

# Modern Physics

## week 24 Notes

### \* Black body radiation

- Classically: energy flux irradiated by black body given by Stefan-Boltzmann Law

$$S = \sigma T^4$$

$\sigma$  = Stefan-Boltzmann const.

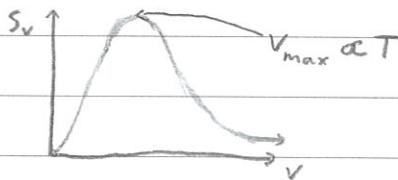
$$S = \epsilon \sigma T^4$$

(for non-black body,  $\epsilon < 1$ ,

$\epsilon = 1 \Rightarrow$  perfect absorber)

- Black body radiation spectrum

↳ Total power radiated:  $\int_0^\infty S_\nu d\nu = S = \sigma T^4$



↳ Classical calculation of spectrum using equipartition theorem

doesn't fit the curve—"Ultraviolet catastrophe"

↳ With QM:  $S_\nu = \frac{2\pi h}{c^2} \frac{v^3}{e^{hv/kT} - 1}$ ,  $h = 6.62618 \times 10^{-34} \text{ J}\cdot\text{s}$

↳ Works if oscillators have energy  $E = nhv$ , where  $n = 0, 1, 2, \dots$

- Calculation:

↳ From stat mech,  $S_\nu = \frac{2\pi v^2}{c^2} \bar{E}$ ,  $\bar{E}$  = avg. energy =  $\frac{\sum_{n=1}^{\infty} nhv e^{-nhv/kT}}{\sum_{n=1}^{\infty} e^{-nhv/kT}}$

↳ Let  $x = e^{-hv/kT} \Rightarrow E = \frac{\sum_{n=1}^{\infty} nx^n}{\sum_{n=1}^{\infty} x^n}$

$$\frac{\sum_{n=1}^{\infty} nhv e^{-nhv/kT}}{\sum_{n=1}^{\infty} e^{-nhv/kT}}$$

Normalization

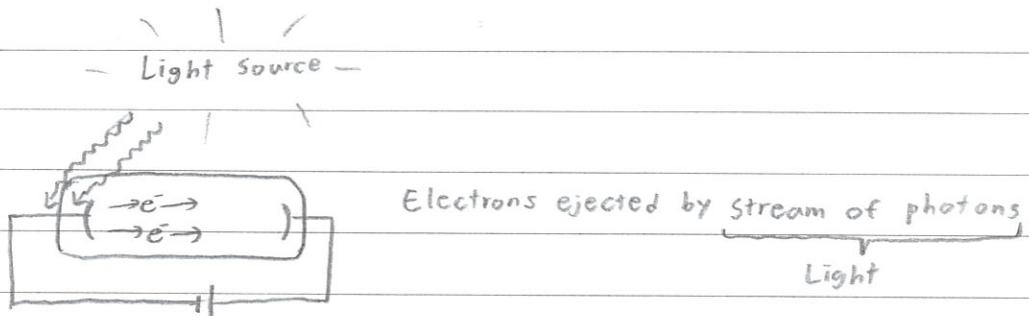
$\Rightarrow \bar{E} = \frac{hv}{e^{hv/kT} - 1}$ . Avg. energy at high  $hv$  is small, avoids ultraviolet catastrophe.

$$\Rightarrow S_\nu = \frac{2\pi v^2}{c^2} \frac{hv}{e^{hv/kT} - 1}$$

. Integrate to get Stefan-Boltzmann Law.

## \* The Photoelectric Effect

- Einstein: e.m. radiation quantized  $\Rightarrow$  photons



↳ Not explainable by classical wave theory of light

↳ Einstein: suppose that light is quantized & localized in space

Then all of  $h\nu$  can be transferred to 1 electron

## \* Compton Effect

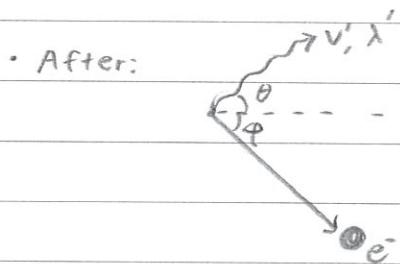
- Another demonstration of the quantized nature of light

(Cf. "wave" in classical physics)

• Before:

$\text{photon}$	$\rightarrow$	$\text{Electron}$
$\lambda = c/v$		$e^-$
$E = h\nu, p = h\nu/c$		

$$\left\{ \begin{array}{l} E = h\nu \\ E^2 = (mc^2)^2 + (pc)^2 \\ \Rightarrow p = \frac{h\nu}{c} \end{array} \right.$$



• Conserve momentum:  $\frac{h\nu}{c} = \frac{h\nu}{c} \cos \theta + p_e \cos \varphi$

$$0 = \frac{h\nu}{c} \sin \theta - p_e \sin \varphi$$

• Conserve energy:  $h\nu + m_e c^2 = h\nu' + m_e c^2 + K_e$

$$\therefore \frac{1}{h\nu'} = \frac{1}{h\nu} + \frac{1}{m_e c^2} (1 - \cos \theta), \text{ or}$$

$$\Delta \lambda = \lambda' - \lambda = \frac{h}{m_e c} (1 - \cos \theta)$$

# Modern Physics

## Week 26 Notes

### \* Mathematical Structure of QM

• Previously: Intensity =  $I = \frac{1}{2} \epsilon_0 |\vec{E}|^2$ ,  $\lim_{N \rightarrow \infty} \frac{n(x)}{N}$

• Now: probability =  $p(x) = |\Psi(x)|^2$ ,  $\lim_{N \rightarrow \infty} \frac{n(x)}{N}$

↳  $\Psi(x)$ : quantum state or wavefunction for 1 photon

↳ Mathematically like an EM wave, but represents something different

• From Optics:  $\frac{\partial^2 \vec{E}(\vec{r}, t)}{\partial t^2} = c^2 \nabla^2 \vec{E}(\vec{r}, t)$

↳ EM wave solution for double slit experiment must satisfy this equation

↳ Since  $\vec{E}(\vec{r}, t) \rightarrow \Psi(\vec{r}, t)$ ,

$$\frac{\partial^2 \Psi(\vec{r}, t)}{\partial t^2} = c^2 \nabla^2 \Psi(\vec{r}, t)$$

↳ Wave equation for a photon

### \* Some Postulates

1. Quantum State: quantum state of system is represented mathematically by normalized wavefunction  $\Psi$ . Contains all information that can be known about a system

↳ Wavefunction yields probabilities. No longer a well-defined

Classical state

↳ Wavefunction is normalized:  $\int_{-\infty}^{+\infty} |\Psi(x)|^2 dx = 1$

↳ Wavefunction represented in Hilbert space (crudely: space of all wavefunctions)

2. Observables (more on this later)

3. Measurement

↳ Outcome of measurement of a quantum system yields 1 of possible outcomes in accordance to probability measured from state

$$p(x) = |\Psi(x)|^2 \quad \text{← Born Probability Rule}$$

※ Modulus square:  $|\Psi|^2 = \Psi^* \Psi$ , \* is complex conjugate

- Born Probability Rule for state vectors:

$$P_{\Psi}(\omega) = |\langle \omega | \Psi \rangle|^2$$

$\omega$  = outcome,  $\Psi$  = state object is in

$$\hookrightarrow \Psi(x) = \langle x | \Psi \rangle$$

### \* Two-state Observables: Spin 1/2

- Stern-Gerlach Experiment

- Every particle has magnetic moment  $\vec{\mu}$

↪ Within  $\vec{B}$  field it has potential energy  $\vec{U} = -\vec{\mu} \cdot \vec{B}$

$$\hookrightarrow \text{Force on particle is } \vec{F} = \nabla(\vec{\mu} \cdot \vec{B})$$

- In S-G,  $\vec{B} \sim B_0 z \hat{k}$  (magnitude grows as  $z$  grows)

$$\bullet \text{Thus } \vec{F} \rightarrow F_z = \frac{\partial}{\partial z} (\mu_z B_z) \approx \mu_z \frac{\partial B_z}{\partial z}$$

- Classically:  $\vec{\mu}$  distributed isotropically, distribution along  $z$  should be continuous

↪ But that doesn't happen

- Two possible outcomes:  $+\frac{\hbar}{2}, -\frac{\hbar}{2}$

↪ Require 2D Hilbert space spanned by basis kets  $| \uparrow \rangle_z, | \downarrow \rangle_z$

\* Two-state observables: polarization

• Malus's Law:  $I(\theta) = I_0 \cos^2 \theta$

↳ Probability of transmission:  $p(\theta) = \cos^2 \theta$

↳ Basis kets:  $|H\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |V\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

• Represent linearly polarized photon:  $|\theta\rangle = \cos \theta |H\rangle + \sin \theta |V\rangle = \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}$

• Since state vectors may be complex, general form is

$$|\theta, \phi\rangle = \cos \theta |H\rangle + e^{i\phi} \sin \theta |V\rangle = \begin{pmatrix} \cos \theta \\ e^{i\phi} \sin \theta \end{pmatrix}$$

• Another set of bases  $\frac{\pi}{4}$  from HV:

$$\hookrightarrow |P\rangle = \frac{1}{\sqrt{2}}(|H\rangle + |V\rangle), |M\rangle = \frac{1}{\sqrt{2}}(|H\rangle - |V\rangle)$$

↳ HV and PM are complementary.

↳ Can only have perfect knowledge of outcome in 1 basis at a time.

↳ If we know outcome in HV, we don't know what it is in PM

• RL basis (right & left circularly polarized light)

$$\hookrightarrow |R\rangle = \frac{1}{\sqrt{2}}(|H\rangle + i|V\rangle), |L\rangle = \frac{1}{\sqrt{2}}(|H\rangle - i|V\rangle)$$

4. Time Evolution: time evolution of closed quantum system is governed by appropriate wave equation

↳ Allowed transformations called unitary transformations

↳ Criteria for unitary transformations: preserve normalization of probability

### \* Discrete Observables

• Ex. flipping a coin (heads or tails)

$$\Psi_{\text{coin}} = \Psi_H + \Psi_T$$

$$\hookrightarrow P = |\Psi_H + \Psi_T|^2 = |\Psi_H|^2 + |\Psi_T|^2 + \Psi_H^* \Psi_T + \Psi_H \Psi_T^*$$

↳ Cross terms must vanish, so the wavefunctions need to be orthogonal vectors,

• Introduce complex vector space  $\mathcal{H}$ , allows components to take on complex values.

↳ Ket vector:  $|\Psi\rangle = |\Psi_H\rangle + |\Psi_T\rangle$

$$|\Psi\rangle = \Psi_H |H\rangle + \Psi_T |T\rangle$$

$|H\rangle, |T\rangle$  are real vectors

↳ Bra vector:  $\langle \Psi | = \Psi_H^* \langle H | + \Psi_T^* \langle T |$

And not that kind of bra

• Generalize dot product to inner product within  $\mathcal{H}$

$$\hookrightarrow \langle \Psi | \Psi \rangle = |\Psi_H|^2 \langle H | H \rangle + |\Psi_T|^2 \langle T | T \rangle + \Psi_H^* \Psi_T \langle H | T \rangle + \Psi_H \Psi_T^* \langle T | H \rangle$$

$$= |\Psi_H|^2 + |\Psi_T|^2$$

※ If the basis vectors  $|H\rangle$  and  $|T\rangle$  are orthonormal

$$\bullet \text{Ex. } \Psi_H = \frac{i}{2}, \quad \Psi_T = \frac{\sqrt{3}}{2}, \quad |\Psi\rangle = \frac{i}{2} |H\rangle + \frac{\sqrt{3}}{2} |T\rangle$$

↳ Probability to come up heads:

$$\langle H | \Psi \rangle = \frac{i}{2} \langle H | H \rangle + \frac{\sqrt{3}}{2} \langle T | H \rangle = \frac{i}{2}$$

So we see that  $\Psi_H = \frac{i}{2}$

$$\hookrightarrow P_\Psi(H) = |\langle H | \Psi \rangle|^2 = \left| \frac{i}{2} \right|^2 = \frac{1}{4}$$

# Modern Physics

## week 27 Notes

### \*Mathematics of the State Vectors & Hilbert spaces

- Inner product: generalization of dot product

↳ Properties of  $\langle u|v \rangle \in \mathbb{C}$  for  $|u\rangle, |v\rangle, |w\rangle \in V$  and  $\lambda, \mu \in \mathbb{C}$

$$1. \text{ Distributive: } \langle u|(iv\rangle + iw\rangle) = \langle u|v \rangle + \langle u|w \rangle$$

$$2. \text{ Scalar distributivity: } \langle u|(\lambda v\rangle) = \lambda \langle u|v \rangle$$

$$3. \text{ Complex conjugate: } \langle u|v \rangle^* = \langle v|u \rangle$$

$$4. \text{ Vector norm: } \langle u|u \rangle \geq 0 \text{ & } \in \mathbb{R}$$

$$\hookrightarrow \langle u|u \rangle = 0 \iff |u\rangle = 0$$

$$\hookrightarrow \| |u\rangle \| = \sqrt{\langle u|u \rangle}$$

$$\hookrightarrow \text{Normalized vectors: } \langle u|u \rangle = 1$$

$$\hookrightarrow \text{Orthogonal vectors: } \langle u|v \rangle = 0$$

- Hilbert space,  $H$

↳ Linear vector space (10 axioms) for which an inner product is defined as above

- Dual vector space (adjoint space),  $V^*$

↳ For each ket vector  $|u\rangle \in V$ , there is a unique bra vector  $\langle u| \in V^*$

- Matrix form of bra & ket vectors

↳ With orthonormal basis,  $|u\rangle = u_{11}|e_1\rangle + u_{12}|e_2\rangle + \dots + u_{N1}|e_N\rangle$

↳

$$|u\rangle = \begin{pmatrix} u_{11} \\ u_{21} \\ u_{31} \\ \vdots \\ u_{N1} \end{pmatrix}, \quad \langle v| = (v_{11}, v_{12}, v_{13}, \dots, v_{1N})$$

↳ Hermitian Conjugate: if matrix  $A$  has elements  $a_{nm}$ ,  $A^*$  has elements  $a_{mn}^*$

(Flip elements about diagonal & complex conjugate all elements)

↳ Hermitian operator:  $X^* = X$

Ex. e.g.  $|u\rangle = \begin{pmatrix} 1 \\ i \\ 3+2i \\ e^{-i\pi/6} \end{pmatrix}$ , so  $\langle u| = (1 \ -i \ 3-2i \ e^{i\pi/6})$

General form of inner product:

$\hookrightarrow |u\rangle = \begin{pmatrix} u_1 \\ u_2 \\ \vdots \\ u_N \end{pmatrix}, |v\rangle = \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_N \end{pmatrix}$

$\hookrightarrow \langle u|v\rangle = (u_1^* \ u_2^* \ \dots \ u_N^*) \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_N \end{pmatrix} = u_1^* v_1 + u_2^* v_2 + \dots + u_N^* v_N$

- Postulate 1: quantum state

$\hookrightarrow$  Quantum state of system is represented mathematically by normalized vector  $|\psi\rangle$  in a Hilbert space  $\mathcal{H}$ .

$\hookrightarrow$  Quantum state contains all info that can be known about system

### \*Superpositions

- Quantum state is a vector in Hilbert space

$\hookrightarrow$  Possible that  $|\psi\rangle$  is not parallel to outcome in some basis

$\hookrightarrow$  Superposition, e.g.  $|+\rangle = \frac{1}{\sqrt{2}}(|H\rangle + |V\rangle)$

- Global phase factors:  $e^{i\delta}$  multiplying entire state

$\hookrightarrow$  Does not affect probabilities

$\hookrightarrow$  e.g. For  $|\psi\rangle = e^{i\delta}(a|\alpha\rangle + b|\beta\rangle)$ ,

$$\begin{aligned} p(\omega \text{ in } \psi) &= |\langle \omega | \psi \rangle|^2 = \langle \omega | \psi \rangle^* \langle \omega | \psi \rangle \\ &= e^{-i\delta} (a \langle \omega | \alpha \rangle + b \langle \omega | \beta \rangle)^* e^{i\delta} (a \langle \omega | \alpha \rangle + b \langle \omega | \beta \rangle) \end{aligned}$$

$$= |\langle \omega | (a|\alpha\rangle + b|\beta\rangle) \rangle|^2$$

$\checkmark$  Can be used to simplify some states

$\checkmark$  But relative phase factors are important

## \* Multiparticle states

- Alice & Bob have a photon
- How to represent polarization state of both?
- Alice's state:  $|H\rangle_A \in \mathcal{H}_A$
- Bob's state:  $|V\rangle_B \in \mathcal{H}_B$
- To consider as single system, need joint quantum states of both photons
- Given by tensor product state

$$|H\rangle_A \otimes |V\rangle_B \in \mathcal{H}_A \otimes \mathcal{H}_B$$

↳ Simplified notation:  $|H\rangle_A \otimes |V\rangle_B \equiv |H\rangle_A |V\rangle_B \equiv |H\rangle |V\rangle \equiv |HV\rangle$

### Properties of tensor product

1. Scalar multiplication:  $\lambda(|u\rangle |v\rangle) = (\lambda|u\rangle) |v\rangle = |u\rangle (\lambda |v\rangle)$

2. Distributivity:  $(|u\rangle + |v\rangle) |w\rangle = |u\rangle |w\rangle + |v\rangle |w\rangle$

3. Inner product:  $\langle uv | u'v' \rangle = \langle u | u' \rangle \langle v | v' \rangle$

↳ For  $|u\rangle |v\rangle, |u'\rangle |v'\rangle$

⊗ Dimension of product space is the product of dimensions of subspaces

⊗ If joint probability cannot be factorized  $\rightarrow$  entangled states

↳ If 1 outcome is measured, state of the other is determined

↳ But prior to measurement, we can't think of them independently

# Modern Physics

## week 29 Notes

### \* Transformation of states

- Consider state  $|+\rangle$  impinging on polaroid oriented along  $H$

↳ Probability to transmit is  $\frac{1}{2}$

$$\hookrightarrow \text{State after polarizer: } \frac{1}{\sqrt{2}}|H\rangle = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\hookrightarrow |\psi\rangle_{\text{after}} = \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}}_{\text{outer product}} \underbrace{\frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 0 \end{pmatrix}}_{|+\rangle} = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\therefore |\psi\rangle_{\text{after}} = |H\rangle\langle H| |+\rangle = \frac{1}{\sqrt{2}}|H\rangle$$

↳ Outer product  $|H\rangle\langle H|$  is an operator (matrix) projecting out the  $H$ -component

- $|H\rangle\langle H|$  is operator projecting out  $H$ -component
- $|V\rangle\langle V|$  is operator projecting out  $V$ -component

$$\cdot |H\rangle\langle H| + |V\rangle\langle V| = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \text{ identity}$$

↳ Probability adds to 1

Resolution of identity: consider orthonormal, complete set of basis vectors in  $\mathcal{H}$ ,  $\{|w_i\rangle\}$ ,  $i=1, \dots, n$ .

Sum of projectors is the identity operator

$$\boxed{\sum_{i=1}^n |w_i\rangle\langle w_i| = \hat{I}}$$

- Example: state  $|H\rangle$  sent through polarizers  $+, R, H, -$

$$\hookrightarrow |\psi\rangle_{\text{after}} = \underbrace{|-\rangle\langle -|}_{\text{Outer prob.}} |H\rangle\langle H| |R\rangle\langle R| |+\rangle\langle +| |H\rangle$$

$$\hookrightarrow \dots |\psi\rangle_{\text{after}} = |-\rangle \left(\frac{1}{\sqrt{2}}\right) \left(\frac{1}{\sqrt{2}}\right) \left(\frac{1-i}{2}\right) \left(\frac{1}{\sqrt{2}}\right) = \frac{1-i}{4\sqrt{2}} |-\rangle$$

Remember to complex conjugate when going from bra  $\rightarrow$  ket or ket  $\rightarrow$  bra

$$\hookrightarrow P(- \text{ in } \psi_{\text{after}}) = |\langle - | \psi_{\text{after}} \rangle|^2 = \left| \frac{1-i}{4\sqrt{2}} \right|^2 = \frac{2}{(4\sqrt{2})^2} = \frac{1}{16}$$

• Some quantum gates (operators)

1. Identity operator:  $\hat{I}$ . Trivially does nothing to state

↳ Sum of projectors in any basis, e.g.  $\hat{I} = |H\rangle\langle H| + |V\rangle\langle V|$

2. Swap operator:  $\hat{X}$ . Swaps H and V

$$\hookrightarrow \hat{X} = |H\rangle\langle V| + |V\rangle\langle H| = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

↑      ↑  
output    input

↳ "Takes V, gives H. Takes H, gives V."

3. Hadamard gate:  $\hat{H}$ . Converts HV to PM and back

$$\hookrightarrow \hat{H} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad \left| \begin{array}{ll} \hat{H}|H\rangle = |+\rangle & \hat{H}|+\rangle = |H\rangle \\ \hat{H}|V\rangle = |- \rangle & \hat{H}|- \rangle = |V\rangle \end{array} \right.$$

• Eigenvectors & eigenvalues

↳ Want to identify operator that doesn't change state (up to an overall const.)

↳ Outcomes:

$\left\{ \begin{array}{l} \text{HV basis: } H=+1, V=-1 \\ \text{PM basis: } P=+1, M=-1 \\ \text{RL basis: } R=+1, L=-1 \end{array} \right.$
---

↳ Construct operator that, in 1 basis, returns that state multiplied by the outcome.

↳ Such operators are called observables

↳ E.g. Observable for HV basis:

$$\hat{O}_{HV} \equiv \hat{z} = |H\rangle\langle H| - |V\rangle\langle V| = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

↑      ↑  
output    input      ↓      ↓  
                output    input

$$\hookrightarrow \text{For PM: } \hat{O}_{PM} \equiv \hat{x} = |+\rangle\langle +| - |- \rangle\langle -| = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\hookrightarrow \text{For RL: } \hat{O}_{RL} \equiv \hat{y} = |R\rangle\langle R| - |L\rangle\langle L| = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\hookrightarrow \hat{O}|w_i\rangle = w_i|w_i\rangle$$

↑ eigenvalue  
↓ eigenvector

" $w_i$  is eigenvalue corresponding to eigenvector  $|w_i\rangle$  of observable  $\hat{O}$ ."

$\diamond$  physically observable outcomes are real numbers, so the eigenvalues of any observable must be real.

$\diamond$  i.e. Hermitian operators have real eigenvalues

$$\hat{\Omega} \equiv \sum_{i=1}^n w_i |w_i\rangle\langle w_i|, \quad w_i \text{ is outcome}$$

$$w_i \in \mathbb{R}$$

# Modern Physics

## Week 28 Notes

### \* Compatible vs. incompatible observables

#### • Example

↳ State vector  $|H\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  is eigenvector of  $\hat{Z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  w/  
eigenvalue +1

↳ State vector  $|+\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  is not eigenvector of  $\hat{Z}$

- ↳ Eigenvalue is a definite state in that basis. If measured in that basis, corresponding outcome will occur with prob. 1
- ↳ Measuring along H affects PM values: e.g. projecting along H then M disturbs HV value, no longer definite value

↳ Measurement of 1 affects other:  $\hat{X} \hat{Z} |H\rangle = \hat{X} |H\rangle = |V\rangle$

$\hat{Z} \hat{X} |H\rangle = \hat{Z} |V\rangle = -|V\rangle$

#### \* Operating on state vector ≠ measurement

- If a state has definite values of 2 distinct observables, the operators obey

$$\boxed{\hat{A}\hat{B} - \hat{B}\hat{A} = 0}$$

↳ e.g. state  $|a, b\rangle$  that's an eigenstate of  $\hat{A}, \hat{B}$

$$\hat{A}|a, b\rangle = a|a, b\rangle$$

$$\hat{B}|a, b\rangle = b|a, b\rangle$$

$$(\hat{A}\hat{B} - \hat{B}\hat{A})|a, b\rangle = b\hat{A}|a, b\rangle - a\hat{B}|a, b\rangle = 0$$

- The commutator:  $[\hat{A}, \hat{B}] \equiv \hat{A}\hat{B} - \hat{B}\hat{A}$

↳ If commutator vanishes, observables are compatible

↳ The 2 properties can be simultaneously known

\* Incompatible observables: spin along x, y, z

position & momentum

## \*Expectation Values

- In Classical Physics:  $E(A) = \langle A \rangle = \sum_{i=1}^n a_i P(A=a_i)$

- In Quantum Physics: need to find E.V. of quantum observable  $\hat{A}$  in state  $|\psi\rangle$

$$\langle \hat{A} \rangle_\psi = \sum_{i=1}^n a_i |\langle a_i | \psi \rangle|^2 = \sum_{i=1}^n a_i \langle \psi | a_i \rangle \langle a_i | \psi \rangle$$

$a_i$  are outcomes

$$\langle \psi | \hat{A} | \psi \rangle = \sum_{i=1}^n \sum_{j=1}^n \langle \psi | a_i \rangle \langle a_i | \hat{A} | a_j \rangle \langle a_j | \psi \rangle$$

⋮

$$\boxed{\langle \hat{A} \rangle_\psi = \langle \psi | \hat{A} | \psi \rangle}$$

Expectation value of  $\hat{A}$

- Other statistics:

$$\hookrightarrow \langle \hat{A} \rangle_\psi = \langle \psi | \hat{A} | \psi \rangle, \quad \langle \hat{A}^2 \rangle_\psi = \langle \psi | \hat{A}^2 | \psi \rangle$$

$$1. \text{ var}(\hat{A})_\psi = \langle \hat{A}^2 \rangle_\psi - (\langle \hat{A} \rangle_\psi)^2$$

$$2. \text{ cov}(\hat{A} \hat{B})_\psi = \langle \hat{A} \hat{B} \rangle_\psi - \langle \hat{A} \rangle_\psi \langle \hat{B} \rangle_\psi$$

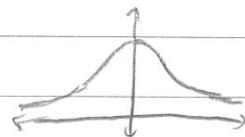
$$3. \text{ corr}(\hat{A} \hat{B})_\psi = \frac{\text{cov}(\hat{A} \hat{B})}{\sqrt{\text{var}(\hat{A})_\psi \text{var}(\hat{B})_\psi}}$$

## \*The Uncertainty Principle

- For 2 incompatible observables, we can't construct a state that has sharp values of those observables simultaneously

- Consider eigenstate  $|a_2\rangle$  of  $\hat{A}$ :

$$\langle \hat{A} \rangle_{|a_2\rangle} = a_2, \quad \text{var}(\hat{A})_{|a_2\rangle} = a_2^2 - a_2^2 = 0$$



Variance measures "splay" about mean  
If  $\text{Var}=0$ , no splay.

- Uncertainty of observables = Standard dev. =  $\Delta \hat{A} = \sqrt{\text{Var}(A)}$

- Consider 2 incompatible observables  $\hat{A}, \hat{B}$ :

↳ If  $|a\rangle$  is an eigenstate of  $\hat{A}$  but not  $\hat{B}$ ,

$$\hat{A}|a\rangle = a|a\rangle, \quad \Delta_a \hat{A} = \sqrt{\text{Var} A} = 0$$

$[\hat{A}, \hat{B}] \neq 0$ ,  $\hat{B}|a\rangle = b|\varphi\rangle$  and  $|\varphi\rangle$  is not along  $|a\rangle$  direction

↳ Possibility 1:  $|\varphi\rangle$  is along  $|a_{\perp}\rangle$  direction,  $|\varphi\rangle \sim |a\rangle$

$$\begin{aligned} \text{var}(B) &= \langle a | \hat{B}^2 | a \rangle - \langle a | \hat{B} | a \rangle \langle a | \hat{B} | a \rangle \\ &= b \langle a | \hat{B} | a_{\perp} \rangle \end{aligned}$$

$$\equiv bc \langle a | \psi \rangle, \text{ where } \hat{B}|a_{\perp}\rangle = c|\psi\rangle$$

$|\psi\rangle \neq |a_{\perp}\rangle$  by our assumption

thus  $bc \langle a | \psi \rangle \neq 0$ ,  $\boxed{\text{var}(B) \neq 0}$

↳ Possibility 2:  $|\varphi\rangle$  is not along  $|a_{\perp}\rangle$  direction,  $|\varphi\rangle \not\propto |a_{\perp}\rangle$

$$\text{var}(B) = d \langle a | \hat{B} | \varphi \rangle - d^2 \langle a | \varphi \rangle \langle a | \varphi \rangle$$

$\hat{B}|\varphi\rangle \not\propto |\varphi\rangle$  from our assumption

$$\text{Let } \hat{B}|\varphi\rangle = e|\psi\rangle$$

⋮

$$\boxed{\text{var}(B) = de \langle a | \psi \rangle - d^2 \langle a | \varphi \rangle \langle a | \varphi \rangle \neq 0}$$

- Generalized Uncertainty Principle:

$$\Delta_x \hat{A} \Delta_p \hat{B} \geq \frac{1}{2} |\langle \psi | [\hat{A}, \hat{B}] | \psi \rangle|$$

∴ Looking ahead: Heisenberg Uncertainty Principle

$$\hat{x} = x, \quad \hat{p} = -i\hbar \frac{\partial}{\partial x}$$

$$[\hat{x}, \hat{p}] = -i\hbar (x\psi_x(x) - \psi(x) + x\psi_x(x)) = i\hbar \psi(x)$$

$$\rightarrow [\hat{x}, \hat{p}] = i\hbar \hat{I},$$

$$\boxed{\Delta x \Delta p \geq \frac{\hbar}{2}}$$

# Modern physics

## week 29 Notes

### \* plane wave states

• Maxwell's wave equation:  $\frac{\partial^2 E(x,t)}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 E(x,t)}{\partial t^2} = 0$

$$\frac{\partial^2 B(x,t)}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 B(x,t)}{\partial t^2} = 0$$

↳ Cosine solution:  $E(x,t) = E_0 \cos(kx - \omega t)$ ,  $\omega = kc$

↳ Exponential solution:  $E(x,t) = E_0 e^{i(kx - \omega t)}$

• Wavefunction for single photon:  $\Psi(x,t) = A e^{i(kx - \omega t)}$

↳  $\lambda = \frac{h}{p} \rightarrow p = \hbar k$

↳  $E = hf \rightarrow E = \hbar \omega$

$$\boxed{\Psi(x,t) = A e^{\frac{i}{\hbar}(px - Et)}}$$

plane wave state in terms of  
energy & momentum

※ Let  $\hat{E} = i\hbar \frac{\partial}{\partial t}$ , an operator (linear, Hermitian)

• Take derivative of plane wave state:

$$\frac{\partial \Psi}{\partial t} = -\frac{iE}{\hbar} \Psi$$

$$\left( i\hbar \frac{\partial}{\partial t} \right) \Psi = E \Psi \quad \rightarrow \quad \boxed{\hat{E} \Psi = E \Psi}$$

Energy  
operator

↳ plane wave is an eigenstate of energy operator  $\hat{E}$  w/  
eigenvalue  $E$ .

※ Let  $\hat{P} = -i\hbar \frac{\partial}{\partial x}$ , an operator

Momentum  
operator

• Take derivative of plane wave state:

$$\frac{\partial \Psi}{\partial x} = \frac{ip}{\hbar} \Psi \quad \rightarrow \quad \boxed{\hat{P} \Psi = p \Psi}$$

↳ Plane wave is eigenstate of momentum operator  $\hat{P}$  w/  
eigenvalue  $p$ .

- Maxwell's wave equation with  $\hat{E}$  and  $\hat{P}$

$$\frac{\partial^2 \Psi(x,t)}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 \Psi(x,t)}{\partial t^2} = 0$$

$$-i\hbar \frac{\partial}{\partial x} \left( -i\hbar \frac{\partial}{\partial x} \right) \Psi(x,t) - \frac{1}{c^2} \left( i\hbar \frac{\partial}{\partial t} \right) \left( i\hbar \frac{\partial}{\partial t} \right) \Psi(x,t) = 0$$

$$\hat{P}^2 \Psi(x,t) - \frac{1}{c^2} \hat{E}^2 \Psi(x,t) = 0$$

$$\boxed{\hat{E}^2 \Psi(x,t) = c^2 \hat{P}^2 \Psi(x,t)}$$

Using  $\hat{E}\Psi = E\Psi$ ,  $\hat{P}\Psi = p\Psi$  :  $E^2 \Psi(x,t) = c^2 p^2 \Psi(x,t)$

$$\boxed{E = pc}$$

← Relativistic energy of photon

### \*QM Postulates

- Quantum state is represented by normalized ket
- Observables are represented by operators acting on kets
- Measurement results in the system jumping to 1 of the eigenkets of the operator

↳ Probability obtained via Born:  $|\langle a|\Psi\rangle|^2$

### \*Class notes

- Matrix elements of A (eigenkets  $a', a''$ ):  $\langle a''|A|a'\rangle = a' \delta_{a'a''}$ 
  - If Kronecker delta  $\delta_{a'a''}=0$  when not on diagonal, A is a diagonal matrix
  - If A, B commute, eigenvectors are same  
B has eigenvalues  $\langle a'|B|a'\rangle$

# Modern Physics

## week 32 notes

### \*The Schrödinger Equation

#### • Loose derivation

↳ Classical energy of electron:  $E = \frac{p^2}{2m} + V(x, t)$

↳ Limit to time-independent potential:  $E = \frac{p^2}{2m} + V(x)$

↳  $E\psi = \frac{p^2}{2m}\psi + V(x)\psi$ , and  $\hat{P}\psi = p\psi$ ,  $\hat{E}\psi = E\psi$ , so

$$\boxed{\hat{E}\psi = \frac{\hat{P}^2}{2m}\psi + V(x)\psi}$$

↳ Substitute operator expressions:  $\hat{P} \equiv -i\hbar \frac{\partial}{\partial x}$ ,  $\hat{E} \equiv i\hbar \frac{\partial}{\partial t}$

$$\boxed{i\hbar \frac{\partial}{\partial t}\psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2}\psi + V(x)\psi}$$

The Schrödinger Equation for a non-relativistic object  
in 1-dimensional, time-independent potential

#### • Cases

1. Free particle in 1D:  $i\hbar \frac{\partial}{\partial t}\psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2}\psi$

2. Harmonic oscillator:  $i\hbar \frac{\partial}{\partial t}\psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2}\psi + \frac{1}{2}m\omega^2x^2\psi$

(Time dependent form for now)

3. Particle in 3D potential:  $i\hbar \frac{\partial}{\partial t}\psi = -\frac{\hbar}{2m} \nabla^2\psi + V(x, y, z)\psi$

↳  $p^2 = \vec{p} \cdot \vec{p} = p_x^2 + p_y^2 + p_z^2$

↳  $\hat{P}^2 = \hat{P}_x^2 + \hat{P}_y^2 + \hat{P}_z^2 = -\hbar^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right)$

$\underbrace{\quad}_{\text{Laplacian, } \nabla^2}$

4. Hydrogen atom:  $i\hbar \frac{\partial}{\partial t}\psi = -\frac{\hbar}{2\mu} \nabla^2\psi - k \frac{e^2}{r} \psi$

↳ Simplified.

↳  $\mu$  is reduced mass.

- Rewrite SE as

$$i\hbar \frac{\partial}{\partial t} \Psi = \hat{H} \Psi$$

Remember,

$$i\hbar \frac{\partial}{\partial t} \Psi = E \Psi$$

↳  $\hat{H}$  is the Hamiltonian:  $\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$

↳ Hermitian (real eigenvalues), b.c. energies are real numbers)

※ How to think of SE

↳ Determines which states  $\Psi$  are permissible depending on system (form of  $\hat{H}$ )

↳ Allows one to find energy spectrum of system (given  $\Psi$ ,  $\hat{H}$  returns energy of that state)

↳ Gives time evolution of  $\Psi$ : given  $\Psi(t)$ , gives  $\Psi'(t)$

- Time evolution: let  $\hat{U}$  be a time development operator

$$\hookrightarrow \hat{U}(t', t) \Psi(t) = \Psi(t')$$

$$\hookrightarrow \hat{U}(t+dt, t) \Psi(t) = \Psi(t+dt) = \Psi(t) + dt \frac{\partial \Psi}{\partial t} \Big|_t$$

$$\hookrightarrow \text{From SE, } \frac{\partial \Psi}{\partial t} = -\frac{i}{\hbar} \hat{H} \Psi, \text{ so } \Psi(t+dt) = \Psi(t) - dt \frac{i}{\hbar} \hat{H} \Psi(t)$$

$$\Psi(t+dt) = \left( \hat{1} - dt \frac{i}{\hbar} \hat{H} \right) \Psi(t)$$

$$\boxed{\hat{U}(t+dt, t) = \hat{1} - dt \frac{i}{\hbar} \hat{H}}$$

※ In general for  $\hat{H} \neq \hat{H}(t)$ ,

$$\boxed{\hat{U}(t, 0) = \hat{U}(t) = e^{-\frac{i}{\hbar} \hat{H} t}}$$

※ Unitary evolution:  $\hat{U}^\dagger \hat{U} = e^{\frac{i}{\hbar} \hat{H} t} e^{-\frac{i}{\hbar} \hat{H} t} = \hat{1}$

Unitary to preserve normalization of probability:  $\int \Psi^*(x, t) \Psi(x, t) dx = 1$

$$\int \Psi^*(x, t+dt) \Psi(x, t+dt) dx = 1 = \int \Psi^*(x, t) \hat{U}^\dagger \hat{U} \Psi(x, t) dx$$

⚠ When measurement is performed, smooth evolution is broken.

Not a unitary evolution.

## \*Free particle solution of Schrödinger Equation

$$\bullet i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi$$

↪ Assume definite energy:  $E$ :  $i\hbar \frac{\partial}{\partial t} \psi = E\psi$

↪ Simple solution  $\psi = e^{-iEt/\hbar}$ , but can't be full solution since  $\psi'' = 0$

↪ Assume general solution  $\psi = Ae^{i(bx - \frac{E}{\hbar}t)} + Be^{-i(bx + \frac{E}{\hbar}t)}$

w/ some factor  $b$

$$\hookrightarrow \text{Now substitute into } \hat{E}\psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2} \psi$$

$$\hat{E}\psi = E\psi = -\frac{\hbar}{2m} \left[ -b^2 A e^{i(bx - \frac{E}{\hbar}t)} - b^2 B e^{-i(bx + \frac{E}{\hbar}t)} \right]$$

$$E\psi = b^2 \frac{\hbar}{2m} \psi, \quad b = \pm \sqrt{\frac{2mE}{\hbar^2}}$$

$\therefore$  The general solution is

$$\psi = Ae^{i(kx - \frac{E}{\hbar}t)} + Be^{-i(kx + \frac{E}{\hbar}t)}$$

$$k = \sqrt{\frac{2mE}{\hbar^2}}$$

$k$ =wavenumber:  $\frac{2\pi}{\lambda}$

※ Note:  $E = hf = \hbar\omega$ , so  $\psi = Ae^{i(kx - \omega t)} + Be^{-i(kx + \omega t)}$

• Interpretation: 2 waves, 1 traveling right and 1 traveling left?

$$\hookrightarrow \text{Substitute } E = \frac{\hbar^2 k^2}{2m}, \quad \psi = Ae^{ik(x - \frac{\hbar k}{2m}t)} + Be^{-ik(x + \frac{\hbar k}{2m}t)}$$

$$\hookrightarrow \text{Velocity of wave: } V = \frac{\hbar k}{2m} = \sqrt{\frac{E}{2m}}$$

$$\hookrightarrow \text{But classically, } E = \frac{1}{2}mv^2,$$

$$V_{\text{classical}} = \sqrt{\frac{2E}{m}} = 2V_{\text{quantum}}$$

\* Another Problem

↳ For probability density  $p(x,t) = \psi^* \psi$ , we want  $1 = \int_{-\infty}^{\infty} p(x,t) dx$

$$\begin{aligned}\psi^* \psi &= (A e^{-i(kx - \omega t)} + B e^{i(kx + \omega t)}) (A e^{i(kx - \omega t)} + B e^{-i(kx + \omega t)}) \\ &= A^2 + B^2 + AB (e^{i(2kx)} + e^{-i(2kx)}) \\ &= A^2 + B^2 + 2AB \cos(kx)\end{aligned}$$

$$\int_{-\infty}^{\infty} \psi^* \psi dx = \infty$$

∴ Free particle state with definite energy is not normalizable  
(unphysical, not possible by QM)

↳ But not useless: realistic solutions can be created as

Sums of free particle states of different  
energies. (diff.  $k$ )

$$\Psi(x,t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i(kx - \frac{\hbar k^2}{2m} t)} dk$$

Can be normalized if  $\phi(k)$  has correct form

### \*Time-Independent Schrödinger Equation

$$\bullet E\psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V(x)\psi$$

↳ Use  $E\psi = i\hbar \frac{\partial}{\partial t} \psi$ , and let  $\Psi(x, t) = g(t)\psi(x)$  ← separable

$$\hookrightarrow \text{so } E\Psi(x)g(t) = i\hbar \Psi(x) \frac{\partial g(t)}{\partial t}$$

$$\frac{\partial g(t)}{\partial t} = -i \frac{E}{\hbar} g(t), \text{ solution}$$

$$g(t) = e^{-iEt/\hbar}$$

∴ Full solution involves a phase shift  $g(t)$ :  $\Psi(x, t) = e^{-iEt/\hbar} \psi(x)$

※ If external potential doesn't change → Energy conserved (constant)

If external potential changes → work done on particle,  $E$  changes.

• Note: particles in definite energy states have time-independent probability density.

↳ For  $\Psi(x, t) = e^{-iEt/\hbar} \psi(x)$ ,  $p(x) = \psi^* \psi$  is time-independent

↳ But QM particles can be superpositions of states.

↳ If  $\Psi = \Psi_1 + \Psi_2$ ,  $p(x, t) = \underbrace{\Psi_1^* \Psi_1 + \Psi_2^* \Psi_2}_{\text{Different energies}} + \underbrace{\Psi_1^* \Psi_2 + \Psi_2^* \Psi_1}_{\text{Interference terms}}$

↳ So probability density fluctuates in time

### \*Properties of the wavefunction

• Constraints in order to solve SE

1. Probability is normalized:  $\int_{-\infty}^{+\infty} p(x, t) dx = \int_{-\infty}^{+\infty} \psi^* \psi dx = 1$

2. As  $x \rightarrow \pm\infty$ ,  $p(x, t)$  and  $\psi \rightarrow 0$  (to be normalizable)

3. Wavefunction must be continuous

4. The derivative of the wavefunction is continuous

↳ Otherwise energy is undefined b.c. of the  $\frac{\partial^2 \psi}{\partial x^2}$  term

## \*Solving the Schrödinger Equation: Infinite Well

- Process:

1. Graph of potential  $V$ , position
2. Write SE for regions w/ same  $V$
3. Write general form of sol'n for those regions
4. Apply conditions from before:

↳ Drop any terms that blow up as  $x \rightarrow \pm\infty$

↳ Match wavefunctions when 2 regions meet (and their derivatives)

↳ Normalize overall solution

5. Include time-dependent portion,  $e^{-iEt/\hbar}$

- Cases for  $V$

1.  $V=0$

↳ SE becomes  $E\Psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2} \Psi \rightarrow \frac{d^2\Psi}{dx^2} = -k^2 \Psi, k = \sqrt{\frac{2mE}{\hbar^2}}$

↳ Solution:  $\Psi(x) = Ae^{ikx} + Be^{-ikx}$

$$= C \cos(kx) + D \sin(kx)$$

2.  $E > V$

↳ SE becomes  $E\Psi = -\frac{\hbar}{2m} \frac{\partial^2}{\partial x^2} \Psi + V\Psi$

$$\frac{d^2\Psi}{dx^2} = -k^2 \Psi, k = \sqrt{\frac{2m(E-V)}{\hbar^2}}$$

↳ Solution:  $\Psi(x) = Ae^{ikx} + Be^{-ikx}$

$$= C \cos(kx) + D \sin(kx)$$

3.  $E < V$

↳ Solution:  $\Psi(x) = Ae^{+ikx} + Be^{-ikx}, k = \sqrt{\frac{2m(V-E)}{\hbar^2}}$