

# Sum Over Unitaries

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## 1 Amplitude Amplification

Given a known state  $|\psi\rangle$  in a Hilbert space  $\mathcal{H}$ , and two mutually orthogonal subspaces that span  $\mathcal{H}$ , the 'good' subspace  $\mathcal{H}_G$  and the 'bad' subspace  $\mathcal{H}_B$ , our goal is to evolve the initial state  $|\psi\rangle$  into  $\mathcal{H}_G$ . We define a Hermitian  $P_G$  to be the projection operator  $\mathcal{H} \rightarrow \mathcal{H}_G$ . Then we have

$$|\psi\rangle = P_G |\psi\rangle + (1 - P_G) |\psi\rangle = \sin \theta |\psi_G\rangle + \cos \theta |\psi_B\rangle, \quad (1)$$

where  $\theta = \arcsin(|P_G |\psi||)$ , and  $|\psi_G\rangle$  and  $|\psi_B\rangle$  are the normalized projections of  $|\psi\rangle$  into  $\mathcal{H}_G$  and  $\mathcal{H}_B$ . Here notice that the probability of finding the system in 'good' state is  $\sin^2 \theta$ .

Further define

$$|\psi^\perp\rangle = \cos(\theta) |\psi_G\rangle - \sin(\theta) |\psi_B\rangle, \quad (2)$$

such that  $\langle \psi^\perp | \psi \rangle = 0$ .

Multiply equation 1 by  $\sin \theta$  and equation 2 by  $\cos \theta$ , we get

$$\sin(\theta) |\psi\rangle = \sin^2(\theta) |\psi_G\rangle + \sin(\theta) \cos(\theta) |\psi_B\rangle, \quad (3)$$

$$\cos(\theta) |\psi^\perp\rangle = \cos^2(\theta) |\psi_G\rangle + \sin(\theta) \cos(\theta) |\psi_B\rangle. \quad (4)$$

Adding equation 3 and equation 4, we get

$$|\psi_G\rangle = \sin(\theta) |\psi\rangle + \cos(\theta) |\psi^\perp\rangle. \quad (5)$$

Obviously, the 'bad' state can be written as

$$|\psi_B\rangle = \cos(\theta) |\psi\rangle - \sin(\theta) |\psi^\perp\rangle. \quad (6)$$

We define a new projection operator  $P = |\psi\rangle \langle \psi|$ , therefore

$$P |\psi_G\rangle = \sin(\theta) P |\psi\rangle + \cos(\theta) P |\psi^\perp\rangle = \sin(\theta) |\psi\rangle. \quad (7)$$

$$P |\psi_B\rangle = \cos(\theta) P |\psi\rangle - \sin(\theta) P |\psi^\perp\rangle = \cos(\theta) |\psi\rangle. \quad (8)$$

Define a new unitary operator  $Q = -S_\psi S_G$ , where

$$S_\psi = 1 - 2P,$$

$$S_G = 1 - 2P_G.$$

$S_\psi$  flips the phase of the initial state, and  $S_G$  flips the phase in the 'good' subspace. Now we have

$$Q |\psi_G\rangle = -S_\psi S_G |\psi_G\rangle = (1 - 2P) |\psi_G\rangle = |\psi_G\rangle - 2 \sin(\theta) |\psi\rangle = \cos(2\theta) |\psi_G\rangle - \sin(2\theta) |\psi_B\rangle \quad (9)$$

$$Q|\psi_B\rangle = -S_\psi S_G|\psi_B\rangle = -(1-2P)|\psi_B\rangle = -|\psi_B\rangle + 2\cos(\theta)|\psi_G\rangle = \sin(2\theta)|\psi_G\rangle + \cos(2\theta)|\psi_B\rangle. \quad (10)$$

Thus in the  $|\psi_G\rangle, |\psi_B\rangle$  basis we have

$$Q = \begin{pmatrix} \cos(2\theta) & \sin(2\theta) \\ -\sin(2\theta) & \cos(2\theta) \end{pmatrix}. \quad (11)$$

It is obvious that applying the  $Q$  operator  $n$  times on the state  $|\psi\rangle$  gives

$$Q^n|\psi\rangle = \sin((2n+1)\theta)|\psi_G\rangle + \cos((2n+1)\theta)|\psi_B\rangle. \quad (12)$$

By applying  $Q$  to our state for  $n$  times (strategically chosen), we might be able to amplify the amplitude of  $|\psi_G\rangle$  thus increase the probability of measuring the state in the 'good' subspace.

## 2 Grover's Algorithm

We are given a task to find out  $M$  solutions to a problem amongst an unsorted database containing  $N$  elements ( $N \leq M$ ). Suppose there exists an oracle  $O$  that is able to tell whenever we find a solution. Precisely, the oracle is unitary operator that will flip a qubit whenever it sees a solution ( $f(x) = 1$ ), and do nothing if it is not a solution ( $f(x) = 0$ ).

$$O|x\rangle = (-1)^{f(x)}|x\rangle. \quad (13)$$

We define another unitary operator  $S_\psi = 2P - 1$ , where the projection operator  $P := |\psi\rangle\langle\psi|$ , and the Grover's algorithm is given as following:

1. Initialize the system to the state (by applying  $H^{\otimes n}$ )

$$|\psi\rangle = \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle$$

2. Perform the following subroutine,  $G$ ,  $r(N)$  times, which is asymptotically  $O(\sqrt{N})$

- (a) Apply operator  $O$
- (b) Apply operator  $S_\psi$

3. Perform measurement.

Shown in figure 1, the subroutine  $G$  can be seen as a series rotations in the 2-D space spanned by  $|\alpha\rangle$  and  $|\beta\rangle$ . Initially the state  $|\psi\rangle$  is inclined at angle  $\theta/2$  from  $|\alpha\rangle$ . The oracle  $O$  reflects the state about the state  $|\alpha\rangle$ , then the operator  $S_\psi$  reflects it about  $|\psi\rangle$ . By performing such a subroutine  $r(N)$  times, we can make the probability of finding the state  $|\psi\rangle$  in  $|\beta\rangle$  approaching 1.

Note here we say the oracle  $O$  reflects the state about  $|\alpha\rangle$ . If we rename our 'good' subspace as  $|\beta\rangle$  and 'bad' subspace as  $|\alpha\rangle$ , the oracle  $O$  becomes  $1 - 2P_G$ , i.e. reflection about the 'bad' subspace, and the subroutine  $G$  then becomes  $G = (2P - 1)(1 - 2P_G) = -(1 - 2P)(1 - 2P_G)$ , same as our  $Q$  operator defined in the preceding section.

## 3 Oblivious Amplitude Amplification

The conventional amplitude amplification relies on using the projection operator  $P_\psi$  which is not possible if we do not know  $|\psi\rangle$ . Oblivious amplitude amplification can be performed to achieve similar

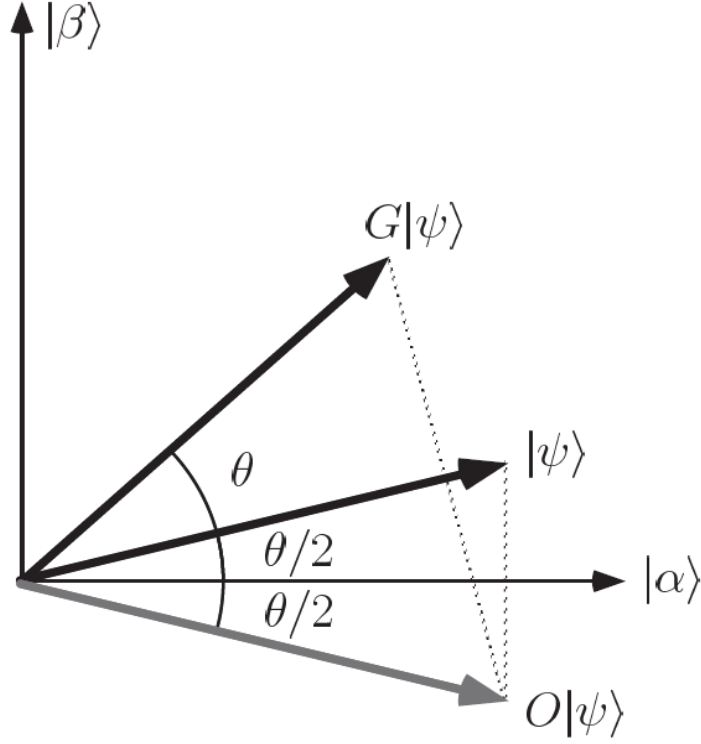


Figure 1: Geometrically interpretation of what the first iteration of the subroutine does

goal even when the initial state  $|\psi\rangle$  is unknown.

Assume there exists a unitary operator  $W$ , such that

$$W|0\rangle|\psi\rangle = \frac{1}{s}|0\rangle U|\psi\rangle + \sqrt{1 - \frac{1}{s^2}}|\Phi^\perp\rangle, \quad (14)$$

where  $U = \sum_j \beta_j V_j$ ,  $s = \sum_j \beta_j$ ,  $|0\rangle$  represents the ancilla with all states in the 0 state, and  $|\Phi^\perp\rangle$  is a state whose ancillary state is orthogonal to  $|0\rangle$ . Here  $U$  is derived from the time evolution operator  $\exp(-iHt)$  (not unitary) by rewriting it in Taylor series  $\sum_{k=0}^K \frac{1}{k!}(-iHt/r)^k$  (not unitary). We use the form

$$H = \sum_{l=1}^L \alpha_l H_l,$$

where each  $H_l$  is unitary, to rewrite the Taylor series as

$$U = \sum_j \beta_j V_j, \quad (15)$$

where we have reached our goal that each  $V_j$  is unitary, and  $\beta_j > 0$ . Define

$$|\Psi\rangle = |0\rangle|\psi\rangle, |\Phi\rangle = |0\rangle U|\psi\rangle, \sin(\theta) = \frac{1}{s}. \quad (16)$$

Then equation 14 becomes

$$W|\Psi\rangle = \sin(\theta)|\Phi\rangle + \cos(\theta)|\Phi^\perp\rangle. \quad (17)$$

It is obvious that

$$W|\Psi^\perp\rangle = \cos(\theta)|\Phi\rangle - \sin(\theta)|\Phi^\perp\rangle. \quad (18)$$

Let  $P = |0\rangle\langle 0| \otimes 1$ , so  $P|\Phi^\perp\rangle = 0$  and  $P|\Psi^\perp\rangle = 0$ . The former is true by definition, and the latter is proved by Childs' group shown in their paper as **Lemma 3.7 (2D Subspace Lemma)**. Using the same trick as we did in the first section, we obtain

$$W^\dagger|\Phi\rangle = \sin(\theta)|\Psi\rangle + \cos(\theta)|\Psi^\perp\rangle, \quad (19)$$

$$W^\dagger|\Phi^\perp\rangle = \cos(\theta)|\Psi\rangle - \sin(\theta)|\Psi^\perp\rangle. \quad (20)$$

Further define  $R = 2P - 1$  and  $S = -WRW^\dagger R$  as we did similarly in the first section, we obtain

$$S|\Phi\rangle = -WRW^\dagger|\Phi\rangle = -W(\sin(\theta)|\Psi\rangle - \cos(\theta)|\Psi^\perp\rangle) = \cos(2\theta)|\Phi\rangle - \sin(2\theta)|\Phi^\perp\rangle, \quad (21)$$

$$S|\Phi^\perp\rangle = WRW^\dagger|\Phi^\perp\rangle = W(\cos(\theta)|\Psi\rangle + \sin(\theta)|\Psi^\perp\rangle) = \sin(2\theta)|\Phi\rangle + \cos(2\theta)|\Phi^\perp\rangle. \quad (22)$$

Therefore

$$S = \begin{pmatrix} \cos(2\theta) & \sin(2\theta) \\ -\sin(2\theta) & \cos(2\theta) \end{pmatrix}. \quad (23)$$

It is worth noticing that  $S$  acts as a rotation by  $2\theta$  in the subspace spanned by  $|\Phi\rangle$  and  $|\Phi^\perp\rangle$ . For any integer  $n$ ,

$$S^n W|\Psi\rangle = \sin((2n+1)\theta)|\Phi\rangle + \cos((2n+1)\theta)|\Phi^\perp\rangle. \quad (24)$$

When  $n = 1$ , equation 24 becomes

$$SW|\Psi\rangle = \sin(3\theta)|\Phi\rangle + \cos(3\theta)|\Phi^\perp\rangle. \quad (25)$$

If we then set  $\sin(\theta) = 1/2 \rightarrow \sin(3\theta) = 0$ ,  $SW$  operator can be performed exactly with 100% success rate in a single step ( $n = 1$ ) without having to know the initial state  $|\psi\rangle$ . This corresponds to the condition  $s = 2$ , and the truncated Taylor series  $U = \sum_j \beta_j V_j$  is unitary.

For the general case, where the truncated Taylor series of time evolution operator is not unitary, and  $s \neq 1$ , we have

$$PSW = |0\rangle \left( \frac{3}{s}U - \frac{4}{s^3}UU^\dagger U \right) |\psi\rangle. \quad (26)$$