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# **Notes and Study Materials**

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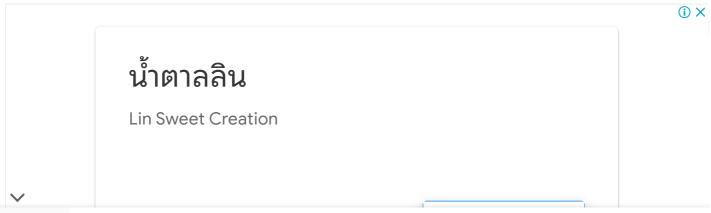


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# **Concurrency Control Problems**





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The coordination of the simultaneous execution of transactions in a multiuser database system is known as concurrency control. The objective of concurrency control is to ensure the serializability of transactions in a multiuser database environment. Concurrency control is important because the simultaneous execution of transactions over a shared database can create several data integrity and consistency problems. The three main problems are lost updates, uncommitted data, and inconsistent retrievals.

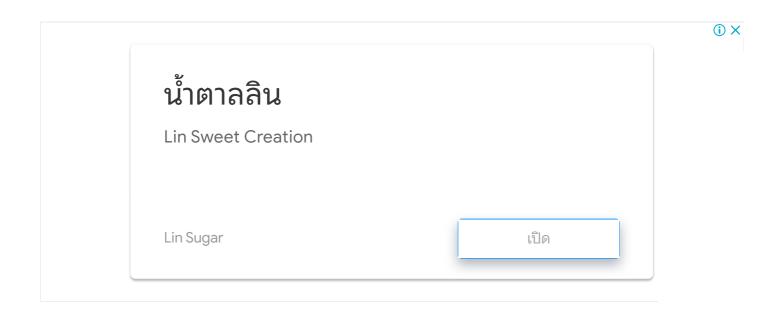
#### Stare Lost Updates:

The lost update problem occurs when two concurrent transactions, T1 and T2, are updating the same data element and one of the updates is lost (overwritten by the other transaction). Consider the following PRODUCT table example.

One of the PRODUCT table's attributes is a product's quantity on hand (PROD QOH).

Assume that you have a product whose current PROD\_QOH value is 35. Also assume that two concurrent transactions, T1 and T2, occur that update the PROD\_QOH value for some item in the PRODUCT table.

The transactions are as follows.



Two concurrent transactions update PROD\_QOH:



T1: Purchase 100 units PROD\_QOH = PROD\_QOH + 100

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T2: Sell 30 units PROD\_QOH = PROD\_QOH - 30

The Following table shows the serial execution of those transactions under normal circumstances, yielding the correct answer PROD\_QOH = 105.

TIME	TRANSACTION	STEP	STORED VALUE
1	TI	Read PROD_QOH	35
2	TI	PROD_QOH = 35 + 100	
3	TI	Write PROD_QOH	135
4	T2	Read PROD_QOH	135
Shares 5	T2	PROD_QOH = 135 - 30	
6	T2	Write PROD_QOH	105

But suppose that a transaction is able to read a product's PROD\_QOH value from the table before a previous transaction (using the same product) has been committed.

The sequence depicted in the following Table shows how the lost update problem can arise.

Note that the first transaction (T1) has not yet been committed when the second transaction (T2) is executed. Therefore, T2 still operates on the value 35, and its subtraction yields 5 in memory. In the meantime, T1 writes the value 135 to disk, which is promptly overwritten by T2. In short, the addition of 100 units is "lost" during the process.

TRANSACTION	STEP	STORED VALUE	
TI	Read PROD_QOH	35	
T2	Read PROD_QOH	35	
TI	PROD_QOH = 35 + 100	PROD_QOH = 35 + 100	
T2	PROD_QOH = 35 - 30	PROD_QOH = 35 - 30	
TI	Write PROD_QOH (Lost update)	135	
T2	Write PROD_QOH	5	
	TRANSACTION T1 T2 T1 T2 T1 T2 T1 T2 T1 T2	T1 Read PROD_QOH  T2 Read PROD_QOH  T1 PROD_QOH = 35 + 100  T2 PROD_QOH = 35 - 30  T1 Write PROD_QOH (Lost update)	

#### 2. Uncommitted Data:



To illustrate that possibility, let's use the same transactions described during the lost **Get exclusive content straight to your feed.** updates discussion. T1 has two atomic parts to it, one of which is the update of the inventory, the other possibly being the update of the invoice total (not shown). T1 is forced to roll back due to an error during the updating of the invoice's total; hence, it rolls back all the way, undoing the inventory update as well. This time, the T1 transaction is rolled back to eliminate the addition of the 100 units. Because T2 subtracts 30 from the original 35 units, the correct answer should be 5.

Transaction Operation

T1: Purchase 100 units PROD\_QOH = PROD\_QOH + 100 (Rolled back)

T2: Sell 30 units PROD\_QOH = PROD\_QOH - 30

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The following Table shows how, under normal circumstances, the serial execution of those transactions yields the correct answer.

TIME	TRANSACTION	STEP	STORED VALUE	
1	TI	Read PROD_QOH	35	
2	TI	PROD_QOH = 35 + 100		
3	TI	Write PROD_QOH	135	
4	TI	******ROLLBACK *****	35	
5	T2	Read PROD_QOH	35	
6	T2	PROD_QOH = 35 - 30		
7	T2	Write PROD_QOH	5	

The following Table shows how the uncommitted data problem can arise when the ROLLBACK is completed after T2 has begun its execution.

TIME	TRANSACTION	STEP	STORED VALUE
1	TI	Read PROD_QOH	35
2	TI	PROD_QOH = 35 + 100	
3	TI	Write PROD_QOH	z135
4	T2	Read PROD_QOH (Read uncommitted data	135
5	T2	PROD_QOH = 135 - 30	
6	TI	****** ROLLBACK *****	35
7	T2	Write PROD_QOH	105

# 3. Inconsister Retrievel Sontent straight to your feed.

Inconsistent retrievals occur when a transaction accesses data before and after another transaction(s) finish working with such data. For example, an inconsistent retrieval would occur if transaction T1 calculated some summary (aggregate) function over a set of data while another transaction (T2) was updating the same data. The problem is that the transaction might read some data before they are changed and other data after they are changed, thereby yielding inconsistent results.

To illustrate that problem, assume the following conditions:

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- 1. T1 calculates the total quantity on hand of the products stored in the PRODUCT table.
- 2. At the same time, T2 updates the quantity on hand (PROD\_QOH) for two of the ODUCT table's products.

The two transactions are shown in the following Table:

TRANSACTION T1	TRANSACTION T2
SELECT SUM(PROD_QOH) ROM PRODUCT	UPDATE PRODUCT  SET PROD_QOH = PROD_QOH + 10  WHERE PROD_CODE = '1546-QQ2'
	UPDATE PRODUCT SET PROD_QOH = PROD_QOH - 10 WHERE PROD_CODE = '1558-QW1'
	COMMIT;

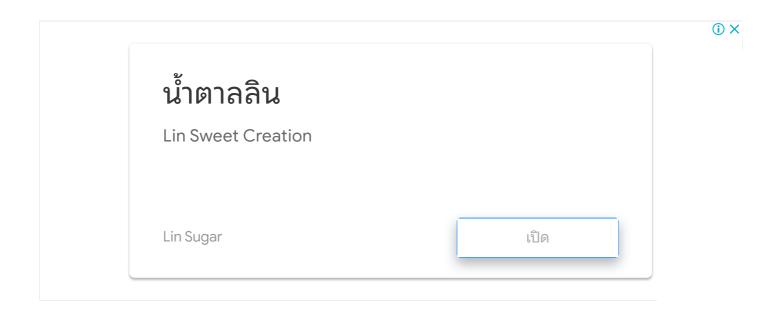
While T1 calculates the total quantity on hand (PROD\_QOH) for all items, T2 represents the correction of a typing error: the user added 10 units to product 1558-QW1's PROD\_QOH but meant to add the 10 units to product 1546-QQ2's PROD\_QOH. To correct the problem, the user adds 10 to product 1546-QQ2's PROD\_QOH and subtracts 10 from product 1558-QW1's PROD\_QOH. The initial and final PROD\_QOH values are reflected in the following Table

	BEFORE	AFTER
PROD_CODE	PROD_QOH	PROD_QOH
11QER/31	8	8
13-Q2/P2	32	32
1546-QQ2	15	(15 + 10) → 25
1558-OW1	23	(23 − 10) → 13

The following table demonstrates that inconsistent retrievals are possible during the **Get exclusive content straight to your feed.** transaction execution, making the result of T1's execution incorrect. The "After" summation shown in Table 10.9 reflects the fact that the value of 25 for product 1546-QQ2 was read after the WRITE statement was completed. Therefore, the "After" total is 40 + 25 = 65. The "Before" total reflects the fact that the value of 23 for product 1558-QW1 was read before the next WRITE statement was completed to reflect the corrected update of 13. Therefore, the "Before" total is 65 + 23 = 88.

	TIME	TRANSACTION	ACTION	VALUE	TOTAL
	1	T1	Read PROD_QOH for PROD_CODE = '11QER/31'	8	8
	2	T1	Read PROD_QOH for PROD_CODE = '13-Q2/P2'	32	40
	3	T2	Read PROD_QOH for PROD_CODE = '1546-QQ2'	15	
	4	T2	PROD_QOH = 15 + 10		
	5	T2	Write PROD_QOH for PROD_CODE = '1546-QQ2'	25	
Sh	ares	T1	Read PROD_QOH for PROD_CODE = '1546-QQ2'	25	(After) 65
	7	T1	Read PROD_QOH for PROD_CODE = '1558-QW1'	23	(Before) 88
	8	T2	Read PROD_QOH for PROD_CODE = '1558-QW1'	23	
	9	T2	PROD_QOH = 23 - 10		
	10	T2	Write PROD_QOH for PROD_CODE = '1558-QW1'	13	
ı	11	T2	***** COMMIT *****		
	.2	T1	Read PROD_QOH for PROD_CODE = '2232-QTY'	8	96
	13	T1	Read PROD_QOH for PROD_CODE = '2232-QWE'	6	102

The computed answer of 102 is obviously wrong because you know from the previous Table that the correct answer is 92. Unless the DBMS exercises concurrency control, a multiuser database environment can create havoc within the information system.



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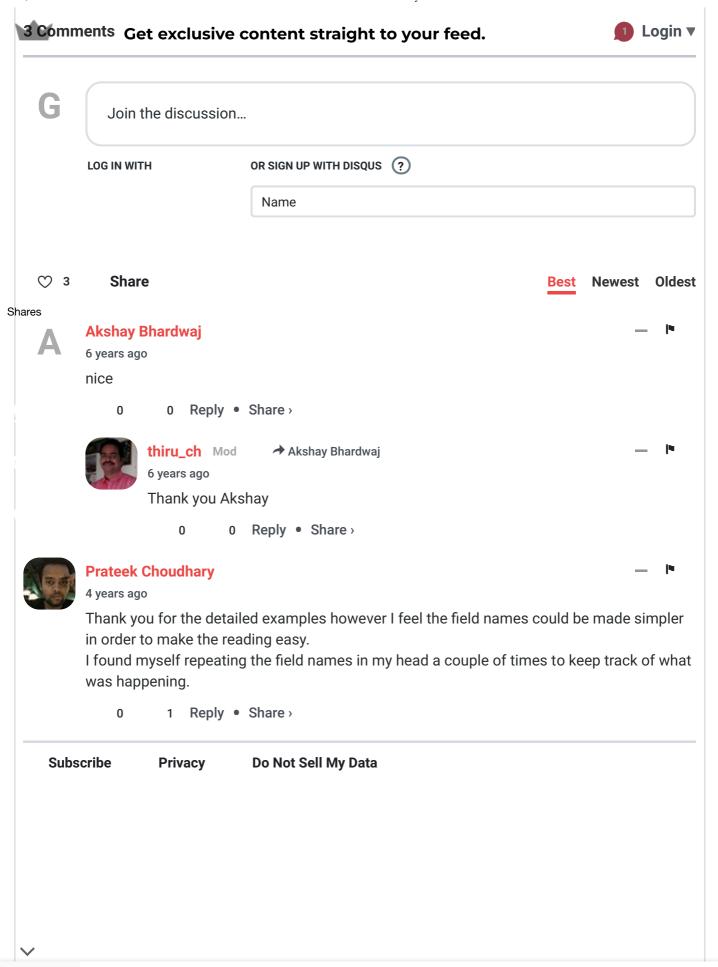
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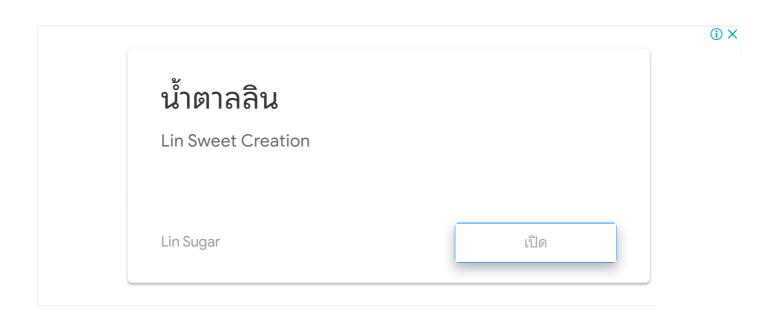
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