

which approaches one uniformly on  $\mathcal{M}_2$  as  $n$  increases for any a.o.  $\rho$ , since  $H(Q) \geq 2$ .

The same procedure is used for  $\mathcal{A}_2$ , but the sequence is

$$\alpha(j_1)\bar{\alpha}(k_1)\alpha(j_2)\bar{\alpha}(k_2) \cdots \rightarrow j_1, k_1, j_2, k_2 \cdots \\ \rightarrow h(j_1, j_2), h(k_1, k_2) \cdots \rightarrow \rho(h(j_1, j_2))\rho(h(k_1, k_2)) \cdots$$

so that the  $2^n$  integers mapped by  $h^n$  are always drawn from the same distribution ( $Q_0$  or  $Q_1$ ). Using this encoding on the rows of the array in Fig. 1, sending representations of  $2^n$  runs of zeros and  $2^n$  runs of ones from each row, and using the appropriate marker-moving algorithm gives an a.o. sequence of universal codes for  $\mathcal{A}_k$ ,  $\mathcal{M}$ , and  $\mathcal{A}$ .

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# The Algebraic Decoding of Goppa Codes

N. J. PATTERSON

**Abstract**—An interesting class of linear error-correcting codes has been found by Goppa [3], [4]. This paper presents algebraic decoding algorithms for the Goppa codes. These algorithms are only a little more complex than Berlekamp's well-known algorithm for BCH codes and, in fact, make essential use of his procedure. Hence the cost of decoding a Goppa code is similar to the cost of decoding a BCH code of comparable block length.

## I. INTRODUCTION

LET  $K$  be the finite field  $GF(q^m)$ . Let  $J$  be the finite field  $GF(q)$ . Let  $g(x)$  be a polynomial of degree  $n \geq 1$  with coefficients in  $K$ , and let  $L$  be a subset of  $K$  with the property that no element of  $L$  is a root of  $g$ . We define a Goppa code  $\mathcal{G}$  with Goppa polynomial  $g$  and symbol field  $J$  as follows. It is convenient to index the coordinates of  $\mathcal{G}$  by  $L$ . Then  $C$  is a codeword of  $\mathcal{G}$ , if and only if

$$\sum_{\gamma \in L} \frac{C_\gamma}{x - \gamma} \equiv 0 \pmod{g(x)}. \quad (1)$$

Let  $C$  be a codeword and  $R$  the received word, so that the error vector  $E$  is given by

$$R = C + E$$

so that

$$\sum_{\gamma \in L} \frac{R_\gamma}{x - \gamma} \equiv \sum_{\gamma \in L} \left( \frac{C_\gamma}{x - \gamma} + \frac{E_\gamma}{x - \gamma} \right) \pmod{g(x)} \\ \equiv \sum_{\gamma \in L} \frac{E_\gamma}{x - \gamma} \pmod{g(x)}.$$

It is natural then to define the syndrome  $S(x)$  as the polynomial of degree less than  $n$  such that

$$S(x) \equiv \sum_{\gamma \in L} \frac{R_\gamma}{x - \gamma} \pmod{g(x)}. \quad (2)$$

We define

$$\sigma(x) = \prod_{\substack{\gamma \in L \\ E_\gamma \neq 0}} (x - \gamma)$$

(thus  $\deg \sigma$  = number of errors), and we define  $\eta(x)$  of degree less than  $n$  by

$$\eta(x) \equiv \sigma(x)S(x) \pmod{g(x)}. \quad (3)$$

Now

$$\begin{aligned}\eta(x) &= \sum_{\gamma \in L} \frac{E_\gamma}{x - \gamma} \prod_{\substack{\gamma \in L \\ E_\gamma \neq 0}} (x - \gamma) \\ &= \sum_{\substack{\gamma \in L \\ E_\gamma \neq 0}} E_\gamma \prod_{\substack{\delta \in L \\ E_\delta \neq 0 \\ \delta \neq \gamma}} (x - \delta).\end{aligned}$$

Now, if  $E_\gamma \neq 0$ , we get

$$\eta(\gamma) = E_\gamma \prod_{\substack{\delta \in L \\ E_\delta \neq 0 \\ \delta \neq \gamma}} (\gamma - \delta) = E_\gamma \sigma'(\gamma)$$

whence

$$E_\gamma = \frac{\eta(\gamma)}{\sigma'(\gamma)}. \quad (4)$$

So knowledge of  $\sigma$  and  $\eta$  determines  $E$ . We are thus led to the following problem.

Given polynomials  $f$  and  $g$  over a finite field  $K$  with  $g$  having degree  $n > 1$  and  $f$  not divisible by  $g$ , find a solution to  $f\sigma \equiv \omega \pmod{g}$  with  $\deg \sigma$  and  $\deg \omega$  "small."

An algorithm to solve this problem implies a decoding algorithm for the Goppa codes.

Berlekamp [2] has given an elegant and economical solution for the case  $g(x) = x^n$ . We reduce our problem to this case so that we can use Berlekamp's procedure.

## II. PRELIMINARIES

*Theorem 1:* Let  $r$  be an integer,  $0 \leq r < n$ .

- There exists a monic polynomial  $\theta_r \neq 0$  such that
  - $\deg \theta_r \leq r$
  - $f\theta_r \equiv \omega_r \pmod{g}$  and  $\deg \omega_r \leq n - r - 1$ .
- If  $\sigma, \eta$  are coprime polynomials and  $\deg \sigma \leq r$ ,  $\deg \eta \leq n - r - 1$  with  $f\sigma \equiv \eta \pmod{g}$ , then  $\sigma$  divides  $\theta_r$ .
- Choose  $\theta$  of smallest possible degree satisfying i) and ii), then  $\theta$  divides  $\theta_r$ .

*Proof:* Let  $A(x) = a_0 + a_1x + \cdots + a_rx^r$  be a polynomial of degree  $r$  over  $K[a_0, \dots, a_r]$ , the field  $K$  extended by  $r + 1$  indeterminates  $\{a_i\}_{0 \leq i \leq r}$ . Requiring  $\deg(A(x) \cdot f(x) \pmod{g(x)}) \leq n - r - 1$  imposes  $r$  linear constraints on the  $r + 1$  indeterminates. Thus this system of  $r$  linear equations in  $r + 1$  unknowns has a nonzero solution in  $K$ . This proves a).

Suppose  $f\theta \equiv \omega \pmod{g}$  and  $f\theta^* \equiv \omega^* \pmod{g}$ , where  $\theta, \theta^*$  satisfy i) and ii). Then  $\theta^*\omega \equiv \omega^*\theta \pmod{g}$ . As  $\deg \theta^*\omega$  and  $\deg \omega^*\theta$  are less than  $\deg g$ , we get

$$\theta^*\omega = \omega^*\theta. \quad (5)$$

If  $\theta^*, \omega^*$  are coprime then  $\theta^*$  divides  $\theta$  proving b).

Now suppose c) is false. Choose  $g$  and  $r$  so that  $\deg g$  is as small as possible while contravening c). Let  $\theta \neq 0$  be of smallest degree satisfying i) and ii). Let  $f\theta \equiv \omega \pmod{g}$ . Since c) is false, there exists  $\psi$  so that  $f\psi \equiv \phi \pmod{g}$ ;  $\deg \theta, \deg \psi \leq r$ ;  $\deg \omega, \deg \phi \leq n - r - 1$ ; while  $\theta$  does not divide  $\psi$ . We choose  $\psi$  of smallest possible degree, subject to our choice of  $\theta$  and  $g$ .

By b),  $\theta$  and  $\omega$  are not coprime. Let  $p(x)$  be an irreducible polynomial dividing  $\theta$  and  $\omega$ . By minimality of  $\deg \theta$  we

get  $p \mid g$ . Suppose  $p \mid \psi$ , then  $p \mid \theta$ . Now  $\theta/p$  is a polynomial  $\lambda$  of least degree satisfying

- $\deg \lambda \leq r - \deg p$
- $\deg f\lambda \pmod{g/p} \leq \deg(g/p) - r - 1$ .

So by our choice of  $g$  we get  $\theta/p \mid \psi/p$  whence  $\theta \mid \psi$ . So we can assume that  $\gcd(\theta, \omega) = \alpha$ , say, is coprime to  $\psi$ . Let  $\gcd(\alpha, g) = \alpha_0$ , then  $\alpha = \alpha_0\alpha_1$ . Let  $f\theta = \omega + \mu g$ . We get  $\alpha_1 \mid \mu$ , and our choice of  $\theta$  implies  $\alpha_1 = 1$ , whence  $\alpha \mid g$ .

Let  $\theta = \alpha\theta'$ ,  $\omega = \alpha\omega'$ , and  $g = \alpha g'$ . By (5)  $\theta\phi = \psi\omega$ , or  $\theta'\phi = \omega'\psi$  whence  $\theta' \mid \psi$ . Set  $\psi = \beta\theta'$ , hence,  $\phi = \beta\omega'$ .  $\alpha$  and  $\beta$  are coprime. Now let  $\beta_0 = \gcd(\beta, g)$ ,  $\beta = \beta_0\beta_1$ . Then  $f\beta_0\beta_1\theta' = \beta_0\beta_1\omega' + \lambda g$ , for some polynomial  $\lambda$ . Hence  $f\beta_0\theta' \equiv \beta_0\omega' \pmod{g}$ .  $\alpha \nmid \beta_0$  so since  $\psi$  was chosen of smallest degree subject to  $\theta \nmid \psi$ , we get  $\beta_0 = \beta$  or  $\beta \mid g$ . Let  $g = \alpha\beta g''$ . Now  $f\theta' - \omega' \equiv 0 \pmod{\beta g''}$  and  $\pmod{\alpha g''}$ . Hence  $f\theta' - \omega' \equiv 0 \pmod{\alpha\beta g''}$ , or  $f\theta' \equiv \omega' \pmod{g}$ . This contradicts the choice of  $\theta$  and proves c), completing the proof of Theorem 1.

The reader should not assume that the minimal  $\theta$  shown to exist by c) has the property that  $f\theta \pmod{g}$  is coprime to  $\theta$ . Take for example  $g(x) = x^4$ ,  $f(x) = 1 + x^3$ ,  $r = 1$ . Then  $\theta = x$ ,  $\omega = x$  is obviously the choice we need for c).

The polynomials given by Theorem 1 can in fact be found by Euclid's algorithm [5].

We now take  $r = \lfloor n/2 \rfloor$ , so  $n - r - 1 = \lfloor (n - 1)/2 \rfloor$ , and wish to compute  $\theta_r$  satisfying i) and ii). By [1] this is the crucial step in giving an  $l$ -error correcting algorithm for a Goppa code with Goppa polynomial of degree  $2l$ .

## III. THE CASE $g(x) = x^n$

In essence we use Berlekamp's well-known algorithm for decoding BCH codes [2, sec. 7.4]. Berlekamp in [2] assumes  $f(0) = 1$ , which suffices for his purposes; we cannot make this assumption, but the algorithm needs only minor changes. It seems worthwhile to give an exposition here, following Berlekamp very closely.

Let

$$f(x) = \sum_{i=0}^{n-1} a_i x^i.$$

Without loss of generality we take the first nonzero coefficient of  $f$  to be one. That is,  $a_i = 0$ ,  $0 \leq i \leq k - 1$ ,  $a_k = 1$ .

*Algorithm 1 (Berlekamp):* If  $a_0 = 1$ , define  $\sigma_0 = 1$ ,  $\tau_0 = 1$ ,  $\omega_0 = 1$ ,  $\gamma_0 = 0$ ,  $D(0) = 0$ ,  $B(0) = 0$ . If  $a_0 = 0$ , define  $\sigma_0 = 1$ ,  $\tau_0 = 1$ ,  $\omega_0 = 0$ ,  $\gamma_0 = -1$ ,  $D(0) = 0$ ,  $B(0) = 1$ . Thereafter proceed recursively, for  $0 \leq k \leq n - 2$ . Define  $\Delta_k$  to be the coefficient of  $x^{k+1}$  in  $f\sigma_k$ . Set

$$\sigma_{k+1} = \sigma_k - \Delta_k x \tau_k.$$

$$\omega_{k+1} = \omega_k - \Delta_k x \gamma_k.$$

If  $\Delta_k = 0$ , or if  $D(k) > (k + 1)/2$ , or if both  $D(k) = (k + 1)/2$  and  $B(k) = 0$ , set

$$D(k + 1) = D(k)$$

$$B(k + 1) = B(k)$$

and

$$\tau_{k+1} = x\tau_k$$

$$\gamma_{k+1} = x\gamma_k;$$

otherwise, set

$$D(k+1) = k+1 - D(k)$$

$$B(k+1) = 1 - B(k)$$

$$\tau_{k+1} = \frac{\sigma_k}{\Delta_k}$$

$$\gamma_{k+1} = \frac{\omega_k}{\Delta_k}.$$

**Theorem 2 (Berlekamp [2]):**

- a)  $\sigma_k(0) = 1$
- b)  $f\sigma_k \equiv \omega_k + \Delta_k x^{k+1} \pmod{x^{k+2}}$
- c)  $f\tau_k \equiv \gamma_k + x^k \pmod{x^{k+1}}$
- d)  $\deg \sigma_k \leq D(k)$
- e)  $\deg \tau_k \leq k - D(k)$
- f)  $\deg \omega_k \leq D(k) - B(k)$
- g)  $\deg \gamma_k \leq k - D(k) - (1 - B(k))$
- h)  $\omega_k \tau_k - \sigma_k \gamma_k = x^k$ .

*Proof:* a)-g) are all readily proved by induction on  $k$ , noting that the initial conditions are chosen to make the theorem true at  $k = 0$ . To prove h), from b) and c) we find

$$\begin{aligned} \omega_k \tau_k - \sigma_k \gamma_k &\equiv \sigma_k x^k \pmod{x^{k+1}} \\ &\equiv x^k \pmod{x^{k+1}} \end{aligned}$$

where the last congruence follows from a). Now  $\deg \omega_k \tau_k \leq k$  by e), f);  $\deg \sigma_k \gamma_k \leq k$  by d), g). Hence the result.

**Theorem 3 (Berlekamp [2, sec. 7.43]):** Let  $\sigma, \omega$  be any pair of polynomials that satisfy  $\sigma(0) = 1, f\sigma \equiv \omega \pmod{x^{k+1}}$ . Let  $D = \max(\deg \sigma, \deg \omega)$ . Then there exist polynomials  $U$  and  $V$  such that

- 1)  $U(0) = 1$
- 2)  $V(0) = 0$
- 3)  $\deg U \leq D - D(k)$
- 4)  $\deg V \leq D - (k - D(k))$
- 5)  $\sigma = U\sigma_k + V\tau_k$
- 6)  $\omega = U\omega_k + V\gamma_k$ .

*Proof:*  $f\sigma \equiv \omega \pmod{x^{k+1}}$ ;  $f\sigma_k \equiv \omega_k \pmod{x^{k+1}}$  by Theorem 2 b). So  $\omega\sigma_k \equiv \omega_k\sigma \pmod{x^{k+1}}$  or

$$\sigma_k \omega - \omega_k \sigma = -x^k V(x), \quad \text{where } V(0) = 0 \quad (6)$$

and  $\deg V \leq D + \max(\deg \sigma_k, \deg \omega_k) - k \leq D + D(k) - k$  by Theorem 2. Similarly,  $\tau_k \omega \equiv \sigma(\gamma_k + x^k) \pmod{x^{k+1}}$  or

$$\tau_k \omega - \gamma_k \sigma = x^k U(x), \quad \text{where } U(0) = 1 \quad (7)$$

and  $\deg U \leq D + \max(\deg \tau_k, \deg \gamma_k) - k \leq D - D(k)$  by Theorem 2. By (6), (7)  $\sigma(\tau_k \omega_k - \sigma_k \gamma_k) = x^k(U\sigma_k + V\tau_k)$ . By Theorem 2 h),

$$\sigma = U\sigma_k + V\tau_k. \quad (8)$$

Similarly

$$\omega = U\omega_k + V\gamma_k. \quad (9)$$

This completes the proof of Theorem 3.

**Theorem 4 (Berlekamp [2]):** Suppose  $\sigma, \omega$  are coprime polynomials and  $\sigma(0) = 1, f\sigma \equiv \omega \pmod{x^k}$ ,  $\deg \sigma \leq [k/2]$ , and  $\deg \omega \leq [(k-1)/2]$ . Then  $\sigma = \sigma_{k-1}$ ,  $\omega = \omega_{k-1}$ .

*Proof:* We use Theorem 3. By (7) we find

$$\begin{aligned} k-1 &\leq \deg(\tau_{k-1}\omega - \sigma\gamma_{k-1}) \\ &\leq \max\left(\frac{k-1}{2} + k-1 - D(k-1), \frac{k}{2} + k-1 - D(k-1)\right) \\ &= k-1 + \frac{k}{2} - D(k-1). \end{aligned}$$

Therefore

$$D(k-1) \leq \frac{k}{2}. \quad (10)$$

Also  $D(k-1) = k/2$  implies  $\deg \gamma_{k-1} = k-1 - D(k-1)$  whence  $B(k-1) = 1$  by Theorem 2 g). Thus we obtain  $\deg \sigma_{k-1} \leq k/2$  and  $\deg \omega_{k-1} \leq (k-1)/2$ . Now it follows that  $\deg(\sigma_{k-1}\omega - \omega_{k-1}\sigma) < k$ , and, using (6), that  $V = 0$ . So  $\sigma = U\sigma_{k-1}$ ,  $\omega = U\omega_{k-1}$ . As  $\sigma, \omega$  are coprime, this proves Theorem 4.

**Theorem 5 (Berlekamp [2]):**

- a) if  $B(k) = 0$ , then  $\deg \tau_k = k - D(k)$   
 $\deg \omega_k = D(k)$ ;
- b) if  $B(k) = 1$ , then  $\deg \gamma_k = k - D(k)$   
 $\deg \sigma_k = D(k)$ .

*Proof:* By Theorem 2 h)  $\omega_k \tau_k - \sigma_k \gamma_k = x^k$ . If  $B(k) = 0$ , then  $\deg \sigma_k \gamma_k \leq k-1$  so  $\deg \omega_k \tau_k = k$ . Now, using Theorem 2 e), f), we get part a). If  $B(k) = 1$ , then  $\deg \tau_k \omega_k \leq k-1$  so  $\deg \sigma_k \gamma_k = k$ . Now, using Theorem 2 d), g), we get part b).

**Theorem 6:** Let  $\sigma$  be a polynomial of least degree such that  $f\sigma \equiv \omega \pmod{x^n}$ , where  $\deg \sigma \leq n/2$ ,  $\deg \omega \leq (n-1)/2$ . Suppose  $\sigma = x^a \sigma^*$ , where  $\sigma^*(0) = 1$ . So  $\omega = x^a \omega^*$ . Then

- 1)  $\sigma^* = \sigma_{n-a-1}$ ;
- 2)  $\omega^* = \omega_{n-a-1}$ ;
- 3) if  $B(n-a-1) = 1$ , then  $D(n-a-1) \leq [n/2] - a$ , while if  $B(n-a-1) = 0$ , then  $D(n-a-1) \leq [(n-1)/2] - a$ ;
- 4) if  $a > 0$ , then  $\sigma_{n-a-1} \neq \sigma_{n-a}$  and  $f\sigma \not\equiv \omega \pmod{x^{n+1}}$ .

*Proof:* Clearly  $\sigma^*$  and  $\omega^*$  are coprime and

$$f\sigma^* \equiv \omega^* \pmod{x^{n-a}}$$

and

$$\begin{aligned} \deg \sigma^* &\leq \left\lfloor \frac{n}{2} \right\rfloor - a \leq \frac{n-a}{2} \\ \deg \omega^* &\leq \left\lfloor \frac{n-1}{2} \right\rfloor - a \leq \frac{n-a-1}{2}. \end{aligned} \quad (11)$$

By Theorem 4,  $\sigma^* = \sigma_{n-a-1}$  and  $\omega^* = \omega_{n-a-1}$ , proving 1) and 2). 3) follows at once from (11) and Theorem 5. Finally, if  $a > 0$ , then  $fx^{a-1}\sigma^* \not\equiv x^{a-1}\omega^* \pmod{x^n}$ , or

$f\sigma^* \not\equiv \omega^* \pmod{x^{n-a+1}}$ . This shows  $\sigma_{n-a-1} \neq \sigma_{n-a}$ , proving 4).

This yields an algorithm to determine  $\sigma \neq 0$  of least degree such that  $f\sigma \equiv \omega \pmod{x^n}$ ,  $\deg \sigma \leq [n/2]$ , and  $\deg \omega \leq [(n-1)/2]$ .  $\sigma$  is unique (up to multiplication by a field element) by Theorem 1. We suppose  $\sigma = x^a \sigma^*$ , where  $\sigma^*(0) = 1$ .

Let  $N = \max(\deg \sigma, (\deg \omega) + 1)$ .

*Algorithm 2:* We proceed exactly as in Algorithm 1, except that at each iteration, if  $\Delta_k \neq 0$  and

$$\left( \left( \text{if } B(k-1) = 0 \text{ and } D(k-1) \leq k - \left\lfloor \frac{n}{2} \right\rfloor - 1 \right) \text{ or } \left( \text{if } B(k-1) = 1 \text{ and } D(k-1) \leq k - \left\lfloor \frac{n-1}{2} \right\rfloor - 1 \right) \right)$$

then set  $\tilde{\sigma} = x^{n-k} \sigma_{k-1}$ ,  $\tilde{\omega} = x^{n-k} \omega_{k-1}$ ,  $\tilde{N} = n - k + D(k-1) + 1 - B(k-1)$ , and terminate. If we compute  $\sigma_0, \sigma_1, \dots, \sigma_{n-1}$  without terminating, then set  $\tilde{\sigma} = \sigma_{n-1}$ ,  $\tilde{\omega} = \omega_{n-1}$ ,  $\tilde{N} = D(n-1) + 1 - B(n-1)$ .

*Theorem 7:*

- 1)  $\sigma = \tilde{\sigma}$
- 2)  $\omega = \tilde{\omega}$
- 3)  $\tilde{N} = \max(\deg \sigma, (\deg \omega) + 1)$ .

*Proof:* Suppose our algorithm has computed  $\sigma_0, \sigma_1, \dots, \sigma_{n-1}$  without terminating. Then by Theorem 6 (setting  $a = n - k$ ), we find  $\sigma = \sigma_{n-1} = \tilde{\sigma}$  and 1), 2) follow. So we may assume  $\tilde{\sigma} = x^{n-k} \sigma_{k-1}$ , for some  $k < n$ . Then  $\deg \sigma_{k-1} \leq [k/2]$ ,  $\deg \omega_{k-1} \leq [(k-1)/2]$ . Hence by Theorem 4,  $\sigma_{k-1}$  and  $\omega_{k-1}$  are coprime. By Theorem 1  $\sigma \mid \tilde{\sigma}$ . Let  $\tilde{\sigma}(x) = \sigma(x)\psi(x)$ ,  $\tilde{\omega}(x) = \omega(x)\psi(x)$ , hence,  $\psi(x) = x^c$ , for some  $c \leq n - k$ .  $c \geq 1$  implies

$$\frac{f(x)\sigma(x)\psi(x)}{x} \equiv \frac{\omega(x)\psi(x)}{x} \pmod{x^n}$$

or  $f(x)\tilde{\sigma}(x) \equiv \tilde{\omega}(x) \pmod{x^{n+1}}$ , whence

$$f(x)\sigma_{k-1}(x) \equiv \omega_{k-1}(x) \pmod{x^{k+1}}.$$

This implies  $\Delta_k = 0$ , contradicting the algorithm. So  $c = 0$ , whence  $\sigma = \tilde{\sigma}$ . This proves 1) and 2). By Theorem 5,  $\max(\deg \sigma, (\deg \omega) + 1) = D(r) + 1 - B(r)$ ; 3) is an immediate consequence.

#### IV. GENERAL $g$

We now make no assumptions on  $g$ .

We wish to solve the equation  $f(x)\sigma(x) \equiv \omega(x) \pmod{g(x)}$ . The idea is to load  $f$  into a feedback shift register wired to multiply by  $x \pmod{g(x)}$ . We next compute a polynomial  $f'$  whose coefficients are given by the successive values of a particular cell of the register. Algorithm 2 is now used to solve  $f'(y)\sigma'(y) \equiv \omega'(y) \pmod{y^n}$ . It then turns out that for a suitable choice of  $i$ ,  $\sigma(x) = x^i \sigma'(x^{-1})$  gives us the answer we require.

Here then is an algorithm to compute the  $\sigma$  of least degree such that  $f\sigma \equiv \omega \pmod{g}$ ,  $\deg \sigma \leq [n/2]$ ,  $\deg \omega \leq [(n-1)/2]$ .

*Algorithm 3:*

1) For  $0 \leq i \leq n-1$ , let  $a_i$  be the coefficient of  $x^{n-1}$  in  $x^i f(x) \pmod{g}$ .

2) Let  $h(y) = a_0 + a_1 y + \dots + a_{n-1} y^{n-1}$ .

*Case A— $n$  even:* Use Algorithm 2 to find  $\sigma', \omega', N$  such that  $\deg \sigma' \leq n/2$ ,  $\deg \omega' \leq [(n-1)/2] = n/2 - 1$ , and  $h(y)\sigma'(y) \equiv \omega'(y) \pmod{y^n}$ , where

$$N = \max(\deg \sigma', (\deg \omega') + 1).$$

*Case B— $n$  odd:* Use Algorithm 2 to find  $\sigma', \omega', N$  such that  $\deg \sigma' \leq (n-1)/2$ ,  $\deg \omega' \leq [(n-2)/2] = (n-1)/2 - 1$ , and  $h(y)\sigma'(y) \equiv \omega'(y) \pmod{y^{n-1}}$ , where

$$N = \max(\deg \sigma', (\deg \omega') + 1).$$

3) Suppose  $\sigma' = c_0 + c_1 y + \dots + c_r y^r$ ,  $r \leq N$ . Then set  $\sigma = c_0 x^N + \dots + c_r x^{N-r}$  and  $\omega = f\sigma \pmod{g}$ .

*Proof of Algorithm 3:* It is convenient here to introduce the ring  $R[x]$  of all formal power series  $\sum_{i=-\infty}^{\infty} a_i x^i$  (where  $a_i$  are coefficients in our field  $K$ ) in which  $a_i = 0$ , for every  $i > 0$  with at most a finite number of exceptions. Addition and multiplication in  $R[x]$  are defined in the obvious way. Observe that  $K[x]$ , the ring of polynomials in  $x$ , is embedded in  $R[x]$  in a natural manner.

*Theorem 8:* Let  $\alpha, \beta \in R[x]$  be polynomials in  $x$ . Let  $\deg \beta = n$ . Let  $\alpha = q\beta + r$ , where  $r$  is a polynomial of degree  $< n$ . Suppose  $r \neq 0$ . Let

$$\alpha(x) = \left( \tilde{q}(x) + \sum_{i=1}^{\infty} b_i x^{-i} \right) \beta(x)$$

where  $\tilde{q}(x)$  is a polynomial in  $x$ . If  $b_1 = b_2 = \dots = b_s = 0$  and  $b_{s+1} \neq 0$ , then  $\deg r = n - s - 1$ .

*Proof:* For  $a \geq 0$ , let  $x^a \alpha(x) = q_a(x)\beta(x) + r_a(x)$ , where  $\deg r_a < n$ . Then

$$x^a \alpha(x) = \left( \tilde{q}(x)x^a + \sum_{j=1}^a b_j x^{a-j} + \sum_{i=1}^{\infty} b_{i+a} x^{-i} \right) \beta(x).$$

Let

$$q^*(x) = \tilde{q}(x)x^a + \sum_{j=1}^a b_j x^{a-j}$$

for  $a \geq 1$ .  $q^*$  is a polynomial in  $x$ . Then

$$(q_a - q^*)\beta + \left( r_a - \beta \sum_{i=1}^{\infty} b_{i+a} x^{-i} \right) = 0.$$

Now comparing coefficients of  $x^k$  for  $k \geq n$  we get  $q_a - q^* = 0$ , or  $r_a = \beta \sum_{i=1}^{\infty} b_{i+a} x^{-i}$ . So comparing the coefficient of  $x^{n-1}$ , we get that  $b_{a+1}$  is the coefficient of  $x^{n-1}$  in  $r_a = x^a \alpha \pmod{\beta}$ . Theorem 8 is now obvious.

We return to Algorithm 3

$$f(x) = ((a_0 x^{-1} + a_1 x^{-2} + \dots + a_{n-1} x^{-n}) + b_n x^{-(n+1)} + \dots) g(x)$$

where  $b_n, b_{n+1}, \dots$  are elements of  $K$ . Substituting  $x^{-1}$  for  $y$  in 2) of Algorithm 3 yields

$$(a_0 + a_1 x^{-1} + \dots + a_{n-1} x^{-(n-1)}) \sigma'(x^{-1}) \equiv \omega'(x^{-1}) \pmod{x^{-M}}$$

where  $M = n$  if  $n$  is even,  $M = n - 1$  if  $n$  is odd. Hence

$$\begin{aligned} f(x)\sigma'(x^{-1}) &= (x^{-1}\sigma'(x^{-1})(a_0 + a_1x^{-1} + \cdots \\ &\quad + a_{n-1}x^{-(n-1)} + b_nx^{-(n+1)} + \cdots)g(x) \\ &= (x^{-1}\omega'(x^{-1}) \\ &\quad + x^{-(M+1)}(d_0 + d_1x^{-1} + \cdots))g(x) \end{aligned}$$

where the  $d_i$  are some elements of  $K$  whose value is not important. Multiplying by  $x^N$  we get, setting  $\sigma(x) = x^N\sigma'(x^{-1})$  and noting that  $N = \max(\deg \sigma', (\deg \omega') + 1)$ ,

$$f(x)\sigma(x) = (\lambda(x) + x^{-(M+1-N)}(d_0 + d_1x^{-1} + \cdots))g(x)$$

where  $\lambda(x)$  is a polynomial in  $x$ . Hence by Theorem 8,  $\omega = f\sigma \bmod g$  has degree  $\leq n - 1 - (M - N) = N - 1$ , if  $n$  is even and  $N$ , if  $n$  is odd. Hence we get  $\deg \sigma \leq N$ , and  $\deg \omega \leq N - 1$ , if  $n$  is even and  $\deg \omega \leq N$ , if  $n$  is odd. By Algorithm 3,  $N \leq n/2$ , if  $n$  is even and  $N \leq (n - 1)/2$ , if  $n$  is odd. Hence  $\deg \sigma \leq \lfloor n/2 \rfloor$ ,  $\deg \omega \leq \lfloor (n - 1)/2 \rfloor$ , as asserted.

Reversing the steps of the preceding proof, it may be shown that our algorithm yields the unique polynomial (up to multiplication by a field element) with the required properties.

*Remarks:*

1) Implementation of this algorithm is hardly more difficult than for a BCH decoder using Berlekamp's algorithm.

2) Berlekamp's Algorithm 1 requires  $a_0, a_1, \dots$  sequentially, so if convenient each  $a_i$  can be fed immediately upon calculation to a decoder carrying out Algorithm 1.

3) In practice there is no need to compute the  $\omega_i$  and  $\gamma_i$  of Algorithm 1.

Algorithm 3 together with [1] gives a simple algebraic  $t$ -error-correcting procedure for a Goppa code with Goppa polynomial of degree  $2t$ .

## V. BINARY GOPPA CODES

Let  $K = GF(2^m)$ . In this case, the key equation for the Goppa code over  $GF(2)$  with location field  $K$  and Goppa polynomial  $g$  becomes

$$f\sigma \equiv \sigma' \bmod g \quad (12)$$

where  $\sigma'$  is the formal derivative of  $\sigma$ . For simplicity, we assume  $g$  is irreducible. (12) has a unique solution with  $\deg \sigma < \deg g$  and  $\sigma, \sigma'$  coprime (or  $\sigma$  square free).

Now  $\sigma = \alpha^2 + x\beta^2$ , where  $\deg \alpha \leq (n - 1)/2$ ,  $\deg \beta \leq n/2$ . Since  $g$  is irreducible,  $f$  is coprime to  $g$ , whence there exists  $h$  such that  $f(x)h(x) = 1 \bmod g(x)$ . So  $f(\alpha^2 + x\beta^2) \equiv \beta^2 \bmod g$  whence

$$(h + x)\beta^2 \equiv \alpha^2 \bmod g. \quad (13)$$

If  $h(x) = x$ , then  $fx \equiv 1 \bmod g$ , whence  $\sigma = x$  is the solution. Otherwise, there exists a unique nonzero polynomial  $(\bmod g)$ ,  $d$  say, such that  $d^2 = (h + x) \bmod g$ . Now from (13),  $d^2\beta^2 \equiv \alpha^2 \bmod g$ . So  $d\beta \equiv \alpha \bmod g$ . This gives us an algorithm for  $\sigma$ .

*Algorithm 4:*

1) Find  $h$  such that  $fh = 1 \bmod g$  (see, for example, [2, sec. 2.3]). If  $h(x) = x$ , set  $\sigma = x$  and terminate.

2) Calculate  $d$  such that  $d^2 = (h + x) \bmod g$ . (Note that  $d \rightarrow d^2 \bmod g$  is a linear transformation,  $T$  say. If we are going to carry out this procedure many times, it is perhaps best to store  $T^{-1}$  in matrix form since  $d = T^{-1}(h + x)$ .)

3) Using Algorithm 3, find  $\alpha$  and  $\beta$  with  $\beta$  of least degree such that  $d\beta \equiv \alpha \bmod g$  with  $\deg \beta \leq n/2$ ,  $\deg \alpha \leq (n - 1)/2$ .

4) Set  $\sigma = x\beta^2 + \alpha^2$ .

Algorithm 4, with [1], yields a  $t$ -error-correcting algorithm for the binary Goppa code with Goppa polynomial of degree  $t$ .

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