## The Semantics of K

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## 1 Matching Logic

Let us recall the basic grammar of matching logic from [?]. Assume a matching  $\lceil$  logic signature  $(S, \Sigma)$ , and let  $Var_s$  be a countable set of variables of sort s, where the sets of sorts S and of symbols  $\Sigma$  are enumerable sets. We partition  $\Sigma$  in sets of symbols  $\Sigma_{s_1...s_n,s}$  of arity  $s_1...s_n,s$ , where  $s_1,...,s_n,s \in S$ . Then patterns of sort  $s \in S$  are generated by the following grammar:

```
\varphi_s ::= x : s \quad \text{where } x \in Var
\mid \varphi_s \wedge \varphi_s \mid
\mid \neg \varphi_s \mid
\mid \exists x : s' . \varphi_s \quad \text{where } x \in N \text{ and } s' \in S
\mid \sigma(\varphi_{s_1}, \dots, \varphi_{s_n}) \quad \text{where } \sigma \in \Sigma \text{ has } n \text{ arguments, and } \dots
```

Figure 1: The grammar of matching logic.

The grammar above only defines the syntax of (well-formed) patterns of sort s. It says nothing about their semantics. For example, patterns  $x:s \wedge y:s$  and  $y:s \wedge x:s$  are distinct elements in the language of the grammar, in spite of them being semantically/provably equal in matching logic.

For notational convenience, we take the liberty to use mix-fix syntax for operators in  $\Sigma$ , parentheses for grouping, and omit variable sorts when understood. For example, if  $Nat \in S$  and  $\_+\_, \_*\_ \in \Sigma_{Nat \times Nat, Nat}$  then we may write (x+y)\*z instead of  $\_*\_(\_+\_(x:Nat,y:Nat),z:Nat)$ . More notational convenience and conventions will be introduced along the way as use them.

A matching logic theory is a triple  $(S, \Sigma, A)$  where  $(S, \Sigma)$  is a signature and A is a set of patterns called *axioms*. Like in many logics, sets of patterns may be presented as *schemas* making use of meta-variables ranging over patterns, sometimes constrained to subsets of patterns using side conditions. For example:

$$\varphi[\varphi_1/x] \wedge (\varphi_1 = \varphi_2) \rightarrow \varphi[\varphi_2/x] \quad \text{where } \varphi \text{ is any pattern and } \varphi_1, \, \varphi_2 \\ \text{are any patterns of same sort as } x \\ (\lambda x.\varphi)\varphi' = \varphi[\varphi'/x] \quad \text{where } \varphi, \, \varphi' \text{ are } \textit{syntactic patterns}, \, \text{that is,} \\ \text{ones formed only with variables and symbols} \\ \text{This is not true. Pattern } \varphi \text{ contains quantifiers.} \\ \varphi_1 + \varphi_2 = \varphi_1 +_{Nat} \varphi_2 \quad \text{where } \varphi, \, \varphi' \text{ are } \textit{ground } \text{syntactic patterns} \\ \text{of sort } Nat, \, \text{that is, patterns built only} \\ \text{with symbols } \textbf{zero } \text{and } \textbf{succ} \\ (\varphi_1 \rightarrow \varphi_2) \rightarrow (\varphi[\varphi_1/x] \rightarrow \varphi[\varphi_2/x]) \quad \text{where } \varphi \text{ is a } \textit{positive context in } x, \, \text{that is,} \\ \text{a pattern containing only one occurrence} \\ \text{of } x \text{ with no negation } (\neg) \text{ on the path to} \\ x, \, \text{and where } \varphi_1, \, \varphi_2 \text{ are any patterns} \\ \text{having the same sort} \\ \end{cases}$$

One of the major goals of this paper is to propose a formal language and an implementation, that allows us to write such pattern schemas.

# 2 A Calculus of Matching Logic

In this section, we define a matching logic theory  $K = (S_K, \Sigma_K, A_K)$  as the calculus of matching logic, where  $S_K, \Sigma_K$ , and  $A_K$  are sets of sorts, symbols, and axioms, respectively.

## 2.1 Boolean Algebra

The matching logic theory of Boolean algebra is included in K, and the corresponding sort is named KBool. Constructors of the sort KBool are two functional symbols

$$Ktrue: \rightarrow KBool$$
  $Kfalse: \rightarrow KBool.$ 

Common Boolean operators are defined as functional symbols with their corresponding axioms:

 $KnotBool \colon KBool \to KBool$  KnotBool(Ktrue) = Kfalse  $KandBool \colon KBool \times KBool \to KBool$  KnotBool(Kfalse) = Ktrue  $KorBool \colon KBool \times KBool \to KBool$  KandBool(Kfalse, b) = b $KimpliesBool \colon KBool \times KBool \to KBool$  KandBool(Kfalse, b) = Kfalse The symbols KorBool and KimpliesBool are defined in terms of the symbols KnotBool and KandBool in the usual way:

```
KorBool(b_1, b_2) = KnotBool(KnotBool(KnotBool(b_1), KnotBool(b_2)))

KimpliesBool(b_1, b_2) = KorBool(KnotBool(b_1), b_2).
```

Notation 1. If b is a term pattern of sort KBool, then we will write just b instead of b = Ktrue so that we can use Boolean expressions in any sort context.

Notation 2. To write Boolean expressions compactly, we adopt the following abbreviations if there is no confusion

$$\neg b \equiv KnotBool(b) \qquad b_1 \land b_2 \equiv KandBool(b_1, b_2) 
b_1 \lor b_2 \equiv KorBool(b_1, b_2) \qquad b_1 \rightarrow b_2 \equiv KimpliesBool(b_1, b_2).$$

## 2.2 Strings

The sort KString is the sort for strings. It has the following 26+26+10+2=64 functional constructors:

The associativity and identity of Kconcat are defined by the following axioms:

```
Kconcat(s_1, (Kconcat(s_2, s_3))) = Kconcat(Kconcat(s_1, s_2), s_3)

Kconcat(s, \epsilon) = s \quad Kconcat(\epsilon, s) = s.
```

Notation 3. As a convention, strings are often wrapped with quotation marks and the constructor *Kconcat* is often omitted. Therefore, instead of writing

we simply write "abc", thanks to the associativity of Kconcat.

### 2.3 Matching Logic Sorts and Symbols

The sort KSort is the sort for matching logic sorts. The only constructor of the sort KSort is the functional symbol:

$$Ksort: KString \rightarrow KSort.$$

The sort KSymbol is the sort for matching logic symbols. The only constructor of the sort KSymbol is the functional symbol:

$$Ksymbol: KString \rightarrow KSymbol.$$

### 2.4 Finite Lists

Whenever we introduce a sort, say X, to  $S_K$ , we feel free to use XList as the sort of finite lists whose elements are of sort X. If we do that, it means three things. Firstly, the sort XList is in  $S_K$ . Secondly, the following functional symbols are in  $\Sigma_K$ :

```
\begin{aligned} nilXList\colon &\to XList \\ appendXList\colon &XList \times XList \to XList \\ deleteXList\colon &X \times XList \to XList \end{aligned} \qquad \begin{aligned} inXList\colon &X \times XList \to KBool \\ &XListAsX\colon &X \to XList \\ deleteXList\colon &X \times XList \to XList \end{aligned}
```

where nilXList, XListAsX, and appendXList are constructors of sort XList. Thirdly, the following axioms are in  $A_K$ :

```
appendXList(l_1, appendXList(l_2, l_3)) = appendXList(appendXList(l_1, l_2), l_3)
appendXList(l, nilXList) = l \quad appendXList(nilXList, l) = l
inXList(x, nilXList) = Kfalse \quad inXList(x, XListAsX(x)) = Ktrue
x \neq y \rightarrow inXList(x, XListAsX(y)) = Kfalse
inXList(x, appendXList(l_1, l_2)) = inXList(x, l_1) \lor inXList(x, l_2)
deleteXList(x, nilXList) = nilXList \quad deleteXList(x, XListAsX(x)) = nilXList
x \neq y \rightarrow deleteXList(x, XListAsX(y)) = XListAsX(y)
deleteXList(x, appendXList(l_1, l_2)) = appendXList(deleteXList(x, l_1), deleteXList(x, l_2)).
```

Notation 4. To write lists expressions compactly, we adopt the following short-hands for *inXList* and *deleteXList* when there is no confusion:

```
x \in l \equiv (inXList(x, l) = Ktrue) x \notin l \equiv (inXList(x, l) = Kfalse)
deleteXList(x, l) \equiv delete(x, l).
```

Note that one should not confuse the shorthand for inXList with the matching logic membership connective, which we all write as the belongs-to symbol " $\in$ ". If the two patterns before and after the belongs-to symbol are of the same sort, it is the membership connective. If the left of them is of sort X and the right is of sort XList, the belongs-to symbol is the shorthand of inXList.

Constructors XListAsX and appendXList are often omitted, too:

```
x_1, \ldots, x_n \equiv appendXList(x_1, appendXList(\ldots, appendXList(x_{n-1}, x_n) \ldots)).
```

## 2.5 Matching Logic Patterns

The sort *KPattern* is the sort for matching logic patterns. It has the following functional symbols:

 $Kvariable: KString \times KSort \rightarrow KPattern$ 

 $Kand, Kor, Kimplies, Kiff: KPattern \times KPattern \times KSort \rightarrow KPattern$ 

 $Knot : KPattern \times KSort \rightarrow KPattern$ 

 $Kapplication: KSymbol \times KPatternList \rightarrow KPattern$ 

 $Kexists, Kforall: KString \times KSort \times KPattern \times KSort \rightarrow KPattern$ 

 $Kequals, Kin, Kcontains: KPattern \times KPattern \times KSort \times KSort \rightarrow KPattern$ 

 $Kfloor, Kceil: KPattern \times KSort \times KSort \rightarrow KPattern$ 

 $Ktop, Kbottom: KSort \rightarrow KPattern,$ 

where *Kvariable*, *Kand*, *Kor*, *Kapplication*, and *Kexists* are constructors of sort *KPattern*.

Notation 5. As a convention, we use b for KBool variables, x, y, z for KString variables, s for KSort variables,  $\sigma$  for KSymbol variables, and  $\varphi, \psi$  for KPattern variables.

Notation 6. Patterns of sort *KPattern* are easily getting huge quickly. The following abbreviations are adopted to write compact *KPattern* patterns.

```
\begin{array}{lll} x{:}s \equiv Kvariable(x,s). \text{ Sometimes it abbreviates to just } x. \\ \varphi \wedge_s \psi \equiv Kand(\varphi,\psi,s) & \varphi \vee_s \psi \equiv Kor(\varphi,\psi,s) \\ \varphi \rightarrow_s \psi \equiv Kimplies(\varphi,\psi,s) & \varphi \leftrightarrow_s \psi \equiv Kiff(\varphi,\psi,s) \\ \neg_s \varphi \equiv Knot(\varphi,x,s) & \exists_{s_1}^{s_2} x. \varphi \equiv Kexists(x,s,\varphi,s') \\ \forall_{s_1}^{s_2} x. \varphi \equiv Kforall(x,s,\varphi,s') & \varphi =_{s_1}^{s_2} \psi \equiv Kequals(\varphi,\psi,s_1,s_2) \\ \varphi \supseteq_{s_1}^{s_2} \psi \equiv Kcontains(\varphi,\psi,s_1,s_2) \\ \sigma(\varphi_1,\ldots,\varphi_n) \equiv Kapplication(\sigma,(\varphi_1,\ldots,\varphi_n)) \\ \lfloor \varphi \rfloor_{s_1}^{s_2} \equiv Kfloor(\varphi,s_1,s_2) & \lceil \varphi \rceil_{s_1}^{s_2} \equiv Kceil(\varphi,s_1,s_2) \\ \top_s \equiv Ktop(s) & \bot_s \equiv Kbottom(s) \end{array}
```

Apart from the five constructors of sort *KPattern*, all the other symbols are derived connectives, which are defined by the following axioms:

$$\varphi \vee_{s} \psi = \neg_{s}(\neg_{s}\varphi \wedge_{s} \neg_{s}\psi) \qquad \varphi \rightarrow_{s} \psi = \neg_{s}\varphi \vee_{s} \psi$$

$$\varphi \leftrightarrow_{s} \psi = (\varphi \rightarrow_{s} \psi) \wedge_{s} (\psi \rightarrow_{s} \varphi) \qquad \forall_{s_{1}}^{s_{2}} x. \varphi = \neg_{s_{2}} \exists_{s_{1}}^{s_{2}} x. (\neg_{s_{2}}\varphi)$$

$$\varphi = {}^{s_{2}}_{s_{1}} \psi = [\varphi \leftrightarrow_{s_{1}} \psi]_{s_{1}}^{s_{2}} \qquad \varphi \supseteq_{s_{1}}^{s_{2}} \psi = [\psi \rightarrow_{s_{1}} \varphi]_{s_{1}}^{s_{2}}$$

$$x \in {}^{s_{1}}_{s_{1}} \varphi = x \subseteq {}^{s_{2}}_{s_{1}} \varphi$$

$$\forall_{s_{1}}^{s_{2}} \varphi = x \subseteq {}^{s_{2}}_{s_{1}} \varphi$$

$$\forall_{s_{2}}^{s_{2}} \varphi = x \subseteq {}^{s_{2}}_{s_{1}} \varphi$$

$$\forall_{s_{2}}^{s_{2}} \varphi = x \subseteq {}^{s_{2}}_{s_{2}} \varphi$$

$$\forall_{s_{2}}^{s_{2}} \varphi = x \subseteq {}^{s_{2}}_{s_{2}} \varphi$$

$$\forall_{s_{2}}^{s_{2}} \varphi = x \subseteq {}^{s_{2}}_{s_{2}} \varphi$$

$$\forall_{s_{2}}^{s_{2}} \varphi$$

The functional symbol

not sure about the last one ... the definedness symbol...

 $KgetFvs: KPattern \rightarrow KPatternList$ 

collects all free variables in a pattern. It has the following axioms:

$$KgetFvs(x:s) = x:s$$
  $KgetFvs(\neg_s\varphi) = KgetFvs(\varphi)$   
 $KgetFvs(\varphi \land_s \psi) = KgetFvs(\varphi), KgetFvs(\psi)$   
 $KgetFvs(\sigma(\varphi_1, \dots, \varphi_n)) = KgetFvs(\varphi_1), \dots, KgetFvs(\varphi_n)$   
 $KgetFvs(\exists_{s:}^{s:2} x.\varphi) = delete(Kvariable(x, s_1), KgetFvs(\varphi)).$ 

The functional symbol

$$KfreshName: KPatternList \rightarrow KString$$

generates a variable name that does not occur free in the argument patterns. It has the following axiom:

$$Kvariable(KfreshName(\varphi_1, \dots, \varphi_n), s) \notin KgetFvs(\varphi_1), \dots, KgetFvs(\varphi_n).$$

The functional symbol

$$Ksubstitute: KPattern \times KPattern \times KPattern \rightarrow KPattern$$

takes a target pattern  $\varphi$ , a "find"-pattern x, and a "replace"-pattern  $\psi$ , and returns  $\varphi[\psi/x]$ .

Notation 7. We abbreviate  $Ksubstitute(\varphi, \psi, x)$  as  $\varphi[\psi/x]$ .

The function *Ksubstitute* has the following axioms:

$$\begin{array}{ll} x[\psi/x] = \psi & x \neq y \rightarrow y[\psi/x] = y \\ (\varphi_1 \wedge_s \varphi_2)[\psi/x] = \varphi_1[\psi/x] \wedge_s \varphi_2[\psi/x] & (\neg_s \varphi)[\psi/x] = \neg_s \varphi[\psi/x] \\ \sigma(\varphi_1, \ldots, \varphi_n)[\psi/x] = \sigma(\varphi_1[\psi/x], \ldots, \varphi_n[\psi/x]) & (\exists_{s_1}^{s_2} x.\varphi)[\psi/x] = \exists_{s_1}^{s_2} x.\varphi \\ (\exists_{s_1}^{s_2} x.\varphi)[\psi/y] = \exists z.z = \textit{KfreshName}(\varphi, \psi, x) \wedge \exists_{s_1}^{s_2} z.(\varphi[z/x][\psi/y]). \end{array}$$

## 2.6 Matching Logic Signatures

The sort *KSignature* is the sort for matching logic signatures, and it has just one constructor symbol:

 $Ksignature: KSortList \times KSymbolList \rightarrow KSignature.$ 

Notation 8. As a convention, we use  $\Sigma, \Psi$  for KSignature variables. We use S for KSortList variables and  $\Sigma$  for KSymbolList variables if they appear in Ksignature.

Notation 9. We abbreviate  $Ksignature(S, \Sigma)$  as  $(S, \Sigma)$ .

The functional symbol

$$KgetSort: KPattern \times KSignature 
ightharpoonup KSort$$

returns the sort of the argument pattern in the argument signature if the argument pattern is well-formed. Otherwise, it returns  $\perp_{KSort}$ . The following

axioms define *KgetSort*:

$$\begin{aligned} &KgetSort(x{:}s,(S,\Sigma)) = (s \in S) \land s \\ &KgetSort(\varphi \land_s \psi, \Sigma) = (KgetSort(\varphi, \Sigma) = s) \land (KgetSort(\psi, \Sigma) = s) \land s \\ &KgetSort(\neg_s \varphi, \Sigma) = (KgetSort(\varphi, \Sigma) = s) \land s \\ &KgetSort(\sigma(\varphi_1, \ldots, \varphi_n), (S, \Sigma)) = (\ldots) \land s \\ &KgetSort(\exists_{s_1}^{s_2} x.\varphi, \Sigma) = (s_1 \in S) \land (s_2 \in S) \land (KgetSort(\varphi, \Sigma) = s_2) \land s_2 \end{aligned}$$

The functional symbol

$$KwellFormed: KPattern \times KSignature \rightarrow KBool$$

returns *Ktrue* if the argument pattern is well-formed in the argument signature. It has the following axioms:

```
KwellFormed(x:s,(S,\Sigma)) = s \in S
KwellFormed(\varphi \wedge_s \psi, \Sigma) = KgetSort(\varphi, \Sigma) = s \wedge KgetSort(\psi, \Sigma) = s
KwellFormed(\neg_s \varphi, \Sigma) = KgetSort(\varphi, \Sigma) = s
KwellFormed(\sigma(\varphi_1, \dots, \varphi_n), \Sigma) = \dots
KwellFormed(\exists_{s_1}^{s_2} x. \varphi, (S, \Sigma)) = s_1 \in S \wedge KgetSort(\varphi, (S, \Sigma)) = s_2
```

Notation 10. The well-formedness is an important premise in axioms about the sort KPattern. To keep our notation simple, we often omit the well-formedness premises when defining axioms in  $\Sigma_K$ .

## 2.7 Matching Logic Theories

The sort KTheory is the sort of matching logic theories. The only constructor symbol is

 $Ktheory: KSignature \times KPatternList \rightarrow KTheory.$ 

Notation 11. As a convention, we use A, F for KPatternList variables if they appear in Ktheory. We use T for Ktheory variables.

Notation 12. We abbreviate  $Ktheory(\Sigma, A)$  as  $(\Sigma, A)$ , and abbreviate  $Ktheory(Ksignature(S, \Sigma), A)$  as  $(S, \Sigma, A)$ .

#### 2.8 Matching Logic Proof System

A sound and complete proof system has been introduced in [?]. The functional symbol

$$Kdeduce: KTheory \times KPattern \rightarrow KBool$$

returns Ktrue if the argument pattern is deducible in the argument theory. The functional symbol Kdeduce has axioms in correspondence to the inference rules in the proof system. In the following, we are going to list all the inference rules in the matching logic proof system followed by their correspondent axioms of Kdeduce, in which the well-formedness premises are omitted (Notation 10).

Rule (Axiom). 
$$F \vdash \varphi \text{ if } \varphi \in F$$
.

$$\varphi \in F \to Kdeduce((\Sigma, F), \varphi).$$

Rule (K1). 
$$\vdash \varphi \rightarrow (\psi \rightarrow \varphi)$$
.

$$Kdeduce(T, \varphi \to_s (\psi \to_s \varphi)).$$

Rule (K2). 
$$\vdash (\varphi_1 \to (\varphi_2 \to \varphi_3)) \to ((\varphi_1 \to \varphi_2) \to (\varphi_1 \to \varphi_3)).$$

$$Kdeduce(T, (\varphi_1 \to_s (\varphi_2 \to_s \varphi_3)) \to_s ((\varphi_1 \to_s \varphi_2) \to_s (\varphi_1 \to_s \varphi_3))).$$

**Rule (K3).** 
$$\vdash (\neg \psi \rightarrow \neg \varphi) \rightarrow (\varphi \rightarrow \psi)$$
.

$$Kdeduce(T, (\neg_s \psi \rightarrow_s \neg_s \varphi) \rightarrow_s (\varphi \rightarrow_s \psi)).$$

Rule (K4). 
$$\vdash \forall x. \varphi \rightarrow \varphi[y/x]$$
.

$$Kdeduce(T, \forall_{s_1}^{s_2} x. \varphi \rightarrow_{s_2} \varphi[y/x]).$$

**Rule (K5).** 
$$\vdash \forall x.(\varphi \to \psi) \to (\varphi \to \forall x.\psi)$$
 if  $x$  does not occur free in  $\varphi$ .  $x \notin KgetFvs(\varphi) \to Kdeduce(T, \forall_{s_1}^{s_2} x.(\varphi \to_{s_2} \psi) \to_{s_2} (\varphi \to_{s_2} \forall_{s_1}^{s_2} x.\psi))$ .

Rule (K6). 
$$\vdash \varphi_1 = \varphi_2 \to (\psi[\varphi_1/x] \to \psi[\varphi_2/x]).$$

$$Kdeduce(T, \varphi_1 =_{s_1}^{s_2} \varphi_2 \to_{s_2} (\psi[\varphi_1/x] \to_{s_2} \psi[\varphi_2/x])).$$

Rule (Df). 
$$\vdash [x]$$
.

not sure...

**Rule (M1).** 
$$\vdash x \in y = (x = y).$$

$$Kdeduce(T, x \in_{s_1}^{s_2} y =_{s_2}^{s_3} (x =_{s_1}^{s_2} y)).$$

**Rule (M2).** 
$$\vdash x \in (\varphi \land \psi) = (x \in \varphi) \land (x \in \psi).$$

$$Kdeduce(T, x \in_{s_1}^{s_2} (\varphi \wedge_{s_1} \psi) =_{s_2}^{s_3} (x \in_{s_1}^{s_2} \varphi) \wedge_{s_2} (x \in_{s_1}^{s_2} \psi)).$$

Rule (M3). 
$$\vdash x \in \neg \varphi = \neg (x \in \varphi)$$
.

$$Kdeduce(T, x \in S_1^{s_2} \neg_{S_1} \varphi = S_2^{s_3} \neg_{S_2} (x \in S_1^{s_2} \varphi)).$$

**Rule (M4).** 
$$\vdash x \in \forall y. \varphi = \forall y. x \in \varphi \text{ if } x \text{ is distinct from } y.$$

$$x \neq y \to Kdeduce(T, x \in_{s_2}^{s_3} \forall_{s_1}^{s_2} y. \varphi =_{s_3}^{s_4} \forall_{s_1}^{s_3} y. x \in_{s_2}^{s_3} \varphi).$$

**Rule (M5).**  $\vdash x \in \sigma(\dots \varphi_i \dots) = \exists y.y \in \varphi_i \land x \in \sigma(\dots y \dots)$  where y is distinct from x and it does not occur free in  $\sigma(\dots \varphi_i \dots)$ .

```
\begin{aligned} x \neq y \land y \not\in KgetFvs(Kapplication(\sigma, (l:KPatternList, \varphi_i, r:KPatternList))) \\ \rightarrow Kdeduce(T, x \in ^{s_2}_{s_1} Kapplication(\sigma, (l:KPatternList, \varphi_i, r:KPatternList)) \\ = ^{s_4}_{s_2} \exists ^{s_4}_{s_3} y. y \in ^{s_2}_{s_3} \varphi_i \land x \in ^{s_2}_{s_1} Kapplication(\sigma, (l:KPatternList, y, r:KPatternList))). \end{aligned}
```

Rule (Modus Ponens). If  $\vdash \varphi$  and  $\vdash \varphi \rightarrow \psi$ , then  $\vdash \psi$ .

$$Kdeduce(T, \varphi) \wedge Kdeduce(T, \varphi \rightarrow_s \psi) \rightarrow Kdeduce(T, \psi).$$

Rule (Universal Generalization). If  $\vdash \varphi$ , then  $\vdash \forall x.\varphi$ .

$$Kdeduce(T,\varphi) \to Kdeduce(T,\forall_{s_1}^{s_2}x.\varphi).$$

Rule (Membership Introduction). If  $\vdash \varphi$  and x does not occur free in  $\varphi$ , then  $\vdash x \in \varphi$ .

$$Kdeduce(T,\varphi) \wedge x \not\in KgetFvs(\varphi) \rightarrow Kdeduce(T,x \in ^{s_2}_{s_1}\varphi).$$

Rule (Membership Elimination). If  $\vdash x \in \varphi$  and x does not occur free in  $\varphi$ , then  $\vdash \varphi$ .

$$Kdeduce(T, x \in S_1^{s_2} \varphi) \land x \not\in KgetFvs(\varphi) \rightarrow Kdeduce(T, \varphi).$$

**Theorem 13** (Faithfulness of K).  $T \vdash \varphi$  iff  $K \vdash Kdeduce(T, \varphi)$ .

Proof. TBC. 
$$\Box$$

# 3 The Kore Syntax

The Kore syntax that we propose here is the *minimal* one, in the sense that it supports only the five basic matching logic constructors (variables, symbol applications, conjunction, negation, and existential quantifications), plus parametricity, which allows to define matching logic theories with infinite sorts, symbols, and axioms.

```
 \langle definition \rangle ::= \langle declaration \rangle^* 
 \langle declaration \rangle ::= \text{sort} \langle sort \rangle 
 | \text{hooked-sort} \langle sort \rangle 
 | \text{symbol} \langle symbol \rangle (\langle sort \rangle^*) : \langle sort \rangle 
 | \text{hooked-symbol} \langle symbol \rangle (\langle sort \rangle^*) : \langle sort \rangle 
 | \text{axiom} \langle pattern \rangle 
 \langle sort \rangle ::= \langle atomic\text{-}sort \rangle \mid \langle parametric\text{-}sort \rangle
```

```
 \langle parametric\text{-}sort\rangle ::= \{ \langle sort\text{-}variable \rangle \} 
 | \langle sort\text{-}constructor\rangle \{ \langle sort\text{-}variable \rangle^+ \} 
 \langle pattern\rangle ::= \langle pattern\text{-}variable \rangle 
 | \langle symbol\text{-}id\rangle (\langle pattern\text{-}list\rangle) 
 | \langle and (\langle pattern\rangle, \langle pattern\rangle) 
 | \langle and (\langle pattern\rangle) \rangle 
 | \langle and (\langle pattern\rangle) \rangle 
 | \langle and (\langle pattern\rangle) \rangle
```

Sorts are declared using the sort keyword, symbols are declared using the symbol keyword, and axioms are defined using the axiom keyword.

```
/* Example 1: One-Element */
sort Element1
symbol e() : Element1
axiom e()

/* Example 2: Two-Element */
sort Element2
symbol e1() : Element2
symbol e2() : Element2
axiom \not(\and(\not(e1()), \not(e2())))
axiom \not(\and(e1(), e2()))
```

Symbols can be parametric on sort variables, which are wrapped by curly brackets. Sort variables can be instantiated by any sort.

```
/* Example 3: Identity-Function */
symbol id({S}) : {S}
axiom \not(\and(X:{S}, \not(id(X:{S}))))
axiom \not(\and(id(X:{S}), \not(X:{S})))
```

Given the context where two sorts Element1 and Element2 are declared, the above is just a shorthand of

```
/* Example 3': Identity-Function */
symbol id(Element1) : Element1
symbol id(Element2) : Element2
axiom \not(\and(X:Element1, \not(id(X:Element1))))
axiom \not(\and(id(X:Element1), \not(X:Element1)))
axiom \not(\and(id(X:Element2, \not(id(X:Element2))))
axiom \not(\and(id(X:Element1), \not(X:Element1)))
```

If a sort variable appears multiple times in a statement, only the first appearance of it needs curly brackets. Therefore, an alternative way to write Example 3 is

```
/* Example 3'': Identity-Function */
symbol id({S}) : S
axiom \not(\and(X:{S}, \not(id(X:S))))
axiom \not(\and(id(X:{S}), \not(X:S)))
```

If a variable is decorated with a sort variable, as in X:{S}, the sort decoration can be omitted. Therefore, an alternative way to write Example 3 is

```
/* Example 3'': Identity-Function */
symbol id({S}) : S
axiom \not(\and(X, \not(id(X))))
axiom \not(\and(id(X), \not(X)))
```

#### Example (Definedness Symbol).

```
symbol ceil({S}) : {S'}
axiom ceil(X)
```

#### Example (Derived Connectives as Syntactic Sugar).

#### Example (Boolean Algebra).

```
sort Bool
symbol true() : Bool
symbol false() : Bool
axiom \or(true(), false())
axiom \not(\and(true(), false()))
symbol andBool(Bool, Bool) : Bool
axiom \equals(andBool(true(), B), B)
axiom \equals(andBool(false(), B), false())
```

#### Example (Pairs).

```
sort Pair{S, S'}
symbol pairOf(S, S') : Pair{S, S'}
axiom
```

# 4 Ignore Me

## 4.1 Syntax and Semantics of Kore

```
// Namespaces for sorts, variables, metavariables,
// symbols, and Kore modules.
```

Sort = String
VariableId = String
MetaVariableId = String
Symbol = String
ModuleId = String

Variable = VariableId:Sort
MetaVariable = MetaVariableId::Sort

Pattern = Variable | MetaVariable | \and(Pattern, Pattern)

| \not(Pattern)

| \exists(Variable, Pattern)

| Symbol(PatternList)

Sentence = import ModuleId

| syntax Sort

| syntax Sort ::= Symbol(SortList)

| axiom Pattern

Sentences = Sentence | Sentences Sentences

Module = module ModuleId

Sentences
endmodule

In Kore syntax, the backslash "\" is reserved for matching logic connectives and the sharp "#" is reserved for the meta-level, i.e., the K sorts and symbols. Therefore, the sorts KBool, KString, KSymbol, KSort, and KPattern in the calculus K are denoted as #Bool, #String, #Symbol, #Sort, and #Pattern in Kore respectively. Symbols in K are denoted in the similar way, too. For example, the constructor symbol  $Kvariable: KString \times KSort \rightarrow KPattern$  is denoted as #variable in Kore.

A Kore module definition begins with the keyword module followed by the name of the module-being-defined, and ends with the keyword endmodule. The body of the definition consists of some *sentences*, whose meaning are introduced in the following.

The keyword import takes an argument as the name of the module-being-imported, and looks for that module in previous definitions. If the module is found, the body of that module is copied to the current module. Otherwise, nothing happens. The keyword syntax leads a syntax declaration, which can be either a sort declaration or a symbol declaration. Sorts declared by sort declarations are called *object-sorts*, in comparison to the five meta-sorts, #Bool, #String, #Symbol, #Sort, and #Pattern, in K. Symbols whose argument sorts and return sort are all object-sorts (meta-sorts) are called object-symbols (meta-sorts).

Patterns are written in prefix forms. A pattern is called an *object-pattern* (meta-pattern) if all sorts and symbols in it are object (meta) ones. Meta-symbols will be added to the calculus K, while object-sorts and object-symbols

will not. They only serve for the purpose to parse an object pattern.

The keyword axiom takes a pattern and adds an axiom to the calculus K. If the pattern is a meta-pattern, it adds the pattern itself as an axiom. If the pattern  $\varphi$  is an object-pattern, it adds  $\llbracket \varphi \rrbracket$  as an axiom to the calculus K.

Recall that we have defined the semantics bracket as

$$\llbracket \varphi \rrbracket \equiv (deducible(lift[\varphi]) = true),$$

where  $\varphi$  is a pattern of the grammar in Figure 1. However, here in Kore we allow  $\varphi$  containing *meta-variables*. As a result, we modify the definition of the semantics bracket as

$$[\![\varphi]\!] \equiv mvsc[\varphi] \rightarrow (deducible(lift[\varphi]) = true),$$

where the lifting function  $lift[\_]$  and the meta-variable sort constraint  $mvsc[\_]$  are defined in Algorithm 1 and 2, respectively. Intuitively, meta-variables in an object-pattern  $\varphi$  are lifted to variables of the sort KPattern with the corresponding sort constraints. For example, the meta-variable x:s is lifted to a variable x:KPattern in K with the constraint that KgetSort(x:KPattern) = sort(s). The function  $mvsc[\_]$  collects all such meta-variable sort constraint in an object-pattern is implemented in Algorithm 2.

```
Algorithm 1: Lifting Function lift
   Input: An object-pattern \varphi.
    Output: The meta-representation (ASTs) of \varphi in K
 1 if \varphi is x:s then
       Return variable(x, sort(s))
 з else if \varphi is x::s then
        Return x:KPattern \land (sort(s) = KgetSort(x:KPattern))
 5 else if \varphi is \varphi_1 \wedge \varphi_2 then
        Return Kand(lift[\varphi_1], lift[\varphi_2])
 7 else if \varphi is \neg \varphi_1 then
        Return Knot(lift[\varphi_1])
   else if \varphi is \exists x : s. \varphi_1 then
        Return Kexists(x, sort(s), lift[\varphi_1])
11 else if \varphi is \sigma(\varphi_1, \ldots, \varphi_n) and \sigma \in \Sigma_{s_1, \ldots, s_n, s} then
        Return Kapplication(symbol(\sigma, (Ksort(s_1), \dots, Ksort(s_n)), Ksort(s)),
          lift[\varphi_1], \ldots, lift[\varphi_n])
```

### 4.2 Examples of Kore

Xiaohong: Add more examples and texts here

The BOOL module.

```
Algorithm 2: Meta-Variable Sort Constraint Collection mvsc
   Input: An object-pattern \varphi
   Output: The meta-variable sort constraint of \varphi
 1 Collect in set W all meta-variables appearing in \varphi;
 2 Let C = \emptyset:
 з foreach x::s \in W do
 4 C = C \cup (sort(s) = KgetSort(x:KPattern))
 5 Return \bigwedge C;
module BOOL
  syntax Bool
  syntax Bool ::= true | false | notBool(Bool)
                 | andBool(Bool, Bool) | orBool(Bool, Bool)
  axiom \or(true(), false())
  axiom \exists(X:Bool, \equals(X:Bool, true()))
  axiom \equals(andBool(B1::Bool, B2::Bool),
                andBool(B2::Bool, B1::Bool))
endmodule
The BOOL module (desugared).
module BOOL
  axiom \equals(
    #true,
    #deducible(#or(#application(#symbol("true", #nilSort, #sort("Bool")),
                                 #nilPattern),
                   #application(#symbol("false", #nilSort, #sort("Bool")),
                                 #nilPattern))))
  axiom \equals(
    #true,
    #deducible(#exists("X", #sort("Bool"),
               #equals(#variable("X", #sort("Bool")),
                        #application(#symbol("true", #nilSort, #sort("Bool")),
                                     #nilPattern)))))
  axiom \implies(
    \and(\equals(#getSort(B1:Pattern), #sort("Bool")),
         \equals(#getSort(B2:Pattern), #sort("Bool"))),
    \equals(
      #true,
      #deducible(#equals(#application(#symbol("andBool",
                                                (#sort("Bool"), #sort("Bool"))
                                                #sort("Bool")),
                                        (B1:Patern, B2:Pattern)), ---- TODO
                          #application(#symbol("andBool",
                                                (#sort("Bool"), #sort("Bool"))
                                                #sort("Bool")),
```

```
(B2:Patern, B1:Pattern))))))
  axiom ... ...
endmodule
The LAMBDA module
module LAMBDA
  syntax Exp
  syntax Exp ::= app(Exp, Exp) | lambda0(Exp, Exp)
  syntax #Bool ::= isLTerm(#Pattern)
  axiom \equals(
    isLTerm(#variable(X:String, #sort("Exp"))),
    true)
  axiom \equals(
    isLTerm(#application(
              #symbol("app", (#sort("Exp"), #sort("Exp")), #sort("Exp")),
              (E:Pattern, E':Pattern))),
    andBool(isLTerm(E:Pattern), isLTerm(E':Pattern)))
  axiom \equals(
    isLTerm(#exists(X:String, #sort("Exp"),
                    #application(#symbol("lambda0",
                                          (#sort("Exp"), #sort("Exp")),
                                         #sort("Exp")),
                                  (#variable(X:String, #sort("Exp")),
                                  E:Pattern))),
    isLTerm(E:Pattern))
  axiom \implies(\equals(true,
                         andBool(isLTerm(E:Pattern),
                                 isLTerm(E':Pattern))),
                 \equals(true,
                         deducible(#equals(...1,
                                           ...2))))
endmodule
```

# 5 Ignore Me

A proof system is a theorem generator. In K, the proof system of matching logic is captured by the functional symbol  $deducible: KPattern \rightarrow KBool$ , which returns Ktrue iff the argument pattern is a theorem.

We introduce the double bracket  $[\![\ ]\!],$  known as the semantics bracket, as follows:

$$\llbracket \varphi \rrbracket \equiv (deducible(lift[\varphi]) = true).$$

Intuitively,  $[\![\varphi]\!]$  means that " $\varphi$  is deducible". Whenever there is an inference rule (axioms are considered as rules with zero premise)

$$\frac{\varphi_1,\ldots,\varphi_r}{\eta_r}$$

in matching logic, there is a corresponding axiom in K:

$$\llbracket \varphi_1 \rrbracket \wedge \cdots \wedge \llbracket \varphi_n \rrbracket \to \llbracket \psi \rrbracket.$$

Inference modulo theories can be considered in the same way. For any (syntactic) matching logic theory T whose axiom set is A, we add

$$\llbracket \varphi \rrbracket \quad \text{for all } \varphi \in A$$

as axioms to K. We sometimes denote the extended theory as  $\mathit{lift}[T]$  and call it the  $\mathit{meta-theory}\ \mathit{for}\ T.$