

# Chapter 1, Section 2

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1. Prove the “homogenous Nullstellensatz,” which says if  $\mathfrak{a} \subseteq S$  is a homogenous ideal, and if  $f \in S$  is a homogenous polynomial with  $\deg f > 0$ , such that  $f(P) = 0$  for all  $P \in Z(\mathfrak{a})$  in  $\mathbb{P}^n$ , then  $f^q \in \mathfrak{a}$  for some  $q > 0$ .

*Proof.* We can apply the usual Nullstellensatz by viewing  $f$  as a polynomial over  $\mathbb{A}^{n+1}$  and  $Z(\mathfrak{a})$  as the set  $Z(\mathfrak{a})'$  consisting of all  $(n+1)$ -uples  $Q = (a_0, \dots, a_{n+1}) \in \mathbb{A}^{n+1}$  such that  $Q$  is the homogenous coordinate of some point  $P \in Z(\mathfrak{a})$ . Since  $f$  is homogenous,  $f(P) = 0$  for  $P \in \mathbb{P}^n$  if and only if  $f(Q) = 0$  where  $P$  has  $Q$  as homogenous coordinates. Thus,  $f^q \in \mathfrak{a}$  by the Nullstellensatz.  $\square$

2. For a homogenous ideal  $\mathfrak{a} \subseteq S$ , show that the following conditions are equivalent:

- (i)  $Z(\mathfrak{a}) = \emptyset$ ;
- (ii)  $\sqrt{\mathfrak{a}} =$  either  $S$  or the ideal  $S_+ = \bigoplus_{d>0} S_d$ ;
- (iii)  $\mathfrak{a} \supseteq S_d$  for some  $d > 0$ .

*Proof.* (i)  $\implies$  (ii) Let  $S = k[x_0, \dots, x_n]$ . If  $Z(\mathfrak{a}) = \emptyset$ , then all homogenous polynomials in  $S$  with degree  $> 0$  are in  $\sqrt{\mathfrak{a}}$  by the Nullstellensatz, so we have  $S_+ \subseteq \sqrt{\mathfrak{a}}$ . If  $\sqrt{\mathfrak{a}}$  contains any element in  $S - S_+$ , then  $\sqrt{\mathfrak{a}}$  contains an element in  $S_0$ , which is a unit. Hence,  $\sqrt{\mathfrak{a}} = S$ .

(ii)  $\implies$  (iii) Either case  $S_+ \subseteq \sqrt{\mathfrak{a}}$ , so  $x_i^{d_i} \in \mathfrak{a}$  for some  $d_i \geq 0$  for each  $i$ , which implies  $S_d \subseteq \mathfrak{a}$  where  $d = \max d_i$ .

(iii)  $\implies$  (i) Let  $d > 0$  be the smallest integer such that  $\mathfrak{a} \supseteq S_d$ , then we have  $\mathfrak{a} \supseteq \bigoplus_{l \geq d} S_l$  so that  $x_i^l \in \mathfrak{a}$  for all  $0 \leq i \leq n$  and  $l \geq d$ . If there exists  $P = (a_0, \dots, a_n) \in Z(\mathfrak{a})$ , then  $a_i^d = a_i^{d+1} = 0$ , which implies  $a_i = 0$  for all  $0 \leq i \leq n$ , which is impossible.  $\square$

4. (a) There is a 1-1 inclusion-reversing correspondence between algebraic sets in  $\mathbb{P}^n$ , and homogenous radicals of  $S$  not equal  $S_+$ , given by  $Y \mapsto I(Y)$  and  $\mathfrak{a} \mapsto Z(\mathfrak{a})$ . *Note:* Since  $S_+$  does not occur in this correspondence, it is sometimes called the *irrelevant* maximal ideal of  $S$ .
- (b) An algebraic set  $Y \subseteq \mathbb{P}^n$  is irreducible if and only if  $I(Y)$  is a prime ideal.
- (c) Show that  $\mathbb{P}^n$  itself is irreducible.

*Proof.*

- (a) Only the last part that states  $S_+$  does not occur in this correspondence is new. Indeed,  $I(Y) \supseteq S_+$  for some algebraic set  $Y = Z(\mathfrak{a})$ , then  $Z(\mathfrak{a}) = \emptyset$  b (I, Ex. 2.2), and any constant polynomial  $f \in S_0$  vacuously satisfies  $f(P) = 0$  for all  $P \in Z(\mathfrak{a})$ . Hence,  $I(Y) = S$ .
- (b) By the 1-1 correspondence from (a),  $I(Y)$  is a homogenous ideal, so it is sufficient to show for any two homogenous elements  $f, g$ , that  $fg \in I(Y)$  implies  $f \in I(Y)$  or  $g \in I(Y)$ . Indeed, if  $fg \in I(Y)$ , then  $Y \subseteq Z(fg) = Z(f) \cup Z(g)$ , thus  $Y = (Y \cap Z(f)) \cup (Y \cap Z(g))$  both being closed subsets of  $Y$ . Since  $Y$  is irreducible, we have either  $Y = Y \cap Z(f)$ , in which case  $Y \subseteq Z(f)$ , or  $Y \subseteq Z(g)$ . Hence, either  $f \in I(Y)$  or  $g \in I(Y)$ .  
Conversely, let  $\mathfrak{p}$  be a homogenous prime ideal, and suppose that  $Z(\mathfrak{p}) = Y_1 \cup Y_2$ . Then  $\mathfrak{p} = I(Y_1) \cap I(Y_2)$ , so either  $\mathfrak{p} = I(Y_1)$  or  $\mathfrak{p} = I(Y_2)$ . Thus,  $Z(\mathfrak{p}) = Y_1$  or  $Y_2$ , hence it is irreducible.
- (c)  $I(\mathbb{P}^n) = (0)$ , which is a prime ideal.

$\square$

5. (a)  $\mathbb{P}^n$  is a noetherian topological space.

- (b) Every algebraic set in  $\mathbb{P}^n$  can be written uniquely as a finite union of irreducible algebraic sets, no one containing another. These are called its *irreducible components*.

*Proof.*

- (a)  $\mathbb{P}^n$  is covered by the open set  $U_i$  defined the non-vanishing of the  $i$ th homogenous coordinate, each of which is homeomorphic to the affine plane  $\mathbb{A}^n$ . The affine plane is a noetherian topological space, so it suffices to show a finite union of noetherian topological spaces is also noetherian. An equivalent condition for a space to be noetherian is if every subset is quasi-compact by (A.M. p. 79). If  $Y$  is any subset of  $\mathbb{P}^n$ , then  $Y = Y \cap \bigcup U_i$ , each of which is quasi-compact in the induced topology of  $U_i$ , so  $Y$  is a finite union of quasi-compact sets. Hence,  $Y$  is quasi-compact.
- (b) Follows from (a), (1.5), and (I, Ex. 2.4b). □

6. If  $Y$  is a projective variety with homogenous coordinate ring  $S(Y)$ , show that  $\dim S(Y) = \dim Y + 1$ .

*Proof.* Let  $\varphi_i : U_i \rightarrow \mathbb{A}^n$  be the homeomorphism of (2.2), let  $Y_i$  be the affine variety  $\varphi_i(Y \cap U_i)$ , and let  $A(Y_i)$  be its affine coordinate ring. If  $g(y_1, \dots, y_n)$  is an element of  $A(Y_i)$ , then define the map  $A(Y_i) \rightarrow S(Y)_{x_i}$  as  $g(x_0/x_i, \dots, x_n/x_i)$ , or equivalently  $g \mapsto \varphi_i^* g = g \circ \varphi_i$ ; thus we can identify  $A(Y_i)$  with the subring of elements of degree 0 of the localized ring  $S(Y)_{x_i}$ . Then,  $S(Y)_{x_i} \simeq A(Y_i)[x_i, x_i^{-1}]$  since every monomial in  $k[x_0, \dots, x_n]$  can be written as

$$x_0^{d_0} \cdots x_i^{d_i} \cdots x_n^{d_n} = \frac{x_0^{d_0} \cdots \widehat{x_i^{d_i}} \cdots x_n^{d_n}}{x_i^{d_0 + \cdots + \widehat{d_i} + \cdots + d_n}} x_i^{d_0 + \cdots + d_n},$$

where  $\widehat{\phantom{x}}$  denotes omission, and the quotient is in the image of  $A(Y_i) \rightarrow S(Y)_{x_i}$ . By (1.8A), the dimension of  $S(Y)$  is equal to the transcendence degree of the quotient field of  $S(Y)$ , which is isomorphic to the quotient field of  $S(Y)_{x_i}$ . The dimension of  $A(Y_i)$  is equal to the dimension to  $Y_i$  by (1.7). Therefore, we have

$$\begin{aligned} \dim S(Y) &= \dim S(Y)_{x_i} \\ &= \dim A(Y_i)[x_i, x_i^{-1}] \\ &= \dim A(Y_i) + 1 \\ &= \dim Y_i + 1. \end{aligned}$$

Since the  $Y_i$  cover  $Y$ ,  $\dim Y = \sup \dim Y_i$ , so  $\dim S(Y) = \dim Y + 1$  By (I, Ex. 1.10). □

7. (a)  $\dim \mathbb{P}^n = n$ .  
 (b) If  $Y \subseteq \mathbb{P}^n$  is quasi-projective variety, then  $\dim Y = \dim \overline{Y}$ .

*Proof.*

- (a)  $\dim \mathbb{P}^n = \dim k[x_0, \dots, x_n] - 1 = n$ .  
 (b) By Exercise 2.6, we have  $\dim Y = \dim Y_i$  if  $Y_i$  is non-empty, so by (1.10)  $\dim \overline{Y} = \dim \overline{Y}_i = \dim Y_i = \dim Y$ . □

8. A projective variety  $Y \subseteq \mathbb{P}^n$  has dimension  $n - 1$  if and only if it is the zero set of a single irreducible homogenous polynomial  $f$  of positive degree.  $Y$  is called a *hypersurface* in  $\mathbb{P}^n$ .

*Proof.* If  $Y$  has dimension  $n - 1$ , then by (1.13)  $Y$  is the union of affine varieties  $Y_i$  of dimension  $n - 1$ , so by the map  $\beta$  in the proof of (2.2) and (1.13) each  $Y_i$  is the zero set of an irreducible homogenous polynomial  $f$  of positive degree, hence  $Y = \bigcup Y_i = \bigcup_{Y_i \neq \emptyset} Z(f_i) = Z(f_0 \cdots f_n)$ . Conversely, if  $Y = Z(f)$  for some homogenous polynomial  $f$  of positive degree, then  $Y_i = Z(\alpha(f))$  where  $\alpha$  is the map defined in the proof of (2.2), hence by (1.13) and Exercise 6 we have  $\dim Y = \dim Y_i = n - 1$ . □

12. *The d-Uple Embedding.* For given  $n, d > 0$ , let  $M_0, M_1, \dots, M_N$  be all the monomials of degree  $d$  in the  $n + 1$  variables  $x_0, \dots, x_n$ , where  $N = \binom{n+d}{n} - 1$ . We define a mapping  $\rho_d : \mathbb{P}^n \rightarrow \mathbb{P}^N$  by sending the point  $P = (a_0, \dots, a_n)$  to the point  $\rho_d(P) = (M_0(a), \dots, M_N(a))$  obtained by substituting the  $a_i$  in the monomials  $M_j$ . This is called the *d-uple embedding* of  $\mathbb{P}^n$  in  $\mathbb{P}^N$ . For example, if  $n = 1, d = 2$ , then  $N = 2$ , and the image of  $Y$  of the 2-uple embedding of  $\mathbb{P}^1$  in  $\mathbb{P}^2$  is a conic.

- (a) Let  $\theta : k[y_0, \dots, y_N] \rightarrow k[x_0, \dots, x_n]$  be the homomorphism defined by sending  $y_i$  to  $M_i$ , and let  $\mathfrak{a}$  be the kernel of  $\theta$ . Then  $\mathfrak{a}$  is a homogenous prime ideal, and so  $Z(\mathfrak{a})$  is a projective variety in  $\mathbb{P}^N$ .
- (b) Show that the image of  $\rho_d$  is exactly  $Z(\mathfrak{a})$ .
- (c) Now show that  $\rho_d$  is a homeomorphism of  $\mathbb{P}^n$  onto the projective variety  $Z(\mathfrak{a})$ .
- (d) Show that the twisted cubic curve in  $\mathbb{P}^3$  (Ex. 2.9) is equal to the 3-uple embedding of  $\mathbb{P}^1$  in  $\mathbb{P}^3$ , for suitable choice of coordinates.

*Proof.*

- (a) The image of  $\theta$  is an integral domain, so  $\mathfrak{a}$  is a prime ideal, and it is clearly homogenous since each  $y_i$  is sent to a polynomial of same degree.
- (b) If  $Q \in \text{im } \rho_d$ , then  $Q = \rho_d(P)$  for some  $P \in \mathbb{P}^n$ , for any  $f \in \mathfrak{a}$  we have

$$f(Q) = f(\rho_d(P)) = \theta(f)(P) = 0 \implies Q \in Z(\mathfrak{a}).$$

Before proving the converse direction, consider the case when  $n = 1$  and  $d = 2$ , so that  $\rho_d : \mathbb{P}^1 \rightarrow \mathbb{P}^2$  is defined as  $(b_0, b_1) \mapsto (b_0^2, b_0b_1, b_1^2)$ . Then, the polynomial  $y_0y_2 - y_1^2$  is in  $\mathfrak{a}$ . If  $Q = (a_0, a_1, a_2) \in Z(\mathfrak{a})$ , then since  $k$  is algebraically closed we have

$$a_0a_2 - a_1^2 = 0 \implies a_1 = \pm\sqrt{a_0a_2} \implies \rho_d(\sqrt{a_0}, \sqrt{a_2}) = (a_0, a_1, a_2).$$

Returning to the general case, if  $Q = (a_0, \dots, a_N) \in Z(\mathfrak{a})$ , indexing  $M_i$  using the stars and bars method, we have  $\rho_d(\sqrt[d]{a_0}, \sqrt[d]{a_d}, \dots, \sqrt[d]{a_N}) = Q$ .

- (c)  $\rho_d$  is clearly bijective, so it will be sufficient to show that the closed sets of  $\mathbb{P}^n$  are identified with the closed sets of  $Z(\mathfrak{a})$  by  $\rho_d$ . Let  $Y \subseteq \mathbb{P}^n$  be a closed subset, so  $Y = Z(T)$  for some subset  $T \subseteq k[x_0, \dots, x_n]$ , then it is easy to see that  $\rho_d(Y) = Z(\theta^{-1}(T)) \cap Z(\mathfrak{a})$ . Conversely, let  $W$  be a closed subset of  $Z(\mathfrak{a})$ . Let  $\overline{W}$  be its closure in  $\mathbb{P}^N$ . This is an algebraic set, so  $\overline{W} = Z(T')$  for some  $T' \subseteq k[y_0, \dots, y_N]$ , hence  $\rho_d^{-1}(W) = \rho_d^{-1}(\overline{W}) = Z(\theta(T'))$ .
- (d) Let  $(S, T)$  and  $(X, Y, Z, W)$  be homogenous coordinates of  $\mathbb{P}^1$  and  $\mathbb{P}^3$ , respectively. Then, the 3-uple embedding of  $\mathbb{P}^1$  in  $\mathbb{P}^3$  is given by

$$(S, T) \mapsto (S^3, S^2T, ST^2, T^3).$$

Let  $Y$  be the twisted cubic curve in  $\mathbb{A}^3$  and let  $\overline{Y}$  be its projective closure in  $\mathbb{P}^3$ , then we have

$$\varphi_3^{-1}(Y) = \left\{ \left( \frac{u}{v}, \frac{u^2}{v^2}, \frac{u^3}{v^3}, 1 \right) \mid u, v \in k, v \neq 0 \right\} \implies \overline{Y} = \{(u^3, u^2v, uv^2, v^3) \mid u, v \in k\}.$$

□

- 14. Segre Embedding.** Let  $\psi : \mathbb{P}^r \times \mathbb{P}^s \rightarrow \mathbb{P}^N$  be the map defined by sending the ordered pair  $(a_0, \dots, a_r) \times (b_0, \dots, b_s)$  to  $(\dots, a_ib_j, \dots)$  in lexicographic order, where  $N = rs + r + s$ . Note that  $\psi$  is well-defined and injective. It is called the *Segre embedding*. Show that the image of  $\psi$  is a *subvariety* of  $\mathbb{P}^N$ .

*Proof.* Let the homogenous coordinates of  $\mathbb{P}^N$  be  $\{z_{ij} \mid i = 0, \dots, r, j = 0, \dots, s\}$ , and let  $\mathfrak{a}$  be the kernel of the homomorphism  $\theta : k[\{z_{ij}\}] \rightarrow k[x_0, \dots, x_r, y_0, \dots, y_s]$  which sends  $z_{ij}$  to  $x_iy_j$ . If  $P \in \text{im } \psi$ , then  $P = \psi(Q, R)$  for some  $Q \in \mathbb{P}^r$  and  $R \in \mathbb{P}^s$ , then for any  $f \in \mathfrak{a}$  we have

$$f(P) = f(\psi(Q, R)) = \theta(f)(Q, R) = 0 \implies P \in Z(\mathfrak{a}).$$

Conversely, viewing points of  $\mathbb{P}^N$  as  $(r+1) \times (s+1)$ -matrices, the variety  $Z(\mathfrak{a})$  is defined as the vanishing of all  $2 \times 2$ -minors, i.e.  $z_{ij}z_{kl} = z_{il}z_{jk}$  for all  $0 \leq i, k \leq r$  and  $0 \leq j, l \leq s$ . This means  $\{z_{ij}\} \in Z(\mathfrak{a})$  has rank 1, so it can be expressed as the outer product of two vectors in  $k^{r+1}$  and  $k^{s+1}$ , which is exactly the mapping defined by  $\psi$ . □

- 15. Quadric Surface in  $\mathbb{P}^3$ .** Consider the surface  $Q$  (a *surface* is a variety of dimension 2) in  $\mathbb{P}^3$  defined by the equation  $xy - zw = 0$ .

- (a) Show that  $Q$  is equal to the Segre embedding of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $\mathbb{P}^3$ , for suitable choice of coordinates.
- (b) Show that  $Q$  contains two families of lines (a *line* is a linear variety of dimension 1)  $\{L_t\}$ ,  $\{M_t\}$ , each parametrized by  $t \in \mathbb{P}^1$ , with the properties that if  $L_t \neq L_u$ , then  $L_t \cap L_u = \emptyset$ ; if  $M_t \neq M_u$ ,  $M_t \cap M_u = \emptyset$ , and for all  $t, u$ ,  $L_t \cap M_u = \text{one point}$ .

- (c) Show that  $Q$  contains other curves besides these lines, and deduce that the Zariski topology on  $Q$  is not homeomorphic via  $\psi$  to the product topology on  $\mathbb{P}^1 \times \mathbb{P}^1$  (where each  $\mathbb{P}^1$  has its Zariski topology).

*Proof.*

- (a) The kernel of the mapping  $k[z_{00}, z_{01}, z_{10}, z_{11}] \rightarrow k[x_0, x_1, y_0, y_1]$  as in Exercise 14 is generated by  $z_{00}z_{11} - z_{01}z_{10}$ . Then  $Z(z_{00}z_{11} - z_{01}z_{10})$  is equal to  $Q$  for a suitable choice of coordinates.
- (b) From here, we assume  $Q$  is defined by  $xw - yz = 0$  (the author was too lazy to fix his mistake after realizing it at (c)). Consider the Segre embedding  $\psi : \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^3$  defined by

$$(t_0, t_1) \times (u_0, u_1) \mapsto (t_0u_0, t_0u_1, t_1u_0, t_1u_1).$$

Fixing the first entry as  $t = (t_0, t_1) \in \mathbb{P}^1$ , we obtain an embedding of  $\mathbb{P}^1$  in  $\mathbb{P}^3$ , which can be identified as the intersection of the zero set of the following linear polynomials

$$t_1x - t_0z = 0, \quad t_1y - t_0w = 0,$$

so we have one family of lines  $\{L_t\}$ , and we can obtain a second family of lines in the same manner by fixing the second entry of the map  $\psi$ , where the line  $M_u$  for  $u = (u_0, u_1) \in \mathbb{P}^1$  is defined by the intersection of the zero set of the linear polynomials

$$u_1x - u_0y = 0, \quad u_1z - u_0w = 0.$$

Then  $L_t \neq L_u$  implies  $L_t \cap L_u = \emptyset$  follows from the fact that  $\psi$  is an embedding, in particular  $\psi$  is injective. To show the intersection of  $L_t$  and  $M_u$  is a single point for any  $t, u \in \mathbb{P}^1$ , it suffices to show the linear polynomials defined above intersect at exactly one point. Without loss of generality assume  $t_1, u_1 \neq 0$ , then setting  $\lambda = t_0/t_1$  and  $\mu = u_0/u_1$ , we have

$$x = \lambda z, \quad y = \lambda w, \quad x = \mu y, \quad z = \mu w \implies L_t \cap M_u = \{(\lambda\mu, \lambda, \mu, 1)\}.$$

- (c) Consider the curve  $K$  in  $Q$  defined by  $x = w$ . It is clearly not a line since it is the intersection of a nonlinear curve and a linear curve; however it is a closed subset of  $Q$ . On the other hand,  $\psi^{-1}(K)$  is the diagonal in  $\mathbb{P}^1 \times \mathbb{P}^1$ , which is certainly not closed since  $\mathbb{P}^1$  is not Hausdorff.

□