

**SSA-002**

## Supplier Security Assessment

### DataVault Solutions

<b>Assessment Date:</b>	22 May 2025
<b>Supplier:</b>	DataVault Solutions
<b>Service:</b>	Offsite Backup Storage
<b>Assessor:</b>	Marcus Lee (IT Security Analyst)
<b>Assessment Type:</b>	Annual Review
<b>Classification:</b>	MEDIUM RISK

### Executive Summary

DataVault Solutions provides offsite tape and disk backup storage services for Horselnsure Pty Ltd. The primary storage facility is located in Parramatta, NSW. This assessment evaluates DataVault's information security controls and operational capabilities. Assessment findings indicate MEDIUM risk classification due to identified gaps in encryption key management procedures and access control configuration. Recommendations have been provided for remediation.

### Assessment Results

Assessment Criteria	Rating	Findings
Data Handling & Encryption	PARTIAL	Encryption at rest confirmed on all storage media. However, encryption key management procedures require review.
Access Controls	PARTIAL	Portal access utilises username and password authentication. Multi-factor authentication is recommended.
Incident Notification	NOT ASSESSED	Vendor declined to provide incident notification procedures and timelines.
Business Continuity	SATISFACTORY	DataVault has documented Business Continuity Plan. Recovery Time Objectives (RTO) and Recovery Point Objectives (RPO) are defined.
Physical Security	SATISFACTORY	Parramatta facility has controlled access via card and biometric systems.
Insurance & Indemnity	NOT ASSESSED	Insurance and indemnification review pending completion by Horselnsure.
Compliance Certifications	PARTIAL	SOC 2 Type I audit completed and current (expires 2025). SOC 2 Type II audit pending.

### Recommendations

**Priority 1 (Address within 30 days):** Enable multi-factor authentication (MFA) on DataVault portal access. Obtain and review formal encryption key management procedures and key rotation.

schedule.

**Priority 2 (Address within 60 days):** Execute updated Data Processing Agreement amendment requiring incident notification within 24 hours. Coordinate with Legal Team to complete insurance and indemnification review.

**Priority 3 (Ongoing):** Monitor vendor completion of SOC 2 Type II audit scheduled for Q3 2025. Conduct follow-up assessment upon Type II certification completion.

## Assessment Sign-Off

**Assessor:** Marcus Lee Date: 22 May 2025

**Title:** IT Security Analyst

**Note:** Compliance Manager review and formal approval pending.