

# Bài 5: Phân tích cú pháp phụ thuộc

# Thông tin giảng viên

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# Content

## 1. Overview

- Introduction
- Applications
- Properties

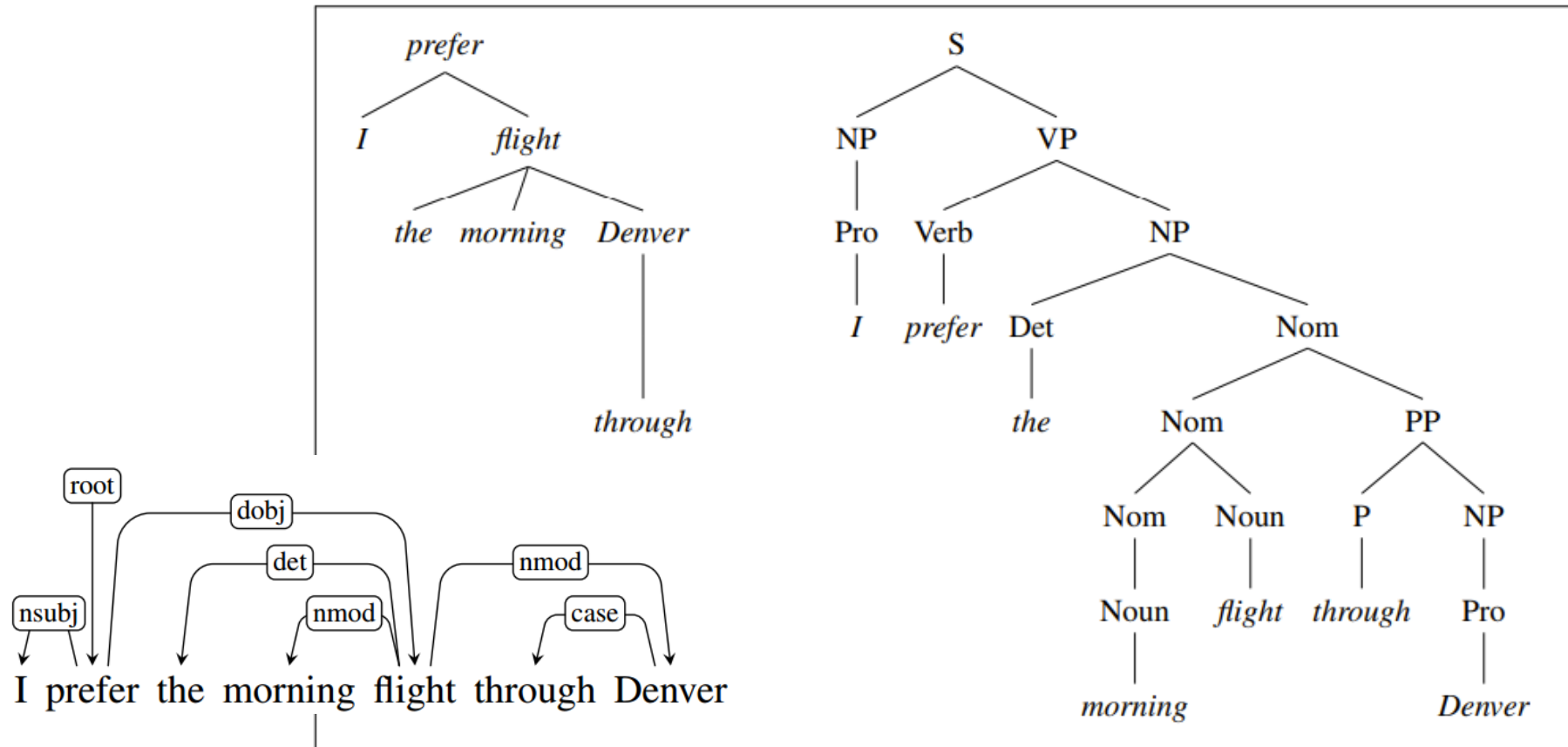
## 2. Approaches

- Transition-based
- Graph-based
- Current approaches

## 3. Some results

# Introduction

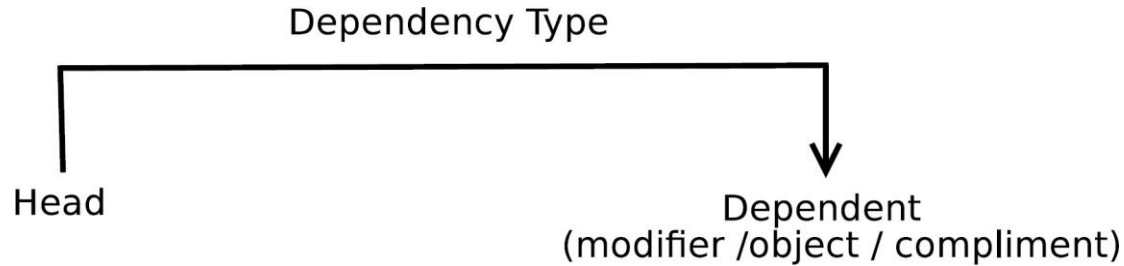
- Increasing interest in dependency-based approaches to syntactic parsing in recent years
- Dependency-based methods still less accessible for the majority of researchers and developers than the more widely known constituency-based methods



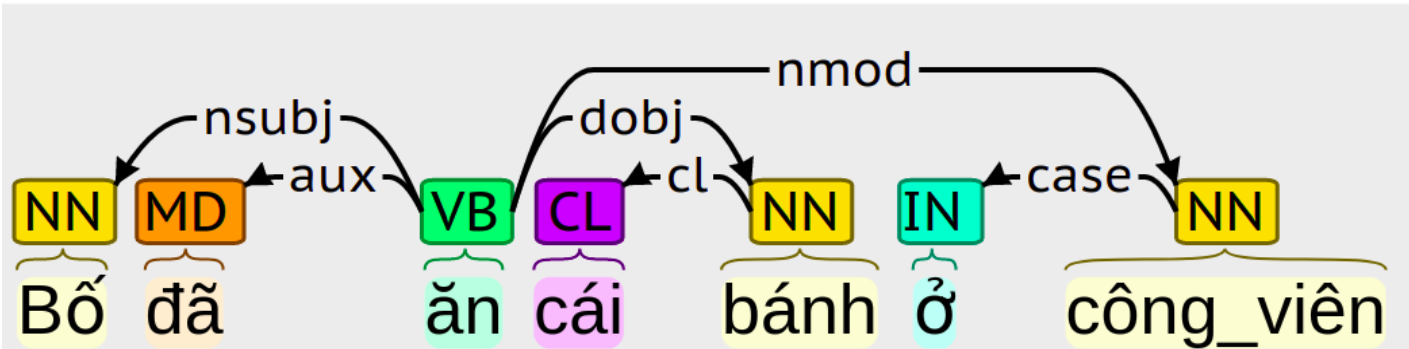
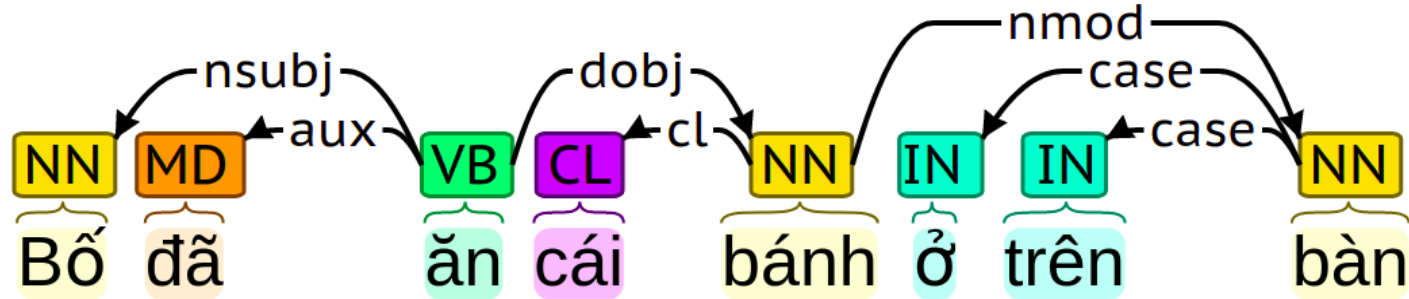
**Figure 14.1** Dependency and constituent analyses for *I prefer the morning flight through Denver*.

# Dependency Grammars

- Syntactic structure = lexical items linked by binary asymmetrical relations called dependencies

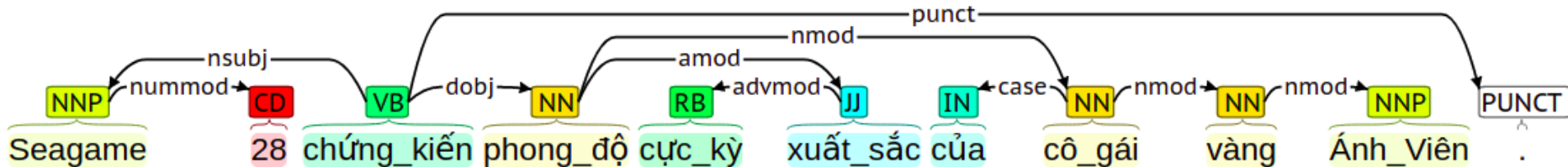


# Example Dependency Parse



# Some dependency labels

- nsubj (Nominal subject): chủ ngữ, chủ thể
- dobj (Direct object): tân ngữ trực tiếp
- nmod (Nominal modifier): danh từ bổ nghĩa
- amod (Adjectival modifier): tính từ bổ nghĩa
- nummod (Numeric modifier): số từ bổ nghĩa
- case (dependent of the noun they attach to or introduce)

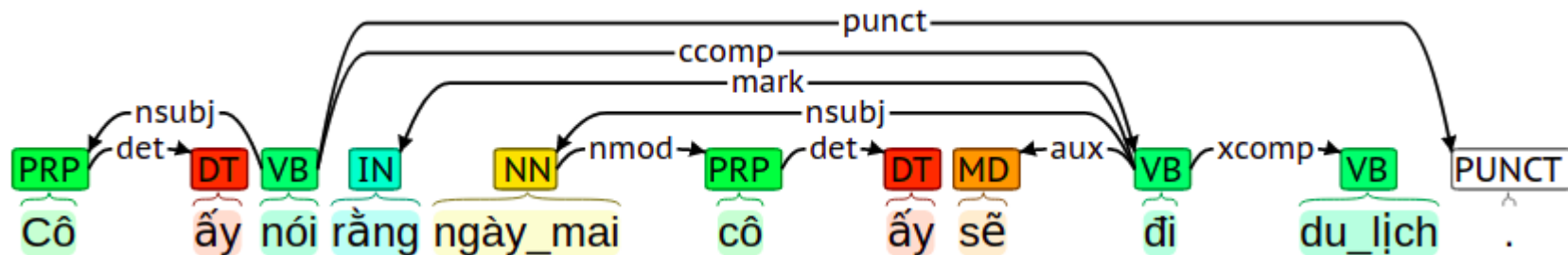




# Some dependency labels

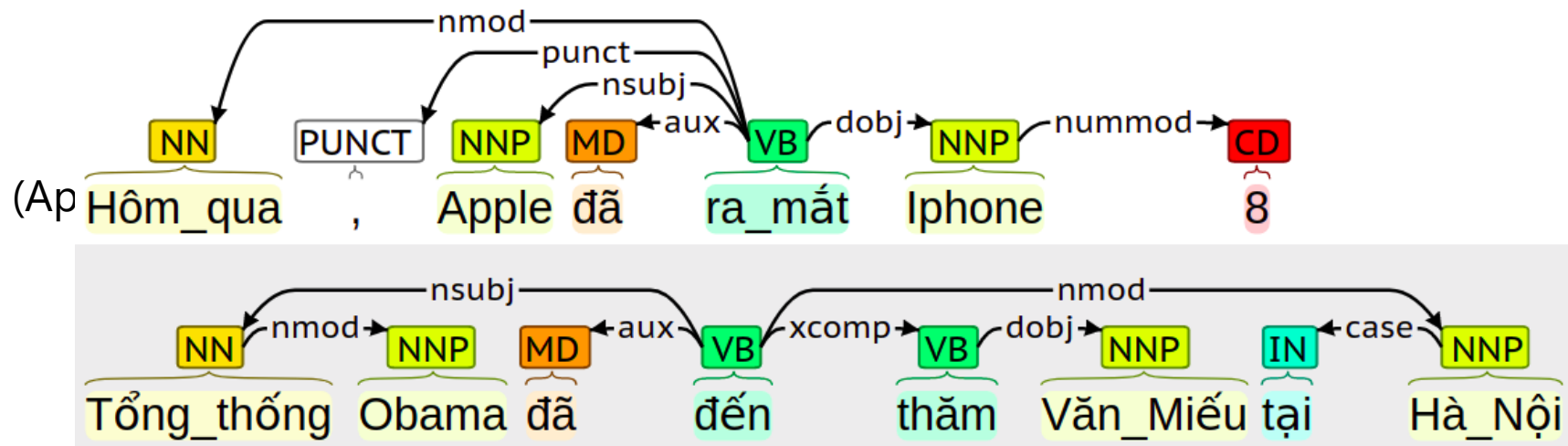
- ccomp (Clausal component): Mệnh đề thành phần
- xcomp (Open clausal component): Mệnh đề thành phần mở rộng
- aux (Auxiliary): phụ từ, trợ động từ

See more: <http://universaldependencies.org/u/dep/>



# Applications

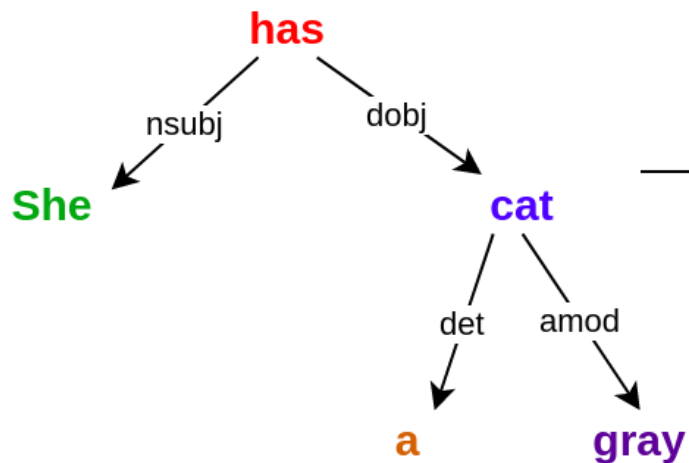
Building a knowledge base using relation extraction



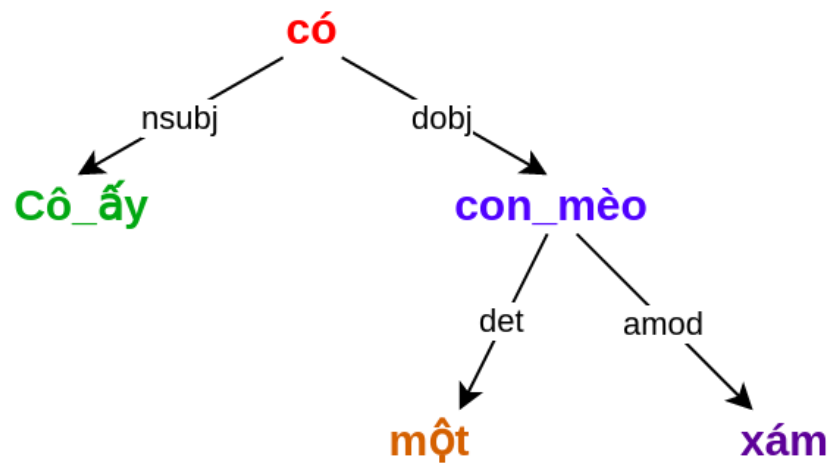
# Applications

## Machine Translation

She has a gray cat



Cô\_ấy có một con\_mèo xám

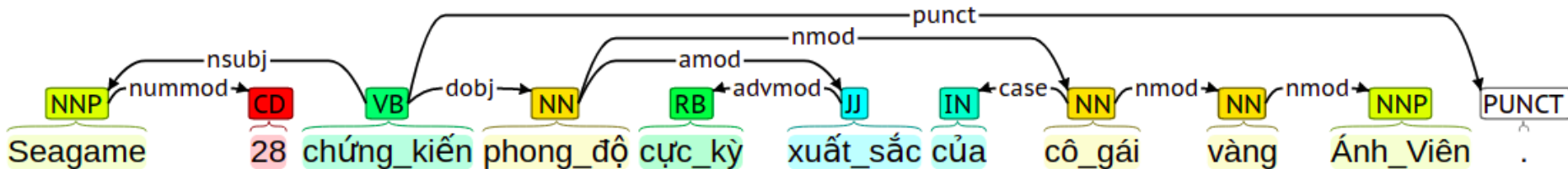


# Properties

- General form: a graph  $G = (V, A)$ 
  - $V$  vertices: usually one per word in sentence
  - $A$  arcs (set of ordered pairs of vertices): head-dependent relations between elements in  $V$
- Notational conventions ( $i, j \in V$ ):
  - $i \rightarrow j \equiv (i, j) \in E$
  - $i \rightarrow^* j \equiv i = j \vee \exists k : i \rightarrow k, k \rightarrow^* j$

# Properties

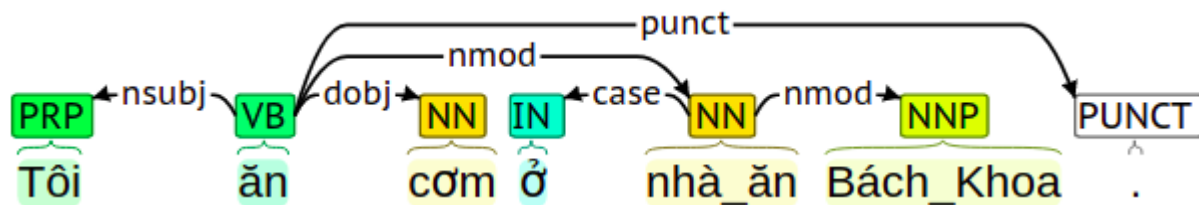
- Weakly Connected
  - For every node  $i$  there is a node  $j$  such that  $i \rightarrow j$  or  $j \rightarrow i$ .
- Acyclic:
  - If  $i \rightarrow j$  then not  $j \rightarrow^* i$ .
- Single head:
  - If  $i \rightarrow j$ , then not  $k \rightarrow j$ , for any  $k \neq i$ .



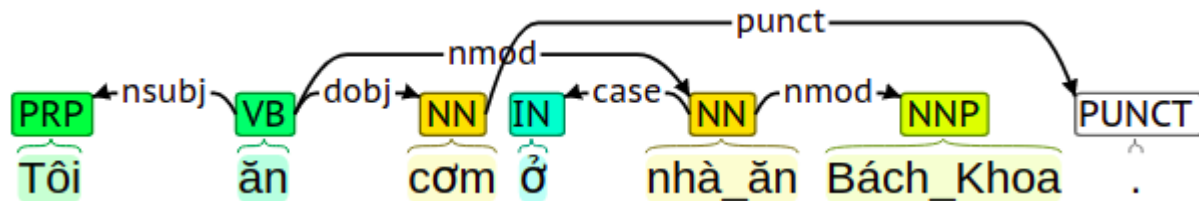
# Properties

- Projective: There are no crossing dependencies

Projective



Non-Projective



# Content

## 1. Overview

- Introduction
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- Properties

## 2. **Approaches**

- Transition-based
- Graph-based
- Current approaches

## 3. Some results

# Approaches

- **Transition-based**
  - Nivre algorithm
- **Graph-based**
- **Current approaches**
  - End to end learning
  - Joint learning



# Transition-based

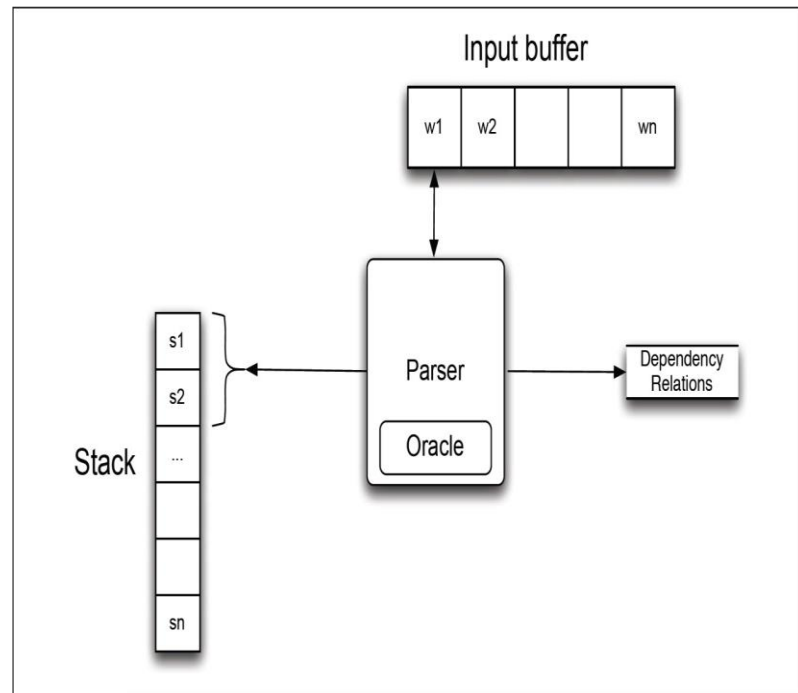
- Main idea is to base on Transitions (SHIFT, REDUCE, LEFT-ARC, RIGHT-ARC)
- When reading a sentence from left to right, the learning model will decide which transition to perform. This sequence of transitions helps to determine the dependency relationship between the words in the sentence.
- Need training this model

# Transition-based

- Parsing algorithm: Nivre, Covington, ...
- Classifying method: SVM, Neural network, ...

# Nivre algorithm

- Given:  $c = (\Sigma|s, b|\mathbf{B}, \mathbf{A})$ , in which
  - Stack  $\Sigma$  stores partially processed tokens
  - Buffer  $\mathbf{B}$  stores unread tokens.
  - Set  $\mathbf{A}$  stores dependent relations being found
- Transition bases on the current configuration to go to the new configuration, also including these 3 members



**Figure 14.5** Basic transition-based parser. The parser examines the top two elements of the stack and selects an action based on consulting an oracle that examines the current configuration.

# Nivre algorithm

- 4 transition:
  - $\text{SHIFT } [(\Sigma, b|\mathbf{B}, \mathbf{A})] = (\Sigma|b, \mathbf{B}, \mathbf{A})$
  - $\text{RIGHT}_{lb} [(\Sigma|s, b|\mathbf{B}, \mathbf{A})] = (\Sigma|s|b, \mathbf{B}, \mathbf{A} \cup \{s, lb, b\})$
  - $\text{LEFT}_{lb} [(\Sigma|s, b|\mathbf{B}, \mathbf{A})] = (\Sigma, b|\mathbf{B}, \mathbf{A} \cup \{b, lb, s\})$
  - $\text{REDUCE } [(\Sigma|s, \mathbf{B}, \mathbf{A})] = (\Sigma, \mathbf{B}, \mathbf{A})$
- Description:
  - **SHIFT**: Remove the top word of the buffer and push it onto the stack.
  - **RIGHT**: Insert the top word of the buffer to the stack, add relation (s, lb, b) to A
  - **LEFT**: pop the stack, add relation (b, lb, s) to A
  - **REDUCE**: Pop the stack

## Example

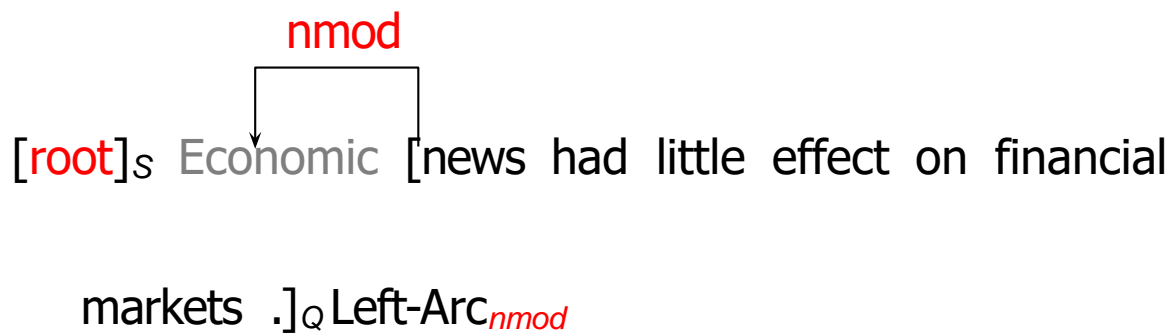
[root]<sub>S</sub> [Economic news had little effect on financial  
markets .]<sub>Q</sub>

## Example

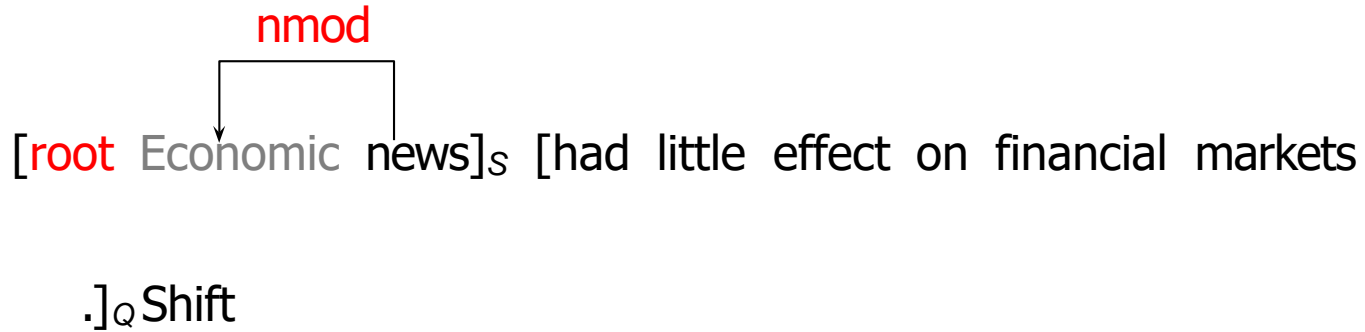
[**root** Economic]<sub>S</sub> [news had little effect on financial markets  
.]<sub>Q</sub>

Shift

# Example



# Example



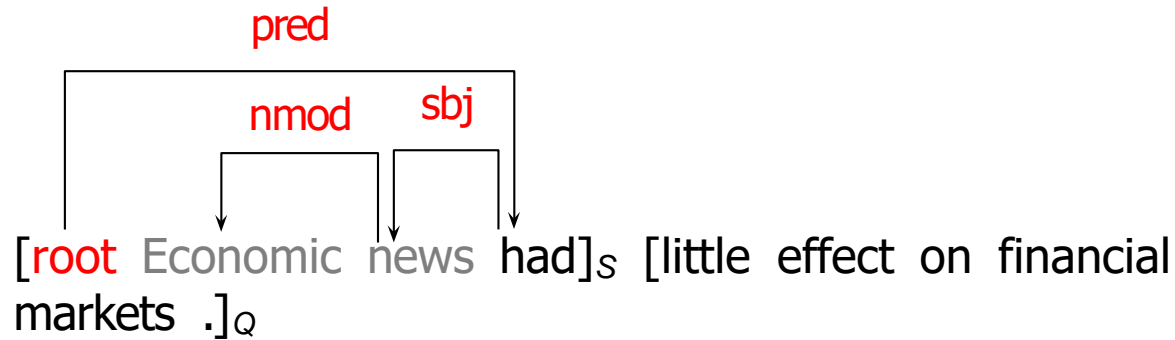


# Example



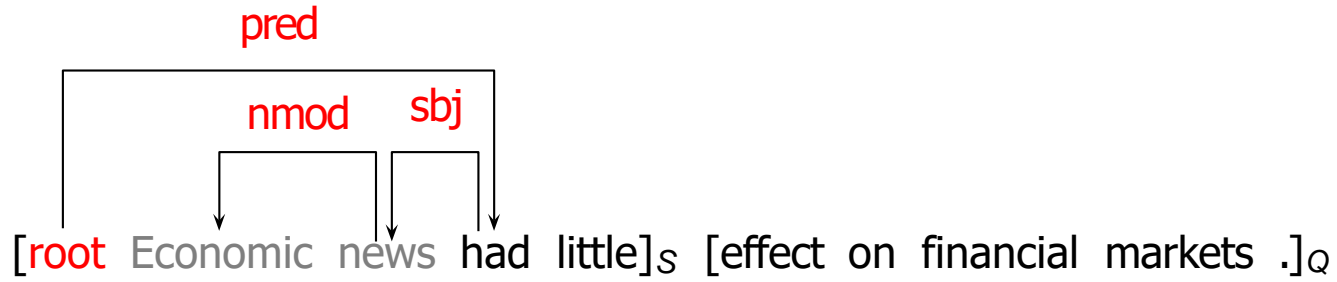
Left-Arc<sub>subj</sub>

# Example



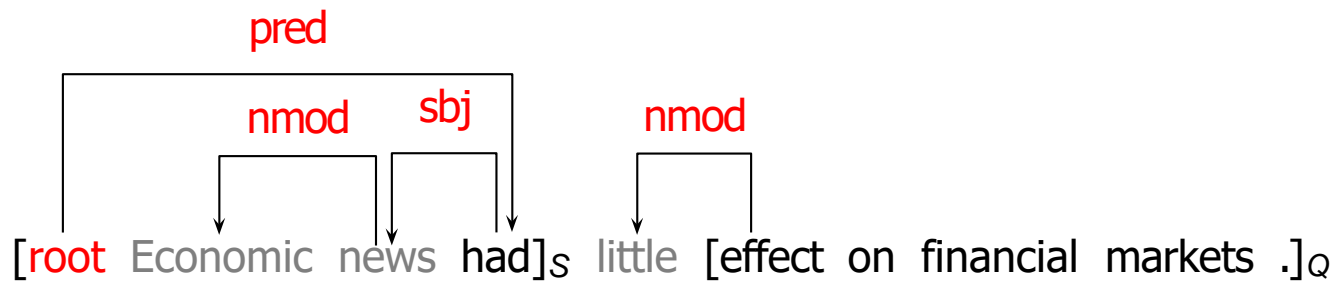
Right-Arc<sub>pred</sub>

# Example



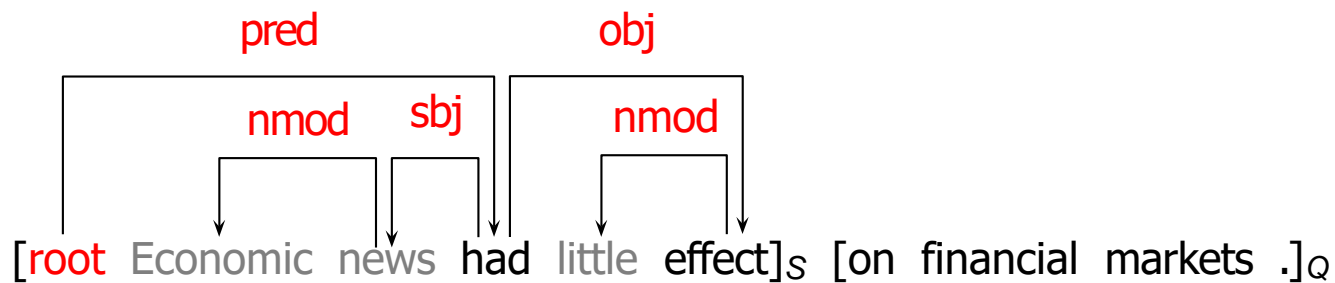
Shift

# Example



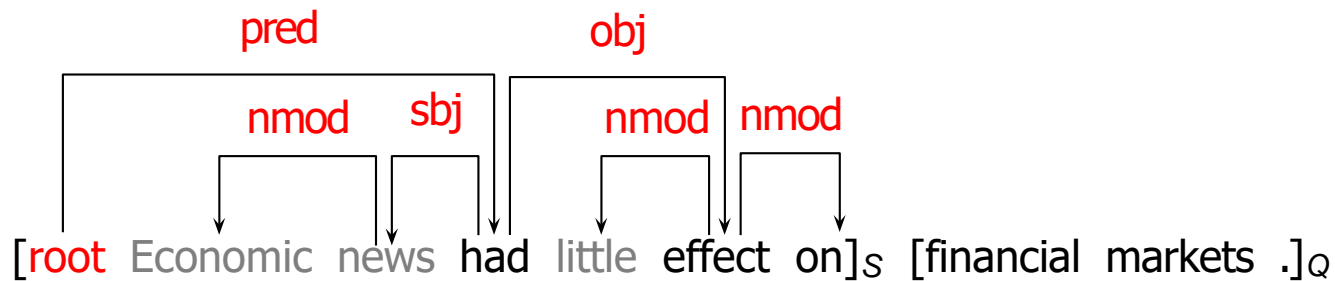
Left-Arc *nmod*

# Example



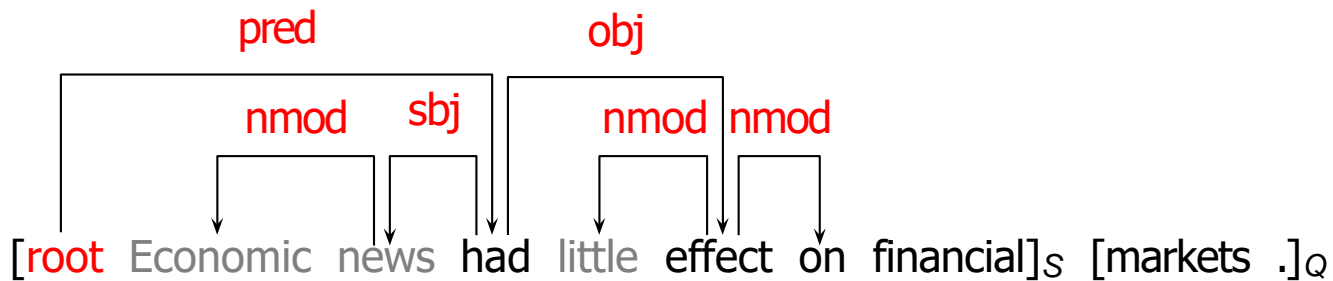
Right-Arc<sub>obj</sub>

# Example



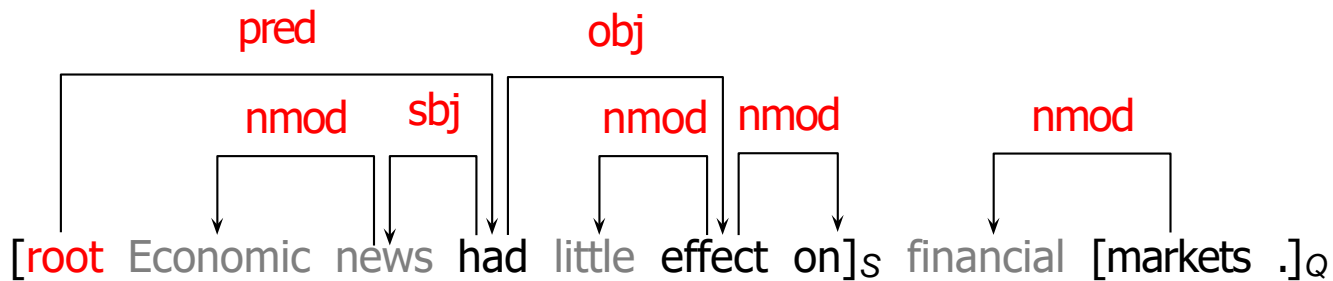
Right-Arc<sub>nmod</sub>

# Example



Shift

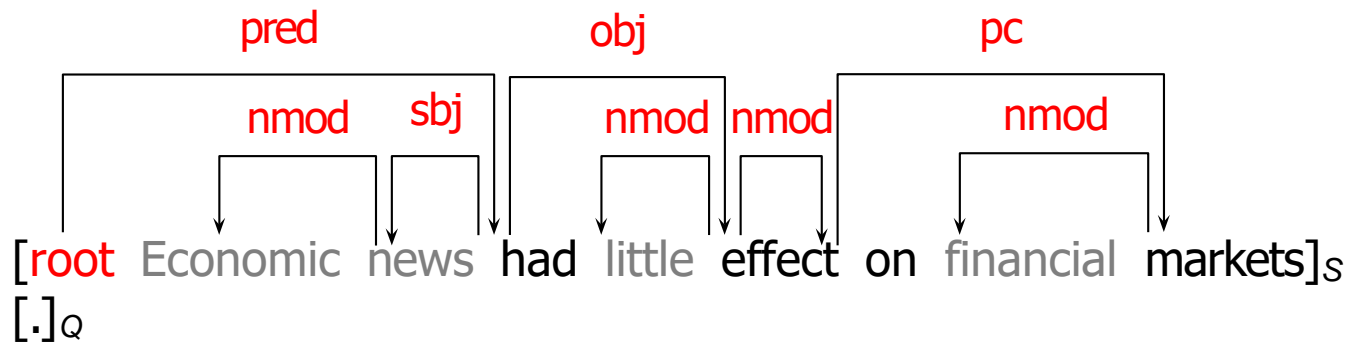
# Example



Left-Arc<sub>nmod</sub>

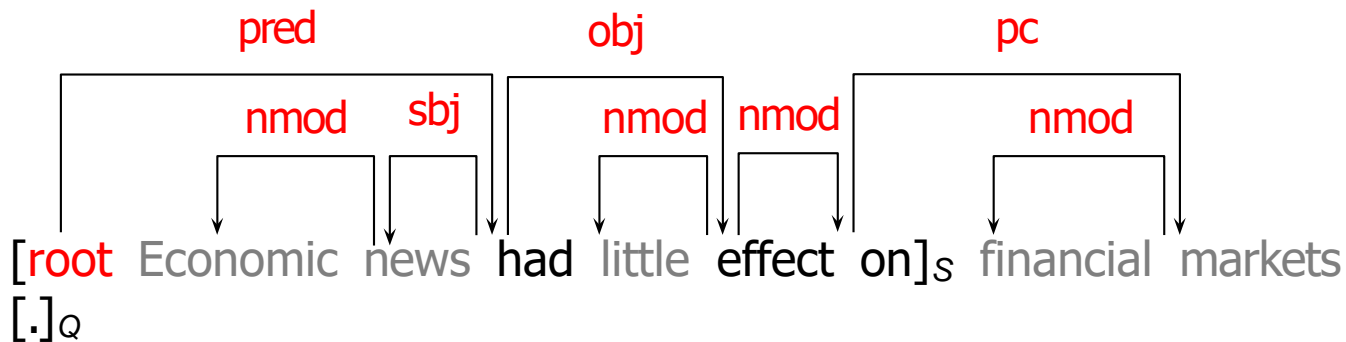


# Example



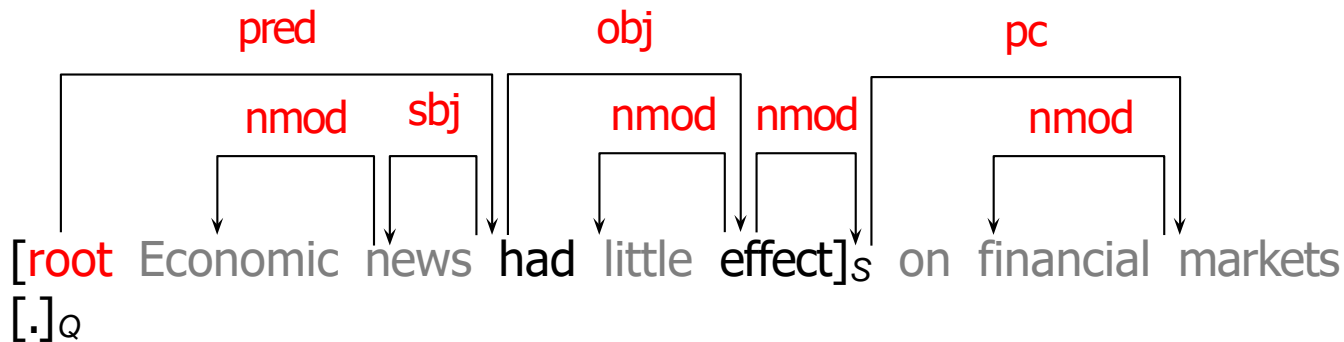
Right-Arc<sub>pc</sub>

# Example



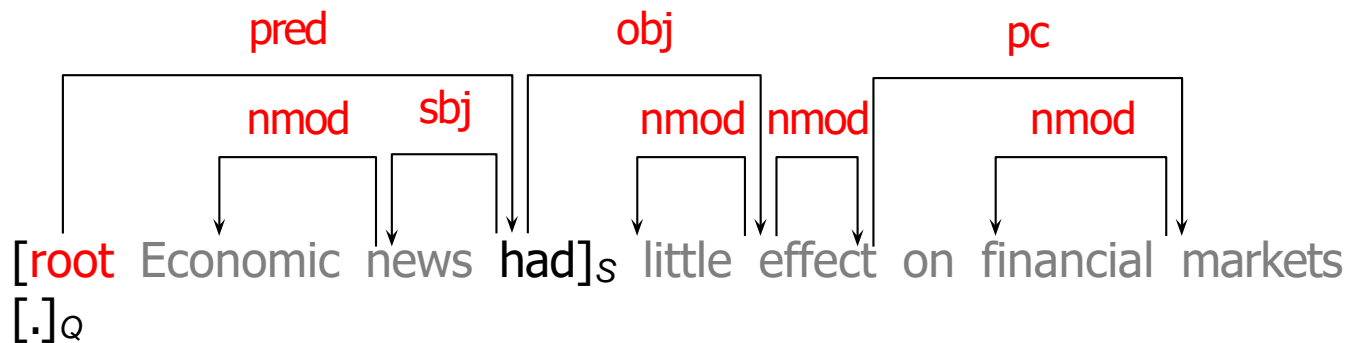
Reduce

## Example



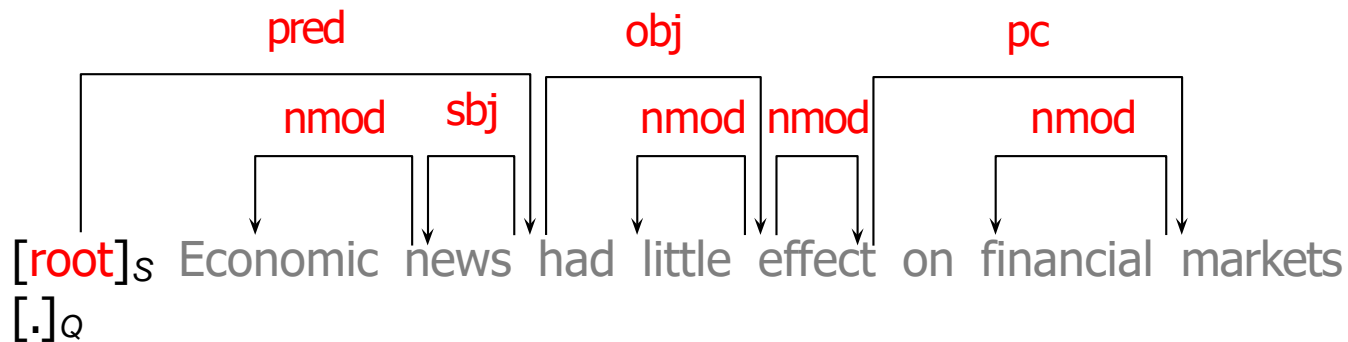
## Reduce

# Example



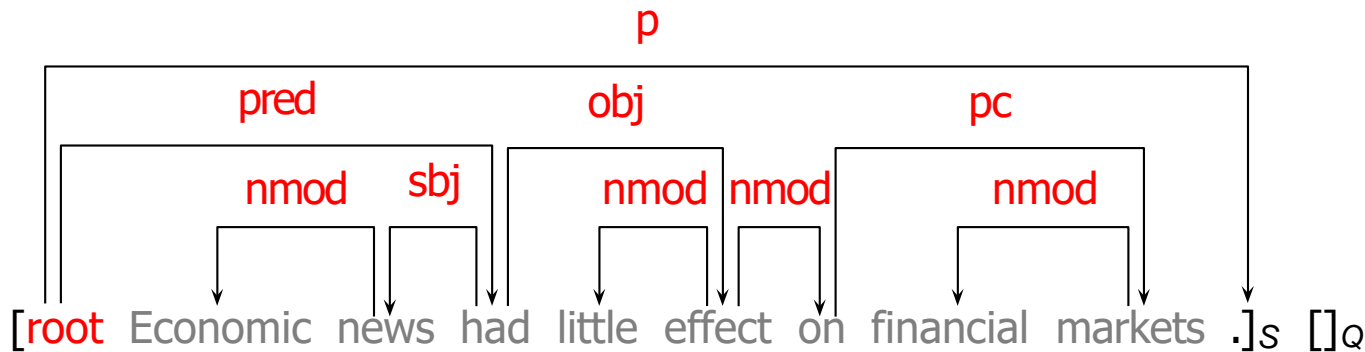
Reduce

# Example



Reduce

# Example

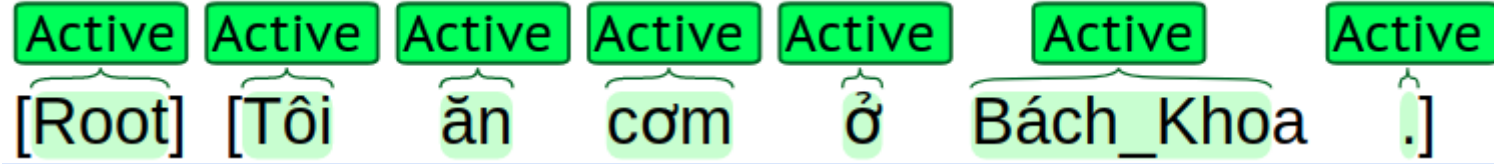


Right-Arc<sub>p</sub>

# Nivre algorithm

- Input sentence  $W = w_1, w_2, \dots, w_n$ . ( $w_i$  is the word  $i^{\text{th}}$  in the sentence)
- Initial configuration:  $c_{\text{init}} = (\Sigma, \mathbf{B}, \mathbf{A})$ 
  - $\Sigma = \{\text{ROOT}\}$
  - $\mathbf{B}$ :  $\mathbf{B} = w_1, w_2, \dots, w_n$
  - $\mathbf{A}$ :  $\{\}$
- Terminal configuration:  $c_{\text{terminal}} = (\Sigma, \mathbf{B}, \mathbf{A})$ 
  - $\Sigma$ :  $\{\text{ROOT}\}$
  - $\mathbf{B}$ :  $\{\}$
  - $\mathbf{A}$ : set of dependent relations.

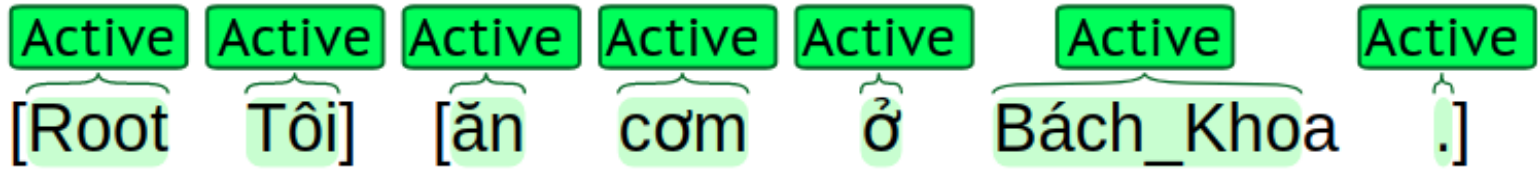
# Example



- Input sentence: **Tôi ăn cơm ở Bách\_Khoa .**
- Stack: [
- Buffer: ]
- A : {}
- Active: the node is being considered
- Deleted: the node is completely visited, remove from Stack



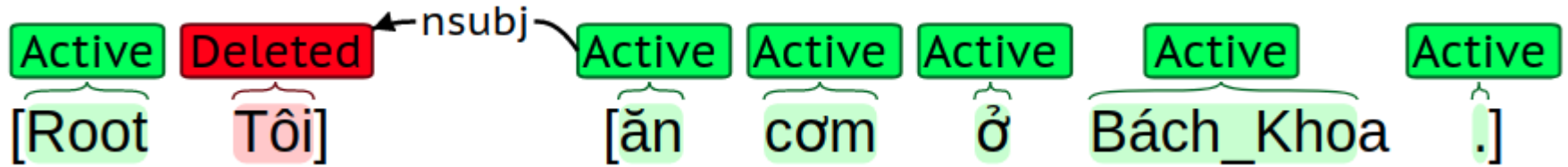
# Example



SHIFT: move 'Tôi' from Buffer to Stack

$A = \{\}$

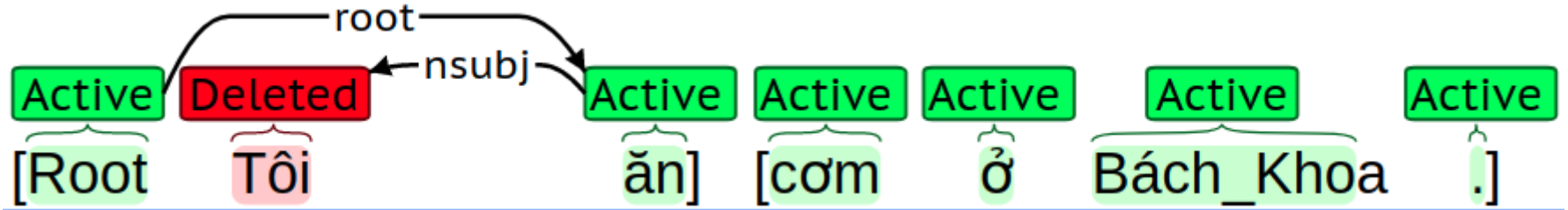
# Example



$\text{LEFT}_{\text{nsubj}}$ : Delete 'Tôi' from Stack, add (ăn, nsubj, Tôi) into A

**A**= {(ăn, nsubj, Tôi)}

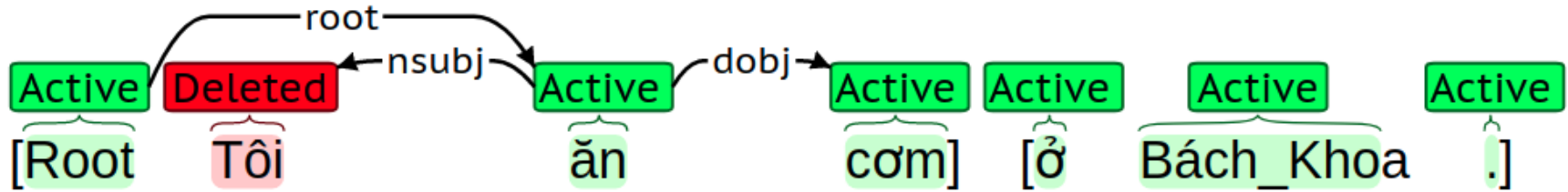
# Example



$\text{RIGHT}_{\text{root}}$ : Add 'ăn' from bufer to stack, add (Root, root, ăn) to A

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn})\}$

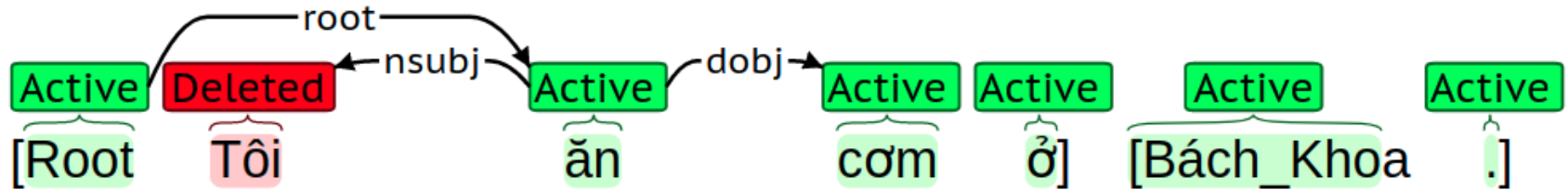
# Example



$\text{RIGHT}_{\text{dobj}}$ : Add 'cơm' from buffer to stack, add (ăn, dobj, cơm) to A

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm})\}$

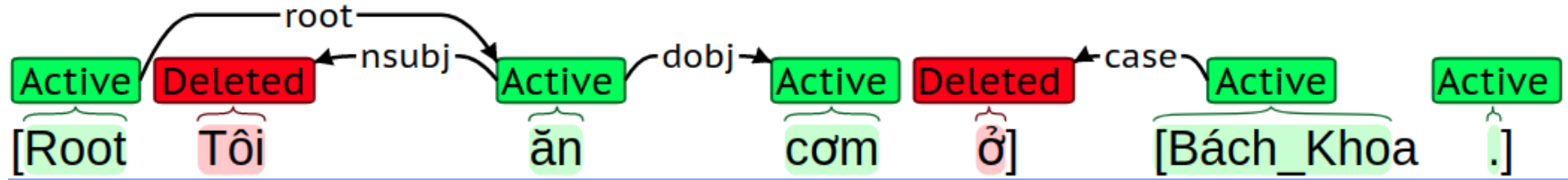
# Example



SHIFT: move 'ở' from buffer to stack

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm})\}$

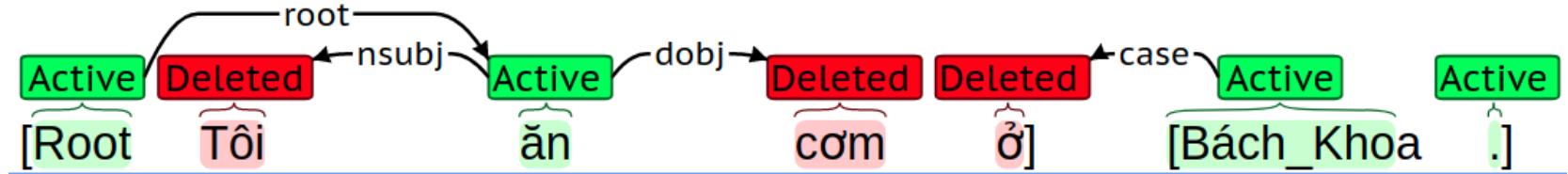
# Example



LEFT<sub>case</sub>: Remove 'ở' from Stack, add (Bách\_Khoa, case, ở) to A

$A = \{(\text{ăn}, \text{nsbj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở})\}$

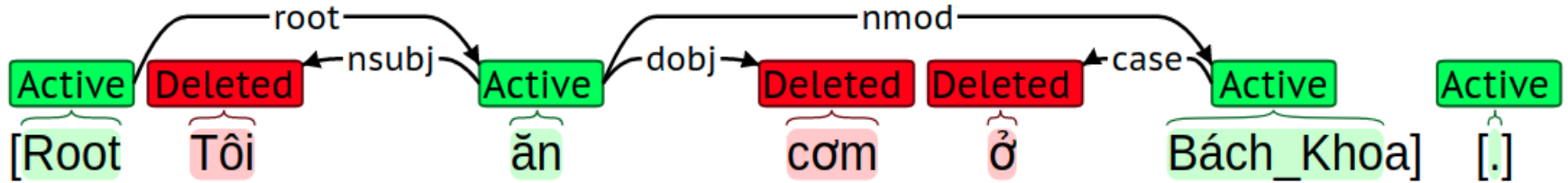
# Example



REDUCE: REmove 'cơm' from Stack

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở})\}$

# Example

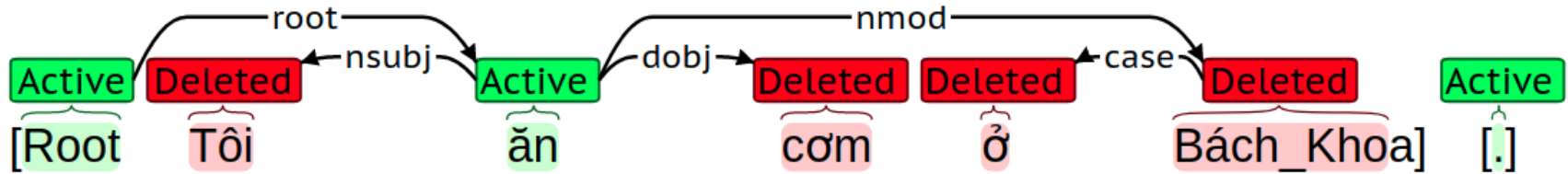


RIGHT<sub>nmod</sub>: Add 'Bách\_Khoa' from buffer to stack, add (ăn, nmod, Bách\_Khoa) to A

$A = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở}), (\text{ăn}, \text{nmod}, \text{Bách\_Khoa})\}$



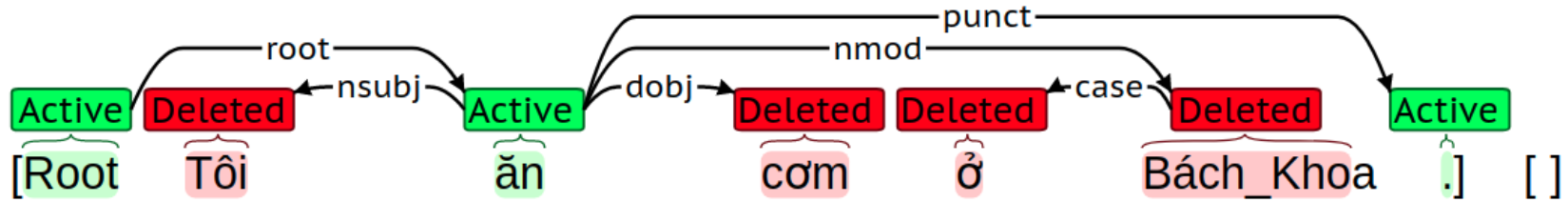
# Example



REDUCE: Remove 'Bách\_Khoa' from Stack

$A = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở}), (\text{ăn}, \text{nmod}, \text{Bách\_Khoa})\}$

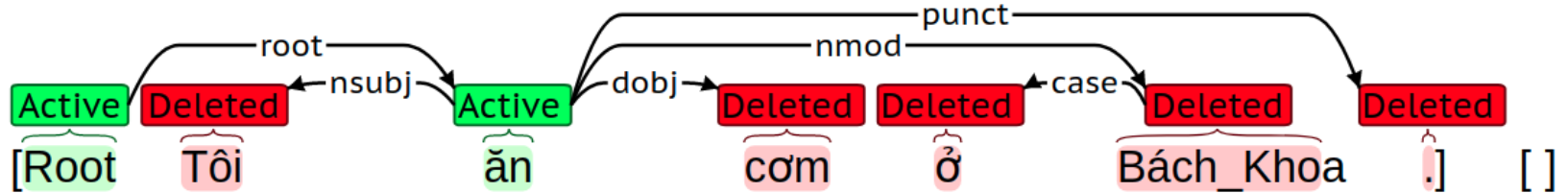
# Example



$\text{RIGHT}_{\text{punct}}$ : Add '.' from buffer to stack, add (ăn, punct, .) to A

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở}), (\text{ăn}, \text{nmod}, \text{Bách\_Khoa}), (\text{ăn}, \text{punct}, .) \}$

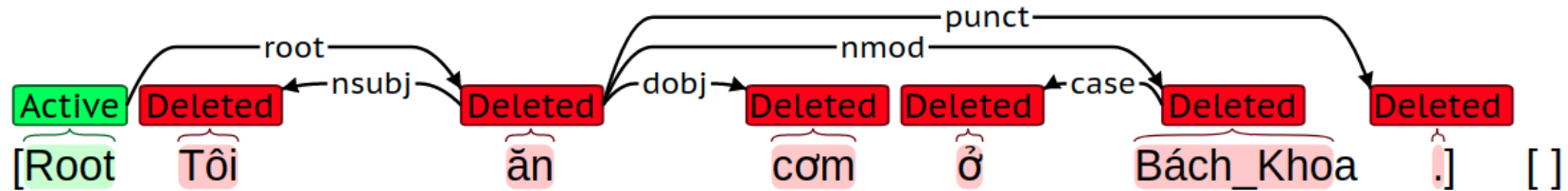
# Example



REDUCE: Remove '.' from Stack

$\mathbf{A} = \{(\text{ăn}, \text{nsubj}, \text{Tôi}), (\text{Root}, \text{root}, \text{ăn}), (\text{ăn}, \text{dobj}, \text{cơm}), (\text{Bách\_Khoa}, \text{case}, \text{ở}), (\text{ăn}, \text{nmod}, \text{Bách\_Khoa}), (\text{ăn}, \text{punct}, .) \}$

# Example

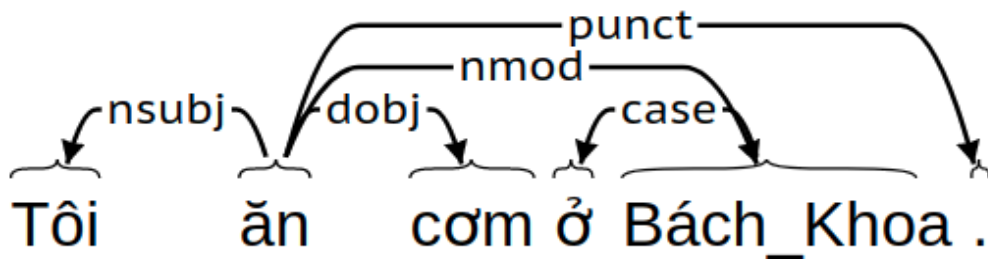


REDUCE: Remove 'ăn' from Stack

Now is the final configuration, Stack = {Root}, Buffer = {}. Return A

# Example

Final tree



# Approaches

- Transition-based
  - Nivre algorithm
- **Graph-based**
- Current approaches
  - End to end learning
  - Joint learning

# Graph-based Dependency Parsing

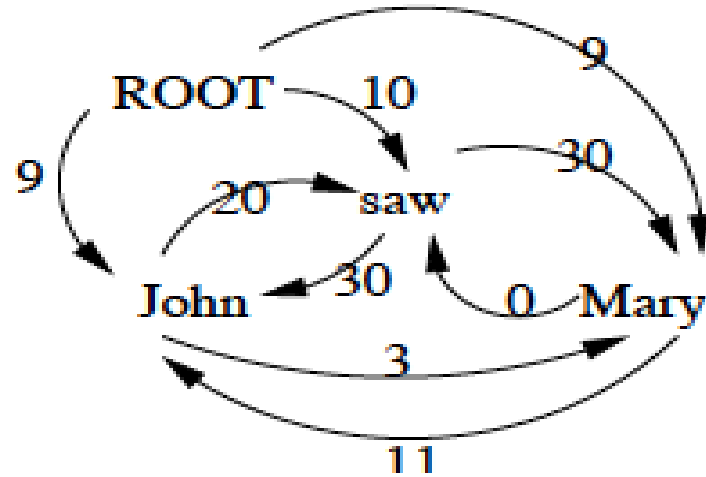
- Goal: Find the highest scoring dependency tree  $T$  for sentence  $S$ 
  - If  $S$  is unambiguous,  $T$  is the correct parse.
  - If  $S$  is ambiguous,  $T$  is the highest scoring parse.
- Where do scores come from?
  - Weights on dependency edges by machine learning
  - Learned from large dependency treebank
- Where are the grammar rules?
  - Data-driven processing

# Graph-based Dependency Parsing

- Map dependency parsing to maximum spanning tree
- Idea:
  - Build initial graph: fully connected
    - Nodes: words in sentence to parse
    - Edges: Directed edges between all words
    - + Edges from ROOT to all words
  - Identify maximum spanning tree
    - Tree s.t. all nodes are connected
    - Select such tree with highest weight
    - Arc-factored model: Weights depend on end nodes & link
      - Weight of tree is sum of participating arcs



# Initial Tree

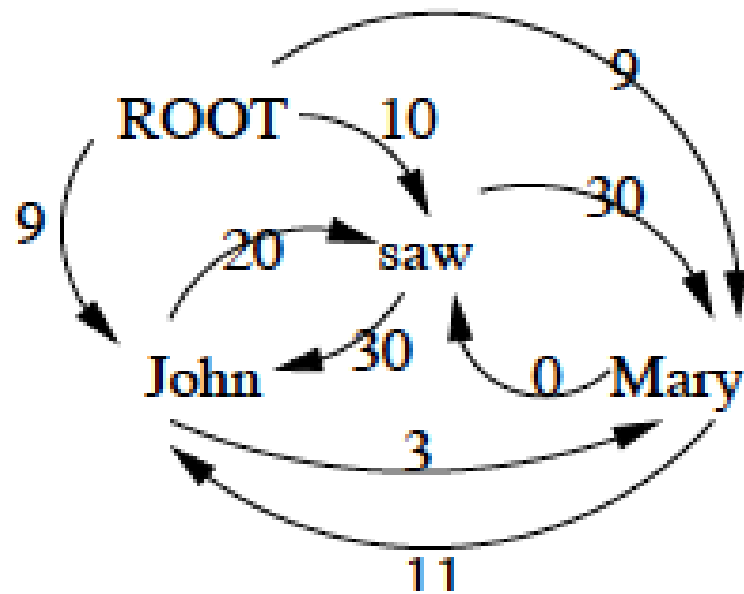


- Sentence: John saw Mary (McDonald et al, 2005)
  - All words connected; ROOT only has outgoing arcs
- Goal: Remove arcs to create a tree covering all words
  - Resulting tree is dependency parse

# Maximum Spanning Tree

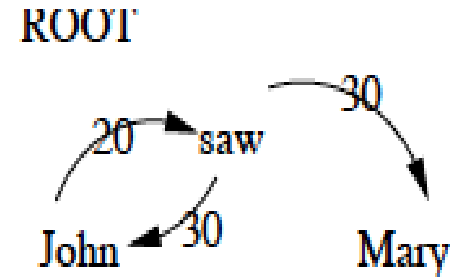
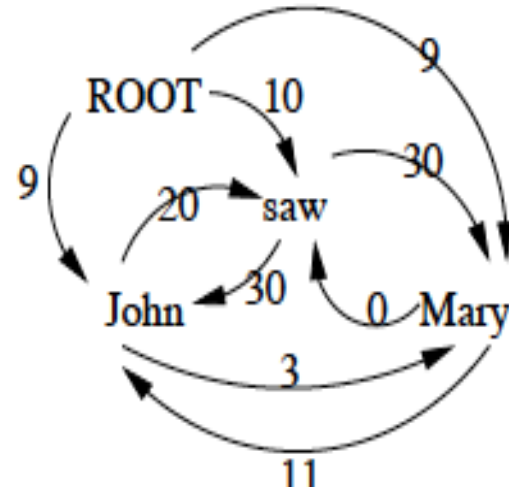
- McDonald et al, 2005 use variant of Chu-Liu-Edmonds algorithm for MST (CLE)
- Sketch of algorithm:
  - For each node, greedily select incoming arc with max  $w$
  - If the resulting set of arcs forms a tree, this is the MST.
  - If not, there must be a cycle.
    - “Contract” the cycle: Treat it as a single vertex
    - Recalculate weights into/out of the new vertex
    - Recursively do MST algorithm on resulting graph
- Running time: naïve:  $O(n^3)$ ; Tarjan:  $O(n^2)$ 
  - Applicable to non-projective graphs

# Initial Tree



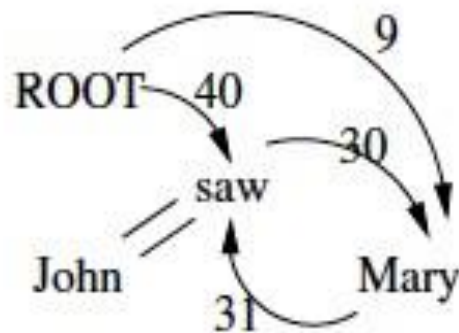
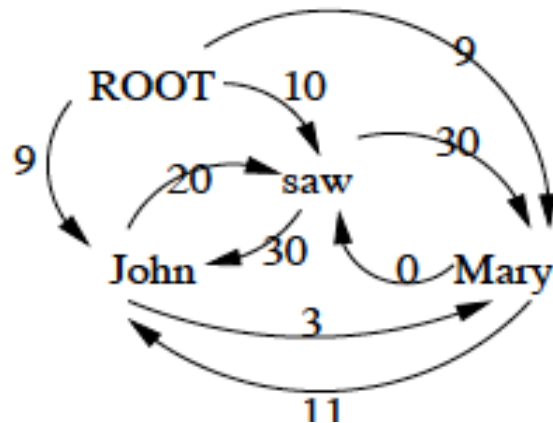
# CLE: Step 1

- Find maximum incoming arcs
- Is the result a tree?
  - No
- Is there a cycle?
  - Yes, John/saw

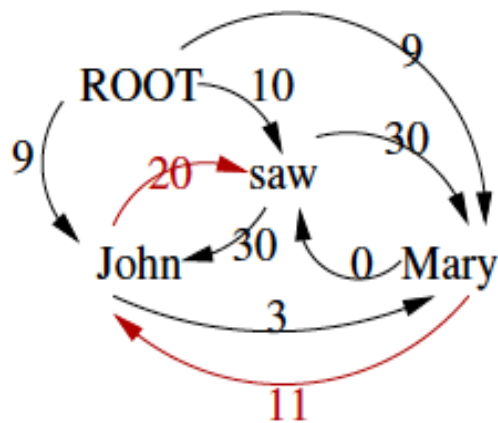


# CLE: Step 2

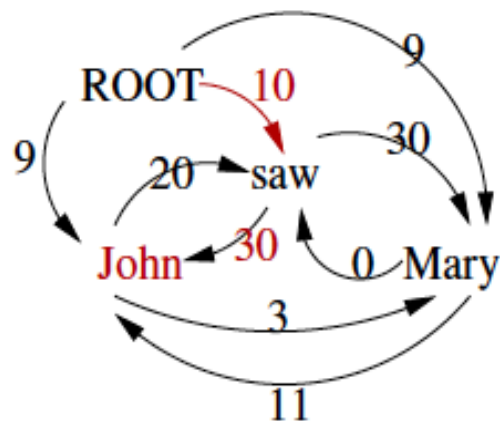
- Since there's a cycle:
  - Contract cycle & reweight
  - John+saw as single vertex
- Calculate weights in & out as:
  - Maximum based on internal arcs
  - and original nodes
- Recurse



# Calculating Graph



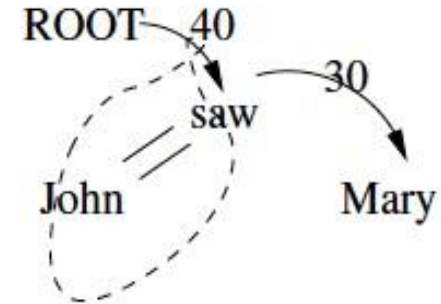
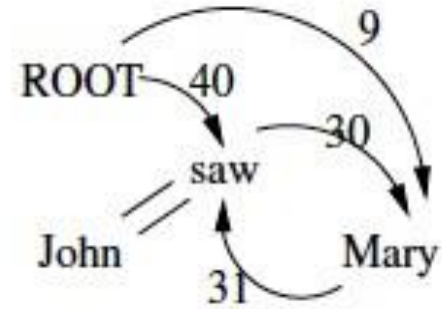
$$s(\text{Mary}, C) \ 11+20 = 31$$



$$s(\text{ROOT}, C) \ 10+30 = 40$$

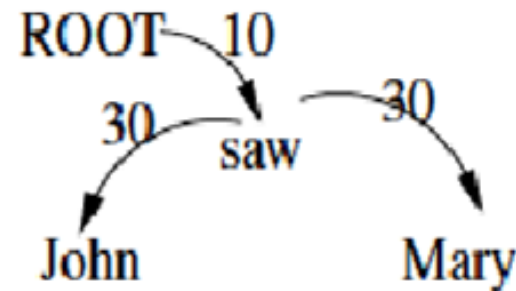
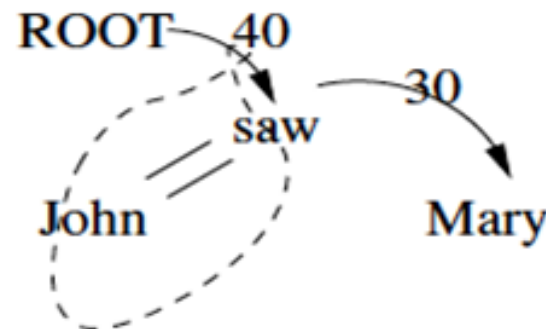
# CLE: Recursive Step

- In new graph, find graph of
  - Max weight incoming arc for each word
- Is it a tree? Yes!
  - MST, but must recover internal arcs  
→ parse



# CLE: Recovering Graph

- Found maximum spanning tree
  - Need to 'pop' collapsed nodes
- Expand “ROOT  $\rightarrow$  John+saw” = 40
- MST and complete dependency parse





# Learning Weights

- Weights for arc-factored model learned from corpus
  - Weights learned for tuple  $(w_i, w_j, l)$
- McDonald et al, 2005 employed discriminative ML
  - Perceptron algorithm or large margin variant
- Operates on vector of local features

# Features for Learning Weights

- Simple categorical features for  $(w_i, L, w_j)$  including:
  - Identity of  $w_i$  (or char 5-gram prefix), POS of  $w_i$
  - Identity of  $w_j$  (or char 5-gram prefix), POS of  $w_j$
  - Label of  $L$ , direction of  $L$
  - Sequence of POS tags b/t  $w_i, w_j$
  - Number of words b/t  $w_i, w_j$
  - POS tag of  $w_{i-1}$ , POS tag of  $w_{i+1}$
  - POS tag of  $w_{j-1}$ , POS tag of  $w_{j+1}$
- Features conjoined with direction of attachment and distance b/t words

# Dependency Parsing

- Dependency grammars:
  - Compactly represent pred-arg structure
  - Lexicalized, localized
  - Natural handling of flexible word order
- Dependency parsing:
  - Conversion to phrase structure trees
  - Graph-based parsing (MST), efficient non-proj  $O(n^2)$
  - Transition-based parser
    - MALTparser: very efficient  $O(n)$ 
      - Optimizes local decisions based on many rich features

# Approaches

- Transition-based
  - Nivre algorithm
- Graph-based
- **Current approaches**
  - End to end learning
  - Joint learning

# End-to-end Learning

- Training data: CoNLL Format.
- Labelled information:
  - id
  - word
  - POS tag
  - Head's id
  - Dependency labels

1	Nhưng		CC	CC		8	cc		
2	có	về	RB	RB		8	advmod		
3	như		IN	IN	8	mark			
4	rất		RB	RB	5	advmod			
5	nhieu		JJ	JJ	6	amod			
6	người		NN	NN	8	nsubj			
7	chưa		RB	RB	8	neg			
8	biết		VB	VB	0	ROOT			
9	về		IN	IN	10	case			
10	nấm		NN	NN	8	nmod			
11	Agaricus			NNP	NNP	10	nmod		
12	cùng		IN	IN	13	case			
13	công_dụng			NN	NN	10	nmod		
14	vượt_trời			JJ	JJ	13	amod		
15	từ		IN	IN	16	case			
16	nó		PRP	PRP	13	nmod			
17	.		PUNCT	PUNCT	8	punct			

1	Nhằm		TO	TO	2	mark			
2	hướng_ứng		VB	VB	0	ROOT			
3	chương_trình			NN	NN	2	doj		
4	"		PUNCT	PUNCT	5	punct			
5	Hành_trình			NN	NN	3	nmod		
6	đó		JJ	JJ	5	amod			
7	"		PUNCT	PUNCT	5	punct			

# Question

Show the wrong relation (in format (head, dependent, relation)) wrt to the example on the right

a/ (biết, nhưng, cc)

b/ (nhiều, rất, advmod)

c/ (root, biết, ROOT)

d/ (Agaricus, nấm, nmod)

1	Nhưng	—	CC	CC	—	8	cc	—
2	có_về	—	RB	RB	—	8	advmod	—
3	như	IN	IN	—	8	mark	—	—
4	rất	RB	RB	—	5	advmod	—	—
5	nhiều	—	JJ	JJ	—	6	amod	—
6	người	—	NN	NN	—	8	nsubj	—
7	chưa	—	RB	RB	—	8	neg	—
8	biết	—	VB	VB	—	0	ROOT	—
9	về	IN	IN	—	10	case	—	—
10	nấm	NN	NN	—	8	nmod	—	—
11	Agaricus	—	NNP	NNP	—	10	nmod	—
12	cùng	IN	IN	—	13	case	—	—
13	công_dụng	—	NN	NN	—	10	nmod	—
14	vượt_trội	—	JJ	JJ	—	13	amod	—
15	từ	IN	IN	—	16	case	—	—
16	nó	PRP	PRP	—	13	nmod	—	—
17	.	PUNCT	PUNCT	—	8	punct	—	—

# End-to-end Learning

Manually choosing features:

- Need experts
- #feature template is large due to the feature combination

=> Maybe the highest cost for solving this task.

Basic Big-ram Features
p-word, p-pos, c-word, c-pos
p-pos, c-word, c-pos
p-word, c-word, c-pos
p-word, p-pos, c-pos
p-word, p-pos, c-word
p-word, c-word
p-pos, c-pos

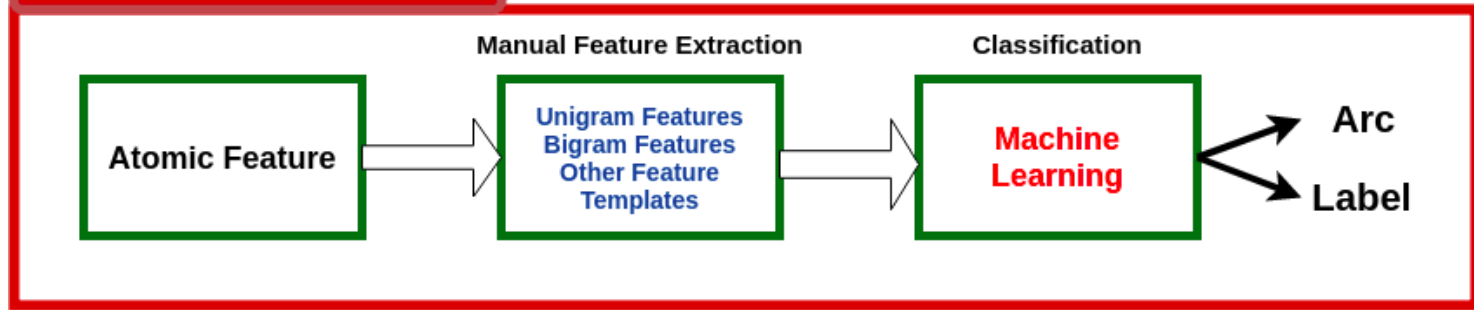
# End-to-end Learning

- End to end learning for solving this task:
- Idea: training in parallel 2 modules: feature extractor and classifier
- Don't need to choose features manually

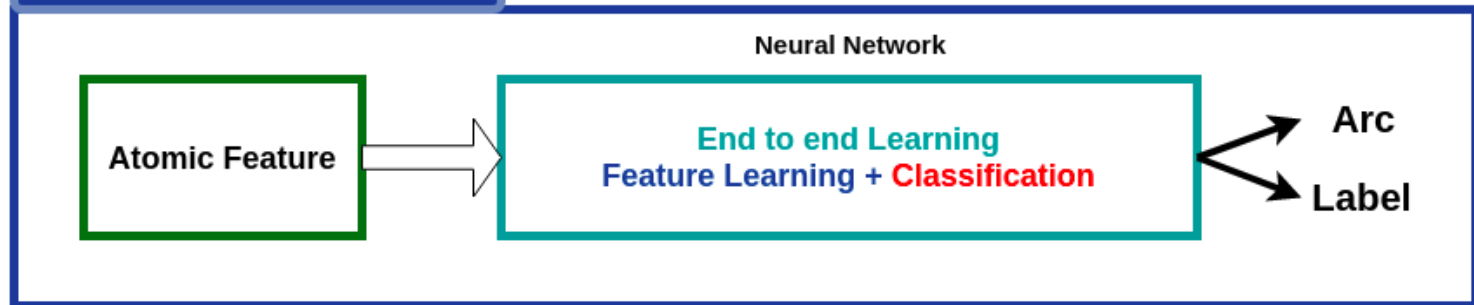


# End-to-end Learning

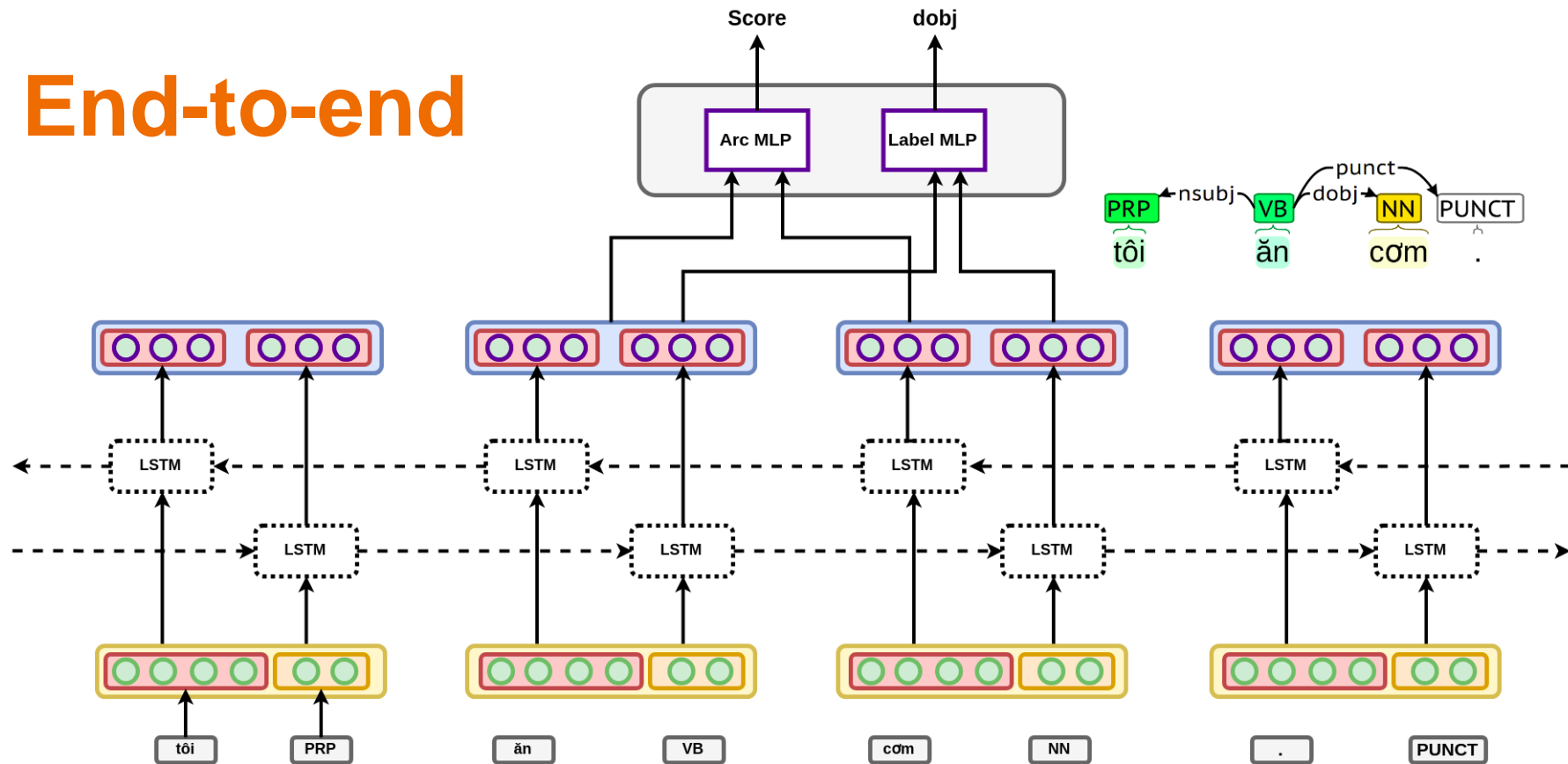
## Traditional Machine Learning



## End To End Learning



# End-to-end

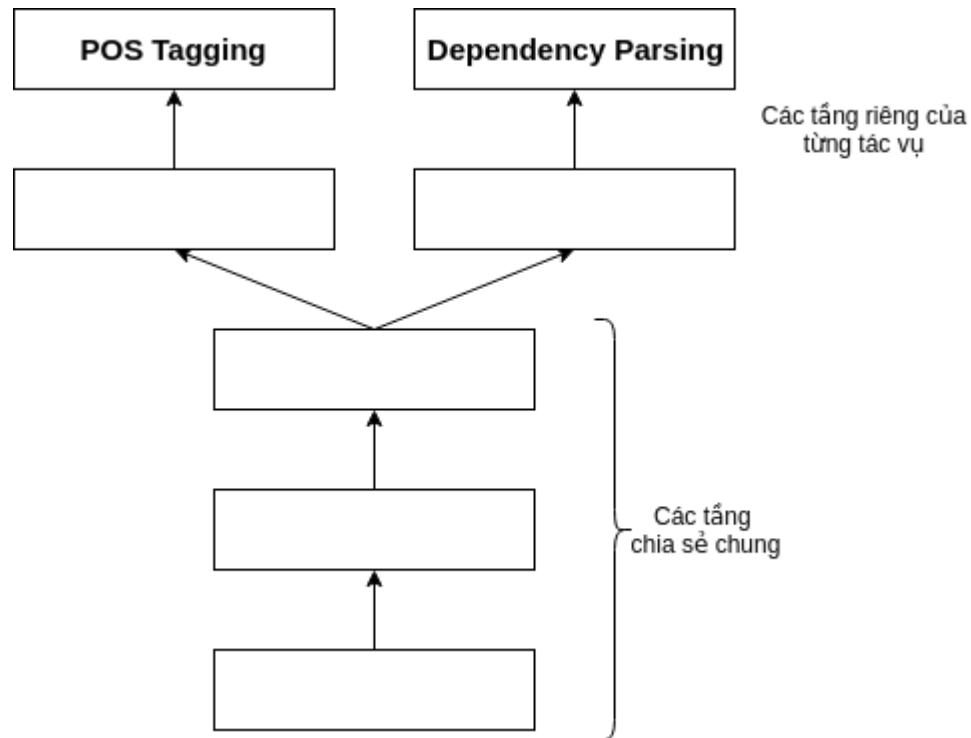


# Joint Learning

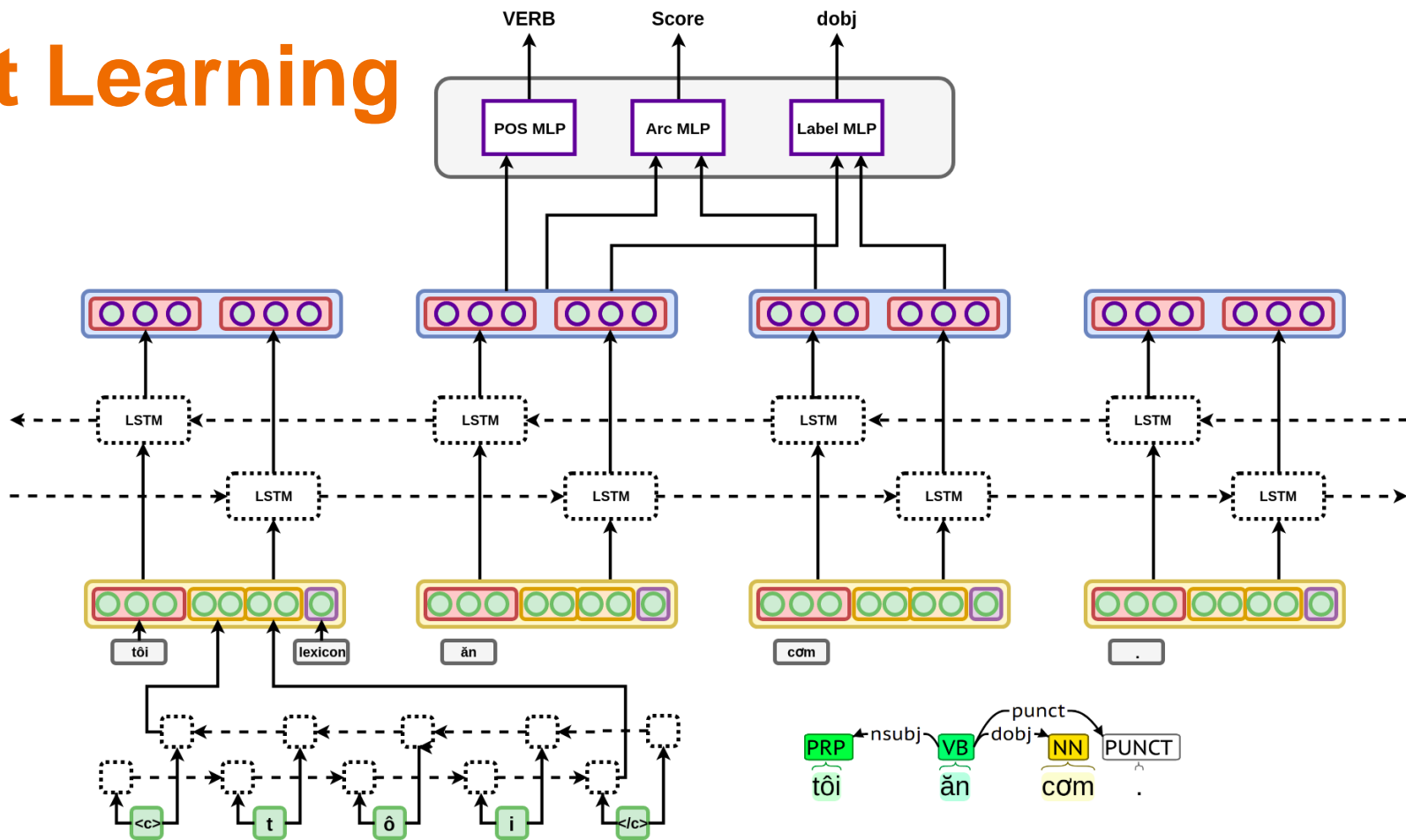
- Learning in parallel multi-tasks :
  - The learning tasks need to be related
  - Joint learning has many advantages: the shared parts contain information of several tasks, reducing model's overfitting
- 2 joint learning tasks in dependency parsing: POS Tagging + Dependency Parsing.

# Joint Learning

- In the figure:
  - 2 tasks: POS tagging and Dependency Parsing share input neural layers.
  - The output of the share input layers is used as the input for each task.
- Recent research use BiLSTMs as input neural layers



# Joint Learning



# Joint Learning

- An RNN is used to generate word embedding
- BiLSTM generates input representation for MLP networks of POS Tagging and Dependency Parsing tasks (from vector containing information of characters, words, POS tags)

# Joint Learning

2 joint learning tasks:

- POS tagging
- Computing edge weights (dependent relations connecting word pairs)
- Determining dependent labels between each word pairs.

# Content

## 1. Overview

- Introduction
- Applications
- Properties

## 2. Approaches

- Transition-based
- Graph-based
- Current approaches

## 3. **Some results**



# Some results

- POS Tagging
- Dependency Parsing
- Dataset
- Experimental Results

# POS Tagging

- CRFSuite
- jPTDP: tool for joint learning, using Neural Network, joint learns POS Tagging and Dependency Parsing.

# Dependency Parsing.

- Malt Parser (Transition based):
  - Dependency parser: **Nivre**
  - Learning method: **SVM**
- Yara Parser (Transition based):
  - Dependency parser: **Nivre**
  - Learning method: **Neural Network**
  - Improvement: **Error Exploration, Beam Search**
- BiLSTM Transition-based:
  - Dependency parser: **Nivre**
  - Learning method: **Neural Network**
  - End to end learning

# Dependency Parsing.

- BiLSTM Graph-based:
  - Dependency parser: **Eisner**
  - Learning method: **Neural Network**
  - End to end learning
- jPTDP (Graph-based):
  - Dependency parser: **Eisner**
  - Learning method: **Neural Network**
  - End to end learning
  - Joint Learning POS Tagging + Dependency Parsing

# Dataset

- Dataset: BK Treebank.
  - 6908 sentences in CoNLL-U Format
  - 4505 sentences for training, 1134 sentences for development, 1269 sentences for testing
- Evaluating measures:
  - POS Tagging: Accuracy.
  - Dependency Parsing: UAS and LAS
    - UAS: Unlabeled Attachment Score
    - LAS: Labeled Attachment Score

# Results

Methods	UAS	LAS
Malt Parser	84.4 %	81.4 %
Yara Parser	86.3 %	83.4 %
BiLSTM Transition	86.4 %	82.9 %
BiLSTM Graph	<b>87 %</b>	<b>84.2%</b>

The input text has been assigned with POS tags.

# Results

Method	POS Accuracy	UAS	LAS
CRF + Malt Parser	90.66 %	76.7 %	70.2 %
CRF + Yara Parser	90.66 %	79.1 %	72.6 %
CRF + BiLSTM Transition	90.66 %	78.9 %	72.2 %
CRF + BiLSTM Graph	90.66 %	79.7 %	<b>73 %</b>
jPTDP	89.16 %	<b>80.4 %</b>	<b>73 %</b>

The input text has not been assigned with POS tags.

# Result

Method	POS Accuracy	UAS	LAS
jPTDP	89.16 %	80.4 %	73 %
jPTDP + Lexicon	<b>91.50 %</b>	<b>82.13 %</b>	<b>75.67 %</b>
jPTDP + Lexicon (Not Character Embed)	91.05%	81.46 %	75.23 %

The input text has not been assigned with POS tags.



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