


# Set & Logic

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# Outline

- Truth tree for first order logic
- Inference rule/Natural Deduction Rules
- Logic and type 
- Russel paradox

Anything marked with  is an exact topic



# Truth tree for first order logic

- Simplify:  
$$\neg[\exists x \in M : A(x)] \Leftrightarrow \forall x \in M : \neg A(x)$$
$$\neg[\forall x \in M : A(x)] \Leftrightarrow \exists x \in M : \neg A(x)$$

- How to use:

$\exists x, P(x)$  : exist  $a$  that  $P(a)$  is true, while  $a$  is a new constant symbol here

$P(a)$  should use a new variable each time,  
as we don't know what  $a$  is, only know  $a$  exists

$\forall x, \neg P(x)$ : can choose arbitrary  $x$ . but...how to choose?  $\neg P(b)$  Is true, but useless

$\neg P(a)$  "Delay" the choose! (create contradictory)

# Example



- **Prove:**  $\forall x \exists y (F(x) \rightarrow G(y)) \vdash \exists y \forall x (F(x) \rightarrow G(y))$

**note:**  $\forall x, P(x)$  can be reused

# Inference rule



$$\frac{J_1 \dots J_k}{J}$$

Premises of the rule  
Conclusion

$$J_1 \dots J_k \vdash J$$

premises are sufficient for the conclusion  
it is not necessary that the premises hold

Examples: an inductive definition of  
nat(natural number)

$$\frac{}{zero \text{ nat}}$$

$$\frac{a \text{ nat}}{succ(a) \text{ nat}}$$



Examples: a simultaneous inductive definition  
of even and odd

$$\frac{}{zero \text{ even}}$$

$$\frac{b \text{ even}}{succ(b) \text{ odd}}$$

$$\frac{a \text{ odd}}{succ(a) \text{ even}}$$

# Inference rule

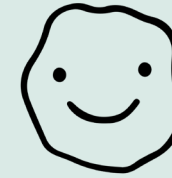


First-order propositional logic captures the essence of hypothetical reasoning by way of the hypothetical judgement  $\Gamma \vdash A$  which specifies how to derive that a proposition  $A$  is true if we assume, without proof, the truth of **a finite set of propositions**  $\Gamma$

We call the propositions in  $\Gamma$  the “hypotheses” or “assumptions”.

$$\frac{\Gamma \Gamma_1 \vdash J_1 \quad \dots \quad \Gamma \Gamma_n \vdash J_n}{\Gamma \vdash J} \cdot \text{☺}$$

# Classical Propositional Logic



## Assumption

$$\frac{A \in \Gamma}{\Gamma \vdash A} \text{ (assumption)}$$

## Conjunctions

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} (\wedge\text{-I})$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash A} (\wedge\text{-E-L})$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash B} (\wedge\text{-E-R})$$

## Absurdities

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash A} (\perp\text{-E})$$

$$\neg A \stackrel{\text{abbr}}{=} A \supset \perp$$

## Disjunctions

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} (\vee\text{-I-L})$$

$$\frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} (\vee\text{-I-R})$$

$$\frac{\Gamma \vdash A \vee B \quad \Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma \vdash C} (\vee\text{-E})$$

## Implication

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} (\supset\text{-I})$$

$$\frac{\Gamma \vdash A \supset B \quad \Gamma \vdash A}{\Gamma \vdash B} (\supset\text{-E})$$

## Axiom of the Excluded Middle

$$\overline{\Gamma \vdash A \vee \neg A} \text{ (AEM)}$$

only in classical logic,  
not in constructive logic

# Natural Deduction Rules

What is the small “a”?  
Tags for assumptions!

## Assumption

$$\frac{A \in \Gamma}{\Gamma \vdash A} \text{ (assumption)}$$

## Conjunctions

$$\frac{A \quad B}{A \wedge B} \wedge I$$

$$\frac{A \wedge B}{A} \wedge E_1$$

$$\frac{A \wedge B}{B} \wedge E_2$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} (\wedge I)$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash A} (\wedge E-L)$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash B} (\wedge E-R)$$

## Absurdities

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash A} (\perp E)$$

$$\frac{\perp}{A} \perp E$$

$$\neg A \stackrel{abbr}{=} A \supset \perp$$

## Disjunctions

$$\frac{A}{A \vee B} \vee I_1$$

$$\frac{B}{A \vee B} \vee I_2$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} (\vee I-L)$$

$$\frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} (\vee I-R)$$

$$\frac{\Gamma \vdash A \vee B \quad \Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma \vdash C}$$

$$\frac{A \vee B \quad \begin{array}{c} [A]^a \\ \vdots \\ C \end{array} \quad \begin{array}{c} [B]^a \\ \vdots \\ C \end{array}}{C} \vee E, a$$

$$\frac{\begin{array}{c} [A]^a \\ \vdots \\ \perp \end{array}}{\neg A} \neg I, a$$

## Implication

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} (\supset I)$$

$$\frac{\Gamma \vdash A \supset B \quad \Gamma \vdash A}{\Gamma \vdash B} (\supset E)$$

$$\frac{\begin{array}{c} [A]^a \\ \vdots \\ B \end{array}}{A \rightarrow B} \rightarrow I, a$$

$$\frac{A \rightarrow B \quad A}{B} \rightarrow E$$

## Axiom of the Excluded Middle

$$\overline{\Gamma \vdash A \vee \neg A} \text{ (AEM) only in classical logic, not in constructive logic}$$

$$\frac{\begin{array}{c} [\neg A]^a \\ \vdots \\ \perp \end{array}}{A} \text{DN}, a$$

$$\frac{\neg A \quad A}{\perp} \neg E$$

Interesting fact: these two can not derive each other



# Example



- **Prove:**  $((A \wedge B) \rightarrow C) \rightarrow (A \rightarrow B \rightarrow C)$  and  $(A \rightarrow B \rightarrow C) \rightarrow ((A \wedge B) \rightarrow C)$

# Example

- Prove:  $\neg p \vee p$

This is the axiom in classical logic

While here we use

$$\frac{[\neg A]^a \quad \vdots \quad \perp}{A} \text{DN}, a$$

## Axiom of the Excluded Middle

$$\frac{}{\Gamma \vdash A \vee \neg A} \text{ (AEM)}$$

only in classical logic,  
not in constructive logic

Namely,  $\neg\neg A$  and  $A$  are equivalent

you can't prove this without this axiom

# Example



- Prove:  $\vdash (A \rightarrow B) \rightarrow (\neg A \vee B)$

Hint: use  $\neg p \vee p$

# Logic and type



$\Gamma \vdash \overset{\text{expression}}{e} : \tau \leftarrow \text{type}$

$\Gamma$  is a mapping from variables to types. We will write it as a sequence of typing assumptions, written

$x_1 : \tau_1, \dots, x_n : \tau_n$

Typ $\tau$	Structural Form	Concrete Form
	Num	Num
	Bool	Bool
	Arrow( $\tau_{\text{in}}, \tau_{\text{out}}$ )	$\tau_{\text{in}} \rightarrow \tau_{\text{out}}$ (right assoc., precedence 1)

## numbers

$$\frac{}{\Gamma \vdash \underline{n} : \text{Num}} \text{ (T-NumLiteral)}$$

$$\frac{\Gamma \vdash e : \text{Num}}{\Gamma \vdash -e : \text{Num}} \text{ (T-Neg)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 + e_2 : \text{Num}} \text{ (T-Plus)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 - e_2 : \text{Num}} \text{ (T-Minus)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 * e_2 : \text{Num}} \text{ (T-Times)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 > e_2 : \text{Bool}} \text{ (T-Gt)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 < e_2 : \text{Bool}} \text{ (T-Lt)}$$

$$\frac{\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num}}{\Gamma \vdash e_1 =? e_2 : \text{Bool}} \text{ (T-Eq)}$$

## booleans

$$\frac{}{\Gamma \vdash \text{True} : \text{Bool}} \text{ (T-True)}$$

$$\frac{}{\Gamma \vdash \text{False} : \text{Bool}} \text{ (T-False)}$$

$$\frac{\Gamma \vdash e_1 : \text{Bool} \quad \Gamma \vdash e_2 : \tau \quad \Gamma \vdash e_3 : \tau}{\Gamma \vdash \text{if } e_1 \text{ then } e_2 \text{ else } e_3 : \tau} \text{ (T-If)}$$

## variables + functions

$$\frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau} \text{ (T-Var)}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \text{let } x : \tau_1 \text{ be } e_1 \text{ in } e_2 : \tau_2} \text{ (T-LetAnn)}$$

$$\frac{\Gamma, x : \tau_{\text{in}} \vdash e : \tau_{\text{out}}}{\Gamma \vdash \text{fun } (x : \tau_{\text{in}}) \rightarrow e : \tau_{\text{in}} \rightarrow \tau_{\text{out}}} \text{ (T-Fun)}$$

$$\frac{\Gamma \vdash e_1 : \tau_{\text{in}} \rightarrow \tau_{\text{out}} \quad \Gamma \vdash e_2 : \tau_{\text{in}}}{\Gamma \vdash e_1 e_2 : \tau_{\text{out}}} \text{ (T-Ap)}$$

# Logic and type



1. Product types  $A \times B$  correspond to conjunction  $A \wedge B$
2. Sum types  $A + B$  correspond to disjunction  $A \vee B$
3. Arrow types  $A \rightarrow B$  correspond to implication  $A \supset B$
4. The unit type  $1$  corresponds to the tautological proposition,  $\top$

• E.g.  $\vdash (\text{fun } x \rightarrow x.0) : (A \wedge B) \supset A$

$\vdash (\text{fun } f \rightarrow \text{fun } g \rightarrow \text{fun } a \rightarrow g(f(a))) : (A \supset B) \supset (B \supset C) \supset (A \supset C)$

- Remember we have just proved that  $((A \wedge B) \rightarrow C) \leftrightarrow (A \rightarrow B \rightarrow C)$
- function  $f(x, y) = x + y$  has type  $\text{Num} \rightarrow \text{Num} \rightarrow \text{Num}$   
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y$  &  $\text{fun } (x, y) \rightarrow x+y$

# Russel paradox



Axiom of Extensionality: For two sets  $A, B$ ,

$$A = B \iff \forall x (x \in A \iff x \in B)$$

Axiom of comprehension: any assertion  $\phi(x)$  depending on a variable  $x$ , exist unique set  $A$  that

$$\forall x (x \in A \iff \phi(x))$$

the set  $A$  is denoted

$$A := \{x | \phi(x)\}$$

Russel paradox: Naive Set Theory is inconsistent (self-contradictory).

$$A := \{x | x \notin x\}$$

# Russel paradox



Russel paradox: Naive Set Theory is inconsistent (self-contradictory).  
Another proof:

## Cantor's Theorem

Let  $X$  be a set,  $f : X \rightarrow P(X)$  be a function. Then  $f$  is not surjective, i.e., there is an  $A \in P(X)$  such that for all  $x \in X, f(x) \neq A$

idea: We want to find a subset  $A \subseteq X$  which does not equal any  $f(x)$ ,

Diagonalization

Proof of Cantor's Theorem:

Proof of Russel paradox : let  $V = \{x \mid \text{true}\}$  be the set of all sets. Note that  $V = P(V)$  (since all objects are sets). Thus  $id : V \rightarrow V = P(V)$  is a surjection, contradicting Cantor's theorem

# Russel paradox



Russel paradox: Naive Set Theory is inconsistent (self-contradictory).

Solution:

The most common way: restrict the Axiom of Comprehension so that only “sufficiently small” classes form sets. => The **theory ZF--infinity**

A **class** is an informal collection  $\{x \mid \varphi(x)\}$  defined by a property  $\varphi(x)$

Axiom: (Powerset). For any set  $X$ , the class  $P(X) = \{A \mid A \subseteq X\}$  is a set.

Axiom: (Union). For any set  $A$ ,  $\bigcup A = \{x \mid \exists A \in A (x \in A)\}$  is a set.

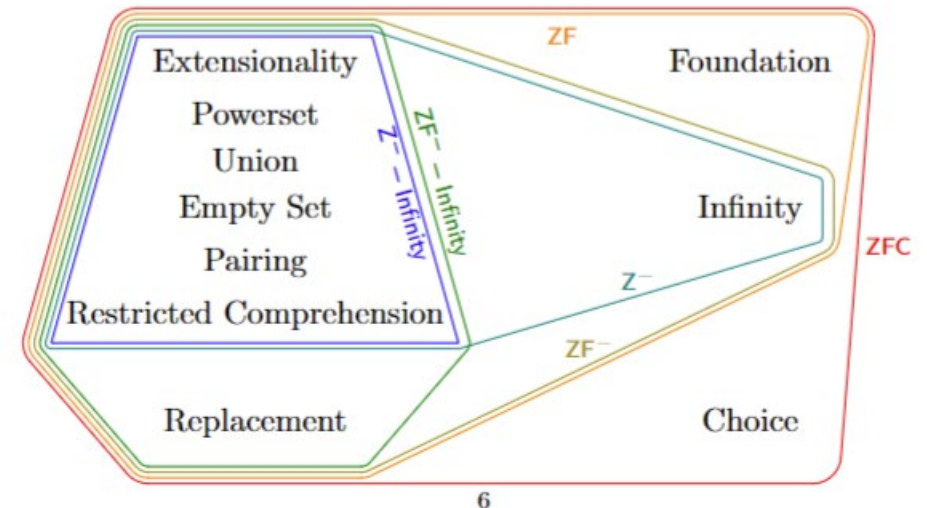
Axiom: (Finite Sets). For any  $x_1, \dots, x_n$ ,  $\{x_1, \dots, x_n\}$  is a set.

Axiom: (Empty Set).  $\emptyset = \{x \mid \text{false}\}$  is a set.

Axiom: (Pairing). For any  $x, y$ ,  $\{x, y\} = \{z \mid x = z \text{ or } y = z\}$  is a set.

Axiom: (Restricted Comprehension/Separation). Any class contained in a set is a set

Gödel's incompleteness theorem. find a complete and consistent set of axioms for all mathematics is impossible





End  
~~QAQAQA~~&A

# Reference

- Umich MATH 582 notes
- Umich EECS490 HW6
- *Practical Foundation for Programming Language*, Robert Harper