MUNI

Group Relative Policy Optimization

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IV125 Formela lab seminar

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Topics

- Recap: RL Framework for LLMs
- From PPO to GRPO
- DeepSeekMath
- DeepSeek-R1

RL Framework for LLMs (consistent notation)

- **State** $s_t = (q, o_{< t})$: the query q together with already generated tokens $o_{< t}$.
- **Action** o_t : single next token predicted by the LLM.
- **Policy** π_{θ} : per-token distribution $\pi_{\theta}(o_t \mid q, o_{< t})$. Probability of a full answer

$$\pi_{ heta}(o \mid q) = \prod_{t=1}^{|o|} \pi_{ heta}(o_t \mid q, o_{< t}).$$

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Objective: maximize expected reward over the question distribution P(Q):

$$J(\theta) = \mathbb{E}_{q \sim \mathcal{P}(Q), \ o \sim \pi_{\theta}(\cdot|q)}[R(q, o)].$$

PPO for LLM Fine-Tuning I

PPO surrogate objective for LLMs

The PPO surrogate objective for LLMs can be written as:

$$J_{ extstyle extstyle PPO}(heta) = \mathbb{E}_{\substack{q \sim P(Q), \ o \sim \pi_{ heta_{old}}(o|q)}} \left[rac{1}{|o|} \sum_{t=1}^{|o|} \min\left(A_t
ho_t, A_t ext{clip}(
ho_t, 1 - \epsilon, 1 + \epsilon)
ight)
ight]$$
 (1)

where the probability ratio ρ_t under current policy π_{θ} and old policy $\pi_{\theta_{old}}$ is defined as:

$$\rho_t = \frac{\pi_{\theta}(o_t|q, o_{< t})}{\pi_{\theta_{old}}(o_t|q, o_{< t})}$$
(2)

 \blacksquare A_t : Estimated advantage at token o_t (next slide).

PPO for LLM Fine-Tuning II — Advantage Estimation

(MC) Advantage Estimation

$$A_t = r_t - V_{\psi}(q, o_{< t}) \tag{3}$$

- lacksquare V_{ψ} is a value model trained with an MSE loss $\mathcal{L}_{V}=\frac{1}{2}ig(V_{\psi}(q,o_{< t})-R(q,o)ig)^{2}$.
- In practice, Generalized Advantage Estimation (GAE) [1] is also often used.

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Reward with divergence penalty

$$r_t = R_{\varphi}(q, o_{\leq t}) - \beta \log \frac{\pi_{\theta}(o_t \mid q, o_{< t})}{\pi_{\text{ref}}(o_t \mid q, o_{< t})}$$

$$\tag{4}$$

- \blacksquare R_{φ} pretrained reward model scoring the (sub-)sequence.
- \blacksquare π_{ref} frozen reference policy (e.g. the SFT model before applying RL).
- $\blacksquare \beta$ *KL-coefficient*: penalizes divergence from π_{ref} .

PPO for LLM Fine-Tuning III - "Big Picture"

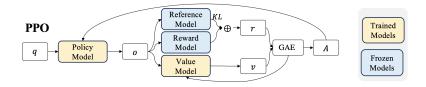


Figure: PPO diagram from [2].

- \blacksquare π_{ref} Reference Model
- lacksquare R_{arphi} Reward Model
- lacksquare V_{ψ} Value Model

Group Relative Policy Optimization (GRPO)

GRPO surrogate objective

$$J_{\mathsf{GRPO}}(\theta) = \mathbb{E}_{\substack{q \sim P(Q), \\ \{o_i\}_1^G \sim \pi_{\theta_{\mathsf{old}}}(\cdot | q)}} \left[\frac{1}{G} \sum_{i=1}^G \frac{1}{|o_i|} \sum_{t=1}^{|o_i|} \min \left(\hat{A}_{i,t} \ \rho_{i,t}, \mathrm{clip}(\hat{A}_{i,t} \ \rho_{i,t}, 1 - \varepsilon, 1 + \varepsilon) \right) - \beta D_{\mathsf{KL}} \left(\pi_{\theta} \parallel \pi_{\mathsf{ref}} \right) \right],$$

$$(5)$$

How GRPO differs from PPO

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How GRPO differs from PPO

■ Advantage function: $\hat{A}_{i,t}$ is computed relatively within a group of G sampled answers, we no longer need V_{ψ} (next slide).

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How GRPO differs from PPO

- Advantage function: $\hat{A}_{i,t}$ is computed relatively within a group of G sampled answers, we no longer need V_{ψ} (next slide).
- **KL regularisation**: **KL term** is added directly to the loss instead of being folded into the reward via *r_t*.

GRPO – Group-Relative Advantage $\hat{A}_{i,t}$

- For each question q, GRPO samples a group of G outputs $\{o_1, \ldots, o_G\}$ from the frozen policy $\pi_{\theta_{\text{old}}}$.
- A reward model R_{φ} scores every full output o_i , producing rewards $\{r_1, \ldots, r_G\}$.

Group-Relative Advantage

Rewards are z-normalised within the group:

$$\hat{A}_{i,t} = \hat{r}_i = \frac{r_i - \text{mean}(r_1, \dots, r_G)}{\text{std}(r_1, \dots, r_G)}$$
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- Normalization centers the scores around 0: positive values signal better-than-average, negative values worse-than-average.
- Good completions in the batch are reinforced, poor ones are suppressed.

PPO vs GRPO - "Big Picture"

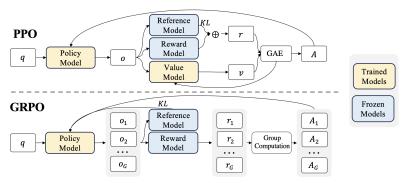


Figure 4 | Demonstration of PPO and our GRPO. GRPO foregoes the value model, instead estimating the baseline from group scores, significantly reducing training resources.

Figure: PPO and GRPO diagram from [2].

PPO vs GRPO - "Big Picture"

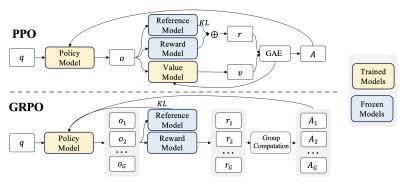


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Figure: PPO and GRPO diagram from [2].

■ No Value Model => significantly reduced training resources compared to PPO.

Iterative GRPO - Pseudocode

Algorithm 1 Iterative Group Relative Policy Optimization

```
Input initial policy model \pi_{\theta_{\text{init}}}; reward models r_{\varphi}; task prompts \mathcal{D}; hyperparameters \varepsilon, \beta, \mu
 1: policy model \pi_{\theta} \leftarrow \pi_{\theta_{\text{init}}}
 2: for iteration = 1, \dots, I do
 3:
         reference model \pi_{ref} \leftarrow \pi_{\theta}
         for step = 1, \ldots, M do
              Sample a batch \mathcal{D}_h from \mathcal{D}
 5:
              Update the old policy model \pi_{\theta_{old}} \leftarrow \pi_{\theta}
 6:
              Sample G outputs \{o_i\}_{i=1}^G \sim \pi_{\theta_{old}}(\cdot \mid q) for each question q \in \mathcal{D}_b
              Compute rewards \{r_i\}_{i=1}^{G^-} for each sampled output o_i by running r_{\varphi}
 8:
              Compute \hat{A}_{i,t} for the t-th token of o_i through group relative advantage estimation.
 9.
              for GRPO iteration = 1, ..., \mu do
10:
                   Update the policy model \pi_{\theta} by maximizing the GRPO objective (Equation 21)
11:
12:
         Update r_{o} through continuous training using a replay mechanism.
```

Output π_{θ}

Figure: Iterative GRPO pseudocode from [2].

DeepSeekMath

- First paper to introduce GRPO for RL fine-tuning of an LLM.
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Training pipeline:

- 1. Initialized with DeepSeek-Coder-Base-v1.5 7B [3]
- 2. Continued pre-training (unsupervised)
 - Broad mathematical knowledge => DeepSeekMath-Base 7B
- 3. Supervised instruction fine-tuning (SFT)
 - Prompt following and explicit reasoning => DeepSeekMath-Instruct 7B
- 4. GRPO reinforcement learning
 - Improved reasoning capabilities => DeepSeekMath-RL 7B

Phase 1 – Continual Pre-Training (DeepSeekMath-Base)

Motivation

DeepSeek-Coder-Base-v1.5 lacked explicit mathematical domain knowledge.

- Data: 500B tokens
 - 56% DeepSeekMath Corpus (filtered Common Crawl)
 - 4% AlgebraicStack
 - 10% arXiv
 - 20% GitHub code
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$$\mathcal{L}_{\mathsf{LM}} = -\sum_t \log \pi_{ heta}(x_t \mid x_{< t})$$

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- "read the Internet and guess the next word"
- Results: Tops open-source base models on GSM8K & MATH, surpasses 540B Minerva (see in a couple of slides).

Phase 2 - SFT (DeepSeekMath-Instruct)

Motivation

Base model not yet capable of instruction following or chain-of-thought.

- Data: 776K problems (English & Chinese) with
 - CoT: Natural-language reasoning steps.
 - **PoT**: Answer natural-language with python code that solves the task.

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- **Objective**: Supervised cross-entropy on target answer:

$$\mathcal{L}_{\mathsf{SFT}} = -\sum_{t=1}^{|o^*|} \log \pi_{ heta}(o^*_t \mid q, o^*_{< t})$$

- o*: gold solution written by human expert
- "write the expert answer I show you"

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- "write the expert answer I show you"
- Results: Beats all 7B peers and rivals 70B open-source instruction-tuned models (in two slides).

Motivation

Further align the model's reasoning quality without relying on a value critic.

■ Data: 144K math prompts from GSM8K and MATH

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 - Reward model initialized from DeepSeekMath-Base with a scalar head attached, and fine-tuned on preference data.
 - For every prompt the policy samples a 64 candidate solutions (G = 64) to compute group relative advantage.
 - Iterative GRPO outperforms a single-pass variant

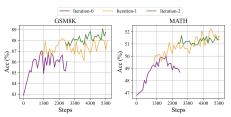


Figure 6 | Performance of iterative reinforcement learning with DeepSeekMath-Instruct 7B on two benchmarks.

DeepSeekMath - Results

Size	English Benchmarks		Chinese Benchmarks	
	GSM8K	MATH	MGSM-zh	CMATH
Chain-of-Thought Reasoning				
Closed-Source Model				
-	94.4%	53.2%	-	-
-	92.0%	52.9%	-	86.0%
-	81.4%	34.8%	-	-
-	80.8%	34.1%	-	73.8%
-	86.5%	32.6%	-	-
-	62.9%	23.9%	-	-
-	88.2%	49.2%	-	-
-	87.6%	47.9%	-	-
Ope	en-Source	Model		
20B	82.6%	37.7%	-	-
72B	78.9%	35.2%	-	-
7B	84.1%	33.0%	-	-
7B	83.2%	33.0%	-	-
67B	84.1%	32.6%	74.0%	80.3%
70B	82.3%	26.6%	66.4%	70.9%
7B	78.2%	27.5%	64.8%	-
6B	72.3%	25.7%	-	-
70B	81.6%	22.7%	64.8%	65.4%
7B	82.9%	46.8%	73.2%	84.6%
7B	88.2%	51.7%	79.6%	88.8%
	Closs	GSM8K ain-of-Thought Closed-Source - 94,4% - 81,4% - 86,5% - 62,9% - 87,6% Open-Source 20B 82,6% 72B 78,9% 7B 83,2% 67B 84,1% 7B 83,2% 67B 84,1% 7B 72,3% 7B 72,3% 7B 72,3% 7B 78,2% 7B 83,2% 7B 78,2% 7B 84,1% 7B 83,2% 7B 84,1% 7B 84,1	CSM8K MATH	GSM8K MATH MGSM-zh

Figure: DeepSeekMath-RL 7B improves over DeepSeekMath-Instruct 7B and beats all open-source models from 7B to 70B, as well as the majority of closed-source models. From [2].

DeepSeek-R1

DeepSeek-R1: Incentivizing Reasoning Capability in LLMs via Reinforcement Learning

DeepSeek-AI

research@deepseek.com

Abstract

We introduce our first-generation reasoning models, DeepSeek-R1-Zero and DeepSeek-R1. DeepSeek-R1-Zero, a model trained via large-scale reinforcement learning (RL) without supervised fine-tuning (SFT) as a preliminary step, demonstrates remarkable reasoning capabilities. Through RL, DeepSeek-R1-Zero naturally emerges with numerous powerful and intriguing reasoning behaviors. However, it encounters challenges such as poor readability, and language mixing. To address these issues and further enhance reasoning performance, we introduce DeepSeek-R1, which incorporates multi-stage training and cold-start data before RL. DeepSeek-R1 achieves performance comparable to OpenAl-o1-1217 on reasoning tasks. To support the research community, we open-source DeepSeek-R1-Zero, DeepSeek-R1, and six dense models (1.5B, 7B, 8B, 14B, 32B, 70B) distilled from DeepSeek-R1 based on Qwen and Llama.

DeepSeek-R1 overview

- Introduced two reasoning models (671B):
 - DeepSeek-R1-Zero (pure RL with GRPO)
 - **DeepSeek-R1** (multi-stage RL with GRPO + SFT)
- And a family of distilled models based on **Qwen** [4] and **Llama** [5] 1.5B-70B.

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 - DeepSeek-R1 (multi-stage RL with GRPO + SFT)
- And a family of distilled models based on **Qwen** [4] and **Llama** [5] 1.5B-70B.
- Reaches **GPT-o1** level on math / code benchmarks
- Often mislabeled as "open-source"
 - Model weights are open, the datasets and code used to train the model are not!

DeepSeek-R1-Zero (pure RL with GRPO)

Initialized with DeepSeek-V3-Base [6], only fine-tuned to reason with GRPO without any supervised data.

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- Initialized with DeepSeek-V3-Base [6], only fine-tuned to reason with GRPO without any supervised data.
- Reward model replaced with rule based rewards:
 - Accuracy rewards: Response is correct (+1), i.e. math and code problems with deterministic results.
 - Format rewards: Model is required to put its thinking process between <think>/think> tags.

A conversation between User and Assistant. The user asks a question, and the Assistant solves it. The assistant first thinks about the reasoning process in the mind and then provides the user with the answer. The reasoning process and answer are enclosed within <think> </think> and <answer> </answer> tags, respectively, i.e., <think> reasoning process here </think> <answer> answer here </answer>. User: prompt. Assistant:

Table 1 | Template for DeepSeek-R1-Zero. prompt will be replaced with the specific reasoning question during training.

Figure: DeepSeek-R1-Zero training prompt, from [7].

DeepSeek-R1-Zero training - Accuracy

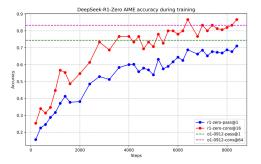


Figure 2 | AIME accuracy of DeepSeek-R1-Zero during training. For each question, we sample 16 responses and calculate the overall average accuracy to ensure a stable evaluation.

DeepSeek-R1-Zero training - Response length

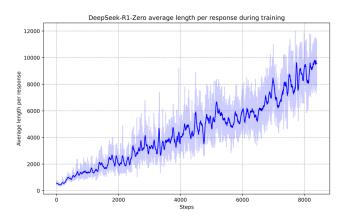


Figure: From [7].

"DeepSeek-R1-Zero naturally acquires the ability to solve increasingly complex reasoning tasks by leveraging extended test-time computation"

DeepSeek-R1-Zero training – "Aha moment"

Question: If a > 1, then the sum of the real solutions of $\sqrt{a} - \sqrt{a + x} = x$ is equal to

Response: <think>

To solve the equation $\sqrt{a-\sqrt{a+x}}=x$, let's start by squaring both \cdots

$$\left(\sqrt{a-\sqrt{a+x}}\right)^2 = x^2 \implies a-\sqrt{a+x} = x^2.$$

Rearrange to isolate the inner square root term:

$$(a-x^2)^2 = a+x \implies a^2-2ax^2+(x^2)^2 = a+x \implies x^4-2ax^2-x+(a^2-a)=0$$

Wait, wait. Wait. That's an aha moment I can flag here.

Let's reevaluate this step-by-step to identify if the correct sum can be · · ·

We started with the equation:

 $\sqrt{a-\sqrt{a+x}}=x$

First, let's square both sides:

 $a - \sqrt{a + x} = x^2 \implies \sqrt{a + x} = a - x^2$

Next, I could square both sides again, treating the equation: ...

..

Table 3 | An interesting "aha moment" of an intermediate version of DeepSeek-R1-Zero. The model learns to rethink using an anthropomorphic tone. This is also an aha moment for us, allowing us to witness the power and beauty of reinforcement learning.

Figure: From [7].

"DeepSeek-R1-Zero learns to allocate more thinking time to a problem by reevaluating its initial approach."

Motivation

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- SFT: finetune on thousands of curated CoT examples (exact data sources not released).
- Reasoning-oriented RL with GRPO: same rule-based rewards as R1-Zero + language-consistency rule (to prevent language switching).

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 - 600K reasoning samples generated by model from previous stage. Filtered using rejection sampling (rule-based rewards for math & code, DeepSeek-V3 judges equivalence with ground-truth for the rest).

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Problems with DeepSeek-R1-Zero: poor readability, language switching.

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- 200K non-reasoning samples, e.g. factual QA, translation, "hello", ... (reused from DeepSeek-V3 pipeline).

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 - 200K non-reasoning samples, e.g. factual QA, translation, "hello", ... (reused from DeepSeek-V3 pipeline).
- RL with GRPO for all scenarios: rule-based rewards for reasoning, helpful/harmless neural Reward Models for general queries

DeepSeek-R1 Results

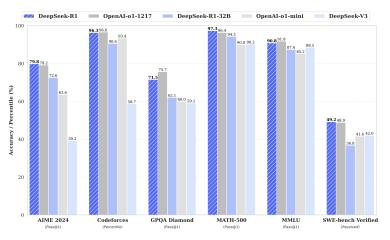


Figure 1 | Benchmark performance of DeepSeek-R1.

DeepSeek-R1-Distill Models

 Models from Qwen and Llama family trained by SFT with reasoning samples generated by DeepSeek-R1.

3.2. Distilled Model Evaluation

Model	AIME 2024		MATH-500	GPQA Diamond	LiveCode Bench	CodeForces
	pass@1	cons@64	pass@1	pass@1	pass@1	rating
GPT-4o-0513	9.3	13.4	74.6	49.9	32.9	759
Claude-3.5-Sonnet-1022	16.0	26.7	78.3	65.0	38.9	717
OpenAI-o1-mini	63.6	80.0	90.0	60.0	53.8	1820
QwQ-32B-Preview	50.0	60.0	90.6	54.5	41.9	1316
DeepSeek-R1-Distill-Qwen-1.5B	28.9	52.7	83.9	33.8	16.9	954
DeepSeek-R1-Distill-Qwen-7B	55.5	83.3	92.8	49.1	37.6	1189
DeepSeek-R1-Distill-Qwen-14B	69.7	80.0	93.9	59.1	53.1	1481
DeepSeek-R1-Distill-Qwen-32B	72.6	83.3	94.3	62.1	57.2	1691
DeepSeek-R1-Distill-Llama-8B	50.4	80.0	89.1	49.0	39.6	1205
DeepSeek-R1-Distill-Llama-70B	70.0	86.7	94.5	65.2	57.5	1633

Table 5 | Comparison of DeepSeek-R1 distilled models and other comparable models on reasoning-related benchmarks.

DeepSeek-R1-Distill Models II

Interesting finding: Applying large-scale RL on Qwen-32B-Base in the same way DeepSeek-R1-Zero was trained (10K steps) results in worse performance than SFT distillation!

	AIME 2024		MATH-500	GPQA Diamond	LiveCodeBench	
Model	pass@1	cons@64	pass@1	pass@1	pass@1	
QwQ-32B-Preview	50.0	60.0	90.6	54.5	41.9	
DeepSeek-R1-Zero-Qwen-32B	47.0	60.0	91.6	55.0	40.2	
DeepSeek-R1-Distill-Qwen-32B	72.6	83.3	94.3	62.1	57.2	

Table 6 | Comparison of distilled and RL Models on Reasoning-Related Benchmarks.

Takeaways

To train a strong reasoning model:

■ You might not need a Value Model.

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To train a strong reasoning model:

- You might not need a Value Model.
- You might (sometimes) not even need a Reward Model.
- You still need lot of engineering hacks (?)





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Absolute Zero: Reinforced Self-play Reasoning with Zero Data

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