

# MATH 553: NOTES

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ABSTRACT. Notes for Math 553: algebraic geometry II, spring 2025. Heavily referenced from Vakil, Hartshorne. May contain typos.

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## 1. JAN 13: SYLLABUS, SHEAVES

### Recommended reading: Hartshorne II.1, Vakil 2.1-2

Up till now, you have been thinking of algebraic varieties more in the classical sense— they're zero sets of polynomials  $V(f_1, \dots, f_n)$ . From your perspective, in  $k[x]$  you don't really care too much about  $x$  versus  $x^2$  because their vanishing sets are the same, maybe you'd default to taking the one that generates a radical ideal. But you lose some things with this perspective. Certainly I wouldn't say it's great for multiplicities and whatnot.

So, we need to upgrade: instead of varieties in the classical sense, we'll eventually think of schemes. Some of the intuition will port over: we're thinking of things/geometric objects (or, topological spaces) that look like they're (locally) "cut out by polynomials," and a decent amount of the practical work of computing things will resemble some of the polynomial fiddling you've done before, but we're keeping track of more of the data of the **functions on these spaces**.

Roughly, a scheme has three levels of data.

- underlying set of points
- topology on the set (so the first two are the data of the topological space)
- **and** the "structure sheaf:" the data of algebraic functions on your space.

(The last one helps distinguish things like  $V(x)$  versus  $V(x^2)$ .) Here is where we start brushing up against Grothendieck's perspective: that when studying an object, it's less important to study the object itself and

more important to study functions between them, how they relate to other things.

Now, before that, we need to do **sheaves**, which are, informally, a bundling of data about functions on open sets of a topological space. **The usual example, which you should have in mind throughout, is the data of differentiable functions on a differentiable manifold.**

We begin with sheaves of sets, but the idea extends to sheaves of groups, rings,  $k$ -algebras, etc.

**Definition 1.1.** Let  $X$  be a topological space. A **presheaf**  $\mathcal{F}$  on  $X$  is the following data:

- To each open set  $U \subseteq X$ , we have an assignment  $\mathcal{F}(U)$  of a set (or group, or ring, etc...)
- For each inclusion  $V \subseteq U$  of open sets, we have restriction maps  $\text{res}_V^U : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ . The restriction maps need to follow some reasonable properties:
  - $\text{res}_U^U : \mathcal{F}(U) \rightarrow \mathcal{F}(U)$  is the identity map.
  - For inclusions  $W \subseteq V \subseteq U$  we have  $\text{res}_W^U = \text{res}_W^V \circ \text{res}_V^U$ . That is, the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\text{res}_V^U} & \mathcal{F}(V) \\ & \searrow \text{res}_W^U & \downarrow \text{res}_W^V \\ & & \mathcal{F}(W) \end{array}$$

Notational bits-and-bobs:

- Elements of  $\mathcal{F}(U)$  are called sections of  $\mathcal{F}$  over/on  $U$ .
- $\mathcal{F}(U)$  is notated a few other ways:
  - $\Gamma(U, \mathcal{F})$
  - $H^0(U, \mathcal{F})$
- Note that a presheaf is precisely the data of a contravariant functor from the category of open sets on  $X$  to the category of sets (of groups, rings, etc).

**Definition 1.2.** A presheaf  $(X, \mathcal{F})$  is a **sheaf** if it satisfies two more additional axioms.

- **Identity/uniqueness:** If  $\{U_i\}_{i \in I}$  is an open cover of  $U$  and  $f_1, f_2 \in \mathcal{F}(U)$  are two sections/functions such that

$$\text{res}_{U_i}^U f_1 = \text{res}_{U_i}^U f_2$$

for all  $i \in I$ , then  $f_1 = f_2$ . (That is, two sections that line up on each piece of a cover have to have been the same).

- **Gluing:** Let  $\{U_i\}_{i \in I}$  be an open cover of  $U$ . If you have an  $f_i \in \mathcal{F}(U_i)$  for each  $i$  such that, for any  $i, j$ :

$$\text{res}_{U_i \cap U_j}^{U_i} f_i = \text{res}_{U_i \cap U_j}^{U_j} f_j$$

then there is an  $f \in \mathcal{F}(U)$  such that  $\text{res}_{U_i}^U f = f_i$  for each  $i$ . (That is, you have an open cover, a choice of section on each piece of the cover, and these choices agree on overlaps, then you should be able to glue these to a section on the whole thing.)

**Example 1.3.** Let  $X$  be a differentiable manifold. Let  $\mathcal{F}$  be the sheaf that assigns to an open set  $U$  the ring of differentiable real-valued functions  $\mathcal{F}(U)$  defined on  $U$ . For  $V \subseteq U$ , the restriction map is the restriction of domain:

$$\begin{aligned} \mathcal{F}(U) &\rightarrow \mathcal{F}(V) \\ f &\mapsto f|_V \end{aligned}$$

The fact that differentiable functions are "defined by their values" makes it clear that this is a presheaf. Likewise, the two additional sheaf properties are clear: if two functions agree on an open cover, they are the same function. And if you have a differentiable function on each piece and the overlaps agree, you can define the function on the whole manifold (or open set  $U$ ).

**Remark 1.4.** In general, you may see things like  $\text{res}_V^U f$  written as  $f|_V$  to save space.

Since I don't wait to shift gears too much on the first day, let's do an example of another important sheaf:

**Example 1.5** ((Skyscraper shaves)). Let  $S$  be a set,  $p \in X$  a point. Set:

$$i_{p,*}S(U) = \begin{cases} S & p \in U \\ \{e\} & p \notin U \end{cases}$$

here  $\{e\}$  is any one element subset of  $S$ . If you roughly try to draw this, you see the skyscraper-type behavior around  $p$ .

## 2. JAN 15: INTRO TO SPEC

### Recommended reading: Hartshorne II.2, Vakil 3.1-3.4

We will eventually need to worry about morphisms of sheaves, pushforwards, pullbacks, and more. But that can come a bit later, when we better understand the topological spaces we want to look.

Recall that we are trying to define schemes, which consist of:

- underlying set of points
- topology on the set (so the first two are the data of the topological space)
- **and** the "structure sheaf:" the data of algebraic functions on your space.

Now on our journey towards schemes, which are our generalizations of algebraic varieties/sets, we need to think of the underlying topological space of our geometric objects. The building blocks of these will be the **spectrum of a ring**. These correspond to affine schemes, the building blocks of schemes in general.

There will resemble things from 552 somewhat: our first examples will be visualizable in some  $\mathbb{C}^n$  with the many of the points corresponding to tuples  $(a_1, \dots, a_n)$  satisfying some polynomials, along with some extra points that are useful to have.

Do note: ring here means a commutative ring with identity. For example:  $\mathbb{C}, \mathbb{R}, \mathbb{F}_p, \overline{\mathbb{F}}_p, \mathbb{C}[t], \mathbb{C}(t)$ , polynomial rings, quotient rings. We will often focus on  $\mathbb{C}$ -algebras or  $k$ -algebras with  $k$  algebraically closed, as this is the best place to start off. (Some of our tools will break down over  $k$  not algebraically closed). As appropriate, I may add in some examples over non-algebraically closed fields, but I will largely leave those examples to your future number theory courses.

The idea: given a ring  $A$ , we want the most natural/nontrivial space on which  $A$  becomes a "ring of functions." You've encountered this before with coordinate rings in 552.

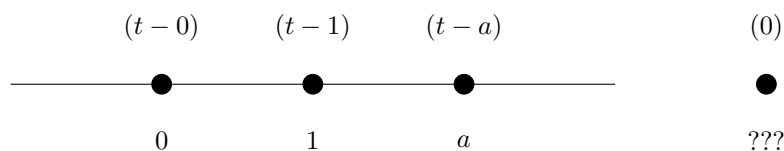
**Example 2.1** ((Rough intuition)). The algebraic functions on the complex line  $\mathbb{C}$  should be single variable polynomials:  $\mathbb{C}[t]$ . If you cut out the origin and consider the open set  $\mathbb{C} \setminus \{0\}$ , you no longer have to worry about  $t$  zeroing out, so your algebraic functions should now be  $\mathbb{C}[t, t^{-1}]$ .

**Definition 2.2.** As a set,  $\text{Spec } A$  is the set of all prime ideals of  $A$ .

**Example 2.3** (The complex affine line). Let us consider the case of  $A = \mathbb{C}[t]$ , and how we can think of  $\mathbb{C}[t]$  as the ring of functions over  $\text{Spec } \mathbb{C}[t]$ . First, let us compute the spectrum. By the fundamental theorem of algebra, we have:

$$\text{Spec } \mathbb{C}[t] = \{(t - a) : a \in \mathbb{C}\} \sqcup \{(0)\}$$

that is, we get a point for each element of  $\mathbb{C}$ , and then this extra point  $(0)$ . Given that this space is "basically  $\mathbb{C}$  with some extra stuff," it's not strange to think of  $\mathbb{C}[t]$ , i.e. complex polynomials in one variable, aka polynomials that can take in one complex input, as the ring of functions over  $\text{Spec } \mathbb{C}[t]$ , which is nearly  $\mathbb{C}$ . We visualize below:



A few things to note:

- At each point  $(t - a)$  of the spectrum, we have an evaluation map

$$\begin{aligned}\mathbb{C}[t] &\rightarrow \frac{\mathbb{C}[t]}{(t - a)} \cong \mathbb{C} \\ f(t) &\mapsto f(a)\end{aligned}$$

That is,  $f$  is sent to its image in  $\mathbb{C}[t]/(t - a)$ , which says  $t$  can be swapped for  $a$ . That is, we send  $f(t)$  to  $f(a)$ . This evaluates the polynomial at  $a$ . We will see a similar construction in general. Note that this means these points are keeping track of all the values of this function. If we have two different polynomials  $f_1, f_2$  then their evaluations at some points will differ: i.e. functions are distinguished by their values. **This will not always be true!**. See Example 2.6.

- $(0)$  is called "the generic point." It is "close" to every point, so it is "generically" on the line, but is not equal to any of the  $(t - a)$ . Some would choose to draw it as "fuzz" amongst the line. We will understand the generic point better when we understand the topology of  $\text{Spec } A$ .
- $\text{Spec } \mathbb{C}[t]$  will come to be known to us as the complex affine line, denote  $\mathbb{A}_{\mathbb{C}}^1$ .

**Example 2.4** (Don't say I never gave you an example that wasn't over  $\mathbb{C}$ !). Consider  $A = \mathbb{R}[t]$ . The prime ideals are of one of two forms:

$$(t - a) \quad a \in \mathbb{R} \qquad (t - a)(t - \bar{a}) \quad a \in \mathbb{C} \setminus \mathbb{R}$$

Hence we get an identification:

$$\text{Spec } \mathbb{R}[t] = \mathbb{C}/\text{Gal}(\mathbb{C}/\mathbb{R}) \sqcup \{(0)\}$$

which you can identify with the upper half plane along with a generic point.

**Definition 2.5** (Evaluation map). Given a ring  $A$ ,  $f \in A$ , and  $\mathfrak{p} \in \text{Spec } A$ , the **value of  $f$  at  $\mathfrak{p}$** , denoted by  $f(\mathfrak{p})$ , is the image of  $f$  under:

$$A \mapsto A/\mathfrak{p} \rightarrow \text{Frac}(A/\mathfrak{p})$$

This gives us a way to "evaluate" our sections/functions on points, but note that the field in which the values lie is thought of as varying with  $\mathfrak{p}$ . The field  $\text{Frac}(A/\mathfrak{p}) =: k(\mathfrak{p})$  is known as the residue field at  $\mathfrak{p}$ .

**Example 2.6** (Functions are not always separated by *values* at points). Consider the set  $\text{Spec } \mathbb{C}[t]/(t^2)$ . As a set of points it has just one element:  $(t)$ .

$t$  is an element of the ring  $\mathbb{C}[t]/(t^2)$ , and we should think of it as being very small: so small that its square is zero, but it itself is not zero. If we think about the evaluations of this function note that:

$$\begin{aligned}\mathbb{C}[t]/(t^2) &\rightarrow \text{Frac}(\mathbb{C}[t]/(t, t^2)) \cong \mathbb{C} \\ t &\mapsto 0\end{aligned}$$

That is, both the function  $t$  and  $0$  on the LHS evaluate to  $0$  on the RHS. But this is the only evaluation map to consider on this spec. So, we see how functions cannot be necessarily be separated by values. Eventually, we will see that the issue is that  $\text{Spec } \mathbb{C}[t]/(t^2)$  is not *reduced*. See Definition 7.13.

When drawing  $\text{Spec } \mathbb{C}[t]/(t^2)$ , one should visualize it as a point with a small tangent direction attached.

Now, it is time to define the topology on these spaces. The idea: closed sets should be sets of points where functions vanish (similar to 552).

$$\begin{aligned}f \text{ vanishes at } \mathfrak{p} &\iff f(\mathfrak{p}) = 0 \\ &\iff f = 0 \text{ in } A/\mathfrak{p} \\ &\iff f \in \mathfrak{p} \quad (\iff (f) \subseteq \mathfrak{p})\end{aligned}$$

**Definition 2.7** (Various vanishing loci definitions). Let  $f \in A$ ,  $S \subseteq A$ . Then:

$$\begin{aligned}V(f) &= \{\mathfrak{p} \in \text{Spec } A : (f) \subseteq \mathfrak{p}\} \\ V(S) &= \{\mathfrak{p} \in \text{Spec } A : S \subseteq \mathfrak{p}\} = \{\mathfrak{p} \in \text{Spec } A : \langle S \rangle \subseteq \mathfrak{p}\}\end{aligned}$$

Note that  $V(S) = V(\langle S \rangle)$ .

**Definition 2.8.** A (Zariski) closed subset of  $\text{Spec } A$  is any set of the form of a vanishing locus  $V(\mathfrak{a})$  for  $\mathfrak{a}$  an ideal.

**Proposition 2.9.** The collection of Zariski closed subsets forms a topology on  $\text{Spec } A$ .

*Proof.* Observe:

$$\begin{aligned}\emptyset &= V((1)) \\ \text{Spec } A &= V((0)) \\ V(\mathfrak{a}) \cup V(\mathfrak{b}) &= V(\mathfrak{ab}) \\ \bigcap_{i \in I} V(\mathfrak{a}_i) &= V\left(\sum_{i \in I} \mathfrak{a}_i\right)\end{aligned}$$

□

**Example 2.10.** The closed sets in  $\text{Spec } \mathbb{C}[t]$  are the whole space, the empty set, and

$$V(f(t)) = V(((t - a_1) \dots (t - a_n))) = \bigcup_{i=1}^n V((t - a_i)) = \{(t - a_i) : 1 \leq i \leq n\}$$

i.e. finite collections of non-generic points.

Note that  $\{(0)\} = \text{Spec } \mathbb{C}[t]$ . That is, the generic point is "close" to all other points, and "sits along the whole line."

**Definition 2.11.** Define  $D(f) = \text{Spec } A \setminus V((f))$ . These open sets form a basis for the topology.

**Proposition 2.12.** Let  $S$  be a multiplicative set. By studying the map  $\varphi : A \rightarrow S^{-1}A$ ,  $a \mapsto a/1$ , this induces a bijection:

$$\{\text{primes in } A \text{ with } \mathfrak{p} \cap S = \emptyset\} \longleftrightarrow \{\text{primes in } S^{-1}A\}$$

We've motivated that  $A$  should be thought of as the ring of algebraic functions over  $\text{Spec } A$ . Then, what should be the ring of functions on the open set  $D(f)$ ? Well, since we're not working with the full spec, we should be able to invert things that don't vanish on the set. That is, things whose vanishing sets are squirreled away in  $V(f)$ , the set we are cutting out.

Set  $\mathcal{O}_{\text{Spec } A}(D(f)) = S^{-1}A$  where  $S$  is the following multiplicative set:

$$S = \{g \in A : g(\mathfrak{p}) \neq 0 \text{ for all } \mathfrak{p} \in D(f)\} = \{g \in A : V(g) \subseteq V(f)\}$$

This definition only depends on  $D(f)$ , not on  $f$  itself. But luckily:

**Proposition 2.13.** The natural map

$$A_f \rightarrow \mathcal{O}_{\text{Spec } A}(D(f))$$

is an isomorphism.

**Lemma 2.14.**  $D(f) \subseteq D(g)$  (that is,  $V(g) \subseteq V(f)$ ) if and only if  $f^n \in (g)$ , if and only if  $g$  is invertible in  $A_f$ .

*Proof.*  $f^n \in (g) \iff \sqrt{(f)} \subseteq \sqrt{(g)} \iff$  the prime ideals containing  $(f)$  are a superset of those containing  $(g)$ , which means  $V(g) \subseteq V(f)$ . Then  $f^k = gm$ , so  $g$  is invertible in  $A_f$ . □

That is, algebraic functions on  $D(f)$  are obtained by inverting  $f$ . So, we have the makings of a *structure sheaf*, i.e. a sheaf  $\mathcal{O}_{\text{Spec } A}$  where  $\mathcal{O}_{\text{Spec } A}(U)$  is the ring of algebraic functions on  $U$ . But we only have it on a distinguished basis. The question becomes: is this enough to determine the sheaf overall? Will we be able to do computations in the future/check nice properties by just checking it on the basis of the  $D(f)$ ? The answer: yes! Back to sheaf theory.

### 3. JAN 17: LET'S UNDERSTAND SHEAVES BETTER (STALKS, MORPHISMS)

#### Recommended reading: Hartshorne II.1, Vakil 2.3-2.4

We learned about the topological spaces that will be glued into schemes. These are the  $\text{Spec } A$ , and we think of  $A$  as the ring of algebraic functions on  $\text{Spec } A$ . Again, we want to assemble a structure sheaf  $\mathcal{O}_{\text{Spec } A}$  on  $\text{Spec } A$  such that

$$\mathcal{O}_{\text{Spec } A}(U) = \text{ring of algebraic functions on } U$$

From this perspective, we saw it was reasonable to set

$$\mathcal{O}_{\text{Spec } A}(D(f)) = S^{-1}A \cong A_f$$

where

$$S = \{g \in A : g(\mathfrak{p}) \neq 0 \text{ for all } \mathfrak{p} \in D(f)\} = \{g \in A : V(g) \subseteq V(f)\}$$

**Problem: what about this would-be sheaf on open sets in general?** We would like to describe this sheaf, i.e. describe the rings of algebraic functions, on nice  $D(f)$  to be enough. It is, but we need to do a bit of work to say that. (Here Vakil and Hartshorne somewhat "diverge." Vakil shows that defining a sheaf on a basis is sufficient; Hartshorne just describes the  $\mathcal{O}_{\text{Spec } A}(U)$  from the get-go, with the construction being the one you'd do when defining a sheaf from a base. In the end, they are equivalent data/constructions).

We'll get to all that, but we should cover some necessary details/definitions/general knowledge first.

**Definition 3.1.** Let  $(X, \mathcal{F})$  be a sheaf on a topological space  $X$ . Let  $x \in X$  be a point. The **stalk** of  $\mathcal{F}$  at  $x$  is defined as the direct limit:

$$\mathcal{F}_x := \varinjlim_{U \ni x} \mathcal{F}(U) = \{(f, U) : f \in \mathcal{F}(U), x \in U\}$$

where  $(f, U) \sim (g, V)$  if and only if there is some  $W \subseteq U, V$ , with  $W$  containing  $x$ , such that  $f|_W = g|_W$ .

You can draw a pic of this in the case of the sheaf of differentiable functions on some differentiable manifold  $M$ . Equality on the stalk means two functions, defined near at point  $x$ , agree on some smaller open set around the point. Observe that in this case, the stalk is a local ring: its unique maximal ideal is the ideal of all functions vanishing at  $x$ .

**Definition 3.2.** Elements of a stalk are called **germs**.

**Definition 3.3.** Given a section  $f \in \mathcal{F}(U)$  and a point  $p \in U$ , we let  $f_p$  denote the image of  $f$  in the stalk:

$$\begin{aligned} \mathcal{F}(U) &\rightarrow \mathcal{F}_p \\ f &\mapsto f_p = (f, U) \end{aligned}$$

**Remark 3.4.** We will see later on that many properties we want to test of sheaves (or morphisms of sheaves) can be tested by checking the analogous condition on the stalks. This is reasonable, looking at the gluing axiom of a sheaf.

**Definition 3.5** (Morphisms of (pre)sheaves). Let  $\mathcal{F}, \mathcal{G}$  be (pre)sheaves on a topological space  $X$ . A morphism  $\mathcal{F} \rightarrow \mathcal{G}$  is a collection of maps  $\phi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for each  $U$  such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{G}(U) \\ \text{res}_V^U \downarrow & & \downarrow \text{res}_V^U \\ \mathcal{F}(V) & \xrightarrow{\phi_V} & \mathcal{G}(V) \end{array}$$

Consequently, we can see that  $\varphi$  defines a map on stalks  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  by sending  $(f, U) \rightarrow (\varphi_U(f), U)$ . An isomorphism is a morphism with a two-sided inverse.

Let's restrict our attention to sheaves of abelian groups at this point (we rarely fall outside this scenario).

**Proposition 3.6.** Let  $\mathcal{F}, \mathcal{G}$  be sheaves of abelian groups on a topological space  $X$ . Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then  $\varphi$  is an isomorphism if and only if  $\varphi_x$  is an isomorphism for all  $x \in X$ .

*Proof.*  $\Rightarrow$  is clear. We prove the  $\Leftarrow$  direction. It is enough to show that  $\varphi_U$  is an isomorphism for each  $U$ .

Let's start by showing injectivity: suppose  $s \in \mathcal{F}(U)$  is a section such that  $\varphi_U(s) = 0$  in  $\mathcal{G}(U)$ . Then the germ  $\varphi_U(s)_x = \varphi_x(s_x)$  is zero for each  $x \in U$ . Then  $s_x = 0$  in each  $x \in U$  by injectivity on stalks. Then it follows from the definition of the stalks that we can find an open cover of  $U$  such that  $s$  restricts to zero on each piece. That is,  $s = 0$ .

Now we show surjectivity: suppose we are considering  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ . Let  $t \in \mathcal{G}(U)$ . We'll piece together something that maps to it.

For each  $x \in U$ , we have  $t_x \in \mathcal{G}_x$  and it must be the image of some  $s_x \in \mathcal{F}_x$ .  $s_x$  can be repped by some  $s(x) \in V_x \ni x$ . Then  $\varphi(s(x)), t|_{V_x}$  are two elements of  $\mathcal{G}(V_x)$  with the same germ, so  $\varphi(s(x)), t$  agree in some neighborhood  $W_x$  of  $x$ .

Cover  $U$  with these  $W_x$ , and consider the  $s(x)$  (well, technically  $s(x)|_{W_x}$ ) that we get for each one. On the overlaps, these must agree due to injectivity (their overlaps go to  $t|_{W_x}$ ). So we can piece them together to get an  $s \in \mathcal{F}(U)$  that maps to  $t$ .  $\square$

**Remark 3.7.** Note that the proof of surjectivity needed injectivity!

**Definition 3.8** ((Tentative definition of  $\ker$ , image,  $\operatorname{coker}$ )). Given a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  of presheaves of abelian groups, we can define the presheaves  $\ker(\varphi)$ ,  $\operatorname{coker}(\varphi)$ ,  $\operatorname{im}(\varphi)$ , as follows:

$$\begin{aligned}\ker(\varphi)(U) &= \ker(\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)) \quad [\subseteq \mathcal{F}(U)] \\ \operatorname{coker}(\varphi)(U) &= \operatorname{coker}(\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)) \\ \operatorname{im}(\varphi)(U) &= \operatorname{im}(\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)) \quad [\subseteq \mathcal{G}(U)]\end{aligned}$$

**Proposition 3.9.** Given  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  a morphism of sheaves on  $X$ , the kernel is a sheaf

*Proof.* Identity is inherited from the parent sheaf. Gluing is too, though you need to check that the glued function  $f$  is still in the kernel. This works because  $\varphi(f)$  restricts to zero on a cover, thus is zero globally from gluing in  $\mathcal{G}$ .  $\square$

**Remark 3.10.** Since we can view each  $\ker(\mathcal{F})(U)$  as a subgroup of  $\mathcal{F}(U)$ , we can think of  $\ker(\mathcal{F})(U)$  as a *subsheaf* of  $\mathcal{F}(U)$ .

**Proposition 3.11.** The image and cokernel of a sheaf morphism need not be a sheaf

*For the cokernel:* Let  $X = \mathbb{C}$ , and  $\mathcal{O}$  be the sheaf of holomorphic function and  $\mathcal{O}^*$  be the sheaf of nonzero holomorphic functions. Consider the map  $\varphi$  with

$$\begin{aligned}\varphi_U : \mathcal{O}(U) &\rightarrow \mathcal{O}^*(U) \\ f &\mapsto e^f\end{aligned}$$

we claim that the cokernel isn't a sheaf. First, note that there is no holomorphic  $f$  such that  $e^f = z$  on  $\mathbb{C} \setminus \{0\}$ . Otherwise, differentiating both sides yields:

$$e^f \cdot f' = 1 \Rightarrow z \cdot f' = 1 \Rightarrow f' = 1/z$$

Integrating the LHS over a loop around zero yields 0, but integrating the RHS over said loop produces  $2\pi i$ . Contradiction.

Therefore,  $[z] \neq 0$  in  $\operatorname{coker}(\varphi)$ . That is,  $\Gamma(\mathbb{C} \setminus \{0\}, \operatorname{coker}(\varphi)) \neq 0$ . But, take  $U_1 = \mathbb{C} \setminus (-\infty, 0]$  and  $U_2 = \mathbb{C} \setminus [0, \infty)$ . These are simply connected, so every nonzero function on them can be written as some  $e^f$  (we can define the log: we made a branch cut!). Thus  $\operatorname{coker}(\varphi)(U_1), \operatorname{coker}(\varphi)(U_2)$  are both zero. So the cokernel fails the identity axiom.

Similarly, this shows why the image isn't necessarily a sheaf: we can't glue the logs of  $z$  into a log of  $z$  on all of  $\mathbb{C} \setminus \{0\}$ .

So, we have all these presheaves running around (including ones we'd really like to consider: the image and cokernel are important!). We would like some way to modify them into a sheaf, and it should have some nice universal property that relates it back to the original presheaf.

**Construction 3.12** (Sheafification). Given a presheaf  $\mathcal{F}$ , there is a sheaf  $\mathcal{F}^+$  and a morphism  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  with the property that: for any sheaf  $\mathcal{G}$  and any morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , there is a unique morphism  $\varphi^+$  such that  $\varphi = \varphi^+ \circ \theta$ . The pair  $(\mathcal{F}^+, \theta)$  is unique up to unique isomorphism.

$$\begin{array}{ccc}\mathcal{F} & \xrightarrow{\theta} & \mathcal{F}^+ \\ & \searrow \varphi & \downarrow \varphi^+ \\ & & \mathcal{G}\end{array}$$

## 4. JAN 22: SHEAFIFICATION, SHEAVES ON A BASE

**Recommended reading:** Hartshorne II.1 (~ p. 64), Vakil 2.4-5

Recall: last time we saw how some ker, coker of morphism of sheaves was not necessarily a sheaf. This motivates sheafification, which will also set us up well for doing *sheaves on a base*, which will help us define the structure sheaf on  $\text{Spec } A$ .

Now, for the construction of the sheafification of a presheaf. The construction is: bundle the stalk data in a nice way. That is, make a big product of the stalks, but only allow combinations of germs that looked like they could glue together.

$$\mathcal{F}^+(U) := \left\{ (f_p)_{p \in U} : \begin{array}{l} \text{for all } p \in U, \text{ there is an open } V \text{ with } p \in V \subseteq U \\ \text{and an } s \in \mathcal{F}(V) \text{ such that } s_q = f_q \text{ for all } q \in V \end{array} \right\}$$

$$\subseteq \prod_{p \in U} \mathcal{F}_p$$

the morphism  $\theta$  is clear:  $\theta_U$  is  $f \mapsto (f_p)_{p \in U}$ . To describe  $\varphi^+$ : look at the sections that glue to your  $(f_p)$ , look at their images, glue them in the target, and call that the image. This is unique: in order for the diagram to commute any other map would have to do the same thing.

**Remark 4.1.** Sheafification is a functor from presheaves on  $X$  to sheaves on  $X$ .

**Remark 4.2.** Specifically, given  $i : \text{Shf}_X \rightarrow \text{Pre}_X$  the inclusion map from sheaves on  $X$  to presheaves on  $X$ , note that sheafification is  $+$  :  $\text{Pre}_X \rightarrow \text{Shf}_X$ . Then  $+$  is the left adjoint of  $i$ , i.e. given  $\mathcal{F}$  a sheaf on  $X$  and  $\mathcal{G}$  a presheaf on  $X$ , we have the natural bijection:

$$\text{Hom}_{\text{Pre}_X}(\mathcal{G}, i(\mathcal{F})) \cong \text{Hom}_{\text{Shf}_X}(\mathcal{G}^+, \mathcal{F})$$

**Example 4.3** (Constant sheaves). Let  $X$  be a topological space,  $S$  a set. You get the constant presheaf by assigning the same set to all open sets:

$$\mathcal{F}(U) = S$$

(On nonempty sets, you can interpret this as constant functions from  $U$  to  $S$ ). Gluing is a mess because of disjoint sets, and the empty set presents problems too: all sections in  $\mathcal{F}(\emptyset)$  restrict to the same thing on the empty cover. So the identity axiom says all sections on  $\emptyset$  ought to be the same.

The sheafification  $\mathcal{F}(U)$  will instead assign to  $U$ : locally constant maps from  $U$  to  $S$ . Denote this sheaf as  $\underline{S}$ .

**Remark 4.4.** Thinking of sheaves of abelian groups: sheafification adds the gluings that should exist but don't, and kills off the nonzero sections that are locally zero.

**Proposition 4.5.**  $\mathcal{F} \rightarrow \mathcal{F}^+$  yields an isomorphism of stalks.

*Proof.* Work from the explicit description. □

**Definition 4.6.** We say that a map of sheaves is injective if and only if the kernel sheaf is zero.

**Lemma 4.7.** A map of sheaves is injective  $\iff \varphi : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is injective for each  $U$ . Likewise, it is injective  $\iff$  it is injective on stalks.

*Proof.* This was done in the bijectivity proof before. □

**Definition 4.8.** We define the image and cokernel *sheaves* by taking the sheafification of the presheaves defined above. We generally just call them  $\text{im}(\varphi)$  and  $\text{coker}(\varphi)$  and drop any  $+$  notation, and usually refer to the presheaf versions as  $\text{im}(\varphi)^{\text{pre}}$ ,  $\text{coker}(\varphi)^{\text{pre}}$ .

**Remark 4.9.** Consider a map of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  on  $X$ . Since we have a map  $\text{im}^{\text{pre}}(\varphi) \rightarrow \mathcal{G}$ , we necessarily have a map  $\text{im}(\varphi) \rightarrow \mathcal{G}$ . This map is injective: it is injective on the level of stalks (note that the presheaf and sheafified image have the same stalks!). Thus, we can identify  $\text{im}(\varphi)$  with a subsheaf of  $\mathcal{G}$ .

**Definition 4.10.** A morphism of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is surjective if  $\text{im}(\varphi) = \mathcal{G}$ .

**Lemma 4.11.**  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is surjective if and only if  $\mathcal{F}_x \rightarrow \mathcal{G}_x$  is surjective for all points  $x$ .



*Proof.*  $\Leftarrow$ :  $\text{im}(\mathcal{F}) = \mathcal{G}$  means the stalks are isomorphic, hence  $\mathcal{F}_x \rightarrow \mathcal{G}_x$  must be surjective.

$\Rightarrow$ : we want to show that  $\text{im}(\mathcal{F}) = \mathcal{G}$ . Well, the map on stalks is an isomorphism (injective and surjective on stalks), so they are equal.  $\square$

**Example 4.12.** In our example with  $X = \mathbb{C}$ ,  $\mathcal{O}_X$  the sheaf of holomorphic functions, and  $\mathcal{O}_X^*$  the sheaf of non-vanishing holomorphic functions and

$$\begin{aligned} \mathcal{O}_X &\rightarrow \mathcal{O}_X^* \\ f &\mapsto e^f \end{aligned}$$

we have that  $\text{im}(\varphi) = \mathcal{O}_X^*$  and  $\text{coker}(\varphi) = 0$ . This can be seen via  $\varphi$  being surjective on the level of stalks (and correspondingly the cokernel is zero on the level of stalks).

**Definition 4.13.** A sequence of maps

$$\mathcal{F}^{i-1} \xrightarrow{\varphi^{i-1}} \mathcal{F}^i \xrightarrow{\varphi^i} \mathcal{F}^{i+1}$$

is exact if at each stage,  $\ker \varphi^i = \text{im} \varphi^{i-1}$ .

## 5. JAN 24: SHEAVES ON A BASE, AFFINE SCHEMES

**Recommended reading: Vakil 2.5, 4.1**

Time to handle an issue: sometimes we understand a sheaf really well on a nice basis. But what about the rest? The details are sometimes unpleasant/obfuscating: it is mainly important to know that the data of the sheaf on a suitably nice basis is enough to determine the sheaf. The construction will be reminiscent of sheafification.

**Definition 5.1.** A base of a topology is a collection of open sets  $\{B_j\}_{j \in J}$  such that any open set of  $X$  can be written as a union of  $B_j$ .

**Remark 5.2.**  $(f) \subseteq \mathfrak{a} \iff V(f) \supseteq V(\mathfrak{a}) \iff D(f) \subseteq D(\mathfrak{a})$ , so the  $D(f)$  genuinely are a basis of the Zariski topology on  $\text{Spec } A$ .

**Definition 5.3.** Suppose  $\{B_i\}$  is a basis on  $X$ . A presheaf of sets on the base is an assignment  $F(B_i)$  for each  $B_i$ . If  $B_j \subseteq B_i$ , we have restriction maps  $\text{res}_{B_j}^{B_i}$  satisfying  $\text{res}_{B_i}^{B_i} = \text{id}$  and  $\text{res}_{B_k}^{B_i} = \text{res}_{B_k}^{B_j} \circ \text{res}_{B_j}^{B_i}$ .

For sheaves on a base: there are base identity and base gluing axioms:

- If  $B \in \{B_i\}$  can be written as  $B = \cup_{i \in J} B_i$  and  $f, g \in F(B)$  with  $\text{res}_{B_i}^B f = \text{res}_{B_i}^B g$  for all  $i \in J$ , then  $f = g$ .
- If we have  $f_i \in F(B_i)$  for  $i \in J$  such that for any  $i, j$  we have  $\text{res}_{B_k}^{B_i} f_i = \text{res}_{B_k}^{B_j} f_j$  for any  $B_k \subseteq B_i \cap B_j$ , then there is an  $f \in F(B)$  such that  $f|_{B_i} = f_i$  for all  $i \in J$ .

**Theorem 5.4.** Suppose  $\{B_i\}$  a base on  $X$ , and  $F$  a sheaf of sets on this base. There is a sheaf  $\mathcal{F}$  extending  $F$  ( $\mathcal{F}(B_i) \cong F(B_i)$  with isomorphisms agreeing with restriction maps).  $\mathcal{F}$  is unique up to unique isomorphism.

*Proof.* As before,  $\mathcal{F}$  is a sheaf of compatible germs. Define the stalk of a presheaf  $F$  on a base as:

$$F_p = \varinjlim_{B_i \ni p} F(B_i)$$

Define

$$\begin{aligned} \mathcal{F}(U) &:= \left\{ (f_p \in F_p)_{p \in U} : \begin{array}{l} \text{for all } p \in U, \text{ there is a } B \text{ with } p \in B \subseteq U \\ \text{and an } s \in \mathcal{F}(B) \text{ such that } s_q = f_q \text{ for all } q \in B \end{array} \right\} \\ &\subseteq \prod_{p \in U} F_p \end{aligned}$$

We get a map  $F(B) \rightarrow \mathcal{F}(B)$  for each  $B$ , which is an isomorphism. Checking the details is similar to the work for sheafification.

Note that clearly  $\mathcal{F}_p \cong F_p$ .  $\square$

We can finally really talk about the structure sheaf on  $\text{Spec } A$ . Consider  $\text{Spec } A$  with the Zariski topology, and for open sets  $D(f)$  set

$$\mathcal{O}_{\text{Spec } A}(D(f)) = S^{-1}A \cong A_f$$

where  $S = \{g \in A : g(\mathfrak{p}) \neq 0 \text{ for all } \mathfrak{p} \in D(f)\} = \{g \in A : V(g) \subseteq V(f)\}$ . The restriction maps are clear enough: if  $D(g) \subseteq D(f)$  then the restriction map

$$\text{res}_{D(g)}^{D(f)} : \mathcal{O}_{\text{Spec } A}(D(f)) \rightarrow \mathcal{O}_{\text{Spec } A}(D(g))$$

is further localization. This is clearly a presheaf on a distinguished base.

**Lemma 5.5.**  $\text{Spec } A$  is quasi-compact (every open cover has a finite subcover).

*Proof.* It's enough to show this for covers for the form  $\{D(f_i)\}$ . Note that  $\cup D(f_i) = D(\sum(f_i))$ . This will be all of  $\text{Spec } A$  only when  $1 \in \text{sum}(f_i)$ , in which case we get  $1 = a_{i_1}f_{i_1} + \dots + a_{i_k}f_{i_k}$  and you can just take the corresponding cover pieces  $D(f_{i_1}), \dots, D(f_{i_k})$ .  $\square$

**Theorem 5.6.** This assignment of  $\mathcal{O}_{\text{Spec } A}(D(f))$  gives a sheaf on a distinguished base, and thus determines a sheaf on  $\text{Spec } A$ . This sheaf is the **structure sheaf** on  $\text{Spec } A$ , and is referred to as  $\mathcal{O}_{\text{Spec } A}$  or just  $\mathcal{O}$  if it is clear what  $A$  is.

*Proof.* It's enough to show identity and gluing on just  $A$  (if you want to show it on  $D(f)$ , that's the same as swapping the ring out for  $A_f$ , modulo some detail-checking).

- **Identity axiom:** Write  $\text{Spec } A = \cup_i D(f_i)$ . Then after potentially relabeling, we can pick a finite subcover. Write  $\text{Spec } A = \cup_{i=1}^n D(f_i)$ . That is,  $V((f_1, \dots, f_n)) = \emptyset$ , i.e.  $(f_1, \dots, f_n) = A$ .

Suppose we have a section  $s \in \mathcal{O}_{\text{Spec } A}(\text{Spec } A) = A$  such that  $\text{res}_{D(f_i)}^{\text{Spec } A} s = 0 \in A_{f_i}$  for each  $f_i$ . That means there is some  $m$  such that  $f_i^m s = 0$  (in  $A$ ) for  $1 \leq i \leq n$ .

But note that  $D(f_i) = D(f_i^m)$  (as  $f_i$  vanishes at  $\mathfrak{p}$  if and only if  $f_i^m$  vanishes at  $\mathfrak{p}$ ). So there are  $g_i$  such that

$$1 = \sum_{i=1}^n g_i f_i^m$$

But then:

$$s = \sum_{i=1}^n g_i f_i^m s = \sum 0 = 0$$

- **Gluing:** Again, being able to write 1 as a sum of these  $f_i$  will let us piece things together in a nice way.

Again, say we have some gluing data on an open cover. Pick a finite subcover  $\{D(f_i)\}_{i=1}^n$ . Let  $s_i \in \mathcal{O}_{\text{Spec } A}(D(f_i))$  so that

$$\text{res}_{D(f_i) \cap D(f_j)}^{D(f_i)} s_i = \text{res}_{D(f_i) \cap D(f_j)}^{D(f_j)} s_j$$

noting that  $D(f_i) \cap D(f_j) = D(f_i f_j)$ . Identifying  $\mathcal{O}_{\text{Spec } A}(D(g))$  with  $A_g$ , we get that

$$s_i = \frac{a_i}{f_i^{\ell_i}}, \quad s_j = \frac{a_j}{f_j^{\ell_j}}$$

and because their restrictions are the same in  $A_{f_i f_j}$ , it must be that there is an  $m_{i,j}$  such that

$$(a_i f_j^{\ell_j} - a_j f_i^{\ell_i})(f_i f_j)^{m_{i,j}} = 0.$$

Let  $m = \max m_{i,j}$ . Then the above tells us that:

$$(a_i f_i^m) f_j^{m+\ell_j} = (a_j f_j^m) f_i^{m+\ell_i}.$$

Now again,  $\text{Spec } A = \cup D(f_i^{m+\ell_i})$ , so there exists  $g_i \in A$  such that

$$1 = g_1 f_1^{m+\ell_1} + \dots + g_n f_n^{m+\ell_n}$$

and consider the element of  $A$  given by:

$$s = g_1 a_1 f_1^m + \dots + g_n a_n f_n^m$$

Then observe that:

$$\begin{aligned}
 f_i^{m+\ell_i} s &= g_1(a_1 f_1^m) f_i^{m+\ell_i} + \cdots + g_n(a_n f_n^m) f_i^{m+\ell_i} \\
 &= g_1 f_1^{m+\ell_1} (a_i f_i^m) + \cdots + g_n f_n^{m+\ell_n} (a_i f_i^m) \\
 &= (g_1 f_1^{m+\ell_1} + \cdots + g_n f_n^{m+\ell_n}) a_i f_i^m \\
 &= a_i f_i^m
 \end{aligned}$$

That is,  $f_i^m(f_i^{\ell_i} s - a_i) = 0$ . That is,  $s = \frac{a_i}{f_i^{\ell_i}} = s_i$  on  $A_{f_i}$ , which is what we wanted.

You can use the identity axiom proved prior to show that the resulting glued object restricts to what you want on the other elements of the a priori infinite cover. So the identity proof does need to come first!

□

Thus, we can finally start talking about affine schemes.

## 6. JAN 27: AFFINE SCHEMES, SCHEMES

### Recommended reading: Hartshorne II.2, Vakil 4.1-4.4

**Proposition 6.1.** Let  $A$  be a ring and  $\mathcal{O}$  the structure sheaf on  $\text{Spec } A$ . For any  $\mathfrak{p} \in \text{Spec } A$ , the stalk  $\mathcal{O}_{\mathfrak{p}} \cong A_{\mathfrak{p}}$ .

*Proof.* Fairly evident from the description of  $A_{\mathfrak{p}}$  as a direct limit identifying a bunch of subsequent localizations. For  $f \notin \mathfrak{p}$ ,  $D(f)$  will appear in the direct limit. Lemma 2.14 can help. To be more concrete, we can write down the map.

And  $(s, D(f)) \in \mathcal{O}_{\mathfrak{p}}$  can be sent to its image in  $A_{\mathfrak{p}}$ . It is surjective: any element in  $A_{\mathfrak{p}}$  is of the form  $a/g$  for  $g \notin \mathfrak{p}$ , and so  $\mathfrak{p} \in D(g)$ . That is,  $D(g)$  will be a neighborhood of  $\mathfrak{p}$  and  $a/g$  will be hit by the map.

It is injective: write  $s = a/f, t = b/g$ , with  $f, g \notin \mathfrak{p}$ . These are sections on  $D(f), D(g)$  respectively. If their image is the same in  $A_{\mathfrak{p}}$ , then there is some  $h \notin \mathfrak{p}$  such that  $h(ga - fb) = 0$  in  $A$ . But then  $D(fgh) = D(f) \cap D(g) \cap D(h)$  is a neighborhood of  $\mathfrak{p}$  and so  $s, t$  (after restriction to  $D(fgh)$ ) would have been identified in the stalk  $\mathcal{O}_{\mathfrak{p}}$ . □

Now, we need some way to compare or relate sheaves on different spaces. This necessitates the direct image and inverse image functors.

**Definition 6.2.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces. Let  $\mathcal{F}$  be a sheaf on  $X$ . The **direct image** sheaf  $f_* \mathcal{F}$  on  $Y$  is defined via

$$(f_* \mathcal{F})(V) = \mathcal{F}(f^{-1}(V))$$

for open sets  $V \subseteq Y$ . This is a functor from sheaves on  $X$  to sheaves on  $Y$ .

**Definition 6.3.** Let  $f : X \rightarrow Y$  a continuous map of topological spaces and  $\mathcal{G}$  a sheaf on  $Y$ . The **inverse image** sheaf  $f^{-1} \mathcal{G}$  on  $X$  is the sheafification of the presheaf:

$$U \mapsto \varinjlim_{V \text{ open } \supseteq f(U)} \mathcal{G}(V).$$

This is a functor from sheaves on  $Y$  to sheaves on  $X$ .

**Definition 6.4.** If  $i : Z \rightarrow X$  is a subset of  $X$  with the subspace topology, then  $i^{-1} \mathcal{F}$  is the restriction of  $\mathcal{F}$  to  $Z$ , denoted by  $\mathcal{F}|_Z$ . For open sets  $Z$  this will just turn into  $\mathcal{F}|_Z(V) = \mathcal{F}(V)$ .

**Definition 6.5.** A **ringed space** is a pair  $(X, \mathcal{O}_X)$  of a topological space  $X$  and a sheaf of rings on  $X$ . A morphism of ringed spaces  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$  of a continuous map  $f : X \rightarrow Y$  and a morphism of sheaves (of rings)  $f^\# : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$ .

A ringed space  $(X, \mathcal{O}_X)$  is a **locally ringed space** if all the stalks  $\mathcal{O}_{X,p}$  are local rings. A morphism of locally ringed spaces is a morphism of ringed spaces such that the induced map on stalks  $f_p^\# : \mathcal{O}_{Y,f(p)} \rightarrow \mathcal{O}_{X,p}$  is a local homomorphism of local rings.

Here, a local homomorphism of local rings  $\varphi : A \rightarrow B$  is a ring morphism such that  $\varphi^{-1}(\mathfrak{m}_B) = \mathfrak{m}_A$ . An isomorphism is a morphism (of ringed or locally ringed spaces respectively) with a two-sided inverse. Equivalently, in  $(f, f^\#)$  the  $f$  is a homeomorphism and the  $f^\#$  is an isomorphism of sheaves.

**Remark 6.6.** The induced map on stalks comes from:

$$\mathcal{O}_{Y,f(P)} = \varinjlim_{V \ni P} \mathcal{O}_Y(V) \rightarrow \varinjlim_{f^{-1}(V)} \mathcal{O}_X(f^{-1}(V)) \rightarrow \varinjlim_{U \ni P} \mathcal{O}_X(U) = \mathcal{O}_{X,P}$$

**Proposition 6.7.**

- (a)  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$  is a locally ringed space.
- (b)  $\varphi : A \rightarrow B$  a morphism of rings induces

$$(f, f^\#) : (\text{Spec } B, \mathcal{O}_B) \rightarrow (\text{Spec } A, \mathcal{O}_A)$$

- (c) In fact, any morphism of locally ringed spaces  $(\text{Spec } B, \mathcal{O}_B) \rightarrow (\text{Spec } A, \mathcal{O}_A)$  is induced by a homomorphism of rings.

*Proof.*

- (a) Immediate from previous results.
- (b) The map on topological spaces is  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ .  $f^{-1}(V(\mathfrak{a})) = V(\varphi(\mathfrak{a}))$  so the map is continuous. Two ways to see the induced morphism on structure sheaves:
  - Certainly we yield a morphism on a base

$$\mathcal{O}_A(D(f)) \rightarrow \mathcal{O}_B(D(\varphi(f))) = \mathcal{O}_B(f^{-1}(D(f))) = f_*(\mathcal{O}_B)(D(f))$$

via  $A_f \rightarrow B_{\varphi(f)}$  in the obvious way, and it respects restriction maps.

- Localize at each prime to get a local homomorphism of local rings  $\varphi_{\mathfrak{p}} : A_{\varphi^{-1}(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$ . Since sheaves are isomorphic to their sheafification, you can interpret sections on  $U$  as collections of compatible germs, and so you can just map germs (and compatibility is preserved).
- (c) Take global sections: we must have a map:

$$\varphi : \mathcal{O}_A(\text{Spec } A) \cong A \rightarrow \mathcal{O}_{\text{Spec } B}(\text{Spec } B) \cong B$$

One can show that  $\varphi$  induces all of the data of the morphism. Notably, we must have an induced morphism on stalks:  $A_{f(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$ . Due to compatibility, we must have:

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \downarrow & & \downarrow \\ A_{f(\mathfrak{p})} & \xrightarrow{f_{\mathfrak{p}}^\#} & B_{\mathfrak{p}} \end{array}$$

Since  $f_{\mathfrak{p}}^\#$  is a local homomorphism, it must be that  $\varphi^{-1}(\mathfrak{p}) = f(\mathfrak{p})$ , so that map  $f$  on points coincides with the one induced by  $\varphi$ . Then compatibility with restriction maps will force the  $f^\#$  to be induced by  $\varphi$  as well.

□

**Definition 6.8.** An **affine scheme** is a locally ringed space  $(X, \mathcal{O}_X)$  that is isomorphic, as a locally ringed space, to some  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ .

A **scheme** is a locally ringed space  $(X, \mathcal{O}_X)$  such that every point  $p \in X$  has an open neighborhood  $U$  such that  $(U, \mathcal{O}_X|_U)$  is an affine scheme.

**Example 6.9** (Schemes can be glued). Let  $X_1, X_2$  be schemes. Let  $U_i \subseteq X_i$  be open sets. Let  $\varphi : (U_1, \mathcal{O}_X|_{U_1}) \rightarrow (U_2, \mathcal{O}_X|_{U_2})$  be an isomorphism of locally ringed spaces.

Then we can define a scheme  $X$  obtained by gluing  $X_1, X_2$  by identifying  $U_1, U_2$  via the morphism  $\varphi$ . The topological space is the quotient of  $X_1 \sqcup X_2$  by the equivalence relation  $x_1 \sim \varphi(x_1)$  for each  $x_1 \in U_1$ . The space is endowed with the quotient topology (a set is open  $\iff$  its preimage is open).

We get maps  $i_j : X_j \rightarrow X$  and the structure sheaf is defined as:

$$\mathcal{O}_X(V) = \{(s_1, s_2) : s_j \in \mathcal{O}_{X_j}(i_j^{-1}(V)), \varphi(s_1|_{i_1^{-1}(V) \cap U_1}) = s_2|_{i_2^{-1}(V) \cap U_2}\}$$

that is, sections on sets that "see" the overlap are gotten from piecing together compatible sections on (subsets of) each  $X_1, X_2$ .

**Example 6.10** (More concrete: the projective line). Recall that the morphisms of affine schemes are induced by ring morphisms on the global sections. Glue  $\text{Spec } \mathbb{C}[t]$  and  $\text{Spec } \mathbb{C}[s]$  along  $D(t) = \text{Spec } \mathbb{C}[t, t^{-1}] \cong \text{Spec } \mathbb{C}[s, s^{-1}] = D(s)$  via the following:

$$\begin{array}{ccc} \text{Spec } \mathbb{C}[s] & & \text{Spec } \mathbb{C}[t] \\ i_1 \uparrow & & i_2 \uparrow \\ \text{Spec } \mathbb{C}[s, s^{-1}] & \longrightarrow & \text{Spec } \mathbb{C}[t, t^{-1}] \end{array} \quad \begin{array}{ccc} \mathbb{C}[s] & & \mathbb{C}[t] \\ \downarrow & & \downarrow \\ \mathbb{C}[s, s^{-1}] & \xleftarrow{t \mapsto s^{-1}} & \mathbb{C}[t, t^{-1}] \end{array}$$

This yields the projective line. We will learn about the proj construction in general next lecture.

**Example 6.11** (What if you take the other transition function?). If we instead take the transition function as  $t \mapsto s$ :

$$\begin{array}{ccc} \text{Spec } \mathbb{C}[s] & & \text{Spec } \mathbb{C}[t] \\ i_1 \uparrow & & i_2 \uparrow \\ \text{Spec } \mathbb{C}[s, s^{-1}] & \longrightarrow & \text{Spec } \mathbb{C}[t, t^{-1}] \end{array} \quad \begin{array}{ccc} \mathbb{C}[s] & & \mathbb{C}[t] \\ \downarrow & & \downarrow \\ \mathbb{C}[s, s^{-1}] & \xleftarrow{t \mapsto s} & \mathbb{C}[t, t^{-1}] \end{array}$$

then this glues everything away from the origin in a "straightforward" way and we get the affine line with a doubled origin.

## 7. JAN 29: PROJ, PROPERTIES OF SCHEMES

**Recommended reading:** Hartshorne II.2 (~ 76-77), Vakil 4.5

Now for the proj construction: we want a big class of examples from projective varieties, and we want a big class of interesting schemes in one fell swoop. An important tool is the notion of gluing schemes from more than two charts: the details are handled in Hartshorne exercise II.2.12. Note the cocycle condition on triple overlaps.

Intuition from 552 remains: if  $S_\bullet = k[x_0, \dots, x_n]$ , the proj construction yields  $\mathbb{P}_k^n$  and if  $S_\bullet = k[x_0, \dots, x_n]/(f)$  where  $f$  is homogeneous, we get something "cut out" of  $\mathbb{P}_k^n$  by the equation  $f = 0$ .

**Definition 7.1** ( $\mathbb{Z}$ -graded rings). A  $\mathbb{Z}$ -graded ring is a ring  $S_\bullet = \bigoplus_{n \in \mathbb{Z}} S_n$  where multiplication respects grading:  $S_m \times S_n \rightarrow S_{m+n}$ .  $S_0$  is a subring and each  $S_n$  is an  $S_0$  module, and  $S_\bullet$  is an  $S_0$  module. A  $\mathbb{Z}^{\geq 0}$ -graded ring is a  $\mathbb{Z}$ -graded ring with no elements of negative degree. We will, in the future, use graded ring to refer to a  $\mathbb{Z}^{\geq 0}$  graded ring.

**Definition 7.2.** An element of some  $S_n$  is a homogeneous element. If it is nonzero, nonzero, the subscript yields the degree.

**Definition 7.3.** An ideal  $I$  of  $S_\bullet$  is homogeneous if it is generated by homogeneous elements.

**Proposition 7.4.** An ideal is homogeneous if and only if it contains the degree  $n$  piece of each of its elements.

*Proof.* An induction proof by successively lopping off the top-degree pieces.  $\square$

**Definition 7.5.** In a graded ring  $S_\bullet$ , the irrelevant ideal refers to  $S_+ := \bigoplus_{i > 0} S_i$ .

**Definition 7.6.** As a set,  $\text{Proj } S$  is the set of all homogeneous prime ideals  $\mathfrak{p}$  that do not contain all of  $S_+$ . For  $\mathfrak{a}$  a homogeneous ideal of  $S$ , we define the subset

$$V(\mathfrak{a}) = \{\mathfrak{p} \in \text{Proj } S : \mathfrak{a} \subseteq \mathfrak{p}\}$$

For a set  $T$ ,  $V(T) = V((T))$ . We have distinguished open sets (well, we will eventually see they're open)  $D(f) := \text{Proj } S \setminus V((f))$  for  $f$  homogeneous. Note that  $D(fg) = D(f) \cap D(g)$ .

**Lemma 7.7.**

- (a) For  $\mathfrak{a}, \mathfrak{b}$  homogeneous ideals in  $S$ , we have  $V(\mathfrak{a}\mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$ .
- (b) For any collection of homogeneous ideals  $\{\mathfrak{a}_i\}$  of  $S$ , we have

$$V\left(\sum \mathfrak{a}_i\right) = \bigcap V(\mathfrak{a}_i)$$

*Proof.* Same as before, accounting for the following: a homogeneous prime ideal  $\mathfrak{p}$  is prime  $\iff$  for two homogeneous  $a, b \in S$ , the product  $ab \in \mathfrak{p}$  implies  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ .  $\square$

Hence we can define a Zariski topology on  $\text{Proj } S$ . Now we must define a structure sheaf on this space. The idea: on the  $D(f)$  we'd like the scheme to look like  $\text{Spec } ((S_\bullet)_f)_0$ . Think about the standard affine opens on  $\mathbb{P}_k^n$  from 552, where the coordinate rings look like  $k[x_0/x_i, \dots, x_n/x_i]$ .

**Definition 7.8.** For  $f \in S_+$ , set

$$\mathcal{O}_{\text{Proj } S_\bullet}(D(f)) = \mathcal{O}(D(f)) = ((S_\bullet)_f)_0 = "S_{(f)}"$$

See Hartshorne p. 76 or Vakil Section 4.5 if you want to see more on the details on issues relating to, e.g., whether restriction maps will make sense.

**Proposition 7.9.** Let  $S$  be a graded ring.

- (a) The stalk  $\mathcal{O}_{\mathfrak{p}}$  is isomorphic to the local ring  $SS_{\mathfrak{p}}$ , the degree zero elements of  $S$  localized at all **homogeneous** elements not in  $\mathfrak{p}$ .
- (b) We have that

$$D(f), \mathcal{O}|_{D(f)} \cong \text{Spec } ((S_f)_0)$$

- (c)  $\text{Proj } S$  is a scheme.

It would do you well to read Exercise II.2.12 to get a sense of the work needed to glue together schemes.

**Example 7.10.** For  $A$  a ring, we get  $\mathbb{P}_A^n = \text{Proj } A[x_0, \dots, x_n]$ , the projective  $n$ -space over  $A$ . For  $A = k$  algebraically closed, you get something whose set of closed points is homeomorphic to the usual variety we know as projective  $n$ -space from 552.

**Definition 7.11.** Let  $S$  be a fixed scheme. A **scheme over  $S$**  is a scheme  $X$  with a morphism  $X \rightarrow S$ . A morphism  $X \rightarrow Y$  as schemes over  $S$  is a morphism of schemes  $f : X \rightarrow Y$  that is compatible with the morphisms to  $S$ . Then  $\mathfrak{Sch}(S)$  is the category of schemes over  $S$ . If  $A$  is a ring,  $\mathfrak{Sch}(A)$  is the category of schemes over  $\text{Spec } A$ .

**Proposition 7.12.** Let  $k$  be algebraically closed. There is a natural, fully faithful (that is, bijective on hom sets) functor  $t : \mathfrak{Var}(k) \rightarrow \mathfrak{Sch}(k)$ . For any variety, the topological space is homeomorphic to the set of closed points  $\text{sp}(t(V))$  and its sheaf of regular functions is obtained by restricting the structure sheaf of  $t(V)$  via the homeomorphism.

*Proof.* See II.2, Proposition 2.6, of Hartshorne. □

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Now it's time to think of all the interesting properties of schemes we could want:

**Definition 7.13** (Big list of scheme adjectives). Let  $X$  be a scheme.

- (a)  $X$  is connected if its topological space is connected
- (b)  $X$  is irreducible if the topological space is irreducible (all nonempty open sets dense).
- (c)  $X$  is integral if all the  $\mathcal{O}_X(U)$  are integral domains
- (d)  $X$  is reduced if all the  $\mathcal{O}_X(U)$  have no nilpotent elements (equivalently, by II.2.3, all the stalks have no nonzero nilpotents).

**Remark 7.14.** At this point, it is useful to remark that the residue field of a point  $\mathfrak{p}$  in a scheme  $X$  is

$$k(\mathfrak{p}) := \mathcal{O}_{X,\mathfrak{p}}/m_{\mathfrak{p}}$$

This lines up with our old definition: if  $\mathfrak{p}$  lies in an affine open  $U \cong (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ , then  $k(\mathfrak{p}) = \text{Frac}(A/\mathfrak{p})$ . The presentation above has the advantage of not needing an affine chart to state it. Likewise, we have a notion of evaluation: for  $f \in \mathcal{O}_X(U)$ , we have  $f(\mathfrak{p})$  is the image of  $f$  under  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,\mathfrak{p}}/m_{\mathfrak{p}} = k(\mathfrak{p})$ .

Note that for a section  $f \in \mathcal{O}_X(U)$ , we have that  $f_{\mathfrak{p}} \in m_{\mathfrak{p}} \subseteq \mathcal{O}_{X,\mathfrak{p}}$  is the same as  $f(\mathfrak{p}) = 0$ .

**Proposition 7.15.** A scheme is integral iff it is both reduced and irreducible.

*Proof.* Integral certainly implies reduced. And if it's not irreducible, then it has two nonempty disjoint sets, yielding

$$\mathcal{O}_X(U_1 \sqcup U_2) = \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$$

which is not integral.

Conversely: suppose  $X$  is reduced and irreducible. Suppose there are  $f, g \in \mathcal{O}_X(U)$  with  $fg = 0$ . Then look at

$$Y = \{x \in U : f_x \in m_x\} = \{x \in U : f(x) = 0\}Z = \{x \in U : g_x \in m_x\} = \{x \in U : g(x) = 0\}$$

These are closed subsets (exercise II.2.16 - on HW! Note that these are defined by vanishing conditions) of  $U$ , and  $Y \cup Z = U$ . But  $X$  is irreducible, so  $U$  is irreducible. So, then, say  $Y = U$ . But then  $f$  is nilpotent on any affine open in  $U$  (II.2.18a) meaning  $f$  is zero.  $\square$

**Proposition 7.16.** Suppose  $X$  is a reduced scheme. Let  $f, g \in \Gamma(X, \mathcal{O}_X)$ . Then:

$$f = g \iff f(x) = g(x) \text{ (in } k(x)) \text{ for all } x \in X$$

That is, evaluating the same everywhere means the two sections are the same.

**Remark 7.17.** What this says is that, on reduced schemes, functions are determined by their values. Recall that the example of a setting where this is not true was  $\text{Spec } k[x]/(x^2)$ , which is certainly not reduced.

*Proof.*  $\Rightarrow$ : this direction is obvious.

$\Leftarrow$ : We may assume  $X$  is affine (you'll get equality on each open affine, and then glue to finish). In that case,  $X = \text{Spec } A$  for  $A$  with nilradical equal to  $(0)$ . We have:

$$A \rightarrow \prod_{\mathfrak{p} \in \text{Spec } A} \text{Frac}(A/\mathfrak{p}) = \prod_{\mathfrak{p} \in \text{Spec } A} k(\mathfrak{p}) \quad \left( \text{equivalently, } A \hookrightarrow \prod_{\mathfrak{p}} \mathcal{O}_{\text{Spec } A, \mathfrak{p}} \rightarrow \prod_{\mathfrak{p}} k(\mathfrak{p}) \right)$$

The kernel is the intersection of all prime ideals, which is  $(0)$ . That is, the map is injective. So since  $f - g$  maps to zero, it must be that  $f - g = 0$ , and we are done.  $\square$

**Definition 7.18.** A scheme is **locally noetherian** if it can be covered by open affine subsets  $\text{Spec } A_i$  where each  $A_i$  is noetherian.  $X$  is **noetherian** if it is locally noetherian and quasi-compact. Equivalently,  $X$  is noetherian if it can be covered by a finite number of open affine subsets  $\text{Spec } A_i$ , each  $A_i$  noetherian.

**Remark 7.19.**  $X$  being noetherian (so basically a.c.c. on ideals) means that the topological space is noetherian (d.c.c. on closed subsets).

## 8. JAN 31: MORE PROPERTIES OF SCHEMES

### Recommended reading: Hartshorne II.3 (especially Prop 3.2), Vakil 5.1-5.3

The following is an important type of proof. In our definitions of various adjectives, we often want to say that there's just one (affine) cover with a certain property (as that's easy to prove). When we use this adjective in proofs, we would like to be able to say *every* (affine) open cover has a certain property (as that's more useful to us).

These proofs tend to have a "going down, going up" sort of process: you want that if a ring  $B$  has a property then localizations  $B_f$  have the property, and then if a bunch of  $B_{f_i}$  have a property and  $\cup_i \text{Spec } B_{f_i} = \text{Spec } B$  (i.e.  $\sum (f_i) = 1$ ) implies that  $B$  has that property. More formally, you'll see this referred to as affine communication.

**Proposition 8.1.** A scheme  $X$  is locally noetherian iff for *every* open affine  $U = \text{Spec } A$ ,  $A$  is noetherian.

*Proof.*  $\Leftarrow$ : this direction is clear.  $\Rightarrow$ : Note: if  $B$  is noetherian, so is any localization  $B_f$ . Note, then, that we have a base for the topology consisting of specs of noetherian rings, and thus our  $U = \text{Spec } A$  can be covered by specs of noetherian rings.

So we may restrict to the following: if  $X = \text{Spec } A$  is an affine scheme covered by spectra of noetherian rings, then  $A$  is noetherian. Let  $U = \text{Spec } B$  be an open subset of  $X$ , with  $B$  noetherian. Then for some  $f \in A$ ,  $D(f) \subseteq U$  we have:

$$\begin{array}{ccc} \text{Spec } A & & A \\ \uparrow & \swarrow & \downarrow \\ D(f) & \longrightarrow & \text{Spec } B \\ & & \downarrow \\ & & A_f \longleftarrow B \end{array}$$

Let  $\bar{f}$  be the image of  $f$  in  $B$ . Then  $A_f \cong B_{\bar{f}}$  (as both should be the coordinate ring of  $D(f)$ ). Thus,  $A_f$  is noetherian. So we successfully shift to the " $\cup \text{Spec } A_f = \text{Spec } A$  and the  $A_f$  have a property  $\Rightarrow A$  has a property" part of the proof.

Cover  $X = \text{Spec } A$  with a finite number of these  $\text{Spec } A_f$  with  $A_f$  noetherian. We can do this because affine schemes are quasicompact. Now: we want to show that if  $(f_1, \dots, f_n) = (1)$  and each  $A_f$  is noetherian, then  $A$  is noetherian.

Let  $\mathfrak{a} \subseteq A$  be an ideal, and let  $\varphi_i : A \rightarrow A_{f_i}$ . Then we claim that:

$$\mathfrak{a} = \bigcap_{i=1}^n \varphi_i^{-1}(\varphi_i(\mathfrak{a}) \cdot A_{f_i})$$

i.e. the commonality between pulling back all the extended versions of  $\mathfrak{a}$  yields  $\mathfrak{a}$  again. The  $\subseteq$  containment is obvious. As for  $\supseteq$ : let  $b$  be an element of the intersection. Then:

$$\varphi_i(b) = a_i / f_i^N \in A_{f_i}$$

with  $a_i \in \mathfrak{a}$  and the  $N$  the same across all  $A_{f_i}$  (take the max). Then there is an  $M$  such that for any  $i$ :

$$f_i^M (f_i^N b - a_i) = 0$$

That is,  $f_i^{M+N} b \in \mathfrak{a}$  for each  $i$ . Since  $\text{Spec } A = \cup D(f_i) = \cup D(f_i^{m+n})$  we get that there are  $c_i$  such that

$$1 = \sum_{i=1}^n c_i f_i^{M+N}$$

for  $c_i \in A$ . Then:

$$b = \sum c_i f_i^{M+N} b \in \mathfrak{a}$$

So, we have shown  $\mathfrak{a} = \cap \varphi_i^{-1}(\varphi_i(\mathfrak{a}) \cdot A_{f_i})$ . Now suppose that  $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \mathfrak{a}_3 \subseteq \dots$  is an ascending chain of ideals in  $A$ . Then for each  $1 \leq i \leq n$  we get a chain of extensions in  $A_{f_i}$

$$\varphi_i(\mathfrak{a}_1) \cdot A_{f_i} \subseteq \varphi_i(\mathfrak{a}_2) \cdot A_{f_i} \subseteq \dots$$

which must stabilize (and so their preimages stabilize). Then there is some step  $L$  at which all the preimages on the different  $A_{f_i}$  stabilize, since there are finitely many. Hence we get that the original chain eventually stabilizes too.  $\square$

**Definition 8.2.** A morphism  $f : X \rightarrow Y$  of schemes is **locally of finite type** if there is a covering  $\{V_i = \text{Spec } B_i\}$  of  $Y$  such that for each  $i$ , we have that  $f^{-1}(V_i)$  can be covered by  $U_{i,j} = \text{Spec } A_{ij}$  where each  $A_{ij}$  is a finitely generated  $B_i$ -algebra. (Note that we have  $\text{Spec } A_{ij} \rightarrow \text{Spec } B_i$  induced by some  $B_i \rightarrow A_{ij}$ ).

The morphism is of **finite type** if each  $f^{-1}(V_i)$  can be covered by finitely many  $U_{ij}$ .

**Remark 8.3.** Note: if the morphism is  $f : X \rightarrow \text{Spec } k$ , being finite type means that  $X$  looks like the finite patching of closed subsets of affine space.

**Definition 8.4.** A morphism  $f : X \rightarrow Y$  is a **finite** morphism if there is a covering of  $Y$  by  $V_i = \text{Spec } B_i$  such that  $f^{-1}(V_i) \cong \text{Spec } A_i$  with  $A_i$  a finitely generated  $B_i$ -module.

You will prove on your homework that having these properties on one open affine cover is the same as having them on all open affines.

**Remark 8.5.** Finite morphisms have finite fibers (and are closed) and preserve the dimension of the scheme (a notion we will eventually define, but lines up with the notion for varieties).

Finite fibers, however, does not imply a finite morphism.  $\text{Spec } k[t, t^{-1}] \rightarrow \text{Spec } k[t]$  induced by  $k[t] \rightarrow k[t, t^{-1}]$  has finite fibers, but  $k[t, t^{-1}]$  is not a finite  $k[t]$ -module.

**Remark 8.6.** If the morphism is flat, then the length of the fiber is constant. This can fail for non-flat morphisms. A morphism is flat if the induced stalk maps  $f_P : \mathcal{O}_{Y, f(P)} \rightarrow \mathcal{O}_{X, P}$  is flat.  $\varphi : A \rightarrow B$  is flat if for every injective module morphism  $M \rightarrow N$  you get that  $M \otimes_A B \rightarrow N \otimes_A B$  is injective.

**Example 8.7.** Finite type morphisms need not have finite fibers:  $\text{Spec } k[x, y] \rightarrow \text{Spec } k[x]$  given by  $k[x] \hookrightarrow k[x, y]$  should be thought of as projection  $\mathbb{A}^2 \rightarrow \mathbb{A}^1$ . This is a finite type morphism but it does not have finite fibers.



**Definition 8.8.** An open subscheme of a scheme  $X$  is a scheme  $U$ , with topological space an open subset of  $X$  and  $\mathcal{O}_U = \mathcal{O}_X|_U$ . An open immersion is a morphism  $f : X \rightarrow Y$  that induces an isomorphism of  $X$  with an open subscheme of  $Y$ .

**Definition 8.9.** A closed immersion is a morphism  $f : Y \rightarrow X$  such that

- $f(Y)$  is a closed subset of  $X$  and
- $f : Y \rightarrow f(Y) \subseteq X$  is a homeomorphism of topological spaces
- the map  $f^\# : \mathcal{O}_X \rightarrow f_*\mathcal{O}_Y$  is surjective

A closed subscheme of  $X$  is an equivalence class of closed immersions, where  $f : Y \rightarrow X$  and  $f' : Y' \rightarrow X$  are equivalent if there is an isomorphism  $i : Y' \rightarrow Y$  such that  $f' = f \circ i$ .

**Remark 8.10.** Closed subschemes in general look like maps induced by  $A \rightarrow A/I$ . This is Hartshorne exercise II.3.11.

## 9. FEB 03: CLOSED SUBSCHEMES, FIBER PRODUCT

**Recommended reading: Hartshorne II.3, Vakil 8.1, 8.3, 9.1**

**Example 9.1** (The go-to example of a closed subscheme). Let  $A$  be a ring,  $\mathfrak{a}$  an ideal of  $A$ . Set  $Y = \text{Spec } A/\mathfrak{a}$  and  $X = \text{Spec } A$ . Then  $A \rightarrow A/\mathfrak{a}$  induces a closed immersion  $f : Y \rightarrow X$  as schemes:  $f$  is a homeomorphism onto  $V(\mathfrak{a})$  and the map  $\mathcal{O}_X \rightarrow f_*(\mathcal{O}_Y)$  is surjective since it's surjective on stalks.

Any choice of  $\mathfrak{b}$  with  $V(\mathfrak{a}) = V(\mathfrak{b})$  yields a scheme structure on the set  $V(\mathfrak{a})$  and these can vary much. So there are lots of subscheme structures on this set. Every subscheme structure on a closed subscheme of an affine scheme arises this way.

As a fun example, consider  $k[x]$  and  $V((x)) = V((x^2))$  and the different subscheme structures these two ideals give you.

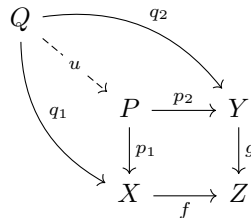
**Example 9.2.** From that example, it seems like there should be a unique "smallest" structure, something that eliminates the sort of "fuzz" that  $V((x^2))$  would give. This is indeed true: it is the reduced induced closed subscheme structure.

In the above, with  $V((x)) = V((x^2)) = V((x^3)) = \dots$  you want to do some sort of "taking the radical" type process.

Let  $Y$  be a closed subset of  $X$ . For  $X$  affine, set  $\mathfrak{a} = \bigcap_{\mathfrak{p} \in Y} \mathfrak{p}$ . This is the largest ideal for which  $V(\mathfrak{a}) = Y$ . Then the reduced induced structure on  $Y$  is the one defined by  $\mathfrak{a}$ . (Note that  $V(I) = V(J) \iff \sqrt{I} = \sqrt{J}$ ).

For  $X$  a scheme in general, take an affine open cover  $\{U_i\}$ , consider the closed (in  $U_i$ ) subset  $U_i \cap Y$ , and give that the reduced induced structure. You can show this glues (Example II.3.2.6 in Hartshorne).

Now! It is time for the ever-wonderful fiber product. Let us discuss its universal property. In a given category, the fiber product of  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  is the object  $P$  with morphisms  $p_1 : P \rightarrow X$ ,  $p_2 : P \rightarrow Y$  such that for any  $Q$  with maps  $q_1 : Q \rightarrow X$  and  $q_2 : Q \rightarrow Y$  with  $f \circ q_1 = g \circ q_2$ , there exists a unique morphism  $u : Q \rightarrow P$  making the following diagram commute.



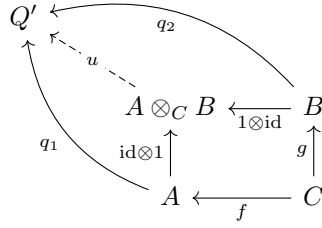
The object  $P$  is usually denoted by  $X \times_Z Y$ . The  $p_1, p_2$  should be thought of as projection maps, as we see below.

First, some examples from topology. Let  $X \rightarrow Z$  be a map and  $\{p\} \rightarrow Z$  be the inclusion of a point. Then  $P = X \times_Z \{p\}$  is just the fiber (any  $Q$  with the proposed maps must land in the fiber over  $p$  and so we get the factoring).

In general, for topological spaces:

$$X \times_Z Y = \{(x, y) \in X \times Y : f(x) = g(y)\}$$

Let's think about affine schemes. Translating between scheme info and ring info flips all the arrows and we observe that flipping the arrows on this diagram... just yields the diagram and universal property of the tensor product of rings.



So it seems like fiber products should exist for affine schemes. Now we simply need to patch these together.

**Theorem 9.3.** For any two schemes  $X \rightarrow S, Y \rightarrow S$  over a scheme  $S$ , the fiber product  $X \times_S Y$  exists and is unique up to unique isomorphism.

*Proof.*

- **Step 1: (Handling affines)**

For affine schemes, spec of the tensor product yields the fiber product. For  $X = \text{Spec } A, Y = \text{Spec } B, S = \text{Spec } R$ , consider  $\text{Spec } (A \otimes_R B)$ . This does not immediately have the property we want in the category of schemes, because  $Q$  may not be affine. We'll work through this subtlety using a problem from your HW.

A morphism  $Q \rightarrow \text{Spec } (A \otimes_R B)$  is the same as a homomorphism  $A \times_R B \rightarrow \Gamma(Q, \mathcal{O}_Q)$  by Exercise II.2.4. Applying the universal property of the tensor product and the HW problem again, we get that  $Q \rightarrow \text{Spec } (A \otimes_R B)$  is exactly the same as a morphism to  $\text{Spec } B, \text{Spec } A$  with the desired composition properties.

- **Step 2: (Uniqueness)**

The fiber product, if it exists, must be unique. For two candidate fiber products  $F_1, F_2$ , you'll get maps  $i : F_1 \rightarrow F_2$  and  $j : F_2 \rightarrow F_1$ , and  $i \circ j, j \circ i$  being the identity will be forced by the uniqueness part of maps to the fiber product.

- **Step 3: (Gluing morphisms)**

Let  $X, Y$  be arbitrary schemes. Morphisms can be described from gluing: if  $\{U_i\}$  is an open cover of  $X$ , then to describe a morphism  $f : X \rightarrow Y$  it's enough to describe  $f_i : U_i \rightarrow Y$  and verify that the  $f_i, f_j$  agree on  $U_i \cap U_j$ .

- **Step 4: (Fiber products are nice with open sets of one component)**

If  $X, Y$  are schemes over  $S$  and  $U \subseteq X$  open, then  $p_1^{-1}(U) \subseteq X \times_S Y$  is a product for  $U$  and  $Y$ .

(Maps  $f : Z \rightarrow U$  and  $g : Z \rightarrow Y$  yield  $f' : Z \rightarrow U \rightarrow X$  and hence you can get  $\theta : Z \rightarrow X \times_S Y$ . Since  $f(Z) \subseteq U$ , we can regard  $\theta : Z \rightarrow p_1^{-1}(U)$ . It inherits uniqueness).

- **Step 5: (If you can get a fiber using a cover on one piece, you can get it on the whole thing)**

Suppose  $X, Y$  are schemes over  $S$ , and  $\{X_i\}$  is an open cover of  $X$ , and that  $X_i \times_S Y$  exists. Then,  $X \times_S Y$  exists.

Let  $p_{1,i} : X_i \times_S Y \rightarrow X_i$ . Let  $X_{ij} = X_i \cap X_j$ , and  $U_{ij} \subseteq X_i \times_S Y$  denote  $p_{1,i}^{-1}(X_{i,j})$ . From Step 4,  $U_{ij}, U_{ji}$  are both a fiber product for  $X_{ij}$  and  $Y$  over  $S$ . Uniqueness properties of the fiber product give unique isomorphisms  $\phi_{ij} : U_{ij} \rightarrow U_{ji}$ . These isomorphisms satisfy the gluing/compatibility conditions of Exercise II.2.12. (Namely,  $\varphi_{ij} = \varphi_{ji}^{-1}$ , and the cocycle/image condition on triple intersections.).

Thus, we can glue the  $X_i \times_S Y$  to a scheme that we prematurely call  $X \times_S Y$ . The projection morphisms are glued from the  $X_i \times_S Y$ . One can check that this is indeed the fiber product.

(For a bit more detail: given  $Z \rightarrow X, Z \rightarrow Y$  that yield the same map to  $S$ : we get maps  $Z_i = f^{-1}(X_i) \rightarrow X_i$  yielding maps  $\theta : Z_i \rightarrow X_i \times_S Y \rightarrow X \times_S Y$ . These maps glue on the  $Z_i \cap Z_j$  and yield  $Z \rightarrow X \times_S Y$ . Uniqueness can be checked locally, on the pieces  $X_i \times_S Y$ ).

• **Step 6:** (*Gluing on the two factors, over an affine base*)

We know that fiber products exist for  $X, Y, S$  all affine. By gluing on the first factor with step 5, we have fiber products exist for  $X$  arbitrary,  $Y$  affine,  $S$  affine. By gluing with Step 5 on the second factor, fiber products exist for  $X, Y$  arbitrary and  $S$  affine.

• **Step 7:** (*Lastly, get arbitrary bases*)

Let  $X, Y, S$  be arbitrary schemes, with  $f : X \rightarrow S, g : Y \rightarrow S$ . Let  $\{S_i\}$  be an open affine cover of  $S$ . Let  $X_i = f^{-1}(S_i), Y_i = g^{-1}(S_i)$ . We have, by step 6, that  $X_i \times_{S_i} Y_i$  exists. Observe that  $X_i \times_{S_i} Y_i$  functions as the fiber product  $X_i \times_S Y$ . If  $f : Z \rightarrow X_i$  and  $g : Z \rightarrow Y$  yield the same map to  $S$ , then the image of  $g$  must land in  $S_i$ . So,  $X_i \times_S Y$  exists for each  $i$ , and we glue to  $X \times_S Y$ .

□

## 10. FEB 05: FIBER PRODUCT EXAMPLES, BASE CHANGE

### Recommended reading: Hartshorne II.3, Vakil 9.1-4

It's about time we do some examples!

**Example 10.1.** Given a map  $f : X \rightarrow Y$  and a point  $y \in Y$  we can take  $i : \{y\} = \text{Spec } k(y) \hookrightarrow Y$  via  $\mathcal{O}_X \rightarrow i_*(k(y))$ , which will just be a skyscraper sheaf of  $k(y)$  over the point  $y$ . Then  $X \times_Y \text{Spec } k(y)$  is topologically the fiber  $f^{-1}(y)$ . **The structure on it is not necessarily reduced!!**

For example: let  $k$  be algebraically closed. Consider

$$\mathbb{A}_k^1 = \text{Spec } k[t] \rightarrow \text{Spec } k[s] \xrightarrow{\mathbb{A}_k^1}$$

induced by

$$\begin{aligned} k[t] &\leftarrow k[s] \\ t^2 &\leftarrow s \end{aligned}$$

Then the fiber over a point  $a$  is:

$$\text{Spec } \left( k[t] \otimes_{k[s]} \frac{k[s]}{(s-a)} \right) \cong \text{Spec } \frac{k[t]}{(t^2-a)} \cong \begin{cases} \text{Spec } \frac{k[t]}{(t-\sqrt{a})(t+\sqrt{a})} \cong \text{Spec } (k \times k) & a \neq 0 \\ \text{Spec } \frac{k[t]}{(t^2)} & a = 0 \end{cases}$$

Both of these rings are 2-dimensional vector spaces over  $k$ , but one of them does not give a reduced scheme.

**Example 10.2** (Reduction modulo  $p$ ). We can always form the following diagram:

$$\begin{array}{ccc} X_{(p)} = X \times_{\text{Spec } \mathbb{Z}} \text{Spec } (\mathbb{Z}/p\mathbb{Z}) & \longrightarrow & (p) \\ \downarrow & & \downarrow \\ X & \longrightarrow & \text{Spec } \mathbb{Z} \end{array}$$

This is the reduction modulo  $p$  of the scheme  $X$ . You can also take  $X_{(0)} = X \times_{\text{Spec } \mathbb{Z}} \text{Spec } (\mathbb{Q})$ . In the case of, say,  $\text{Spec } (\mathbb{Z}[x]/(x^4 + x^3 + 1))$  doing this process with  $p = 5$  would yield  $\text{Spec } (\mathbb{F}_5[x]/(x^4 + x^3 + 1))$ .

**Example 10.3** (Base extension in general). Recall that a scheme over  $S$  is a scheme  $X$  with a map  $f : X \rightarrow S$ . Perhaps you'd like to consider it over some other base. Well, if you have  $S' \rightarrow S$ , then you have the base extension:

$$\begin{array}{ccc} X_{S'} = X \times_S S' & \longrightarrow & S' \\ \downarrow & & \downarrow \\ X & \longrightarrow & S \end{array}$$

One of the many usages is the following: if you consider an elliptic curve  $C$  over  $\mathbb{Q}$  (so  $f : C \rightarrow \operatorname{Spec} \mathbb{Q}$ ), then you could consider it over an extension  $L$  of  $\mathbb{Q}$  by considering  $C \times_{\operatorname{Spec} \mathbb{Q}} \operatorname{Spec} L \rightarrow \operatorname{Spec} L$  and see if your elliptic curve acquires any more closed points.

**It is interesting to see what properties are preserved by base change (and in doing these examples, we will also study properties in families).**

**Example 10.4** (Investigating irreducibility). Consider  $\operatorname{Spec} k[x, y, t](xy - t) \rightarrow \operatorname{Spec} k[t]$  induced by

$$\begin{aligned} k[t] &\rightarrow k[x, y, t]/(xy - t) \\ t &\mapsto t \end{aligned}$$

You should think of  $\operatorname{Spec} k[x, y, t]/(xy - t)$  as a surface in  $\mathbb{A}^3$  and the morphism to  $\mathbb{A}^1 = \operatorname{Spec} k[t]$  corresponding to projection onto the third factor. Over each closed point  $(t - a)$  of the affine line, we get a fiber, which looks like

$$\frac{k[x, y, t]}{(xy - t)} \otimes_{k[t]} \frac{k[t]}{(t - a)} = \frac{k[x, y]}{(xy - a)}.$$

That is, ranging over the fibers yields a family of hyperbola. For  $a \neq 0$ , the fiber is nice and irreducible. For  $a = 0$ , we get a union of two axes, and it is very much reducible. Note that the total space  $\operatorname{Spec} k[x, y, t]/(xy - t)$  is irreducible. So irreducibility does not need to be preserved by base change.

**Example 10.5** (Investigating reducedness). This was already done in the  $k[s] \rightarrow k[t], s \mapsto t^2$  example. You can also look at  $\operatorname{Spec} k[x, y, t]/(ty - x^2) \rightarrow \operatorname{Spec} k[t]$ . The fiber over  $(t - a)$  for  $a \neq 0$  is a parabola, and then degenerates to the doubled line  $x^2 = 0$  in  $\operatorname{Spec} k[x, y]$  for  $a = 0$ .

## 11. FEB 07: DIMENSION, SEPARATEDNESS, VALUATIVE CRITERION

**Recommended reading: Hartshorne II.4, Vakil 10.1-10.3, 12.7**

**Definition 11.1.** The **dimension** of a scheme  $X$ , denote  $\dim X$ , is its dimension as a topological space: the supremum of all  $n$  such that there is a chain

$$Z_0 \subsetneq Z_1 \subsetneq \cdots \subsetneq Z_n$$

with  $Z_i$  distinct, irreducible closed subsets.

**Definition 11.2.** Given  $Z \subseteq X$  irreducible, we have  $\operatorname{codim}(Z, X)$  is the supremum of integers  $n$  such that we have a chain

$$Z = Z_0 \subsetneq Z_1 \subsetneq \cdots \subsetneq Z_n$$

with  $Z_i$  irreducible and closed. For  $Y$  closed subsets in general,  $\operatorname{codim}(Y, X) = \inf_{Z \text{ irred} \subseteq Y} \operatorname{codim}(Z, X)$ .

**Remark 11.3.** For affine schemes, Krull dimension aligns with the above notion of dimension.

**Remark 11.4.** No, it's not true in general that for  $Y \subseteq X$ , that  $\dim Y + \operatorname{codim}(Y, X) = \dim X$ . For most "nice" scheme we encounter this will be true, but localizations can lead to quite the messes.

**Proposition 11.5.** Finite morphisms are preserved under base change. That is, if  $f : X \rightarrow Y$  is finite, then  $f' : X \times_Y Z \rightarrow Z$  is finite for any  $Z \rightarrow Y$ .

*Proof.* We can check this on affines: if  $B \rightarrow A$  makes  $A$  a finite  $B$ -module, and we have  $B \rightarrow C$ , then we need  $A \times_B C$  is a finitely generated  $C$ -module. This is true: using the finite list of generators (generators of  $A$  over  $B$ )  $\otimes 1$  will work, by shuffling coefficients to the left as needed.  $\square$

**Proposition 11.6.** Finite morphisms have finite fibers.

*Proof.* Let  $f : X \rightarrow Y$  be a finite morphism. Let  $\operatorname{Spec} k(\mathfrak{p}) \rightarrow Y$  be the inclusion of a point. Form the fiber product  $X \times_Y \operatorname{Spec} k(\mathfrak{p}) \rightarrow \operatorname{Spec} k(\mathfrak{p})$  to get the fiber over  $\mathfrak{p}$ .

Finiteness is respected by base change. So, we have a finite morphism to a point. This makes  $X \times_Y \operatorname{Spec} k(\mathfrak{p})$  the spec of a ring that is a finite  $k$ -module, hence Artinian. Artinian rings have Krull dimension zero.  $\square$

Now for two more scheme properties (well, specifically, properties of a morphism between schemes) that correspond to two well-liked topological properties. Separatedness corresponds to the Hausdorff property: a notion of being able to separate points. Properness is meant to be analogous to the topological sense: preimage of a compact set is compact.

But we need new notions: the Zariski topology is basically never Hausdorff, and topological properties only capture so much of a scheme. Our definitions will reflect some of the functorial properties.

**Definition 11.7.** Let  $f : X \rightarrow Y$  be a morphism of schemes. We have a diagonal morphism  $\Delta : X \rightarrow X \times_Y X$  determined by the diagram:

$$\begin{array}{ccccc}
 & & \text{id}_2 & & \\
 & & \curvearrowright & & \\
 X & \xrightarrow{\Delta} & X \times_Y X & \xrightarrow{p_2} & X \\
 & \searrow \text{id}_1 & \downarrow p_1 & & \downarrow f \\
 & & X & \xrightarrow{f} & Y
 \end{array}$$

The morphism is **separated** if  $\Delta$  is a closed immersion. We say that  $X$  is separated over  $Y$ . A scheme is separated if it's separated over  $\text{Spec } \mathbb{Z}$ .

**Remark 11.8.** We can now give this tidbit: when people talk about a "variety" in the context of scheme theory, they generally mean an integral (so irreducible and reduced) scheme that is separated and finite type of  $k$ .

**Example 11.9** (The standard example of a scheme not separated over  $k$ ). Consider  $X$ , the affine line (over  $k$ ) with the origin doubled. This is  $\text{Spec } k[t]$  and  $\text{Spec } k[s]$  glued along the opens  $\text{Spec } k[t, t^{-1}]$  and  $\text{Spec } k[s, s^{-1}]$  via  $s \mapsto t$ .

Note that  $X \times_k X$  is the affine plane with doubled axes and four origins (you can think of this via intuition on closed points, and verify with chart computations). Then the image of the diagonal map is the usual diagonal in the affine plane part, with two of those origins. This is not closed, because all four origins are in the closure of  $\Delta(X)$  (think about limit points).

**Proposition 11.10.** if  $f : X = \text{Spec } A \rightarrow Y = \text{Spec } B$  is a morphism of affine schemes, then  $f$  is separated.

*Proof.* The fiber product  $X \times_Y X$  is given by  $\text{Spec } A \otimes_B A$  with diagonal morphism induced by  $A \otimes_B A \rightarrow A$  induced by  $a \otimes a' = aa'$ . This is surjective, hence the diagonal map is a closed immersion (see Exercise II.2.18(c)).  $\square$

**Corollary 11.11.** A morphism of schemes  $f : X \rightarrow Y$  is separated if and only if the image of the diagonal morphism is a closed subset of  $X \times_Y X$ .

*Proof.*  $\Rightarrow$  is obvious. We do  $\Leftarrow$ . Let  $p_1 : X \times_Y X \rightarrow X$  be the first projection. Since  $p_1 \circ \Delta = \text{id}_X$ ,  $\Delta$  must be a homeomorphism onto its image.

Now, we need to check that  $\mathcal{O}_{X \times_Y X} \rightarrow \Delta_* \mathcal{O}_X$  is surjective. For  $P \in X$ , let  $U$  be an affine open containing  $P$ , such that  $f(U)$  is contained in some open affine  $V \subseteq Y$ . Then  $U \times_V U$  is a neighborhood of  $\Delta(P)$  and we know  $U \rightarrow U \times_V U$  is a closed immersion. That is our map of sheaves is surjective in a neighborhood of  $P$  (we can think of as: map is surjective on stalks).  $\square$

Next is the oft-cited valuative criterion for separatedness. The idea is: separated schemes shouldn't have this odd sort of "doubled point" behavior, a way to limit to two different things. Alternatively, if  $X$  is separated, then given a morphism of a punctured curve  $C \setminus \{p\} \rightarrow X$ , there should be at most one morphism  $C \rightarrow X$  extending it. Note that the line with the doubled origin very much fails this criterion.

This criterion is local, so we swap out a curve with a punctured small neighborhood (thinking in terms of  $\mathbb{C}$ )/germ of a curve. This corresponds roughly to a DVR. But our schemes may be fairly general, so we just use valuation rings, and then we make the criterion relative to a morphism.

**Definition 11.12.** Let  $K$  be a field, and  $G$  a totally ordered abelian group. A valuation of  $K$  with values in  $G$  is a map

$$v : K \setminus \{0\} \rightarrow G$$

such that for all  $x, y \in K \setminus \{0\}$  we have

- (1)  $v(xy) = v(x) + v(y)$
- (2)  $v(x + y) \geq \min(v(x), v(y))$ .

The set

$$R = \{x \in K : v(x) \geq 0\} \cup \{0\}$$

is a subring of  $K$ , called the valuation ring of  $v$ . A **valuation ring** is an integral domain that is the valuation ring of some valuation of its quotient field.

**Definition 11.13.** A valuation is discrete if  $G$  is the integers. The valuation ring is called a discrete valuation ring.

**Example 11.14.** Examples of DVRs include:

- $\mathbb{Z}_{(p)}$ , the integers localized at a prime
- $\mathbb{Z}_p$ , the ring of  $p$ -adic integers
- Rings of formal power series  $k[[T]]$
- $k[x]_{(x)}$ .

**Theorem 11.15** (Valuative criterion of separatedness). Let  $f : X \rightarrow Y$  be a morphism of schemes, and  $X$  Noetherian. Then  $f$  is separated if and only if the following condition holds (for all  $K, R$  and relevant maps). Let  $K$  be a field and  $R$  a valuation ring with quotient field  $K$ . Let  $i : \text{Spec } K \rightarrow \text{Spec } R$  be the morphism induced by inclusion  $R \hookrightarrow K$ . Given a morphism  $\text{Spec } R \rightarrow Y$  and a morphism  $\text{Spec } K \rightarrow X$  yielding the following diagram

$$\begin{array}{ccc} \text{Spec } K & \longrightarrow & X \\ \downarrow i & \nearrow \theta & \downarrow f \\ \text{Spec } R & \longrightarrow & Y \end{array}$$

there is at most one morphism  $\theta : \text{Spec } R \rightarrow X$  making the diagram commute.

*Proof.* See Theorem II.4.3. in Hartshorne. □

We will get more into the intuitive idea behind this criterion and its corollaries next lecture.

## 12. FEB 10: VALUATIVE CRITERION OF SEPARATEDNESS, PROPERNESS

**Recommended reading: Hartshorne II.4, Vakil 10.1-10.3, 12.7**

**Remark 12.1.** The condition of  $X$  Noetherian is used here for niceness, namely in guaranteeing that  $f : X \rightarrow Y$  is quasi-separated. (Meaning, the diagonal morphism  $\Delta : X \rightarrow X \times_Y X$  is quasi-compact (preimage of a quasi-compact is quasi-compact)).

**Remark 12.2.** What's the intuition here? At first, it may not seem like this setup corresponds to the intuition about nice ways to fill in a curve and such. Let's elucidate:

Let's think about one of our favorite DVRs:  $k[x]_{(x)}$ . This is the stalk of  $\mathcal{O}_{\text{Spec } k[x]}$  at the closed point  $(x)$ . So we should think of this as the ring of germs near the origin. Since  $k[x]_{(x)}$  should be thought of as the ring of functions over its spec,  $\text{Spec } k[x]_{(x)}$  should then be thought of as an "arbitrarily small neighborhood of the origin" or a "germ of the curve  $\mathbb{A}^1$ ." From this perspective, if we think in a relative sense, the fractional field  $\text{Frac}(k[x]_{(x)}) = k(x)$  should be thought of as functions you get after puncturing the origin. That is,  $\text{Spec } k(x)$  should be thought of as a small, punctured neighborhood.

The diagram now follows the initial goal: we have a neighborhood of a curve mapping downstairs to  $Y$ , and if we have a lift of the punctured neighborhood to  $X$ , there should be at most one way to fill it in (so that it's a lift of the non-punctured neighborhood). In general, for  $X$  an irreducible Noetherian separated curve, and  $p$  a regular closed point on it  $\mathcal{O}_{X,p}$  is a DVR, so this idea extends to things that don't just look like pieces of  $\mathbb{A}^1$ .

Note that the fact that we're working with schemes and bringing along all this data of the functions on our spaces is key:  $\text{Spec } k[x]_{(x)}$  is just two points, and  $\text{Spec } k(x)$  is just one point, and the set/topological data is unable to tell the full story.

**Corollary 12.3.** Assume all schemes are noetherian.

- (a) Open and closed immersions are separated
- (b) A composition of two separated morphisms is separated
- (c) Separated morphisms are stable under base change:  $f : X \rightarrow Y$  separated implies  $f' : X \times_Y Z \rightarrow Z$  is separated.
- (d) If  $f : X \rightarrow Y$  and  $f' : X' \rightarrow Y'$  are separated with all schemes over  $S$ , then  $f \times f' : X \times_S X' \rightarrow Y \times_S Y'$  is separated.
- (e)  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  morphisms and  $g \circ f : X \rightarrow Z$  separated implies  $f$  is separated.
- (f) A morphism  $f : X \rightarrow Y$  is separated if and only if  $Y$  can be covered by open  $V_i$  such that  $f^{-1}(V_i) \rightarrow V_i$  is separated for each  $i$ . (We say that being separated is *local on the base*.)

*Proof.* These can all be proven using the valuative criterion (some also can be proven from the definition without much tedium). To demonstrate the style of proof, we show (c). Let  $f : X \rightarrow Y$  be a separated morphism, and  $f' : X' = X \times_Y Y' \rightarrow Y'$  be a base change. We wish to show that  $f'$  is separated. Consider the following diagram:

$$\begin{array}{ccccc} \text{Spec } K & \longrightarrow & X' = X \times_Y Y' & \longrightarrow & X \\ \downarrow i & \nearrow \theta_1 & \downarrow f & & \downarrow f \\ \text{Spec } R & \longrightarrow & Y' & \longrightarrow & Y \end{array}$$

$\theta_2$  (dashed arrow from  $\text{Spec } R$  to  $X'$ )

Suppose there are two distinct lifts  $\theta_1, \theta_2 : \text{Spec } R \rightarrow X'$ . By composing with  $X' \rightarrow X$ , we get two maps  $\tau_1, \tau_2 : \text{Spec } R \rightarrow X$  which must be the same because  $X$  is separated. But then the  $\theta_i$  look the same after composing with each of the two projections out of  $X'$ . By the universal property of the fiber product,  $\theta_1 = \theta_2$ .  $\square$

**Corollary 12.4** (Corollary to part (f)). Affine morphisms (that is, morphisms where the preimage of an affine is affine) are separated.

**Proposition 12.5** (Valuative criterion for separatedness: DVR version). Suppose  $f : X \rightarrow Y$  is a morphism of finite type of locally Noetherian schemes. Then  $f$  is separated if and only if for any DVR  $R$  with quotient field  $K$  with a diagram

$$\begin{array}{ccc} \text{Spec } K & \longrightarrow & X \\ \downarrow i & \nearrow \theta & \downarrow f \\ \text{Spec } R & \longrightarrow & Y \end{array}$$

there is at most one morphism  $\text{Spec } R \rightarrow X$  filling in this diagram.

*Proof.* Vakil 12.7.1 will have some exposition on this.  $\square$

And now for properness. In topology, a proper morphism  $f : X \rightarrow Y$  is one where the preimage of a compact set is compact. For nice spaces, this is the same thing as being locally closed:  $f \times \text{id}_Z : X \times Z \rightarrow Y \times Z$  is closed for any topological space. Again, with some suitable niceness conditions (e.g.  $X, Y$  Hausdorff,  $Y$  locally compact) this is the same  $X \times_Y Z \rightarrow Z$  being closed for all base changes. This is the property on which the notion of a proper morphism of schemes is based on.

**Definition 12.6.** A morphism  $f : X \rightarrow Y$  is **proper** if it is separated of finite type, and universally closed (see below).

**Definition 12.7.** A morphism  $f : X \rightarrow Y$  is **universally closed** if it is closed and for any  $Z \rightarrow Y$  the base change  $f' : X \times_Y Z \rightarrow Z$  is closed.

**Example 12.8.** Let  $k$  be a field, and  $X = \text{Spec } k[t]$  the affine line over  $k$ .  $X$  is separated and finite type over  $k$ , but not proper. The fiber product  $X \times_k X \rightarrow X$  is the affine plane with a projection onto one axis. If we consider the closed set  $V((xy - 1))$ , this is closed but it projects to the punctured affine line.

We begin to see the issue: because we lack the point at infinity, nothing is getting sent to the origin. This suggests that the projective line has a good shot at being proper over  $k$  (and indeed it is: one can roughly see this through the valuative criterion). In fact, any projective variety over a field is proper. (Given that properness is meant to be an analogue of the topological notion of properness, schemes proper over  $k$  really out to be compact).

**Theorem 12.9** (Valuative criterion of properness). Let  $f : X \rightarrow Y$  be a morphism of finite type, with  $X$  noetherian. Then  $f$  is proper if and only if, for every valuation ring  $R$  with quotient field  $K$  and  $i : \text{Spec } K \rightarrow \text{Spec } R$  induced by  $R \hookrightarrow K$  and diagram

$$\begin{array}{ccc} \text{Spec } K & \longrightarrow & X \\ \downarrow i & \nearrow \theta & \downarrow f \\ \text{Spec } R & \longrightarrow & Y \end{array}$$

there is **exactly** one morphism  $\text{Spec } R \rightarrow X$  that fills in the diagram.

**Corollary 12.10.** Assume all schemes are noetherian.

- (a) Closed immersions are proper
- (b) A composition of proper morphisms is proper
- (c) Proper morphisms are stable under base change
- (d) If  $f : X \rightarrow Y$  and  $f' : X' \rightarrow Y'$  are proper with all schemes over  $S$ , then  $f \times f' : X \times_S X' \rightarrow Y \times_S Y'$  is proper.
- (e)  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  morphisms and  $g \circ f : X \rightarrow Z$  proper and  $g$  separated implies  $f$  is separated.
- (f) A morphism  $f : X \rightarrow Y$  is proper if and only if  $Y$  can be covered by open  $V_i$  such that  $f^{-1}(V_i) \rightarrow V_i$  is proper for each  $i$ . (That is, being proper is local on the base).

*Proof.* See Corollary II.4.8 in Harthorne. □

### 13. FEB 12: PROJECTIVE MORPHISMS, $\mathcal{O}_X$ -MODULES

**Recommended reading:** Hartshorne II.4, Hartshorne II.5, Vakil 2.2

Lastly for Hartshorne chapter 4, we quickly define projective morphisms. The idea: emulating the form of projective  $k$ -schemes, i.e. things that look like closed subschemes in  $\mathbb{P}_k^n = \text{Proj } k[x_0, \dots, x_{n+1}]$  along with their maps to  $\text{Spec } k$ .

Recall that one can define projective  $n$ -space over any ring  $A$ . You give elements of  $A$  degree 0 the variables  $x_i$  degree 1, and take  $\text{Proj } A[x_0, \dots, x_n] := \mathbb{P}_A^n$ . Note that you have  $\mathbb{P}_A^n \rightarrow \text{Spec } A$ .

Note that if  $A \rightarrow B$  is a homomorphism of rings yielding a map  $\text{Spec } B \rightarrow \text{Spec } A$ , then you can form the fiber product  $\mathbb{P}_B^n \times_{\text{Spec } A} \text{Spec } B$  and in fact:

$$\mathbb{P}_B^n \cong \mathbb{P}_A^n \times_{\text{Spec } A} \text{Spec } B$$

(This can be seen by taking charts on  $\mathbb{P}_A^n$  and realizing that the tensor product will yield the fiber product on the scheme side).

All this discussion motivates the following.

**Definition 13.1.** If  $Y$  is a scheme, we define projective  $n$ -space over  $Y$ , denoted  $\mathbb{P}_Y^n$ , to be  $\mathbb{P}_{\mathbb{Z}}^n \times_{\text{Spec } \mathbb{Z}} Y$ .

**Definition 13.2.** A morphism  $f : X \rightarrow Y$  of schemes is **projective** provided that it factors as  $i : X \rightarrow \mathbb{P}_Y^n$  followed by a projection  $\mathbb{P}_Y^n \rightarrow Y$ .

A morphism is **quasi-projective** if it factors into an open immersion  $j : X \rightarrow X'$  followed by a projective morphism  $g : X' \rightarrow Y$ .

Recall that the projective line was the answer to the affine line not being proper over  $k$ . That is, projective  $k$ -varieties have nice compactness properties over  $k$ . The most important property is the following:

**Theorem 13.3.** A projective morphism of noetherian schemes is proper. A quasiprojective morphism of noetherian schemes is of finite type and separated.



*Proof.* See Hartshorne II.4.9. □

Chow's lemma ( $X$  a scheme over  $S$  noetherian, then there is a scheme  $X'$  projective over  $S$  and surjective  $S$ -morphism  $f : X' \rightarrow X$  and open dense  $U \subseteq X$  such that  $f^{-1}(U) \cong U$ ) says, roughly, that proper morphisms can be well approximated by projective ones. You can see more on Chow's lemma through Hartshorne exercise II.4.10.

**Definition 13.4.** An abstract variety, or just variety, is an integral (so, irreducible and reduced) separated scheme of finite type over an algebraically closed field  $k$ . If it is proper over  $k$ , we also say it is complete.

Now: we investigate sheaves of modules. We've been investigating structure sheaves for a while, but we'll get a lot more mileage out of the sheaf framework if we start considering sheaves of modules over schemes (i.e. sheaves of abelian groups with an appropriate scalar multiplication structure on each open set).

**Definition 13.5.** Let  $(X, \mathcal{O}_X)$  be a ringed space. A sheaf of  $\mathcal{O}_X$ -modules (or just "an  $\mathcal{O}_X$ -module") is a sheaf of abelian groups  $\mathcal{F}$  on  $X$  such that for each  $U$ , the group  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module, and the restriction morphisms  $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$  are compatible with module structures via  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$ . That is: for  $a \in \mathcal{O}_X(U)$  and  $m \in \mathcal{F}(U)$  we have:

$$(a \cdot m)|_V = (a)|_V \cdot (m)|_V$$

A morphism of  $\mathcal{O}_X$ -modules is a morphism of sheaves such that for each open  $U$ , the map  $\mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is a morphism of  $\mathcal{O}_X(U)$ -modules.

**Remark 13.6.** We especially like quasi-coherent and coherent sheaves, which are  $\mathcal{O}_X$ -modules which play the role of analogue of modules and finitely generated modules over a ring, respectively.

**Example 13.7.** Prototypical example: Consider  $\text{Spec } k[x, y]$ , the affine plane. We can think of the  $x$ -axis in here, i.e. where  $y = 0$ . That is, we have the closed subscheme  $\text{Spec } k[x] \rightarrow \text{Spec } k[x, y]$ , induced by viewing the vanishing set as  $V((y))$  (if we viewed it as  $V((y^2))$  that would yield a different scheme structure), the vanishing of the ideal  $(y)$ . We can think of a sheaf (of abelian groups) that, on each open  $U$ , keeps track of the ideal defining the portion of the  $x$ -axis in that set. That is:

$$\mathcal{F}(\text{Spec } k[x, y]) = (y)k[x, y], \quad \mathcal{F}(D(f)) = (y)k[x, y]_f$$

Note that on each open, the ideal  $\mathcal{F}(U)$  has the structure of being an  $\mathcal{O}_X(U) = A_f$  module. We will see it is an example of a sheaf of ideals.

Some properties:

- The kernel, cokernel, and image of a morphism of  $\mathcal{O}_X$ -modules is again an  $\mathcal{O}_X$ -module (and we do mean the sheafified versions here!)
- If you have a subsheaf of an  $\mathcal{O}_X$ -module  $\mathcal{F}$ , the quotient  $\mathcal{F}/\mathcal{F}'$  is again an  $\mathcal{O}_X$ -module
- Direct sums, direct products, direct limits, inverse limits of  $\mathcal{O}_X$ -modules are  $\mathcal{O}_X$ -modules
- If  $\mathcal{F}, \mathcal{G}$  are two  $\mathcal{O}_X$ -modules we may define the group of morphisms  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  as the group of  $\mathcal{O}_X$  morphisms  $\mathcal{F} \rightarrow \mathcal{G}$ .
- In fact, we can think of this sheaf-wise: we can form a sheaf  $\mathcal{H}om(\mathcal{F}, \mathcal{G})$  via:

$$U \mapsto \text{Hom}_{\mathcal{O}_X|_U}(\mathcal{F}|_U, \mathcal{G}|_U)$$

This is also an  $\mathcal{O}_X$ -module.

- The tensor product of two modules  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  is the sheafification of the presheaf

$$U \mapsto \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$$

If the  $\mathcal{O}_X$  is understood you may just see  $\mathcal{F} \otimes \mathcal{G}$ . Note: the sheafification step is important!

- (When we get to Serre twists, we will have a nice example of why you need this sheafification!)
- (If you just want an example of the general phenomena of how taking tensor products on opens doesn't necessarily yield a sheaf: consider the constant sheaf  $\mathbb{Z}$  on a topological space  $X$  with multiple components. Form a new presheaf by taking  $U \mapsto \Gamma(U, \mathbb{Z}) \otimes_{\mathbb{Z}} \Gamma(U, \mathbb{Z})$ . You can show that this is not a sheaf.)

**Definition 13.8.** A **sheaf of ideals** on  $X$  is a sheaf of modules  $\mathcal{I}$  that is a subsheaf of  $\mathcal{O}_X$ . That is,  $\mathcal{I}(U)$  is an ideal of  $\mathcal{O}_X(U)$  on each  $U$ .

## 14. FEB 14: LOCALLY FREE SHEAVES, VECTOR BUNDLE MOTIVATION

**Recommended reading:** Hartshorne II.5, Vakil 13.1

**Definition 14.1.** An  $\mathcal{O}_X$ -module is free if it is isomorphic to the direct sum of copies of  $\mathcal{O}_X$ . It is locally free if  $X$  can be covered by open  $U$  such that  $\mathcal{F}|_U$  is a free  $\mathcal{O}_X|_U$ -module. You can then define the **rank** of a sheaf  $\mathcal{F}$  on such a set.

**Remark 14.2.** If  $X$  is connected, the rank must be constant.

**Definition 14.3.** An **invertible sheaf** is a sheaf of rank one everywhere.

**Remark 14.4.** We will see why this should be thought of as "invertible" later.

The motivation for studying locally free sheaves comes from vector bundles. For the purposes of discussing motivation: it is a little easier to consider things in the topological scenario (on the scheme side: thinking about closed points yields the same sort of picture, though the full details are explored in Hartshorne Exercise II.5.18)

A rank  $n$  vector bundle on a manifold  $M$  is a map  $\pi : B \rightarrow M$  such that each fiber  $\pi^{-1}(x)$  has the structure of an  $n$ -dimensional real vector space, and for each point  $p \in X$  we have some  $U \ni p$  such that we can trivialize the bundle:

$$\phi_U : U \times \mathbb{R}^n \rightarrow \pi^{-1}(U)$$

so that the following diagram commutes (and is an isomorphism of vector spaces over each  $x \in U$ ).

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\cong} & U \times \mathbb{R}^n \\ & \searrow \pi & \swarrow \text{proj onto 1st factor} \\ & U & \end{array}$$

A **section** over  $U$  is a map  $s : U \rightarrow B$  such that  $\pi \circ s = \text{id}$ . On a trivialization, we see that this is the data of  $U \rightarrow U \times \mathbb{R}^n$  that looks like the identity on the first part and an  $n$ -tuple of functions to  $\mathbb{R}$  on the second part. That is, it looks like an element of  $\mathcal{O}_X(U)^{\oplus n}$ . So the sheaf of sections  $\mathcal{F}$  of this vector bundle satisfies:

$$\mathcal{F}|_U \cong (\mathcal{O}_X|_U)^{\oplus n}$$

On overlaps of  $U_i, U_j$  open in  $X$ , we have:

$$\phi_{U_j}^{-1} \circ \phi_{U_i} : (U_i \cap U_j) \times \mathbb{R}^n \rightarrow (U_i \cap U_j) \times \mathbb{R}^n$$

and the map is given by some element  $T_{i,j}$  of  $\text{GL}_n(\mathcal{O}(U_i \cap U_j))$  on the second factor, i.e. they look like  $T_{i,j} : U \cap V \rightarrow \text{GL}_n(\mathbb{R})$ . These are the transition functions, and they determine the vector bundle.

Now, suppose we have the setup of a vector bundle and trivializations on an open cover  $\{U_i\}$ . Consider a section  $s \in \mathcal{F}(U_i \cap U_j)$ , which can be interpreted as  $s_i$  an  $n$ -tuple of functions by viewing  $U_i \cap U_j$  as a subset of  $U_i$ . The various expressions  $s_i$  and  $s_j$  are related by those same transition functions:

$$T_{ij}s_i = s_j$$

Conversely, if you have a locally free sheaf  $\mathcal{F}$  on  $M$  of rank  $n$ , and trivializations on neighborhoods  $U_i$  so that  $\mathcal{F}|_{U_i} \cong \mathcal{O}_{U_i}^{\oplus n}$ , we have transition functions  $T_{i,j} \in \text{GL}_n(\mathcal{O}(U_i \cap U_j))$  on the overlaps.

**That is to say:** the data of a locally free sheaf of rank  $n$  is equivalent to the data of a vector bundle of rank  $n$ . In algebraic geometry, we often like to study the sheaf of sections over the vector bundle. This framework has some nice features: for one: locally free sheaves slot into the category of coherent sheaves, which are nice to study. Two: this tends to be quicker to define than geometric vector bundles. Three: it suits the modern perspective of studying functions (sections) instead of just spaces (the bundle).

## 15. FEB 17: DIRECT IMAGE, INVERSE IMAGE, QUASICOHERENCE, COHERENCE

**Recommended reading:** Hartshorne II.5, 13.1-13.5

**Construction 15.1.** Let  $f : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of ringed spaces. If  $\mathcal{F}$  is a  $\mathcal{O}_X$ -module, then  $f_*\mathcal{F}$  is an  $f_*\mathcal{O}_X$  module. Because  $f$  yields a map  $f^\sharp : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ , we obtain an  $\mathcal{O}_Y$ -module structure on  $f_*\mathcal{F}$ . This is the **direct image** of  $\mathcal{F}$  by  $f$ .

If we have direct images, we want some way to turn  $\mathcal{O}_Y$  modules into  $\mathcal{O}_X$ -modules via the data of a morphism  $X \rightarrow Y$ . To do this, we need to dive into the inverse image functor. Namely, its important adjoint property.

Recall that for  $f : X \rightarrow Y$  a continuous map of topological spaces and  $\mathcal{G}$  a sheaf on  $Y$ , the inverse image sheaf  $f^{-1}\mathcal{G}$  is defined as the sheafification of the presheaf

$$U \subseteq X \mapsto \varinjlim_{V \supseteq f(U)} \mathcal{G}(V) := f^{-1}\mathcal{G}^{\text{pre}}$$

**Proposition 15.2** (Hartshorne Exercise II.1.18). The inverse image and direct image functors are adjoint. Namely: given a continuous map  $f : X \rightarrow Y$  of topological spaces and  $\mathcal{F}$  a sheaf on  $X$  and  $\mathcal{G}$  a sheaf on  $Y$ , we have:

$$\text{Hom}_X(f^{-1}\mathcal{G}, \mathcal{F}) = \text{Hom}_Y(\mathcal{G}, f_*\mathcal{F})$$

*Proof.* We'll give two maps between these hom sets that are inverses. In dealing with  $f^{-1}\mathcal{G}$ , we will use that inclusion of sheaves into presheaves and sheafification are adjoint functors. That is,  $\text{Hom}_{\text{Pre}_X}(\mathcal{G}, i(\mathcal{F})) \cong \text{Hom}_{\text{Shf}_X}(\mathcal{G}^+, \mathcal{F})$ .

- Suppose we have a  $\sigma : \text{Hom}_X(f^{-1}\mathcal{G}, \mathcal{F})$ . That is, we have

$$\sigma_U : f^{-1}\mathcal{G}(U) = \varinjlim_{V \supseteq f(U)} \mathcal{G}(V) \rightarrow \mathcal{F}(U)$$

Then for  $V^{\text{open}} \subseteq Y$  we can define

$$\mathcal{G}(V) \rightarrow \varinjlim_{V' \supseteq V} \mathcal{G}(V) \xrightarrow{\sigma_{f^{-1}(V)}} \mathcal{F}(f^{-1}(V)) = f_*\mathcal{F}(V)$$

This yields a map  $\mathcal{G} \rightarrow f_*\mathcal{F}$

- Suppose we have  $\tau \in \text{Hom}_Y(\mathcal{G}, f_*\mathcal{F})$ . That is, on  $V^{\text{open}} \subseteq Y$  we have

$$\tau_V : \mathcal{G}(V) \rightarrow f_*\mathcal{F}(V) = \mathcal{F}(f^{-1}(V))$$

Let  $U$  in  $X$  be open. For any  $V^{\text{open}} \supseteq f(U)$ , we have:

$$\mathcal{G}(V) \xrightarrow{\tau} \mathcal{F}(f^{-1}(V)) \xrightarrow{\text{res}_U^{f^{-1}(V)}} \mathcal{F}(U)$$

And compatibility of the  $\tau$  means that we get a map  $\varinjlim_{V \supseteq f(U)} \mathcal{G}(V) \rightarrow \mathcal{F}(U)$ , i.e. a map  $f^{-1}\mathcal{G}^{\text{pre}}(U) \rightarrow \mathcal{F}(U)$  and thus a map  $f^{-1}\mathcal{G} \rightarrow \mathcal{F}$ .

One can check that these two assignments are inverses.  $\square$

**Construction 15.3.** Let  $f : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of ringed spaces and  $\mathcal{G}$  an  $\mathcal{O}_Y$ -module. Then  $f^{-1}\mathcal{G}$  is an  $f^{-1}\mathcal{O}_Y$  module. Now, because we have a morphism  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ , we also have a map  $f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$ . So we add in the  $\mathcal{O}_X$ -module structure in the usual way: tensor product! We define:

$$f^*\mathcal{G} := f^{-1}\mathcal{G} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X$$

(by this we mean take the presheaf given by tensoring on opens, and then sheafify). This indeed has the structure of an  $\mathcal{O}_X$ -module.

**Proposition 15.4.**  $f_*, f^*$  are adjoint:

$$\text{Hom}_{\mathcal{O}_X}(f^*\mathcal{G}, \mathcal{F}) = \text{Hom}_{\mathcal{O}_Y}(\mathcal{G}, f_*\mathcal{F})$$

The next part is about a class of  $\mathcal{O}_X$ -modules we particularly like. There are two ways to do it: in practice, we just use the following. Let  $A$  be a ring and  $M$  an  $A$ -module. We have the sheaf associated to  $M$  on  $\text{Spec } A$ , denoted by  $\widetilde{M}$ . Its space of sections can be defined on distinguished opens  $D(f)$  as:

$$\Gamma(D(f), \widetilde{M}) = M_f$$

As usual, one has to worry if the restriction maps make sense, if you have  $D(g) \subseteq D(f)$ , but the details of checking that are similar to checking them for the structure sheaf of  $\text{Spec } A$  or  $\text{Proj } S$ .

Hartshorne does it as he does the construction of the structure sheaf  $\mathcal{O}_X$ : the stalks of the would-be  $\widetilde{M}$  should be  $M_{\mathfrak{p}}$ , and then the sheaf  $\widetilde{M}$ , on open sets  $U$ , look like elements  $(m_{\mathfrak{p}})_{\mathfrak{p} \in U} \in \prod_{\mathfrak{p} \in U} M_{\mathfrak{p}}$  such that the  $m_{\mathfrak{p}}$  have some compatibility conditions. See the definition on page 110 of Hartshorne for more details.

**Proposition 15.5.** Let  $A$  be a ring, and  $M$  an  $A$ -module. Then:

- (a)  $\widetilde{M}$  is an  $\mathcal{O}_X$  module.
- (b) The stalk  $(\widetilde{M})_{\mathfrak{p}}$  is isomorphic to  $M_{\mathfrak{p}}$ .

*Proof.* Proposition II.5.1 in Hartshorne. □

**Proposition 15.6.** Let  $A$  be a ring, and  $X = \operatorname{Spec} A$ . Let  $A \rightarrow B$  a ring homomorphism, and  $f : \operatorname{Spec} B \rightarrow \operatorname{Spec} A$  be the induced morphism on ringed spaces. Then:

- (a) The map  $M \mapsto \widetilde{M}$  is an exact and fully faithful functor from the category of  $A$ -modules to the category of  $\mathcal{O}_X$ -modules.
- (b) If  $M, N$  are two  $A$ -modules, then  $\widetilde{M \otimes_A N} \cong \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N}$ .
- (c) Given a family  $\{M_i\}$  of  $A$ -modules, we have  $\widetilde{\bigoplus M_i} \cong \bigoplus \widetilde{M_i}$ .
- (d) For any  $B$ -module  $N$ , we have that  $f_*(\widetilde{N}) = \widetilde{{}_A N}$ , where  ${}_A N$  means  $N$  considered as an  $A$ -module.
- (e) For an  $A$ -module  $M$ , we have  $f^*(\widetilde{M}) \cong \widetilde{M \otimes_A B}$ .

*Proof.* Many of these are straightforward formality exercises. For (a): note that localization is exact and exactness of sequences sheaves can be tested on stalks, so the functor is exact. To show  $\widetilde{\bullet} : \operatorname{Hom}_A(M, N) \rightarrow \operatorname{Hom}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N})$  is an isomorphism, note that by taking global sections you get a map  $\operatorname{Hom}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N}) \rightarrow \operatorname{Hom}_A(M, N)$ , which will be the inverse. □

**Definition 15.7.** Let  $(X, \mathcal{O}_X)$  be a scheme. An  $\mathcal{O}_X$ -module  $\mathcal{F}$  is **quasi-coherent** if  $X$  can be covered by open affine subsets  $U_i = \operatorname{Spec} A_i$  such that for each piece of the cover, there is an  $A_i$ -module  $M_i$  such that  $\mathcal{F}|_{U_i} \cong \widetilde{M_i}$ . We say that  $\mathcal{F}$  is **coherent** if each  $M_i$  can be taken to be a finitely generated  $A_i$ -module.

**Remark 15.8.** One generally only studies coherent sheaves on noetherian schemes, as their behavior can be quite bad on non-noetherian schemes. Namely, we want the following property: finitely generated modules  $M$  over noetherian rings are noetherian modules, meaning any submodule of  $M$  is finitely generated. This is a property that you will want all the time when you are trying to study coherent sheaves.

**Remark 15.9.** Note that locally free  $\mathcal{O}_X$ -modules of finite rank are coherent. This is nice, because while the category of locally free sheaves on  $X$  is not abelian, we will see that the slight enlargement to the category of coherent sheaves is abelian.

**Example 15.10.** Here is an example of how finitely generated modules over a ring  $R$  can have non-finitely generated submodules if  $R$  is not noetherian. Note that  $R = k[x_1, x_2, \dots]$  is a finitely generated  $R$ -module, but  $(x_1, x_2, \dots)$  is not a finitely generated  $R$ -module.

**Example 15.11** (An  $\mathcal{O}_X$ -module that is not qcoh). Consider  $\operatorname{Spec} k[t]$ , and let  $\mathcal{F}$  be the skyscraper sheaf supported at the origin  $(t)$  with group  $k(t)$ . This has an  $\mathcal{O}_{\operatorname{Spec} k[t]}$ -module structure, but it is not quasicoherent.

**Proposition 15.12.** Let  $X$  be a scheme. An  $\mathcal{O}_X$ -module  $\mathcal{F}$  is quasi-coherent if and only if for *every* open affine  $U = \operatorname{Spec} A$  of  $X$ , there is an  $A$ -module  $M$  such that  $\mathcal{F}|_U \cong \widetilde{M}$ . If  $X$  is noetherian, the analogous statement holds for  $\mathcal{F}$  quasi-coherent, with the extra condition that the  $M$  are finitely generated over their respective  $A$ .

*Proof.* See Proposition II.5.4 in Hartshorne. □

**Corollary 15.13.** Let  $A$  be a ring and  $X = \operatorname{Spec} A$ . The functor  $M \mapsto \widetilde{M}$  gives an equivalence of categories between  $A$  modules and the category of quasi-coherent  $\mathcal{O}_X$ -modules. Its inverse is the functor  $\mathcal{F} \mapsto \Gamma(X, \mathcal{F})$ .

If  $A$  is noetherian,  $M \mapsto \widetilde{M}$  gives an equivalence of categories between the category of finitely generated  $A$ -modules and the category of coherent  $\mathcal{O}_X$ -modules.

*Proof.* The prior proposition makes sure that all quasicoherent  $\mathcal{F}$  look like  $\Gamma(\widetilde{X}, \mathcal{F})$  on  $X$  and so  $\mathcal{F} \mapsto \Gamma(X, \mathcal{F})$  is an inverse. □

## 16. FEB 19: MORE ON QUASICOHERENT, COHERENT SHEAVES

**Recommended reading:** Hartshorne II.5, Vakil 13.4-13.5, 14.1

**Proposition 16.1.** Let  $X$  be an affine scheme, and  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  an exact sequence of  $\mathcal{O}_X$ -modules, and assume that  $\mathcal{F}'$  is quasicoherent. Then

$$0 \rightarrow \Gamma(X, \mathcal{F}') \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{F}'') \rightarrow 0$$

*Proof.* We showed  $\Gamma$  is left-exact, so we just need to show the surjectivity of  $\Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{F}'')$ . This uses that quasicoherent sheaves have nice lifting properties: emulating the relationship between  $M, M_f$ , a section  $s$  of a quasicoherent sheaf on  $D(f)$  has the property that you can find some  $n$  such that  $f^n s$  is a global section. Full details are in Proposition II.5.6 of Hartshorne.  $\square$

**Proposition 16.2.** Let  $X$  be a scheme. The kernel, cokernel, and image of any morphism of quasicoherent sheaves are quasicoherent. Any extension of quasicoherent sheaves is quasicoherent. If  $X$  is noetherian, the same is true for coherent sheaves.

*Proof.* All these criteria are local, so we may assume  $X$  is affine. The fact that kernels, cokernels, images are quasicoherent follows from  $M \mapsto \widetilde{M}$  being fully faithful to qcoh sheaves (or coh for  $X$  Noetherian).

The nontrivial part is showing extensions play nicely. Take global sections to get:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \widetilde{M}' & \longrightarrow & \widetilde{M} & \longrightarrow & \widetilde{M}'' \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{F}' & \longrightarrow & \mathcal{F} & \longrightarrow & \mathcal{F}'' \longrightarrow 0 \end{array}$$

the two outer left and two outer right columns are isomorphisms since  $\mathcal{F}', \mathcal{F}''$  are quasicoherent. So the 5-lemma says the middle one is quasicoherent. Similarly for coherent ( $M', M''$  finitely generated implies  $M$  finitely generated).  $\square$

**Proposition 16.3.** Let  $f : X \rightarrow Y$  be a morphism of schemes.

- (a) If  $\mathcal{G}$  is a quasicoherent  $\mathcal{O}_Y$ -module then  $f^*\mathcal{G}$  is a quasicoherent  $\mathcal{O}_X$  module
- (b) If  $X, Y$  noetherian and  $\mathcal{G}$  coherent, then  $f^*\mathcal{G}$  is coherent
- (c) If either  $X$  noetherian **or**  $f$  quasi-compact and separated, then  $\mathcal{F}$  quasicoherent on  $X$  implies that  $f_*\mathcal{F}$  is quasicoherent on  $Y$ .

*Proof.* Note that (a), (b) are local (on both  $X, Y$ ) and so we can reduce to the case of  $\text{Spec } A \rightarrow \text{Spec } B$ . Then it follows from Proposition 15.6. For (c), the property is only local on  $Y$ , so you can only assume  $Y$  is affine. See Hartshorne Proposition II.5.8 for the full proof.  $\square$

**Remark 16.4.** If  $X, Y$  are noetherian, it is not necessarily true that  $f_*$  of a coherent sheaf is coherent. It is true if  $f$  is finite or projective. Or, most generally, proper.

**Definition 16.5.** Let  $Y$  be a closed subscheme of a scheme  $X$ . Let  $i : Y \rightarrow X$  be the inclusion morphism. The **ideal sheaf of  $Y$** , denoted  $\mathcal{I}$ , is the kernel of the morphism  $i^\# : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Y$ .

**Remark 16.6.** Consider the map  $\text{Spec } A/I \rightarrow \text{Spec } A$  induced by  $A \rightarrow I$ . By Proposition 15.6, we get that  $i_*\mathcal{O}_{\text{Spec } A/I}$  is  $\widetilde{A/I}$  considered as an  $A$ -module. Then our map  $i^\# : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Y$  is the map  $\widetilde{A} \rightarrow \widetilde{A/I}$ . Then the map  $i^\#$  has kernel  $\widetilde{I}$ . So we see in this simple case that the construction does line up with what it should be.

**Proposition 16.7.** Let  $X$  be a scheme. There is a one-to-one correspondence between closed subschemes  $Y$  of  $X$  and quasicoherent ideal sheaves.

**Corollary 16.8.** If  $X = \text{Spec } A$  is an affine scheme, there is a one-to-one correspondence between ideals  $\mathfrak{a}$  in  $A$  and closed subschemes  $Y$  of  $X$ , given by  $\mathfrak{a} \mapsto \text{image of } \text{Spec } A/\mathfrak{a} \text{ in } X$ . Notably, every closed subscheme of an affine scheme is affine.

*Proof.* Follows from the equivalence of categories of  $A$ -modules and quasicoherent sheaves on  $\text{Spec } A$ .  $\square$

Now that we've gotten a good sense of quasicoherent and coherent sheaves on affine things, let's study them on something a little more complicated: projective space! And projective varieties. We will define an important class of modules now.

**Construction 16.9.** For  $S$  a graded ring, we have a notion of a graded module. A graded  $S$ -module  $M$  is an  $S$ -module  $M$  with a decomposition  $M = \bigoplus_{d \in \mathbb{Z}} M_d$  such that  $S_d \cdot M_e \subseteq M_{d+e}$ . For any graded  $S$ -module  $M$  and  $n \in \mathbb{Z}$  we have the *twisted* modules  $M(n)$  where

$$M(n)_d = M_{d+n}$$

That is,  $M(n)$  is  $M$  but with the degree assignments shifted.  $M(n)$  is also a graded  $S$ -module.

**Construction 16.10.** Let  $S$  be a graded ring and  $M$  a graded  $S$ -module. Then we can construct an  $\mathcal{O}_{\text{Proj } S}$  module from it, which we will denote  $\widetilde{M}$ . It is defined on distinguished opens  $D(f)$  with  $f \in S_+$  as follows:

$$\widetilde{M}(D(f)) = (M_f)_0$$

That is, it assigns to  $D(f)$  the degree zero elements of  $(M_f)$ .

**Proposition 16.11.** Let  $S$  be a graded ring and  $M$  a graded  $S$ -module.

- (a) For any  $\mathfrak{p} \in \text{Proj } S$ ,  $(\widetilde{M})_{\mathfrak{p}} = M_{(\mathfrak{p})}$ , the degree zero elements of  $M$  localized at  $\mathfrak{p}$ .
- (b) For any homogeneous  $f_+$ , recall that  $D(f)$  is isomorphic to  $\text{Spec } (S_f)_0$  as schemes. With this in mind, we have that as  $\mathcal{O}_{D(f)} = \mathcal{O}_{\text{Spec } (S_f)_0}$  modules that

$$\widetilde{M}|_{D(f)} \cong ((\widetilde{M_f})_0) = [\widetilde{M_{(f)}}]$$

- (c)  $\widetilde{M}$  is a quasicoherent sheaf. If  $S$  is noetherian and  $M$  is finitely generated, then  $\widetilde{M}$  is coherent.

**Definition 16.12.** Let  $S$  be a graded ring and  $X = \text{Proj } S$ . For any  $n \in \mathbb{Z}$  we have

$$\mathcal{O}_X(n) := \widetilde{S(n)}$$

$\mathcal{O}_X(1)$  is called *Serre's twisting sheaf*. You may see the  $\mathcal{O}_X(n)$  referred to as the Serre twists.

**Definition 16.13.** For  $X = \text{Proj } S$  and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module, we set

$$\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$$

**Proposition 16.14.** Let  $S$  be a graded ring and  $X = \text{Proj } S$ . Assume that  $S$  is generated by  $S_1$  as an  $S_0$ -algebra.

- (a)  $\mathcal{O}_X(n)$  is locally free
- (b) For any graded  $S$ -module  $M$ , we have that

$$\widetilde{M}(n) \cong \widetilde{M(n)}$$

that is, twisting and applying the  $\sim$  construction can be done in either order. In particular,  $\mathcal{O}_X(n) \otimes \mathcal{O}_X(m) \cong \mathcal{O}_X(n+m)$ .

*Proof.* (a) It is most instructive to do this for  $\mathbb{P}_k^n = \text{Proj } k[x_0, \dots, x_n]$ .  $\mathbb{P}^n$  is covered by affine opens  $D(x_i) \cong \text{Spec } k[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}] \cong \mathbb{A}^n$ . By the previous proposition, we have that

$$\mathcal{O}_{\mathbb{P}^n}(m)|_{D(x_i)} \cong ((k[x_0, \dots, x_n](m))_{x_i})_0 = (k[x_0, \dots, x_n]_{x_i})_m$$

Because quasicoherent modules over affine schemes  $\text{Spec } A$  are equivalent to modules over  $A$ , we just need to give a module isomorphism

$$(k[x_0, \dots, x_n]_{x_i})_0 \xrightarrow{\cong} (k[x_0, \dots, x_n]_{x_i})_m$$

Multiplication by  $x_i^m$  yields such an isomorphism. Note that the map and its inverse both make sense because  $x_i$  is invertible. Since  $\mathbb{P}_k^n$  is covered by the  $D(x_i)$ , this shows that  $\mathcal{O}_{\mathbb{P}^n}(m)$  is locally free.

In the general scenario, one picks  $f \in S_1$  and needs to show that  $(S_f)_0 \cong (S_f)_m$  are isomorphic as  $S_f$ -modules. Again, multiplication by  $f^m$  works. Since  $S$  is generated by  $S_1$  as an  $S_0$ -algebra, the  $D(f)$  cover  $S_0$ .

- (b) Follows from  $\widetilde{M \otimes_S N} \cong \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N}$ . Namely we can check this on affines by  $(M \otimes_S N)_{(f)} = M_{(f)} \otimes_{S_{(f)}} N_{(f)}$ . Note that  $\deg f = 1$  is crucial: things can get messed up if  $\deg f > 1$  (try working out an example!)

□

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