Lecture 9

COMP 3760

Greedy Algorithms (Text chapter 9)

On tap for today

- Random bits
 - Graph algorithms meta recap
- Greedy algorithms
 - Idea of greedy algorithms
 - Ex: Minimum spanning trees (MST)
 - Prim's algorithm
 - Kruskal's algorithm
 - Ex: Single-source shortest paths (SSSP)
 - Dijkstra's algorithm



Solving problems using graphs

- Strategy 1
 - Modify existing graph algorithm
- Strategy 2
 - Represent the problem as a "clever" graph
 - Feed the graph to a Graph Algorithm
 - Use the output to determine the answer to your problem
- Common reality:
 - Hybrid of those two

Known graph algorithms (so far)

- DFS of G
 - Output options: DFS, dead-end, spanning tree
- BFS of G
 - Output options: BFS order, spanning tree
- Count connected components of G
 - Modified DFS/BFS
- Is G connected?
 - Modified DFS/BFS
- Topological sort of G
 - 1) Modified DFS; 2) Custom algorithm (dec&conq)
- New algorithms today (greedy ones):
 - Minimum spanning tree (MST) of G (Prim, Kruskal)
 - Single-source shortest paths (SSSP) of G (Dijkstra)



Problems we've solved

- Counting regions on a map of coloured hex tiles
 - How: Counting connected components
- Getting dressed in the morning
 - How: Topological sorting
- Connecting a set of locations all together via a physical network
 - How: MST

Onward with greedy algorithms

Making change

 Imagine you're a shop clerk giving change and you want to use the smallest number of coins



- Strategy:
 - Always select the biggest feasible coin
- Example: 37 cents
 - 1 quarter (need 12 more cents)
 - 1 dime (need 2 more cents)
 - 2 pennies (2 *what*?)

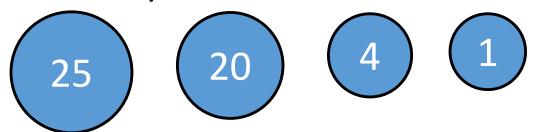
This is a "greedy algorithm"



Making change—algorithm

Does this algorithm always give the best result?

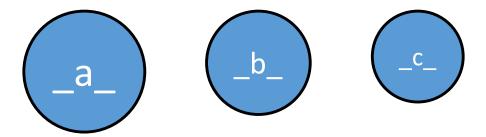
- For US/Canadian coins, yes
 - With or without pennies
- But what if your coins were:



- And you had to give 28 cents?
 - Greedy algorithm result: 25, 1, 1, 1 → 4 coins
 - But there is a 3-coin answer

Puzzle

- Make a "smaller" counterexample
 - What if your coins were:



- And you had to make __x_ cents?
- I.e., find a,b,c,x so that the greedy algorithm gives a 3-coin answer, even though a 2-coin answer exists



Moral of the story

- Greedy algorithms do not always give optimal general solutions to problems
- But sometimes they do
 - and they are "easy" and (if done right) efficient

Optimization problems and decision problems

- An optimization problem is one in which you want to find not just any solution, but the best solution
 - As opposed to decision problem "does a solution exist?"
 - Decision problem has a yes/no answer
 - Optimization problem is about minimizing or maximizing

Greedy algorithms attempt to solve optimization problems

Remember the Knapsack problem

Optimization version:

 Given N items with weights + values, and a knapsack with carrying capacity W, what is the greatest overall value of stuff the thief can steal?

Decision version:

 Given N items with weights + values, and a knapsack with carrying capacity W, can the thief steal \$V worth of stuff?

Greedy algorithms

- For solving optimization problems
- Construct a solution through a sequence of choices
- Always choose the best option available "right now"
 - The "best" choice is the one that gets us closest to an optimal solution (e.g. take the biggest feasible coin)
- You hope that by choosing a local optimum at each step, you will end up at a global optimum

Greedy algorithms

- Greedy choice properties:
 - Feasible: Must satisfy the problem's constraints
 - If you are making change for 17 cents, you don't pick a quarter
 - Locally optimal: Best local choice among all feasible choices available on that step
 - If you are making change for 14 cents, you pick a dime, not a nickel
 - Assumption: it is "reasonably efficient" to determine this (think about the Knapsack Problem – how to find the "best" choice)
 - Irrevocable: Once made, it cannot be changed during subsequent steps of the algorithm

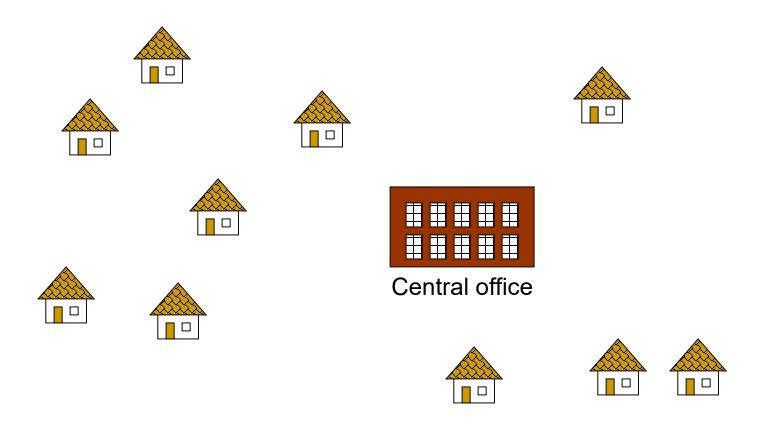
Greedy algorithms

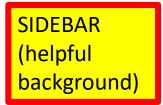
- We will examine greedy algorithms for the following problems:
 - Finding a minimum spanning tree (MST) of a graph
 - Prim's algorithm
 - Kruskal's algorithm
 - Finding Shortest Paths from a Single Source in a graph
 - Dijkstra's algorithm
 - Coloring a graph

Greedy algorithm TL/DR

- 1. Iteratively construct a solution
- 2. At each step select the "best" item to add
 - Idea for how to select the best should be "simple"

A real-world problem: Build a (physical) network





Minimum Spanning Trees

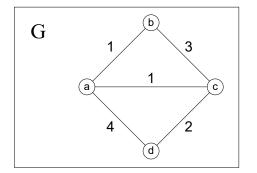
- A minimum spanning tree (MST) is a subgraph of a connected, undirected, weighted graph G, such that
 - it includes all the vertices ("spanning")
 - it is acyclic ("tree")
 - the total cost associated with the edges is the minimum among all possible spanning trees

MST may not be unique

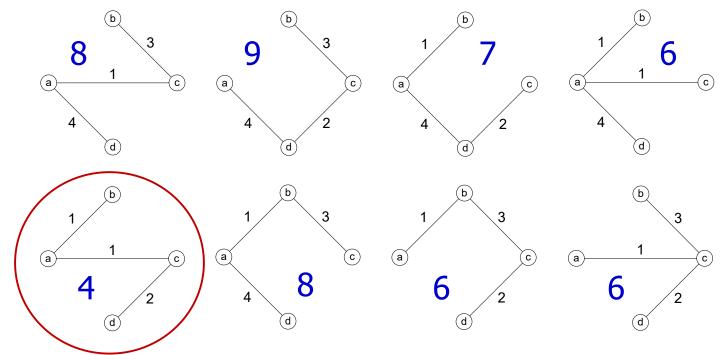
SIDEBAR (helpful background)

MSTs (cont'd)

Consider all the spanning trees of G:



The weight of each spanning tree is given by the sum of its edges ...



Minimum Spanning Tree of G is this graph, and it has a weight of 4.

SIDEBAR (helpful background)

If you find MST of a complete graph:

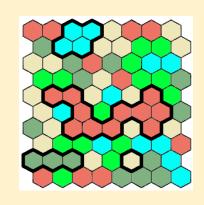
- The result:
 - Is a tree (obvs)
 - therefore connected
 - connects all the nodes
 - using the minimum cost



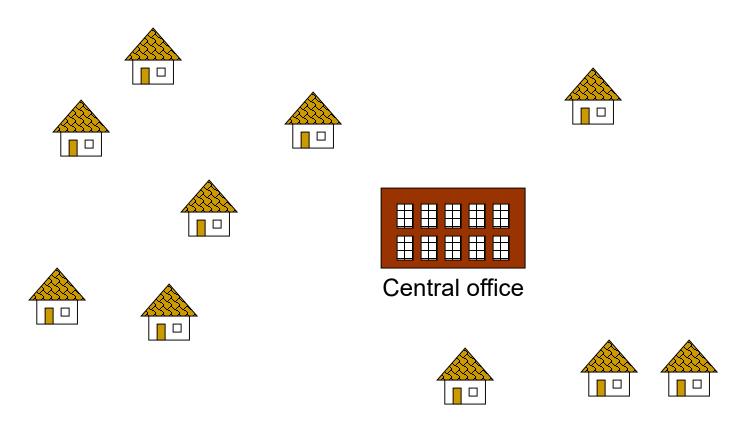
Reminder: Solving problems with graphs, strategy 2

- Represent the problem "cleverly" as a graph
- 2. Feed the graph to a Graph Algorithm
- 3. Use the output to determine the answer to your problem

We also used Strategy 2 with the "counting map regions" problem (different Graph Algorithm)



Back to our little village



Let's solve this problem using MST

Represent it as a graph

- Vertices are all the nodes to be connected
- One edge for every possible connection
 - I.e. the complete graph of N vertices
- Each edge has a "weight" associated with it
 - Cost of running a wire from node A to node B
- Now find the MST
 - How does this solve the problem?
 - Spanning tree → all nodes are connected
 - Lowest cost tree → cheapest possible network















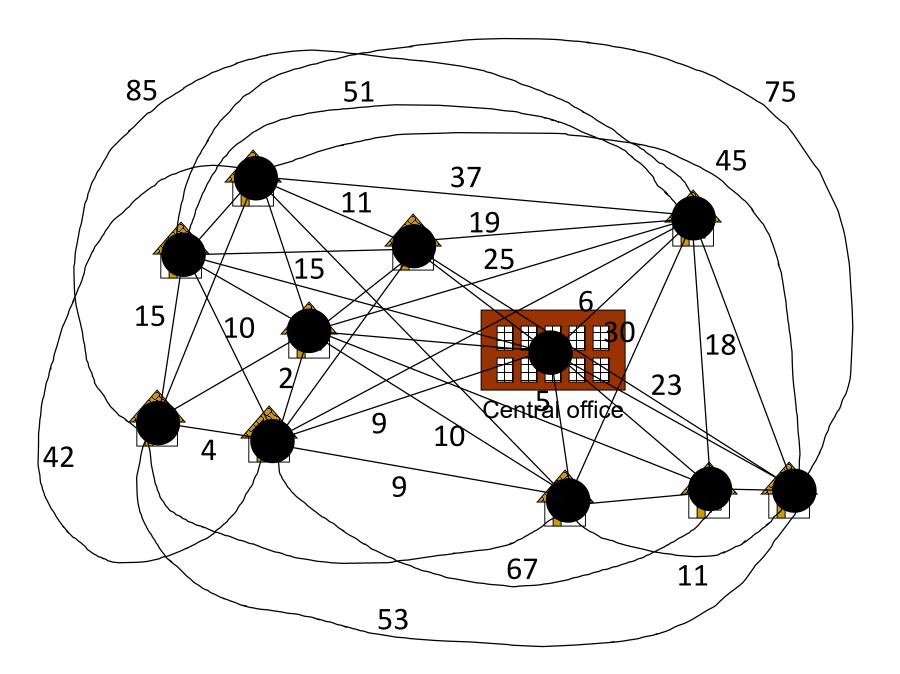


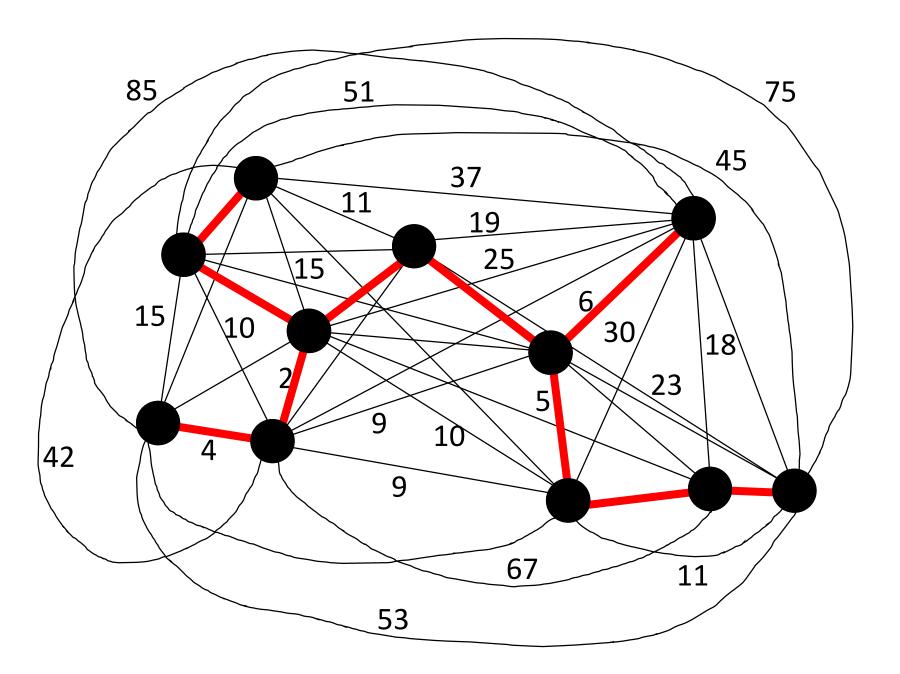
Central office





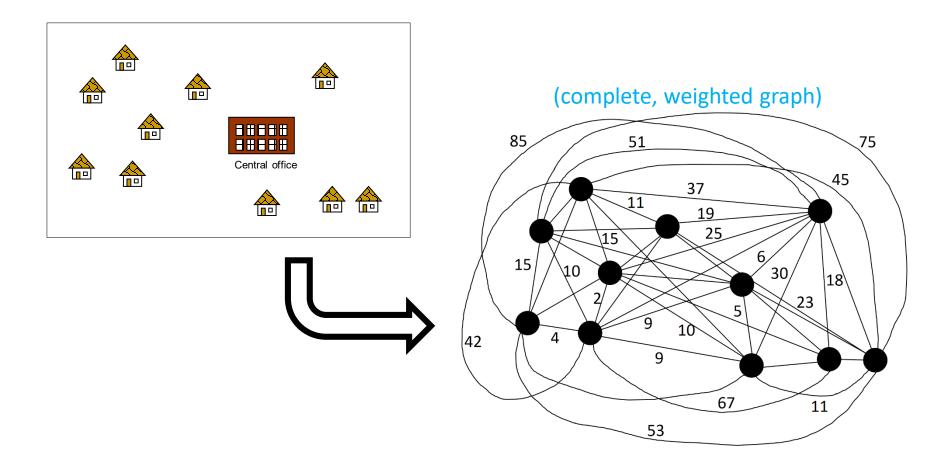






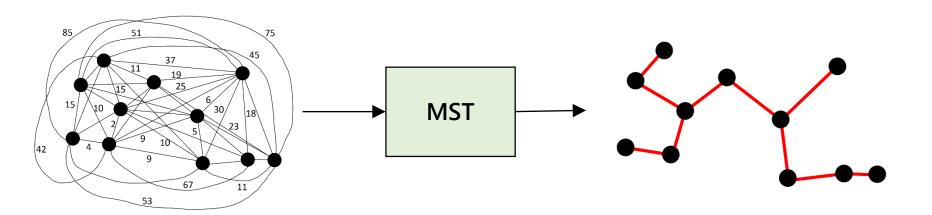
Example: our little village

1. Represent the problem "cleverly" as a graph



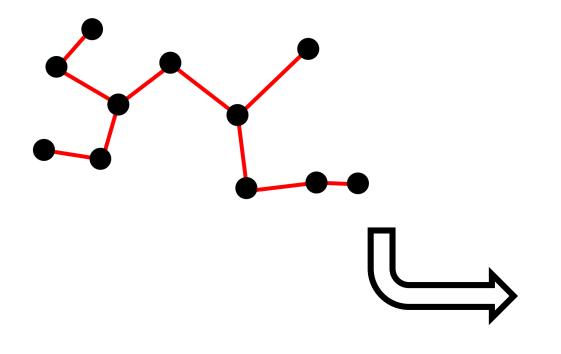
Example: our little village

2. Feed the graph to a Graph Algorithm



Example: our little village

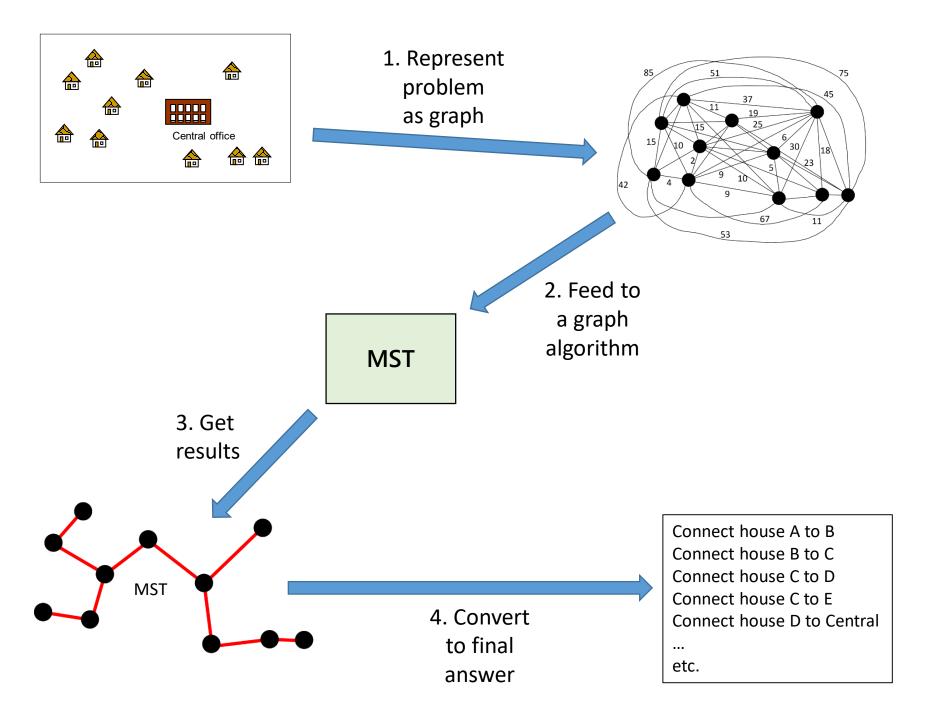
3. Use the output to determine the answer to your problem



Solution:

Connect house A to B
Connect house B to C
Connect house C to D
Connect house D to Central

etc.



Whew.

 Now we still need one of these:

MST

• In fact, we're going to look at two of them:

Prim

Kruskal

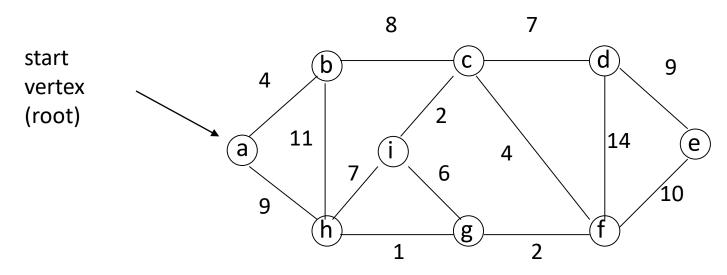
Greedy Algorithms: Prim's Algorithm

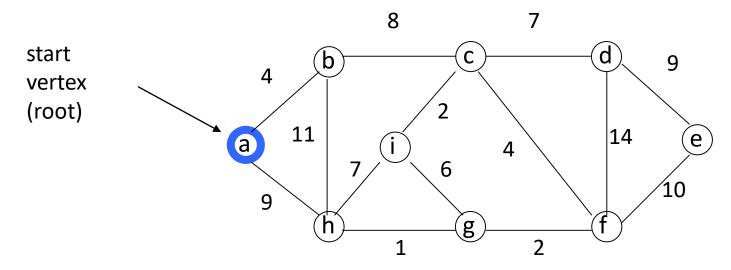
Textbook: Chapter 9.1

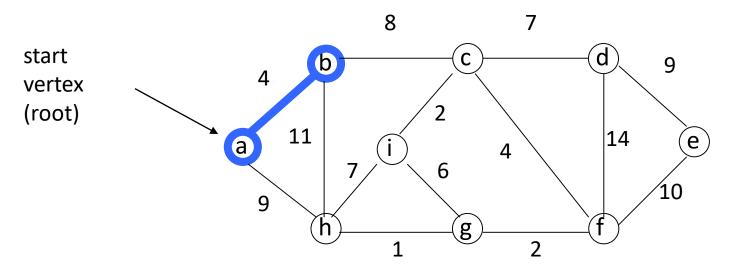
Idea of Prim's algorithm

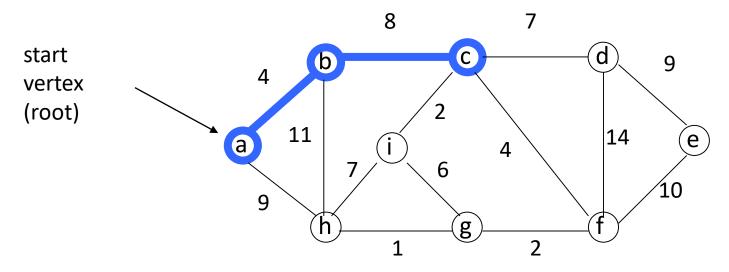
- Initialize with any single vertex
- Each step: add one edge + one other vertex
- Definition of "best+feasible" greedy choice:
 - Smallest-weight edge
 - One vertex in the tree, one vertex NOT in the tree
 - \rightarrow it does not create a cycle
- N-1 steps
- Result:
 - N vertices, N-1 edges, connected → spanning tree
 - This does not prove it is a *minimum* ST. (But it is.)

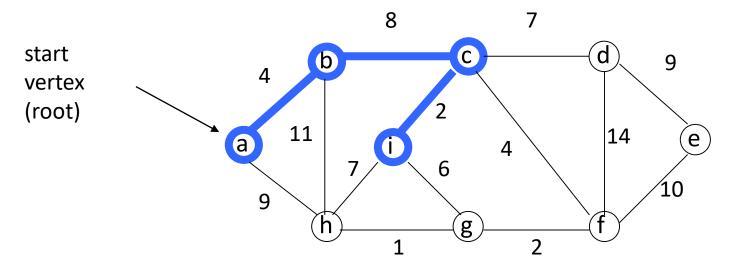
Example 1

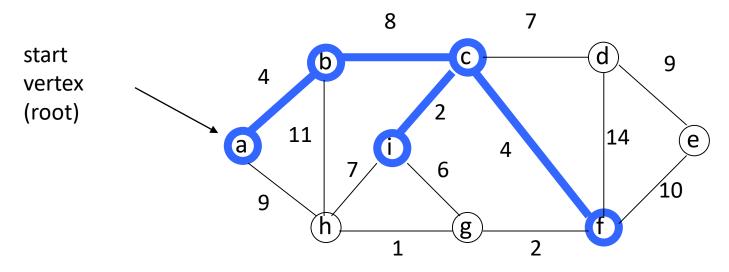


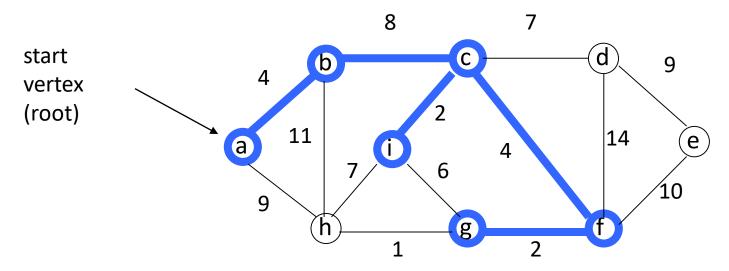


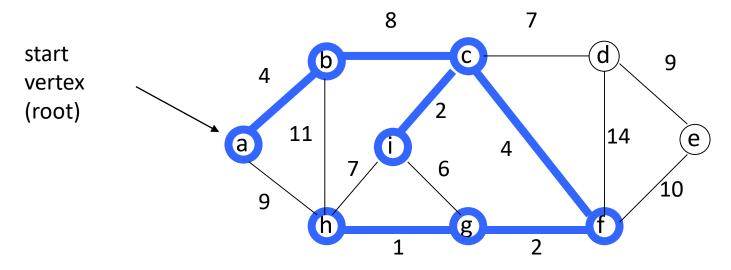


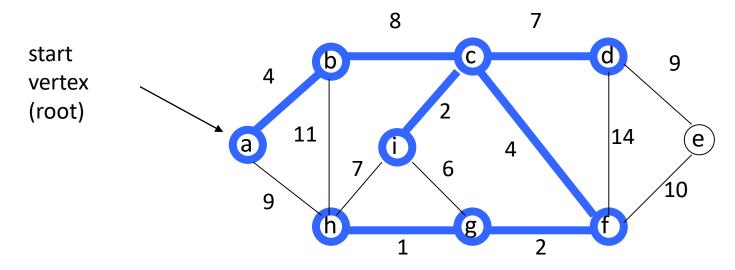


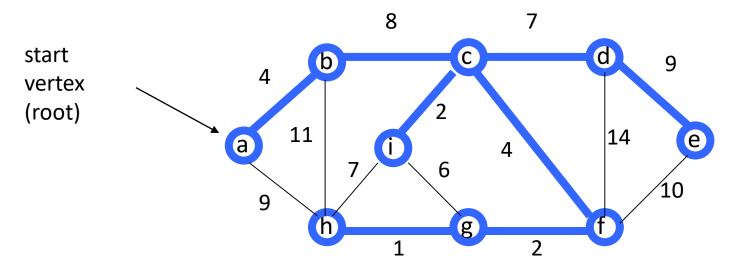




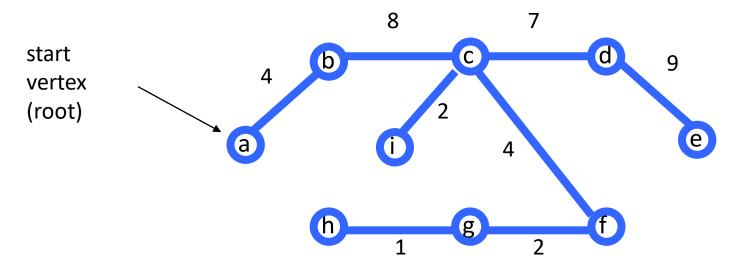






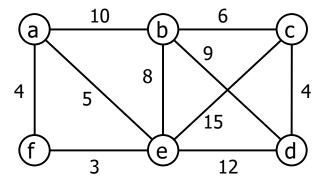


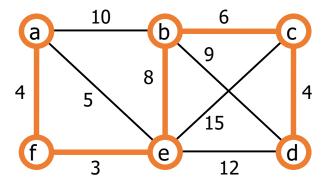
Example 1 – completed MST



Prim's algorithm

```
Algorithm Prim(G)
                                           // init tree with one (arbitrary) vertex
    V_{T} \leftarrow \{V_0\}
                                           // init tree with no edges
    \mathbf{E}_{\pi} \leftarrow \emptyset
                                          // loop until all vertices added to tree
    for i \leftarrow 1 to |V|-1 do
         find a min-weight edge e=(u,v) from E
              where u is in V_{\pi} (in the tree)
              and v is in V-V_{\pi} (not yet in the tree)
         V_{\pi} \leftarrow V_{\pi} \cup \{v\}
                                             // add the vertex v to the tree
                                             // add the edge (u,v) to the tree
         E_{\pi} \leftarrow E_{\pi} \cup \{e\}
    return T = (V_{\tau}, E_{\tau})
```





Greedy Algorithms: Kruskal's Algorithm

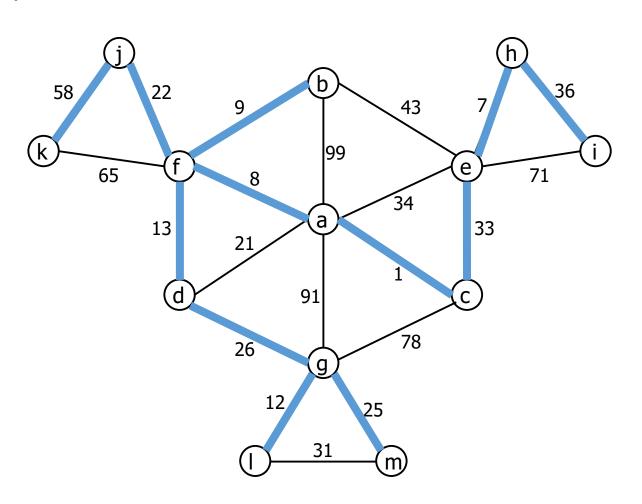
Textbook: Chapter 9.2

Context

- Another one of several "greedy algorithms" we are examining:
 - Minimum Spanning Tree of a graph
 - Prim's algorithm
 - Kruskal's algorithm
 - Shortest Paths from a Single Source in a graph
 - Dijkstra's algorithm
 - Graph coloring

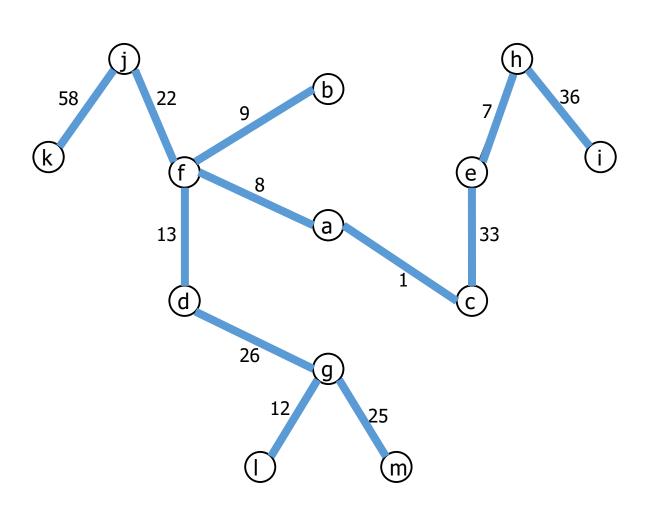
Kruskal's (overview)

- Repeatedly add a minimum-weight edge that does not introduce a cycle
- Example:



Kruskal's (overview)

• Result (minimum spanning tree):



Kruskal's algorithm (basic idea)



```
Kruskal (G)
    sort edges of E in ascending order by weight
    V_{\pi} \leftarrow V
                                          // T has all the vertices of G
   \mathbf{E}_{\pi} \leftarrow \emptyset
                                           // start with no edges in T
    count \leftarrow 0
    k \leftarrow 0
                                           // index over edges of G
    while count < |V|-1 do
                                           // done when T has this many edges
        k \leftarrow k + 1
        if E_T \cup \{e_k\} is acyclic // safe to add this edge to T?
           E_{\pi} \leftarrow E_{\pi} \cup \{e_{\nu}\}
                                           // ...then add it
            count \leftarrow count + 1
    return T = (V_{\tau}, E_{\tau})
```



These two bits are "efficiency challenges"

Kruskal's algorithm: Implementation challenges

1. Sort the edges

- We know several O(NlogN) methods
- Which will serve us well?

2. Determine if adding an edge would create a cycle

- Maybe use a DFS or BFS to test for a cycle?
 - These are O(N²) and we have to do it O(N) times
 - Can we improve on O(N³)?
 - The answer is Yes, with a clever data structure

Disjoint Subsets (aka "Union-Find")

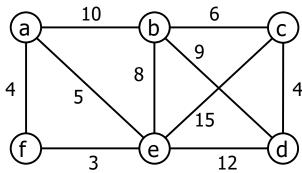
- A collection of disjoint subsets any element can only be in one subset at any time
- Operations on a DS:
 - Makeset(x) creates a new subset with the element x
 - Find(x) returns the subset that contains x
 - Union(x,y) merges the subsets containing x and y together

DS/Union-Find Example

```
for each element x in \{1, 2, 3, 4, 5, 6, 7, 8\}
     makeset(x)
         → DS is now {1} {2} {3} {4} {5} {6} {7} {8}
union(2,7)
         \rightarrow DS is now {1} {2,7} {3} {4} {5} {6} {8}
union(1,4)
         \rightarrow DS is now \{1,4\} \{2,7\} \{3\} \{5\} \{6\} \{8\}
y \leftarrow find(4)
         \rightarrow y is now \{1,4\}
union(y,3)
         \rightarrow DS is now \{1,4,3\} \{2,7\} \{5\} \{6\} \{8\}
x \leftarrow find(1)
         \rightarrow x is now \{1,4,3\}
y \leftarrow find(7)
         \rightarrow y is now \{2,7\}
union(x,y)
         \rightarrow DS is now \{1,4,3,2,7\} \{5\} \{6\} \{8\}
```

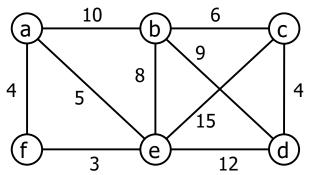
Kruskal's with disjoint subsets

- Maintain DS of vertices in the spanning tree T
- Initially each vertex is a separate subset
- When an edge (u,v) is added to T:
 - DS.union(u,v)
- Each subset is a connected component
 - It's also a tree a subset of the eventual MST
- If u,v are in the same subset do not add edge
 - It would create a cycle
- At the end there will be only one subset in DS
 - T is a single connected component

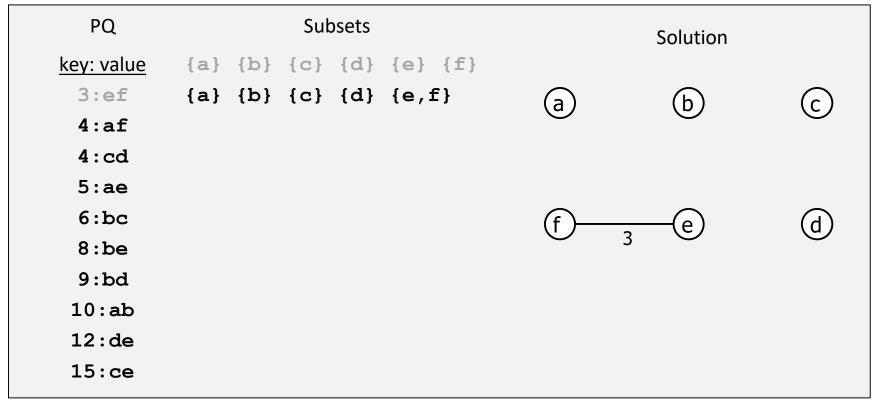


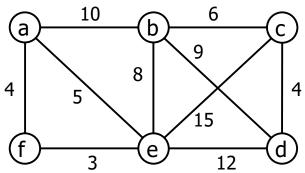
- After the initialization
- PQ contains sorted list of edges
- DS has one subset for each vertex

	12				
PQ		Subsets		Solution	
key: value	{a} {b}	{c} {d} {e} {	f}		
3:ef			(a)	(b)	(c)
4:af					
4:cd					
5:ae					
6:bc			(f)	e	(d)
8:be				•	
9:bd					
10:ab					
12:de					
15:ce					

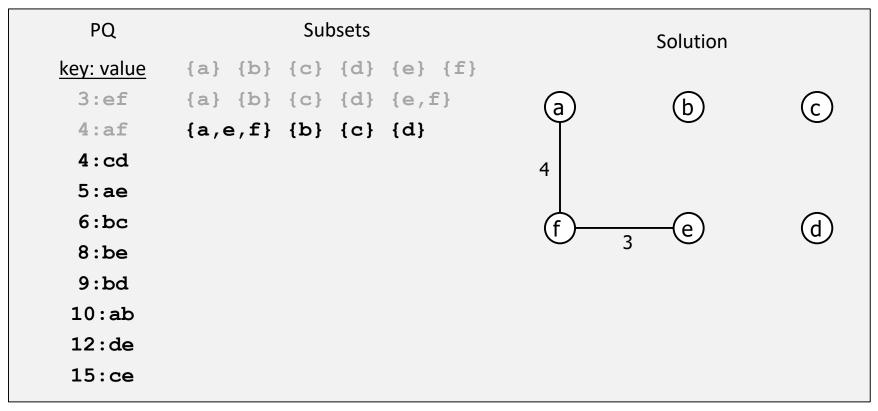


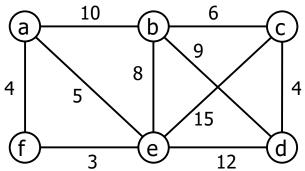
- After iteration 1
- edge ef has been added
- e, f subsets merged



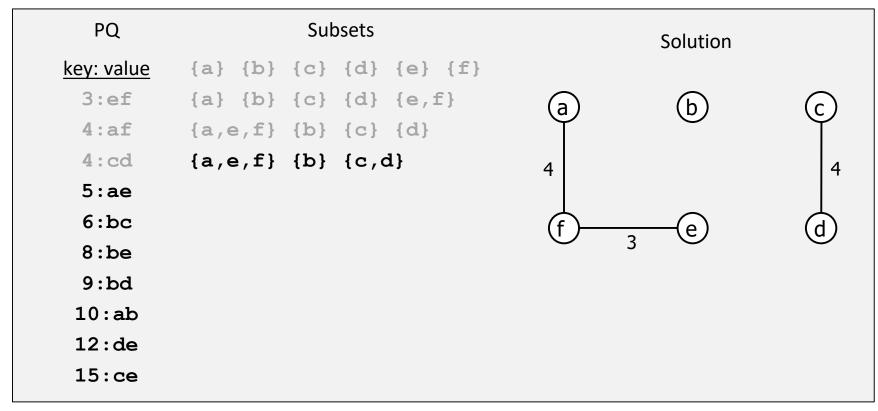


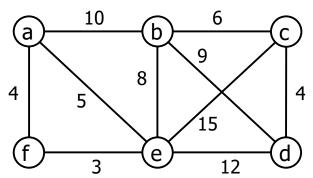
- After iteration 2
- edge af has been added
- a, f subsets merged



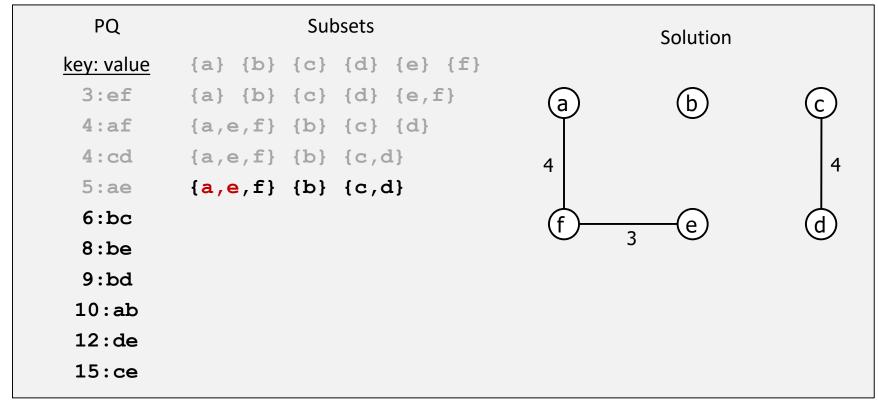


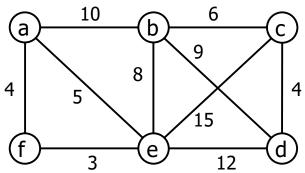
- After iteration 3
- edge cd has been added
- c, d subsets merged



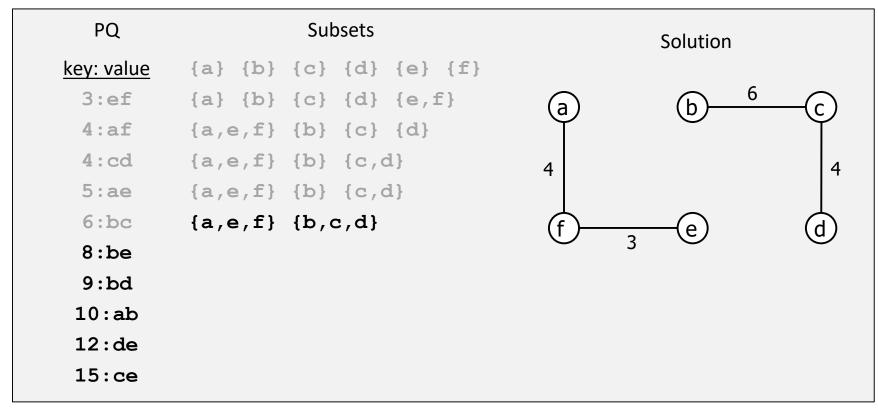


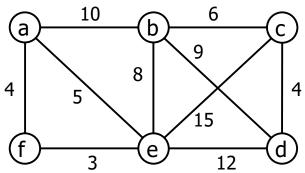
- No change in iteration 4
- a and e are in the same subset
- edge ae is not added because it would cause a cycle



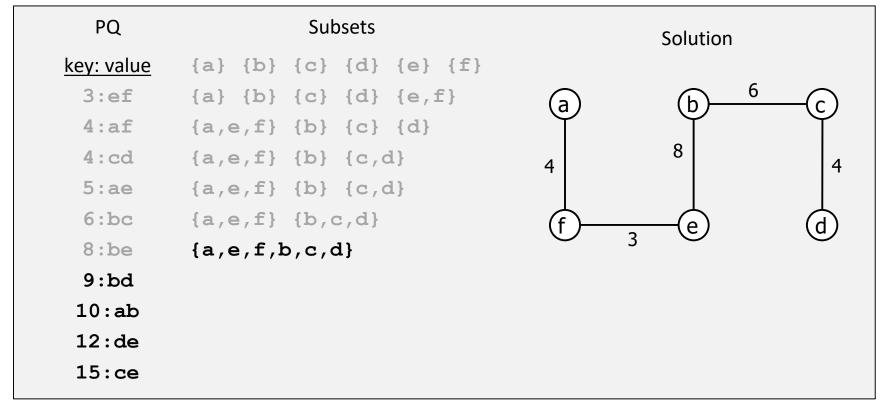


- After iteration 5
- edge bc has been added
- b, c subsets merged





- After iteration 6
- edge be has been added
- N-1 edges added, main loop ends
- algorithm returns solution



Kruskal's algorithm with PQ + disjoint subsets

```
Algorithm Kruskal(G)
   Add all vertices in G to T // add v's but don't add e's
   Create a priority queue PQ // will hold candidate edges
   Create a collection DS
                             // disjoint subsets
   for each vertex v in G do
      DS.makeset(v)
   for each edge e in G do
      PQ.add(e.weight, e) // PQ of edges by min weight
   while T has fewer than n-1 edges do
       (u,v) \leftarrow PQ.removeMin() // get next smallest edge
       cu \leftarrow DS.find(u)
       cv \leftarrow DS.find(v)
       if cu ≠ cv then
                                  // be sure u,v are not in
                                       // the same subset
          T.addEdge(u,v)
          DS.union(cu, cv)
   return T
```

Efficiency of Kruskal's

- With an efficient union-find algorithm, the slowest thing is the initial sort on edge weights
 - O(|E| log(|E|))
 - Remember that |E| is (in the worst case) |V|²
 - So this is also O(|V|² log(|V|))
 - Since we usually use N as the number of vertices in a graph, this is O(N² logN)

Prim's and Kruskal's TL/DR

- Same problem: Minimum Spanning Tree (MST)
- Both are greedy algorithms
- Both add edges one at a time
 - Prim's greedy choice: smallest edge that extends the tree
 - Kruskal's: smallest edge that doesn't make a cycle

Greedy Algorithms: Dijkstra's Algorithm

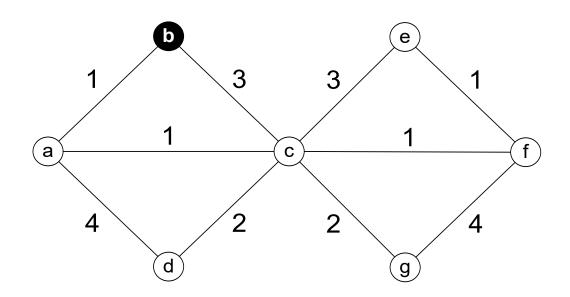
Textbook: Chapter 9.3

Context

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 - Dijkstra's algorithm
 - Graph coloring

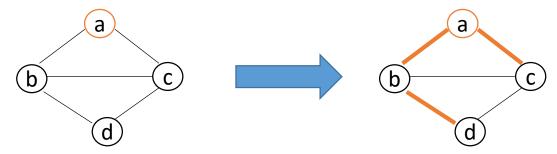
Problem: Single-source Shortest Paths

• Find the shortest path from a chosen vertex (the *source*) to every other vertex

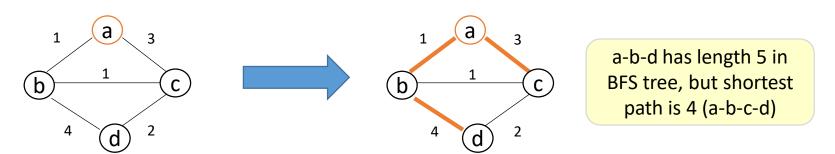


What about BFS?

Simple/basic BFS already solves this for an unweighted graph:



• ... but not for weighted graphs. Consider the distance between a and d:



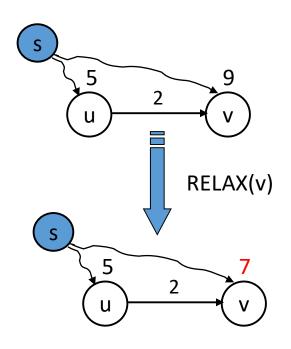
 Algorithm to find shortest paths in weighted graphs needs to consider the weight on the edge before including it in the solution

Idea of Dijkstra's algorithm

- Remember the best-known shortest distances for all vertices
 - Initially "infinity" for all
- Choose the nearest unprocessed vertex
 - Definition of "nearest" tbd
- Look at all of its neighbors
- Update their known shortest distances ("Relax")
- Repeat

Relaxation

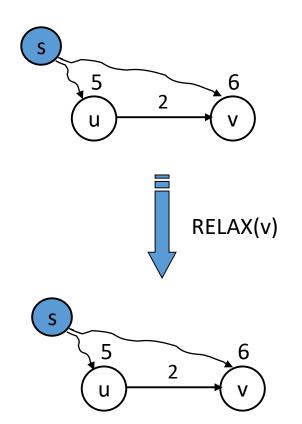
- Dijkstra refers to "relaxing" a vertex
- Meaning: update the best known shortest path to v



We are at an intermediate stage: So far we "know" that we can get from s to u with cost 5 and from s to v with cost 9

Using the new information about edge (u,v) we now know there is a cheaper path to v

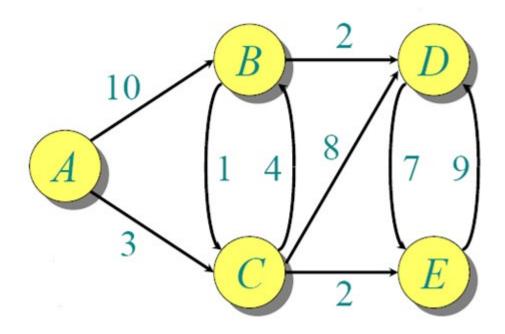
Relaxation – another example

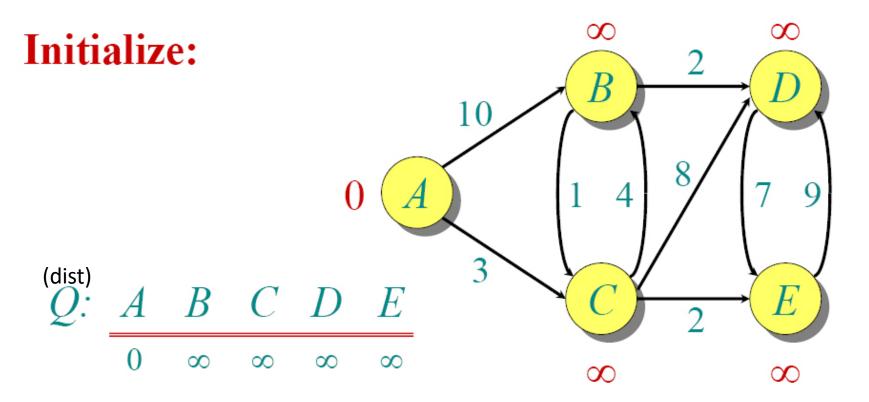


5+2 is no better than 6

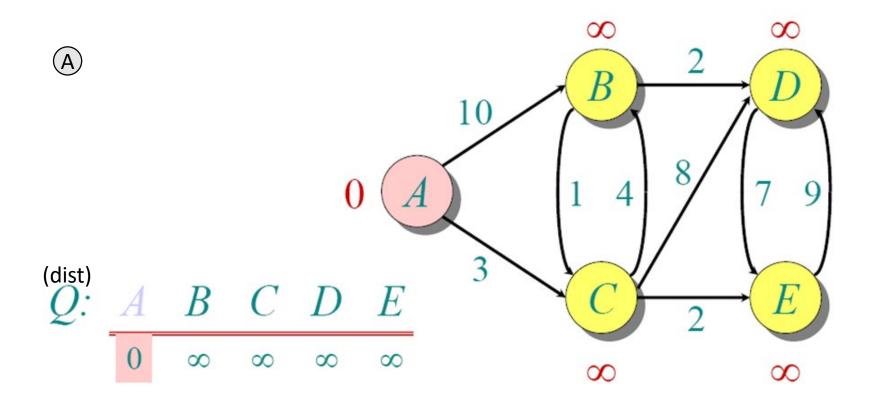
No improvement, so no change this time

Find the shortest paths from A to all other vertices

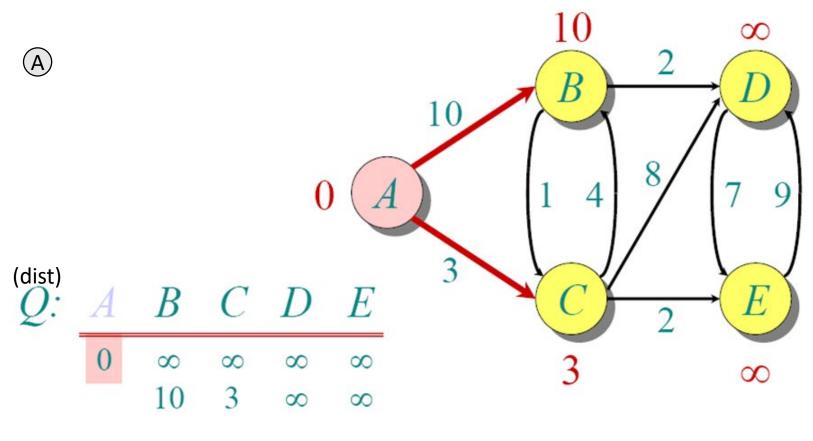




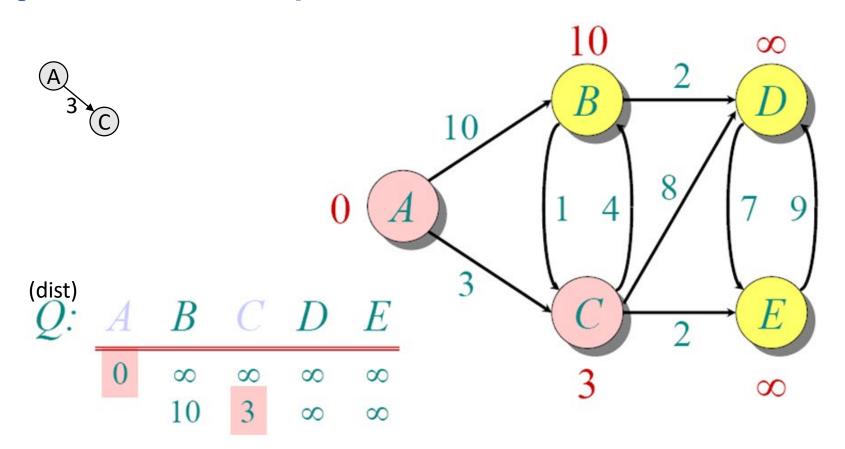
Add vertex A



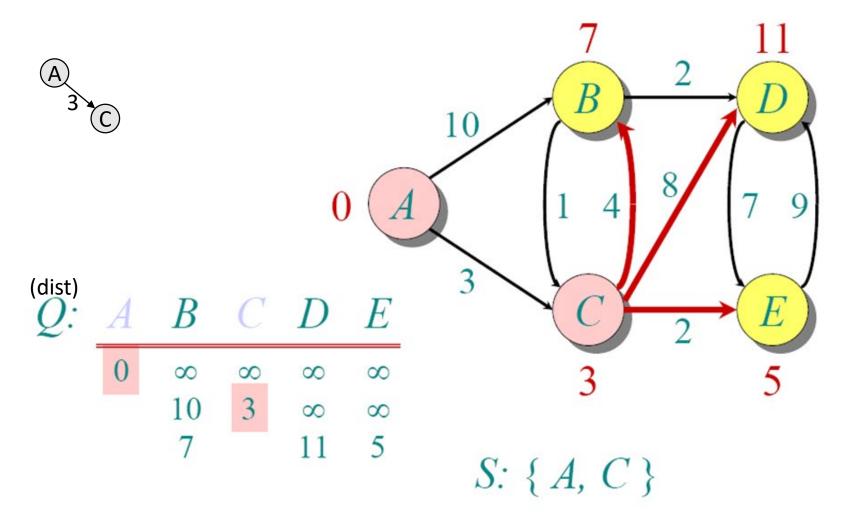
Relax neighbors of A



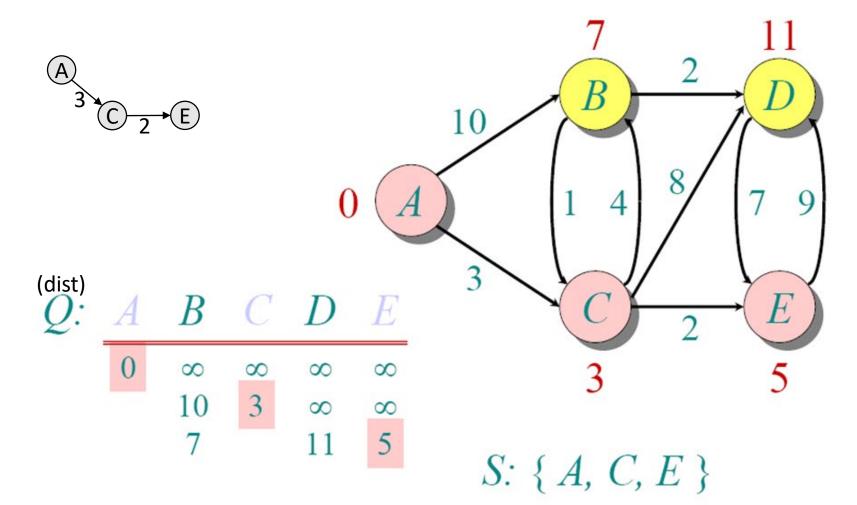
Add vertex C



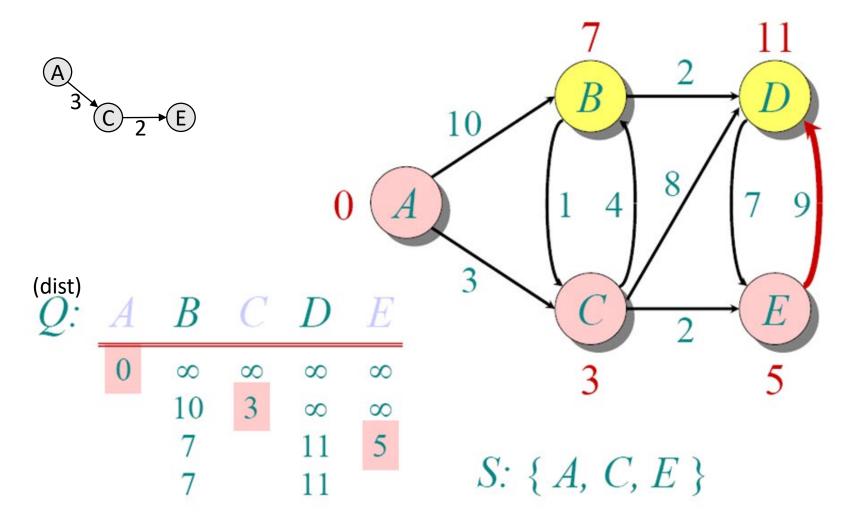
Relax neighbors of C



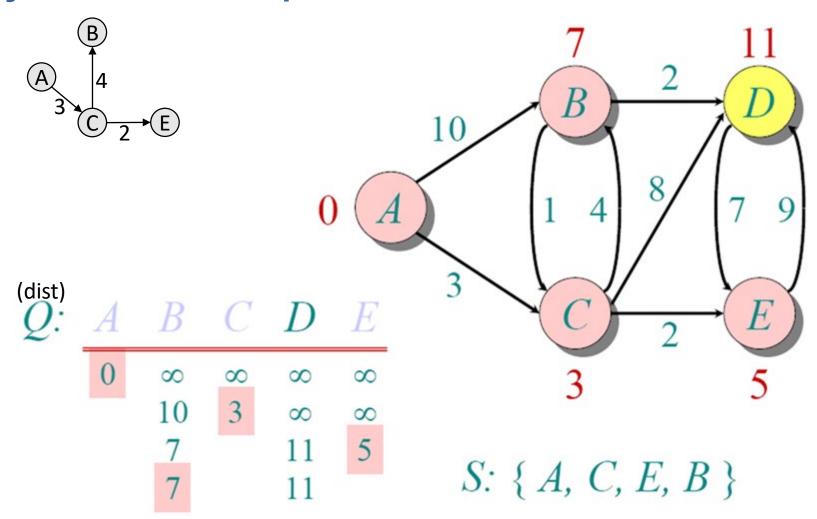
Add vertex E



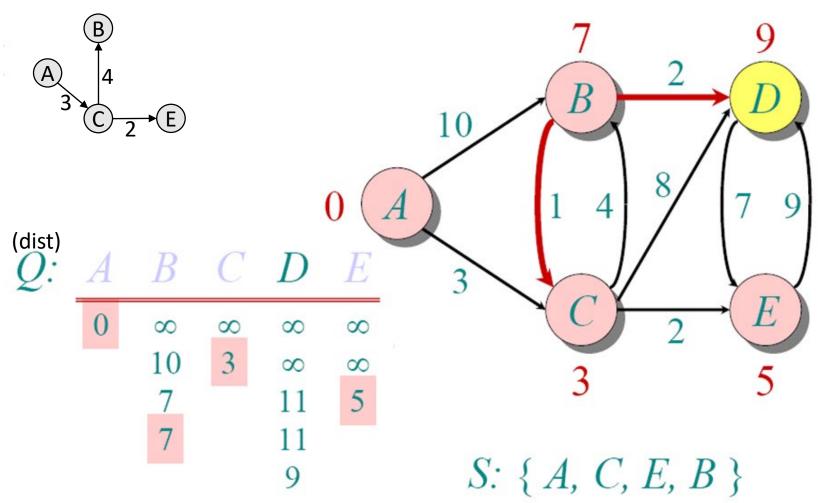
Relax neighbors of E



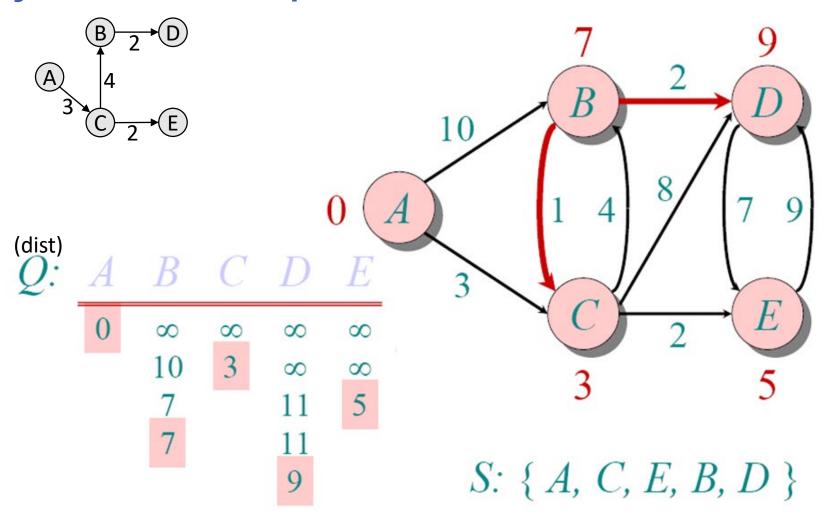
Add vertex B



Relax neighbors of B



Add vertex D



Dijkstra's Algorithm

Builds a tree of shortest paths rooted at the starting vertex

PQ.updateKey(d[v], v)

 This is a greedy algorithm: it adds the closest vertex, then the next closest, and so on (until all vertices have been added)

High-level pseudocode:

5.

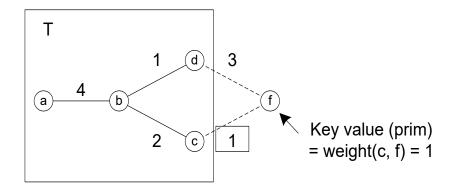
```
1. Initialise d and prev
2. Add all vertices to a PQ with distance from source as the key
3. While there are still vertices in PQ
4.
       Get next vertex u from the PO
5.
       For each vertex v adjacent to u
            If v is still in PQ, relax v
6.
1. Relax(v):
2.
       if d[u] + w(u,v) < d[v]
3.
           d[v] \leftarrow d[u] + w(u,v)
           prev[v] \leftarrow u
4.
```

Output from Dijkstra's

- There are (at least) two possible outputs from Dijkstra's algorithm:
 - Tree of shortest paths from v to all other vertices
 - List (map) of total costs of shortest paths from v to all other vertices. I.e. the list tells you "min_distance(v, w)" for all the vertices reachable from v.

Similarity of Dijkstra to Prim

- Both accumulate a tree T of edges from G
- Each iteration: select the minimum priority edge adjacent to the tree that has been built so far
- In Prim's the priority of an edge is simply the weight of the edge



In Dijkstra's the "priority" is the weight of the edge (u, v) plus the
distance from the start to the parent of v

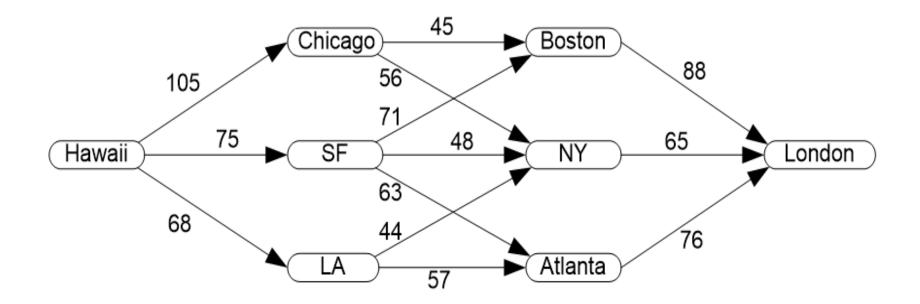
Sample application of Dijkstra's

- Suppose London wants fresh pineapples from Hawaii.
- There are no direct flights, but many possible connections.
- What is the best possible route to minimize overall shipping cost?

Input: Shipping costs, city to city

- Honolulu to Chicago 105
- Honolulu to San Francisco 75
- Honolulu to Los Angeles 68
- Chicago to Boston 45
- Chicago to New York 56
- San Francisco to Boston 71
- San Francisco to New York 48
- San Francisco to Atlanta 63
- Los Angeles to New York 44
- Los Angeles to Atlanta 57
- Boston to London 88
- New York to London 65
- Atlanta to London 76

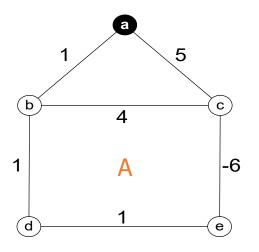
Graph model of the problem

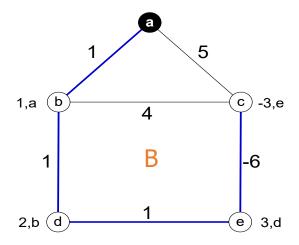


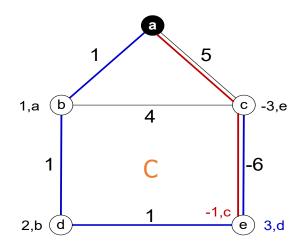
Apply Dijkstra's algorithm to find the cheapest cost from Hawaii to London (bonus: cheapest cost to all the other cities, too)

Dijkstra limitation: negative weight edges

- Dijkstra's algorithm doesn't work with negative weight edges
- If we added a new edge to T, and it had a negative weight, then there could exist a shorter path (through this new vertex) to vertices already in T
- For example, consider graph A below.
 - Graph B is the result of running Dijkstra's algorithm on A.
 - But clearly there exists a path such as a-c-e in graph C that is shorter than the path found in B. Therefore Dijkstra's algorithm did not work on this graph that has a negative edge weight.







Greedy Algorithms: Graph Coloring

Textbook: Mentioned several times, but not covered in-depth. Look in the index under "graph coloring".



Map coloring

- Problem: Color the regions on a map
 - Regions that share a border must be different colors
 - Meeting at a single point is not a border
- As a decision problem:
 - Can this map be colored with N colors?
- As an optimization problem:
 - What is the minimum number of colors needed to color this map?

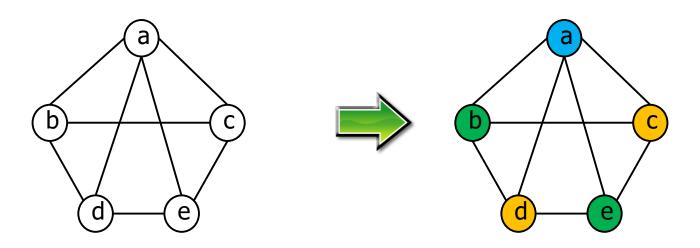
Graph representation

- One vertex for each region
- Edge between regions if they share a border

- Problem re-stated as a graph problem:
 - Assign colors to the vertices of a graph so that no adjacent vertices are the same color

Graph coloring problem

- Color a graph with as few colors as possible such that no two adjacent vertices are the same color
- Example:

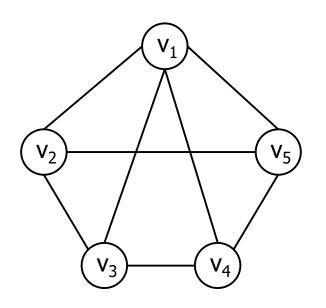


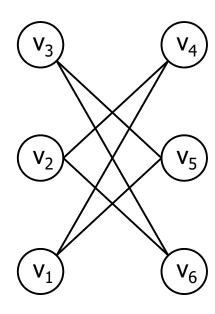
We say that this graph is 3-colorable

Graph coloring – greedy algorithm

- Start with just one color
- Consider the vertices in a specific order v_1, \dots, v_n
- For each v_i , assign the first available color not used by any of v_i 's neighbours
- If all colors are in use by neighbours, add a new color

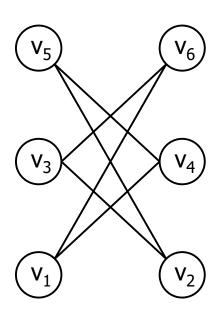
Examples





Is this algorithm optimal?

 Consider the previous graph but with vertices numbered differently



- Needed only two colors before
- The order of considering the vertices matters
- Greedy algorithms do not always yield optimal solutions
- But like brute-force, they are often worth considering because they may be easy to implement

Puzzle – just for fun!

 Make a graph that represents a planar map and that requires 4 colors

Practice problems

- 1. Chapter 9.1, page 324, question 9
- 2. Chapter 9.2, page 331, questions 1,2
- 3. Chapter 9.3, page 337, questions 1,2,4