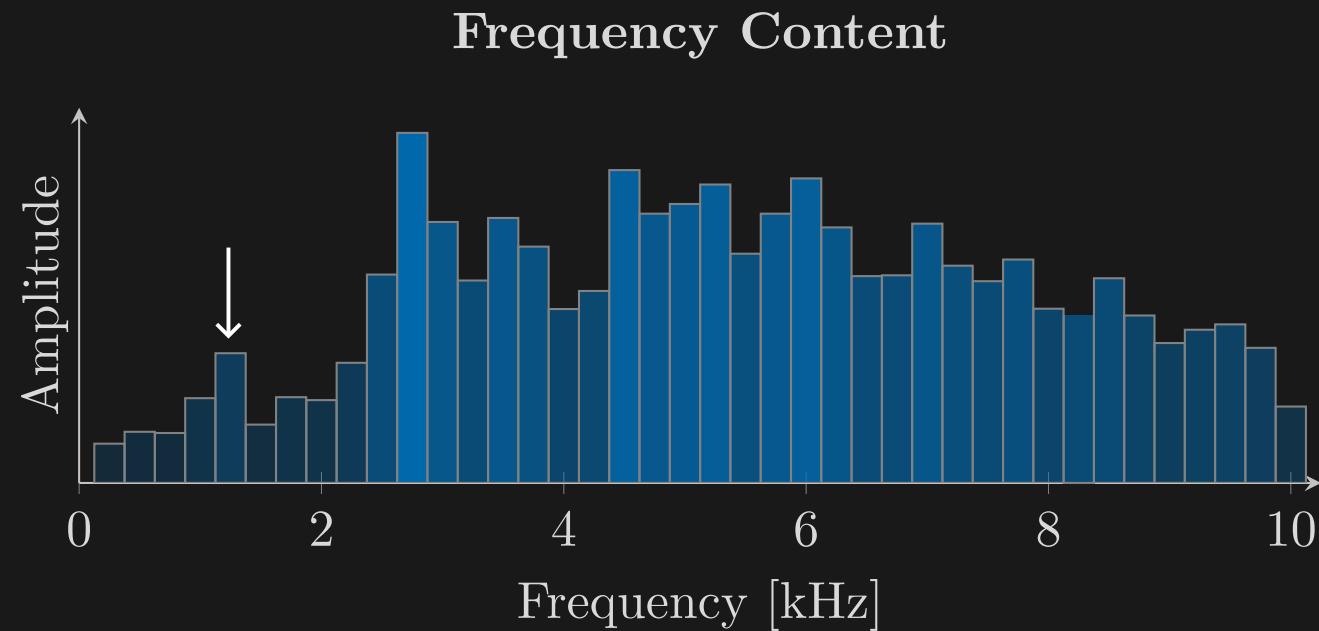
Wideband noise

Frequency Dependency



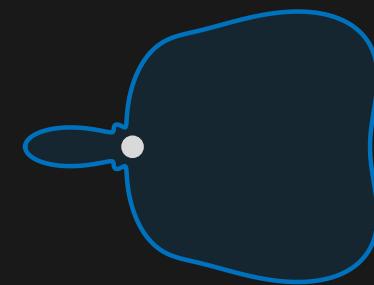
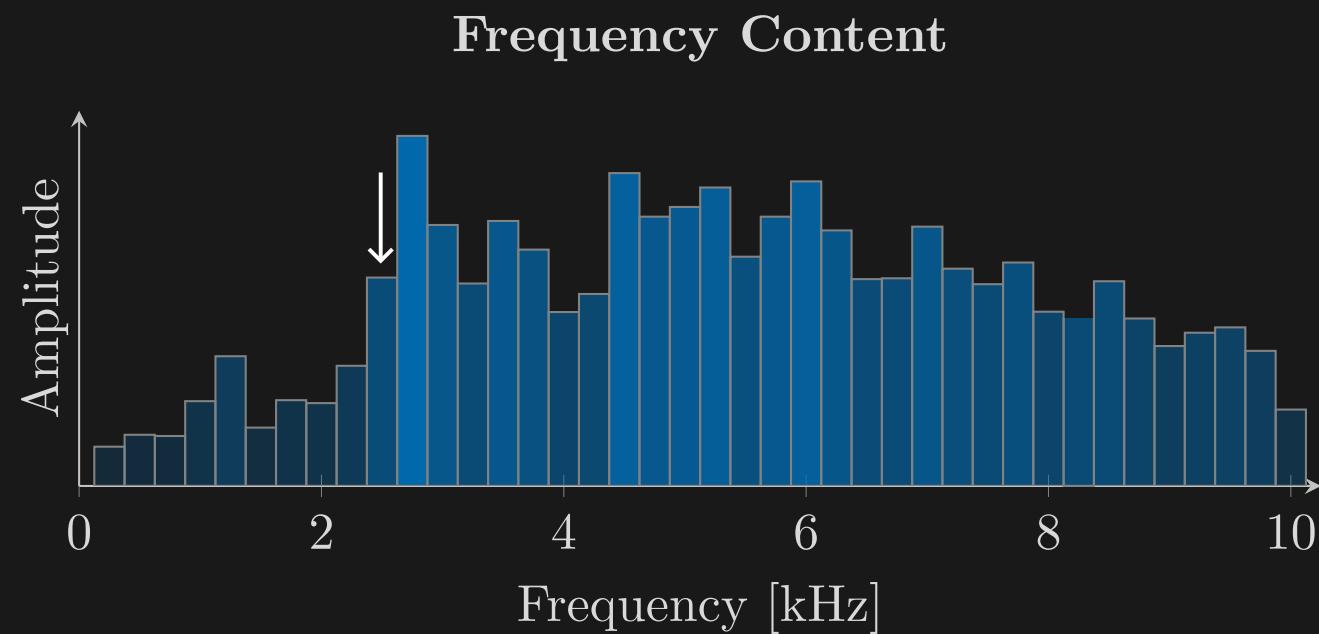
250 Hz

Frequency Dependency



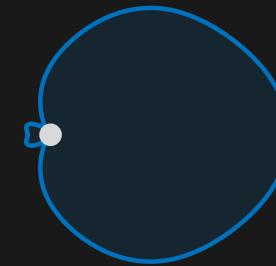
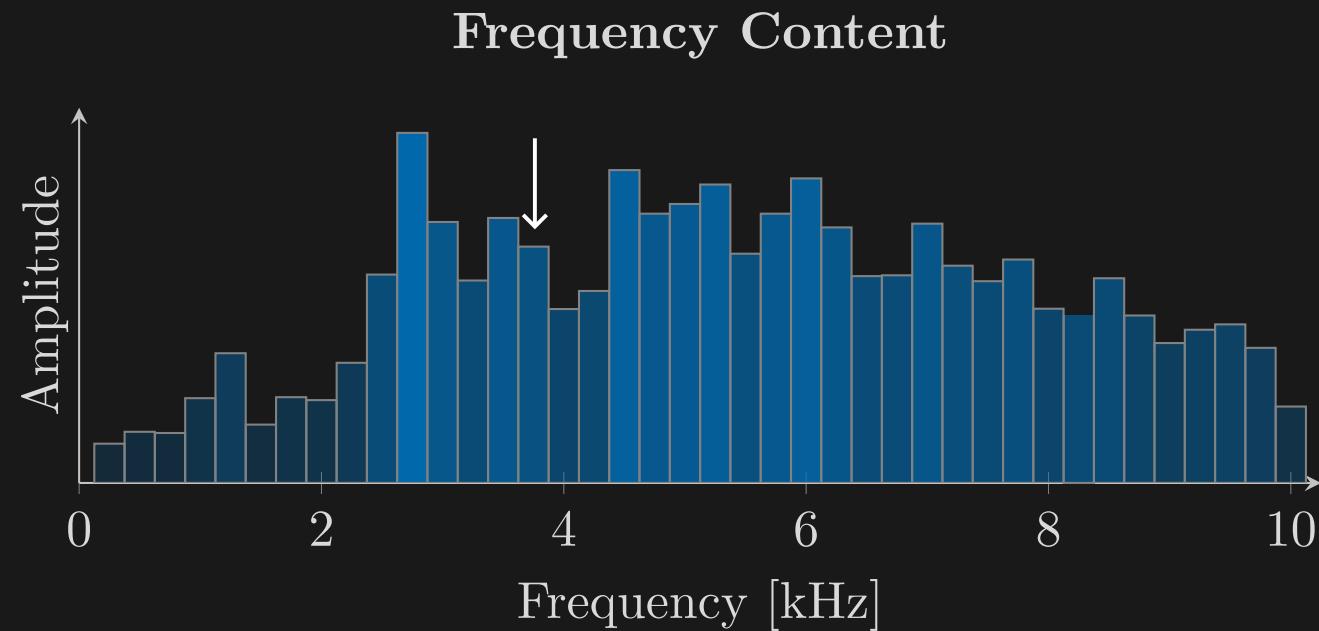
1250 Hz

Frequency Dependency



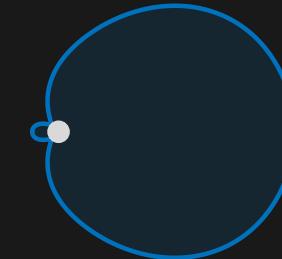
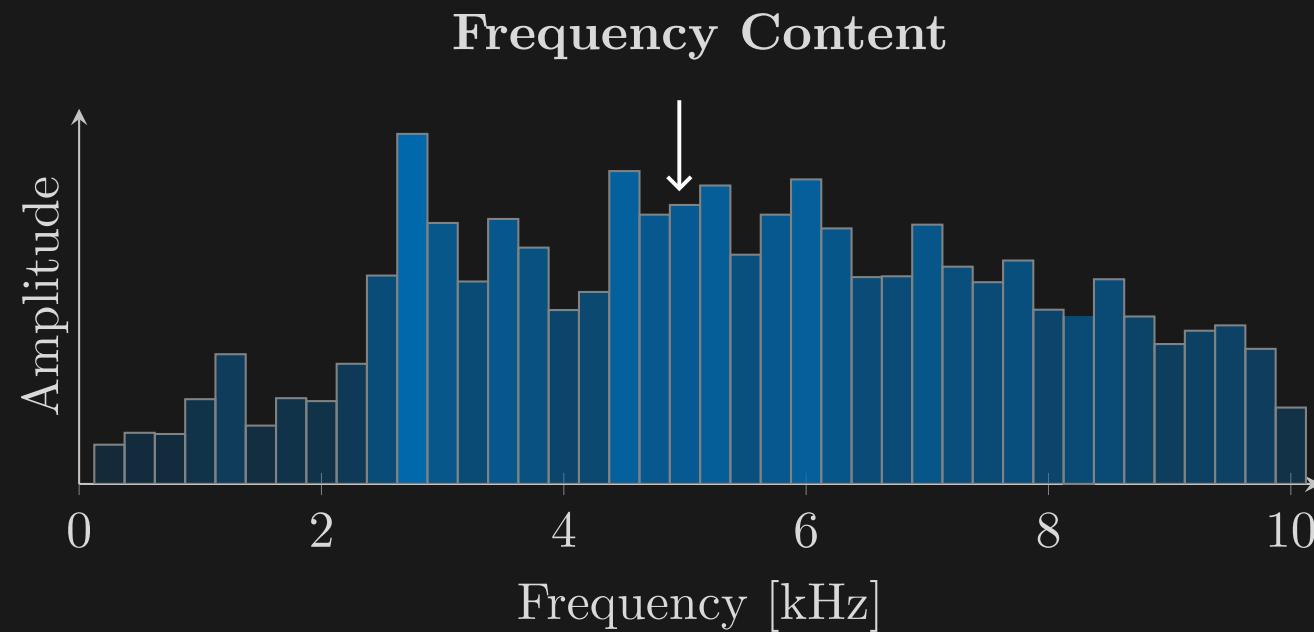
2500 Hz

Frequency Dependency



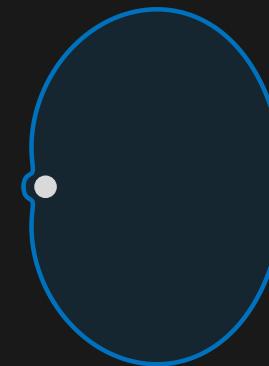
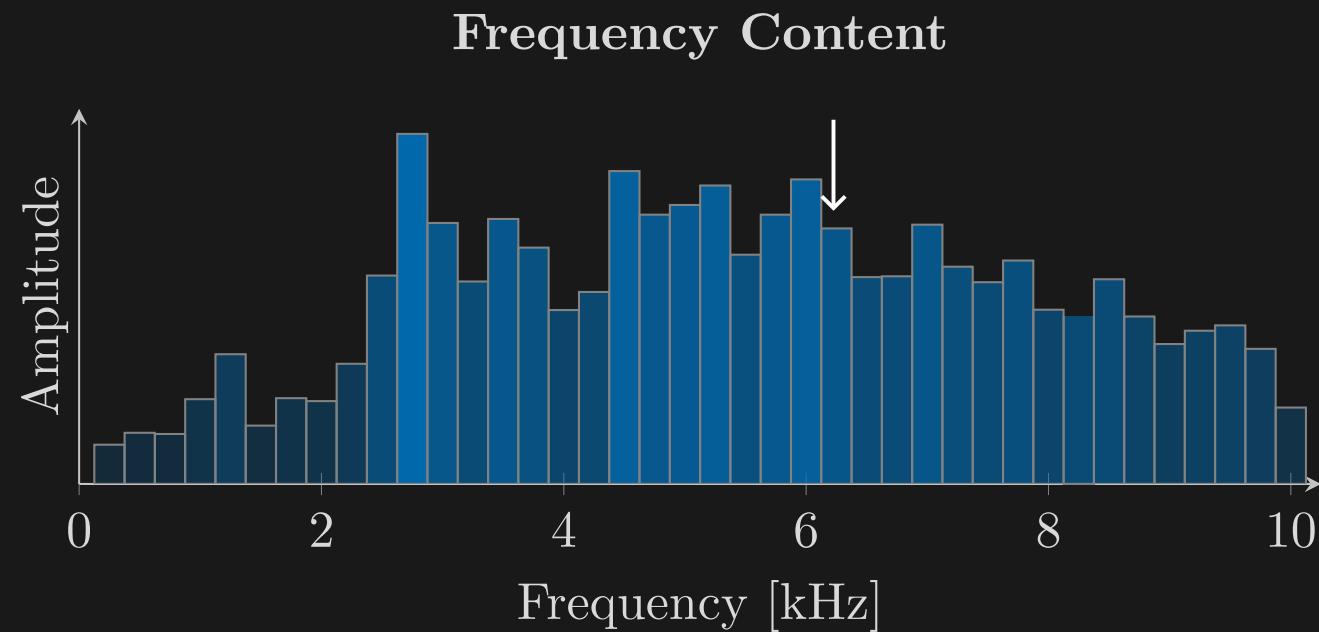
3750 Hz

Frequency Dependency



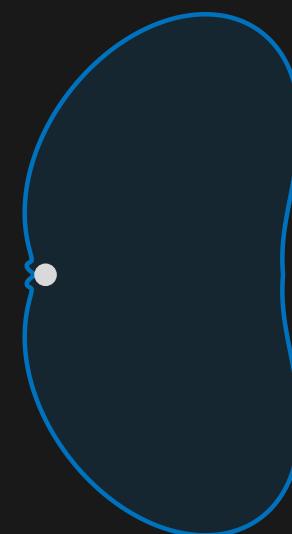
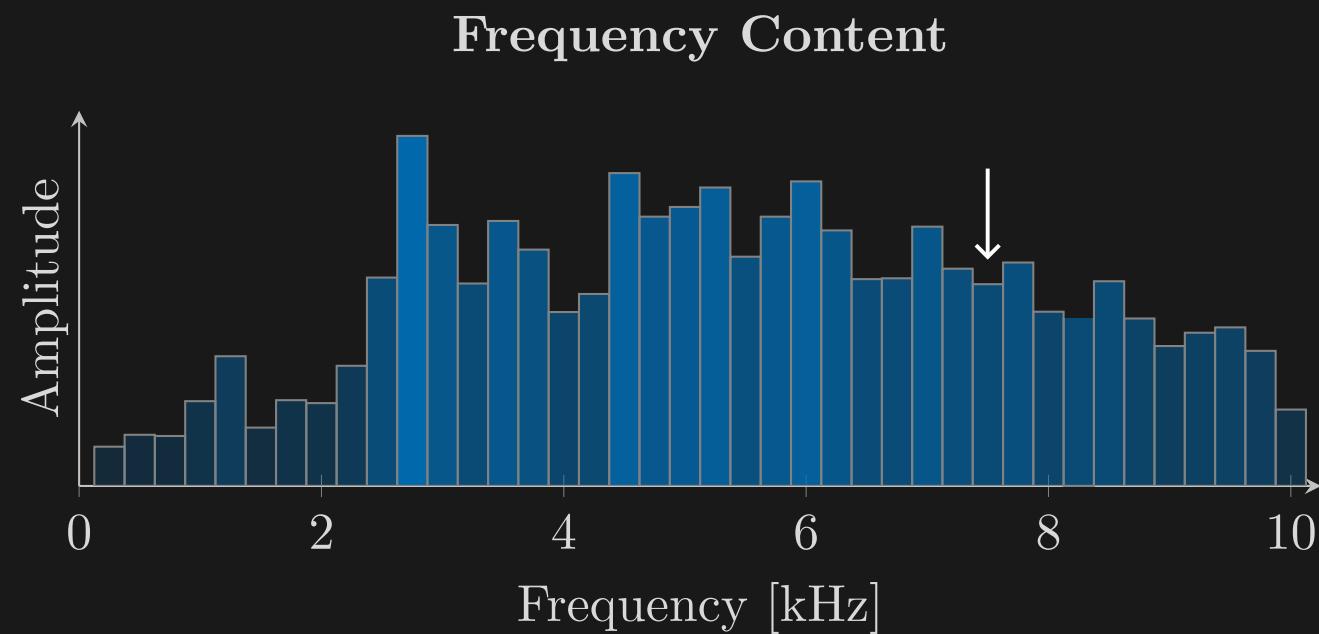
5000 Hz

Frequency Dependency



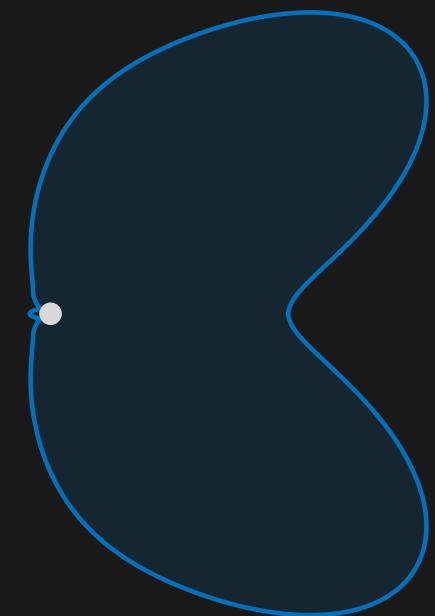
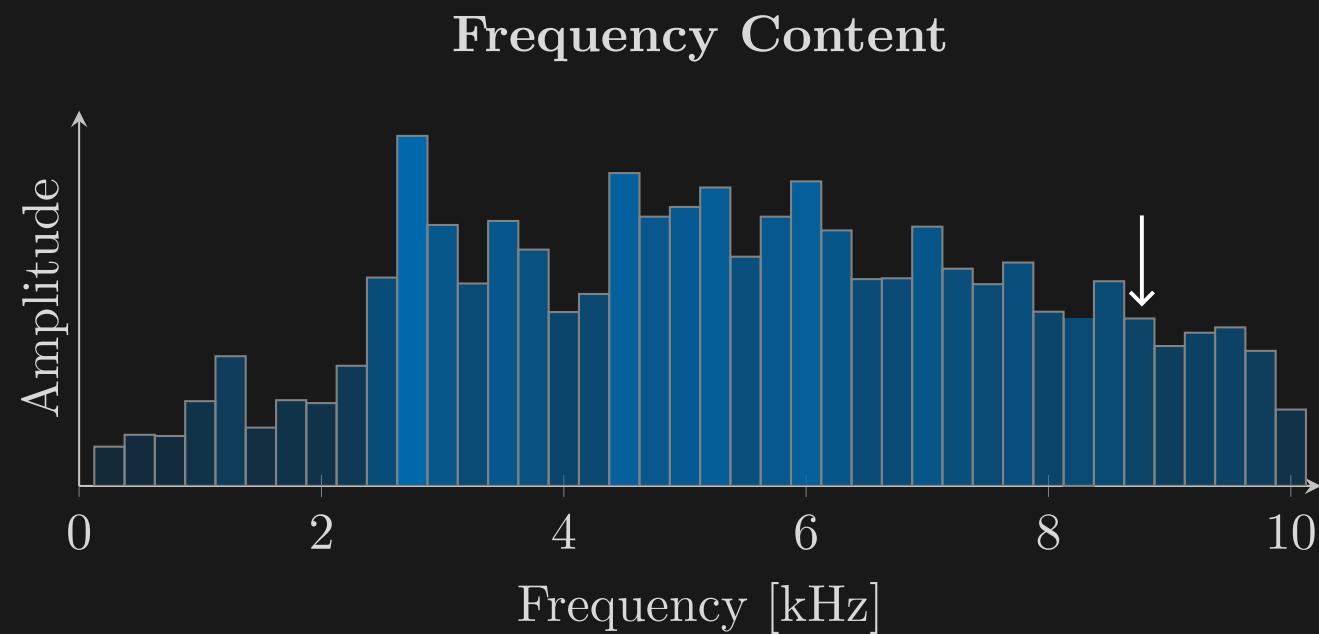
6250 Hz

Frequency Dependency



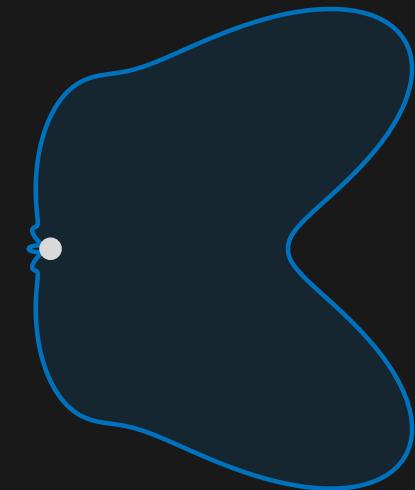
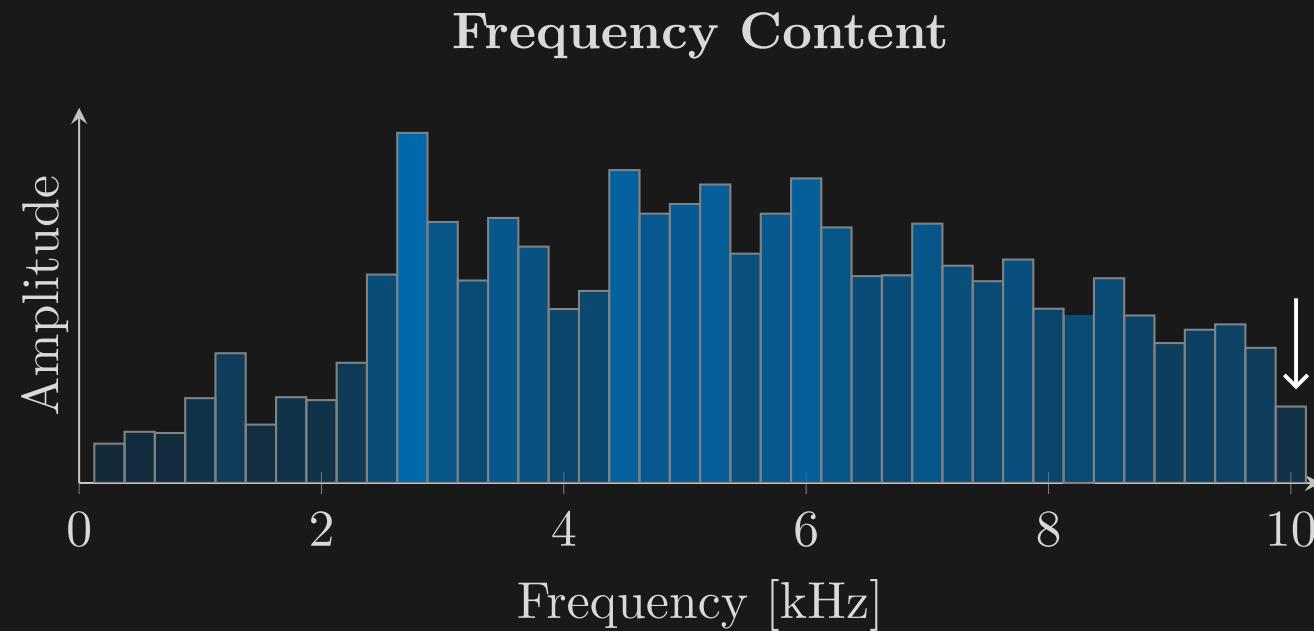
7500 Hz

Frequency Dependency



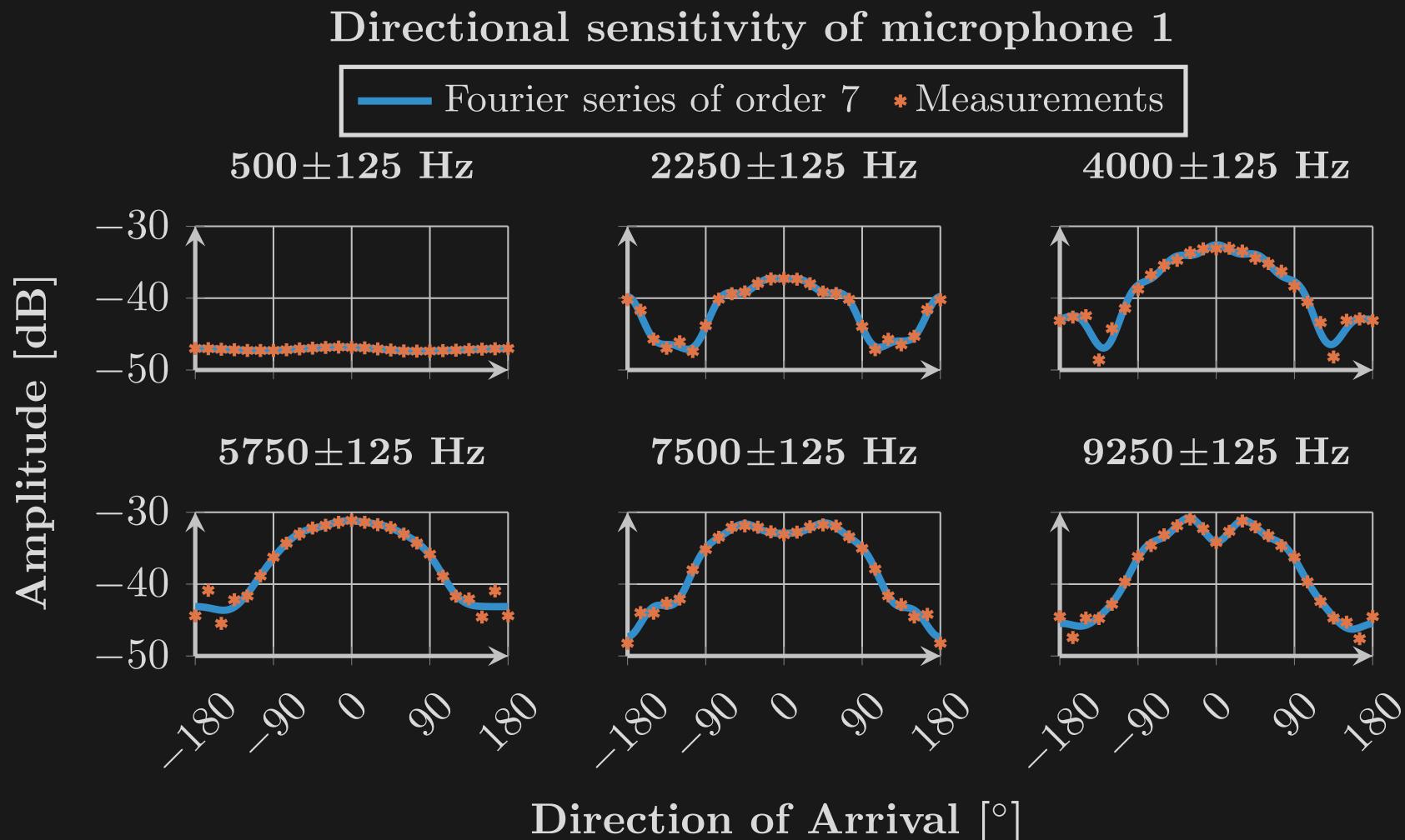
8750 Hz

Frequency Dependency

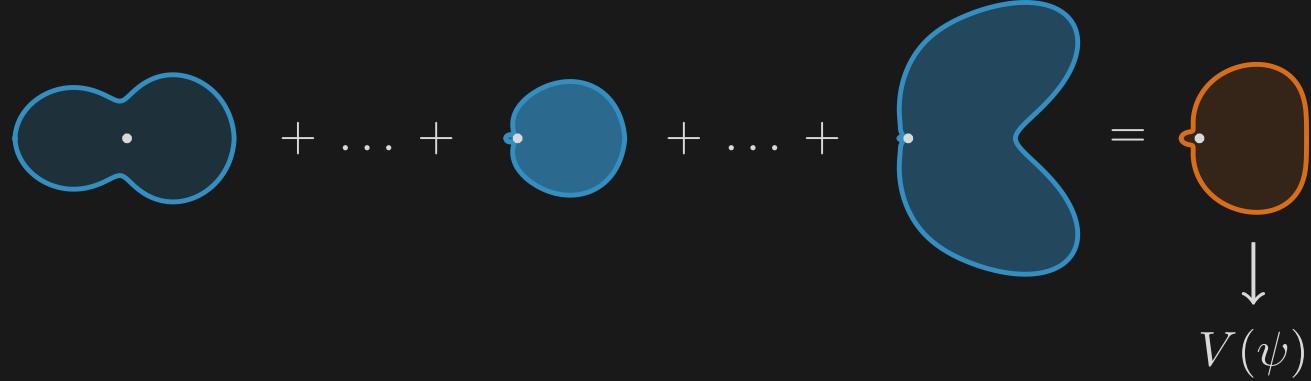


10 000 Hz

Frequency Dependency



Frequency Dependency

$$\text{Diagram illustrating Frequency Dependency:}$$

$$= \downarrow$$
$$V(\psi)$$

Frequency Dependency

$$\text{Diagram showing a sum of components: } \text{Component}_1 + \dots + \text{Component}_n = \text{Total} \\ \text{Component}_1, \text{Component}_2, \dots, \text{Component}_n \rightarrow V(\psi)$$

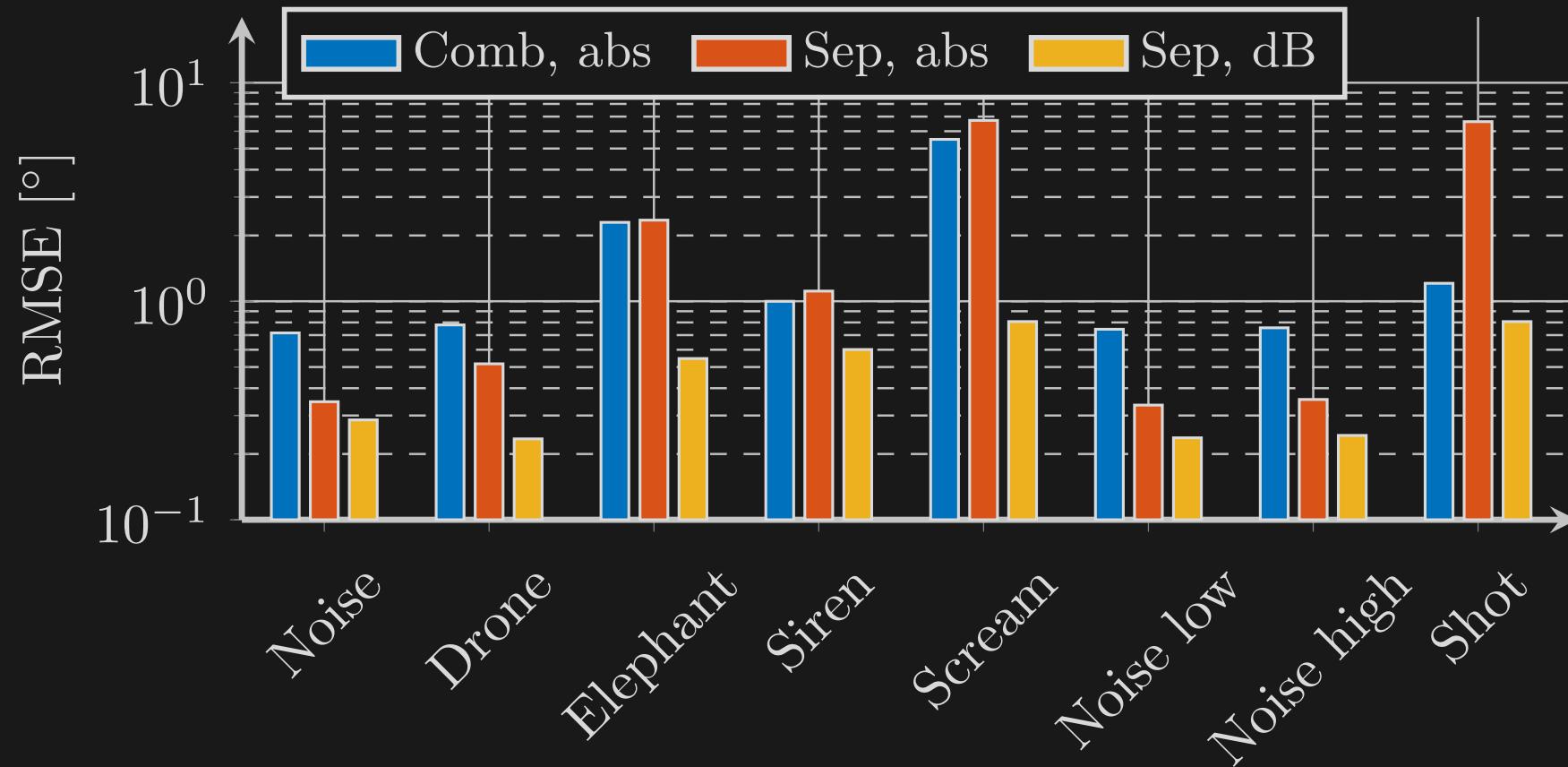
A diagram illustrating frequency dependency. It shows a sum of components (each represented by a blue blob with a dot) equals a total (represented by an orange blob). The components are labeled with ellipses, and the total is labeled $V(\psi)$. An arrow points from the components to the total.

$$\text{Diagram showing a weighted sum of components: } w_1V_1(\psi) + \dots + w_nV_n(\psi) + \dots + w_FV_F(\psi) = V(\psi)$$

A diagram illustrating a weighted sum of components. Components are multiplied by weights w_1, w_2, \dots, w_F before being summed to produce $V(\psi)$. An arrow points from each component to its corresponding weight.

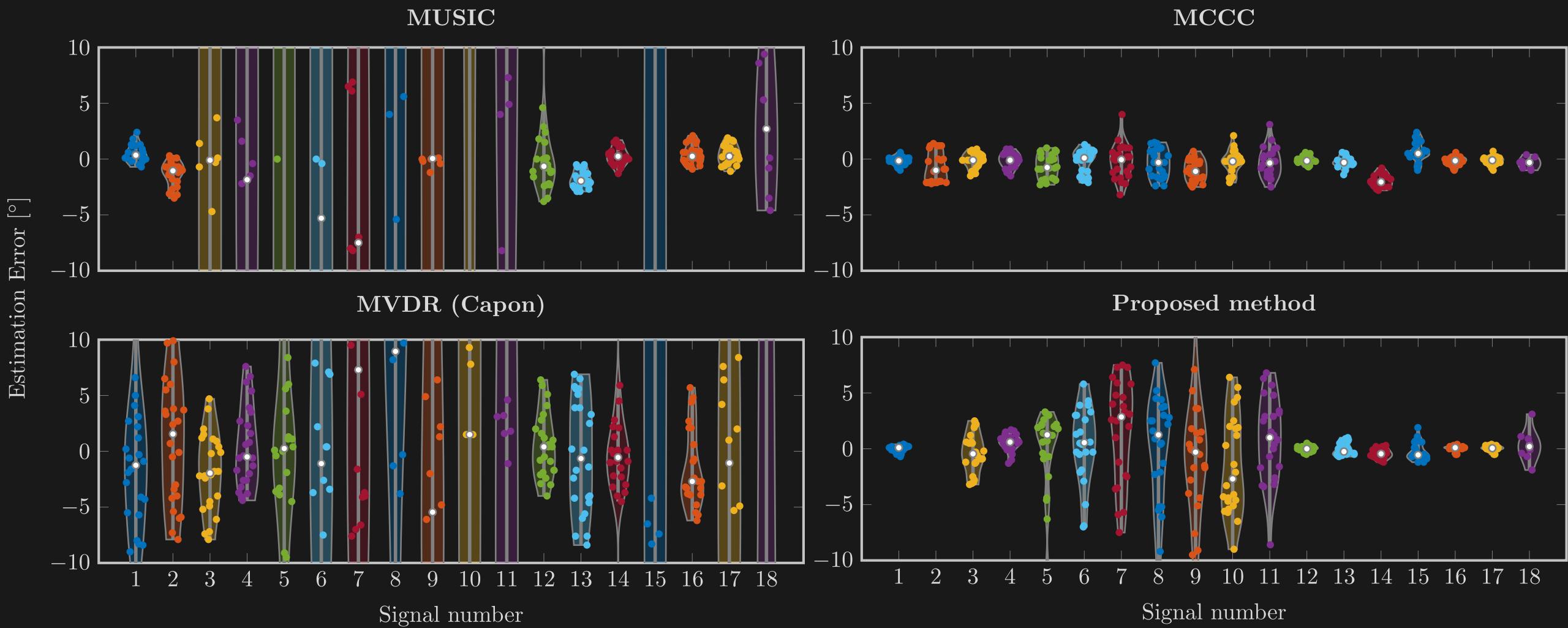
Results

RMSE of estimation error for the different methods



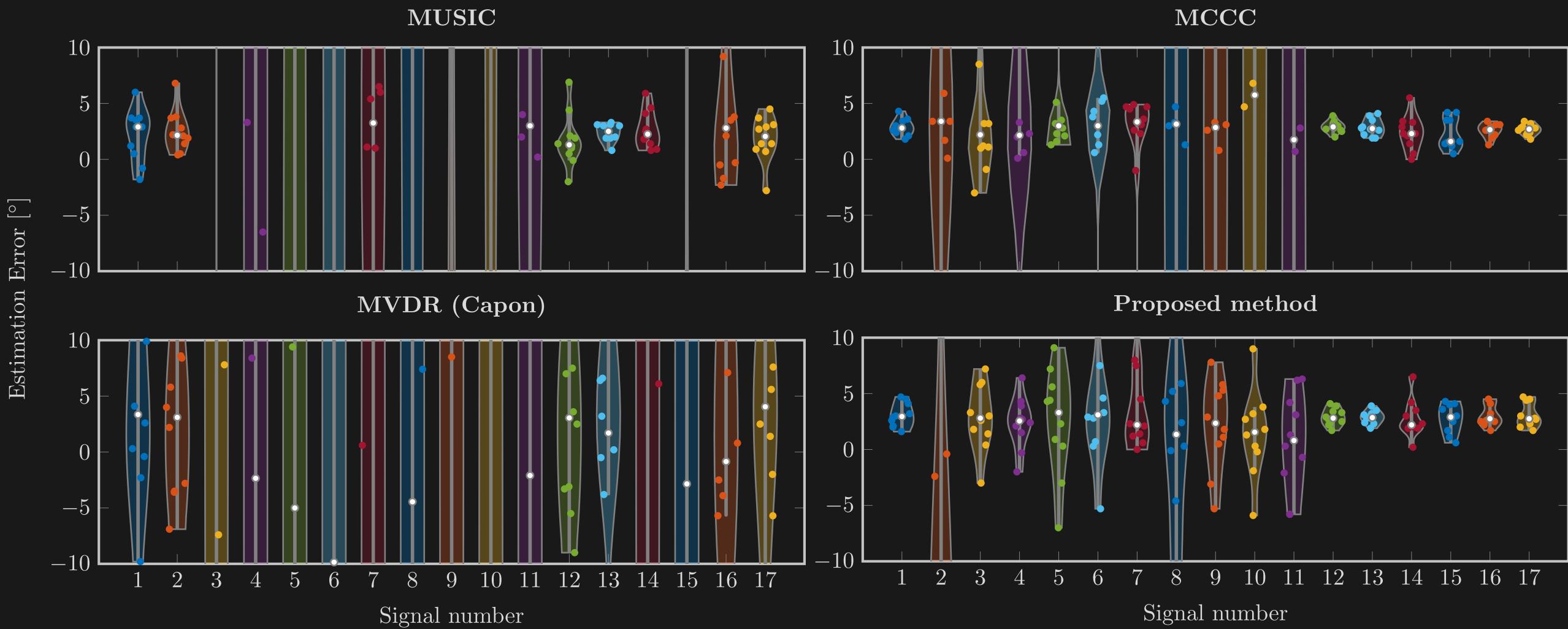
Results

Comparision of different methods - Anechoic



Results

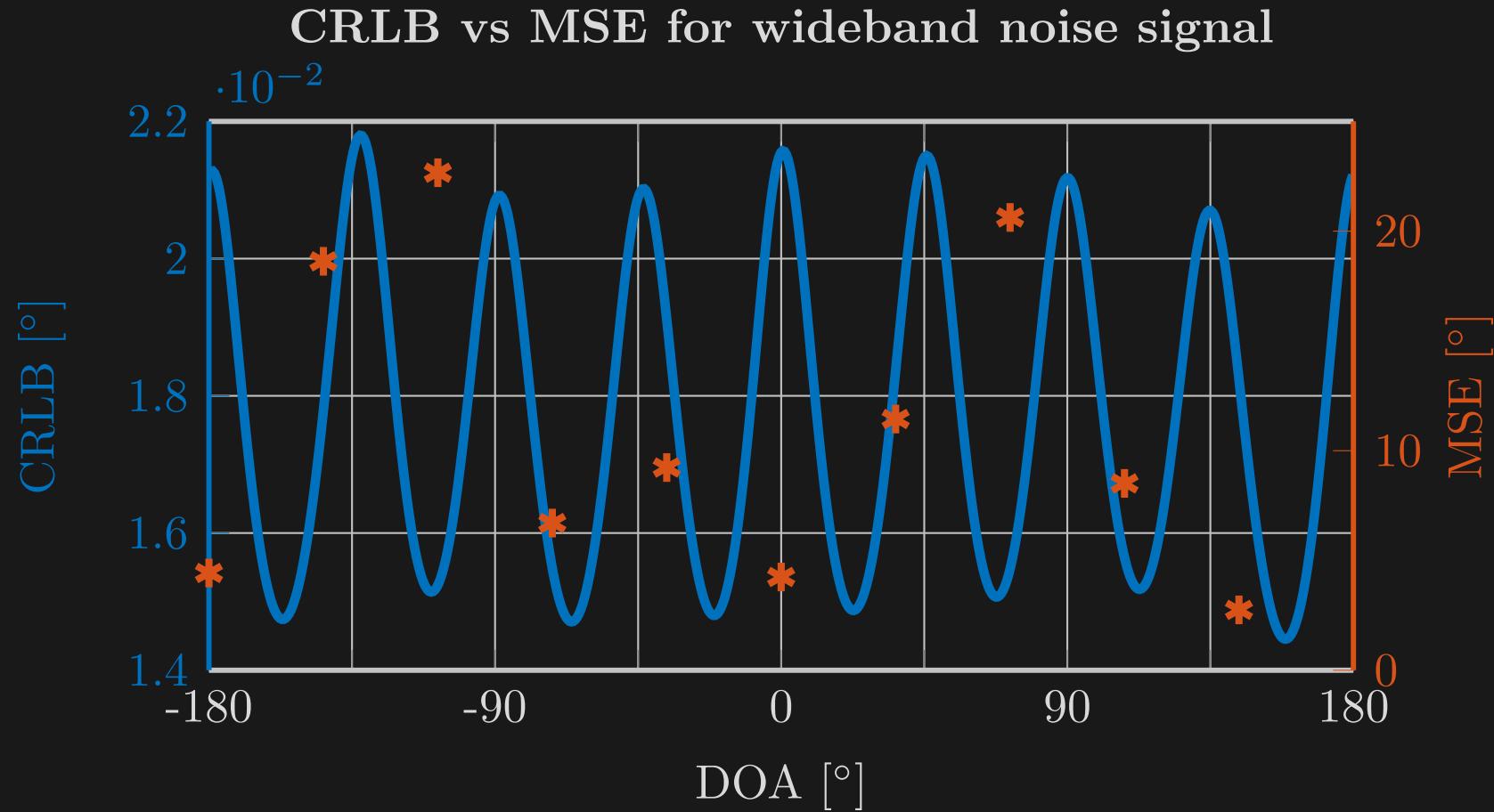
Comparision of different methods - Outdoor



Results

Signal No.	Proposed	MUSIC	MVDR	MCCC	
Anechoic	1-17	11.62	60.04	27.07	0.99
	1, 12-17	0.45	15.33	22.51	0.79
	18	1.42	9.68	92.43	0.58
Outdoor	1-17	5.14	74.25	76.08	42.03
	1, 12-17	3.10	29.15	59.23	2.78

Cramér-Rao Lower Bound



Conclusions

- **DOA Estimation Method:** Utilizes directional sensitivity; requires calibration.

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- **Successful Experiments:** Eight-microphone array estimated DOA for 18 signal types, effective for frequencies above 1000 Hz.
- **Robust Performance:** Maintains accuracy over time and temperature; enables high-resolution DOA estimation with smaller arrays.

Future Work

- Investigate the effect of **reverberations** to potentially improve robustness and accuracy in complex acoustic environments.

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- Investigate the effect of **reverberations** to potentially improve robustness and accuracy in complex acoustic environments.
- Address **model errors** in microphone directional sensitivity to refine performance in diverse conditions.
- Test with more **complex signals** (e.g., speech) to evaluate real-world effectiveness.

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- Explore the method's applicability to detecting elephant footsteps, considering **variations in individual elephants** and multi-target tracking of herds.

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- Explore the method's applicability to detecting elephant footsteps, considering **variations in individual elephants** and multi-target tracking of herds.
- Study the influence of ground vehicles and **other large mammals** (e.g., humans, giraffes, rhinos) on detection accuracy.
- Assess the **method's range limitations** to improve its design for larger or open environments.

Thank you!