

# CDW in ZrTe5

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The coupling term for electrons and LA phonons is

$$\begin{aligned}
 \hat{H}_{e-\text{ph},L} &= \sum_{\mathbf{k}} g_L V_{q_z} q_z \left[ \hat{X}_{L,q_z} \hat{C}_{\mathbf{k}+\frac{q_z}{2}\mathbf{e}_z}^\dagger \hat{C}_{\mathbf{k}-\frac{q_z}{2}\mathbf{e}_z} + h.c. \right] \\
 &= \sum_{\mathbf{k}} \left[ g_L V_{q_z} q_z \sqrt{\frac{N_{\text{ion}}\hbar}{2M\omega_{q_z}}} \right] \left[ (\hat{b}_{L,q_z} + \hat{b}_{L,-q_z}^\dagger) \hat{C}_{\mathbf{k}+\frac{q_z}{2}\mathbf{e}_z}^\dagger \hat{C}_{\mathbf{k}-\frac{q_z}{2}\mathbf{e}_z} + h.c. \right] \\
 &\equiv \sum_{\mathbf{k}} \alpha_{L,q_z} \left[ (\hat{b}_{L,q_z} + \hat{b}_{L,-q_z}^\dagger) \hat{C}_{\mathbf{k}+\frac{q_z}{2}\mathbf{e}_z}^\dagger \hat{C}_{\mathbf{k}-\frac{q_z}{2}\mathbf{e}_z} + h.c. \right]
 \end{aligned} \tag{1}$$

where we used  $\hat{X}_L(q_z) = (\hbar/2\rho\omega_{q_z})^{\frac{1}{2}}(\hat{b}_{q_z} + \hat{b}_{-q_z}^\dagger)$ , and

$$\alpha_{L,q} = \sqrt{\frac{N_{\text{ion}}\hbar}{2M\omega_{L,q}}} q V_q \tag{2}$$

The mean-field Hamiltonian reads,

$$\hat{H}_{e-\text{ph},L} = \sum_{\mathbf{k}} |\Delta| (e^{i\phi} \hat{d}_{\mathbf{k}+\mathbf{k}_F\mathbf{e}_z} \hat{\mathbf{d}}_{\mathbf{k}-\mathbf{k}_F\mathbf{e}_z} + h.c.) \tag{3}$$

where  $\Delta = |\Delta| e^{-i\phi} = \alpha_{2k_F} (\langle \hat{b}_{2k_F} \rangle + \langle \hat{b}_{-2k_F}^\dagger \rangle) \rightarrow 2\alpha_{2k_F} \langle \hat{b}_{2k_F} \rangle$  is the order parameter.

Then we will obtain the mean-field Hamiltonian for phonon,

$$\begin{aligned}
 \hat{H}_{\text{ph}} &= \sum_{q_z} \hbar\omega_{L,q_z} \hat{b}_{q_z}^\dagger \hat{b}_{q_z} \longrightarrow 2\hbar\omega_{L,2k_F} \langle \hat{b}_{2k_F} \rangle^2 \\
 &= \frac{\hbar\omega_{L,2k_F} |\Delta_L|^2}{2|\alpha_{2k_F}|^2}
 \end{aligned} \tag{4}$$

## 1 Gap Equation and Ground-state Energy

By standard RPA approach, effective dielectric constant at zero temperature for zeroth landau band  $E_{k_z}^{(0+)}$  in long wavelength limit is

$$\begin{aligned}
 &\kappa^2(T=0) \\
 &= \lim_{\omega_n \rightarrow 0, q \rightarrow 0} \frac{-e^2}{2\pi\epsilon l_B^2} \frac{1}{\beta} \sum_m \int_{-\infty}^{+\infty} \frac{dk_z}{2\pi} \frac{1}{[i\hbar\omega_m - (E_{k_z}^{(0+)} - E_F)]} \frac{1}{[i\hbar(\omega_m + \omega_n) - (E_{k_z}^{(0+)} - E_F)]} \\
 &\approx \lim_{\omega_n \rightarrow 0, q \rightarrow 0} \frac{-e^2}{2\pi\epsilon l_B^2} \int_{-\infty}^{+\infty} \frac{dk_z}{2\pi} \frac{\partial f(E_{k_z}^{(0+)})}{\partial E_{k_z}^{(0+)}} \\
 &= \frac{e^2}{2\pi\epsilon l_B^2} \int_0^1 \frac{1}{2\pi\hbar v_F} df(E_{k_z}^{(0+)}) \\
 &= \frac{e^3 B}{4\pi^2 \epsilon \hbar^2 v_F}
 \end{aligned} \tag{5}$$

and the electron-LA phonon coupling is followed:

$$|\alpha_{L,q}| = \sqrt{\frac{N_{\text{ion}}\hbar}{2M\omega_{L,q}}} q \frac{Ze^2}{\epsilon(q^2 + \kappa^2)} . \quad (6)$$

Mean field Hamiltonian of LA phonon is somehow simple,

$$\begin{aligned} H_{\text{ph},L} &= \sum_{\mathbf{q}} \hbar\omega_{L,\mathbf{q}} \langle \hat{b}_{L,\mathbf{q}}^\dagger \rangle \langle \hat{b}_{L,\mathbf{q}} \rangle \\ &= 2\hbar\omega_{L,2k_F} |\langle \hat{b}_{L,2k_F} \rangle|^2 \\ &= \frac{\hbar v_{s,L}}{2|\alpha_{L,2k_F}|^2} |\Delta_{L,2k_F}|^2 \\ &\equiv \frac{|\Delta_{L,2k_F}|^2}{g_{L,2k_F}} \end{aligned} \quad (7)$$

where coefficient for electron-LA phonon coupling is

$$g_{L,2k_F} = \frac{2|\alpha_{L,2k_F}|^2}{\hbar v_{s,L}} = \frac{N_{\text{ion}} Z^2 e^4}{M v_{s,L}^2 \epsilon^2} \frac{q}{(q^2 + \kappa^2)^2} \Big|_{q=2k_F} , \quad (8)$$

then Hamiltonian valid in  $[-k_F, k_F]$  and energy for ground-state reads

$$\begin{aligned} \bar{H}_g(k_z + k_F) &= \begin{pmatrix} E_{k_z+k_F}^{(0+)} & |\Delta_{L,2k_F}| e^{i\phi} \\ \dagger & E_{k_z-k_F}^{(0+)} \end{pmatrix} + \frac{|\Delta_{L,2k_F}|^2}{g_{L,2k_F}} , \\ E_g(|\Delta_{L,2k_F}|) &= \int_{-k_F}^{+k_F} \Theta(E_F - E_{g,k_z}) E_{g,k_z}^{(0+)} dk_z + \frac{|\Delta_{L,2k_F}|^2}{g_{L,2k_F}} . \end{aligned} \quad (9)$$

The gap equation is straightforwardly obtained by self-consistent condition:

$$\frac{\partial E_g}{\partial |\Delta_{L,2k_F}|} = 0 \Rightarrow |\Delta_{L,2k_F}| = \left| (\hbar v_F k_F) \text{csch} \left( \frac{4\pi^2 \hbar^2 v_F}{g_{2k_F} e B} \right) \right| . \quad (10)$$

Note that  $k_F$  and  $v_F$  are also  $B$ -dependent:

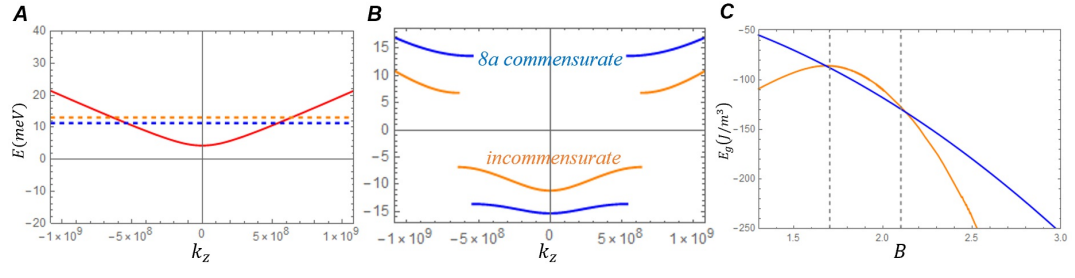
$$\begin{aligned} k_F &= \frac{2\pi^2 \hbar n_0}{e B} , \\ v_F &= \frac{\partial E_{k_z}^{(0+)}}{\partial k_z} \Big|_{k_z=k_F} . \end{aligned}$$

## 2 Model Parameter and Reproduction

Dirac	$v_x$	$v_y$	$v_z$	$M_0$	$M_1$	$M_z$
Material	$\varepsilon_r$	$n_0$	$a$	$N_{\text{ion}}$	$M$	$Z$
Constant	$e$	$\epsilon_0$	$\hbar$			

**Table 1.** Model parameters of ZrTe5.

The key results are reproduced as shown in Fig.(1).



**Figure 1.** Incommensurate and 8a-commensurate CDWs in ZrTe<sub>5</sub> when  $B = 1.8T$ .