

# Chapter 3, Section 5

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1. Let  $X$  be a projective scheme over a field  $k$ , and let  $\mathcal{F}$  be a coherent sheaf on  $X$ . We define the *Euler characteristic* of  $\mathcal{F}$  by

$$\chi(\mathcal{F}) = \sum (-1)^i \dim_k H^i(X, \mathcal{F}).$$

If

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$$

is a short exact sequence of coherent sheaves on  $X$ , show that  $\chi(\mathcal{F}) = \chi(\mathcal{F}') + \chi(\mathcal{F}'')$ .

2. (a) Let  $X$  be a projective scheme over a field  $k$ , let  $\mathcal{O}_X(1)$  be a very ample invertible sheaf on  $X$  over  $k$ , and let  $\mathcal{F}$  be a coherent sheaf on  $X$ . Show that there is a polynomial  $P(z) \in \mathbb{Q}[z]$ , such that  $\chi(\mathcal{F}(n)) = P(n)$  for all  $n \in \mathbb{Z}$ . We call  $P$  the *Hilbert polynomial* of  $\mathcal{F}$  with respect to the sheaf  $\mathcal{O}_X(1)$ .  
 (b) Now let  $X = \mathbb{P}_k^r$ , and let  $M = \Gamma_*(\mathcal{F})$ , considered as a graded  $S = k[x_0, \dots, x_r]$ -module. Use (5.2) to show that the Hilbert polynomial of  $\mathcal{F}$  just defined is the same as the Hilbert polynomial of  $M$  defined in (I, §7).
3. *Arithmetic Genus*. Let  $X$  be a projective scheme of dimension  $r$  over a field  $k$ . We define the *arithmetic genus*  $p_a$  of  $X$  by

$$p_a(X) = (-1)^r (\chi(\mathcal{O}_X) - 1).$$

Note that it depends only on  $X$ , not on any projective embedding.

- (a) If  $X$  is integral, and  $k$  algebraically closed, show that  $H^0(X, \mathcal{O}_X) \cong k$ , so that

$$p_a(X) = \sum_{i=0}^{r-1} (-1)^i \dim_k H^{r-i}(X, \mathcal{O}_X).$$

In particular, if  $X$  is a curve, we have

$$p_a(X) = \dim_k H^1(X, \mathcal{O}_X).$$

- (b) If  $X$  is a closed subvariety of  $\mathbb{P}_k^r$ , show that this  $p_a(X)$  coincides with the one defined in (I, Ex. 7.2), which apparently depended on the projective embedding.
- (c) if  $X$  is a nonsingular projective curve over an algebraically closed field  $k$ , show that  $p_a(X)$  is in fact a *birational* invariant. Conclude that a nonsingular plane curve of degree  $d \geq 3$  is not rational. (This gives another proof of (II, 8.20.3) where we used the geometric genus.)
4. Recall from (II, Ex. 6.10) the definition of the Grothendieck group  $K(X)$  of a noetherian scheme  $X$ .  
 (a) Let  $X$  be a projective scheme over a field  $k$ , and let  $\mathcal{O}_X(1)$  be a very ample invertible sheaf on  $X$ . Show that there is a (unique) additive homomorphism

$$P : K(X) \rightarrow \mathbb{Q}[z]$$

such that for each coherent sheaf  $\mathcal{F}$  on  $X$ ,  $P(\gamma(\mathcal{F}))$  is the Hilbert polynomial of  $\mathcal{F}$  (Ex. 5.2).

- (b) Now let  $X = \mathbb{P}_k^r$ . For each  $i = 0, \dots, r$ , let  $L_i$  be a linear space of dimension  $i$  in  $X$ . Then show that
  - (1)  $K(X)$  is the free Abelian group generated by  $\{\gamma(\mathcal{O}_{K_i}) \mid i = 0, \dots, r\}$ , and
  - (2) the map  $P : K(X) \rightarrow \mathbb{Q}[z]$  is injective.

5. Let  $k$  be a field, let  $X = \mathbb{P}_k^r$ , and let  $Y$  be a closed subscheme of dimension  $q \geq 1$ , which is a complete intersection (II, Ex. 8.4). Then:

(a) for all  $n \in \mathbb{Z}$ , the natural map

$$H^0(X, \mathcal{O}_X(n)) \rightarrow H^0(Y, \mathcal{O}_Y(n))$$

is surjective. (This gives a generalization and another proof of (II, Ex. 8.4c), where we assumed  $Y$  was normal.)

(b)  $Y$  is connected;

(c)  $H^i(Y, \mathcal{O}_Y(n)) = 0$  for  $0 < i < q$  and all  $n \in \mathbb{Z}$ ;

(d)  $p_a(Y) = \dim_k H^q(Y, \mathcal{O}_Y)$ .

6. *Curves on a Nonsingular Quadric Surface.* Let  $Q$  be the nonsingular quadric surface  $xy = zw$  in  $X = \mathbb{P}_k^3$  over a field  $k$ . We will consider locally principal closed subschemes  $Y$  of  $Q$ . These correspond to Cartier divisors on  $Q$  by (II, 6.17.1). On the other hand, we know that  $\text{Pic } Q \cong \mathbb{Z} \oplus \mathbb{Z}$ , so we can talk about the *type*  $(a, b)$  of  $Y$  (II, 6.16) and (II, 6.6.1). Let us denote the invertible sheaf  $\mathcal{L}(Y)$  by  $\mathcal{O}_Q(a, b)$ . Thus for any  $n \in \mathbb{Z}$ ,  $\mathcal{O}_Q(n) = \mathcal{O}_Q(n, n)$ .

(a) Use the special cases  $(q, 0)$  and  $(0, q)$ , with  $q > 0$ , when  $Y$  is a disjoint union of  $q$  lines  $\mathbb{P}^1$  in  $Q$ , to show:

(1) if  $|a - b| \leq 1$ , then  $H^1(Q, \mathcal{O}_Q(a, b)) = 0$ ;

(2) if  $a, b < 0$ , then  $H^1(Q, \mathcal{O}_Q(a, b)) = 0$ ;

(3) if  $a \leq -2$ , then  $H^1(Q, \mathcal{O}_Q(a, 0)) \neq 0$ .

(b) Now use these results to show:

(1) if  $Y$  is a locally principal closed subscheme of type  $(a, b)$  with  $a, b > 0$ , the  $Y$  is connected;

(2) now assume  $k$  is algebraically closed. Then for any  $a, b > 0$ , there exists an irreducible nonsingular curve  $Y$  of type  $(a, b)$ . Use (II, 7.6.2) and (II, 8.18).

(3) an irreducible nonsingular curve  $Y$  of type  $(a, b)$ ,  $a, b > 0$  on  $Q$  is projectively normal (II, Ex. 5.14) if and only if  $|a - b| \leq 1$ . In particular, this gives lots of examples of nonsingular, but not projectively normal curves in  $\mathbb{P}^3$ . The simplest is the one of type  $(1, 3)$ , which is just the rational quartic curve (I, Ex. 3.18).

(c) If  $Y$  is a locally principal subscheme of type  $(a, b)$  in  $Q$ , show that  $p_a(Y) = ab - a - b + 1 = (a - 1)(b - 1)$ .

7. Let  $X$  (respectively,  $Y$ ) be proper schemes over a noetherian ring  $A$ . We denote by  $\mathcal{L}$  an invertible sheaf.

(a) If  $\mathcal{L}$  is ample on  $X$ , and  $Y$  is any closed subscheme of  $X$ , then  $i^*\mathcal{L}$  is ample on  $Y$ , where  $i : Y \rightarrow X$  is the inclusion.

(b)  $\mathcal{L}$  is ample on  $X$  if and only if  $\mathcal{L}_{\text{red}} = \mathcal{L} \otimes \mathcal{O}_{X_{\text{red}}}$  is ample on  $X$ .

(c) Suppose  $X$  is reduced. Then  $\mathcal{L}$  is ample on  $X$  if and only if  $\mathcal{L} \otimes \mathcal{O}_{X_i}$  is ample on  $X_i$ , for each irreducible component  $X_i$  of  $X$ .

(d) Let  $f : X \rightarrow Y$  be a finite surjective morphism, and let  $\mathcal{L}$  be an invertible sheaf on  $Y$ . Then  $\mathcal{L}$  is ample on  $Y$  if and only if  $f^*\mathcal{L}$  is ample on  $X$ .

8. Prove that every one-dimensional proper scheme  $X$  over an algebraically closed field  $k$  is projective.

(a) If  $X$  is irreducible and nonsingular, then  $X$  is projective by (II, 6.7).

(b) If  $X$  is integral, let  $\tilde{X}$  be its normalization (II, Ex. 3.8). Show that  $\tilde{X}$  is complete and nonsingular, hence projective by (a). Let  $f : \tilde{X} \rightarrow X$  be the projection. Let  $\mathcal{L}$  be a very ample invertible sheaf on  $\tilde{X}$ . Show there is an effective divisor  $D = \sum P_i$  on  $\tilde{X}$  with  $\mathcal{L}(D) \cong \mathcal{L}$ , and such that  $f(P_i)$  is a nonsingular point of  $X$ , for each  $i$ . Conclude that there is an invertible sheaf  $\mathcal{L}_0$  on  $X$  with  $f^*\mathcal{L}_0 \cong \mathcal{L}$ . Then use (Ex. 5.7d), (II, 7.6) and (II, 5.16.1) to show that  $X$  is projective.

(c) If  $X$  is reduced, but not necessarily irreducible, let  $X_1, \dots, X_r$  be the irreducible components of  $X$ . Use (Ex. 4.5) to show  $\text{Pic } X \rightarrow \bigoplus \text{Pic } X_i$  is surjective. Then use (Ex. 5.7c) to show  $X$  is projective.

(d) Finally, if  $X$  is any one-dimensional proper scheme over  $k$ , use (2.7) and (Ex. 4.6) to show that  $\text{Pic } X \rightarrow \text{Pic } X_{\text{red}}$  is surjective. Then use (Ex. 5.7b) to show  $X$  is projective.

9. *A Nonprojective scheme.* We show the result of (Ex. 5.8) is false in dimension 2. Let  $k$  be an algebraically closed field of characteristic 0, and let  $X = \mathbb{P}_k^2$ . Let  $\omega$  be the sheaf of differential 2-forms (II, §8). Define an infinitesimal extension  $X'$  of  $X$  by  $\omega$  by giving the element  $\xi \in H^1(X, \omega \otimes \mathcal{T})$  defined as follows (Ex. 4.10). Let  $x_0, x_1, x_2$  be the homogenous coordinates of  $X$ , let  $U_0, U_1, U_2$  be the standard open covering, and let  $\xi_{ij} = (x_j/x_i)d(x_i/x_j)$ . This gives a Čech 1-cocycle with values in  $\Omega_X^1$ , and since  $\dim X = 2$ , we have  $\omega \otimes \mathcal{T} \cong \Omega_X^1$  (II, Ex. 5.16b). Now use the exact sequence

$$\cdots \rightarrow H^1(X, \omega) \rightarrow \text{Pic } X' \rightarrow \text{Pic } X \xrightarrow{\delta} H^2(X, \omega) \rightarrow \cdots$$

of (Ex. 4.6) and show  $\delta$  is injective. We have  $\omega \cong \mathcal{O}_X(-3)$  by (II, 8.20.1), so  $H^2(X, \omega) \cong k$ . Since  $\text{char } k = 0$ , you need only show that  $\delta(\mathcal{O}(1)) \neq 0$ , which can be done by calculating in Čech cohomology. Since  $H^1(X, \omega) = 0$ , we see that  $\text{Pic } X' = 0$ . In particular,  $X'$  has no ample invertible sheaves, so it is not projective.

*Note.* In fact, this result can be generalized to show that for any nonsingular projective surface  $X$  over an algebraically closed field  $k$  of characteristic 0, there is an infinitesimal extension  $X'$  of  $X$  by  $\omega$ , such that  $X'$  is not projective over  $k$ . Indeed, let  $D$  be an ample divisor on  $X$ . Then  $D$  determines an element  $c_1(D) \in H^1(X, \Omega_X^1)$  which we use to define  $X'$ , as above. Then for any divisor  $E$  on  $X$  one can show that  $\delta(\mathcal{L}(E)) = (D.E)$ , where  $(D.E)$  is the intersection number (Chapter V), considered as an element of  $k$ . Hence, if  $E$  is ample,  $\delta(\mathcal{L}(E)) \neq 0$ . Therefore,  $X'$  has no ample divisors.

On the other hand, over a field of characteristic  $p > 0$ , a proper scheme  $X$  is projective if and only if  $X_{\text{red}}$  is!

10. Let  $X$  be a projective scheme over a noetherian ring  $A$ , and let  $\mathcal{F}^1 \rightarrow \mathcal{F}^2 \rightarrow \cdots \rightarrow \mathcal{F}^r$  be an exact sequence of coherent sheaves on  $X$ . Show that there is an integer  $n_0$ , such that for all  $n \geq n_0$ , the sequence of global sections

$$\Gamma(X, \mathcal{F}^1(n)) \rightarrow \Gamma(X, \mathcal{F}^2(n)) \rightarrow \cdots \rightarrow \Gamma(X, \mathcal{F}^r(n))$$

is exact.