

# Chapter 2, Section 4

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1. Show that a finite morphism is proper.

**Lemma 1.** *If  $\varphi : A \rightarrow B$  is an integral homomorphism of rings, then the corresponding morphism between affine schemes  $\varphi^* : \operatorname{Spec} B \rightarrow \operatorname{Spec} A$  is a closed mapping.*

*Proof.* We can decompose  $\varphi$  into  $A \rightarrow A/\ker \varphi \hookrightarrow B$ , and  $\operatorname{Spec}(A/\ker \varphi)$  is homeomorphic to a closed subspace of  $\operatorname{Spec} A$ , so we reduce to the case when  $\varphi$  is injective. Let  $\mathfrak{b}$  be any ideal of  $B$ , then we want to show  $\varphi^*(V(\mathfrak{b})) = V(\mathfrak{b} \cap A)$  (note that in general, we only have  $\varphi(V(\mathfrak{b})) = V(\varphi^{-1}(\mathfrak{b}))$ ). This follows from (A.M. 5.10), which states if  $A \subseteq B$  are rings,  $B$  integral over  $A$ , and  $\mathfrak{p}$  prime ideal of  $A$ , then there exists a prime ideal  $\mathfrak{q}$  of  $B$  such that  $\mathfrak{q} \cap A = \mathfrak{p}$ .  $\square$

**Lemma 2.** *Let  $f : B \rightarrow B'$  be a homomorphism of  $A$ -algebras, and let  $C$  be an  $A$ -algebra. If  $f$  is integral, prove that  $f \otimes 1 : B \otimes_A C \rightarrow B' \otimes_A C$  is integral.*

*Proof.* It suffices to show all pure tensors  $b' \otimes c$  in  $B' \otimes_A C$  have an equation of integral dependence over  $B \otimes_A C$ . Since  $B'$  is an integral  $B$ -algebra, we have

$$b'^n + d_1 b'^{n-1} + \cdots + d_n = 0$$

for some  $d_i \in B$ ,  $n > 0$ , then

$$(b' \otimes c)^n + (d_1 \otimes 1)(b' \otimes c)^{n-1} + \cdots + d_n \otimes c = 0.$$

$\square$

*Proof.* It follows from these lemmas that if  $f : A \rightarrow B$  is integral and  $C$  is any  $A$ -algebra, then the mapping  $(f \otimes 1)^* : \operatorname{Spec}(B \otimes_A C) \rightarrow \operatorname{Spec} C$  is a closed map. Let  $f : X \rightarrow Y$  be a finite morphism of schemes. A finite morphism is an affine morphism (Ex. 3.4), so by (4.6f) we reduce to the case when  $X = \operatorname{Spec} B$  and  $Y = \operatorname{Spec} A$ , where  $B$  is a finite  $A$ -module (hence integral over  $A$ ), and  $f$  is induced by a ring homomorphism  $A \rightarrow B$ . Morphisms between affine schemes are separated (4.1), and finite morphisms are of finite type, so it remains to show  $f$  is universally closed. If  $Y' \rightarrow Y$  is any morphism, then we want to show  $X \times_Y Y' \rightarrow Y'$  is a closed mapping. There is an open cover of  $Y'$  by spectra of  $A$ -algebras  $C_i$  so that the fiber product  $X \times_Y Y'$  is covered by spectra of  $B \otimes_A C_i$ . By the above remarks, the morphisms  $\operatorname{Spec}(B \otimes_A C_i) \rightarrow \operatorname{Spec} C_i$  are closed, hence  $X \times_Y Y' \rightarrow Y'$  is closed.  $\square$

2. Let  $S$  be a scheme, let  $X$  be a reduced scheme over  $S$ , and let  $Y$  be a separated scheme over  $S$ . Let  $f$  and  $g$  be two  $S$ -morphisms of  $X$  to  $Y$  which agree on an open dense subset of  $X$ . Show that  $f = g$ . Give examples to show that this result fails if either (a)  $X$  is nonreduced, or (b)  $Y$  is nonseparated.

*Proof.* Let  $U$  be an open dense subset of  $X$  such that  $f$  and  $g$  agree on  $U$ , let  $h : X \rightarrow Y \times_S Y$  be the map obtained from  $f$  and  $g$ , and let  $\Delta : Y \rightarrow Y \times_S Y$  be the diagonal morphism. By hypothesis,  $h(U) \subseteq \Delta(Y)$ . But  $U$  is dense in  $X$ , and  $\Delta(Y)$  is closed since  $Y$  is separated over  $S$ , so  $h(X) \subseteq \Delta(Y)$ . This says that  $f$  and  $g$  agree topologically, so  $f_* \mathcal{O}_X = g_* \mathcal{O}_X$ . Set  $\mathcal{O} = f_* \mathcal{O}_X = g_* \mathcal{O}_X$ . Let  $V$  be open subset of  $Y$ , and let  $t \in \Gamma(V, \mathcal{O}_Y)$ . We want to show  $f^\#(t) = g^\#(t) \in \mathcal{O}(V)$ . By hypothesis, we have  $W = (f^{-1}(V))_{f^\#(t) - g^\#(t)} \subseteq X - U$ , but  $W$  is an open subset and  $U$  is dense, which implies  $W = \emptyset$ . This implies  $f^\#(t) - g^\#(t)$  is nilpotent, but  $X$  is reduced, hence  $f^\#(t) - g^\#(t) = 0$ .

- (a) Let  $k$  be a field, let  $X = \operatorname{Spec} k[\varepsilon]/(\varepsilon^2)$  (Ex. 2.8), and let  $Y$  be any scheme over  $k$ . Giving a  $k$ -morphism  $X \rightarrow Y$  is equivalent to giving a point in  $y \in Y$  rational over  $k$ , and an element of  $\mathfrak{m}_y/\mathfrak{m}_y^2$ .
- (b) Let  $X$  be the affine line, and let  $Y$  be the affine line with the origin doubled. We have two possible open immersions of  $X$  into  $Y$  with each one having either origin in its image, and the open immersions agree on the complement of the origin of  $X$ , which is an open dense subset of  $X$ .

$\square$

6. Let  $f : X \rightarrow Y$  be a proper morphism of affine varieties over  $k$ . Then  $f$  is a finite morphism.

*Proof.* Let  $X = \text{Spec } B, Y = \text{Spec } A$ , where  $A$  and  $B$  are finitely generated  $k$ -algebras that are integral domains. Let  $\varphi : A \rightarrow B$  be a  $k$ -algebra homomorphism such that  $B$  is a finitely generated  $A$ -algebra, and  $f$  is induced by  $\varphi$ . Closed immersions are proper, so we reduce to the case when  $\varphi$  is injective. We want to show  $B$  is a finite  $A$ -module, which is equivalent to  $B$  being finitely generated and integral over  $A$ , so it suffices to show  $B$  is integral over  $A$ . Let  $K$  be the field of fractions of  $B$  so that  $A$  and  $B$  are subrings of  $K$ . By (4.11A), the integral closure of  $A$  in  $K$  is the intersection of all valuation rings of  $K$  which contains  $A$ , so it suffices to show  $B$  is contained in all such subrings. This is an easy consequence of the valuative criterion of properness: given any valuation ring  $R$  containing  $A$ , we have inclusions  $A \rightarrow R$  and  $B \rightarrow K$  forming a commutative diagram

$$\begin{array}{ccc} A & \longrightarrow & R \\ \downarrow & \nearrow & \downarrow \\ B & \longrightarrow & K \end{array}$$

and the valuative criterion of properness implies there exists a unique homomorphism  $B \rightarrow R$  making the whole diagram commute. All homomorphisms are inclusions, so  $B \rightarrow R$  is an inclusion, which is what we wanted to show. (See an alternative proof of this result that uses the universally closed property instead of the valuative criterion in (A.M. Ex. 5.35).)  $\square$

8. Let  $\mathcal{P}$  be a property of morphisms of schemes such that:

- (a) a closed immersion has  $\mathcal{P}$ ;
- (b) a composition of two morphisms having  $\mathcal{P}$  has  $\mathcal{P}$ ;
- (c)  $\mathcal{P}$  is stable under base extension.

Then show that:

- (d) a product of morphisms having  $\mathcal{P}$  has  $\mathcal{P}$ ;
- (e) if  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are two morphisms, and if  $g \circ f$  has  $\mathcal{P}$  and  $g$  is separated, then  $f$  has  $\mathcal{P}$ .
- (f) if  $f : X \rightarrow Y$  has  $\mathcal{P}$ , then  $f_{\text{red}} : X_{\text{red}} \rightarrow Y_{\text{red}}$  has  $\mathcal{P}$ .

*Proof.*

- (d) Let  $X \rightarrow Y$  and  $X' \rightarrow Y'$  be two morphisms having  $\mathcal{P}$ . By (c)  $X \times_Y (Y \times Y') \rightarrow Y \times Y'$  and  $X' \times_{Y'} (Y \times Y') \rightarrow Y \times Y'$  have  $\mathcal{P}$ . Hence,  $X \times X' = (X \times_Y (Y \times Y')) \times_{Y \times Y'} (X' \times_{Y'} (Y \times Y')) \rightarrow Y \times Y'$  has  $\mathcal{P}$ .
- (e) We can base extend  $g \circ f : X \rightarrow Z$  by  $g : Y \rightarrow Z$  so that  $h : X \times_Z Y \rightarrow Y$  has  $\mathcal{P}$ . Then  $f$  factors through  $h$ , so by (b) it suffices to show  $\Gamma_f : X \rightarrow X \times_Z Y$  has  $\mathcal{P}$ . By hypothesis, the diagonal morphism  $\Delta : Y \rightarrow Y \times_Z Y$  has  $\mathcal{P}$ . We can obtain  $\Gamma_f$  by base extension of  $\Delta$  by  $(f, 1_Y) : X \times_Z Y \rightarrow Y \times_Z Y$  since  $(X \times_Z Y) \times_{Y \times_Z Y} Y \cong X \times_{Y \times_Z Y} (Y \times_Z Y) \cong X$ . Hence, by (b)  $\Gamma_f$  has  $\mathcal{P}$ .
- (f) By the universal property of the reduced scheme associated to  $Y$ ,  $f_{\text{red}}$  is the unique morphism that makes the diagram

$$\begin{array}{ccccc} & & & & Y_{\text{red}} \\ & & & \nearrow f_{\text{red}} & \downarrow \\ X_{\text{red}} & \longrightarrow & X & \xrightarrow{f} & Y \end{array}$$

commute. The associated morphisms  $X_{\text{red}} \rightarrow X, Y_{\text{red}} \rightarrow Y$  are closed immersions; in particular,  $X_{\text{red}} \rightarrow Y$  has  $\mathcal{P}$  and  $Y_{\text{red}} \rightarrow Y$  is separated. Hence, by (e)  $f_{\text{red}}$  has  $\mathcal{P}$ .

*Remark.* In the affine case, we can translate the above to statements about rings. Let  $\mathcal{Q}$  be a property of homomorphisms of rings such that

- (a') a surjective homomorphism has  $\mathcal{Q}$ ;
- (b') a composition of two homomorphisms having  $\mathcal{Q}$  has  $\mathcal{Q}$ ;
- (c') if  $A \rightarrow B$  has  $\mathcal{Q}$  and  $C$  is any  $A$ -algebra, then  $C \rightarrow B \otimes_A C$  has  $\mathcal{Q}$ .

Then

(d') a product of homomorphisms having  $\mathcal{Q}$  has  $\mathcal{Q}$ ;

(e') if  $\varphi : A \rightarrow B$  and  $\psi : B \rightarrow C$  are two homomorphisms, and if  $\psi \circ \varphi$  has  $\mathcal{Q}$ , then  $\psi$  has  $\mathcal{Q}$ ;

(f') if  $\varphi : A \rightarrow B$  has  $\mathcal{Q}$ , then  $\varphi_{\text{red}} : A_{\text{red}} \rightarrow B_{\text{red}}$  has  $\mathcal{Q}$ .

Note that we can ignore the condition of  $g$  separated in (e') since any morphism between affine schemes is separable. Indeed, (e') can be proved from the sequence

$$A \xrightarrow{f} B \xrightarrow{g} C$$

by tensoring with  $B$  and extending the sequence as follows

$$B \cong A \otimes_A B \xrightarrow{f \otimes 1_B} B \otimes_A B \xrightarrow{g \otimes 1_B} C \otimes_A B \longrightarrow C$$

where  $C \otimes_A B \rightarrow C$  is defined by  $c \otimes b \mapsto g(b)c$ . By (c'),  $B \rightarrow C \otimes_A B$  has  $\mathcal{Q}$ , and  $C \otimes_A B \rightarrow C$  is surjective, so it has  $\mathcal{Q}$  by (a'). Notice that composing the homomorphisms give  $g$ , hence by (b')  $g$  has  $\mathcal{Q}$ .  $\square$

9. Show that a composition of projective morphisms is projective. Conclude that projective morphisms have properties (a)-(f) of (Ex. 4.8) above.

*Proof.* By the results of (Ex. 3.13), (4.6), and (4.8), it suffices to show if  $f : X \rightarrow \mathbb{P}^r$  is a projective morphism, then  $X$  is projective over  $\text{Spec } \mathbb{Z}$ . If  $f$  is projective, then there exists a closed embedding  $i : X \rightarrow \mathbb{P}^r \times \mathbb{P}^s$  such that  $f$  factors through  $\mathbb{P}^r \rightarrow \mathbb{P}^s$ . The Segre embedding (§1, 2.14)  $\psi : \mathbb{P}^r \times \mathbb{P}^s \rightarrow \mathbb{P}^{rs+r+s}$  is a closed embedding, so  $\psi \circ i : X \rightarrow \mathbb{P}^{rs+r+s}$  is a closed embedding such that  $X \rightarrow \text{Spec } \mathbb{Z}$  factors through  $\mathbb{P}^{rs+r+s} \rightarrow \text{Spec } \mathbb{Z}$ , which is what we wanted to show.  $\square$