

Lec1 Analysis of Algorithms

Analysis of algorithms: the theoretical study of computer program performance(*main) and resource usage (e.g. communication, memory).

What's more important than performance?

correctness, cost (programmer time), simplicity, robustness, maintenance, modularity, security, scalability

Why performance is important?

- line between feasible and infeasible (e.g. requirements for real time streaming)
- universal standard to quantify code
e.g. use Java for greater functionality, but Java is slow

Sorting Problem

Input: a sequence $\langle a_1, a_2, \dots, a_n \rangle$ of numbers

Output: permutation $\langle a_1', a_2', \dots, a_n' \rangle$ such that $a_1' \leq a_2' \leq \dots \leq a_n'$

Insertion sort

- maintain an invariant of an array that part of array is sorted
- goal for the loop is to increase the length of sorted array
- how to insert? 1) move the things and copy until find the right place 2) insert

Ex.

Input	8	2	4	9	3	6
R1	2	8	4	9	3	6
R2	2	4	8	9	3	6
R3	2	4	8	9	3	6
R4	2	3	4	8	9	6
R5	2	3	4	6	8	9

worst-case analysis

when input in **reverse order**

如何对操作数目计数? counting memory references: how many times access some variables

$T(n) = \sum_{j=2}^n \theta(j)$ (i 从j-1 循环到0) = $\theta(n^2)$ 算数级数arithmetic series

Is insertion sort fast?

- moderately so, for small n
- not at all for large n

Merge sort

Merge sort $\langle a_1, a_2, \dots, a_n \rangle$

Steps: **Time** $T(n)$

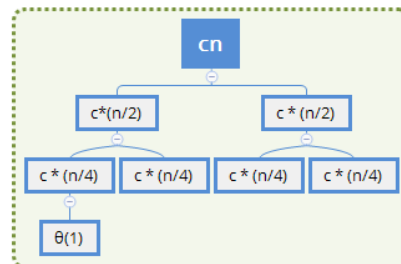
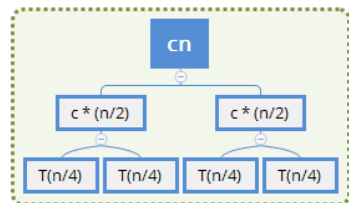
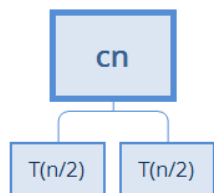
1. If $n = 1$, done $\theta(1)$
2. **recursively sort** $\langle a_1, \dots, a_{\lfloor n/2 \rfloor} \rangle$ and $\langle a_{\lfloor n/2 \rfloor + 1}, \dots, a_n \rangle$ 递归排序 前半 后半数据
 $2 * T(n/2)$
3. **Merge** 2 sublists $\theta(n)$
 1. Ex. sublist 1: 2, 7, 13, 20 sublist 2: 1, 9, 11, 12
where is the smallest element? **always at the head**
 2. merge过程的每一步 比较两个数 at the offset的大小
 3. $T(n) = \theta(n)$ on total n elements **LINEAR TIME** 线性时间

Recurrence 递归表达式:

$$T(n) = \begin{cases} \theta(1) & n = 1 \\ 2T(n/2) + \theta(n) & n > 1 \end{cases}$$

Simply recurrence: recursion tree 递归树

$$T(n) = 2T(n/2) + cn, \text{ const } c > 0$$



height = $\lg n$
#leaves = n

Time

cn

cn

cn

$\theta(n)$

$$\text{leaves} = 1 + 2^1 + 2^2 + \dots + 2^{\lg n} = \frac{1 * (1 - 2^{\lg n + 1})}{1 - 2} = n$$

$$\text{Time} = \lg n * cn + \theta(n) = \theta(n \lg n) \text{ faster than } \theta(n^2)$$

在输入规模充分大，归并排序更快！

Runtime analysis

- depends on input (already sorted, worst case: reverse order)
- depends on input size (参数化parametrize input size)
- upper bounds = represent guarantee to the users e.g. 这个程序最多需要多久

Kinds of analysis

- worst-case** (most time)
 - $T(n)$ = max time n an input of size n
- average-case (sometimes)
 - $T(n)$ = expected time over all inputs of size n
 - weighted average: runtime * probability of runtime
 - Need assumption of distribution e.g. uniform distribution
- best-case (bogus 假象)
 - cheat: take any slow algorithm and check for some particular input
 - not reflect majority cases how algorithm works

Runtime depends on computer

- usually compare in relative speed (on same machine)
- absolute speed (on different machines)

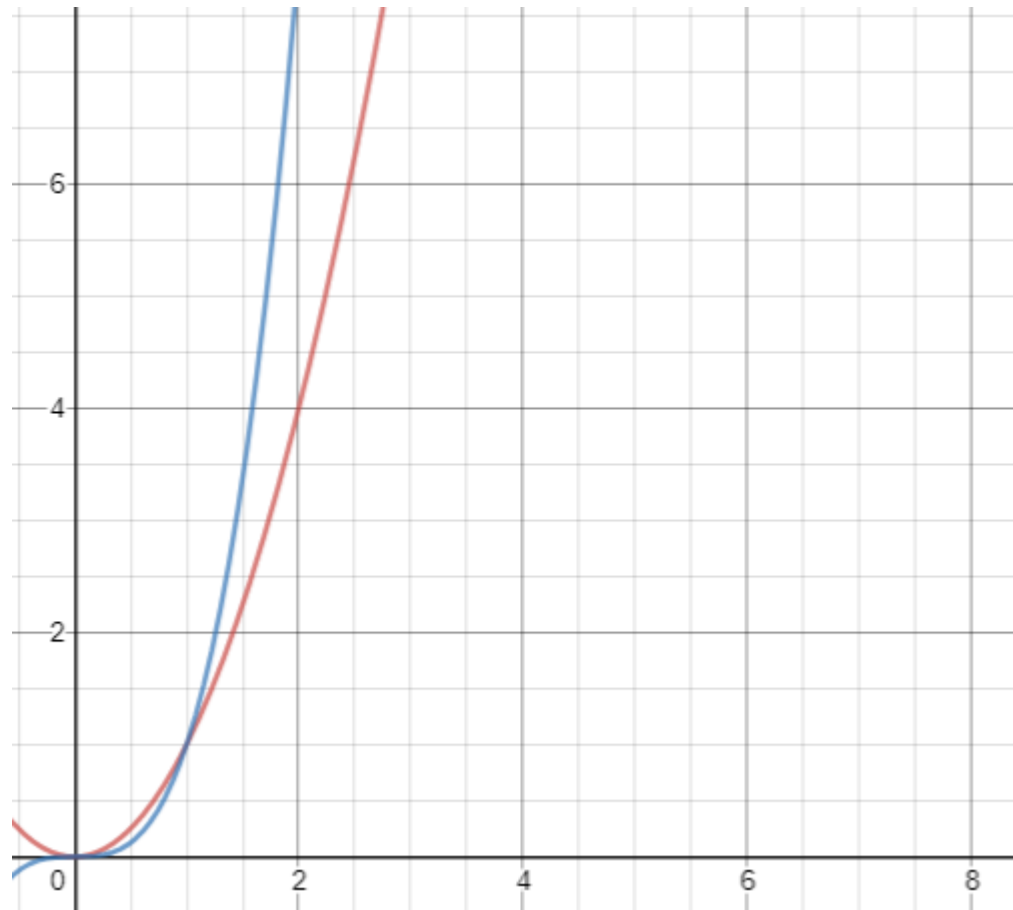
Asymptotic analysis 渐进分析

- Ignore machine-dependent constants
- look at the growth of running time $T(n)$ instead of actual running time

Asymptotic Notations

- θ notation

- drop low order terms and ignore leading constants 弃去低阶项，忽略常数因子
- e.g. $3n^3 + 90n^2 - 5n = \theta(n^3)$
- as $n \rightarrow \infty$, $\theta(n^2)$ always beat $\theta(n^3)$ algorithms



- at some point n_0 , $\theta(n^2)$ algorithms always cheaper than $\theta(n^3)$
- **engineering view**: n_0 might be too large that computers couldn't execute -> also interested in slower algorithms (asymptotically slower but still faster on reasonable size of inputs)
- balance between math and engineering sense