

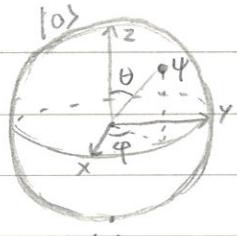
# QC & QI Notes

6/23/2025 (pp. 1-32)

## \* Introduction

- Superposition:  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$

- Rewriting & w/ Bloch Sphere:



$$|\psi\rangle = \cos \frac{\theta}{2} |0\rangle + e^{i\varphi} \sin \frac{\theta}{2} |1\rangle$$

\* Restricted to 1 qubit

|1>

- Multiple qubits

↳ e.g.  $|\psi\rangle = \alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle$

↳ After measuring 0 on 1st qubit:

$$\hookrightarrow |\psi'\rangle = \frac{\alpha_{00}|00\rangle + \alpha_{01}|01\rangle}{\sqrt{|\alpha_{00}|^2 + |\alpha_{01}|^2}} \quad (\text{post-measurement state, normalized})$$

※ Bell state/EPR Pair:  $\frac{|00\rangle + |11\rangle}{\sqrt{2}}$

## \* Quantum Computation

- Qubit gates are unitary matrices ( $U^\dagger U = I$ )

↳ Preserves norm of probability

↳  $U^\dagger$  (adjoint) = complex conjugate + transpose of  $U$

↳ only constraint on quantum gates

※ An arbitrary  $2 \times 2$  unitary matrix can be decomposed as

$$U = e^{i\alpha} \begin{bmatrix} e^{-i\beta/2} & 0 \\ 0 & e^{i\beta/2} \end{bmatrix} \begin{bmatrix} \cos \frac{\gamma}{2} & -\sin \frac{\gamma}{2} \\ \sin \frac{\gamma}{2} & \cos \frac{\gamma}{2} \end{bmatrix} \begin{bmatrix} e^{-i\delta/2} & 0 \\ 0 & e^{i\delta/2} \end{bmatrix}$$

※ Some important gates

↳ NOT(x) gate:  $\alpha|0\rangle + \beta|1\rangle \xrightarrow{[X]} \beta|0\rangle + \alpha|1\rangle$

$$X \equiv \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

↳ Z gate:  $\alpha|0\rangle + \beta|1\rangle \xrightarrow{[Z]} \alpha|0\rangle - \beta|1\rangle$

$$Z \equiv \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

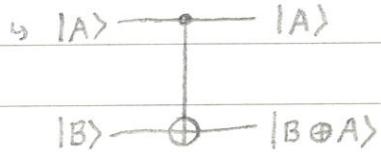
↳ Hadamard gate:  $\alpha|0\rangle + \beta|1\rangle \xrightarrow{[H]} \alpha \frac{|0\rangle + |1\rangle}{\sqrt{2}} + \beta \frac{|0\rangle - |1\rangle}{\sqrt{2}}$

$$H \equiv \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

- Controlled-NOT (CNOT) gate

↳ Control qubit + target qubit input

↳ If control=0, no change to target, else flip.



$$U_{CN} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

⊗ Quantum gates are always reversible, since they're unitary.

- Controlled-V gate

↳ If control=0, no change to targets, else flip



- Measurement

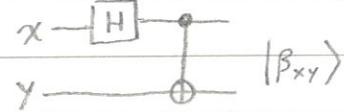
↳ Converts qubit  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$  to classical bit M



- Bell States

↳ In	out
$ 00\rangle$	$( 00\rangle +  11\rangle)/\sqrt{2} =  \beta_{00}\rangle$
$ 01\rangle$	$( 01\rangle +  10\rangle)/\sqrt{2} =  \beta_{01}\rangle$
$ 10\rangle$	$( 00\rangle -  11\rangle)/\sqrt{2} =  \beta_{10}\rangle$
$ 11\rangle$	$( 01\rangle -  10\rangle)/\sqrt{2} =  \beta_{11}\rangle$

$\brace{ \text{Bell States} }$

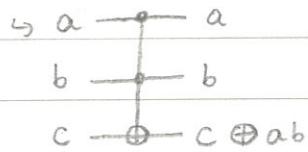


↳  $|\beta_{xy}\rangle \equiv \frac{|0,y\rangle + (-1)^x|1,\bar{y}\rangle}{\sqrt{2}}$ ,  $\bar{y}$ =negation of  $y$

• Toffoli gate

↳ Used to simulate classical logic circuit using quantum circuit

↳ 2 control bits, 1 target (flipped if both controls are 1)

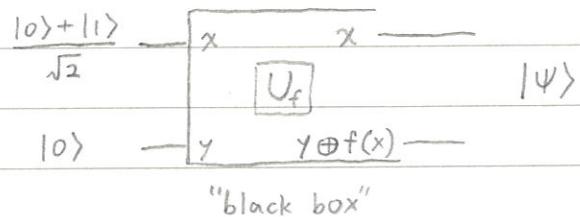


• Quantum Parallelism

↳ Allows quantum computers to evaluate  $f(x)$  for many values of

$x$  simultaneously.

↳ e.g.



↳ Results in state  $\frac{|0, f(0)\rangle + |1, f(1)\rangle}{\sqrt{2}}$ , contains information about both  $f(0)$  and  $f(1)$ .

↳ Note: single  $f(x)$  circuit used in  $U_f$

⊗ Hadamard transform ( $H^{\otimes n}$ )

↳ Performed on  $n$  qubits in  $|0\rangle$  state:  $\frac{1}{\sqrt{2^n}} \sum_x |x\rangle$

↳ Just  $H$  gates in parallel on  $n$  qubits

⊗ w/ Hadamard transform:

1.  $n$ -bit input  $x$  and 1-bit output  $f(x)$

2. Prep  $n+1$  qubit state  $|0\rangle^{\otimes n} |0\rangle$

3. Apply Hadamard transform to 1st  $n$  qubits, then  $U_f$

∴ Result:  $\frac{1}{\sqrt{2^n}} \sum_x |x\rangle |f(x)\rangle$

(Not too useful yet b.c. the probability in measuring result)

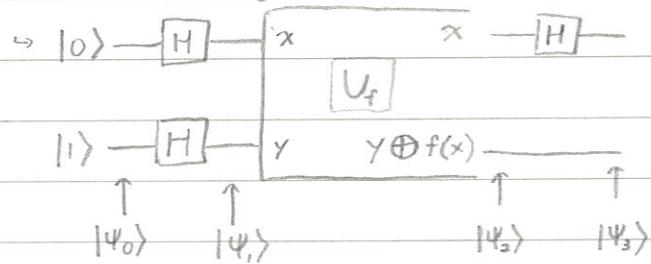
# QC & QI

6/24/2025 (pp. 32-59)

## \*Quantum Algorithms

### • Deutsch's Algorithm

↳ Prepare 1st qubit as  $(|0\rangle + |1\rangle)/\sqrt{2}$  and 2nd as  $(|0\rangle - |1\rangle)/\sqrt{2}$ .



$$\hookrightarrow |\Psi_1\rangle = \left[ \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right] \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right]$$

$$\hookrightarrow |\Psi_2\rangle = \begin{cases} \pm \left[ \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right] \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] & \text{if } f(0) = f(1) \\ \pm \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] & \text{if } f(0) \neq f(1) \end{cases}$$

$$\hookrightarrow |\Psi_3\rangle = \begin{cases} \pm |0\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] & \text{if } f(0) = f(1) \\ \pm |1\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] & \text{if } f(0) \neq f(1) \end{cases}$$

$$\therefore |\Psi_3\rangle = \pm |f(0) \oplus f(1)\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] \quad \leftarrow f(0) \oplus f(1) = 0 \text{ if } f(0) = f(1) \quad | \text{ otherwise}$$

↳ Ability to determine global property  $f(0) \oplus f(1)$  of  $f(x)$  w/ one evaluation

### • Deutsch-Jozsa Algorithm

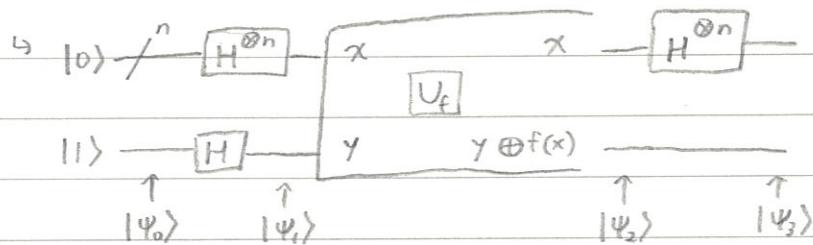
↳ Deutsch's problem: Alice selects number  $x$  from 0 to  $2^n - 1$

Bob applies  $f(x)$  and replies 0 or 1

↳ How many queries to determine if  $f(x)$  is constant/balanced?

↳ Classically, need  $2^n/2 + 1$  queries

↳ Prepare  $|\Psi_0\rangle = |0\rangle^{\otimes n} |1\rangle$  of  $n$  qubits in  $|0\rangle$  and one  $|1\rangle$



$$\hookrightarrow |\Psi_1\rangle = \sum_{x \in \{0,1\}^n} \frac{|x\rangle}{\sqrt{2^n}} \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] \quad (\text{superpos. of all values})$$

$$\hookrightarrow |\Psi_2\rangle = \sum_x \frac{(-1)^{f(x)}}{\sqrt{2^n}} |x\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right]$$

↳ Hadamard transform on state  $|x\rangle$ :  $H^{\otimes n}|x\rangle = \frac{\sum_z (-1)^{xz} |z\rangle}{\sqrt{2^n}}$

$$\hookrightarrow \text{So } |\Psi_3\rangle = \sum_z \sum_x \frac{(-1)^{xz + f(x)}}{2^n} |z\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right]$$

↳ If Alice measures all  $0 \rightarrow f(x)$  is const, else balanced

### • Types of quantum algorithms (broadly speaking)

#### 1. Algorithms based on quantum Fourier transform

↳ Discrete FT:  $y_k = \sum_{j=0}^{N-1} e^{2\pi i j k / N} x_j$ , set  $\{x_i\} \rightarrow \{y_i\}$

↳ QFT:  $|j\rangle \rightarrow \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} e^{2\pi i j k / 2^n} |k\rangle$ , acts on  $|j\rangle$  for  $0 \leq j \leq 2^n - 1$

#### 2. Quantum Search Algorithm

↳ Given search space of size  $N$ , how fast can we find element satisfying a property

#### 3. Quantum Simulation

↳ Simulating natural quantum-mechanical systems

# QC & QI

6/25/2025 (pp. 60-97)

\* Introduction to QM

• Skimmed

• The Pauli Matrices

$$\sigma_0 \equiv I \equiv \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\sigma_1 \equiv X \equiv \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\sigma_2 \equiv Y \equiv \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

$$\sigma_3 \equiv Z \equiv \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

• Hilbert Space  $\leftrightarrow$  inner product space

↳ In finite-dimensional complex vector spaces

• Completeness relation:  $\sum_i |i\rangle\langle i| = I$

↳ For orthonormal basis

• Cauchy-Schwarz inequality:  $|\langle v|w\rangle|^2 \leq \langle v|v\rangle\langle w|w\rangle$

↳ For any vectors  $|v\rangle$  and  $|w\rangle$

• A normal matrix is Hermitian iff. it has real eigenvalues

↳ A is normal if  $AA^\dagger = A^\dagger A$

↳ Spectral decomp: A is normal iff. it's diagonalizable

• A is positive if  $\langle v|A|v\rangle \geq 0$

• A is positive definite if  $\langle v|A|v\rangle > 0$

• Commutator:  $[A, B] \equiv AB - BA$

• Anticommutator:  $\{A, B\} \equiv AB + BA$

↳ For Pauli matrices,  $[\sigma_j, \sigma_k] = 2i \sum_{l=1}^3 \epsilon_{jkl} \sigma_l$

↳  $\epsilon_{jkl} = 0$  except  $\epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1$

and  $\epsilon_{321} = \epsilon_{213} = \epsilon_{132} = -1$

## \* Postulates of Quantum Mechanics

1. Associated to any isolated physical system is a Hilbert space called the state space of system

↳ Hilbert space = complex vector space w/ inner product

↳ System described completely by state vector: unit vector in that state space

2. Evolution of closed quantum system is described by unitary operator

$$|\Psi'\rangle = U|\Psi\rangle$$

For continuous time; the evolution is described by the Schrödinger equation:

$$i\hbar \frac{d}{dt} |\Psi\rangle = H|\Psi\rangle$$

↳ H is a Hermitian operator known as the Hamiltonian of the system.

3. Quantum measurement described by collection  $\{M_m\}$  of measurement operators, which act on state space.

↳ Probability to measure m:  $p(m) = \langle \Psi | M_m^+ M_m | \Psi \rangle$

↳ State of system after measurement:  $\frac{M_m |\Psi\rangle}{\sqrt{\langle \Psi | M_m^+ M_m | \Psi \rangle}}$

↳ Satisfy Completeness relation  $\sum_m M_m^+ M_m = I$

Since probabilities add to 1.

4. State Space of Composite physical system is the tensor product of the state spaces of component physical systems

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## \*The Density Operator

- Let there be a quantum system in one of a number of states

$|\Psi_i\rangle$ , w/ probabilities  $p_i$

↳ Ensemble of pure states:  $\{p_i, |\Psi_i\rangle\}$

↳ Density operator:  $\rho \equiv \sum_i p_i |\Psi_i\rangle \langle \Psi_i|$

- Time evolution of closed quantum system

↳ If initial state  $|\Psi_i\rangle$  w/ prob.  $p_i \rightarrow U|\Psi_i\rangle$  w/ prob  $p_i$

$$\rho = \sum_i p_i |\Psi_i\rangle \langle \Psi_i| \xrightarrow{U} \sum_i p_i U |\Psi_i\rangle \langle \Psi_i| U^\dagger = \boxed{U \rho U^\dagger}$$

(evolution of density operator)

- Performing measurement  $M_m$

↳ If initial state was  $|\Psi_i\rangle$ , prob. of getting  $m$  is

$$p(m|i) = \langle \Psi_i | M_m^+ M_m | \Psi_i \rangle = \boxed{\text{tr}(M_m^+ M_m |\Psi_i\rangle \langle \Psi_i|)}$$

↳ Prob. of obtaining result  $m$ :  $\boxed{\text{tr}(M_m^+ M_m \rho)}$

↳ After measurement, density operator is

$$\rho_m = \frac{M_m \rho M_m^+}{\text{tr}(M_m^+ M_m \rho)}$$

- A quantum system whose state  $|\Psi\rangle$  is known exactly is a

pure state, else mixed state

↳ Pure state: density operator  $\rho = |\Psi\rangle \langle \Psi|$ ,  $\text{tr}(\rho^2) = 1$

↳ Mixed state: mix of pure states in ensemble for  $\rho$ ,  $\text{tr}(\rho^2) < 1$

- An operator  $\rho$  is the density operator associated to ensemble

$\{p_i, |\Psi_i\rangle\}$  iff:

1. Trace condition:  $\rho$  has trace 1

2. Positivity condition:  $\rho$  is a positive operator

- Say that  $|\tilde{\Psi}_i\rangle$  generates operator  $\rho \equiv \sum_i |\tilde{\Psi}_i\rangle\langle\tilde{\Psi}_i|$

$$\hookrightarrow |\tilde{\Psi}_i\rangle = \sqrt{p_i} |\Psi_i\rangle$$

- The sets  $|\tilde{\Psi}_i\rangle$  and  $|\tilde{\Phi}_j\rangle$  generate same density matrix iff

$$|\tilde{\Psi}_i\rangle = \sum_j u_{ij} |\tilde{\Phi}_j\rangle$$

where  $U_{ij}$  is unitary matrix of complex numbers

$\hookrightarrow$  Make  $|\tilde{\Psi}_i\rangle$  and  $|\tilde{\Phi}_j\rangle$  have same # of elements by padding w/ 0's

- Reduced density operator

$\hookrightarrow$  Describes subsystems of a composite quantum system

$\hookrightarrow$  Let there be 2 systems A, B, described by  $\rho^{AB}$

$\hookrightarrow$  Reduced density operator for A is  $\rho^A \equiv \text{tr}_B(\rho^{AB})$

$\hookrightarrow \text{tr}_B(|a_1\rangle\langle a_2| \otimes |b_1\rangle\langle b_2|) \equiv |a_1\rangle\langle a_2| \text{tr}(|b_1\rangle\langle b_2|)$

$\hookrightarrow$  Partial trace over B

$\hookrightarrow |a_1\rangle, |a_2\rangle$  are state vectors in A;  $|b_1\rangle, |b_2\rangle$  in B

$\hookrightarrow \text{tr}(|b_1\rangle\langle b_2|) = \langle b_2 | b_1 \rangle$

$\circlearrowleft$  If  $\rho$  is dens. op. for A;  $\sigma$  for B  $\rightarrow \boxed{\rho^{AB} = \rho \otimes \sigma}$

### \* Schmidt Decomposition and Purifications

- Schmidt decomposition: suppose  $|\Psi\rangle$  is a pure state of a composite system AB. Then there exists orthonormal states  $|i_A\rangle$  for A and  $|i_B\rangle$  for B such that

$$|\Psi\rangle = \sum_i \lambda_i |i_A\rangle \langle i_B|$$

where  $\lambda_i \geq 0$ , real numbers satisfying  $\boxed{\sum_i \lambda_i^2 = 1}$ , Schmidt coefficients

$\hookrightarrow$  Schmidt number: amount of nonzero  $\lambda_i$

$\hookrightarrow$  "Amount of entanglement" between A & B (roughly)

- Purification: given state  $\rho^A$  of system A, possible to introduce another system R and define pure state  $|AR\rangle$  for joint system AR, i.e.  $\rho^A = \text{tr}_R(|AR\rangle\langle AR|)$
- ↳ Pure state  $|AR\rangle$  reduces to  $\rho^A$  when we look at A alone
- ↳ R = reference system

$$|AR\rangle \equiv \sum_i \sqrt{p_i} |i^A\rangle |i^R\rangle$$

$$\text{where } \rho^A = \sum_i p_i |i^A\rangle \langle i^A|$$

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## \* Quantum Algorithms

- Some gates:

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$\text{H} = (x + iz)/\sqrt{2}, \quad S = T^2$$

- ## • Rotation operators

$$\hookrightarrow R_x(\theta) = e^{-i\theta \hat{X}/2} = \cos\left(\frac{\theta}{2}\right)\hat{I} - i\sin\left(\frac{\theta}{2}\right)\hat{X} = \begin{bmatrix} \cos\theta/2 & -i\sin\theta/2 \\ -i\sin\theta/2 & \cos\theta/2 \end{bmatrix}$$

$$\hookrightarrow R_y(\theta) = e^{-i\theta \hat{Y}/2} = \begin{bmatrix} \cos \theta/2 & -\sin \theta/2 \\ \sin \theta/2 & \cos \theta/2 \end{bmatrix}$$

$$R_z(\theta) = e^{-i\theta \hat{z}/2} = \begin{bmatrix} e^{-i\theta/2} & 0 \\ 0 & e^{i\theta/2} \end{bmatrix}$$

- Z-Y decomposition for single qubit: if  $U$  is a unitary operator, then for some  $\alpha, \beta, \gamma, \delta$ , we can write

$$U = e^{i\alpha} R_z(\beta) R_y(\gamma) R_z(\delta)$$

- ↳ Similarly for any 2 axes

- ↳ In fact, this can be done for any 2 non-parallel real unit vectors.

- Some identities:

$$\hookrightarrow \hat{H} \hat{x} \hat{H} = \hat{Z}$$

$$\Leftrightarrow \hat{H} \hat{Y} \hat{H} = -\hat{Y}$$

$$\hookrightarrow \hat{H} \hat{Z} \hat{H} = \hat{X}$$

## \* Controlled operations

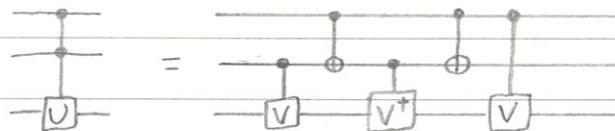
- Controlled-U operation



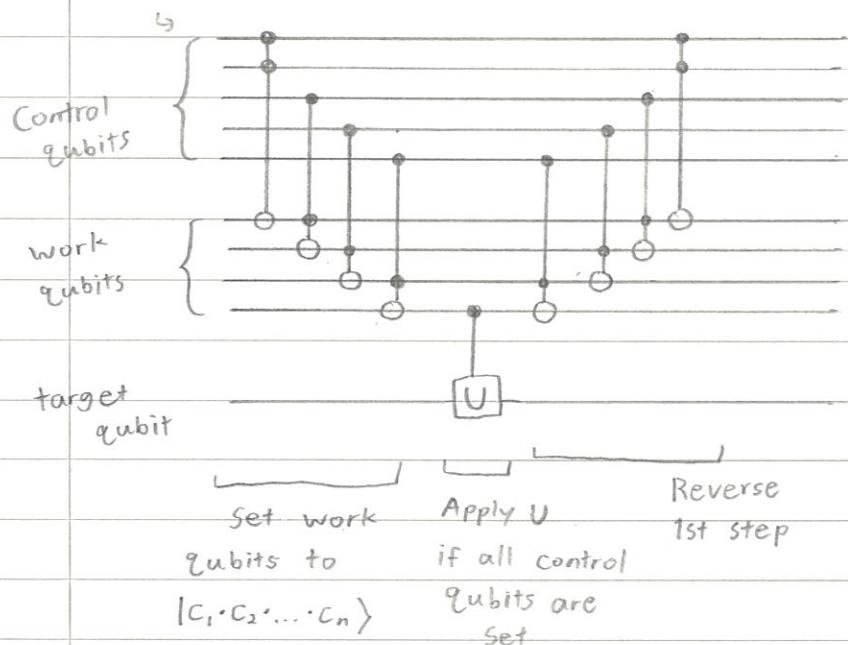
$$\hookrightarrow C^n(U) |x_1 x_2 x_3 \dots x_n\rangle |\psi\rangle = |x_1 x_2 x_3 \dots x_n\rangle U^{x_1 x_2 x_3 \dots x_n} |\psi\rangle$$

$\hookrightarrow U^{x_1 x_2 \dots x_n}$  means  $U$  is applied iff.  $x_1 = x_2 \dots = x_n = 1$

$\hookrightarrow$  For an operator  $V$  satisfying  $V^2 = U$ ,



- Implementation of  $C^n(U)$  w/ Toffoli gates



Example w/  
 $n=5$  Control qubits  
 $|C_i\rangle$  and 4 work  
qubits  
Work qubits set  
to  $|0\rangle$

## \*Measurement

- Principle of deferred measurement: measurement can always be moved from intermediate stage of quantum circuit to the end
  - ↳ If measurement results are used at any stage: classically controlled operations can be replaced by conditional quantum operators
- Principle of implicit measurement: wLOG, any unterminated quantum wires (qubits not yet measured) at end of quantum circuit may be assumed to be measured.

## \*Universal quantum gates

- A set of gates are universal for QC if any unitary operation may be approximated to arbitrary accuracy by a quantum circuit using only those gates
- A  $d$ -dimensional unitary matrix  $U$  can be decomposed into product of 2-level unitary matrices
  - ↳ 2-level as in they act non-trivially on 2 or less vector components.
- Single qubit and CNOT gates can (together) create an arbitrary 2-level unitary operator  $\rightarrow$  they are universal.

## \*Approximations

- Set of unitary operators is cont.  $\rightarrow$  Can't implement exactly w/ discrete gates, but can approximate.

↳  $U$  is target unitary operator,  $V$  is what we use:

$$E(U, V) \equiv \max_{|\psi\rangle} \|(U - V)|\psi\rangle\|$$

(Error when  $V$  is implemented by  $U$ )

•  $\pi/8$  and Hadamard gates can be used to approximate any single-qubit unitary operator

• There are states of  $n$  qubits that take  $\Omega\left(\frac{2^n \log(1/\epsilon)}{\log(n)}\right)$  operations to approx. within  $\epsilon$

↳ Approx'ing arbitrary unitary gates is hard

↳ Arbitrary  $U$  on  $n$  qubits can be approx'd within  $\epsilon$  using  $O\left(n^2 4^n \log^c(n^2 4^n / \epsilon)\right)$  gates.

## \* Quantum Circuit Model of Computation

### • Features

#### 1. Classical resources

↳ Ideally, not needed, but useful for things like error correction, e.g.

#### 2. Suitable state space

↳  $n$  qubits  $\rightarrow 2^n$ -dimensional Hilbert space

#### 3. Ability to prepare states in computational basis

#### 4. Ability to perform quantum gates

#### 5. Ability to perform measurements in computational basis

# QC & QI

6/29/2025 (pp. 204-247)

## \* Simulation of Quantum Systems

- Dynamical behavior of simple quantum systems governed by Schrödinger:

$$i \frac{\partial}{\partial t} \Psi(x) = \left[ -\frac{1}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \Psi(x)$$

↳ Position representation  $\Psi(x) = \langle x | \Psi \rangle$

- For a time-independent  $H$ ,  $|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle$

↳ From diff eq

↳ 1st-order solution  $|\Psi(t+\Delta t)\rangle \approx (I - iH\Delta t) |\Psi(t)\rangle$

- Trotter formula: let  $A$  and  $B$  be Hermitian operators. Then for any real  $t$ ,

$$\lim_{n \rightarrow \infty} (e^{iAt/n} e^{iBt/n})^n = e^{i(A+B)t}$$

↳ Other results:  $e^{i(A+B)\Delta t} = e^{iA\Delta t} e^{iB\Delta t} + O(\Delta t^2)$

$$e^{i(A+B)\Delta t} = e^{iA\Delta t/2} e^{iB\Delta t} e^{iA\Delta t/2} + O(\Delta t^3)$$

- Quantum simulation

↳ Input Hamiltonian  $H = \sum_k H_k$  acting on  $N$ -dimensional system

Each  $H_k$  acts on small subsystem

↳ Input initial state  $|\Psi_0\rangle$  of system at  $t=0$

↳ Desired output:  $|\tilde{\Psi}(t_f)\rangle$  of system at  $t_f$

↳ For accuracy  $\delta$ , we want  $|\langle \tilde{\Psi}(t_f) | e^{-iHt_f} |\Psi_0\rangle|^2 \geq 1 - \delta$

- $|\tilde{\Psi}_0\rangle \leftarrow |\Psi_0\rangle, j=0$

- $|\tilde{\Psi}_{j+1}\rangle = U_{\Delta t} |\tilde{\Psi}_j\rangle$

- $j=j+1$ , repeat 2-3 until  $j\Delta t \geq t_f$

- $|\tilde{\Psi}(t_f)\rangle = |\tilde{\Psi}_j\rangle$

※ Use Trotter approximation and  $H = \sum_k H_k$  in exponent  $U = e^{-iHt}$

## \* The Quantum Fourier Transform (QFT)

- Discrete Fourier Transform

↳ Takes input of complex vectors  $x_0 \dots x_{N-1}$

↳ Outputs transformed vectors  $y_0 \dots y_{N-1}$

$$y_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j e^{2\pi i j k / N}$$

- QFT

↳ Action on orthonormal basis  $|0\rangle \dots |N-1\rangle$ :

$$|j\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{2\pi i j k / N} |k\rangle$$

↳ Product representation:

$$|j_1 \dots j_N\rangle \rightarrow \frac{(|0\rangle + e^{2\pi i j_0} |1\rangle)(|0\rangle + e^{2\pi i j_1} |1\rangle) \dots (|0\rangle + e^{2\pi i j_{N-1}} |1\rangle)}{\sqrt{2^{N/2}}}$$

↳ For  $n$  qubits and basis  $|0\rangle \dots |2^n-1\rangle$

↳ Write state  $|j\rangle$  w/ binary  $|j_1 j_2 \dots j_N\rangle$ ,  $N = 2^n$

↳ Binary fraction:  $0.j_1 j_2 \dots j_N = j_1/2 + j_2/4 + \dots + j_N/2^N$

※ QFT is unitary

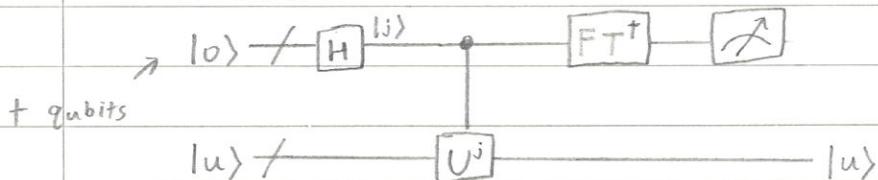
※ Using unitary gates, QFT can be implemented with  $\Theta(n^2)$ .

- Phase estimation

↳ Suppose unitary  $U$  has eigenvector  $|u\rangle$  w/ eigenvalue  $e^{2\pi i \varphi}$

↳ phase estimation algorithm can estimate  $\varphi$ .

↳ Procedure:



↳  $|u\rangle$  is eigenstate of  $U$  w/ eigenvalue  $e^{2\pi i \varphi}$

↳ Approx. of  $\varphi$  is accurate to  $t - \left[ \log(2 + \frac{1}{2\epsilon}) \right]$  bits, prob. of success  $\geq 1-\epsilon$

↳ 1st step: Hadamard gate + controlled U gate on  $t$  qubits initially  $|0\rangle$

↳ Final state of  $t$  qubits is

$$\begin{aligned} & \frac{1}{2^{t/2}} \left( |0\rangle + e^{\frac{2\pi i}{2} \frac{t-1}{2} \varphi} |1\rangle \right) \left( |0\rangle + e^{\frac{2\pi i}{2} \frac{t-2}{2} \varphi} |1\rangle \right) \dots \left( |0\rangle + e^{\frac{2\pi i}{2} \frac{0}{2} \varphi} |1\rangle \right) \\ & = \frac{1}{2^{t/2}} \sum_{k=0}^{2^t-1} e^{\frac{2\pi i}{2} \varphi k} |k\rangle \end{aligned}$$

↳ 2nd step: apply inverse QFT to get  $\varphi$

### \*Phase Estimation Applications

- Order-finding

↳ For pos. int.  $x \& N$  w/  $x < N$  and no common factors, the order of  $x \bmod N$  is least positive int.  $r$  such that

$$x^r \equiv 1 \pmod{N}$$

↳ Quantum algorithm: phase estimation on unitary operator

$$U|y\rangle \equiv |xy \pmod{N}\rangle$$

$$y \in \{0, 1\}^L$$

↳ Eigenstates of  $U$ :  $|us\rangle \equiv \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i sk}{r}\right] |x^k \bmod N\rangle$

for int.  $0 \leq s \leq r-1$

↳ From eigenvalue  $\exp(2\pi i s/r)$ , we can obtain order  $r$

- Factoring

↳ Given a composite  $N$ , what prime numbers equal it when multiplied?

↳ Reduce factoring to order-finding

1. Let  $N$  be a  $L$ -bit composite number, and  $X$  a non-trivial

solution to  $x^2 \equiv 1 \pmod{N}$  in  $1 \leq x \leq N$ .

Then at least 1 of  $\gcd(x-1, N)$  and  $\gcd(x+1, N)$  is a

non-trivial factor of  $N$  that can be computed in  $O(L^3)$  operations.

2. Suppose  $N = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_m^{\alpha_m}$  is the prime factorization for an odd composite int.

Let  $x$  be int. chosen at random so that  $x$  is co-prime to  $N$  and  $1 \leq x \leq N-1$ . Then

$$P(x \text{ is even and } x^{r/2} \not\equiv -1 \pmod{N}) \geq 1 - \frac{1}{2^m}$$

↳ Steps:

1. If  $N$  is even, return 2

2. If  $N = a^b$  for  $a \geq 1$  and  $b \geq 2$ , return  $a$

3. Randomly choose  $x$  in  $1 \leq x \leq N-1$ .

↳ If  $\gcd(x, N) > 1$ , return  $\gcd(x, N)$

4. Use order-finding subroutine to find order  $r$  of  $x \pmod{N}$

5. If  $r$  is even and  $x^{r/2} \not\equiv -1 \pmod{N}$ , compute  $\gcd(x^{r/2}-1, N)$  and  $\gcd(x^{r/2}+1, N)$

↳ Test if one of these is non-trivial factor → if so, return

↳ Else, fail.

- Hidden Subgroup Problem

↳ Given a periodic function, we can usually use a quantum algorithm to determine the period efficiently.

↳ General application of QFT

e.g. Deutsch's algorithm, Simon's algorithm, order finding

# QC & QI

6/30/2025 (pp. 248-276)

## \*Quantum Search Algorithm

- The Oracle: black box able to recognize solutions to problem

↳ e.g.  $f(x) = 1$  if  $x$  is solution, else  $f(x) = 0$

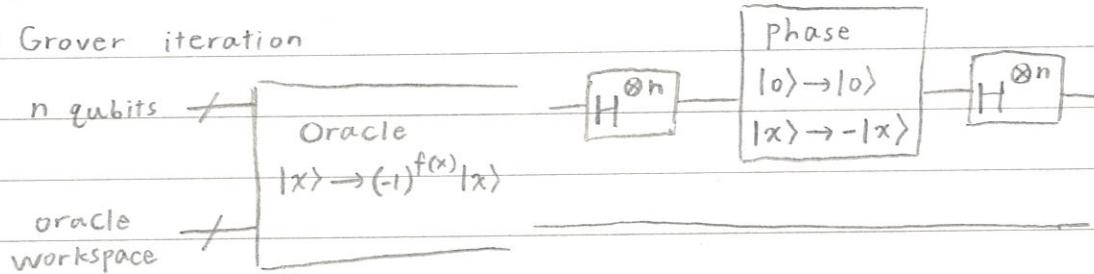
↳ Oracle qubit:  $|x\rangle|q\rangle \xrightarrow{O} |x\rangle|q \oplus f(x)\rangle$

↳ If oracle qubit is initially  $(|0\rangle - |1\rangle)/\sqrt{2}$ :

$$|x\rangle \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \xrightarrow{O} (-1)^{f(x)} |x\rangle \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

$$|x\rangle \xrightarrow{O} (-1)^{f(x)} |x\rangle$$

- Grover iteration



↳ Initial  $n$  qubits are put into superposition

$$|\Psi\rangle = \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle$$

↳ Overall effect of Grover iteration:  $G = (2|\Psi\rangle\langle\Psi| - I)O$

- Geometric interpretation

↳ Search space size  $N$  and  $M$  solutions

↳ Let

$$|\alpha\rangle \equiv \frac{1}{\sqrt{N-M}} \sum_x' |x\rangle \quad (\text{sum over all non-solutions})$$

$$|\beta\rangle \equiv \frac{1}{\sqrt{M}} \sum_x' |x\rangle \quad (\text{sum over all solutions})$$

$$\text{Then } |\Psi\rangle = \sqrt{\frac{N-M}{N}} |\alpha\rangle + \sqrt{\frac{M}{N}} |\beta\rangle$$

↳ Oracle is a reflection:  $O(a|\alpha\rangle + b|\beta\rangle) = a|\alpha\rangle - b|\beta\rangle$

↳ So is  $2|\Psi\rangle\langle\Psi| - I$ .

↳ Combined effect is a rotation in space spanned by  $|\alpha\rangle, |\beta\rangle$

$$\hookrightarrow \text{Let } \cos \frac{\theta}{2} = \sqrt{\frac{N-M}{N}}, \text{ so } |\psi\rangle = \cos \frac{\theta}{2} |\alpha\rangle + \sin \frac{\theta}{2} |\beta\rangle$$

$$G|\psi\rangle = \cos \frac{3\theta}{2} |\alpha\rangle + \sin \frac{3\theta}{2} |\beta\rangle$$

$$G^k |\psi\rangle = \cos \left( \frac{2k+1}{2} \theta \right) |\alpha\rangle + \sin \left( \frac{2k+1}{2} \theta \right) |\beta\rangle$$

∴ Repetition of  $G$  rotates  $|\psi\rangle$  closer to  $|\beta\rangle$ .

$O(\sqrt{N/M})$  repetitions will produce a solution with high probability

### \* Quantum Counting

- Grover iteration + phase estimation

- Determines # of solutions ( $M$ ) to search problem

- Rough steps

↳ Let  $\theta$  be the angle of rotation determined by Grover's

↳ If Grover space spanned by  $|\alpha\rangle, |\beta\rangle$ , eigenvalues are  $e^{i\theta}$  and  $e^{i(2\pi-\theta)}$

↳ Augment oracle (expand search space) to  $2N$ , so that

$$\sin^2(\theta/2) = M/2N$$

↳ Use phase estimation to estimate  $\theta$  w/ prob. of success  $\geq 1-\epsilon$

↳ Use eq.  $\sin^2(\theta/2) = M/2N$  to get estimate for  $M$

# QC & QI

7/12/2025 (pp. 277-297)

## \* Physical Realization of Quantum Computers

- Well isolated to retain quantum properties }
- But qubits have to be accessible } balance

### \* Requirements

1. Robustly represent quantum information
2. Perform universal family of unitary transformations
3. Prepare fiducial initial state
4. Measure output result

### \* Harmonic Oscillator (example)

↳ E.g. particle in parabolic potential well,  $V(x) = \frac{1}{2}m\omega^2x^2$

↳ Hamiltonian:  $H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2x^2$

↳  $H = \hbar\omega(a^\dagger a + \frac{1}{2})$  ←  $a^\dagger$  and  $a$  are creation/annihilation operators

↳ Eigenstates  $|n\rangle$  of  $H$ ,  $n=0, 1, \dots$  have properties

$$a^\dagger a |n\rangle = n |n\rangle$$

$$a^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle$$

$$a |n\rangle = \sqrt{n} |n-1\rangle$$

↳ Encode system:  $|100\rangle_L = |10\rangle$

$$|01\rangle_L = |12\rangle$$

$$|110\rangle_L = (|14\rangle + |11\rangle)/\sqrt{2}$$

$$|111\rangle_L = (|14\rangle - |11\rangle)/\sqrt{2}$$

↳ Let the system be spanned by above at  $t=0$  and evolve to

$$t = \pi/\hbar\omega; |n\rangle \rightarrow \exp(-i\pi\hbar\omega t/a)|n\rangle = (-1)^n |n\rangle$$

↳ This is CNOT gate ( $|10\rangle, |12\rangle, |14\rangle$  unchanged,  $|11\rangle \rightarrow -|11\rangle$ )

☒ Drawback: not a digital representation

Matching eigenvalues to realize transformations

not possible for arbitrary  $U$

## • Optical Photon Computer

- ↳ Dual-rail representation: instead of 1 electromagnetic cavity quantized in units of  $\hbar\omega$ , consider 2 whose total energy is  $\hbar\omega$
- ↳ 2 states of qubit: whether photon is in 1 cavity or other

$$C_0|01\rangle + C_1|10\rangle$$

- ↳ Generate photons by attenuating laser:

$$|a\rangle = e^{-|\alpha|^2/2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad (\text{coherent state})$$

- ↳ Computation:

### 1. Phase shifter

- ↳ Light takes  $\Delta \equiv (n - n_0)L/c_0$  more time to propagate dist.  $L$  in medium with high  $n$

↳ On single-photon state,  $P|0\rangle = |0\rangle$ ,  $P|1\rangle = e^{i\Delta}|1\rangle$

↳ For dual-rail states:  $C_0|01\rangle + C_1|10\rangle \rightarrow C_0 e^{-i\Delta/2}|01\rangle + C_1 e^{i\Delta/2}|10\rangle$   
(Like a rotation)

### 2. Beam Splitter

- ↳ Acts on 2 nodes, desc. by creation/annihilation operators  $a(a^\dagger)$  and  $b(b^\dagger)$

↳ Hamiltonian  $H_{BS} = i\theta (ab^\dagger - a^\dagger b)$

Beam splitter performs  $B = \exp[i\theta(a^\dagger b - ab^\dagger)]$

- ↳ If  $|0_L\rangle = |01\rangle$  and  $|1_L\rangle = |10\rangle$ ,

$$B = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} = e^{i\theta \hat{Y}}$$

※ Phase shifter = rotation about  $z$ , beam splitter = about  $y$

### 3. Nonlinear Kerr media

- ↳ Hamiltonian  $H_{XPM} = -\chi a^\dagger a b^\dagger b$ , for  $a$  and  $b$  propagating through medium

↳ For crystal of length  $L$ ,  $K = e^{i\chi L a^\dagger a b^\dagger b}$  (unitary transform)

↳ For single-photon states,  $|K|00\rangle = |00\rangle$      $|K|10\rangle = |10\rangle$   
 $|K|01\rangle = |01\rangle$      $|K|11\rangle = e^{i\chi L} |11\rangle$

↳ Let  $\chi L = \pi$ , so  $|K|11\rangle = -|11\rangle$

↳ For 2 dual-rail states; spanned by  $|e_{00}\rangle = |1001\rangle$ ,

$$|e_{01}\rangle = |1010\rangle, |e_{10}\rangle = |0101\rangle, |e_{11}\rangle = |0110\rangle$$

$$\underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}}_{U_{CN}} = \frac{1}{\sqrt{2}} \underbrace{\begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix}}_{I \otimes H} \underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}}_K \frac{1}{\sqrt{2}} \underbrace{\begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix}}_{I \otimes H}$$

↳ By factoring, CNOT ( $U_{CN}$ ) can be constructed w/  $K$

# QC & QI

7/14/2025 (pp. 297-352)

## \* physical Realization of Quantum Computers (part 2)

### • Optical Cavity QED

↳ Electromagnetic cavity + atom

1. Fabry-Perot Cavity: approx. electric field to be monochromatic,  
occupying single spatial mode

$$\vec{E}(r) = i\vec{e}E_0 [ae^{ikr} - a^*e^{-ikr}]$$

↳  $a$  and  $a^*$  are creation/annihilation operators for photon

2. Two-Level Atoms: model atom as only having 2 states

↳ Energy cons.:  $\hbar\omega = E_2 - E_1$

$$\int Y_{2m}^* Y_{1m} Y_{1m} d\Omega = 0$$

↳ Parity cons.  $\Delta l = \pm 1$

↳ Angular momentum cons.:  $m_2 - m_1 = \pm 1$

### \* The Jayne-Cummings Hamiltonian

↳ Interactions between 2-level atoms and EM field

$$H = H_{\text{atom}} + H_{\text{field}} + H_I$$

$$H = \frac{\hbar\omega_0}{2} Z + \hbar\omega a^\dagger a + g(a^\dagger \sigma_- + a \sigma_+)$$

$$\leftarrow \sigma_\pm = \frac{X \pm iY}{2}$$

↳ Single photon & atom interactions

↳ Focus on single excitation in field mode,  $H = -\begin{bmatrix} \delta & 0 & 0 \\ 0 & \delta & g \\ 0 & g & -\delta \end{bmatrix}$

↳ Basis states  $|00\rangle, |01\rangle, |10\rangle$  (left=field, right=atom)

↳ Dropping  $\hbar$ 's and using time evolution  $U = e^{-iHt}$ ,

$$U = e^{-i\delta t} |00\rangle \langle 00| +$$

$$(\cos \Omega t + i \frac{\delta}{\Omega} \sin \Omega t) |01\rangle \langle 01| +$$

$$(\cos \Omega t - i \frac{\delta}{\Omega} \sin \Omega t) |10\rangle \langle 10| -$$

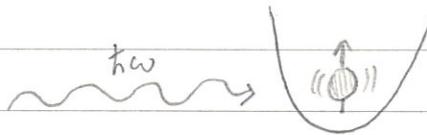
$$i \frac{g}{\Omega} \sin \Omega t (|01\rangle \langle 10| + |10\rangle \langle 01|)$$

\* Atom & field oscillate back-and-forth, exchanging quantum of energy

at Rabi frequency  $\Omega = \sqrt{g^2 + \delta^2}$

## • Ion Traps

↳ Trap a few charged atoms in EM traps → cool until KE ≪ spin energy



Single particle in harmonic potential  
w/ 2 internal states  
Interact w/ EM radiation

↳ Magnetic dipole interaction  $H_I = -\vec{\mu} \cdot \vec{B}$ , dipole moment  $\vec{\mu} = \mu_m \vec{S}$

↳  $\vec{B} = B_0 \hat{x} \cos(kz - \omega t + \varphi)$ ,  $\varphi$  is phase

↳ Define Rabi freq. of spin as  $\Omega = \mu_m B_0 / 2\hbar$

↳ Use  $S_x = (S_+ + S_-)/2$

↳ If particle is cooled to low vibrational mode (width of oscillation small),

$$H_I = -\vec{\mu} \cdot \vec{B}$$

$$\approx \left[ \frac{\hbar\Omega}{2} (S_+ e^{i(\varphi-\omega t)} + S_- e^{-i(\varphi-\omega t)}) \right]$$

$$+ \left[ i \frac{\eta \hbar \Omega}{2} \{S_+ a + S_- a^\dagger + S_+ a^\dagger + S_- a\} (e^{i(\varphi-\omega t)} - e^{-i(\varphi-\omega t)}) \right]$$

## ↳ Quantum Computation

1. Single qubit operations: apply EM field tuned to freq  $\omega_0$

↳  $H_I^{\text{internal}}$  becomes  $\frac{\hbar\Omega}{2} (S_+ e^{i\varphi} + S_- e^{-i\varphi})$

↳ Tweak  $\varphi$  & interaction duration  $\rightarrow R_x(\theta) = \exp(-i\theta S_x)$

$$R_y(\theta) = \exp(-i\theta S_y)$$

2. Controlled phase flip

↳  $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{bmatrix}$  ← 1 qubit in atom's internal spin state  
other in  $|0\rangle$  and  $|1\rangle$  phonon states

3. Swap gate

↳  $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$  ← Tune laser to  $\omega_0 - \omega_z$

Arrange for phase to be such that  $R_y(\pi)$   
is performed on subspace spanned by  
 $|01\rangle$  and  $|10\rangle$

#### 4. CNOT gate

↳  $CNOT_{jk} = H_k \overline{SWAP}_k C_j(z) SWAP_k H_k$

↳ Hadamard, Swap gates, Controlled phase flips (C)

#### \* Nuclear Magnetic Resonance

↳ Single spin dynamics:

↳ Start w/  $H = -\vec{\mu} \cdot \vec{B}$ ,  $\vec{\mu}$  is spin,  $B = B_0 \hat{z} + B_1 (\hat{x} \cos \omega t + \hat{y} \sin \omega t)$

↳  $H = \frac{\omega_0}{2} \hat{z} + g(\hat{x} \cos \omega t + \hat{y} \sin \omega t)$

↳ Spin-Spin Couplings:

↳ Through-space dipolar coupling:  $H_{1,2}^D = \frac{\gamma_1 \gamma_2 \hbar}{4r^3} [\vec{\sigma}_1 \cdot \vec{\sigma}_2 - 3(\vec{\sigma}_1 \cdot \hat{n})(\vec{\sigma}_2 \cdot \hat{n})]$

↳  $\hat{n}$  = unit vec. in direction joining 2 nuclei

↳ Through-bond ("J-coupling"):  $H_{1,2}^J = \frac{\hbar J}{4} Z_1 Z_2 + \frac{\kappa J}{8} [\sigma_+ \sigma_- + \sigma_- \sigma_+]$

↳ Thermal equilibrium

↳ NMR uses ensemble of systems → measurement is an average

↳ Initial state is thermal eq state:  $\rho = \frac{e^{-\beta H}}{Z}$

↳  $\beta = 1/k_B T$ ,  $Z = \text{tr}(e^{-\beta H})$  ← ensures  $\text{tr}(\rho) = 1$

↳ Magnetic readout

↳ Free induction decay signal  $V(t) = V_0 \text{tr}[e^{-iHt} \rho e^{iHt} (iX_k + Y_k)]$

↳ Quantum Computation:

↳ Refocusing: Consider 2-spin Hamiltonian  $H = H^{\text{sys}} + H^{\text{RF}}$

when large RF field applied at proper freq., approx.

$$e^{-iHt/\hbar} \approx e^{-iH^{\text{RF}}t/\hbar}$$

↳ Can define  $R_{x_1} = e^{-i\pi X_1/4}$  and  $R_{x_2}$  w/ high fidelity

↳ CNOT gate built from 1 evolution period of time  $\frac{\hbar\pi}{4c}$  and

several single-qubit pulses

# QC & QI

7/15/2025 (pp. 353 - 373)

## \* Quantum Noise

- Classical Noise: model w/ stochastic processes

↳  $\vec{q} = E\vec{p}$ ,  $\vec{q}$  = output probabilities,  $\vec{p}$  = input probabilities

$E$  = matrix of transition probabilities

↳  $E$  is the evolution matrix

1) Positivity: non-negative entries

2) Completeness: columns summing to 1

- Quantum operators: use density matrices

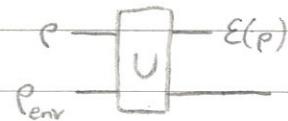
↳  $\rho' = E(\rho)$ ,  $E$  = quantum operation

↳ e.g.  $E(\rho) = U\rho U^\dagger$  for unitary transformation

- An open quantum system: Consider as arising from interaction of principal system & environment (forms closed quantum system)



Closed system



open system

$$E(\rho) = \text{tr}_{\text{env}} [U(\rho \otimes \rho_{\text{env}}) U^\dagger]$$

- Operator-Sum representation

↳ Let  $|e_k\rangle$  be orthonormal basis for state space of env

↳ Let  $\rho_{\text{env}} = |e_0\rangle\langle e_0|$  be initial state of env

$$E(\rho) = \sum_k \langle e_k | U [\rho \otimes |e_0\rangle\langle e_0|] U^\dagger | e_k \rangle$$

$$= \sum_k E_k \rho E_k^\dagger, \quad E_k = \langle e_k | U | e_0 \rangle$$

operator-sum representation of  $E$

↳  $\{E_k\}$  = operation elements for quantum operator  $E$

• Completeness relation:  $\sum_k E_k^\dagger E_k = I$  "trace-preserving"

• Physical interpretation of OSR

↳ Consider measurement of env performed in  $|e_k\rangle$  basis  
after  $U$  applied

↳ This only affects state of env, not principal system

$$\rho_k = \frac{E_k \rho E_k^\dagger}{\text{tr}(E_k \rho E_k^\dagger)} \quad \leftarrow \begin{array}{l} \text{state of principal system, given} \\ \text{outcome } k \text{ occurs} \end{array}$$

$$P(k) = \text{tr}(E_k \rho E_k^\dagger) \quad \leftarrow \text{Probability of outcome } k$$

$$\therefore \boxed{E(\rho) = \sum_k P(k) \rho_k = \sum_k E_k \rho E_k^\dagger}$$

↳ Action of quantum operation is equiv. to replacing state  $\rho$  w/  
 $\rho_k$ , with prob.  $P(k)$

• Measurements & OSR

↳ Let principal system ( $Q$ ) be in state  $\rho$ , and env. ( $E$ ) in state  $\sigma$ .

↳ Initial state:  $\rho^{QE} = \rho \otimes \sigma$

↳ Apply  $U$  and measure w/ projector  $P_m$

↳ Final state of  $QE$ : 
$$\frac{P_m U (\rho \otimes \sigma) U^\dagger P_m}{\text{tr}(P_m U (\rho \otimes \sigma) U^\dagger P_m)}$$

↳ Final state of  $Q$  alone (trace out  $E$ ): 
$$\frac{\text{tr}_E(P_m U (\rho \otimes \sigma) U^\dagger P_m)}{\text{tr}(P_m U (\rho \otimes \sigma) U^\dagger P_m)}$$

# QC & QI

7/16/2025 (pp. 373-398)

## \* Quantum noise & quantum operators

- Trace as a quantum operator:  $E(\rho) = \sum_{i=1}^d |i\rangle\langle i|\rho|i\rangle\langle i| = \text{tr}(\rho)|0\rangle\langle 0|$

- Partial trace is also quantum operator

↳ Let  $E_i: H_{QR} \rightarrow H_Q$ ,  $E_i\left(\sum_j \lambda_j |q_j\rangle\langle j|\right) = \lambda_i |q_i\rangle\langle j|$

↳ Let  $\mathcal{E}$  be operator w/ operation elements  $\{E_i\}$

$$\mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger$$

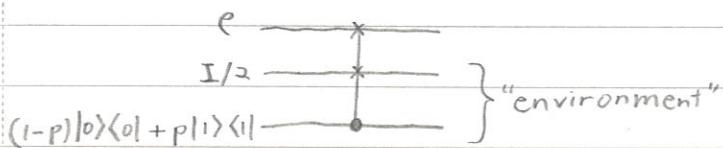
$$E(\rho \otimes |j\rangle\langle j'|) = \text{tr}_R(\rho \otimes |j\rangle\langle j'|) \quad \leftarrow E = \text{tr}_R$$

- Some examples of quantum noise

### 1. Depolarizing channel

↳ Depolarize qubit w/ prob.  $p$  (replace w/ completely mixed state  $I/2$ )

$$E(\rho) = \frac{pI}{2} + (1-p)\rho$$



↳ Another parametrization:  $E(\rho) = (1-p)\rho + \frac{p}{3}(X\rho X + Y\rho Y + Z\rho Z)$

↳  $\rho$  left alone w/ prob.  $p$ ;  $X, Y, Z$  applied w/ prob.  $\frac{p}{3}$

### 2. Amplitude damping

↳ Description of energy dissipation

↳ Consider single optical mode w/ state  $a|0\rangle + b|1\rangle$

↳ Scattering of photon modelled w/ beamsplitter  $B = \exp[i\theta(a^\dagger b - ab^\dagger)]$

↳  $a, a^\dagger$  and  $b, b^\dagger$  are annihilation/creation operators for photons

$$B|0\rangle(a|0\rangle + b|1\rangle) = a|00\rangle + b(\cos\theta|01\rangle + \sin\theta|10\rangle)$$

After beamsplitter  $E_{AD}(\rho) = E_0 \rho E_0^\dagger + E_1 \rho E_1^\dagger$

↳  $E_0 = \begin{bmatrix} 1 & 0 \\ 0 & \sqrt{1-\gamma} \end{bmatrix}$ ,  $E_1 = \begin{bmatrix} 0 & \sqrt{\gamma} \\ 0 & 0 \end{bmatrix}$ ,  $\gamma = \sin^2\theta$  is prob. of losing a photon

### 3. Phase damping

- ↳ what happens as photon scatters while traveling
- ↳ Accumulate a phase (partial info about quantum phase lost)
- ↳ Model: apply random  $R_z(\theta)$  on qubit  $|\Psi\rangle = a|0\rangle + b|1\rangle$
- ↳  $R_z$  = "phase kick"
- ↳ Assume  $\theta$  has Gaussian distrib., mean 0, variance  $2\lambda$

$$\rho = \frac{1}{\sqrt{4\pi\lambda}} \int_{-\infty}^{+\infty} R_z(\theta) |\Psi\rangle \langle \Psi| R_z^{\dagger}(\theta) e^{-\theta^2/4\lambda} d\theta$$
$$= \begin{bmatrix} |a|^2 & ab^* e^{-\lambda} \\ a^* b e^{-\lambda} & |b|^2 \end{bmatrix}$$

- ↳ Expected val of off-diagonal elements decrease

### \* Applications of quantum operations

- Master equation: describe time evolution of open system w/  
diff. eq suited for non-unitary behavior
- ↳ Lindblad Form:  $\frac{d\rho}{dt} = -\frac{i}{\hbar} [\mathcal{H}, \rho] + \sum_j [2L_j \rho L_j^\dagger - \{L_j^\dagger L_j, \rho\}]$
- ↳  $\{x, y\} = xy - yx$ , anticommutator
- ↳  $L_j$  are Lindblad operators, represent coupling of system to env
- Quantum State Tomography: process of experimentally  
determining an unknown quantum state
  - ↳ If many copies of  $\rho$  exist,  
$$\rho = \frac{\text{tr}(\rho)I + \text{tr}(X\rho)X + \text{tr}(Y\rho)Y + \text{tr}(Z\rho)Z}{2}$$
  - ↳  $\text{tr}(A\rho)$  = average value of observables
  - ↳ Use central limit theorem  $\rightarrow$  estimate  $\text{tr}(X\rho)$  and others for  
large number of  $\rho \rightarrow$  estimate  $\rho$

# QC & QI

7/17/2025 (pp. 399-424)

## \* Distance Measures for Quantum Information

- Trace distance:  $D(P_x, Q_x) = \frac{1}{2} \sum_x |P_x - Q_x|$

- ↳ Compare 2 probability distributions  $\{P_x\}$  and  $\{Q_x\}$  •

- ↳ Symmetric:  $D(X, Y) = D(Y, X)$

- ↳ Triangle inequality:  $D(X, Z) \leq D(X, Y) + D(Y, Z)$

Classical

- Fidelity:  $F(P_x, Q_x) = \sum_x \sqrt{P_x Q_x}$

- ↳ Not a metric (when distributions are identical,  $F=1$ )

- ↳ Geometrically: inner product between vectors  $\sqrt{P_x}$  and  $\sqrt{Q_x}$

- For trace dist.,  $D(P_x, Q_x) = \max_S |P(S) - Q(S)| = \max_S \left| \sum_{x \in S} P_x - \sum_{x \in S} Q_x \right|$

- ↳ Maximize over all subsets  $S$  of  $\{x\}$

- ↳  $S$  is "optimal event" to distinguish  $\{P_x\}$  and  $\{Q_x\}$

### • Dynamic measure of distance

- ↳ Pass random var  $X$  through noisy channel  $\rightarrow$  output as  $Y$

- ↳ Let  $\bar{X}$  be a copy of initial  $X$

$$D(\bar{X}, X, X, Y) = p(X \neq Y)$$

- ↳ Prob. of error = trace dist. between  $(\bar{X}, X)$  and  $(X, Y)$

Now onto QM

- Trace distance (QM):  $D(\rho, \sigma) = \frac{1}{2} \text{tr} |\rho - \sigma|$

- ↳ Note  $|A| \equiv \sqrt{A^T A}$

- ↳ If  $\rho$  and  $\sigma$  commute (diagonal in same basis), trace dist.

- ↳ Simplifies to classical trace dist. of eigenvalues for  $\rho$  and  $\sigma$ .

- ↳  $D(\rho, \sigma) = \frac{1}{2}$  the Euclidean dist. between  $\rho$  and  $\sigma$  on Bloch sphere

- ↳ Let  $\{E_m\}$  be a POVM,  $p_m \equiv \text{tr}(\rho E_m)$ ,  $q_m \equiv \text{tr}(\sigma E_m)$  as

- ↳ prob. of obtaining measurement outcome  $m$

$$D(\rho, \sigma) = \max_{\{E_m\}} D(p_m, q_m)$$

- Fidelity (QM):  $F(\rho, \sigma) = \text{tr} \sqrt{\rho^{1/2} \sigma \rho^{1/2}}$

↳ When  $\rho$  and  $\sigma$  commute, reduces to classical fidelity.

↳ Uhlmann's Theorem: let  $\rho$  and  $\sigma$  be states of quantum system  $Q$ , and  $R$  be a copy of  $Q$

$$F(\rho, \sigma) = \max_{|\psi\rangle, |\varphi\rangle} |\langle \psi | \varphi \rangle|$$

↳ Maximize over purifications  $|\psi\rangle$  of  $\rho$  and  $|\varphi\rangle$  of  $\sigma$  into  $RQ$

↳ For POVMs  $\{E_m\}$ ,  $p_m \equiv \text{tr}(\rho E_m)$ ,  $q_m \equiv \text{tr}(\sigma E_m)$ ,

$$F(\rho, \sigma) = \min_{\{E_m\}} F(p_m, q_m)$$

⊗ Decrease as 2 states become more distinguishable

#### • Relationship

↳ For pure states  $|a\rangle$  and  $|b\rangle$ ,

$$D(|a\rangle, |b\rangle) = \boxed{\sqrt{1 - F(|a\rangle, |b\rangle)^2}}$$

↳ For mixed states, let  $|\psi\rangle$  and  $|\varphi\rangle$  be purifications such that  $F(\rho, \sigma) = |\langle \psi | \varphi \rangle| = F(|\psi\rangle, |\varphi\rangle)$

$$\boxed{D(\rho, \sigma) \leq D(|\psi\rangle, |\varphi\rangle) = \sqrt{1 - F(\rho, \sigma)^2}}$$

⊗ If fidelity is close to 1, states are close in trace dist.

#### • Entanglement fidelity

↳ Channel preserving info well  $\leftrightarrow$  channel preserving entanglement well

↳ Start w/ state  $\rho$  of system  $Q$ , which is entangled w/ system  $R$ . Then apply quantum operation  $E$  to  $Q$ .

$$F(\rho, E) \equiv F(RQ, R'Q')^2 = \langle RQ | [(I_R \otimes E)(|RQ\rangle\langle RQ|)] | RQ \rangle$$

↳ If  $E_i$  is set of operation elements for  $E$ ,

$$\boxed{F(\rho, E) = \sum_i |\text{tr}(\rho E_i)|^2}$$

# QC & QI

7/21/2025 (pp. 425-445)

## \*Quantum Error Correction

- Some difficulties

  - ↳ No cloning

  - ↳ Continuous errors (several different errors on 1 qubit)

  - ↳ Measurement destroys quantum information

- 3-qubit bit flip code

  - ↳ Let  $X$  (pauli sigma  $x$  operator) be bit flip operator

  - ↳ Encode  $a|0\rangle + b|1\rangle$  as  $a|000\rangle + b|111\rangle$

  - ↳ Error diagnosis:

$$P_0 \equiv |000\rangle\langle 000| + |111\rangle\langle 111|$$

$$P_1 \equiv |010\rangle\langle 010| + |101\rangle\langle 101|$$

$$P_2 \equiv |100\rangle\langle 100| + |011\rangle\langle 011|$$

$$P_3 \equiv |001\rangle\langle 001| + |110\rangle\langle 110|$$

⊗ If measurement result  $\langle \Psi | P_i | \Psi \rangle = 1$ , error has occurred.

⊗ Only gives information of what error has occurred -

does not measure  $a$  or  $b$  directly, so state isn't perturbed.

- 3-qubit phase flip code

  - ↳ Phase flip operator  $Z$  applied w/ prob.  $p$ :  $a|0\rangle + b|1\rangle \rightarrow a|0\rangle - b|1\rangle$

  - ↳ Work in qubit basis  $|+\rangle = (|0\rangle + |1\rangle)/\sqrt{2}$ ,  $|-\rangle = (|0\rangle - |1\rangle)/\sqrt{2}$

  - ↳ Phase flip  $\leftrightarrow$  bit flip

- Shor Code

  - ↳ Encode qubit w/ phase flip:  $|0\rangle \rightarrow |+++ \rangle$ ,  $|1\rangle \rightarrow |--- \rangle$

  - ↳ Then encode each w/ bit flip code:  $|+\rangle \rightarrow (|000\rangle + |111\rangle)/\sqrt{2}$   
 $|-\rangle \rightarrow (|000\rangle - |111\rangle)/\sqrt{2}$

$$|0\rangle \rightarrow |0_L\rangle \equiv \frac{(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)}{2\sqrt{2}}$$

$$|1\rangle \rightarrow |1_L\rangle \equiv \frac{(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)}{2\sqrt{2}}$$

※ Combined bit flip & phase flip correction

※ Also protects against arbitrary errors on 1 qubit

↳ Describe noise as trace-preserving quantum operation  $E$ ,  
operation elements  $\{E_i\}$

$$\hookrightarrow |\Psi\rangle = \alpha|0\rangle + \beta|1\rangle \rightarrow \text{noise} \rightarrow E(|\Psi\rangle\langle\Psi|) = \sum_i E_i |\Psi\rangle\langle\Psi| E_i^\dagger$$

↳ Each operator can be expanded:

$$E_i = e_{i_0} I + \underbrace{e_{i_1} X_i}_{\text{bit flip}} + \underbrace{e_{i_2} Z_i}_{\text{phase flip}} + \underbrace{e_{i_3} X_i Z_i}_{\text{both}}$$

↳ Measuring the error collapses this into 1 of 4 states, from  
which we can recover  $|\Psi\rangle$

↳ So a Continuum of errors can be diagnosed.

## \* Theory of Quantum Error Correction

• Procedure:

↳ Quantum states encoded by unitary operation  $\rightarrow$  quantum error-correcting  
code (subspace  $C$  of larger Hilbert space)

↳  $P$  projects onto code space  $C$ , e.g. bit flip  $P=|000\rangle\langle 000|+|111\rangle\langle 111|$

↳ Subject to noise

↳ Diagnose error type (error syndrome)

↳ Recovery operation to get original state

• Assume:

↳ Noise described by quantum operator  $E$

↳ Complete error-correction procedure described by trace-preserving  
quantum operator  $R$

※ For error correction to be successful,

$$(R \circ E)(\rho) \propto \rho$$

### • Quantum error-correction Conditions

- ↳ An error-correction operation  $R$  correcting  $E$  on  $C$  exists iff.

$$P E_i^\dagger E_j P = \alpha_{ij} P$$

for some Hermitian matrix  $\alpha$  of complex numbers

- ↳ Operation elements  $\{E_i\}$  for noise  $E$  are called errors
- ↳ If  $R$  exists,  $\{E_i\}$  constitutes correctable set of errors

### • Discretization of errors

- ↳ Suppose  $F$  is quantum operation w/ operation elements  $\{F_j\}$  which are linear combos of  $\{E_i\}$ ,  $F_j = \sum_i m_{ij} E_i$  for some  $m$ .
- ↳ Then  $R$  corrects for effects of  $F$  along with  $C$ .

### • Quantum Hamming Bound

- ↳ Total # of errors that may occur in  $t$  or fewer qubits:

$$\boxed{\sum_{j=0}^t \binom{n}{j} 3^j} \quad \leftarrow 3^j \text{ for } 3 \text{ possible errors } X, Y, Z \\ \text{Encode } k \text{ qubits in } n \text{ qubits}$$

- ↳ To encode  $k$  qubits in non-degenerate way, all errors must correspond to orthogonal  $2^k$ -dimensional subspace.

- ↳ And all subspaces must fit in  $2^n$ -dimensional space for  $n$  qubits

$$\boxed{\sum_{j=0}^t \binom{n}{j} 3^j 2^k \leq 2^n} \quad \leftarrow \text{Quantum Hamming bound}$$

# QC & QI

7/23/2025 (pp. 445-499)

## \* Constructing Quantum Codes

### • Classical linear codes:

↳ Linear code  $C$  encoding  $k$  bits to  $n$  is defined by  $n \times k$  generator matrix  $G$ .

↳  $Gx$  maps  $x$  to encoded version

↳  $G$  must have linearly independent columns.

↳ Parity Check matrix:  $[n, k]$  code consists of all  $n$ -vectors  $x$  over  $\mathbb{Z}_2$  such that  $Hx = \vec{0}$

↳  $H$  is a  $(n-k) \times n$  parity check matrix.

↳ "Code is the kernel of  $H$ "

↳  $H$  must have linearly independent columns.

### • Error recovery w/ parity check matrix

↳ e.g. encode  $x$  as  $y = Hx$ , but due to error, encoded as

$$y' = y \oplus e$$

↳ Then  $Hy = \vec{0}$ , so  $Hy' = He$ , helps recover error (hopefully)

### • Hamming distance

↳ "Distance" = min. dist. between 2 codewords,  $d(C) \equiv \min_{x, y \in C, x \neq y} d(x, y)$

↳  $d(x, y) = \#$  places where  $x, y$  differ

↳ Let  $\text{wt}(x) \equiv d(x, \vec{0})$ , # places where  $x$  is nonzero.

↳  $\text{wt}(x+y) = d(x, y)$

↳ Since code is linear,  $x+y$  is code if  $x, y$  are

$$d(C) = \min_{x \in C, x \neq 0} \text{wt}(x)$$

### • Hamming codes: $[n, k] = [2^r - 1, 2^r - r - 1]$ for $r \geq 2$

↳ Columns are all  $2^r - 1$  bit strings of length  $r$  not equal to 0

↳ e.g. for  $r=3$ ,

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

↳ If error occurs on  $j$ -th bit  $\rightarrow H_{e_j}$  is binary representation for  $j$ , so we know where to correct.

↳ All Hamming Codes have dist 3, can correct error on 1 bit

- Gilbert-Varshamov bound: for large  $n$ , there exists  $[n, k]$  error-correcting code protecting against errors on  $t$  bits for some  $k$ , such that

$$\frac{k}{n} \geq 1 - H\left(\frac{2t}{n}\right) \quad \leftarrow H(x) = -x \log(x) - (1-x) \log(1-x)$$

binary Shannon entropy

### \* Calderbank-Shor-Steane (CSS) codes

- Suppose  $C_1, C_2$  are  $[n, k_1], [n, k_2]$  classical linear codes,  $C_2 \perp\!\!\!\perp C_1$  and  $C_1, C_2^\perp$  both correct  $t$  errors.

↳ The CSS code of  $C_1$  over  $C_2$ ,  $\text{CSS}(C_1, C_2)$ , is a  $[n, k_1 - k_2]$  code capable of correcting errors on  $t$  qubits.

#### • Procedure

↳ Suppose  $x \in C_1$ , a codeword in Code  $C_1$ .

↳ Then define  $|x + C_2\rangle \equiv \frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} |x+y\rangle$ , "+" is bitwise addition mod 2

↳ Describe bit flip errors w/ n-bit  $e_1$  (1's where bit flip)

Describe phase flip errors w/ n-bit  $e_2$  (1's where phase flip)

↳ Corrupted state of  $|x + C_2\rangle$ :  $\frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_2} |x+y+e_1\rangle$

#### 1. Correcting bit flips

↳ Introduce ancilla  $|0\rangle$  to store syndrome of  $C_1$ .

↳ Apply  $H_1$ :  $|x+y+e_1\rangle|0\rangle \rightarrow |x+y+e_1\rangle|H_1(x+y+e_1)\rangle \rightarrow |x+y+e_1\rangle|H_1e_1\rangle$

Since  $(x+y) \in C_1$  is annihilated by  $H_1$ ,

↳ Measure ancilla  $|H_1e_1\rangle$  to obtain  $e_1$ , then correct.

$$\frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_2} |x+y\rangle$$

## 2. Correcting phase flips

↳ Apply Hadamard to each qubit,  $\frac{1}{\sqrt{|C_2|2^n}} \sum_{z \in C_2} \sum_{y \in C_2^\perp} (-1)^{(x+y) \cdot (e_2 + z)} |z\rangle$

↳ Sum over all  $n$ -bit  $z$

↳ Suppose  $z' \equiv z + e_2$ , and  $z' \in C_2^\perp$ . Then  $\sum_{y \in C_2^\perp} (-1)^{y \cdot z'} = |C_2|$

↳ Else, if  $z' \notin C_2^\perp$ ,  $\sum_{y \in C_2^\perp} (-1)^{y \cdot z'} = 0$

↳ State becomes

$$\boxed{\frac{1}{\sqrt{2^n/|C_2|}} \sum_{z' \in C_2^\perp} (-1)^{x \cdot z'} |z' + e_2\rangle}$$

↳ A bit flip error described by  $e_2$ , correct w/  $H_2$  for  $C_2^\perp$

### • Steane Code

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

↳ Construct code  $C$  w/ this parity check matrix

↳ Define  $C_1 \equiv C$ ,  $C_2 \equiv C^\perp$

↳ Parity check operator  $H[C_2]$  of  $C_2 = C^\perp$  is

$$H[C_2] = G[C_1]^\top = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

•  $C_1$  is a  $[7, 4]$  code and  $C_2$  is a  $[7, 3]$  code

→ CSS( $C_1, C_2$ ) is a  $[7, 1]$  code, can correct errors on 1 qubit

## \* Stabilizer Codes (skimmed)

### • Stabilizer formalism

↳ For single qubit, Pauli group is

$$G_1 \equiv \{\pm I, \pm iI, \pm X, \pm iX, \pm Y, \pm iY, \pm Z, \pm iZ\}$$

↳ Suppose  $S$  is a subgroup of  $G_n$ , define  $V_S$  to be set of  $n$  qubit states fixed by every element of  $S$

↳  $V_S$  = vector states stabilized by  $S$

$S$  = Stabilizer of state  $V_S$

# QC & QI

7/24/2025 (pp. 500-529)

## \* Entropy & Information

- Shannon entropy

↳ Shannon entropy of  $X$  quantifies how much info is gained by learning value of  $X$

↳ Ori entropy measures uncertainty of  $X$  before measuring

$$H(X) = H(p_1, \dots, p_n) = - \sum_x p_x \log(p_x) \quad \leftarrow \text{Assume that } 0 \log(0) = 0$$

↳ Here, entropy quantifies the resources needed to store info

- Properties of entropy

↳ Binary entropy:  $H_{\text{bin}}(p) \equiv -p \log(p) - (1-p) \log(1-p)$

↳  $p$  and  $1-p$  are probabilities of outcomes

↳ Relative entropy:  $H(p(x)||q(x)) \equiv \sum_x p(x) \log \frac{p(x)}{q(x)} \equiv -H(X) - \sum_x p(x) \log q(x)$

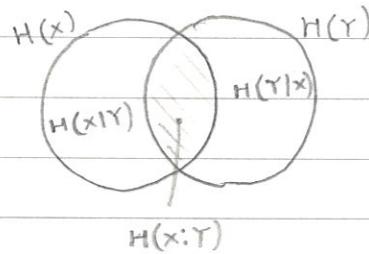
↳ Measures closeness of 2 probability distributions

↳ Define  $-0 \log(0) \equiv 0$ ,  $-p(x) \log 0 \equiv +\infty$  if  $p(x) > 0$

↳ Joint entropy of pair:  $H(X, Y) \equiv - \sum_{x,y} p(x,y) \log p(x,y)$

↳ Entropy of  $X$  conditional on knowing  $Y$ :  $H(X|Y) \equiv H(X, Y) - H(Y)$

↳ Mutual info content of  $X, Y$ :  $H(X:Y) \equiv H(X) + H(Y) - H(X, Y)$



- Data processing inequality: Suppose  $X \rightarrow Y \rightarrow Z$  is Markov chain. Then

$$H(X) \geq H(X:Y) \geq H(X:Z)$$

↳ Info about output of source can only decrease with time.

• Von Neumann entropy ( $S_{\text{QM}}$ )

↳ of a QM state  $\rho$ :  $S(\rho) \equiv -\text{tr}(\rho \log \rho)$

↳ If  $\lambda_x$  are eigenvalues of  $\rho$ ,  $S(\rho) = -\sum_x \lambda_x \log \lambda_x$

• Quantum relative entropy:  $S(\rho \parallel \sigma) \equiv \text{tr}(\rho \log \sigma) - \text{tr}(\rho \log \rho)$

↳ Klein's inequality:  $S(\rho \parallel \sigma) \geq 0$

• Basic properties of Von Neumann entropy

↳ Non-negative, 0 iff. state is pure

↳ In  $d$ -dimensional Hilbert space, entropy is at most  $\log(d)$

↳ If composite system AB is pure,  $S(A) = S(B)$

↳ Joint entropy theorem: if  $P_i$  are probabilities,  $|i\rangle$  are orthogonal states of A, and  $\rho_i$  is set of density operators for B,

$$S\left(\sum_i P_i |i\rangle\langle i| \otimes \rho_i\right) = H(P_i) + \sum_i P_i S(\rho_i)$$

• Projective measurements increase entropy

↳ Suppose  $P_i$  is complete set of orthogonal projectors and  $\rho$  is density operator  $\rightarrow \rho' \equiv \sum_i P_i \rho P_i$

$$S(\rho') \geq S(\rho)$$

• Subadditivity: suppose systems A, B have joint state  $\rho^{AB}$

↳  $S(A, B) \leq S(A) + S(B)$

↳  $S(A, B) \geq |S(A) - S(B)|$ .

• Strong subadditivity: for quantum systems A, B, C

$$S(A, B, C) + S(B) \leq S(A, B) + S(B, C)$$

$$S(A) + S(B) \leq S(A, C) + S(B, C)$$

# QC & QI

7/25/2025 (pp. 528 - 571)

## \*Quantum information theory

- Holevo Bound: Suppose Alice prepares state  $\rho_x$ ,  $x=0\dots n$  w/ probabilities  $p_0\dots p_n$ . Bob performs measurement w/ POVM elements  $\{E_Y\} = \{E_0\dots E_m\}$ , measurement outcome  $Y$

$$H(X:Y) \leq S(\rho) - \sum_x p_x S(\rho_x), \quad \rho = \sum_x p_x \rho_x$$

↳ Upper bound on accessible information

## \* Data Compression

↳ Shannon's noiseless channel coding theorem

↳ Suppose independent & identically distributed source is producing  $x_1, x_2, \dots$ , zero w/ prob 0, one w/ prob 1-p

↳ Divide sequences  $x_1, \dots, x_n$  for  $X_1 \dots X_n$  into typical/atypical

$$p(x_1 \dots x_n) = p(x_1)p(x_2)\dots p(x_n) \approx p^n(1-p)^{(1-p)n}$$

$$\approx 2^{-nH(x)}, \quad H(x) = -p \log p - (1-p) \log (1-p)$$

"Entropy rate" of source

↳ At most  $2^{nH(x)}$  typical sequences,  $nH(x)$  bits to identify

↳ Theorem: Suppose  $\{X_i\}$  is i.i.d. Source w/ entropy rate  $H(x)$ .

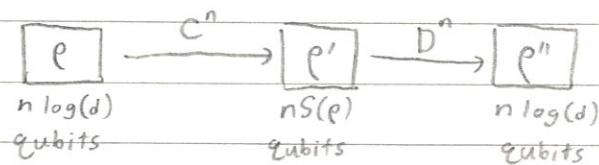
Suppose  $R > H(x)$ , Then there exists a reliable compression scheme of rate R for the source.

↳ Converse: if  $R < H(x)$ , any compression scheme isn't reliable.

↳ Schumacher's quantum noiseless channel coding theorem

↳ Info we're trying to compress: quantum states

↳ i.i.d. quantum source described by Hilbert space  $H$



↳ Theorem: Let  $\{H, \rho\}$  be an i.i.d. quantum source. If  $R > S(\rho)$ , there exists a reliable compression scheme of rate  $R$  for source  $\{H, \rho\}$ .

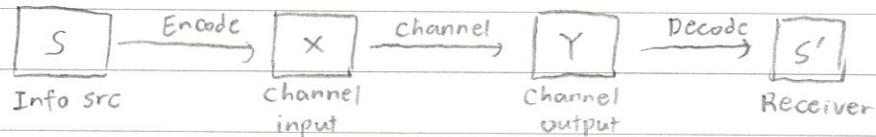
↳ Converse: if  $R < S(\rho)$ , any compression scheme of rate  $R$  isn't reliable.

#### • Communication over noisy channels

↳ Shannon's noisy channel coding theorem: for noisy channel  $N$ , the capacity is

$$C(N) = \max_{p(x)} H(X; Y)$$

↳ Over all input distributions  $p(x)$  for  $X$ ;  $Y$  is corresponding random variable induced at output of channel.



※ HSW theorem: let  $E$  be a trace-preserving quantum operation.

$$\chi(E) \equiv \max_{\{P_j, \rho_j\}} \left[ S\left(E\left(\sum_j P_j \rho_j\right)\right) - \sum_j P_j S(E(\rho_j)) \right]$$

↳  $\chi(E)$  takes max over all ensembles  $\{P_j, \rho_j\}$  of possible input states  $\rho_j$  of channel

↳ Then  $\chi(E)$  is Product State Capacity for channel  $E$ :  $\chi(E) = C^{(1)}(E)$

※ Any quantum channel  $E$  can transmit classical info, given the channel isn't just a constant.

- Quantum information over noisy quantum channels

↳ Entropy exchange of operation  $E$  upon input  $\rho$ :

$$S(\rho, E) \equiv S(R', Q')$$

↳ "How much noise  $E$  causes when applied to state  $\rho$  of  $Q$ "

↳ Measure how much initially pure state  $RQ$  becomes mixed

↳ Quantum data processing inequality

↳ Classically, for Markov process  $X \rightarrow Y \rightarrow Z$ ,  $H(X) \geq H(X:Y) \geq H(X:Z)$

↳ Quantum:  $\rho \xrightarrow{E_1} \rho' \xrightarrow{E_2} \rho''$

↳ Quantum coherent information:  $I(\rho, E) \equiv S(E(\rho)) - S(\rho, E)$

$$S(\rho) \geq I(\rho, E_1) \geq I(\rho, E_2 \circ E_1)$$

↳ Quantum Singleton bound:  $n-k \geq 2(d-1)$

↳ An  $[n, k, d]$  code using  $n$  qubits to encode  $k$  qubits, able to locate errors on up to  $d-1$  qubits.

↳ C.f. Classical Singleton bound:  $n-k \geq d-1$