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## Estimating impacts of the pollock fishery bycatch on western Alaska Chinook salmon

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Chinook salmon (*Oncorhynchus tshawytscha*) are taken as bycatch in the Bering Sea pollock (*Gadus chalcogrammus*) fishery, with recently revised management measures in place to limit the overall Chinook salmon catch. Historical impact of the bycatch on regional salmon stocks is made difficult because, until recently, sampling for the stock composition of the bycatch was patchy and diverse in approaches. In this study, extensive observer data on the biological attributes (size and age composition) of the bycatch were used to estimate the impact on specific regional stock groups (RSGs), as defined given available genetic stock identification estimates. Our model provides estimates of the impact on Chinook salmon RSGs, given seasonal and spatial variability in the bycatch, and accounts for observed in-river age compositions, uncertainty in age-specific oceanic natural mortality of Chinook salmon, and between-year variability in genetic information. The upper Yukon River stock is transboundary and subject to heightened management interest and international management agreements on escapement goals. Our study updates results from an earlier analysis used to develop the management regulations that went into place in 2011. It shows that the new data result in slight changes in previous estimates, and that the lower overall Chinook salmon bycatch since 2008 has resulted in lower impacts to the main western Alaskan RSGs.

Keywords: adult equivalent, bycatch, Chinook salmon, pollock fishery, western Alaska.

#### Introduction

Fishery bycatch is a global concern (Alverson *et al.*, 1994; Lewison *et al.*, 2014), and protection of highly valued species taken as bycatch, such as Chinook salmon (*Oncorhynchus tshawytscha*), is a high priority (e.g. Witherell *et al.*, 2002, Gisclair, 2009). The pollock (*Gadus chalcogrammus*) resource in the eastern Bering Sea (EBS) supports a large fishery with annual catches averaging ~1.2 million t per year during 1977–2013 (Ianelli *et al.*, 2013). From 2001 to 2007, Chinook salmon bycatch increased substantially and created a heightened awareness, particularly since some in-river abundance levels were low, and eventually led to revised management measures imposed on the fishery beginning in 2011 (Gisclair, 2009; Stram and Ianelli, in press).

The EBS pollock fishery represents over 40% of the global white-fish production (Fissel *et al.*, 2013). The fishery operates offshore in the Bering Sea, primarily along the shelf edge, and is divided into a

winter ("A" season) fishery focused primarily on the harvest of roe from prespawning pollock, which can make up over 4% of the catch in weight (Ianelli *et al.*, 2013), and a summer ("B" season) fishery focused more on the production of filets and surimi. Pollock are considered to be a relatively fast growing and short-lived species and form an important component of the Bering Sea ecosystem (Ianelli *et al.*, 2013).

The EBS pollock fishery is prosecuted exclusively with pelagic trawlnets. Bycatch of species other than pollock remains consistently <1% (Ianelli *et al.*, 2013). However, included in this bycatch are significant numbers of Chinook and chum salmon (*Oncorhynchus keta*; Stram and Ianelli, in press). Chinook salmon spend 1–5 years at sea before returning to their natal streams to spawn; those caught in the pollock fishery range from 3 to 7 years old (NPFMC/NMFS, 2009; Stram and Ianelli, 2009). While migratory patterns of juvenile Chinook salmon have been estimated from a

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variety of at-sea tagging programmes over the years (e.g. Farley et al., 2005), in-season predictability of temporal and spatial abundance in the Bering Sea remains problematic. As such, designing effective management measures such as time-area closures have been impractical. Before 2011, the bycatch of Chinook salmon was managed using a variety of large-scale time and area closures designed based on historical bycatch patterns. These federally implemented measures were static and unresponsive to changing oceanic conditions and spatial locations of bycatch. Consequently, a more responsive, real time, and industry-run closure system was adopted (Haflinger and Gruver, 2009; Stram and Ianelli, 2009). However, following increasing bycatch levels over several years and the historically high bycatch level in 2007 of  $\sim$ 122 000 Chinook salmon, the North Pacific Fishery Management Council (NPFMC), the regional fishery management body with jurisdiction over the Bering Sea pollock fishery, began to evaluate alternative management measures. The measures under consideration included imposing a range of bycatch limits on the pollock fishery whereby the fishery would close seasonally or annually if limits were reached.

To best inform the fishery about the implications of the measures under consideration and to evaluate the relative impact of past practices and future potential management measures for a fishery that is the largest by volume in the United States (Fissel et al., 2013), extensive analyses of these alternative management strategies for limiting Chinook bycatch in the pollock fishery were conducted in 2009 and formed the basis of a controversial management decision by the NPFMC (NPFMC/NMFS, 2009). Current bycatch management measures for Chinook salmon in the EBS pollock fishery employ a complicated system of caps (or absolute limits on the catch of Chinook in the pollock fishery) combined with industrydesigned incentive programmes intended both to reduce bycatch below the regulatory cap levels and to reduce bycatch at all levels of salmon abundance (Stram and Ianelli, in press). The programme, which was Amendment 91 to the Bering Sea Aleutian Islands Fishery Management Plan, was implemented in 2011 (NMFS, 2010).

Of particular management importance to the NPFMC in evaluating management trade-offs is the large proportion of western Alaskan Chinook stocks in the bycatch by the pollock fishery (Myers and Rogers, 1983, 1988; Guthrie and Wilmot, 2004; Myers et al., 2004; Guyon et al., 2010; Guthrie et al., 2012, 2013, 2014). Chinook salmon stocks in western Alaska have been in severe decline for decades (Gisclair, 2009; Hilsinger et al., 2009; Howe and Martin, 2009). Within their riverine habitat, this resource is fully allocated among diverse user groups (subsistence, commercial, and recreational) and is a culturally important species within the State of Alaska. Thus, it is critically important to assess the specific impact of the pollock fishery on the Chinook salmon that would have returned to natal streams in western Alaska.

In this study, we extend the analysis conducted for evaluating bycatch impacts presented in the environmental impact statement that was created to refine management regulations (NPFMC/NMFS, 2009). In that study, available genetic information (collected opportunistically) and observed catch information through 2007 were used in conjunction with an adult equivalents (AEQ) model employed to evaluate the relative retrospective impacts to aggregate river systems of a range of absolute limits employed on the bycatch of Chinook by the pollock fishery (NPFMC/NMFS, 2009). Here, we specifically use the extensive observer data collection programme for the EBS pollock fishery, the genetic sampling and analysis that has been completed annually since 2008 (e.g. Guthrie *et al.*, 2012),

and in-river salmon return information to present an evaluation of the current impacts of fishing for Alaska pollock on Chinook salmon. The model accounts for a variety of uncertain assumptions and estimates, including between-year (within stratum) variability in stock composition. The objective is to provide estimates of the additional number of salmon that would have returned to each regional stock group (RSG) had there been no pollock fishery. Combined with Chinook salmon return estimates, these values are used to estimate the impact of the bycatch on these salmon stocks.

#### Methods

#### Data preparation

This analysis relies on Chinook bycatch estimates based on the National Marine Fisheries Service (NMFS) observer sampling and catch-accounting methods (Cahalan et al., 2010). From 1991 to 2010, observer procedures in the EBS pollock fishery called for counting every salmon within a haul rather than subsampling. Estimates of total salmon by catch were then computed based on extrapolating the ratios of observed to total pollock catch. For this period, the level of observer coverage (for the entire pollock fleet) was effectively >50%, and estimates of the total Chinook salmon bycatch in this fishery are considered very precise (e.g. coefficients of variation <5%; Miller et al., 2007). In 2011, Amendment 91 of the Bering Sea and Aleutian Islands (BSAI) Groundfish Fisheries Management Plan (NMFS, 2010) was implemented requiring a 100% observer coverage on board all vessels in the pollock fishery (previously the smaller boats between 18.3 and 38.1 m in length were only required to carry observers on 30% of their trips). Additionally, the salmon bycatch data collection system changed from being sample-based to full census counts of all salmon caught in the pollock fishery.

Observer data were compiled for the period 1991-2013 on Chinook salmon bycatch (in numbers) and pollock catch (in tonnes) at a resolution of week, NMFS area, and fishing sector. Fishing sectors were categorized into three groups: catcher vessels (CVs) delivering to shore-side plants, CVs delivering to motherships (MSs), and at-sea catcher processors (CPs). In addition to these three fishing sectors, a portion of the pollock quota is allocated to the Western Alaska Community Development Quota (CDQ) Programme. (For more information on the CDQ Programme, see http://alaskafisheries.noaa.gov/cdq/.) This programme is designed to provide western Alaskan communities with additional opportunities to invest in BSAI fisheries and to promote economic development and social benefits to residents of western Alaska. The CDQ catch is prosecuted using CPs; however, for purposes of catch accounting for Chinook bycatch and pollock quota, the CDQ catch is listed separately. The biological data on Chinook salmon bycatch were stratified by these sectors and also by three additional spatio-temporal partitions defined as: all areas during the "A" season (from 20 January to the end of April), and east and west of 170°W during the "B" season (10 June – 31 October). This spatial division was selected because the geographic extent of the "A" season fishery is limited due to ice cover and the fact that the fishery concentrates on prespawning Pollock, whereas in summer, the fishery is prosecuted over a larger area. The number of length frequency samples for Chinook salmon from each of these nine strata is provided in Table 1, and the estimates of bycatch are given in Table 2.

A key aspect of understanding the impact of bycatch on salmon returns is estimating what fraction would likely have returned to spawn in a given year. This requires estimates of the age composition

**Table 1.** The number of Chinook salmon measured for lengths in the pollock fishery by season (A and B), area (NW, east of 170°W; SE, west of 170°W), and sector (CV, shore-based catcher vessels; MS, mothership operations; CP, catcher processors).

| -              | A      |      |      | В    |     |      |        |      |      |        |
|----------------|--------|------|------|------|-----|------|--------|------|------|--------|
| Season         | All    |      |      | NW   |     |      | SE     |      |      |        |
| Area<br>Sector | CV     | MS   | СР   | CV   | MS  | СР   | CV     | MS   | СР   | Total  |
| 1991           | 2227   | 302  | 2569 |      | 25  | 87   | 221    | 10   | 47   | 5488   |
| 1992           | 2305   | 733  | 889  | 2    | 4   | 14   | 1314   | 21   | 673  | 5955   |
| 1993           | 1929   | 349  | 370  | 1    | 11  | 172  | 298    | 255  | 677  | 4062   |
| 1994           | 4756   | 408  | 986  | 3    | 93  | 276  | 781    | 203  | 275  | 7781   |
| 1995           | 1209   | 264  | 851  |      | 8   | 31   | 457    | 247  | 305  | 3372   |
| 1996           | 9447   | 976  | 2798 |      | 17  | 161  | 5658   | 1721 | 493  | 21 271 |
| 1997           | 3498   | 423  | 910  | 12   | 303 | 839  | 12 126 | 370  | 129  | 18 610 |
| 1998           | 3124   | 451  | 1329 |      | 38  | 191  | 8277   | 2446 | 1277 | 17 133 |
| 1999           | 1934   | 120  | 1073 |      | 1   | 627  | 1467   | 97   | 503  | 5822   |
| 2000           | 608    | 17   | 1388 | 4    | 40  | 179  | 564    | 3    | 120  | 2923   |
| 2001           | 4360   | 268  | 3583 |      | 25  | 1816 | 1597   | 291  | 1667 | 13 607 |
| 2002           | 5587   | 850  | 3011 |      | 23  | 114  | 5353   | 520  | 494  | 15 952 |
| 2003           | 9328   | 1000 | 5379 | 258  | 290 | 1290 | 4420   | 348  | 467  | 22 780 |
| 2004           | 7247   | 594  | 3514 | 1352 | 557 | 1153 | 8884   | 137  | 606  | 24 044 |
| 2005           | 9237   | 694  | 3998 | 4081 | 244 | 1610 | 10 336 | 45   | 79   | 30 324 |
| 2006           | 17 875 | 1574 | 5716 | 685  | 66  | 480  | 12 757 | 3    | 82   | 39 238 |
| 2007           | 16 008 | 1802 | 9012 | 881  | 590 | 1986 | 21 725 | 2    | 801  | 52 807 |
| 2008           | 21     | 272  | 1306 | 1    | 94  | 164  | 28     | 0    | 22   | 1908   |
| 2009           | 221    | 124  | 653  | 0    | 33  | 106  | 43     | 2    | 0    | 1182   |
| 2010           | 13     | 52   | 916  | 3    | 6   | 27   | 8      | 2    | 0    | 1027   |
| 2011           | 464    | 46   | 228  | 15   | 5   | 131  | 1386   | 232  | 66   | 2573   |
| 2012           | 480    | 36   | 287  | 9    | 1   | 3    | 338    | 2    | 1    | 1157   |

Source: NMFS Alaska Fisheries Science Centerobserver data.

**Table 2.** Chinook salmon bycatch in the pollock fishery by season (A and B), area (NW, east of 170°W; SE, west of 170°W), and sector (CV, shore-based catcher vessels; MS, mothership operations; CP, catcher processors, CDQ, community development quota).

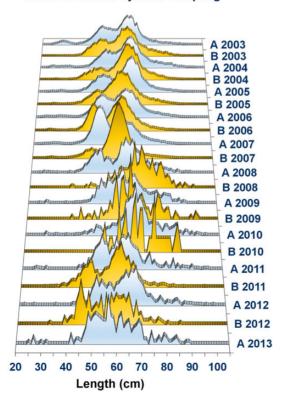
| C              | A      |      |        |      | В    |      |      |      |        |      |      |     | _       |
|----------------|--------|------|--------|------|------|------|------|------|--------|------|------|-----|---------|
| Season         | All    |      |        |      | NW   |      |      |      | SE     |      |      |     |         |
| Area<br>Sector | CV     | MS   | СР     | CDQ  | CV   | MS   | CP   | CDQ  | CV     | MS   | CP   | CDQ | Total   |
| 1991           | 10192  | 9001 | 17 645 |      | 0    | 48   | 318  |      | 1667   | 103  | 79   |     | 39 054  |
| 1992           | 6725   | 4057 | 12 631 |      | 0    | 26   | 187  |      | 1604   | 1739 | 6702 |     | 33 672  |
| 1993           | 3017   | 3529 | 8869   |      | 29   | 157  | 7158 |      | 2585   | 6500 | 4775 |     | 36 619  |
| 1994           | 8346   | 1790 | 17 149 |      | 0    | 121  | 771  |      | 1206   | 452  | 2055 |     | 31 890  |
| 1995           | 2040   | 971  | 5971   |      | 0    | 35   | 77   |      | 781    | 632  | 2896 |     | 13 403  |
| 1996           | 15 228 | 5481 | 15 276 |      | 0    | 113  | 908  |      | 9944   | 6208 | 2315 |     | 55 472  |
| 1997           | 4954   | 1561 | 3832   |      | 43   | 2143 | 4172 |      | 22 508 | 3559 | 1549 |     | 44 320  |
| 1998           | 4334   | 4284 | 6500   |      | 0    | 309  | 511  |      | 27 218 | 6052 | 2037 |     | 51 244  |
| 1999           | 3103   | 554  | 2694   |      | 13   | 12   | 1284 |      | 2649   | 362  | 1306 |     | 11 978  |
| 2000           | 878    | 19   | 2525   |      | 4    | 230  | 286  |      | 714    | 23   | 282  |     | 4961    |
| 2001           | 8555   | 1664 | 8264   |      | 0    | 162  | 5346 |      | 3779   | 1157 | 4517 |     | 33 444  |
| 2002           | 10 336 | 1976 | 9481   |      | 0    | 38   | 211  |      | 9560   | 1717 | 1175 |     | 34 495  |
| 2003           | 15 367 | 2567 | 12 982 | 1693 | 712  | 858  | 2461 | 504  | 6286   | 971  | 817  | 368 | 45 586  |
| 2004           | 11 576 | 1830 | 8559   | 1140 | 2310 | 1375 | 1824 | 1217 | 19 921 | 494  | 845  | 609 | 51 699  |
| 2005           | 13 797 | 1864 | 10 328 | 1299 | 8870 | 546  | 3792 | 555  | 25 956 | 144  | 105  | 62  | 67 319  |
| 2006           | 35 638 | 4864 | 16 204 | 1585 | 961  | 148  | 1251 | 130  | 21 687 | 11   | 165  | 26  | 82 671  |
| 2007           | 36 463 | 4816 | 25 841 | 3113 | 1637 | 1825 | 4558 | 2023 | 39 701 | 20   | 1748 | 506 | 122 252 |
| 2008           | 10 692 | 1127 | 4091   | 605  | 251  | 175  | 339  | 31   | 3994   | 0    | 38   | 5   | 21 347  |
| 2009           | 6241   | 547  | 2738   | 358  | 115  | 70   | 310  | 89   | 2092   | 16   | 0    | 0   | 12 576  |
| 2010           | 3735   | 493  | 3066   | 335  | 73   | 20   | 50   | 0    | 1859   | 64   | 1    | 0   | 9695    |
| 2011           | 4441   | 459  | 1806   | 430  | 142  | 69   | 1244 | 76   | 13 809 | 2357 | 408  | 258 | 25 499  |
| 2012           | 4624   | 312  | 2484   | 344  | 75   | 7    | 52   | 2    | 3358   | 42   | 40   | 3   | 11 343  |
| 2013           | 3640   | 557  | 3563   | 472  | 13   | 7    | 34   | 6    | 697    | 18   | 32   | 2   | 9041    |

Note that CDQ before 2003 were included in the other sectors and, to this study, are added to the CP fleet for impact estimates. Source: NMFS Alaska Regional Office, Juneau as of 23 August 2013.

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of the bycatch. The catch-at-age estimates apply observer-collected length frequency and length-at-age data using the method of Kimura (1989) and modified by Dorn (1992). Age-length keys for each time-area stratum and sex are constructed and applied to randomly sampled catch-at-length frequency data. The stratumspecific age composition estimates are then weighted by the catch within each stratum to arrive at an overall age composition for each year. The length frequency data on Chinook salmon from the NMFS observer database were used to estimate the overall length and age composition of the bycatch for each season (Figure 1). The age data were used to construct annual stratified age-length keys when sample sizes were appropriate and stratified combinedyear age-length keys for years where age samples were limited. To the extent possible, sex-specific age-length keys within each stratum were created and where cells were missing, a "global" sexspecific age-length key was used. The global key was computed over all strata within the same season. For years where age data were unavailable, a combined-year age-length key (based on data spanning all years) was applied to observed catch length frequencies. Applying the available length frequencies with stratified catch and age data resulted in age composition estimates in the bycatch that were predominately age 4 (Table 3). Generally, it is inappropriate to use the same age-length key over multiple years because the proportions at age for given lengths can be influenced by variability in relative year-class strengths. Combining age data over all the years averages the year-class effects to some degree, but may mask the actual variability in age compositions in individual years. This practice was evaluated and, given the relatively distinct length frequency modes corresponding to age, the results were found to be relatively

#### Chinook salmon bycatch sampling



**Figure 1.** NMFS observer programme Chinook salmon length frequency by season and year, 2003 – 2013 ("A" season only for 2013).

insensitive (NPFMC/NMFS, 2009). The estimates of uncertainty in the age composition due to sampling have increased substantially due to the lower number of Chinook salmon sampled for lengths since 2008 (Table 4). Note that estimates of age composition were computed using a two-stage bootstrap application in which the first stage was resampling from a population of observed hauls (with replacement), then resampling individual fish within those hauls (also with replacement). In recent years, fewer Chinook salmon are being measured under the new observer protocols since some of their effort has shifted towards collecting genetic tissue for stock identification studies.

Genetic stock identification (GSI) data used for this study include those from the original study (NPFMC/NMFS, 2009), supplemented by ongoing analyses from Guthrie et al. (2013). For the purposes of comparing past work with the improved samples and methods, the new data were processed using the same strata (Table 5) as in NPFMC/NMFS (2009). In the earlier study, much effort had to be expended to appropriately weight the available stock ID information according to where and when the bycatch occurred, since sampling was out of proportion to the bycatch. This resulted in a higher variance in the estimates of stock origins than in recent years, when sampling has been precisely proportional (Table 6).

As noted below in the model section, estimates of the maturation rates require data on the in-river age compositions. For our purposes, we computed a mean in-river age composition based on a weighted (by average relative run strength) combination of western Alaska systems (Table 7). Also, to evaluate impacts of bycatch on these systems, data on estimated Chinook salmon run numbers were obtained (Table 8).

#### Model

#### Calculation of AEQ by year of return

To convert Chinook salmon bycatch totals into adult equivalents (AEQ; as in Kope, 2006; Ford *et al.*, 2007; and Mantua *et al.*, 2009), the bycatch must be corrected for the estimated proportion of mature and immature fish. For immature salmon, the probabilities of maturing the following or subsequent years are also required. This was estimated (given mean in-river age composition) for calendar ages 3-7 as a function of uncertain ocean survival rates. The reduction in Chinook salmon returns in year t, AEQ $_t$ , can thus be expressed (without stock specificity) as:

$$AEQ_{t} = \sum_{a=3}^{7} c_{t, a} \gamma_{a} + \sum_{j=3}^{6} \sum_{a=j+1}^{7} \left[ \gamma_{a} c_{t-(a-j), j} \prod_{i=j}^{a-1} (1 - \gamma_{i}) s_{i} \right], \quad (1)$$

where  $c_{t,a}$  is the bycatch of age a salmon in year t,  $s_a$  is the proportion of salmon surviving from age a to a+1, and  $\gamma_a$  is the proportion of salmon at sea that would have returned to spawn at age a. In other words, the first term to the right of the equal sign is simply the number of mature Chinook salmon in the bycatch in the current year, whereas the second term accounts for the Chinook salmon caught in previous years that would have been mature in the current year. All age 7 Chinook salmon in the bycatch were assumed to be returning to spawn in the year they were caught (i.e.  $\gamma_7 = 1$ ), and they represent the oldest fish in the model. We assume that 7-year-old Chinook salmon taken in autumn were returning to spawn that year. In fact, these fish would have been more likely to return the following year. This assumption simplified

**Table 3.** Age-specific Chinook salmon bycatch estimates by season and calendar age based on the mean of 100 bootstrap samples of available length and age data.

| Year<br>season | Age 3          | Age 4            | Age 5            | Age 6        | Age 7      | Total                |
|----------------|----------------|------------------|------------------|--------------|------------|----------------------|
| 1991           | 5624           | 15 901           | 13 486           | 3445         | 347        | 38 802               |
| Α              | 5406           | 14 764           | 12 841           | 3270         | 313        | 36 593               |
| В              | 218            | 1137             | 646              | 174          | 34         | 2209                 |
| 1992           | 5136           | 9528             | 14 538           | 3972         | 421        | 33 596               |
| Α              | 1017           | 4633             | 13 498           | 3798         | 408        | 23 355               |
| В              | 4119           | 4895             | 1040             | 174          | 13         | 10 241               |
| 1993           | 2815           | 16 565           | 12 992           | 3673         | 401        | 36 446               |
| Α              | 1248           | 3654             | 7397             | 2778         | 290        | 15 368               |
| В              | 1567           | 12 910           | 5595             | 895          | 111        | 21 078               |
| 1994           | 849            | 5300             | 20 533           | 4744         | 392        | 31 817               |
| Α              | 436            | 3519             | 18 726           | 4211         | 326        | 27 218               |
| В              | 413            | 1781             | 1807             | 533          | 66         | 4599                 |
| 1995           | 498            | 3895             | 4827             | 3796         | 367        | 13 382               |
| Α              | 262            | 1009             | 3838             | 3534         | 327        | 8969                 |
| В              | 236            | 2885             | 989              | 263          | 40         | 4413                 |
| 1996           | 5091           | 18 590           | 26 202           | 5062         | 421        | 55 366               |
| A              | 863            | 7187             | 23 118           | 4431         | 349        | 35 947               |
| В              | 4228           | 11 403           | 3085             | 632          | 71         | 19 418               |
| 1997           | 5855           | 23 972           | 7233             | 5710         | 397        | 43 167               |
| Α              | 456            | 2013             | 3595             | 3899         | 271        | 10 234               |
| В              | 5399           | 21 958           | 3638             | 1811         | 126        | 32 933               |
| 1998           | 19 168         | 16 169           | 11 751           | 2514         | 615        | 50 216               |
| Α              | 1466           | 2254             | 8639             | 2079         | 512        | 14 950               |
| В              | 17 703         | 13 915           | 3112             | 435          | 103        | 35 266               |
| 1999           | 870            | 5343             | 4424             | 1098         | 21         | 11 757               |
| Α              | 511            | 1639             | 3151             | 898          | 18         | 6217                 |
| В              | 360            | 3704             | 1272             | 200          | 3          | 5540                 |
| 2000           | 662            | 1923             | 1800             | 518          | 34         | 4939                 |
| A              | 365            | 1167             | 1406             | 453          | 26         | 3416                 |
| В              | 298            | 757              | 395              | 66           | 8          | 1522                 |
| 2001           | 6512           | 12 365           | 11 948           | 1994         | 190        | 33 009               |
| A              | 2840           | 3458             | 9831             | 1798         | 171        | 18 098               |
| В              | 3672           | 8907             | 2117             | 196          | 19         | 14 910               |
| 2002           | 3843           | 13 893           | 10 655           | 5469         | 489        | 34 349               |
| A              | 1580           | 5063             | 9234             | 5328         | 478        | 21 683               |
| B              | 2263           | 8830             | 1421             | 141          | 11         | 12 666               |
| 2003           | 5575           | 16 297           | 19 423           | 3661         | 286        | 45 242               |
| A              | 2707           | 7204             | 2678             | 348          | 30         | 12 967               |
| B<br>2004      | 2868           | 9093<br>22 662   | 16 745           | 3313         | 256        | 32 275               |
| 2004           | 6582           |                  | 17 654           | 4247         | 390        | 51 536               |
| A              | 5502           | 17 324           | 5059             | 616          | 49         | 28 550               |
| B              | 1080           | 5338             | 12 595           | 3631<br>4205 | 341        | 22 986               |
| 2005           | 10 406<br>9011 | 30 520           | 21 661           | 4295         | 301        | 67 184               |
| A<br>B         |                | 23 608           | 6302             | 976<br>3319  | 78<br>223  | 39 975               |
| 2006           | 1395<br>11 801 | 6912<br>31 296   | 15 359<br>32 210 | 6589         | 487        | 27 209<br>82 382     |
| A              |                |                  |                  |              |            |                      |
| В              | 8220           | 13 862           | 2006<br>30 204   | 235          | 25<br>662  | 24 348<br>58 035     |
| 2007           | 3581<br>16 129 | 17 434<br>66 131 |                  | 6354<br>5651 | 462<br>361 | 121 966              |
| 2007<br>A      |                | 36 460           | 33 693<br>4608   | 514          | 39         | 51 912               |
|                | 10 290         |                  |                  |              | 322        |                      |
| B              | 5839           | 29 671           | 29 085           | 5137         |            | 70 054               |
| 2008           | 1144<br>613    | 7025<br>2974     | 10 775           | 2177         | 108        | 21 229               |
| A              | 613<br>531     |                  | 973              | 151<br>2026  | 9          | 4720                 |
| B              |                | 4051<br>4780     | 9802             | 2026         | 99<br>97   | 16 510               |
| 2009           | 589<br>206     | 4789<br>1702     | 5900             | 1074         | 87         | 12 439               |
| A              | 296            | 1783             | 460<br>5430      | 32<br>1042   | 3          | 2573                 |
| B<br>2010      | 293<br>461     | 3006<br>2698     | 5439<br>4816     | 1043<br>1591 | 85<br>71   | 9866<br>9637         |
| 2010<br>A      | 326            | 2698<br>1496     |                  | 1591         | 2          | 9637<br>201 <i>4</i> |
| $\overline{}$  | 320            | 1470             | 173              | 17           | 2          | 2014                 |

Table 3. Continued

| Year   |       |        |       |       |       |        |
|--------|-------|--------|-------|-------|-------|--------|
| season | Age 3 | Age 4  | Age 5 | Age 6 | Age 7 | Total  |
| 2011   | 6253  | 13 203 | 4944  | 951   | 66    | 25 418 |
| Α      | 5946  | 11 035 | 1215  | 88    | 3     | 18 287 |
| В      | 307   | 2168   | 3729  | 863   | 63    | 7131   |
| 2012   | 1722  | 3959   | 4650  | 874   | 84    | 11 288 |
| Α      | 1554  | 1772   | 192   | 8     | 1     | 3527   |
| В      | 167   | 2186   | 4458  | 866   | 83    | 7761   |

Age – length keys for 1997 – 1999 were based on Myers *et al.* (2004) data split by year, while for all other years, a combined-year age – length key was used.

the model and data preparation. Also, relatively few fish of this age were caught late in the season.

#### **Estimation of maturation rates?**

Note that the distribution of mature age salmon found in rivers is a function of both the age-specific maturation rate and age-specific survival rates of oceanic salmon ( $\gamma_a$ ) used in this model. The oceanic maturity rates were estimated by conditioning on the assumed survival rates and the observed mean in-river age composition. Uncertainty in oceanic age-specific survival rates and the age structure of spawners in each RSG (from both sampling error and between-year variability) was explicitly modelled. The annual age-specific survival rates were modelled as being the same for all RSGs, but with some variability given an assumed prior distribution:

$$\dot{s}_{i,a} = \exp(-M_a + \delta_{i,a}), \quad \delta_{i,a} \sim N(0, 0.1^2).$$
 (2)

The matrix of parameters  $\delta_{i,a}$  represents 115 free parameters (1991–2012 by five ages), which reflect uncertainty in the assumptions about the vector  $M_{ai}$ ; since there are no data affecting these parameters, the "point estimates" will be zero. Their main purpose is to propagate uncertainty as an assumed prior distribution (with variance term noted above that reflects a 10% coefficient of variation). This approach is intended to reflect part of the model misspecification error in that oceanic survival is uncertain and poorly known.

#### Partitioning bycatch by RSG

Given estimates of AEQ, the model partitions these into RSGs. This was done by assigning the stratum-specific AEQ estimates to each of the nine identified RSGs (see Table 5; Guthrie *et al.*, 2013 for RSG and GSI determinations). We assumed that, given the number of samples used for GSI within each year (t) and stratum (i), the numbers assigned to RSG k can be assumed to follow a multinomial distribution with parameters

$$p_{t,i,1},\ldots, p_{t,i,9} \sum_{k} p_{t,i,k} = 1.$$
 (3)

For the years where GSI information is missing (all years between 1991 and 2013 absent from Table 5), the estimated proportions by RSGs were based on mean stratum-specific values from the years when GSI data were available. These additional parameters were constrained based on the estimated within-stratum interannual variability. That is, if the proportions assigned to RSGs varied as estimated from the genetics data, then that variability was propagated to the years when genetic data were unavailable. This was a

Continued

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**Table 4.** Estimates of coefficients of variation of Chinook salmon bycatch estimates for the A and B seasons and age based on the mean of 100 bootstrap samples of available length and age data.

|      | Age 3 |    | Age 4 |    | Age 5 |    | Age 6 |     | Age 7 |     |
|------|-------|----|-------|----|-------|----|-------|-----|-------|-----|
| Year | A     | В  | A     | В  | A     | В  | A     | В   | A     | В   |
| 1991 | 14    | 23 | 6     | 8  | 6     | 12 | 10    | 27  | 31    | 67  |
| 1992 | 20    | 9  | 9     | 9  | 4     | 25 | 9     | 69  | 27    | 87  |
| 1993 | 22    | 19 | 9     | 4  | 5     | 9  | 10    | 20  | 37    | 65  |
| 1994 | 27    | 17 | 12    | 6  | 3     | 6  | 10    | 14  | 30    | 27  |
| 1995 | 25    | 21 | 12    | 5  | 5     | 12 | 6     | 23  | 22    | 48  |
| 1996 | 19    | 6  | 6     | 3  | 2     | 7  | 9     | 11  | 21    | 29  |
| 1997 | 35    | 12 | 12    | 3  | 6     | 10 | 7     | 12  | 28    | 39  |
| 1998 | 16    | 5  | 9     | 6  | 3     | 9  | 10    | 23  | 23    | 36  |
| 1999 | 19    | 16 | 10    | 3  | 5     | 8  | 11    | 22  | 91    | 149 |
| 2000 | 25    | 9  | 9     | 5  | 6     | 8  | 9     | 25  | 27    | 49  |
| 2001 | 10    | 7  | 6     | 3  | 3     | 8  | 7     | 20  | 22    | 52  |
| 2002 | 15    | 6  | 6     | 2  | 3     | 8  | 4     | 17  | 16    | 43  |
| 2003 | 14    | 8  | 6     | 3  | 3     | 5  | 8     | 15  | 21    | 32  |
| 2004 | 15    | 6  | 6     | 2  | 2     | 5  | 5     | 12  | 20    | 30  |
| 2005 | 18    | 5  | 6     | 2  | 3     | 5  | 7     | 10  | 23    | 23  |
| 2006 | 17    | 4  | 5     | 3  | 3     | 8  | 7     | 15  | 22    | 33  |
| 2007 | 22    | 6  | 5     | 2  | 4     | 7  | 8     | 13  | 25    | 28  |
| 2008 | 75    | 58 | 33    | 14 | 13    | 39 | 39    | 102 | 105   | 145 |
| 2009 | 40    | 61 | 12    | 10 | 5     | 36 | 16    | 82  | 45    | 163 |
| 2010 | 106   | 77 | 46    | 18 | 13    | 54 | 28    | 96  | 49    | 190 |
| 2011 | 29    | 7  | 10    | 4  | 6     | 13 | 12    | 42  | 42    | 234 |
| 2012 | 41    | 12 | 10    | 9  | 5     | 32 | 15    | 145 | 42    | 250 |

Note bolded values are based on the new length frequency sampling protocol.

Table 5. Stock composition based on genetic samples stratified by year, season, and region (SE, east of 170°W; NW, west of 170°W).

| Vaar | Cassan | A was | Sample | PNW<br>(%) | Coast W | Cook<br>Inlet (%) | Middle<br>Yukon (%) | N AK<br>Penin (%) | Russia | TBR (%) | Upper<br>Yukon (%) | Other |
|------|--------|-------|--------|------------|---------|-------------------|---------------------|-------------------|--------|---------|--------------------|-------|
| Year | Season |       | size   | (%)        | AK (%)  | iniet (%)         | rukon (%)           | ` '               | (%)    | 1BK (%) | rukon (%)          | (%)   |
| 2005 | В      | SE    | 282    | 45.3       | 34.2    | 5.3               | 0.2                 | 8.8               | 0.6    | 3.3     | 0.0                | 2.4   |
| 2005 | В      | NW    | 489    | 6.5        | 70.9    | 2.2               | 4.7                 | 6.7               | 2.0    | 3.5     | 2.8                | 0.7   |
| 2006 | Α      | All   | 801    | 22.9       | 38.2    | 0.2               | 1.1                 | 31.2              | 1.1    | 1.1     | 2.3                | 1.9   |
| 2006 | В      | SE    | 304    | 38.4       | 37.2    | 7.5               | 0.2                 | 7.0               | 0.6    | 4.3     | 0.1                | 4.7   |
| 2006 | В      | NW    | 286    | 6.4        | 67.3    | 3.0               | 8.0                 | 2.1               | 3.3    | 0.5     | 8.0                | 1.4   |
| 2007 | Α      | All   | 360    | 9.4        | 75.2    | 0.1               | 0.5                 | 12.0              | 0.2    | 0.1     | 0.1                | 2.4   |
| 2007 | В      | SE    | 464    | 6.1        | 77.9    | 3.6               | 3.3                 | 3.5               | 0.3    | 0.9     | 1.2                | 3.1   |
| 2007 | В      | NW    | 402    | 1.4        | 71.7    | 2.6               | 5.9                 | 5.3               | 0.4    | 3.3     | 0.0                | 9.3   |
| 2008 | Α      | All   | 788    | 0.9        | 59.5    | 0.0               | 0.4                 | 33.4              | 0.0    | 0.8     | 0.4                | 4.4   |
| 2008 | В      | SE    | 280    | 11.1       | 71.0    | 3.6               | 2.0                 | 5.7               | 1.6    | 1.8     | 1.8                | 1.5   |
| 2008 | В      | NW    | 245    | 2.0        | 71.1    | 2.8               | 5.3                 | 3.9               | 0.2    | 2.2     | 0.6                | 11.8  |
| 2009 | Α      | All   | 202    | 0.5        | 47.3    | 2.9               | 4.9                 | 22.2              | 0.3    | 1.1     | 0.0                | 21.0  |
| 2009 | В      | SE    | 78     | 28.9       | 54.6    | 3.1               | 3.0                 | 3.9               | 0.0    | 0.1     | 2.1                | 4.4   |
| 2009 | В      | NW    | 88     | 0.1        | 70.8    | 0.9               | 11.2                | 5.2               | 0.3    | 1.6     | 0.9                | 8.9   |
| 2010 | Α      | All   | 702    | 3.4        | 41.4    | 0.6               | 12.1                | 16.2              | 0.0    | 2.2     | 0.3                | 23.9  |
| 2010 | В      | SE    | 107    | 46.2       | 34.8    | 4.8               | 1.0                 | 4.0               | 2.7    | 1.0     | 5.6                | 0.0   |
| 2010 | В      | NW    | 17     | 11.6       | 45.6    | 4.8               | 16.2                | 0.0               | 0.0    | 11.9    | 0.7                | 9.2   |
| 2011 | Α      | All   | 695    | 11.2       | 54.0    | 0.6               | 1.8                 | 21.8              | 0.0    | 0.2     | 3.1                | 7.4   |
| 2011 | В      | SE    | 1627   | 15.1       | 72.7    | 4.1               | 0.9                 | 3.3               | 1.1    | 0.7     | 1.5                | 0.5   |
| 2011 | В      | NW    | 151    | 2.9        | 75.5    | 2.8               | 3.6                 | 2.4               | 1.7    | 4.9     | 1.6                | 4.6   |

PNW, Pacific northwest; CWAK, Coast West Alaska; NAK Penin, North Alaska Peninsula; TBR, Taku River. Source: Templin *et al.* (2011) and Guthrie *et al.* (2013) (as modified by the author to match these categories).

compromise which acknowledges sampling uncertainty for those years and correctly weights the information (due to sample size) between years when GSI information was available. For example, the new observer data collection system for genetic samples has resulted in more precise estimates of GSI in recent years; hence, those years have greater influence on stratum-specific GSI results.

Combining the RSG results derived from the GSI with the Chinook salmon AEQ results requires considering the lag impact

of the bycatch. For example, consider that GSI for 100 Chinook salmon occurred in a given year and separately AEQ estimates were made for that same year. Simply multiplying the AEQ value by the proportions estimated from the GSI samples would be incorrect since the 100 Chinook salmon sampled typically represent a number of different brood years. Consequently, adjusting the AEQ for RSG requires estimation over a range of years when GSI results are available. This was accomplished here by applying the

**Table 6.** NMFS Regional Office estimates of Chinook salmon bycatch in the pollock fishery compared with genetics sampling levels by season and region, 2005–2012 (SE, east of 170°W; NW, west of 170°W) in absolute terms (top eight data rows) and percentages (bottom eight data rows).

|      | Genetic samples |          |          | Chinook salmon b | ycatch   |          |  |
|------|-----------------|----------|----------|------------------|----------|----------|--|
| Year | A season        | B SE     | B NW     | A season         | B SE     | B NW     |  |
| 2005 | NA              | 282      | 489      | 27209            | 26425    | 13793    |  |
| 2006 | 801             | 304      | 286      | 58035            | 21922    | 2484     |  |
| 2007 | 360             | 464      | 402      | 70054            | 42353    | 10089    |  |
| 2008 | 788             | 280      | 245      | 16510            | 4017     | 793      |  |
| 2009 | 202             | 78       | 88       | 9866             | 2100     | 469      |  |
| 2010 | 702             | 107      | 17       | 7623             | 1923     | 143      |  |
| 2011 | 695             | 1627     | 151      | 7131             | 16832    | 1531     |  |
| 2012 | NA              | NA       | NA       | 7761             | 3570     | 136      |  |
|      | Genetic samples |          |          | PSC              |          |          |  |
|      | A season (%)    | B SE (%) | B NW (%) | A season (%)     | B SE (%) | B NW (%) |  |
| 2005 |                 | 37       | 63       | 40               | 39       | 20       |  |
| 2006 | 58              | 22       | 21       | 70               | 27       | 3        |  |
| 2007 | 29              | 38       | 33       | 57               | 35       | 8        |  |
| 2008 | 60              | 21       | 19       | 77               | 19       | 4        |  |
| 2009 | 55              | 21       | 24       | 79               | 17       | 4        |  |
| 2010 | 85              | 13       | 2        | 79               | 20       | 1        |  |
| 2011 | 28              | 66       | 6        | 28               | 66       | 6        |  |
| 2012 |                 |          |          | 68               | 31       | 1        |  |

PSC, Chinook salmon bycatch.

Table 7. Average age composition estimated by the system for 2003–2012 as provided by ADFG<sup>a</sup>.

|  | Age   |       |       |       |       |                  |
|--|-------|-------|-------|-------|-------|------------------|
| System                                     | 3     | 4     | 5     | 6     | 7     | Weighting factor |
| Norton sound                               | 1%    | 10%   | 37%   | 49%   | 3%    | 0.019            |
| Yukon                                      | 0%    | 12%   | 40%   | 44%   | 3%    | 0.221            |
| Kuskokwim River                            | 0%    | 25%   | 39%   | 34%   | 2%    | 0.369            |
| Kuskokwim Bay                              | 1%    | 35%   | 35%   | 28%   | 1%    | 0.094            |
| Nushagak                                   | 1%    | 27%   | 43%   | 29%   | 1%    | 0.297            |
| Weighted mean in river maturity            | 0%    | 23%   | 40%   | 34%   | 2%    |                  |
| Oceanic rates                              |       |       |       |       |       |                  |
| Natural mortality                          | 0.300 | 0.200 | 0.100 | 0.100 | 0.000 |                  |
| Implied oceanic maturity rate <sup>b</sup> | 0.002 | 0.192 | 0.500 | 0.942 | 1.000 |                  |

The "combined" row represents the weighted average over the systems (weights shown in the last column).

appropriate GSI results (i.e. estimates of proportions within RSGs) for the years as lagged by AEQ. This step is needed to apportion the AEQ results to stock of origin based on genetic samples that consist of mature and immature fish. By splitting the AEQ estimates to relative contributions of bycatch from previous years, and applying GSI data from those years, they can then be realigned and renormalized to get proportions from systems by year. For years in which GSI information was unavailable, mean GSI data (with an error term which accounted for year-effect variability) were used.

#### Spatial and temporal patterns in the RSG-specific bycatch

Given the posterior distributions of the parameters on ocean survival and GSI proportions (corrected for time-lags), the results could be summarized for presentation purposes. Since Chinook salmon bycatch occurs in both the "A" and "B" seasons of the pollock fishery, data from these seasons were run separately. For each separate run, Monte-Carlo Markov Chain samples from the posterior distribution were obtained based on chain lengths of 1 million

(after burn-in) and selecting every 200th parameter draw. Output resulted in 5000 samples from each season (summed over strata) then summed to get annual AEQ totals by the RSG. The model was implemented using the ADMB (Fournier et al., 2012) software.

#### Annual reduction in returns to RSGs

Separate estimates of run strengths (1994–2012) were used assuming uncertainties in run size:

$$\dot{S}_{t,k} = S_{t,k} e^{\varepsilon_t} \quad \varepsilon_t \sim N(0, \sigma_s^2), \tag{4}$$

where  $\sigma_S^2$  was a prespecified level of run-size variance (assumed to correspond to a coefficient of variation of 10% for this study). The measure that relates the historical bycatch levels to the subsequent returning salmon run k in year t, the "impact", is thus:

$$u_{t,k} = \frac{AEQ_{t,k}}{AEQ_{t,k} + \dot{S}_{t,k}},\tag{5}$$

<sup>&</sup>lt;sup>a</sup>http://www.adfg.alaska.gov/CommFishR3/Website/AYKDBMSWebsite/DataSelection.aspx.

<sup>&</sup>lt;sup>b</sup>Conditioned on the values for mean in-river maturity and oceanic natural mortality rate.

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Table 8. Estimated run size in numbers of Chinook salmon by the system for 1976–2012 as provided by ADFG.

|      |                       | Kusko            | Kuskokwim | Norton | Lower and |           | Upper   |
|------|-----------------------|------------------|-----------|--------|-----------|-----------|---------|
| Year | Nushagak <sup>a</sup> | Bay <sup>b</sup> | River     | Sound  | mid-Yukon | CWAK      | Yukon   |
| 1976 | 348 677               |                  | 233 967   |        |           |           |         |
| 1977 | 324 983               |                  | 295 559   |        |           |           |         |
| 1978 | 531 783               |                  | 264 325   |        |           |           |         |
| 1979 | 544 859               |                  | 253 970   |        |           |           |         |
| 1980 | 454 644               |                  | 300 573   |        |           |           |         |
| 1981 | 741 073               |                  | 389 791   |        |           |           |         |
| 1982 | 741 092               |                  | 187 354   |        |           |           | 148 000 |
| 1983 | 650 754               |                  | 166 333   |        |           |           | 158 200 |
| 1984 | 321 238               |                  | 188 238   |        |           |           | 123 000 |
| 1985 | 401 845               |                  | 176 292   |        | 224 324   |           | 145 700 |
| 1986 | 164 656               |                  | 129 168   |        | 186 298   |           | 155 900 |
| 1987 | 231 453               |                  | 193 465   |        | 177 287   |           | 156 700 |
| 1988 | 141 908               |                  | 207 818   |        | 146 991   |           | 141 000 |
| 1989 | 187 644               |                  | 241 857   |        | 102 297   |           | 146 100 |
| 1990 | 156 663               |                  | 264 802   |        | 196 126   |           | 161 600 |
| 1991 | 246 718               |                  | 218 705   |        | 156 538   |           | 140 600 |
| 1992 | 232 103               |                  | 284 846   |        | 183 889   |           | 157 800 |
| 1993 | 283 385               |                  | 269 305   |        | 267 718   |           | 141 100 |
| 1994 | 334 604               |                  | 365 246   |        | 253 226   | 953 077   | 185 600 |
| 1995 | 271 126               |                  | 360 513   |        | 224 219   | 855 858   | 194 800 |
| 1996 | 193 029               |                  | 302 603   | 23 080 | 86 934    | 605 646   | 198 500 |
| 1997 | 247 097               |                  | 303 189   | 59 196 | 324 333   | 933 816   | 186 900 |
| 1998 | 370 883               |                  | 213 873   | 35 916 | 139 171   | 759 843   | 93 090  |
| 1999 | 148 963               |                  | 189 939   | 18 972 | 193 172   | 551 046   | 114 600 |
| 2000 | 137 979               |                  | 136 618   | 13 087 | 112 255   | 399 939   | 52 660  |
| 2001 | 213 128               |                  | 223 707   | 13 586 | 166 822   | 617 243   | 97 910  |
| 2002 | 228 919               | 29 954           | 246 296   | 15 685 | 159 138   | 679 992   | 95 250  |
| 2003 | 224 724               | 36 908           | 248 789   | 16 244 | 170 637   | 697 303   | 160 800 |
| 2004 | 351 930               | 76 429           | 388 136   | 14 581 | 249 800   | 1 080 875 | 135 700 |
| 2005 | 307 245               | 60 875           | 366 601   | 12 528 | 158 044   | 905 294   | 123 900 |
| 2006 | 218 031               | 45 646           | 307 662   | 13 628 | 178 348   | 763 315   | 119 200 |
| 2007 | 125 077               | 55 511           | 273 060   | 15 311 | 144 449   | 613 408   | 87 420  |
| 2008 | 128 445               | 33 104           | 237 074   | 11 505 | 109 548   | 519 675   | 63 640  |
| 2009 | 117 530               | 32 095           | 204 747   | 19 707 | 111 612   | 485 692   | 86 540  |
| 2010 | 93 676                | 32 312           | 118 507   | 8360   | 96 232    | 349 086   | 59 789  |
| 2011 | 144 795               | 31 463           | 133 059   | 6718   | 126 428   | 442 464   | 71 751  |
| 2012 | 196 545               | 12 043           | 99 143    | 6645   | 73 555    | 387 930   | 50 094  |

The CWAK column represents the sum of five columns to its left. Analyses on impacts were done as aggregated for CWAK and for the Upper Yukon for 1994 – 2012. Source: K. Howard, pers. comm. and Menard *et al.* (2013). CWAK, Coastal West Alaska.

where  $AEQ_{t,k}$  and  $\dot{S}_{t,k}$  are the adult-equivalent bycatch and stock size (run return) estimates, respectively. The calculation of  $AEQ_{t,k}$  includes the bycatch of salmon returning to spawn in year t and the bycatch from previous years for the same brood year (i.e. at younger, immature ages). Note that the allocation of the AEQ to RSGs is necessarily independent of the age composition of the bycatch. Ideally, estimates of age-specific RSG identification would improve the estimation, but much larger samples would be needed, and apportioning the ages for each genetic sample would be required.

To better inform fishery managers of the impacts [Equation (5)] of their current cap levels, a "what-if" analysis was designed. In this, the actual Chinook salmon bycatch in 2011 and 2012 was artificially increased (proportional to the observed bycatch timing and locales) to a cap level of 47 591 and separately for a cap level of 60 000 Chinook salmon. For simplicity, season and sector-specific limits were ignored, and the full annual bycatch limit was attained by proportionally inflating the observed bycatch totals in each sector and season.

#### **Results**

Results from the model show that the peak annual AEQ occurred in 2007 at just over 76 000 Chinook salmon (Table 9), and the impact from bycatch has dropped markedly since 2010 (for the period 1994-2012; Table 9 and Figure 2). The distribution of the uncertainty indicated from the posterior distribution was relatively small (Figure 2). However, when the AEQ totals are decomposed into their constituent parts, the uncertainty increases substantially, particularly in years when the GSI data were unavailable (Figure 3). The largest bycatch is from the coastal western Alaska RSG. Here, the coastal western Alaska RSG includes all major river systems in western Alaska from the Kotzebue region in the north to the Bristol Bay region in the south. This grouping includes Chinook stocks in both the lower and middle Yukon River, but excludes the upper Yukon River (Canadian component) as genetic differentiation is well estimated. Interesting patterns are seen by season for the different RSGs, particularly as compared with when and where the most the bycatch is taken (Table 9). For example, on average

<sup>&</sup>lt;sup>a</sup>http://www.adfg.alaska.gov/FedAidPDFs/FMS12-05.pdf.

bhttp://www.adfg.alaska.gov/FedAidPDFs/FMR13-23.pdf.

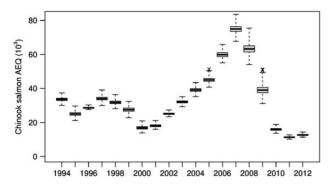
**Table 9.** Chinook salmon AEQ estimates (annual mean of the posterior distribution) by RSG for the years 1994–2012 (top panel) and the proportion of AEQ for each stock group that occurred during the "A" season (bottom panel).

| Year | BC-WA-OR     | Coast WAK     | Cook Inlet     | Middle Yukon     | N AK Penin     | Other     | Russia     | SEAK     | Upper Yukon     | Total     | C.V. (%) |
|------|--------------|---------------|----------------|------------------|----------------|-----------|------------|----------|-----------------|-----------|----------|
| 1994 | 4157         | 19 192        | 570            | 916              | 5667           | 181       | 376        | 472      | 2068            | 33 644    | 2.8      |
| 1995 | 3166         | 14 154        | 418            | 649              | 4310           | 127       | 268        | 343      | 1543            | 25 017    | 4.6      |
| 1996 | 3365         | 16 111        | 411            | 744              | 5300           | 130       | 294        | 378      | 1868            | 28 629    | 1.4      |
| 1997 | 4942         | 19 398        | 718            | 849              | 5144           | 203       | 384        | 486      | 1862            | 34 029    | 3.4      |
| 1998 | 5578         | 18 291        | 880            | 725              | 3809           | 226       | 379        | 479      | 1407            | 31 818    | 3.3      |
| 1999 | 5219         | 15 841        | 847            | 600              | 2872           | 212       | 335        | 424      | 1079            | 27 485    | 5.0      |
| 2000 | 3416         | 9654          | 552            | 334              | 1666           | 132       | 201        | 257      | 610             | 16 839    | 6.2      |
| 2001 | 2324         | 10 582        | 372            | 544              | 2588           | 122       | 231        | 281      | 1021            | 18 066    | 4.3      |
| 2002 | 2878         | 14 351        | 386            | 711              | 4387           | 130       | 281        | 353      | 1612            | 25 115    | 2.3      |
| 2003 | 3822         | 18 405        | 526            | 901              | 5470           | 172       | 364        | 454      | 2012            | 32 160    | 2.5      |
| 2004 | 4926         | 22 340        | 702            | 1072             | 6324           | 220       | 447        | 558      | 2340            | 38 979    | 3.1      |
| 2005 | 6802         | 25 202        | 947            | 1278             | 6578           | 297       | 582        | 681      | 2479            | 44 891    | 2.8      |
| 2006 | 12 135       | 28 685        | 1121           | 1471             | 11 681         | 371       | 748        | 953      | 2535            | 59 788    | 2.7      |
| 2007 | 12 528       | 42 180        | 1352           | 1717             | 11 646         | 433       | 874        | 1086     | 3024            | 74 931    | 2.8      |
| 2008 | 8071         | 38 950        | 1216           | 1360             | 8946           | 362       | 704        | 853      | 2565            | 63 172    | 4.3      |
| 2009 | 3706         | 24 984        | 775            | 909              | 5263           | 230       | 446        | 508      | 2050            | 38 917    | 6.0      |
| 2010 | 1705         | 8228          | 262            | 711              | 2610           | 81        | 187        | 203      | 1862            | 15 884    | 4.8      |
| 2011 | 1358         | 6312          | 208            | 414              | 1608           | 64        | 122        | 168      | 1033            | 11 296    | 3.0      |
| 2012 | 1589         | 7697          | 275            | 300              | 1691           | 81        | 131        | 191      | 675             | 12 645    | 3.8      |
|      | BC-WA-OR (%) | Coast WAK (%) | Cook Inlet (%) | Middle Yukon (%) | N AK Penin (%) | Other (%) | Russia (%) | SEAK (%) | Upper Yukon (%) | Total (%) |          |
| 1994 | 44           | 66            | 15             | 76               | 89             | 24        | 39         | 63       | 83              | 67        |          |
| 1995 | 44           | 68            | 16             | 84               | 89             | 24        | 43         | 65       | 85              | 68        |          |
| 1996 | 50           | 74            | 20             | 91               | 92             | 29        | 52         | 71       | 89              | 75        |          |
| 1997 | 32           | 55            | 10             | 74               | 83             | 16        | 30         | 52       | 76              | 56        |          |
| 1998 | 19           | 39            | 5              | 61               | 72             | 9         | 18         | 36       | 63              | 40        |          |
| 1999 | 14           | 30            | 4              | 53               | 64             | 6         | 13         | 28       | 54              | 31        |          |
| 2000 | 12           | 28            | 3              | 56               | 61             | 5         | 12         | 25       | 52              | 28        |          |
| 2001 | 32           | 50            | 9              | 52               | 82             | 16        | 24         | 48       | 70              | 52        |          |
| 2002 | 47           | 68            | 16             | 75               | 90             | 26        | 41         | 66       | 84              | 69        |          |
| 2003 | 45           | 66            | 15             | 74               | 89             | 25        | 39         | 64       | 83              | 67        |          |
| 2004 | 40           | 61            | 13             | 71               | 87             | 21        | 34         | 58       | 80              | 62        |          |
| 2005 | 25           | 54            | 10             | 63               | 80             | 19        | 24         | 54       | 77              | 53        |          |
| 2006 | 47           | 60            | 13             | 71               | 87             | 33        | 32         | 69       | 76              | 62        |          |
| 2007 | 50           | 63            | 15             | 63               | 86             | 50        | 38         | 71       | 71              | 64        |          |
| 2008 | 51           | 58            | 14             | 53               | 87             | 55        | 41         | 65       | 64              | 61        |          |
| 2009 | 55           | 51            | 15             | 46               | 87             | 58        | 48         | 58       | 68              | 57        |          |
| 2010 | 32           | 63            | 25             | 79               | 91             | 35        | 66         | 50       | 91              | 68        |          |
| 2011 | 36           | 53            | 16             | 82               | 90             | 27        | 59         | 51       | 94              | 60        |          |
| 2012 | 34           | 46            | 11             | 76               | 87             | 19        | 45         | 46       | 91              | 52        |          |
|      |              |               |                |                  |                |           |            |          |                 |           |          |

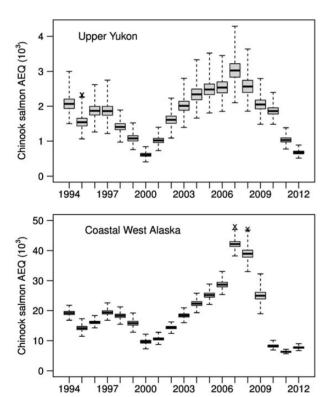
Last column of the upper panel represents the coefficient of variation (C.V.) of the estimated total AEQ. CWAK, Coast West Alaska; BC-WA-OR, British Columbia, Washington and Oregon; N AK Penin, North Alaska Peninsula; SEAK, Southeast Alaska.

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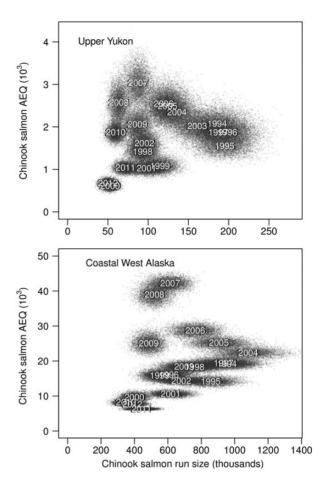
**Figure 2.** Boxplot showing the posterior distribution of annual total adult-equivalent mortality of Chinook salmon from the EBS pollock fishery, 1994 – 2012. Units are numbers of salmon and height of boxes represent the uncertainty (inter-quartile ranges) due to oceanic survival and other factors that vary within the model. Horizontal lines within the boxes represent the medians of the posterior distribution.



**Figure 3.** Estimated AEQ mortality of Chinook salmon from the EBS pollock fishery attributed to the Upper Yukon (top) and Coastal Western Alaska (bottom) stocks, 1994 – 2012. Units are numbers of salmon and height of boxes represent the uncertainty (inter-quartile ranges) due to oceanic survival and other factors that vary within the model. Horizontal lines within the boxes present the medians of the posterior distribution.

76% of the upper Yukon Chinook salmon bycatch is taken during winter fishery, whereas the "A" season bycatch represents only ca. 55% of the overall Chinook salmon AEQ mortality. Conversely, the vast majority of Cook Inlet Chinook salmon bycatch (87%) is taken during summer pollock fisheries, although the total AEQ is fairly small (Table 9).

Introducing run-size information to allow estimation of the impact rates  $(u_{t,k})$  shows very little relationship between AEQ



**Figure 4.** Example comparing the AEQ mortality of Chinook salmon from the EBS pollock fishery attributed to the Upper Yukon (top) and for the Coastal West Alaska (bottom) regions, 1994–2012. Total Chinook salmon run-size estimates (with scatter of points approximating uncertainty) are on the horizontal axis.

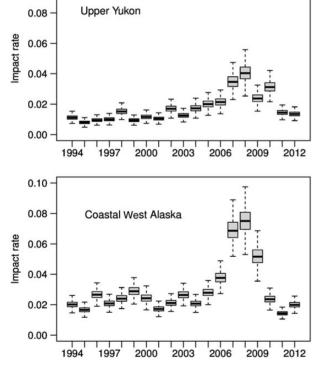
mortality due to the pollock fishery and the size of the runs, especially given the uncertainty in the RSG-estimated impacts, and of the run strength (e.g. Figure 4). Here, the focus was on comparing two critical RSG impacts: to coastal western Alaska and to the upper Yukon. The peak estimated impact for both of these regions occurred in 2008 and was estimated at 7.9 and 4.7% of their potential total returns, respectively (Table 10 and Figure 5). As with the AEQ estimates for these RSGs, the uncertainty appears to have decreased considerably under the new genetics sampling protocol.

Hypothetically increasing the 2011 by catch to its by catch limit (or cap) of 47 591 resulted in an increase from the 2011 estimate of 1.6% to  $\sim\!2.7\%$  on the coastal west Alaska RSG (Table 11). An increasing by catch to cap levels of 47 591 in 2011 and 60 000 in 2012 showed a greater potential impact in 2012, but still well below the maximum observed (Figures 6 and 7). Note that the greater hypothetical impact in 2012, compared with 2011, is due to AEQ being affected by increased catches in two years (2011 and 2012). While full by catch limits being reached for all sectors in each season is unrealistic (i.e. some sectors would have reached their limit, while others could remain below), this analysis suggests that had the management caps been reached, the measures of impact rate on some key Alaska stocks at the lower cap levels would likely have been below the historical high level estimated for 2008.

**Table 10.** Results of the Chinook salmon AEQ analysis combined with the available genetic data for the years 1994–2012 impact as the ratio of AEQ to estimated ADFG run size.

| Year | CWAK (%) | Upper Yukon (%) |
|------|----------|-----------------|
| 1994 | 2.01     | 1.11            |
| 1995 | 1.65     | 0.79            |
| 1996 | 2.66     | 0.94            |
| 1997 | 2.08     | 1.00            |
| 1998 | 2.41     | 1.51            |
| 1999 | 2.87     | 0.94            |
| 2000 | 2.41     | 1.16            |
| 2001 | 1.71     | 1.04            |
| 2002 | 2.11     | 1.69            |
| 2003 | 2.64     | 1.25            |
| 2004 | 2.07     | 1.72            |
| 2005 | 2.78     | 2.00            |
| 2006 | 3.76     | 2.13            |
| 2007 | 6.88     | 3.46            |
| 2008 | 7.49     | 4.03            |
| 2009 | 5.14     | 2.37            |
| 2010 | 2.36     | 3.11            |
| 2011 | 1.43     | 1.44            |
| 2012 | 1.98     | 1.35            |

Note that Middle Yukon is added to the Coastal West Alaska group. CWAK, Coastal West Alaska.



**Figure 5.** Estimated impact of the EBS pollock fishery on the Upper Yukon stock (top) and Coastal West Alaska (which includes the "Middle Yukon"; bottom), 1994–2012. Vertical axis is the ratio of AEQ over the point estimates of total run sizes.

#### Discussion

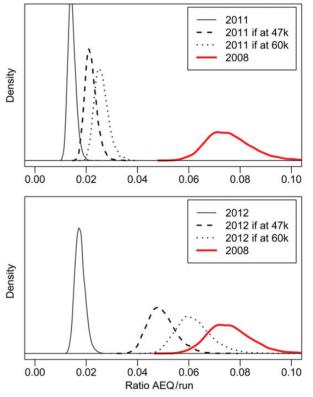
0.10

Comparing our estimates of the impact (per cent reduction in salmon returning to their river of origin) shows some differences

**Table 11.** Results of the Chinook salmon AEQ analysis combined with the available genetic data for 2011 and 2012 with impact estimated as the expected value of the ratio of AEQ to estimated ADFG run size.

| Year      | Estimated (%) | If 47 591 cap (%) | If 60 000 cap (%) |
|-----------|---------------|-------------------|-------------------|
| Coastal V | Vest Alaska   |                   |                   |
| 2011      | 1.5           | 2.2               | 2.6               |
| 2012      | 2.0           | 5.0               | 6.2               |
| Upper Yu  | ıkon          |                   |                   |
| 2011      | 1.5           | 1.8               | 2.0               |
| 2012      | 1.4           | 3.7               | 4.6               |

The third and fourth columns are hypothetical impact rates had all sectors of the pollock fleet met their respective upper limits of their bycatch allowance. Note that Middle Yukon is added to the Coastal West Alaska group.

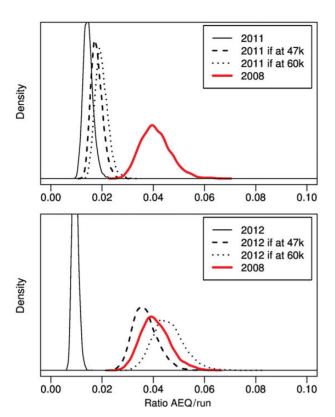


**Figure 6.** Estimated impact (thin solid line) of the EBS pollock fishery on the Coastal West Alaska (which includes the "Middle Yukon") for 2011 (top) and 2012 (bottom). The height of the shapes is intended to represent the relative probability (density) of impact rates shown on the horizontal scale. Also plotted are densities of impacts estimated for 2008 (the highest year of historical impact) and for 2011 and 2012, if all the current sector-specific bycatch limits had been attained.

relative to earlier methods. Combining minimal run-size estimates for western Alaska with estimates of AEQ, Witherell  $et\ al.\ (2002)$  obtained an average estimated impact due to the trawl fisheries of  $\sim 2.7\%$  for the period 1990–2000. This compares with our estimate for 1994–2000 of 2.4%. Previous estimates relied on stock proportions determined from scale-pattern analysis (to assign bycatch to regions) from earlier foreign and joint-venture fisheries (i.e. from 1979 to 1982; Witherell  $et\ al.\ (2002)$ . In addition, contemporary run-size estimates used here are about one-third higher than those applied in the Witherell  $et\ al.\ (2002)$  study, and our AEQ

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**Figure 7.** Estimated impact (thin solid line) of the EBS pollock fishery on the Upper Yukon for 2011 (top) and 2012 (bottom). The height of the shapes is intended to represent the relative probability (density) of impact rates shown in the horizontal scale. Also plotted are densities of impacts estimated for 2008 (the highest year of historical impact) and for 2011 and 2012 if all the current sector-specific bycatch limits had been attained.

estimates based on updated GSI information instead of relatively old scale-pattern data allowed a finer breakdown of RSGs and catch strata. The previous study failed to examine impact rates due to concerns over the high uncertainty in run-size strengths for Chinook in western Alaska river systems (NPFMC/NMFS, 2009); recently derived estimates provided by the Alaska Department of Fish and Game (ADFG; K. Howard, pers. comm.) allowed us to make these calculations. This study is also the first to break out the upper Yukon (Canadian-origin portion) from the western Alaskan stocks for estimating both AEQ and impact rates.

Our results show how improved GSI sampling and data have clearly improved estimation of the stock composition of the bycatch. Errors in GSI data (e.g. as considered in Kalinowski, 2004) combined with allowing for stock composition variability between years (for periods when genetics data are missing or less abundant) provide a novel way to estimate impacts and their uncertainty. That is, given observed interannual variability in stock composition from the same spatio-temporal strata, the average stock composition pattern provides reasonably consistent estimates using earlier scale-pattern analysis and more modern GSI methods. Indeed, better estimates of in-river Chinook salmon run strengths would likely improve precision of impact estimates more than more precise GSI. This is largely because total bycatch estimates are considered precise (and, in fact, fully accounted through a census process since 2011; before that, the estimation uncertainty for total bycatch was <3%; Miller, 2005).

In most fisheries sampling situations, data are rarely collected in a manner that can be considered as purely random with respect to the population of interest (in this case, the stock of origin of the bycatch). Composition data, in general, whether stomach contents, lengths, or ages, are commonly afflicted with a situation where the actual number of fish sampled is much higher than the "effective" sample size (e.g. Pennington and Volstad, 1994). For length or age composition data, it is routine to apply an adjustment to the actual sample size in fitting stock assessment models because of the relatively low within-haul variability. While the practice of using these adjustment factors varies in technique, they are widely acknowledged as being an important consideration in stock assessment modelling [see Fournier and Archibald (1982) for early consideration of using the multinomial likelihood for fitting composition data]. The modelling framework presented here allows for alternative weights and evaluations of uncertainties. For example, evaluating the effect of emphasizing only the recent genetics data (due to possible concerns about historical sampling approaches which often had large numbers of samples from single trawl tows) can be conducted as a model sensitivity. Additionally, alternative likelihood functions have been tailored to accept different forms of results from the GSI software (i.e. use of covariance matrix on stock proportions directly within the AEQ model likelihood).

The recent downturn in total bycatch (and concomitant AEQ mortality) of Chinook salmon in the pollock fishery is likely a combination of increased awareness, the development of industry-based, hot-spot closure programmes (e.g. Haflinger and Gruver, 2009), and reduced overall Chinook salmon abundance, but might also be partly due to environmental conditions affecting the overlap in preferred habitat for pollock and salmon. Lower overall pollock quotas (800 000 t in 2009 and 2010) also likely played a role, but recent pollock quotas and catches in 2011 and 2012 have been more than 1.2 million t. Temperature regimes and environmental factors could also have contributed to changes since there is evidence that even after accounting for season and locale, temperature appears to affect bycatch rates (Ianelli *et al.*, 2010).

Chinook salmon migrate through coastal areas as juveniles and returning adults; however, immature Chinook salmon undergo extensive migrations and can be found inshore and offshore throughout the North Pacific and Bering Sea (Farley et al., 2005). In summer, Chinook salmon concentrate around the Aleutian Islands and in the western Gulf of Alaska. Changes in these patterns may also affect vulnerability to the pollock fishery.

The relative impacts rates in recent years are low for aggregate western Alaskan river systems and the upper Yukon and are < 8% even in the years of highest bycatch. While there is continued concern regarding all sources of mortality due to low stock sizes of western Alaskan Chinook stocks, there are likely multiple causes of the declines in these stocks that may be unrelated to bycatch by the EBS pollock fishery. Some of these causes include survival in the oceanic life stage, due to competition for prey and the overall carrying capacity in the Pacific Ocean, as well as in-river survival (Schindler *et al.*, 2013; Stachura *et al.*, 2014).

There are international treaty implications of the bycatch of Yukon River bound salmon. Under the Yukon River Agreement, an annexe of the Pacific Salmon Treaty between the United States and Canada, the United States agreed to "maintain efforts to increase the in-river run of Yukon River origin salmon by reducing marine catches and bycatches of Yukon River salmon. They shall further identify, quantify, and undertake efforts to reduce these catches and bycatches" (YRSA, 2002). Our study indicates that,

given available genetic breakouts delineating Canadian-origin Yukon Chinook salmon from the bycatch, an evaluation on the intent of the agreement for quantifying impacts is now possible. Our study provides critical information on the relative impact of the bycatch on these runs which is critical to fishery managers, so that appropriate management measures can be designed.

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