

# Using MUS for SMART++

SMART generates new assertions in blocks. Assertions are represented by the set,  $A$ . Blocks are provided with some variable set that is a subset of all variables present in the traces.

$$\hat{V} \subseteq V$$

Each block contains a number of threads that received a subset of  $\hat{V}$  and produces a single assertion. Currently  $\hat{V}$  is generated randomly from  $V$ . The idea is to use the Minimal Universal Subset,  $MUS$ , to guide in the variables to be included in future  $\hat{V}$ s.

## Preliminaries

### MUS and MSA

Exhaustive methods of finding the  $MUS$  are expensive, so we propose an approximate method that returns a set,  $N$ , for which every variable in  $N$  is underspecified, formally;

$$N = \{v \in V \mid \exists(V \setminus N) . \forall N . A\} \quad (1)$$

There exists some assignments to all variables not in  $N$  such that  $A$  is true for any assignment to variables in  $N$ , suggesting that variables in  $N$  are underspecified. This is not  $MUS$  as it makes no claim to  $N$  being minimal. Note that the  $MUS$  is the dual of Minimal Satisfying Assignments,  $MSA$ , a minimal partial assignment of variables in  $A$  such that  $A$  is always true.

$$MSA = (M, \sigma).A$$

where  $(M, \sigma)$  is a partial assignment to  $V$ ,  $M$  is a model and  $\sigma$  is a mapping from variables to the assignment.

### MHS

A Minimal Hitting Set ( $MHS$ ) of a  $A$  is a minimal subset of  $V$  such that for each assertion,  $a \in A$ , some variable of  $a$  is in the  $MHS$ .

$$MHS = \{T \subseteq V \mid \forall a \in A . T \cap a . \neq \emptyset\}$$

We can generate an approximate  $MHS$  for  $A$  as follows;

---

```
def approx_MHS(AV, T={}):  
    """  
    AV: List of sets of variables in each assertion in A  
    T: A potentially non-empty set of variables we think we want to include  
    """  
    for s in AV:  
        if not any(v in T for v in s): # is s hit by T?  
            T = T.union(s[0]) # add first variable in s  
    return T
```

---

We will say that an (approx)  $MHS$  covers  $A$  if  $V \setminus MHS$  is an  $MUS$  (the  $MHS$  is an approx  $MSA$ ).

## Algorithm

Give a set  $A$  of assertions, the algorithm to get an approximate MUS proceeds as follows:

We search for an approx MSA by starting with an approximate MHS. This is a good starting point, given the each assertion in  $A$  is true, we know that our MSA must hit each assertion with at least one variable.

Partial assignment to the the variables in the  $MHS$  is usually not sufficient to ensure  $A$  is true for all assignments to variables in  $V \setminus MHS$  and hence we need to add variables to the  $MHS$  until it covers  $A$ . This is described in the following pseudocode:

---

```
def search(AV, V, A, its):
    mhss = [approx_MHS(AV)]
    msa_sets = []
    for _ in range(its):
        mhs = mhss.pop()
        if is_mus(V/mhs, A):
            # Optional: descend_to_boundary(mhs, V, A)
            msa_sets.append(mhs)
        else:
            msa = ascend_to_boundary(mhs, V, A)
            msa_sets.append(msa)
            # initialise new mhs by starting with unsatisfiable vars from previous candidate
            mhss.append(approx_mhs(AV, mhs-msa))
    return msa_sets
```

---

To check whether  $V \setminus MHS$  is an *MUS* (and hence  $MHS$  is an *MSA*) we use the query described in equation 1 by calling to an SMT solver (usually CVC5). We make this more efficient by storing previously tested candidates,  $C$ . Given some new *MUS* query,  $q$  there are three possibilities:

1. If  $\exists c \in \{c \in C \mid \text{ismus}(c)\} \mid q \subseteq c$  then  $q$  is also *MUS*
2. If  $\exists c \in \{c \in C \mid \neg \text{ismus}(c)\} \mid c \subseteq q$  then  $q$  is not an *MUS*
3. Otherwise; test using CVC5 and store in  $C$  as appropriate

If the  $MHS$  is not an *MSA* we introduce more variables from  $V$  until it covers  $A$ . To be more targetted with how we add variables, we attempt to extract an unsat core from our *MUS* query,  $q$ , and add those variables to the  $MHS$ . However, querying with quantifiers stops us being able to automatically extracting this from CVC5. Instead we overapproximate the unsat core by checking for each assertion in  $A$ , if the relevant variables in  $q$  are not underspecified.

---

```
def approx_unsatcore(q, A): # q is a set of, potentially MUS, variables
    specified = {}
    for a in A:
        q_p = {v for v in a if a in q}
        if not is_mus(q_p, a):
            specified = specified.union(q_p)
    return specified
```

---

This is used in `ascend_to_boundary` to get an approximate *MSA*:

---

```
def ascend_to_boundary(MSA, V, A):
    specified_vars = approx_unsatcore(V/MSA)
```

---

---

```
return MSA.union(specified_vars)
```

---

The final idea is to use the `unsat_vars` to guide the generation of the next *MHS* that we propose as a candidate *MSA*. We know that this set of variables need to be specified for some subset of the assertions. However, this is an overapproximation of the variables as there may be redundancy between them, given that we check an assertion at a time not the whole set *A* together, and that these variables were unsat given the specific *MHS*.

Doing so may arise in scenarios where our *MHS* is an *MSA*, including redundant variables. For this we can, optionally, `descend_to_boundary`; where we greedily remove each variable until we reach the boundary where the candidate is no longer an *MSA*.

---

```
def descend_to_boundary(q, V, A):
    for v in q:
        q_p = q/{v}
        if not is_mus(V/q_p, A)
            return q
```

---

## More traces

When we find a valid *MUS* we get a subset, *N*, of *V* that is underspecified, but we also get an assignment for  $V \setminus N$  that models the hardware, given *A*. The idea is to use this assignment and test if it is a positive or negative trace;

1. If it is positive, add it to the positive traces and continue
2. If it is negative, add it to the negative traces and re-run the block with the same variable set,  $\hat{V}$ , given this new negative trace.

This should further ensure we are generating good assertions, increasing mutation detection.

## Engineering

This method works but is compute heavy for large *A*. This is due to the SMT query and the large search space when ascending/descending. Thus to effectively integrate this into SMART++ we can use the following structure;

1. Generate assertions for all variables in *V*
  - Split *V* into *n* independent sets, each sent to a block
  - This returns an independent set of assertions  $A_i$
  - For each  $A_i$  we generate  $mus_i$
  - After *n* blocks we generate *MUS* from  $\bigcup_{i=1}^n mus_i$
2. Given all variables are covered in  $\bigcup_{i=1}^n A_i$ 
  - Split *MUS* into *n* independent sets, each sent to a block
  - continue as above

This improves the efficiency of *MUS* calculation as we can run it on subsets of *A*. And we are still able to capture variables dependencies through iterative *MUS* generation and refinement.

