# Text Preprocessing II

From textual information to numerical vector

# Bag-of-Words: counting is everything

### Vector Representation for Documents

- Without any deep analysis of the linguistic content of the documents, we can
  describe each document by features that represent the most frequent tokens.
- Each row is a document, and each column represents a feature.
- Thus, a cell in the csv/excel file is a measurement of a feature (corresponding to the column) for a document (corresponding to a row).

### Bag-of-Words

- Steps
  - Build vocab i.e., set of all the words in the corpus
  - Count the occurrence of words in each document

The cat and the dog play

The cat is on the mat

and, the, cat, dog, play, on, mat, is

| 1 | 2 | 1 | 1 | 1 | 0 | 0 |
|---|---|---|---|---|---|---|
|   |   |   |   |   |   |   |
| 1 | 2 | 0 | 0 | 1 | 1 | 1 |

corpus

vocab.

countVec

### **Document Features**

- How to define document features (i.e., entry value in the matrix)
  - Presence (0 or 1)
  - Frequencies (0,1,2,3)
  - Thresholding frequencies three values
    - 0 (do not exit), 1 (occurred once), and 2 (occurred 2 or more times)

### Term Frequency-Inverse Document Frequency

- Tf-id(w): tf(w) \* idf(w), where  $idf(w) = log(1 + \frac{N}{df(w)})$ 
  - The tf-idf weight assigned to word w is the term frequency (i.e., the word count)
     modified by a scale factor for the importance of the word.
  - The scale factor is called the **inverse document frequency**, which checks the number of documents containing word w (i.e., df(w)) and reverses the scaling.
  - The N is the number of documents.

### Term Frequency-Inverse Document Frequency

#### Intuitive logic:

- Capture the importances of a word to document in a corpus
- Importance of words is proportionally to the number of times a word appears
- Importance of words is inversely proportionally to the document containing the word
- Thus, when a word appears in many documents, it is considered unimportant and the scale is lowered, perhaps near zero, e.g., "the", "I", "on", "document", etc.

### Term Frequency-Inverse Document Frequency

- When prepare the feature matrix, most of the entries will be zero.
- Most documents contain a small subset of the vocab's words
- Rather than storing all the zeros, it may be better to represent the matrix
  as a set of sparse vectors, where a row is represented by a list of paris,
  one element of the pair being a column number and the other element
  being the corresponding nonzero feature value.

| 0  | 15 | 0 | 3 |
|----|----|---|---|
| 12 | 0  | 0 | 0 |
| 8  | 0  | 5 | 2 |

| (2,15)(4,3)     |
|-----------------|
| (1,12)          |
| (1,8)(3,5)(4,2) |

### **Multiword Features**

- A variety of measures can be used for this purpose.
  - E.g., frequent n-grams, such as "text mining", "hip hop"
- As another method, an Association Measure AM for the multiword T, is used for evaluation multiword features, where size(T) is the number of words in phrase T and freq(T) is the number of times phrases T occurs in the document collection.

$$AM(T) = rac{size(T)log_{10}(freq(T))freq(T)}{\sum_{word_i \in T} freq(word_i)}$$

 Generally, multiword features are not found too frequently in a document collection, but when they do occur they are often high predictive.

### Bag-of-Words

### Pros

- Simple
- Surprisingly effective
- Fast

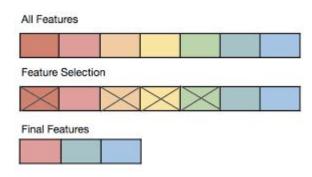
### Cons

- Order of words does not matter
- Cannot capture syntactic/semantic information
- High dimensionality

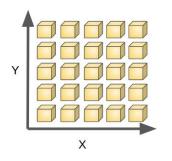
# Dictionary Reduction

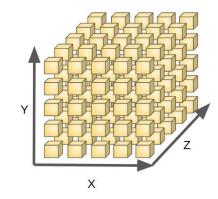
### **Dictionary Reduction**

- Also called feature reduction techniques
- Due to curse of high dimensionality
- For BoW models:
  - Local dictionary
  - Removing Stopwords
  - Frequent Words
  - Feature Selection
  - Token reduction (stemming and synonyms)
  - Feature transformation (PCA, or Topic models)



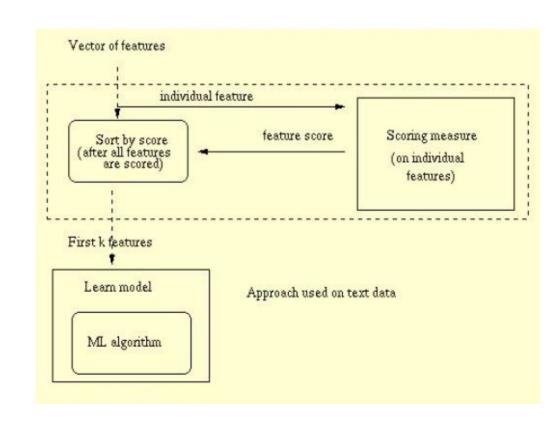






## Feature Selection by Attribute Ranking

- Can select a set of features (e.g., a set of words) to form a local dictionary.
- Rank feature attributes according to their predictive abilities for the category under consideration.
  - Sports: soccer, football, etc. Travel: airport, cruise, etc
- In this approach, simply select the top-ranking features.
- Feature Selection approaches:
  - Document Frequency
  - Information Gain
  - Mutual Information
  - o CHI
  - A survey



# Feature Selection based on Information Gain

# On Widely Used Example

| Outlook  | Temperature | Humidity | Windy | Play |
|----------|-------------|----------|-------|------|
| Sunny    | Hot         | High     | False | No   |
| Sunny    | Hot         | High     | True  | No   |
| Overcast | Hot         | High     | False | Yes  |
| Rainy    | Mild        | High     | False | Yes  |
| Rainy    | Cool        | Normal   | False | Yes  |
| Rainy    | Cool        | Normal   | True  | No   |
| Overcast | Cool        | Normal   | True  | Yes  |
| Sunny    | Mild        | High     | False | No   |
| Sunny    | Cool        | Normal   | False | Yes  |
| Rainy    | Mild        | Normal   | False | Yes  |
| Sunny    | Mild        | Normal   | True  | Yes  |
| Overcast | Mild        | High     | True  | Yes  |
| Overcast | Hot         | Normal   | False | Yes  |
| Rainy    | Mild        | High     | True  | No   |

Features/ Attributes

Label

You may think the most important feature is the one that can be most related to the label.

### Impurity of Splits

- S contain 20 occurrences of P and 20 of N.
- Assume each data has three binary features f1, f2, f3. Then, based on each feature, we are going to have three possible splits on the data.
- S1 means the feature is 0 and S2 means the feature is 1.
- For feature 1: S1 = 20P and S2 = 20N
- For feature 2: S1 = 10P, 10N and S2 = 10P, 10N
- For feature 3: S1 = 17P, 1N and S2 = 3P, 19N

### **Entropy**

Entropy is the measure of the information in a set of examples.

$$Entropy = -\sum_{i=1}^{K} p_i log_2 p_i$$

- Where i={1,...,K}, K is the number of possible actions, pi is the proportion of each action i in the example set
- $\circ$  For example:  $Entropy([9*,5+,6-]) = -rac{9}{20}log_2rac{9}{20} \ -rac{5}{20}log_2rac{5}{20} -rac{6}{20}log_2rac{6}{20}$
- High Entropy: more information
- Low Entropy: less information

### **Properties of Entropy**

- Maximized when events are heterogeneous (impure):
  - A set of many mixed classes (say, rgb OOO) is unpredictable. High Entropy

$$Entropy = log_2 K$$
 if all  $p_i = rac{1}{K}$ 

- Minimized when events are homogenous (pure):
  - A set of only one class (say, blue OOO) is extremely predictable. Low entropy

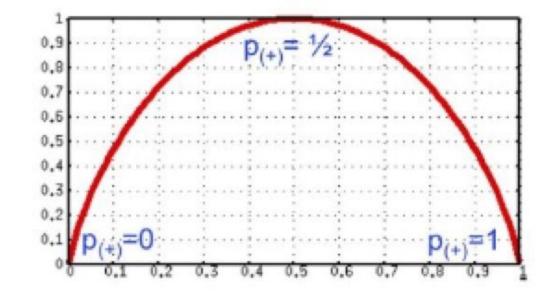
$$Entropy=0$$
 if one  $\,p_i=1\,$  the rest are zeros

### Entropy for binary case

- *S* is a sample of training examples
  - P+ is the proportion of positive examples in S
  - P- is the proportion of negative examples in S

Entropy measures the impurity of S

$$Entropy(S) = -p_+log_2p_+ - p_-log_2p_-$$



$$Entropy([9+,5-]) = -rac{9}{14}log_2(rac{9}{14}) - rac{5}{14}log_2(rac{5}{14}) = 0.94$$

### Information Gain

Entropy:

$$E(X) = -\sum_{i=1}^K p(X=X_i)log_2p(X=X_i)$$

- o **Intuition**: uncertainty of X, information contained in X, expected information bits required to represent X.
- Conditional Entropy

$$E(X|Y) = \sum_{i=1} p(Y = Y_i)E(X|Y = Y_i)$$

- o **Intuition**: given y, how much uncertainty remains in X
- Mutual Information (Information Gain)

$$I(X,Y) = E(X) - E(X|Y) = E(Y) - E(Y|X)$$

**High IG, More Entropy Removed** 

Intuition: how much knowing Y reduces uncertainty about X, and vice versa.

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| Rainy    | Cool        | Normal   | True  | No   |
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| Overcast | Hot         | Normal   | False | Yes  |
| Rainy    | Mild        | High     | True  | No   |

$$E = -\sum_{i=1}^{K} p_k \log_2 k$$

$$= -\frac{5}{14} \log_2 \frac{5}{14} - \frac{9}{14} \log_2 \frac{9}{14}$$

$$= 0.94$$

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| Sunny    | Mild        | High     | False | No   |
| Sunny    | Cool        | Normal   | False | Yes  |
| Rainy    | Mild        | Normal   | False | Yes  |
| Sunny    | Mild        | Normal   | True  | Yes  |
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| Overcast | Hot         | Normal   | False | Yes  |
| Rainy    | Mild        | High     | True  | No   |

$$\Delta E(Humidity) = E - rac{m_{i=H}}{m} E(i=H) - rac{m_{i=N}}{m} E(i=N) = 0.94 - rac{7}{14} H_L - rac{7}{14} H_R$$

| Outlook  | Temperature | Humidity | Windy | Play |  |
|----------|-------------|----------|-------|------|--|
| Sunny    | Hot         | High     | False | No   |  |
| Sunny    | Hot         | High     | True  | No   |  |
| Overcast | Hot         | High     | False | Yes  | $\Delta E(Humidity) = E - rac{m_{i=H}}{m} E(i=H) - rac{m_{i=N}}{m} E(i=H)$ |
| Rainy    | Mild        | High     | False | Yes  |  |
| Rainy    | Cool        | Normal   | False | Yes  | $=0.94-\frac{7}{14}H_L-\frac{7}{14}H_R$                                      |
| Rainy    | Cool        | Normal   | True  | No   | 14 14  |
| Overcast | Cool        | Normal   | True  | Yes  |  |
| Sunny    | Mild        | High     | False | No   | $H_L = -\frac{6}{7}\log_2\frac{6}{7} - \frac{1}{7}\log_2\frac{1}{7}$         |
| Sunny    | Cool        | Normal   | False | Yes  | $n_L = \frac{1082}{7} \frac{1082}{7}$  |
| Rainy    | Mild        | Normal   | False | Yes  |  |
| Sunny    | Mild        | Normal   | True  | Yes  |  |
| Overcast | Mild        | High     | True  | Yes  |  |
| Overcast | Hot         | Normal   | False | Yes  |  |
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| Overcast | Hot         | Normal   | False | Yes  |
| Rainy    | Mild        | High     | True  | No   |

$$\Delta E(Humidity) = E - \frac{m_{i=H}}{m} E(i = H) - \frac{m_{i=N}}{m} E(i = N)$$

$$= 0.94 - \frac{7}{14} H_L - \frac{7}{14} H_R$$

$$H_L = -\frac{6}{7} \log_2 \frac{6}{7} - \frac{1}{7} \log_2 \frac{1}{7}$$

$$= 0.592$$

$$H_R = -\frac{3}{7} \log_2 \frac{3}{7} - \frac{4}{7} \log_2 \frac{4}{7}$$

$$= 0.985$$

| Outlook  | Temperature | Humidity | Windy | Play |   |
|----------|-------------|----------|-------|------|---|
| Sunny    | Hot         | High     | False | No   |   |
| Sunny    | Hot         | High     | True  | No   |   |
| Overcast | Hot         | High     | False | Yes  | $\Delta E(Humidity) = E - rac{m_{i=H}}{m} E(i=H) - rac{m_{i=H}}{m}$ |
| Rainy    | Mild        | High     | False | Yes  |   |
| Rainy    | Cool        | Normal   | False | Yes  | $=0.94-\frac{7}{14}H_L-\frac{7}{14}H_R$                               |
| Rainy    | Cool        | Normal   | True  | No   | 14 14 14  |
| Overcast | Cool        | Normal   | True  | Yes  |   |
| Sunny    | Mild        | High     | False | No   | 7 7 7 7   |
| Sunny    | Cool        | Normal   | False | Yes  | $0.94 - \frac{7}{14}0.592 - \frac{7}{14}0.985$                        |
| Rainy    | Mild        | Normal   | False | Yes  | = 0.94 - 0.296 - 0.4925   |
| Sunny    | Mild        | Normal   | True  | Yes  | = 0.1515  |
| Overcast | Mild        | High     | True  | Yes  |   |
| Overcast | Hot         | Normal   | False | Yes  |   |
| Rainy    | Mild        | High     | True  | No   |   |

| Outlook  | Temperature | Humidity | Windy | Play |
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| Rainy    | Cool        | Normal   | True  | No   |
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| Rainy    | Mild        | Normal   | False | Yes  |
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| Overcast | Hot         | Normal   | False | Yes  |
| Rainy    | Mild        | High     | True  | No   |

- 1. Compute the information gain for the rest three features:
- outlook
- temperature
- windy
- 2. Should we select features with high IG or low IG?

### When it comes to text mining

- The previous features/attributes will be "words" or "terms"
- The information gain of a term measures:
  - The expected reduction in entropy caused by partitioning the sample documents according to the term:

$$IG(t) = -\sum_{i=1}^m p(c_i)logp(c_i) + p(t)\sum_{i=1}^m p(c_i|t)logp(c_i|t)) + p(ar{t})\sum_{i=1}^m p(c_i|ar{t})logp(c_i|ar{t}))$$

```
where t is a term, m is the total number of classes p(c_i) is the percentage of documents in category ci from total sample documents p(t) is the percentage of documents in which term t is present p(\bar{t}) is the percentage of documents in which term t is absent p(c_i|t) is the conditional probability of category given term t p(c_i|\bar{t}) is conditional probability of category given term t is absent
```