

SSA-002

Supplier Security Assessment

DataVault Solutions

Assessment Date:	22 May 2025
Supplier:	DataVault Solutions
Service:	Offsite Backup Storage
Assessor:	Marcus Lee (IT Security Analyst)
Assessment Type:	Annual Review
Classification:	MEDIUM RISK

Executive Summary

DataVault Solutions provides offsite tape and disk backup storage services for HorseInsure Pty Ltd. The primary storage facility is located in Parramatta, NSW. This assessment evaluates DataVault's information security controls and operational capabilities. Assessment findings indicate MEDIUM risk classification due to identified gaps in encryption key management procedures and access control configuration. Recommendations have been provided for remediation.

Assessment Results

Assessment Criteria	Rating	Findings
Data Handling & Encryption	PARTIAL	Encryption at rest confirmed on all storage media. However, encryption
Access Controls	PARTIAL	Portal access utilises username and password authentication. Multi-fa
Incident Notification	NOT ASSESSED	Vendor declined to provide incident notification procedures and timelin
Business Continuity	SATISFACTORY	DataVault has documented Business Continuity Plan. Recovery Time
Physical Security	SATISFACTORY	Parramatta facility has controlled access via card and biometric system
Insurance & Indemnity	NOT ASSESSED	Insurance and indemnification review pending completion by HorseIns
Compliance Certifications	PARTIAL	SOC 2 Type I audit completed and current (expires 2025). SOC 2 Typ

Recommendations

Priority 1 (Address within 30 days): Enable multi-factor authentication (MFA) on DataVault portal access. Obtain and review formal encryption key management procedures and key rotation

schedule.

Priority 2 (Address within 60 days): Execute updated Data Processing Agreement amendment requiring incident notification within 24 hours. Coordinate with Legal Team to complete insurance and indemnification review.

Priority 3 (Ongoing): Monitor vendor completion of SOC 2 Type II audit scheduled for Q3 2025. Conduct follow-up assessment upon Type II certification completion.

Assessment Sign-Off

Assessor: Marcus Lee Date: 22 May 2025

Title: IT Security Analyst

Note: Compliance Manager review and formal approval pending.