

Chapter 10: Dynamic Behavior of Closed-Loop Control Systems

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Chapter Overview

This chapter consists of the following topics:

1. Block Diagram Representation
 - Process
 - Composition Sensor-Transmitter (Analyzer)
 - Controller
 - Current-to-Pressure (I/ P) Transducer
 - Control Valve
2. Block Diagram: Standard Notation
 - Block Diagram Algebra
 - Closed-Loop Transfer Functions

Chapter Overview

This chapter consists of the following topics:

3. Closed-Loop Responses of Simple Control Systems
 - Effect of Proportional Control on Closed-Loop Responses
 - Effect of PI Control on Closed-Loop Responses

Learning Objectives

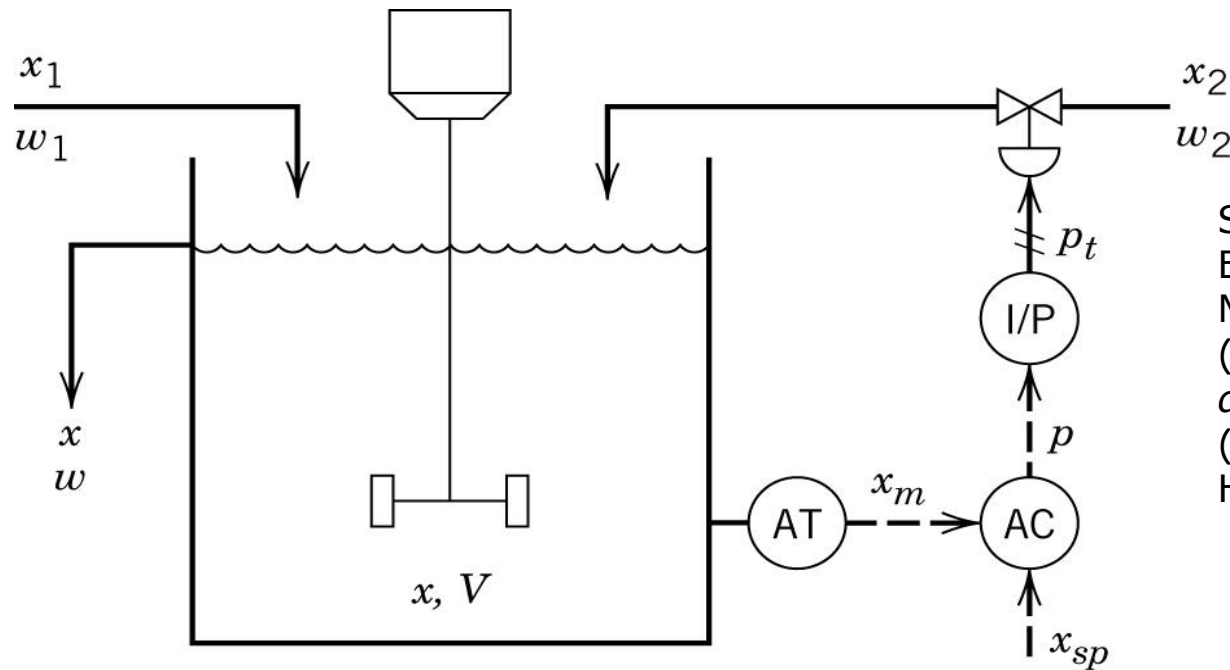
At the end of this chapter, you will be able to:

- Illustrate the development of block diagram
- Analyze closed-loop transfer functions
- Analyze closed-loop responses of simple control systems

1. Feedback Control Loop (or Closed-Loop)

- **Feedback control loop:**
 - Combination of the process, feedback controller, and the instrumentation.
- **Closed-loop system:**
 - Denote the controlled process.
- Block diagrams and transfer functions provide a useful description of closed-loop systems.
- We will analyze the dynamic behavior of several simple closed-loop systems.

Block Diagram Representation



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.260). Hoboken, NJ: Wiley.

- Transfer function for each of 5 elements.
- Flow rate w_1 is assumed to be constant and the system is initially operating at the nominal steady state.

1.1 Process

- Process

$$X'(s) = \left(\frac{K_1}{\tau s + 1} \right) X'_1(s) + \left(\frac{K_2}{\tau s + 1} \right) W'_2(s) \quad (10 - 1)$$

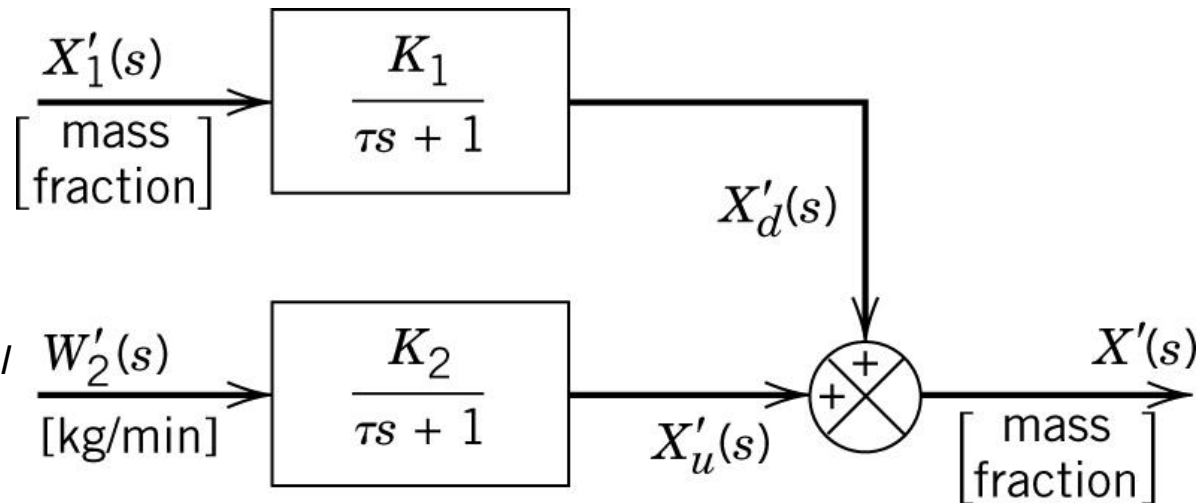
- Where

$$\tau = \frac{V\rho}{\bar{w}}, \quad K_1 = \frac{\bar{w}_1}{\bar{w}}, \quad \text{and} \quad K_2 = \frac{1 - \bar{x}}{\bar{w}} \quad (10 - 2)$$

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Block Diagram for the Process

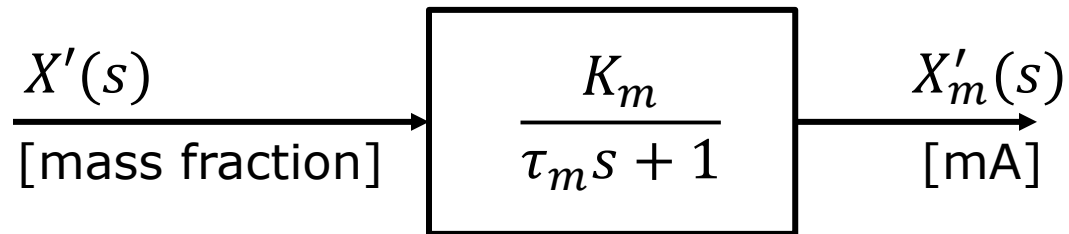
Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.261). Hoboken, NJ: Wiley.



- Effect of changes is **additive** as a direct consequence of the superposition principle for linear systems.
- Transfer function representation is valid only for **linear systems** and for **nonlinear systems** that have been linearized.

1.2 Composition Sensor-Transmitter (Analyzer)

- Approximated by a first-order transfer function:



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.261). Hoboken, NJ: Wiley.

- This instrument has negligible dynamics when $\tau \gg \tau_m$:

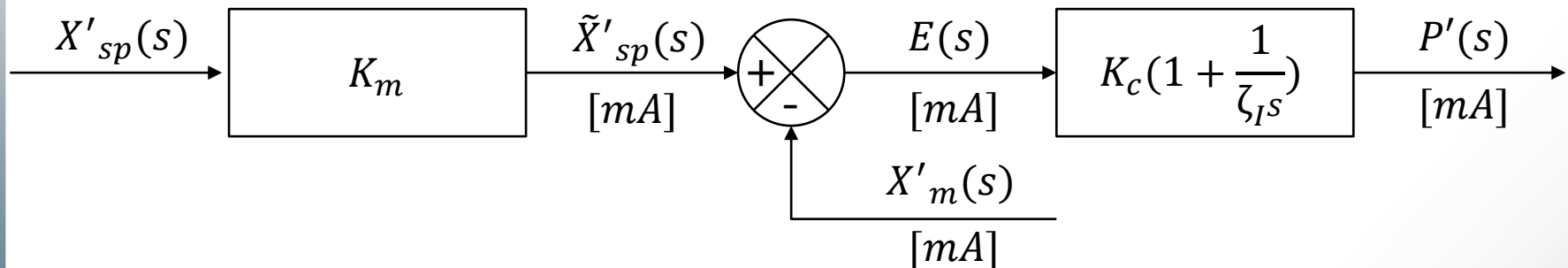
$$\frac{X'_m(s)}{X'(s)} = K_m$$

1.3 Controller

- PI Controller is used

$$\frac{P'(s)}{E(s)} = K_c \left(1 + \frac{1}{\tau_I s} \right) \quad (10 - 4)$$

- where $P'(s)$ and $E(s)$ are the Laplace transforms of the controller output $p'(t)$ and the error signal $e(t)$. K_c is dimensionless



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.262). Hoboken, NJ: Wiley.

Controller (Cont'd)

- Error signal:

$$e(t) = \tilde{x}'_{sp}(t) - x'_m(t)$$

- Taking laplace:

$$E(s) = \tilde{X}'_{sp}(s) - X'_m(s)$$

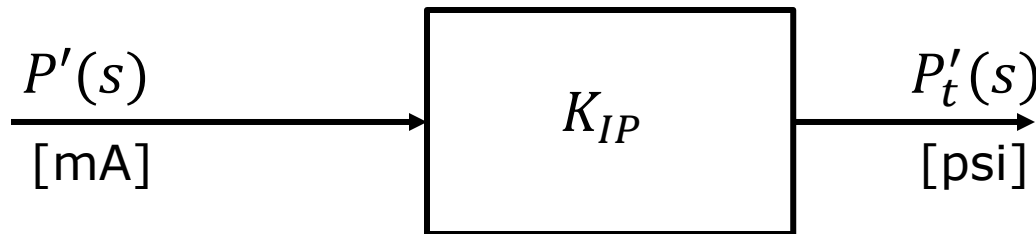
- The symbol $\tilde{x}'_{sp}(t)$ denotes the *internal set-point* composition expressed as an equivalent electrical current signal.
- $\tilde{x}'_{sp}(t)$ is related to the actual composition set point by sensor-transmitter gain K_m :

$$\tilde{x}'_{sp}(t) = K_m x'_{sp}(t)$$

1.4 Current-to-Pressure (I/P) Transducer

- The transducer transfer function merely consists of a steady-state gain K_{IP}

$$\frac{P'_t(s)}{P'(s)} = K_{IP} \quad (10 - 9)$$



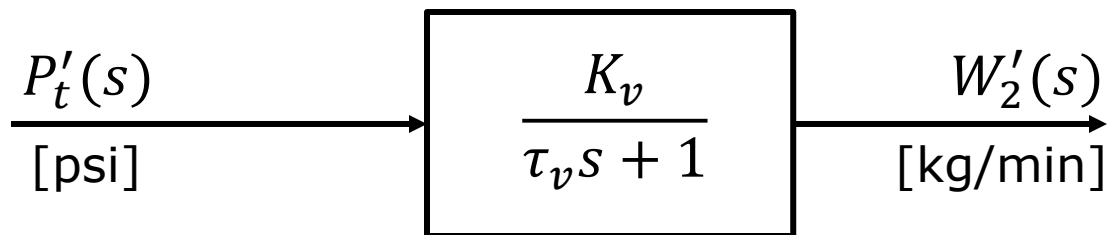
Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.262). Hoboken, NJ: Wiley.

1.5 Control Valve

■ Control valves

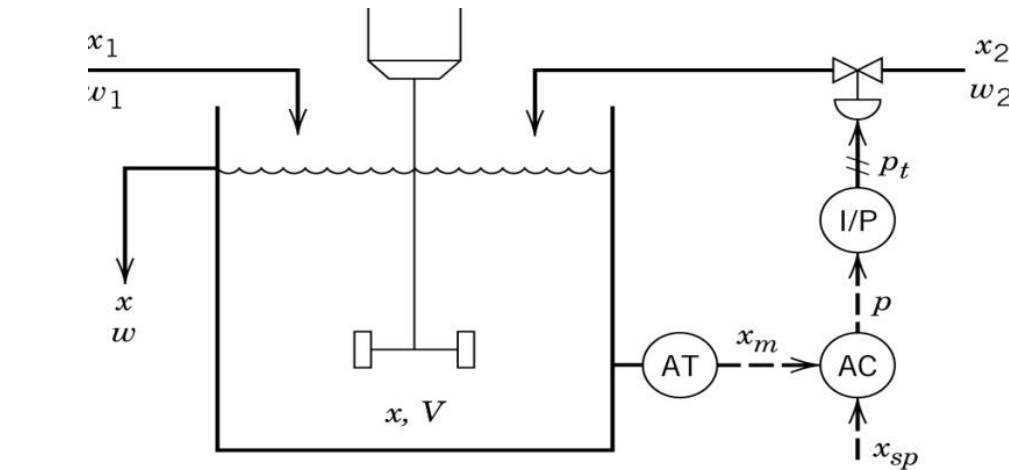
- Usually designed such that the flow rate is a nearly linear function of the signal to the valve actuator
- Therefore, a first-order transfer function is an adequate model:

$$\frac{W_2'(s)}{P_t'(s)} = \frac{K_v}{\tau_v s + 1} \quad (10 - 10)$$

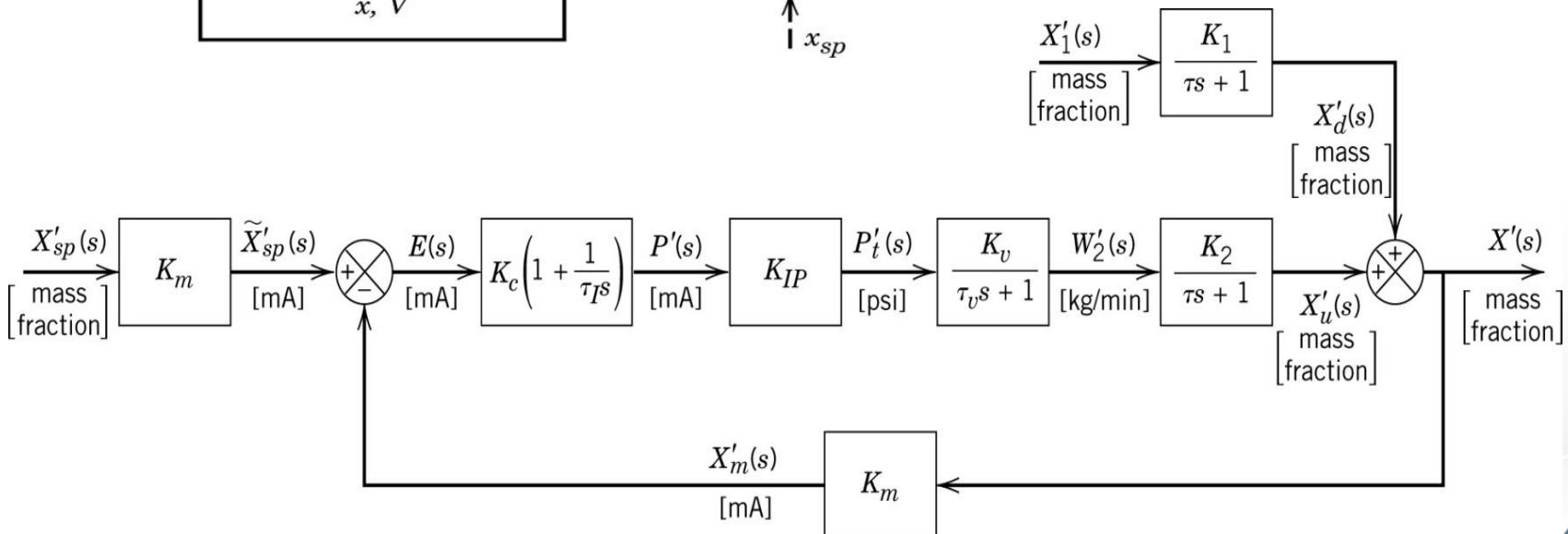


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Composite Block Diagram of the Controlled System



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.260). Hoboken, NJ: Wiley.

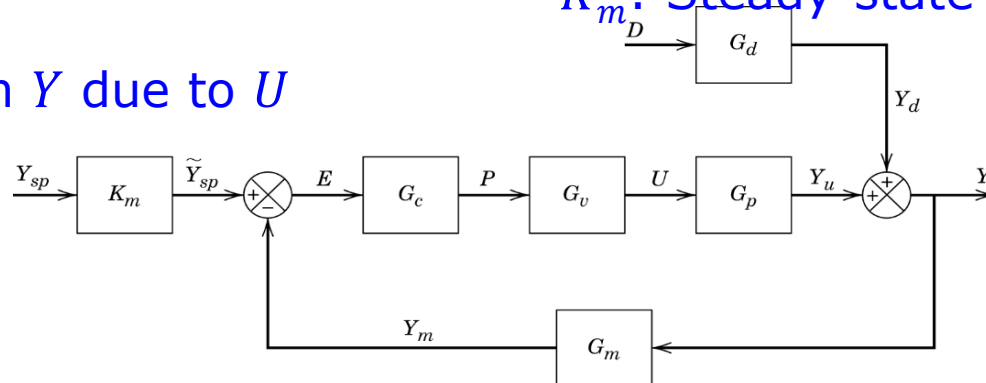


Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.263). Hoboken, NJ: Wiley.

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2. Block Diagram: Standard Notation

- Y : Controlled variable
- U : Manipulated variable
- D : Disturbance (or load) variable
- P : Controller output
- E : Error signal
- Y_m : Measured value of Y
- Y_{sp} : Set point
- \tilde{Y}_{sp} : Internal set point (used by controller)
- Y_u : Change in Y due to U
- Y_d : Change in Y due to D
- G_c : Controller TF
- G_v : TF for the final control element
- G_p : Process TF
- G_d : Disturbance TF
- G_m : TF for sensor and transmitter
- K_m : Steady-state gain for G_m

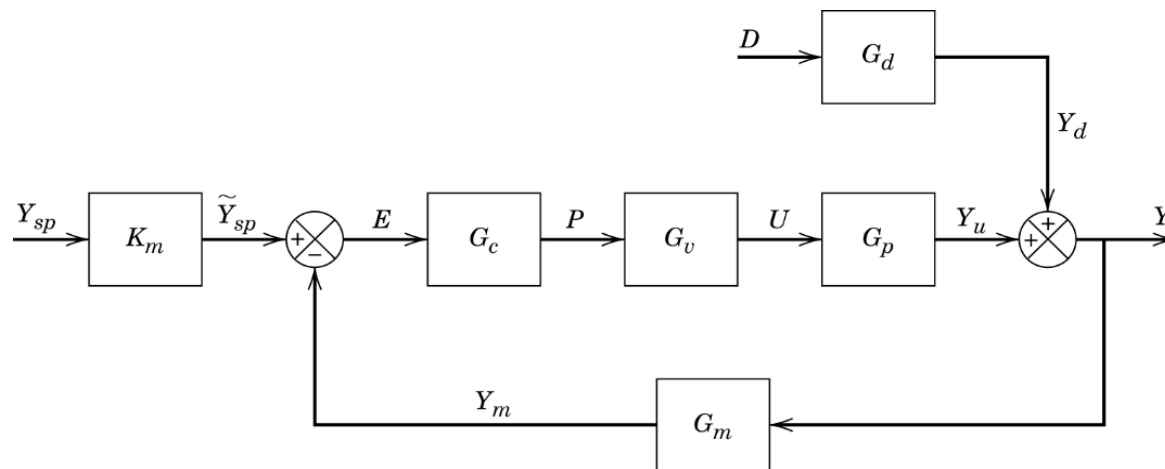


Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.264). Hoboken, NJ: Wiley.

Standard Block Diagram

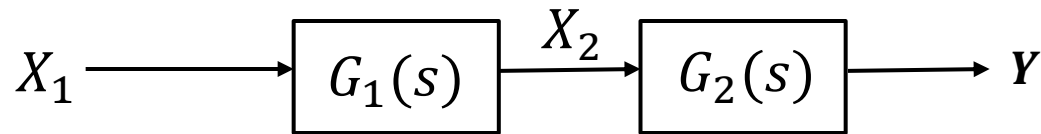
■ General block diagram

- Variable is the Laplace transform of a deviation variable.
- Primes and s dependence have been omitted.
- **Forward path**: Path from E to Y through G_c , G_v and G_p .
- **Feedback path**: Path from Y to the comparator through G_m .

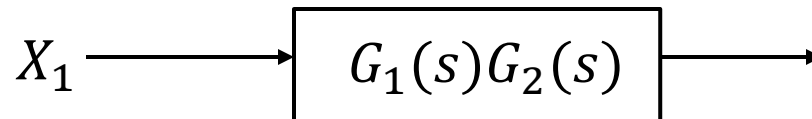


2.1 Block Diagram Algebra

- Important things to look for:
 - Circle represents algebraic relations.
 - Arrows represent flow of information.
 - Block diagram relates input and output.
- Block diagram reduction
- Block in series

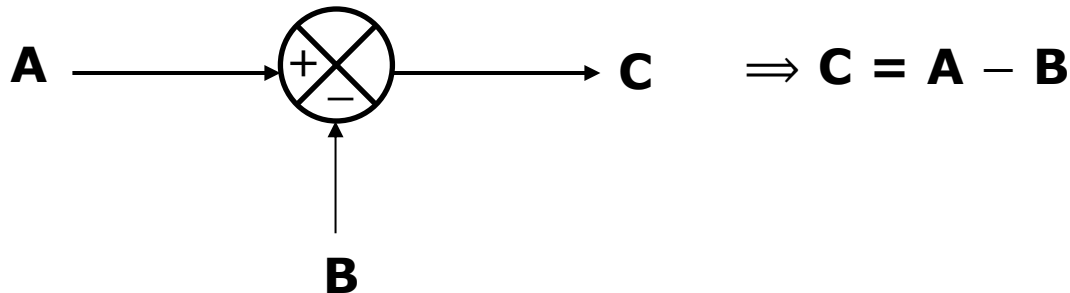
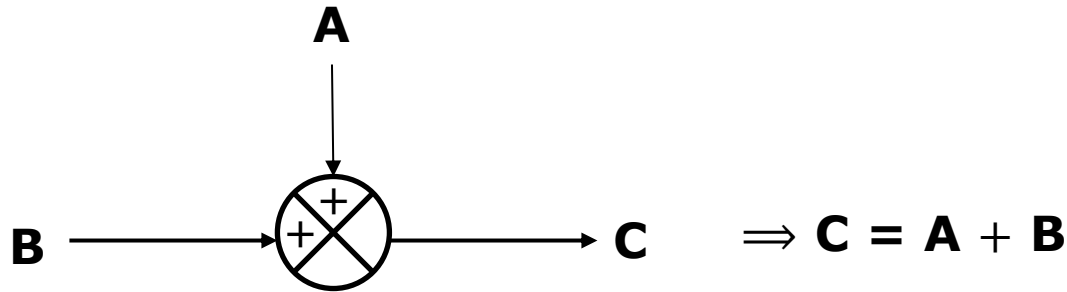


- Equivalent block diagram



Block Diagram Algebra (Cont'd)

- Comparator

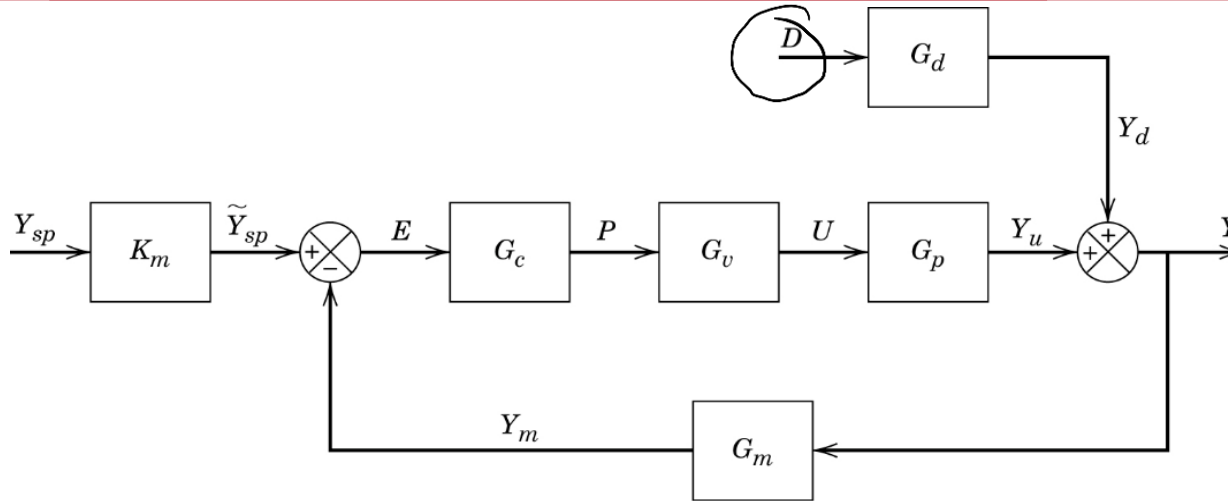


2.2 “Closed-Loop” Transfer Functions

- Closed-loop transfer functions
 - The objective is to find the transfer functions between inputs (Y_{sp} or D) and output (Y) of the closed loop.
 - Indicate the dynamic behavior of the controlled process (i.e., process plus controller, transmitter, valve, etc.).
- Set-point changes (“Servo problem”)
 - No disturbance changes.
 - $D = 0$.
- Disturbance changes (“Regulator problem”)
 - Process is regulated at a constant set point.

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"Closed-Loop" Transfer Functions (Cont'd)



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.264). Hoboken, NJ: Wiley.

- $Y = Y_d + Y_u$ where $Y_d = G_d D$ & $Y_u = G_p U$
- Combining $Y = G_p U + G_d D$ where $U = G_v P = G_v G_c E$
- $E = \tilde{Y}_{sp} - Y_m$ where $\tilde{Y}_{sp} = Y_{sp} K_m$ & $Y_m = G_m Y$
- $U = (Y_{sp} K_m - G_m Y) G_v G_c$
- $Y = (Y_{sp} K_m - G_m Y) G_v G_c G_p + G_d D$

"Closed-Loop" Transfer Functions (Cont'd)

- Rearranging

- $$Y = \frac{K_m G_p G_c G_v}{(1 + G_m G_p G_c G_v)} Y_{sp} + \frac{G_d}{(1 + G_m G_p G_c G_v)} D$$

- Set-point changes "Servo"

- Assume $Y_{sp} \neq 0$ and $D = 0$ (set-point changes while disturbance change is zero).

$$\frac{Y(s)}{Y_{sp}(s)} = \frac{K_m G_c G_v G_p}{1 + G_c G_v G_p G_m} \quad (10 - 26)$$

"Closed-Loop" Transfer Functions (Cont'd)

- Disturbance changes "Regulator"

$$\frac{Y(s)}{D(s)} = \frac{G_d}{1 + G_c G_v G_p G_m} \quad (10 - 29)$$

- Note that both TF (10 – 26 & 10 – 29) have the **same denominator** $(1 + G_c G_v G_p G_m)$.
- Denominator is often written as $(1 + G_{OL})$.
- G_{OL} : **Open loop** transfer function

Short-Cut Method for Closed-Loop TF

- Closed-loop transfer functions for block diagrams:

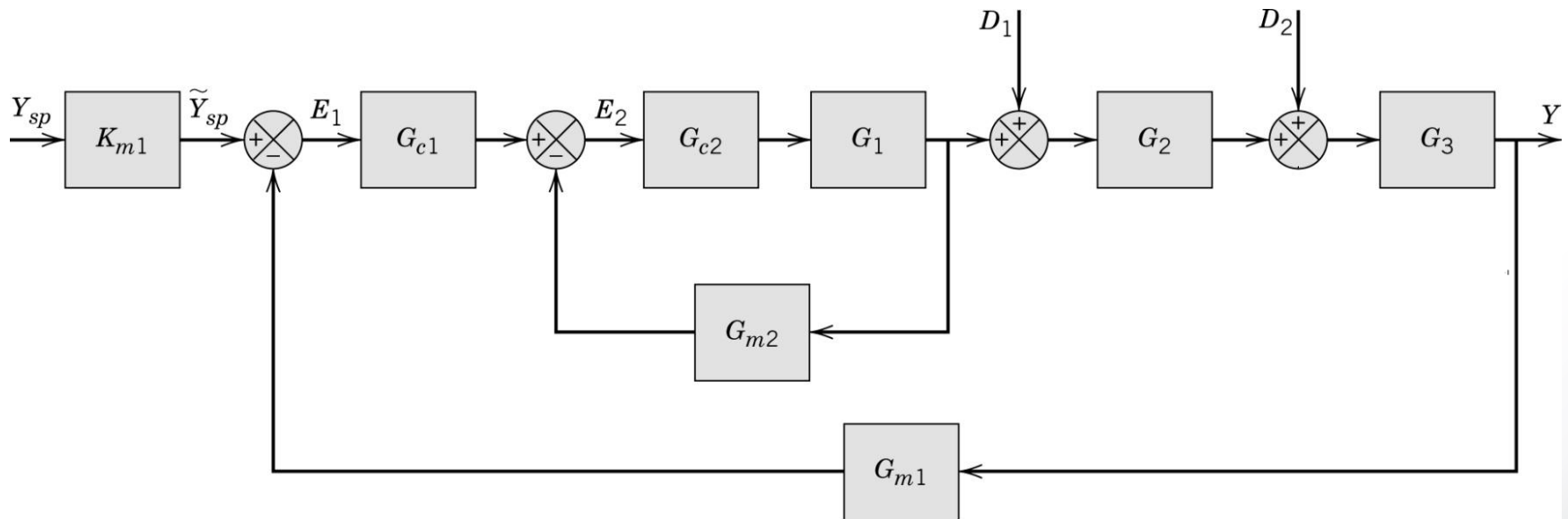
$$\frac{Z}{Z_i} = \frac{\pi_f}{1 + \pi_e} \quad (10 - 31)$$

- Z : Output variable or any internal variable within the control loop.
- Z_i : Input variable (e.g., Y_{sp} or D).
- π_f : **Product** of the transfer functions in the **forward** path from Z_i to Z .
- π_e : **Product of every** transfer function in the **feedback loop**.
- Applicable only to portions of a block diagram that includes a feedback loop with a **negative sign in the comparator**.

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Exercise 10.1

- Find Y/Y_{sp}



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.267). Hoboken, NJ: Wiley.

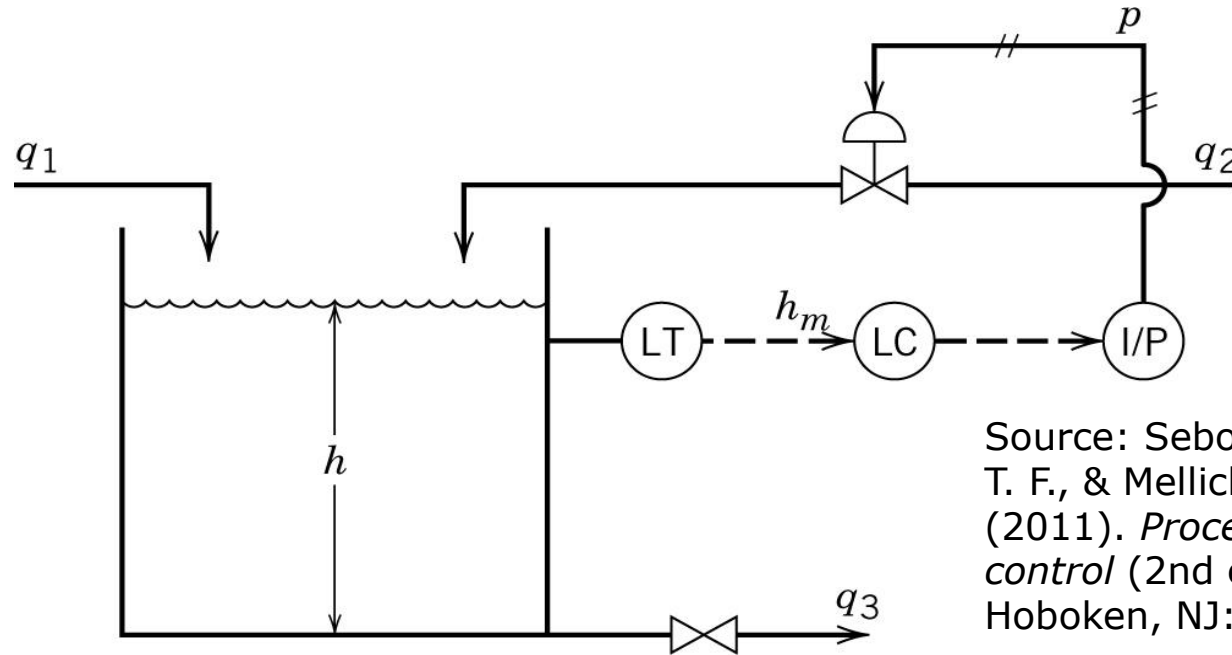
3. Closed-Loop Responses of Simple Control Systems

- **Given**
 - Process
 - Type of controller
 - Other dynamics such as valve, transmitter, etc.

- **Analyze responses**
 - Set-point changes
 - Disturbance

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Closed-Loop Responses of Simple Control Systems (Cont'd)



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.268). Hoboken, NJ: Wiley.

- Liquid-level control system
 - Controlled variable h ; manipulated variable q_2 ; disturbance variable q_1 .
 - Flow-head relation is linear $q_3 = h/R$.

Dynamics

- Process Dynamics

- $$H(s) = \frac{R}{(ARs+1)} Q_1(s) + \frac{R}{(ARs+1)} Q_2(s)$$

- $$G_p = \frac{K_p}{(\tau s+1)} = \frac{H(s)}{Q_2(s)} \quad (10-34)$$

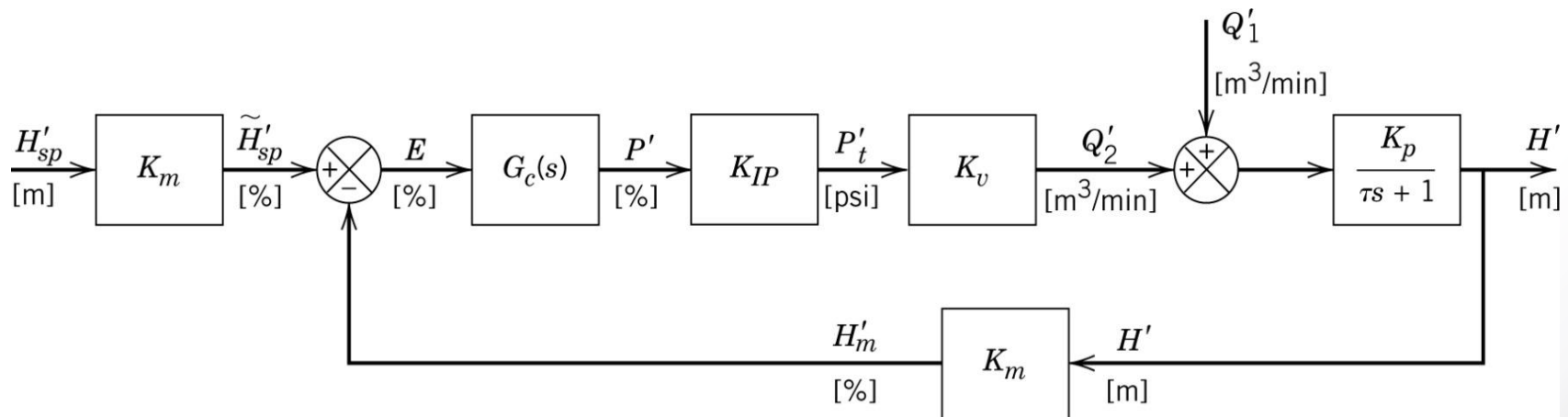
- $$G_d = \frac{K_p}{(\tau s+1)} = \frac{H(s)}{Q_1(s)} \quad (10-35)$$

- Note that G_p & G_d are **identical**, because q_1 and q_2 are both inlet flow rate and thus have the same effect on h .
- $K_p = R; \tau = AR$

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Other Dynamics

- For simplicity, assume negligible dynamics for level transmitter, transducer, and control valve
- Level transmitter $G_m(s) = Km$
- I/P transducer $G_{IP}(s) = K_{IP}$
- Control valve $G_v(s) = K_v$



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3.1 Effect of Proportional Control on Closed-Loop Responses

- Proportional controller $G_c(s) = K_c$
- Closed-loop TF
- $$H = \frac{K_m G_p K_c K_{IP} K_v}{(1 + G_p K_c K_{IP} K_v K_m)} H_{sp} + \frac{G_p}{(1 + G_p K_c K_{IP} K_v K_m)} Q_1$$
- Plugging G_p
- $$H = \frac{K_1}{(1 + \tau_1 s)} H_{sp} + \frac{K_2}{(1 + \tau_1 s)} Q_1$$
- $$K_1 = \frac{K_{OL}}{1 + K_{OL}} \qquad K_2 = \frac{K_v}{1 + K_{OL}}$$
- $$\tau_1 = \frac{\tau}{1 + K_{OL}} \qquad K_{OL} = K_c K_{IP} K_v K_p K_m$$

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Effect of Proportional Control on Closed-Loop Responses

$$H = \frac{K_1}{(1+\tau_1 s)} H_{sp} + \frac{K_2}{(1+\tau_1 s)} Q_1$$

- Closed-loop (CL) process has first order dynamics with time constant τ_1 .
- For both TFs, **time constant** is the same (**Characteristics equation**), but **gain** is different.
- Which response is faster? Open loop or closed loop?
- $\tau_1 = \frac{\tau}{1+K_c K_{IP} K_v K_p K_m}$
- **Decreases with increasing K_c always $< \tau$, i.e., CL response is faster than OL response.**

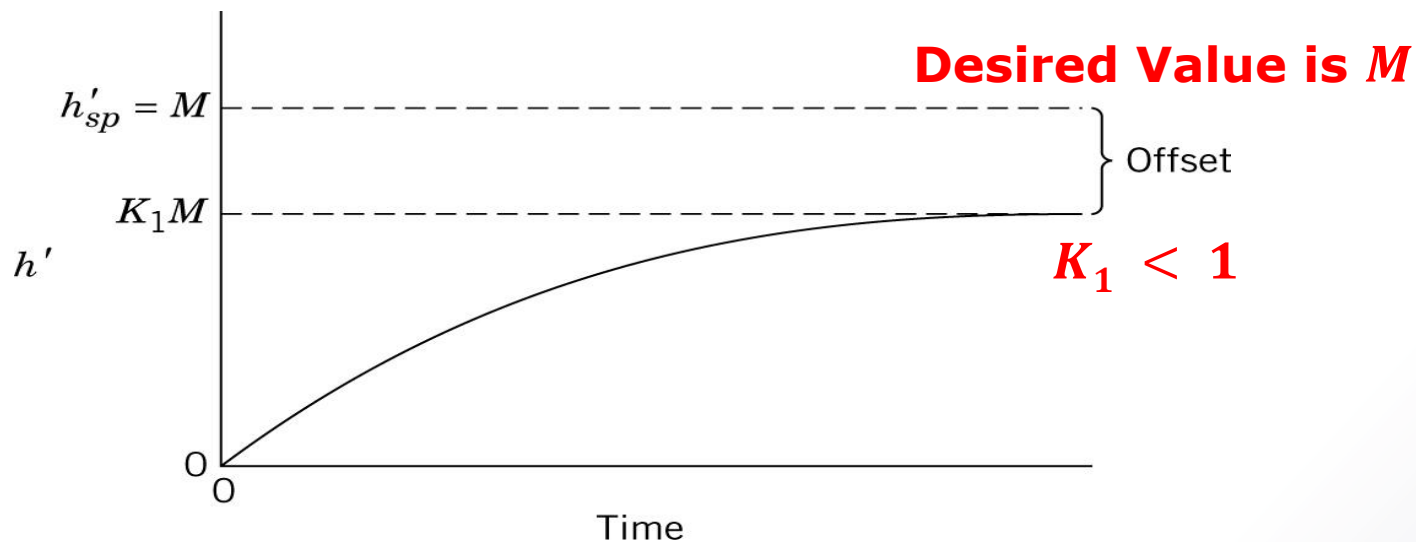
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3.1 Effect of Proportional Control on Closed-Loop Responses

- Gain?
- $$K_1 = \frac{K_p K_c K_{IP} K_v K_m}{1 + K_p K_c K_{IP} K_v K_m}$$
- $$K_2 = \frac{K_p}{1 + K_p K_c K_{IP} K_v K_m}$$
- K_1 not equal to 1 unless $K_c = \text{infinity}$, K_1 always < 1
- K_2 not equal to 0 unless $K_c = \text{infinity}$, K_2 always $< K_p$

Set-Point Change (Servo Problem)

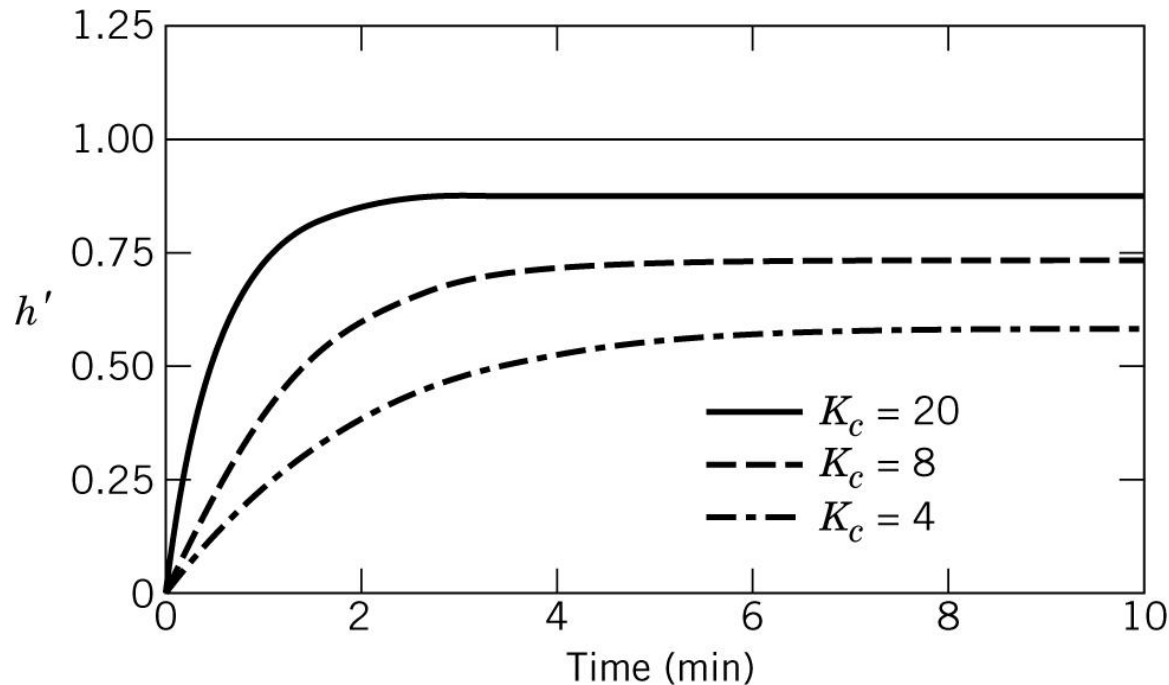
- CL Response of step change of magnitude M in set point.
- $H_{sp} = \frac{M}{s} \quad (D = 0 \text{ or } Q_1 = 0)$
- $h(t) = K_1 M (1 - e^{-t/\tau_1}) \quad (10 - 41)$



Set-Point Change (Cont'd)

- Offset
 - Steady state error
 - Difference between the steady state value of set point and the height
 - $h'_{sp}(\infty) - h'(\infty)$
 - $$\frac{M}{1 + K_p K_c K_{IP} K_v K_m}$$
- How the offset can be reduced?

Set-Point Change (Cont'd)



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.272). Hoboken, NJ: Wiley.

- Offset can be reduced by **increasing gain** of controller.
- Making K_c too large can cause **oscillatory or unstable** responses.

Disturbance Changes (Regulator)

- $H = \frac{K_1}{(1+\tau_1 s)} H_{sp} + \frac{K_2}{(1+\tau_1 s)} Q_1$

$$K_2 = \frac{K_p}{1 + K_p K_c K_{IP} K_v K_m}$$

- $H_{sp} = 0$

- $\frac{H}{Q_1} = \frac{K_2}{1+\tau_1 s}$

$$\tau_1 = \frac{\tau}{1+K_p K_c K_{IP} K_v K_m}$$

- CL response of step change of magnitude M in disturbance

- $Q_1 = \frac{M}{s} \quad (H_{sp} = 0)$

- $h(t) = K_2 M (1 - e^{-t/\tau_1})$ (10 – 56)

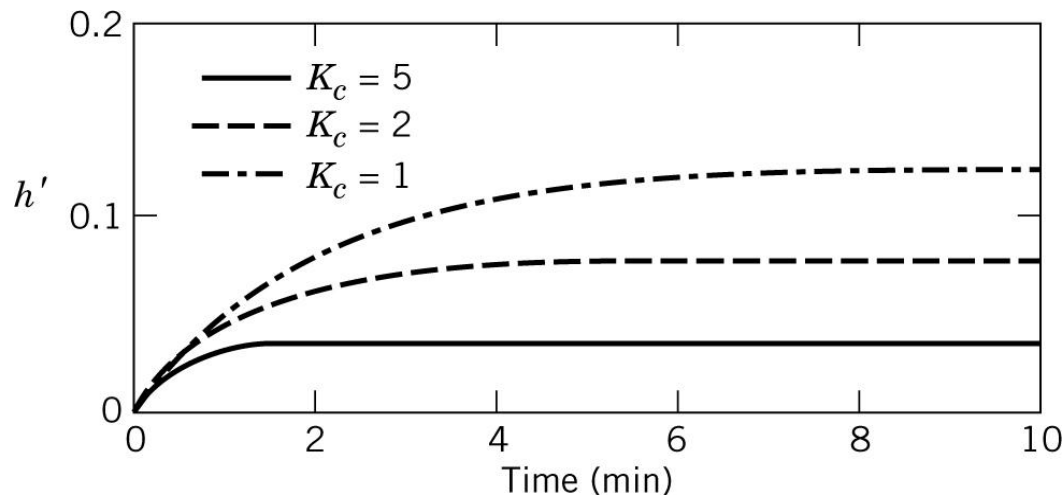
Disturbance Changes (Regulator)

■ Offset

- $h'_{sp}(\infty) - h'(\infty)$

- Offset = $0 - K_2 M = -K_2 M = -\frac{K_p M}{1 + K_p K_c K_{IP} K_v K_m}$

- Increasing K_c reduces the amount of offset (same as for servo problem).



Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.273). Hoboken, NJ: Wiley.

3.2 Effect of PI Control on Closed-Loop Responses

- $$H = \frac{K_m G_p G_c K_{IP} K_v}{(1 + G_p G_c K_{IP} K_v K_m)} H_{sp} + \frac{G_p}{(1 + G_p G_c K_{IP} K_v K_m)} Q_1$$
- Plug G_c and G_p
- $$G_p = \frac{K_p}{(\tau s + 1)} = \frac{H(s)}{Q_2(s)}$$
- $$G_c = K_c \left(1 + \frac{1}{\tau_I s}\right)$$

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Disturbance Changes (Regulator)

$$\blacksquare \frac{H}{Q_1} = \frac{G_p}{(1+G_p G_C K_{IP} K_v K_m)} = \frac{K_p \tau_I s}{\tau_I s(\tau s + 1) + K_{OL}(\tau_I s + 1)} \quad (10 - 59)$$

$$\blacksquare \frac{H}{Q_1} = \frac{K_3 s}{\tau_3^2 s^2 + 2\zeta_3 \tau_3 s + 1} \quad (10 - 60)$$

$$\blacksquare K_3 = \frac{\tau_I}{K_C K_{IP} K_v K_m} \quad (10 - 61)$$

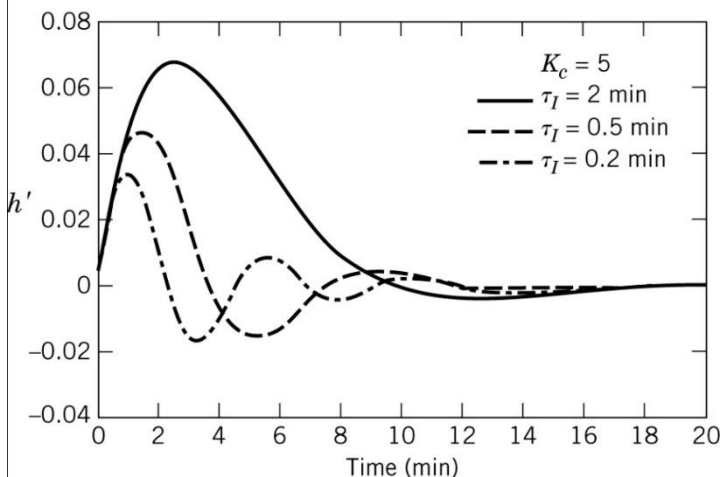
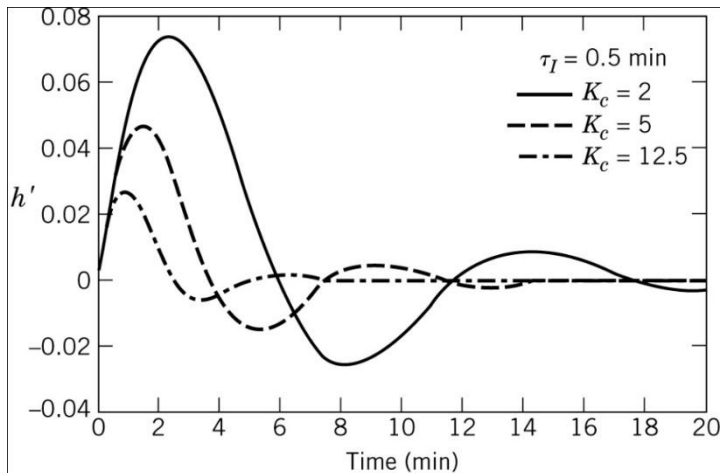
$$\blacksquare \zeta_3 = \frac{1}{2} \left(\frac{1+K_{OL}}{\sqrt{K_{OL}}} \right) \sqrt{\frac{\tau_I}{\tau}} \quad (10 - 62)$$

$$\blacksquare \tau_3 = \sqrt{\frac{\tau \tau_I}{K_{OL}}} \quad (10 - 63)$$

Disturbance Changes (Regulator)

- CL response of unit step change in disturbance
- $$H(s) = \frac{K_3}{\tau_3^2 s^2 + 2\zeta_3 \tau_3 s + 1} \quad (10 - 64)$$
- Response is a damped oscillation for $0 < \zeta_3 < 1$
- $$h(t) = \frac{K_3}{\tau_3 \sqrt{1 - \zeta_3^2}} e^{-\zeta_3 t / \tau_3} \sin[\sqrt{1 - \zeta_3^2} \ t / \tau_3] \quad (10 - 65)$$
- Offset?

Disturbance Changes (Regulator)



- Integral action **eliminates** offset.
- $\uparrow K_c$ or $\tau_I \downarrow$ **speeds** up the response.
- Response is **oscillatory** as either $K_c \downarrow$ (unexpected) or $\tau_I \downarrow$ (expected).
- **In general, closed loop response becomes more oscillatory as $K_c \uparrow$.**
- Unexpected result because of the dynamic lags associated with the control valve and transmitter are neglected.

Source: Seborg, D. E., Edgar, T. F., & Mellichamp, D. A. (2011). *Process dynamics and control* (2nd ed.)(pp.275). Hoboken, NJ: Wiley.

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Summary

- We consider the dynamic behavior of processes that are operated using feedback control.
- Block diagrams and transfer functions provide a useful description of closed-loop systems.
- We analyze the dynamic behavior of several simple closed-loop systems.
- We consider the dynamic behavior of several elementary control problems for disturbance variable and set-point changes. The transient responses can be determined in a straightforward manner if the CLTFs are available.
- Suggested Reading: Chapter 10 of Seborg

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Review Questions



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