

# Small Business Innovation Research Program Phase I NSF Proposal

Topic: Electronic Hardware, Robotics and Wireless Technologies (EW) Subtopic: Robotics and Human Assistive Technologies (RH): RH2. Robotic Applications.

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## Summary

### Box 1: Overview, Key Words, and Subtopic Name:

The topic is Electronic Hardware, Robotics and Wireless Technologies (EW) and the subtopic is Robotics and Human Assistive Technologies (RH): RH2. Robotic Applications. Joseph Crandall is conducting Schunk Robotic Manipulation and computer vision research in order to develop a robotic system that can image and manipulate a plant. This point cloud based imaging research has the potential to generate savings for agricultural products that require human labor and dexterity to harvest. The system will also provide point cloud over time data for botanists working to quantitatively measure plant development. The following resources are being used for the robotic component of the project and can be treated as key words, Schunk ROS Package ([http://wiki.ros.org/schunk\\_canopen\\_driver](http://wiki.ros.org/schunk_canopen_driver)), Peak-systems Linux (<http://www.peak-system.com/PCAN-USB.199.0.html?L=1>), ROS Kinetic Kame (<http://wiki.ros.org/kinetic>), ROS Control ([http://wiki.ros.org/ros\\_control](http://wiki.ros.org/ros_control)), ROS Gazebo Integration ([http://gazebo.org/tutorials/tut=ros\\_overview](http://gazebo.org/tutorials/tut=ros_overview)), and Yale OpenHand Project (<https://www.eng.yale.edu/grablab/openhand/>).

### Box 2: Intellectual Merit

The Robotics component of the research encompasses three main technical challenges, gaining a working understanding of a prebuilt ROS package for the Schunk LWA 4P in order to drive the arm, a working understanding of the Gazebo robotic simulator in order to run a simulation of the Schunk LWA 4p, and using sensory point cloud data from an Xbox kinetic inside of a simulation world in order to give the robot arm sensory information. Once functional, the arm will be able to perceive, through the point cloud, complex geometric structures and be able to interact with them via a point cloud to mesh conversion software.

This project will require the developer to become familiar with all of these packages mentioned in the overview section in order to integrate them for the Schunk Robotic System. Many of the basic features needed for this project have already been implemented and refined by the robotic operating system open source community. To use one's development time wisely it is beneficial to become the well versed in what has previously been done to avoid duplication of code.

This research should be viewed as both a learning experience and a development process.

The student will gain a better understanding of ROS and its community in order to drive the robotic system. The student will also implement software to interpret the point cloud data to make it usable for the robot.

### Box 3: Broader/Commercial Impact:

This project will make Robotic tools for interacting with biological processes more useful to biological researchers and more commercially viable across many agricultural sectors. Although automation in machining and manufacturing has been well developed, the same principles for these controlled closed environments do not always lend themselves to dynamic ones.

However, many dynamic biological environments could be automated with this technology. One

example is for fruit harvesting and indoor/vertical farming. The orientation of the plant sites can be controlled, and with this system a point cloud to mesh interpretation of the plant would allow the robotic arm to grasp the biological structure and harvest it.

## Elevator Pitch

### The Customer

The natural world is chaotic and continuous. Researchers trying to find the patterns in its many intricacies are faced with a gauntlet of challenges. Greater still are the challenges faced by businesses whose economic viability depends on natural processes that can be disrupted, through no fault of the produced, by natural cataclysms. In such a world, it is helpful to implement some form of mechanization in order to both produce quantitative biological studies and reap greater returns when natural conditions are favorable for industrial agriculturalist.

The scientific botanist or biologist would benefit from using a plant imaging and manipulation platform because it would provide the scientist with systematic and reproducible point cloud and mesh data of the the plant under investigation over an extended duration of time. Certain plants could be monitored from origin to death with minute to minute precision. This data could then be used to create 3 dimensional movies of the plant development. The level of accuracy provided by the xbox kinect point cloud camera is what distinguishes our platform from any manual data gathering alternative. Even the most diligent and observant single graduate student does not have the stamina to observe and record plant development every minute for a 3 to 6 month period. A team of graduate students could be employed to do this, but this would take time away from their study and analysis of the data. When comparing opportunity cost of time spent for these graduate students, and astute PI would see the value of our plant imaging and manipulation platform data acquisition process.

The industrial agriculturalist would have the ability to use our prototype system to explore harvesting techniques in traditional and indoor farming for monitoring and harvesting of delicate produce. The plant imaging and manipulation system is **not mobile** so its manipulation range is limited to the area of the circular stand on which it is mounted. A potted fruit or vegetable plant could be placed on table. The plant growth would be monitored and when the fruit coloration and size met a predetermined characterization, perhaps based on a continuously refined machine learning algorithm, the Schuck arm and gripped would be manipulated to grasp the fruit, harvest it, and place it in a desired location within the range of the arm.

### The Value proposition

Our plant imaging and manipulation system will save the research or industrialist man hours that would be monotonous, and in some cases impractical for a single human to conduct. When deciding whether to purchase our system, the buyer would only have to ask themselves what their current labor costs are, factor in elongated costs such as pensions or healthcare for the industrialists, or opportunity cost for the the PI's graduate student's whose time would be better spent analysing the now autonomously acquired data. If the buyer believes that this cost is greater than the cost of our system, the company think that an intelligent consumer would decide to purchase the plant imaging and manipulation system.

### The innovation

Our innovation is **not** the development of a new technology, rather the pairing of two

separate fields within computer science in order to develop a system that can succeed in imaging and harvesting the polysemous low hanging fruit. The company are going to utilize a computer vision algorithm that translates point clouds to meshes. The company send this data to a Gazebo simulation. The simulation will give a frame of reference for the robot and the plant. The company will then calculate the preferred route for the Schunk arm to move. These movement commands will be sent as instructions and implemented via the ROS operating systems. This pairing of physical manipulation, simulation, point cloud sensory data, and mesh conversion is what makes our product unique. All though autonomous harvesting of grains has been available since the invention of the combine harvester, there is a lack of options for autonomous harvesting of delicate items such as produce, which is primarily harvested by hand. The company hope to add another options to the researcher and or farmer's toolbox if they see fit to buy our product.

## **Commercial Opportunity and Social Impact**

### **The Commercial Opportunity**

Industrialized agriculture plays a large role in our lives. However a large amount of the machinery that it is used in the harvesting of agricultural products is targeted at harvesting grains. Modern Standalone Combines have been in existence since the 1950's. Combines harvest crops such as corn, grain, and soybeans. They are able to separate the plant from the seed through a process called thrashing. The threshing process has been refined over the years and involves vibration and spinning. This process works very well for sturdy crops. However, implementing the same process for strawberries would be disastrous. Strawberries present two challenges. They are delicate and can be damaged when exposed to too much force. Secondly, the entire strawberry plant develops over a period of years, if you harvest the entire plant to remove one season of strawberries you will destroy the plant in the process and will have to wait multiple years for another set of plants to mature. With these constraints one must approach the problem from a different direction.

If our company use the Strawberry market as a case study for how this technology can be applied it is important to consider that current state of the strawberry market. A 2015 Wall street Journal report<sup>1</sup> noted a trend. Between 2005 and 2015 the number of illegal immigrants of Mexican origin detained by United States border patrol dropped from over 900,000 per year to under 300,000 per year. During the period from 2010 to 2015 wages per hour increased by %5 to \$11.33 per hour. The shortage of workers combined with the increase in pay demonstrates the shortage of supply for unskilled farm hands for strawberry harvesting.

A common metric for the strawberry industry is the cost paid to a worker to harvest a flat, approximately 288 strawberries. The average price paid to harvest a flat is \$1.50. Robotic systems along with the software developers and contracts to support them can cost hundreds of thousands of dollars which in turn requires the robotic systems to be able to harvest tens of millions of strawberries before the robotic systems can pay for themselves. So can a robotic system make economic sense in the strawberry industry? With some quick back of the napkin calculations one can discover how many acres of strawberries a farmer should grow before an

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<sup>1</sup> <http://www.wsj.com/articles/robots-step-into-new-planting-harvesting-roles-1429781404>

industrial robotic harvesting system starts to make economic sense.<sup>2</sup>

With 48 inch row spacing and 12 inch spacing between plants in each row a farmer can expect to raise about 10,890 strawberry plants per acre. If each plant produce on average 150 to 400 grams of strawberries in a growing season and one takes 275 grams for our calculation with a medium size strawberry weighing 12 grams, then the results in approximately 22 strawberries per plant per year. Which equates to approximately 239,580 strawberries per acre per year.

The robotic arm for a schunk robot costs approximately \$50,000 and the robotic three finger grabber for the schunk robot costs approximately \$60,000. If our company provides software and support for 5 years at a service cost of 10,000 a year our Hardware as a service product costs the farmer approximately \$160,000 over the course of 5 years.

In this case study the cost of paying a hired hand to pick the strawberries is set at \$1.50 a flat and assumes the amount of strawberries per flat is approximately 300. At this rate the farmer is paying on average \$0.005 per strawberry harvested. To compete our machine must harvest approximately 32,000,000 strawberries over the course of 5 years, or 6,400,000 strawberries per year. When incorporating the number of plants per acre and strawberries per plant our company models that a farmer should have over 26.7 acres of strawberry plants per year to justify using our Hardware as a Service product.

With these calculations in mind our customer is the industrial strawberry farmer who has approximately 30 or more acres of annual strawberry growth and is looking to transition away from manual harvesting to automated harvesting. In our initial case study the company noted what conditions are necessary to justify purchasing our machine as the well as our support services. However this may not be the payment model that our customer elects to select. Therefore the company proposes offering a range of payment models to chose from. The company's first option allows our customer to purchase the hardware along with our software and support contract. The second options is for the customer to simply subscribe to the support contract for a fixed period with the company providing the hardware on demand. Finally the company offers our customers with a third option of a single use payment model where our services can be contracted for one off harvest sessions. This flexibility allows the customer to utilize a payment method that fits their needs. These three options are summarized as follows, Hardware Support, Hardware as a Service, and One Click.

Our company is not the only company competing to provide automated harvesting for strawberry farmers. Our primary competitor is the spanish company Agrobot<sup>3</sup>. Agrobot provides a mobile ,multi arm, conveyor belt fed strawberry picker. This product has been under development since 2009 and provides all of the services our product hopes to provide and more. However, since our product is being developed in an academic environment our company have access to problems that the Agrobot does not. Our company can provide our system to biological researchers who are looking to quantitatively measure plant development over time. Our system can generate a point cloud mapping of plant growth over an extended period of time with the ability to manipulate the sample as it is growing. The flexibility of our system means that it is not optimized for either industrial agriculture or for scientific point cloud mapping, however it

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<sup>2</sup> <http://strawberryplants.org/2010/10/strawberry-plants-per-acre/>

<sup>3</sup> <http://www.agrobot.es>

can be used for both. This flexibility is what differentiates us from the competition.

In robotics and automation the competitive landscape is always changing. This is great for the consumer and it means that the company needs to keep updating our systems while maintaining the features that initially interested our customers. As the demand for unskilled farm labor continues to rise it is likely that more automated systems will be developed for other delicate produce products that are difficult to harvest. This coupled with advances in computer vision and machine learning allow for more robust systems that are able to manipulate a wider array of items for lower costs. Luckily, there are not other products that already have the high degrees of freedom and flexibility that the company provides while targeting the two small segments that have been addressed. However, in our globally competitive environment there is no way of telling when another startup will come out of hiding and be in direct competition with our company. All the company can do is continue to improve our product.

The risks the company is taking are capital intensive and therefore should not be taken lightly. In order to offer a product in which the company provide our users with support for our software as well as robotics components on demand contributes to a high degree of risk for the company. The company must purchase and maintain hardware that at a minimum has costs in the hundreds of thousands of dollars. The company must raise funds to make these purchase prior to taking in revenue from our services. This puts the company in a detrimental position if it acquires the initial money through a loan or through loss of equity through investment. If the company fails loans will still have to be repaid and the entrepreneurs images will have been damaged.

If our venture is successful and the strawberry case study is in the correct order of magnitude the company can expect to make upwards of \$50,000 per 5 year contract to strawberry farms of upwards of 30 acres of strawberries or greater. The company must investigate further into the different financial projections for the three payment options, Hardware Support, Hardware as a Service, and One Click.

## **The Commercial Opportunity**

Fresh produce is an important part of a healthy diet. In order to provide this produce to an expanding population for a cost that is affordable to a larger percentage of the population, technology must be utilized. If our product can provide a larger percentage of the population with the produce they want at a cost they can afford then the company has succeeded. If the company is able to grow our business and continue to develop our technology the company can continue to push down the cost per unit of harvested strawberries through economies of scale.

Unfortunately fresh fruit can be priced and perceived as a luxury item. If our company wants to have a broader social impact our technology needs to be good enough to not only be an economically viable alternative to hired hand based harvesting, but able to lower the cost per unit of strawberries harvested. If our automated harvesting systems are used widely enough it may give enough farms a competitive advantage so as to change the economics of the strawberry industry. In this scenario a paradigm shift would occur resulting in a percent decrease in the cost per unit of strawberry harvested and the destruction of the hired hand strawberry workforce. Automation is making our world more productive and raising GDP, it is also destroying jobs. Automation is a global trend and is making its way into industrial agriculture into sub sectors that previously could not be automated. This shift has both positive

and and negative repercussions. As unskilled laborers are displaced they have to reevaluate how to retrain themselves or face chronic unemployment. This is not an enviable situation to be in and is unfortunately the reality for many individuals. However, strawberry farmers who embraces new automation technologies will be more competitive than those who do not. For the consumer, this product will help to decrease the cost of strawberries and hopefully make strawberries an accessible product to a greater percentage of the population.

Environmental process that are manipulated by automated, repetitive systems often respond in unanticipated ways. Entropy is real. Moving one bolt from one conveyor belt to another conveyor belt is very different from removing a strawberry from the vine and placing it in a clamshell container. Successful species adapt over time and it is this adaptation that must be accounted for. Overtime the automated process may deprive the plants of some nutrient or dampen or amplify some chaotic forces that helps in plant development. Either way, it is difficult to predict these adverse effects that new technology may create. The company will have to deal with these problem when they presents themselves. In terms of health and safety is is important that our system does not introduce any toxic components into the food the robot harvests. When the company is prepared to certify our first prototype, one of the steps the company will take is to present the system to the proper government agencies so as to certify that the product is safe.

Our product may create a backlash from the organized strawberry harvesting workforce fearing the loss of their jobs. However if the technology is good enough, the economics of automation will inevitably lead to hired hand job loss. As with any powerful robotic system, there is the possibility of injury due to poor design, operator error, or malicious intent. Our goal is to minimize the first, train our users to avoid the second, and make it difficult for bad actors to carry out the third. The company's product will need regulatory approval for both its needed level of sanitation as well as certification for operator use as well as age limits for operator use.

Our technology is another example of the automation of unskilled labor. The common solution to this problem is the retraining of unskilled laborers in new fields of work. This solution is weak and does not address the greater problem of an overall decrease in the amount employee's needed to maintain or accelerate growth. This is a not a problem that our company can hope to solve, but perhaps we can help. If done correctly, the company's robotic harvesting system will results in healthy food that is less expensive to harvest. This success comes at the cost of job loss. In order to offset this job loss it is possible to create an apprenticeship program where hired hands who have lost their jobs are able to shadow members of the company and learn how we develop our machines. It is difficult to know how well this will scale and it is certain that the company will not be able to provide training for all the jobs the company displaces with our machines, however it does provide a route for some motivated individuals to learn from the individuals who displace them.

The company's automated strawberry harvesting product will contributing to a more competitive world, so the next time you are up late stressed over an impending deadline that requires unprecedented levels of innovation, you can enjoy a ruby red strawberry for reduced cost and think about how far we have come.

