

Practical Regular Expressions:

a Virtual Machine
Approach

by

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inspired by:

Russ Cox : switch.com/~rsc/regexp/

Jeff Ullman et al. : "Dragon Book" ch. 3

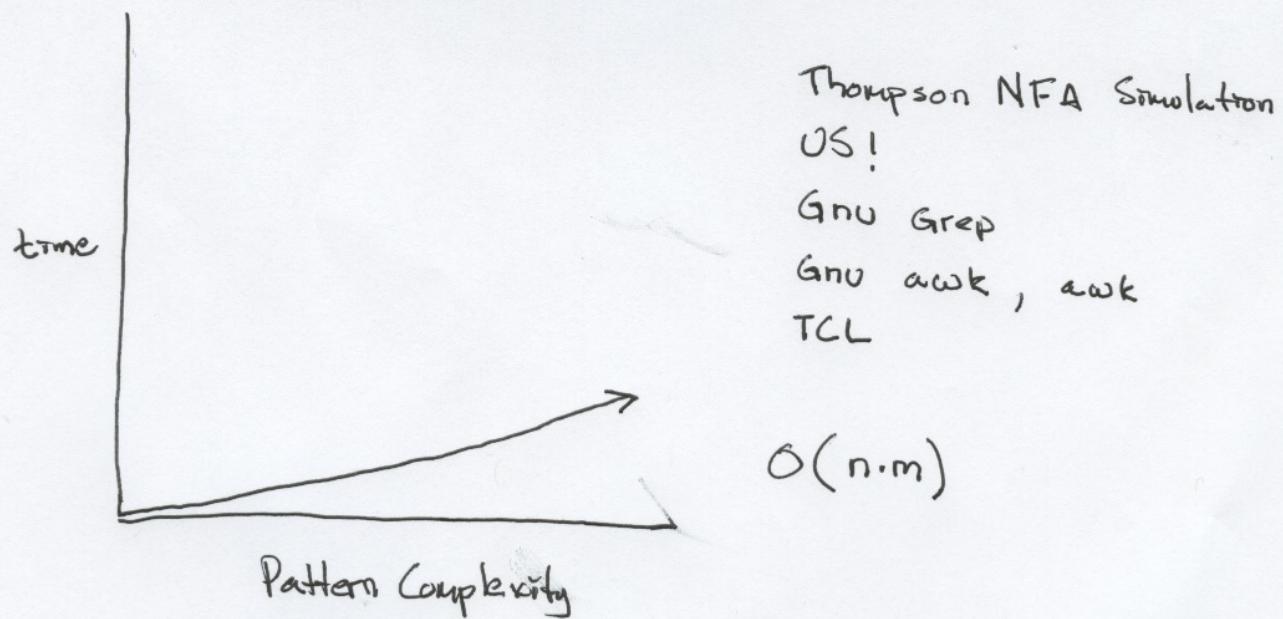
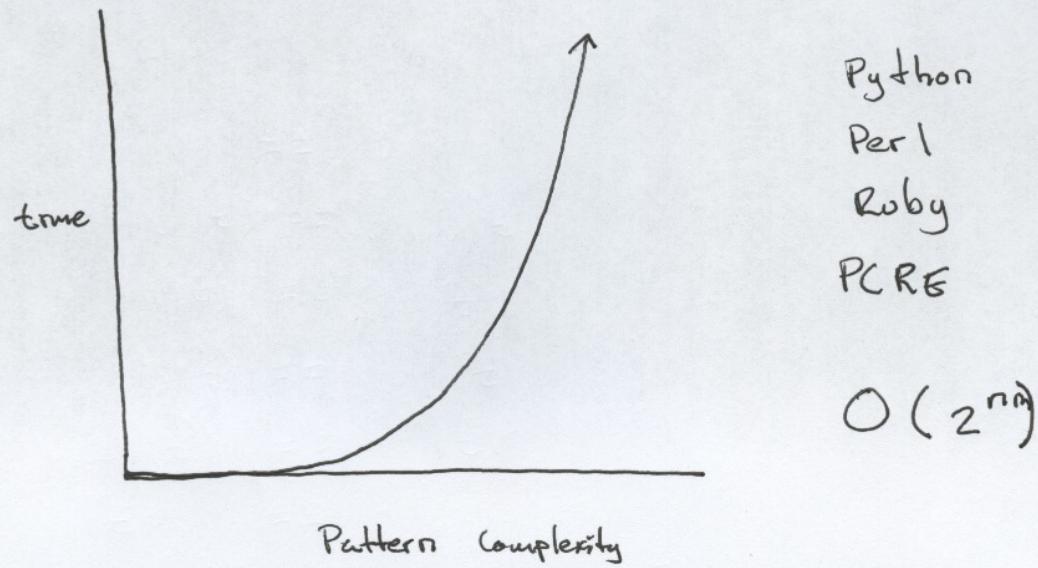
Ken Thompson : original inventor of technique

↳ "Regular Expression Search Algorithm"

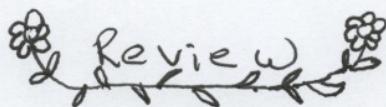
Comm. of ACM June 1968 pp. 419-422

Kenneth Rosen : Discrete Mathematics
+ its Applications.

Motivation



Part 1:



Today we will use the Kernel of Regular Exp pattern Matching Language.

- All other "regular" features can be derived from this Kernel.

alphabet = {a, b} ...

Character \rightarrow any letter from the Alphabet $\Rightarrow \underline{\underline{c}}$

$\underline{\underline{c}}$ \subseteq Matches one character

ex: a $\rightarrow \{a\}$
 b $\rightarrow \{b\}$

Concatenation

$\underline{\underline{c}} \underline{\underline{c}} \dots \underline{\underline{c}}$ Matches a string of characters

ex: aaa $\rightarrow \{aaa\}$
 aba $\rightarrow \{aba\}$

Union

$\underline{\underline{c}}_1 \underline{\underline{c}}_2$ Matches either $\underline{\underline{c}}_1$ or $\underline{\underline{c}}_2$ but not both

ex: a|b $\rightarrow \{a, b\}$
 b|c $\rightarrow \{b, c\}$
 ab|aa $\rightarrow \{aa, ab\}$

Part 1 Cont...

Grouping

(r) matches the exp. r
ex:

$$(a) \rightarrow \{a\}$$

$$(a|b) \rightarrow \{a, b\}$$

$$(a|b)b \rightarrow \{ab, bb\}$$

Kleene Star | Repetition operator

r^* Matches the exp r 0 to ∞ times

$$a^* \rightarrow \{\emptyset, a, aa, aaa, \dots\}$$

$$(a|b)^* \rightarrow \{\emptyset, a, b, ab, ba, \dots, aa, bb, abb\}$$

One or None

$r^?$ Matches the exp 0 or 1 times

$$a?b \rightarrow \{b, ab\}$$

$$(a|b)?b \rightarrow \{b, ab, bb\}$$

Part 1 cont...

Algebraic Rules / Laws

Law

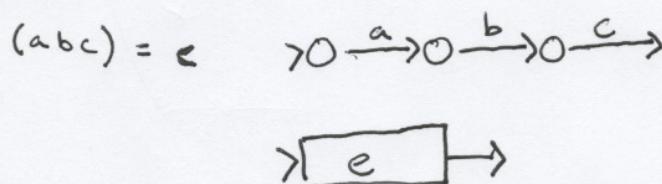
$r s == s r$	comm.
$r (s t) == (r s) t$	assoc.
$r(st) == (rs)t$	assoc.
$r(s t) == rs r t$	dist.
$(s t)r == sr tr$	dist.
$\epsilon r == r$	
$r\epsilon == r$	ϵ is the empty string
$(r \epsilon)^* == r^*$	
$r^{**} == r^*$	

Part 1 Cont... NFA Matching

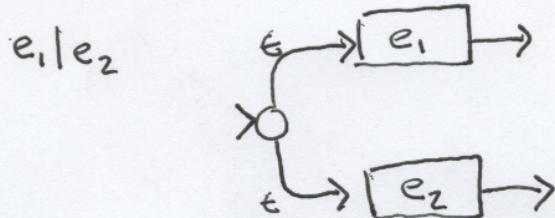
Single Character



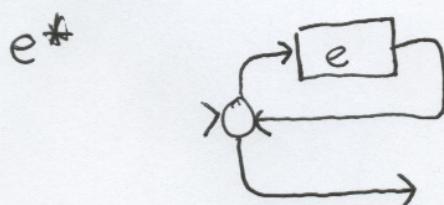
Character Group



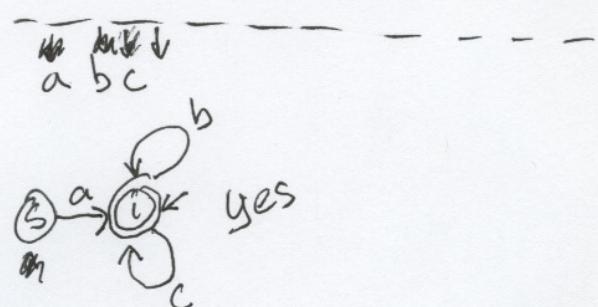
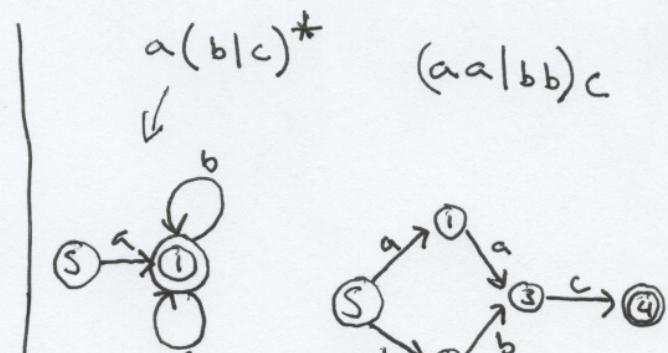
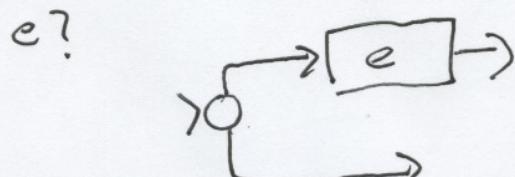
Union



Kleene Star



One or None

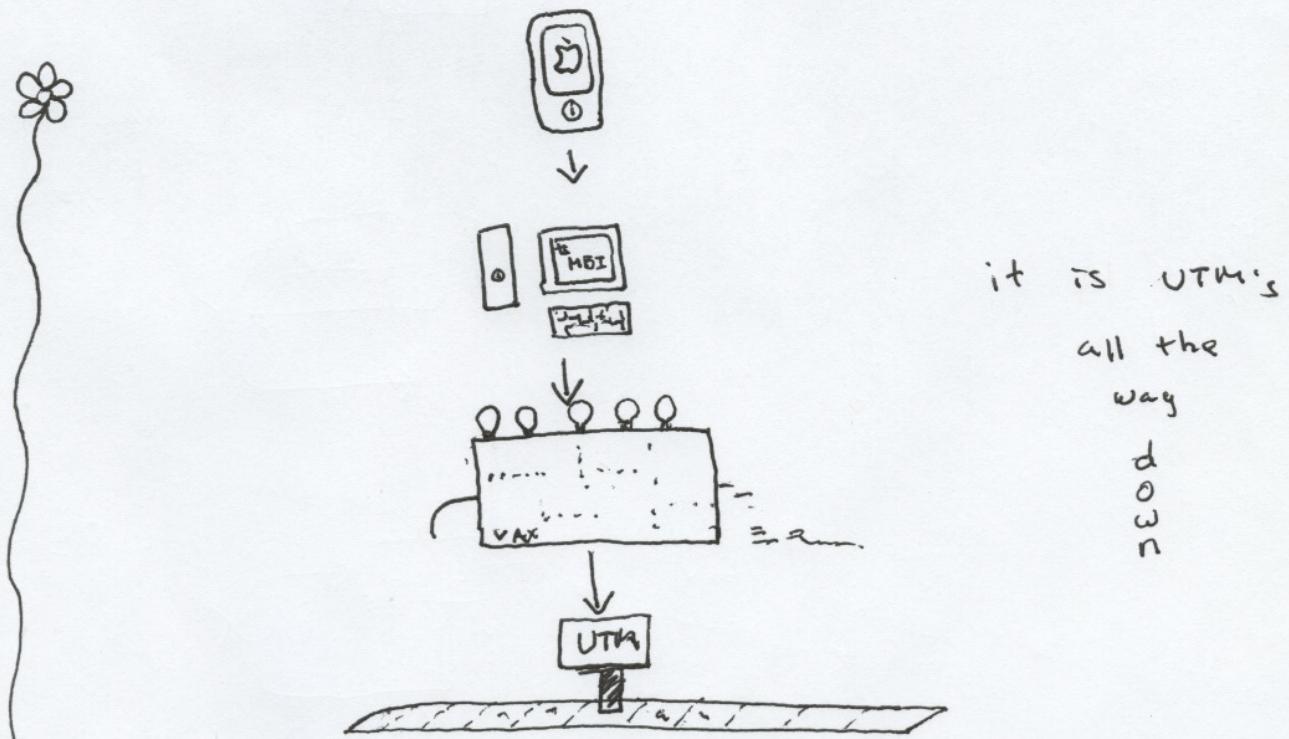


④ Question: How do we simulate these with a computer?

Part 2

VM's

What exactly are VM's?



Steps toward executing Regular exp with
Finite Automata

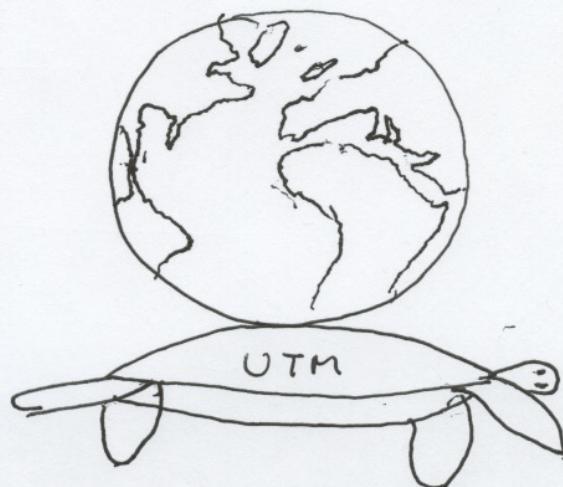
Step 1: Define Machine Language

Step 2: Define execution Semantics.

Step 3: Define Conversion from NFA to
Machine language.

Step 4: Develop Algorithm to execute
Language.

Step 5: Profit.



Part 3

Let's

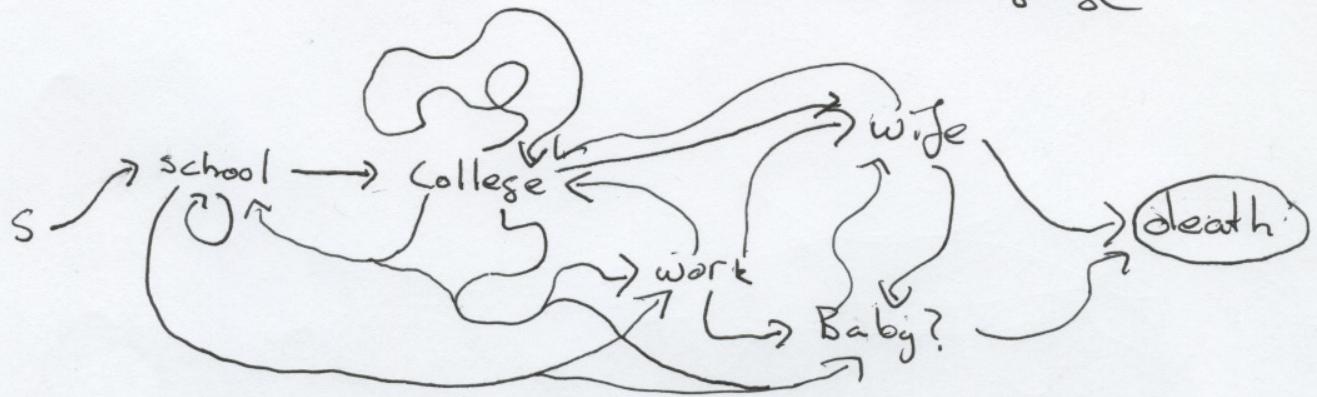
Get

Down

to

Business

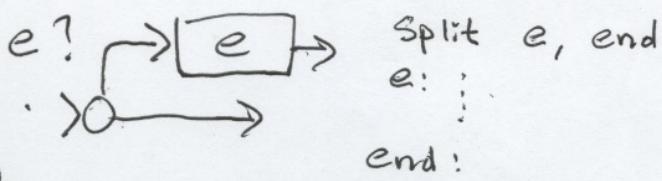
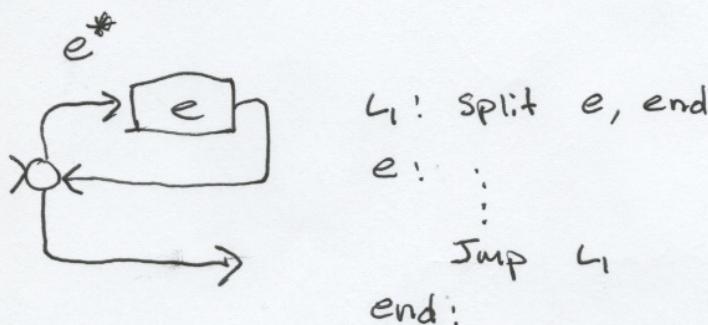
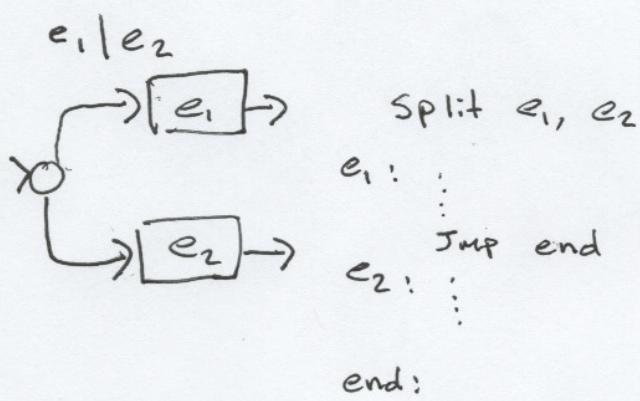
From NFA → Machine Language



Part 3

Language

OP	Args
CHAR	Character
SPLIT	left, Right
JMP	X
Match	

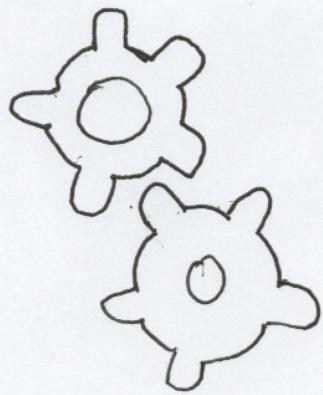


$a(b|c)^*$

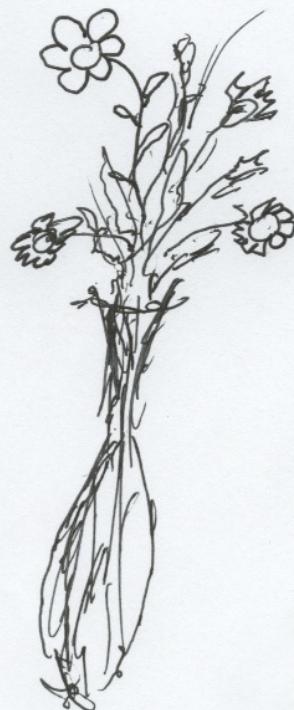
- 0 Char a
- 1 Split z, 7 ↗
- 2 SPLIT 3, 5
- 3 Char b
- 4 JMP b
- 5 Char c
- 6 JMP 1
- 7 Match

Part 4:

The Hard Part (tm)



The
Matching
Algorithm



```
package inst

import "fmt"

const (
    CHAR      = iota  ≈ 0
    SPLIT      ≈ 1
    JMP       ≈ 2
    MATCH     ≈ 3
)
type Inst struct {
    Op  uint8
    X   uint32
    Y   uint32
}

type InstSlice []*Inst

func New(op uint8, x, y uint32) *Inst {
    self := new(Inst)
    self.Op = op
    self.X = x
    self.Y = y
    return self
}

func (self Inst) String() (s string) {
    switch self.Op {
    case CHAR:
        s = fmt.Sprintf("CHAR %c", byte(self.X))
    case SPLIT:
        s = fmt.Sprintf("SPLIT %v, %v", self.X, self.Y)
    case JMP:
        s = fmt.Sprintf("JMP %v", self.X)
    case MATCH:
        s = "MATCH"
    }
    return
}

func (self InstSlice) String() (s string) {
    s = "{\n"
    for i, inst := range self {
        if inst == nil { continue }
        if i < 10 {
            s += fmt.Sprintf("  0%v %v\n", i, inst)
        } else {
            s += fmt.Sprintf("  %v %v\n", i, inst)
        }
    }
    s += "}"
    return
}
```

03/31/10

recursive.go

1

```
package machines
import "inst"

func recursive(program inst.InstSlice, text []byte, pc uint32, tc uint32) bool {
    if int(pc) >= len(program) || int(tc) > len(text) {
        return false
    }
    switch program[pc].Op {
        case inst.MATCH:
            if tc == uint32(len(text)) {
                return true
            }
            return false
        case inst.CHAR:
            if tc == uint32(len(text)) || text[tc] != byte(program[pc].X) {
                return false
            }
            return recursive(program, text, pc+1, tc+1)
        case inst.JMP:
            return recursive(program, text, program[pc].X, tc)
        case inst.SPLIT:
            if recursive(program, text, program[pc].X, tc) {
                return true
            }
            return recursive(program, text, program[pc].Y, tc)
    }
    return false
}

func Recursive(program inst.InstSlice, text []byte) bool {
    return recursive(program, text, 0, 0)
}
```

package thread

import "container/list"

```
type Thread struct {
    Pc uint32
    Tc uint32
}
```

```
func NewThread(pc, tc uint32) *Thread {
    self := new(Thread)
    self.Pc = pc
    self.Tc = tc
    return self
}
```

```
type Stack struct {
    list *list.List
}
```

```
func NewStack() *Stack {
    self := new(Stack)
    self.list = list.New()
    return self
}
```

← constructor

~~Not used~~ → Stack.Empty()

```
func (self *Stack) Empty() bool { return self.list.Len() == 0 }
```

```
func (self *Stack) Push(t *Thread) {
    self.list.PushFront(t)
}
```

```
func (self *Stack) Pop() *Thread {
    e := self.list.Front()
    t, _ := e.Value.(*Thread)
    self.list.Remove(e)
    return t
}
```

```
package machines

import . "inst"
import . "thread"

func Backtracking(program InstSlice, text []byte) bool {
    var stack *Stack = NewStack()
    var thread *Thread
    stack.Push(NewThread(0, 0))

    for !stack.Empty() {  
        thread = stack.Pop()  
        inner: for {  
            if int(thread.Pc) >= len(program) || int(thread.Tc) > len(text) { return false  
            }  
            inst := program[thread.Pc] // program  
            switch inst.Op {  
                case CHAR:  
                    if int(thread.Tc) >= len(text) || text[thread.Tc] != byte(inst.X) {  
                        break inner  
                    }  
                    thread.Pc++ // text  
                    thread.Tc++  
                case MATCH:  
                    if thread.Tc == uint32(len(text)) {  
                        return true  
                    }  
                    break inner  
                case JMP:  
                    thread.Pc = inst.X  
                case SPLIT:  
                    stack.Push(NewThread(inst.Y, thread.Tc))  
                    thread.Pc = inst.X  
            }  
        }  
    }  
    return false
}
```

Annotations:

- A handwritten label "label" is placed near the start of the outer loop.
- A handwritten note "infinite loop" is written diagonally across the code area.
- Handwritten labels "program" and "text" are placed near the assignment of `inst` and the increment of `thread.Tc` respectively.

```
package queue

import "container/list"

type Queue struct { ↴
    list    *list.List
    set     map[uint32] bool
}

func New() *Queue {
    self := new(Queue)
    self.list = list.New()
    self.set = make(map[uint32] bool)
    return self
}

func (self *Queue) Empty() bool { return self.list.Len() == 0 }

func (self *Queue) Push(pc uint32) {
    if _, ok := self.set[pc]; ok { return }
    self.set[pc] = true, true
    self.list.PushBack(pc)
}

func (self *Queue) Pop() uint32 {
    e := self.list.Front()
    pc, _ := e.Value.(uint32)
    self.list.Remove(e)
    self.set[pc] = false, false
    return pc
}
```

func Swap(a, b *Queue) [yellow]

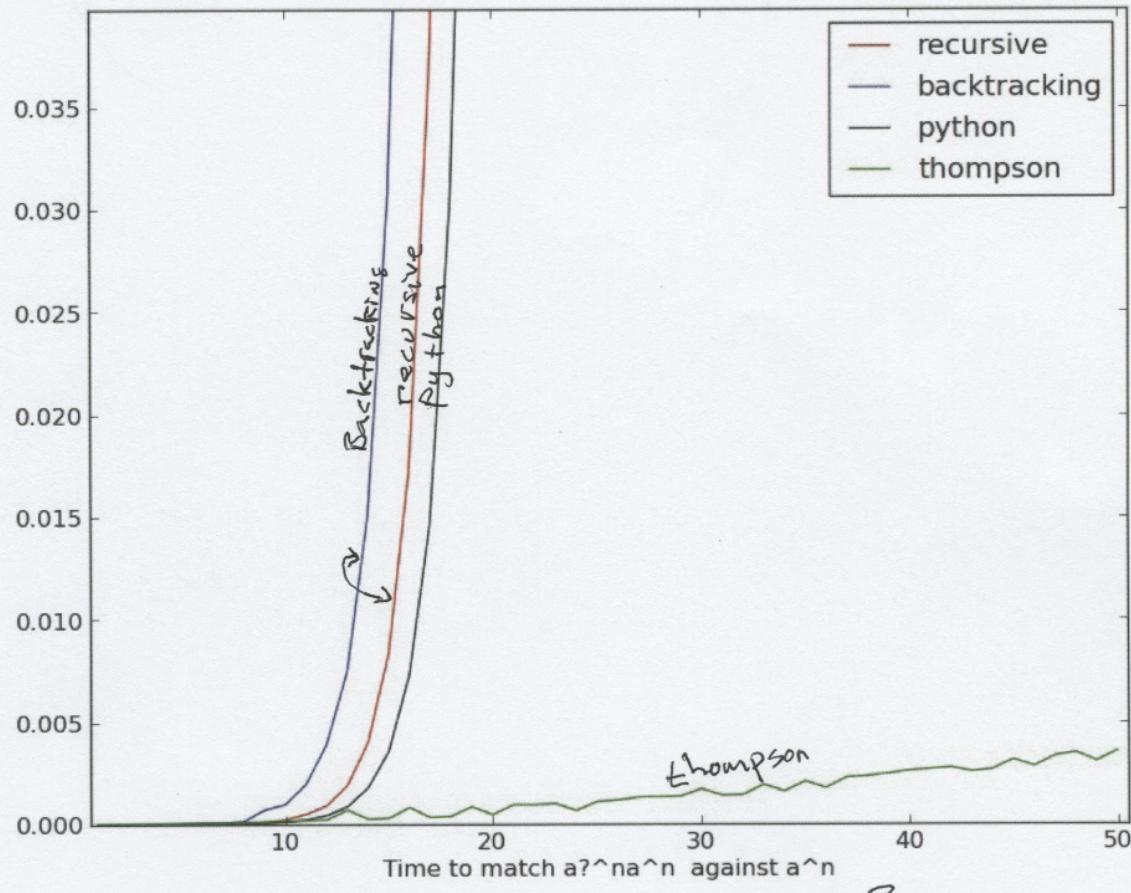
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1

package machines

```
import . "inst"
import "queue"
```

```
func Thompson(program InstSlice, text []byte) bool {
    var cqueue, nqueue *queue.Queue = queue.New(), queue.New()
    cqueue.Push(0)
    for tc := 0; tc <= len(text); tc++ {
        for !cqueue.Empty() {
            pc := cqueue.Pop()
            inst := program[pc]
            switch inst.Op {
                case CHAR:
                    if int(tc) >= len(text) || text[tc] != byte(inst.X) { break }
                    nqueue.Push(pc+1)
                case MATCH:
                    if tc == len(text) { return true }
                case JMP:
                    cqueue.Push(inst.X)
                case SPLIT:
                    cqueue.Push(inst.X)
                    cqueue.Push(inst.Y)
            }
        }
        cqueue, nqueue = nqueue, cqueue
    }
    return false
}
```



$a?^n a^n$ $n=3$
 $\underbrace{a?a?a?aa^a}_{aaa}$ $(a^*)? (a^*)?^*$

```

package main

import "fmt"
import "os"
import "math"
import "inst"
import . "machines"

func test_case(n int) (inst.InstSlice, []byte) {
    program := make(inst.InstSlice, n*3+1)
    text := make([]byte, n)
    i := uint32(0)
    for j := 0; j < n; j++ {
        program[i] = inst.New(inst.SPLIT, i+1, i+2)
        program[i+1] = inst.New(inst.CHAR, 'a', 0)
        i += 2
    }
    for j := 0; j < n; j++ {
        text[j] = 'a'
        program[i] = inst.New(inst.CHAR, 'a', 0)
        i++
    }
    program[i] = inst.New(inst.MATCH, 0, 0)
    return program, text
}

func time() float64 {
    sec, nsec, _ := os.Time()
    return float64(sec) + float64(nsec)*math.Pow(10.0, -9)
}

func main() {
//    fmt.Println("test, recursive, backtracking, thompson")
    for i := 1; i <= 50; i++ {
        program, text := test_case(i)
        var t1, t2, t3 float64
        if i <= 20 {
            {
                s := time()
                Recursive(program, text)
                e := time()
                t1 = e-s
            }
            {
                s := time()
                Backtracking(program, text)
                e := time()
                t2 = e-s
            }
        } else {
            t1 = 0.0
            t2 = 0.0
        }
    }
}

```

^{a? a? aa}
^{a? a? a?}
^{0 split \ \ /}
^{1 char a}
^{2 split \ \ /}
^{3 char a}
^{4 char a}
^{5 char a}
^{6 match}

```
s := time()
Thompson(program, text)
e := time()
t3 = e-s
}
fmt.Printf("%v, %f, %f, %f\n", i, t1, t2, t3)
}
```

q b k

Page 1:

What are Regular Expressions?

- They answer yes or no questions about a piece of text.
- Does the text match a pattern

Page 4:

What is an Automaton?

- A self operating machine, or program

What is an NFA?

- Non-Deterministic Finite Automaton
- A machine whose operation may or may not perform the same way given the same input
 - ie. non-deterministic

Why NFAs?

- Kleene's Theorem:
 - A language is regular if and only if it is recognized by a finite state automaton
- NFA's are equivalent to DFA's
- It is simpler to construct a NFA

Page 5:

Turing Machine say wah?

- A more powerful kind of automaton than finite automaton
- details are unimportant
- finite automaton can be executed on a turing machine
- the Church-Turing result tells us:
 - any problem solvable with an effective algorithm can be run on a turing machine
- this is the basis for running mac programs on windows and vice versa
- it also means we can use a approach similar to running windows programs on a mac to implement regex
- Step 1
 - Define a machine language
- Step 2
 - Define execution semantics for the language
- Step 3
 - Define conversion from NFA to the machine language
- Step 4
 - Develop an algorithm or machine to execute the machine language