GROUP SCHEDULING AND ASSIGNMENT: COMPLEXITY AND ALGORITHMS

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Abstract

Acknowledgments

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Introduction

Complexity of Group Scheduling Problem I

Scheduling an event for a group of invitees is a frustrating task; it tends to be tedious and time consuming. A typical scheduling process can be described as iterative approval voting: An event organizer selects a candidate set of date/time options, and asks invitees to respond with their availability. Given the responses, the organizer chooses an agreeable option and announces it, or she may repeat the process by proposing another set of options if no feasible option is found. Naturally the organizer and her invitees wish to reach an agreement within a small number of iterations and proposed options – the more iterations and proposed options there are, the more laborious a scheduling process becomes.

There exist several software tools that are designed to help an event organizer handle a scheduling process more efficiently – one of the most well-known tools is Doodle ¹. In Doodle, an organizer can simply list as many date/time options as she likes, and each invitee is asked to respond with her availability. Essentially, invitees participate in approval voting upon all proposed options. Hence if too many options are proposed, then invitees are given the burden of answering them all. This often leads to undesired behaviors of invitees such as herding or procrastination, instead of honest, quick responses [46]. On the other hand, if the organizer proposes only few options, there may not exist an agreeable outcome after

¹http://www.doodle.com

all, which may result in another iteration of proposals and responses. In fact, surveys find that the most challenging part of group scheduling is due to "chasing people who do not answer" and "finding a suitable time." 2

Doodle has many practical advantages. One of them is its simplicity – invitees simply need to approve a subset of options based on their availability. Another is a short duration of scheduling process – the duration it takes until the last invitee responds and the meeting time is settled. Each invitee needs to respond only once, which limits the degree to which the process is hijacked by invitees' delayed responses. But Doodle has many drawbacks, even in this idealized setting. In particular, Doodle forces the invitees to examine many potential date/time options. This can be inconvenient not so much due to the effort involved (though that is a factor), but mostly because invitees need to block their available slots off until a option is announced. We use the expected number of time slots floated by the organizer as a proxy for this inconvenience.

To avoid incurring much inconvenience, the organizer can select only a few options, and poll the invitees about those. If a feasible option is not found among them, then repeat with another batch of date/time options. We call this broad class of mechanisms *B-Doodle* (for "Batched Doodle"). Clearly, Doodle is a special case with one batch consisting of all options. Another extreme case is the OAAT (one-at-a-time) mechanism, in which the organizer tests a single option at each iteration. Doodle minimizes the total number of iterations, whereas OAAT minimizes (expected) inconvenience caused by the scheduling process. In-between lie many other mechanisms, with different batching schemes, that trade off time against inconvenience differently.

Figure 2.1 illustrates this via a simple example. In this scenario there are six options, four invitees, each of whom is available at each option independently with probability .8. The figure depicts a scatter plot of all 32 different B-Doodle mechanisms, including Doodle and OAAT. The vertical axis depicts the expected number of rounds to determine the option, and the horizontal axis the expected inconvenience.

We can clearly observe a time-inconvenience Pareto frontier in this plot. If in addition there is an overall cost function combining time and inconvenience, one can identify an

 $^{^2} http://en.blog.doodle.com/2012/07/26/new-findings-a-small-number-of-initiators-organize-most-of-the-meetings/\\$

Figure 2.1: A scatter plot of B-Doodle Mechanisms.

optimal B-Doodle mechanism along this frontier as illustrated in Figure 2.2. In this example, if the overall cost is the linear function 3·Time+Inconvenience, the optimal mechanism happens to be the "Half-n-half" mechanism; this mechanism sends out 3 options in the first batch, and if no feasible time slot is found then sends out the remaining 3 options in the next batch.

Figure 2.2: Pareto-frontier and objective function.

In this chapter we will investigate the difficulty of determining the optimal B-Doodle mechanism, and to what degree it improves on the simple Doodle in realistic scenarios. Under the assumption that the set of events in which an invitee is available for a particular option is mutually independent, we provide an efficient recursive algorithm for computing the optimal batching scheme, for a broad class of objective functions of time and inconvenience, including the linear combination as in the above example.³

Lastly, we assume that the event organizer is given probability estimates on availability of agents, but it is not clear how one can obtain such probabilities. Probability estimation is an interesting and challenging research question on its own, and we do not attempt to solve the question in this work. However, we provide several plausible methods for estimating the probabilities in the context of group scheduling, which can enable our model and algorithm to be deployed as a real-world application in the future. In the psychology literature, Mann et al. found that cultural differences between the Western, individualistic countries (such as the United States) and the Eastern, collectivistic countries (such as China and Japan) lead to different behaviors of respondents when it comes to a group-decision making process [35].

³As another, more minor generalization of the problem, we will allow that the organizer is content with only a fraction of the invitees attending, not necessarily requiring everyone be available. This fraction will be a part of input to the problem; of course, as a special case, 1 implies full attendance.

More recently, Reinecke et al. analyzed more than 1.5 million Doodle date/time polls from 211 countries, and confirmed similar findings regarding time perception and group's behavior [39]. Among others, they found that "in comparison to predominantly individualist societies, poll participants from collectivist countries respond earlier, agree to fewer options but find more consensus," which agrees with the findings of Mann et al. Besides the cultural differences, Doodle's own surveys on event scheduling found that people tend to respond to the scheduling surveys on Mondays, while Monday is the least popular day for having a meeting. ⁴ We believe that these studies and findings can be used to design a reasonable estimator for availability of agents, by utilizing the features that are known to be crucial – such as demographics of the group and purpose of the event being scheduled. Recent work by Zou et al. analyzed over 340,000 Doodle polls data to study behavioral patterns of the users, and they were able to identify response functions that match the response patterns observed in the real data [46]. We believe that a similar approach can be taken to tackle the problem of probability estimation in the context of group scheduling.

2.1 Notation and Definitions

We mentioned two dimensions of optimality in the scheduling process: Time and Inconvenience. Time captures the duration of the scheduling process and Inconvenience measures how much inconvenience is caused for each invite during the scheduling process. In this section we formally define the class of B-Doodle mechanisms and the Batched Doodle Problem (BDP).

Consider a setting where there are n invitees and s date/time options from which the organizer can choose to propose. The organizer wishes to find a *feasible* date/time option that works for at least $\lceil f \cdot n \rceil$ invitees (we call $f \in [0,1]$ the *feasibility threshold*) or determines that there is no feasible option. We model the uncertainty of availability of invitees as a random matrix as follows. Consider a matrix of mutually independent Bernoulli random variables where rows represent invitees and columns represent a set of date/time options. Given probability of success for each Bernoulli random variable, the organizer can "inspect" a batch of columns at once to know of the realization of those entries. This

⁴http://en.blog.doodle.com/2012/05/23/mondays-for-planning-busy-weekends/

corresponds to polling invitees' availability for a batch of date/time options. The organizer wishes to determine with certainty whether the matrix contains a feasible column or it does not. Using the random matrix model, we first investigate interesting properties of an optimal solution, and then discuss how it performs better than the classic Doodle under various settings.

Definition 1 (Feasibility). Let A be a matrix whose entries are from $\{0,1\}$, and refer to the entry of A at row r and column c as $a_{r,c}$. We say that a column c of A is *feasible* if it consists only of 1's (otherwise it is *infeasible*). We say that A is *feasible* if it contains at least one feasible column (otherwise it is *infeasible*).

The organizer iteratively sends out a batch of options until a feasible option is found. In our setting Time spent by the scheduling process is measured by the number of iterations and Inconvenience caused is measured by the number of options that have been sent out by the organizer. Let us define a class of B-Doodle mechanisms that describe how the organizer sends out options in each iteration.

Definition 2 (B-Doodle Mechanism). Let $S = \{1, 2, ..., s\}$ be a set of s options. We define a B-Doodle mechanism for S as an ordered partition of S. Let $B = \langle S_1, S_2, ..., S_m \rangle$ be a partition of S into m subsets such that $S_j \neq \emptyset$, $S_j \cap S_k = \emptyset$ for $j \neq k$, and $\bigcup_{l=1}^m S_l = S$ where $1 \leq j, k \leq m$. We define $b_j = |S_j|$ for all $j \leq m$ and call b_j the size of the j-th batch. We write B_m to emphasize that B has m batches.

We interpret a B-Doodle mechanism B as follows: the organizer sends out options in S_1 during the first iteration. If a feasible option is found, the process ends. Otherwise she sends out the next batch, S_2 , and so on. Note that there exist exponentially many B-Doodle mechanisms for any a given S (exponential in cardinality of S).

The goal is to find a B-Doodle mechanism that minimizes the expected cost of scheduling process, given an objective function of Time and Inconvenience. Earlier we considered a simple cost function that is a linear combination of the two. While this cost function fits in many realistic situations, we want to explore a larger class of cost functions. We define a class of cost functions that depend only on the number of iterations and batch sizes.

Definition 3 (Cost function). A cost function c takes two integers j and b as arguments, and c(j,b) > 0 describes the aggregate cost of Time and Inconvenience that is incurred by sending out a batch of b options during the j-th iteration. We assume that cost is additive so that the overall cost of executing the first j iterations of $B_m = \langle S_1, S_2, \ldots, S_m \rangle$ is simply the sum, $\sum_{k=1}^{j} c(k,b_k)$, which we denote by $C(j,B_m)$; recall that $b_k = |S_k|$. A cost function c is said to be θ -simple if there exists some constant $\theta > 0$ such that $c(j,b) = \theta \cdot c(j-1,b)$ for all j > 1 and for all $b \ge 1$. We often drop θ and just state that a cost function is simple, for brevity.

It is reasonable to assume that cost is additive (with respect to iterations) because an additional iteration adds the time spent and inconvenience caused for the invitees.

Note that $C(j, B_m)$ is strictly increasing in j for any fixed B_m because c(j, b) > 0 for any j and b. While the class of simple cost functions may seem too restrictive, we present a few natural choices of cost functions that belong to the class of simple cost functions. In this work we assume that the underlying cost function is simple; further we assume that c(j,b) for all $1 \le j,b \le s$ can be computed in polynomial time with respect to s.

Example 1 (Examples of simple cost functions). The simplest choice of a cost function is a linear combination of Time and Inconvenience, parameterized by some constant $\alpha > 0$; we call this a *linear* cost function. This cost function is 1-simple. Notice that the first term captures Time and the second captures Inconvenience in both expressions.

$$c_{\alpha}(j,b_{j}) = \alpha + b_{j}$$

$$C_{\alpha}(j,B_{m}) = (\alpha \cdot j) + \left(\sum_{k=1}^{j} b_{k}\right)$$

In some cases the organizer may want to penalize the mechanism for executing too many iterations by making later iterations to cost more than earlier iterations. The following describes such cost function, parameterized by some constant $\beta > 1$; we call this a *time-averse* cost function. Notice that the term β^j is strictly increasing as j increases (recall

 $\beta > 1$). This cost function is β -simple.

$$c_{\beta}(j,b_{j}) = \beta^{j} \cdot b_{j}$$

$$C_{\beta}(j,B_{m}) = \left(\sum_{k=1}^{j} \beta^{k} \cdot b_{k}\right)$$

In contrast if the organizer is interested in reducing the size of each batch because it may cause too much inconvenience, then the following cost function could be used, which is parameterized by some constant $\gamma > 1$; we call this an *inconvenience-averse* cost function which is 1-simple.

$$c_{\gamma}(j,b_{j}) = \gamma^{b_{j}}$$
 $C_{\gamma}(j,B_{m}) = \left(\sum_{k=1}^{j} \gamma^{b_{k}}\right)$

We now formally define the Batched Doodle Problem.

Definition 4 (Batched Doodle Problem). An instance of the Batched Doodle Problem (BDP) is a tuple (A, P_A, f, c) where A is a matrix of Bernoulli random variables, P_A is the probability matrix associated with it, f is the feasibility threshold with $f \in [0, 1]$, and c is a cost function.

The objective in BDP is to find an optimal B-Doodle mechanism B^* such that B^* minimizes the expected cost of the scheduling process (expectation with respect to P_A), given (A, P_A, f, c) .

Since there are exponentially many B-Doodle mechanisms, it is impractical to enumerate each B-Doodle and compute its expected cost in order to find an optimal one. In what follows we make some simplifying assumptions that are assumed throughout this paper, and we present an efficient algorithm to solve BDP in next section.

2.2 Efficient Algorithm for Finding Optimal B-Doodle

2.2.1 Preliminaries

Intuitively, if the organizer knows the probability distribution of availability of invitees, then he should inspect the columns that are more likely to be feasible first. We will prove this claim using the following lemma.

Lemma 1. Given (A, P_A, f, c) , let q_t for each column t be the probability that column t is f-feasible. We can compute q_t in polynomial time.

Proof. For any fixed t, let us define $V_t(i,z)$ to be the probability that among the column t of A, exactly z entries out of the first i entries (in their row indices) are 1's. $V_t(i,z)$ is well-defined where $1 \le i \le n$ and $0 \le z \le i$. We further define $V_t(i,z)$ for the following degenerate cases:

$$V_t(i,z) = \begin{cases} 1 & \text{if } i = z = 0\\ 0 & \text{if } z = -1 \text{ or } (i = 0 \land z > 0) \end{cases}$$

Then we can compute $V_t(i,z)$ using a simple dynamic programming algorithm according to the following recurrence relation where $1 \le i \le n$ and $0 \le z \le i$:

$$V_t(i,z) = V_t(i-1,z-1) \cdot p_{i,t} + V_t(i-1,z) \cdot (1-p_{i,t})$$
(2.1)

It is easy to verify that the recurrence relation is correct. For the degenerate cases (or base cases) when i = z = 0, no entries (out of 0 entries) in column t are equal to 1, and thus $V_t(i,z) = 1$ in this case. When (z = -1) or $(i = 0 \land z > 0)$, $V_t(i,z) = 0$ because it is impossible to have z entries out of i entries.

For the main recurrence relation, the entry $a_{r,t}$ is either 1 or 0, and we compute $V_t(i,z)$ by considering both cases. For each fixed t, there are $O(n^2)$ entries of V_t to be computed, and computing each entry takes O(1); therefore it takes $O(sn^2)$ time to compute $V_t(i,z)$ for all t,i,z.

Finally we can compute q_t after we compute $V_t(i,z)$ for all i,z, as follows:

$$q_t = \sum_{z=\lceil f \cdot n \rceil}^n V_t(n,z).$$

Lemma 1 allows us to describe a B-Doodle mechanism in a simpler form, along with the following theorem because an optimal B-Doodle mechanism must inspect the columns in non-increasing order of q_t .

Theorem 1. Consider a B-Doodle mechanism B described by $\langle S_1, S_2, ..., S_m \rangle$. Suppose that there is some option $t \in S_l$ and $t' \in S_{l'}$ with $q_t < q_{t'}$ and l < l'. Then B is not an optimal in the sense that we can find another mechanism B^* whose expected cost is strictly less than the expected cost of B.

Proof. Given B, let us construct $B^* = \langle S_1^*, S_2^*, \ldots, S_m^* \rangle$ that is the same as B except that we swap t and t'. That is, $S_i^* = S_i$ for all $i \neq l$ and $i \neq l'$, and $S_l^* = (S_l \cup t') \setminus t$ and $S_{l'}^* = (S_{l'} \cup t) \setminus t'$. Note that the two mechanisms have the same batch sizes $(b_j = b_j^*)$ for all j.

Let w_j be the probability that the scheduling process ends after the j-th iteration if we use B, and w_j^* if we use B^* . Then $w_j = w_j^*$ for all j < l because $S_j = S_j^*$ for all j with $1 \le j < l$. Clearly it holds that $w_l < w_l^*$ because of the swap of t and t'. For j with $l < j \le l'$, it holds that $w_j > w_j^*$; this is not obvious, but the intuition is that if w_l^* increases then the subsequent w_j^* 's with $l < j \le l'$ must decrease as they depend on $1 - w_l^*$, until this effect is canceled out in the l'-th batch. Since the probability of finding no feasible options during l' iterations is the same in both cases (because $\bigcup_{i=1}^{l'} S_i = \bigcup_{i=1}^{l'} S_i^*$) it holds that $w_j = w_j^*$ for all j > l'.

Let E_B be the expected cost of B and E_{B^*} of B^* . Since $b_j = b_j^*$ for all j, it holds that

 $C(j,B) = C(j,B^*)$ for all j, and we have:

$$E_B - E_{B^*} = (w_l - w_l^*)C(l, B) + \sum_{j=l+1}^{l'} (w_j - w_j^*)C(j, B)$$
$$= \sum_{j=l+1}^{l'} (w_j - w_j^*)(C(j, B) - C(l, B))$$

The first inequality holds by definition of the expected cost and canceling out some terms; the second inequality holds because $(w_l - w_l^*) + \sum_{j=l+1}^{l'} (w_j - w_j^*) = 0$ (as probabilities must add up to one). Since $w_j > w_j^*$ and C(j,B) > C(l,B) for all j (because C(j,B) is an increasing function in j for fixed B), we conclude that $E_B > E_{B^*}$.

Theorem 1 implies that an optimal B-Doodle that minimizes the expected cost must have the following property: for any two batches S_j and S_k with j < k, it must hold that $q_t \ge q_{t'}$ for all $t \in S_j$ and $t' \in S_k$ (otherwise we can apply the theorem and swap the two options to obtain a mechanism with smaller expected cost). Therefore we can limit our attention to the sub-class of B-Doodle mechanisms that only describe batch sizes, but not explicitly which options in each batch. Due to Lemma 1 we can compute q_t for each t in polynomial time, and thus we can simply sort options by q_t in non-increasing order as a pre-processing step.

Therefore we can focus on the following sub-class of *simplified* B-Doodle mechanisms when we seek an optimal B-Doodle mechanism.

Definition 5 (Simplified B-Doodle Mechanism). Let $S = \{1, 2, ..., s\}$ be a set of s options. A simplified B-Doodle mechanism B for S is a vector of integers, described as $B = \langle b_1, b_2, ..., b_m \rangle$ where $(b_j \ge 1 \text{ for all } j \le m)$ and $(\sum_{k=1}^m b_k = s)$. We write B_m to emphasize that B has m batches. It is assumed that B_m partitions S into m batches according to b_j 's where options (or columns) are sorted by q_t in non-increasing order.

From now on we use the definition of a simplified B-Doodle, and simply write a B-Doodle mechanism to mean a simplified B-Doodle mechanism but this should cause no confusion.

2.2.2 Optimal Algorithm

In this section we present an algorithm that finds an optimal B-Doodle mechanism that minimizes the expected cost, given an instance of BDP. Let us first describe how one can express the expected cost of a B-Doodle mechanism.

Given an instance (A, P_A, f, c) , consider some B-Doodle mechanism $B_m = \langle b_1, b_2, \dots, b_m \rangle$ with $\sum_{k=1}^m b_k = |S|$. Let $\Pr(j)$ be the probability that the scheduling process ends after j-th iteration. Let us denote the expected cost of B_m by $\mathbb{E}_c[B_m]$, which can be expressed in terms of $\Pr(\cdot)$ and the cost function c:

$$\mathbb{E}_{c}[B_{m}] = \sum_{j=1}^{m} \Pr(j) \cdot C(j, B_{m}) = \sum_{j=1}^{m} \Pr(j) \cdot \left(\sum_{k=1}^{j} c(k, b_{k})\right)$$
(2.2)

While one can compute Pr(j) in polynomial time, it is not necessary for our algorithm. Instead we present an important lemma that leads us to an efficient algorithm. The following lemma states that if c is simple, then we can compute $\mathbb{E}_c[B_m]$ in a recursive manner.

Lemma 2. Consider any mechanism $B_m = \langle b_1, b_2, \dots, b_m \rangle$. Let us denote another mechanism that is obtained after removing the first batch (b_1) from B_m , as \hat{B}_{m-1} (i.e. $\hat{B}_{m-1} = \langle b_2, b_3, \dots, b_m \rangle$). For each option $t \in S$, let q_t be the probability that t is f-feasible.

If c *is* a θ -simple cost function with with $\theta > 0$, the following equality holds:

$$\mathbb{E}_{c}[B_{m}] = c(1, b_{1}) + \left(\prod_{t=1}^{b_{1}} (1 - q_{t})\right) \cdot \theta \cdot \mathbb{E}_{c}[\hat{B}_{m-1}]$$
(2.3)

Proof. Let us first provide an intuitive way to understand the recurrence relation given by Equation 2.3. The first term $c(1,b_1)$ captures the cost of sending out the first batch; regardless of when the scheduling process ends, this cost incurs with probability of 1. If it turns out that the first batch does not contain a feasible option with probability of (1 - Pr(1)), then the organizer must send out the remaining batches, which is precisely \hat{B}_{m-1} . It is easy to verify that the product term in the equation is equal to (1 - Pr(1)). The expected cost of \hat{B}_{m-1} is adjusted by a factor of θ in the equation because the cost function is θ -simple.

We now formally prove the claim. Given B_m as described above, for each batch $j \in$

 $\{1,2,\ldots,m\}$, let r_j be the probability that the j-th batch has at least one f-feasible option. Let us define $v_0=0$ and $v_j=\sum_{k=1}^j b_k$ (i.e. v_j is the number of options in batches 1 through j). We can express r_j as:

$$r_j = 1 - \prod_{t=v_{j-1}+1}^{v_j} (1 - q_t)$$
 (2.4)

 $\Pr(j)$ is the probability that the scheduling process ends after j-th iteration (with $\Pr(m) = 1 - \sum_{k=1}^{m-1} \Pr(k)$). For $1 \le j < m$, $\Pr(j)$ is given by:

$$\Pr(j) = r_j \prod_{k=1}^{j-1} (1 - r_k)$$
 (2.5)

It is understood that $\prod_{k=x}^{y}(\cdot)$ is equal to 1 when x > y.

Recall that $\hat{B}_{m-1} = \langle b_2, b_3, \dots, b_m \rangle$. Not to be confused, let us express it as $\hat{B}_{m-1} = \langle \hat{b}_1, \hat{b}_2, \dots, \hat{b}_{m-1} \rangle$ with $\hat{b}_j = b_{j+1}$ for all $j \geq 1$. Let \hat{r}_j be the probability that a feasible option exists in j-th batch of \hat{B}_{m-1} , which is equal to r_{j+1} for all $j \geq 1$. Let $\hat{P}r(j)$ be the probability that scheduling ends after the j-th iteration of \hat{B}_{m-1} :

$$\hat{Pr}(j) = \hat{r}_j \prod_{k=1}^{j-1} (1 - \hat{r}_k) = r_{j+1} \prod_{k=2}^{j} (1 - r_k)$$
(2.6)

We can express $\mathbb{E}_c[\hat{B}_{m-1}]$ as follows:

$$\mathbb{E}_{c}[\hat{B}_{m-1}] = \sum_{j=1}^{m-1} \hat{P}r(j) \left(\sum_{k=1}^{j} c(k, \hat{b}_{k}) \right)$$

$$= \sum_{j=1}^{m-1} r_{j+1} \left(\prod_{k=2}^{j} (1 - r_{k}) \right) \left(\sum_{k=2}^{j+1} c(k-1, b_{k+1}) \right)$$

$$= \sum_{j=2}^{m} r_{j} \left(\prod_{k=2}^{j-1} (1 - r_{k}) \right) \left(\sum_{k=2}^{j} c(k-1, b_{k+1}) \right)$$

The first equality holds by definition. The second equality is due to Equation 2.6 and because $\hat{b}_k = b_{k+1}$. The third equality is by changing the range of j in the summation.

If we multiply $\mathbb{E}_c[\hat{B}_{m-1}]$ by $(1-r_1)\theta$, we get the following:

$$(1-r_1)\theta \mathbb{E}_c[\hat{B}_{m-1}] = \sum_{j=2}^m r_j \left(\prod_{k=1}^{j-1} (1-r_k) \right) \left(\sum_{k=2}^j c(k, b_{k+1}) \right)$$
(2.7)

Notice that the product term now runs from k = 1 to j - 1 as we multiply by $(1 - r_1)$ and the inner-most summation has $c(k, b_{k+1})$ as we multiply by θ (recall that c is θ -simple).

Finally we can express $\mathbb{E}_c[B_m]$ in terms of $\mathbb{E}_c[\hat{B}_{m-1}]$ as follows (where $c_1 = c(1,b_1)$ for brevity):

$$\mathbb{E}_{c}[B_{m}] = \sum_{j=1}^{m} \Pr(j) \left(\sum_{k=1}^{j} c(k, b_{k}) \right) \\
= c_{1} + \left(\sum_{j=2}^{m} r_{j} \left(\prod_{k=1}^{j-1} (1 - r_{k}) \right) \left(\sum_{k=2}^{j} c(k, b_{k}) \right) \right) \\
= c_{1} + (1 - r_{1}) \theta \mathbb{E}_{c}[\hat{B}_{m-1}] \\
= c(1, b_{1}) + \left(\prod_{t=1}^{b_{1}} (1 - q_{t}) \right) \cdot \theta \cdot \mathbb{E}_{c}[\hat{B}_{m-1}]$$

The first equality holds by definition of $\mathbb{E}_c[B_m]$. The second equality is obtained by taking $c(1,b_1)$ out from the summation (and note that $\Pr(j)$ adds up to 1) first and then applying Equation 2.5. The last two inequalities hold due to Equation 2.7 and 2.4, respectively. The last expression exactly matches Equation 2.3 in the lemma.

Using the recurrence relation in Lemma 2 and the computing method in Lemma 1, we can now design an efficient recursive algorithm finds the optimal B-Doodle mechanism. Our algorithm is presented in Algorithm 1 as a recursive method Rec(x). We assume that the values of q_t have been computed as a pre-processing step prior to running our algorithm, using the method in Lemma 1. We further assume that options are sorted in decreasing order of q_t (i.e. $q_1 \ge q_2 \ge \cdots \ge q_s$); therefore we simply refer to the option by its index (i.e. t = 3 refers to the third option in the sorted list of options).

Given $1 \le x \le s$, Rec(x) returns optimal B-Doodle (B^*) that consists of options $\{x, x+1,\ldots,s\}$ and its expected cost (EC^*) . To solve for a given instance of the problem, we simply call the method Rec(x) with x=1.

Algorithm 1 Recursive Algorithm: Rec(x)

```
1: B^* \leftarrow \langle s-x+1 \rangle, EC^* \leftarrow c(1, s-x+1)
 2: for b := 1, 2, \dots, s - x do
           (B,EC) \leftarrow Rec(x+b)
 3:
           EC_b \leftarrow c(1,b) + \left(\prod_{t=x}^{x+b-1} (1-q_t)\right) \cdot \theta \cdot EC
 4:
 5:
           if EC^* > EC_b then
                EC^* \leftarrow EC_b
 6:
                B^* \leftarrow \langle b, B \rangle
 7:
           end if
 8:
 9: end for
10: return (B^*, EC^*)
```

Theorem 2. Algorithm 1 runs in polynomial time, and returns an optimal B-Doodle mechanism that minimizes the expected cost, given an instance (N, S, f, c, P) of BDP when c is θ -simple.

Proof. Our recursive method is very simple: given options $\{x, x+1, \ldots, s\}$, it iteratively considers the case of sending out b options in the first iteration, and computes the expected cost EC_b of doing so, for each b with $1 \le b \le s-x+1$. In line 1, our method checks for the trivial case when b = s-x+1 (i.e. all options are sent in a single batch); we store this mechanism in B^* and its expected cost in EC^* . Then we iterate b from 1 to s-x and compute the expected cost EC_b , and compare with the optimal expected cost found so far (lines 2-9). For each b, we first recursively compute the expected cost for the remaining options (namely, $\{x+b, x+b+1, \ldots, s\}$) by calling our method Rec(x+b), and store the expected cost in EC (line 3). Using Lemma 2 we can compute EC_b as in line 4 (note that the first batch contains b options from x to x+b-1). In lines 5-8, we simply compare EC_b with the current optimal, EC^* , and update if necessary; $\langle b, B \rangle$ should be interpreted as the concatenation of b and B into a single vector of integers. Finally in line 10, our method returns the optimal B-Doodle found, B^* and its expected cost EC^* .

We prove correctness of the algorithm by induction on x (from x = s to x = 1). The base case is trivial: if x = s the only B-Doodle mechanism is $\langle 1 \rangle$ and our method finds it in line 1 and returns it in line 10. Suppose our method correctly returns the optimal B-Doodle mechanism (and its expected cost) for all x > k for some k (the inductive hypothesis), and

we prove for the case of x = k. When x = k, there are precisely (s - k + 1) options that are to be sent, and the first batch can contain any number of options between 1 and (s - k + 1), inclusive. In lines 1-9 our method considers all such cases, and for each case it computes the expected cost correctly (in line 4) due to our inductive hypothesis and Lemma 2. Therefore our method finds the optimal B-Doodle for all x with $1 \le x \le s$; in particular when x = 1, it returns the desired optimal B-Doodle mechanism for the given problem instance.

Our recursive method runs in polynomial time when we use memoization on Rec(x) with respect to x; that is, for each x we cache what Rec(x) returns for the first time, and for any subsequence calls to Rec(x) we simply return the cached values. Therefore lines 1-9 are executed at most once for each x with $1 \le x \le s$. Also note that Rec(x) makes a call to Rec(x+b) with $b \ge 1$ and $x+b \le s$, which means there are no infinite loops. Once Rec(x) is computed for all x > k, it takes $O(|S|^2)$ time to compute Rec(k), and thus the overall running time of our algorithm is $O(|S|^3)$ when we start with Rec(1). Pre-processing steps (of computing g_t) also run in polynomial time due to Lemma 1.

2.3 Experimental Results with Synthetic Data

We showed that we can find an optimal B-Doodle mechanism that minimizes the expected cost. But a very important question remains: Is our optimal B-Doodle substantially better than Doodle in realistic settings? If the answer is no, we do not have much incentive to discard the simplest mechanism, Doodle, over using our sophisticated algorithm. Intuitively we expect Doodle to be inefficient if there are many options (i.e., s is large) because it causes much inconvenience for invitees to examine the options. Reasoning further, we can also see that inefficiency of Doodle depends on the probability of each option being feasible (i.e. q_t values), which in turn depends on $p_{i,t}$ values. If invitees are relatively free, then a small number of options would be sufficient for finding a feasible option, which makes Doodle inefficient. Last but not least, the underlying cost function plays an important role as well. These all sound plausible, and we validate our intuition with simulation results.

In our setting there are many experimental choices one can choose from. In what follows we look at a representative example in which each invitee is available for each option with the same probability of p; that is, $p_{i,t} = p$ for some constant p. (While in realistic situations the $p_{i,t}$'s may all differ, we note that in our simulations our results seem to carry over to these more general settings as well.) We present experimental results for each of the three cost functions discussed in Example 1: The linear cost function, the time-averse cost function, and the inconvenience-averse function.

2.3.1 Case of Linear Cost Function

Recall that the linear cost function is parameterized by some constant $\alpha > 0$ and that $c_{\alpha}(j,b) = \alpha + b$. We used $\alpha = 2$ as an experimental choice, and we later discuss what we observed for different values of α .

We reasoned that Doodle becomes suboptimal if the number of options, s, is large. Therefore it is interesting to know for what ranges of s, Doodle is suboptimal. For some fixed (n,s,p), let $C^D(n,s,p)$ be the cost of Doodle and $C^*(n,s,p)$ be the expected cost of B^* . We want to find the smallest critical point $S^*(n,p)$ such that for any $s \ge S^*(n,p)$ we have $C^D(n,s,p) > C^*(n,s,p)$.

In Table 2.1, we show S^* for various (n,p) when f=1 (i.e. the organizer requires everyone be available). For instance we find $S^*(6,.8)=5$, which implies that Doodle is suboptimal for all $s \ge 5$ given that n=6 and p=.8. The smaller S^* is, the less practical Doodle is for the corresponding (n,p). Across the table we can observe that S^* is small when n is small and/or when p is high – this agrees with our intuition. We highlighted 8 entries in boldface to emphasize that $S^* \le 15$; for the corresponding (n,p) values, Doodle is suboptimal if there are 15 or more options being considered.

S^*	n=2	n=4	n=6	n = 10	n = 15
p = .8	3	4	5	8	15
p = .5	5	11	22	90	> 300
p = .2	14	70	> 300	> 300	> 300

Table 2.1: Critical point S^* for various (n, p) when $f = 1, \alpha = 2$. Entries in boldface emphasize that $S^* \le 15$.

Not only do we want to know when Doodle is suboptimal, but we also want to know how inefficient Doodle is in realistic situations. For some fixed (n, s, p), we define the

efficiency of Doodle, $e_D(n, s, p)$, as the ratio of the optimal expected cost to the cost of Doodle: $e_D(n, s, p) = C^*(n, s, p)/C^D(n, s, p)$.

In Table 2.2 we show e_D for the same settings of (n, p) we used in Table 2.1. For this experiment we used s = 15 and f = 1. If $e_D = 1$ Doodle is optimal, and if e_D is close to zero then Doodle is very inefficient. We find that $e_D(2, .8) = .270$, which implies that Doodle is very inefficient in this case; on the other hand $e_D(10, .5) = 1$, in which case Doodle is optimal. Across the table we observe the same pattern we observed before – for small n and high p, Doodle is substantially inefficient.

e_D	n=2	n=4	n = 6	n = 10	n = 15
p = .8	.270	.361	.486	.777	.986
p = .5	.502	.904	1	1	1
p = .2	.970	1	1	1	1

Table 2.2: Efficiency of Doodle e_D for various (n, p) when $f = 1, \alpha = 2, s = 15$. Entries in boldface emphasize that $e_D < .750$.

Inefficiency of Doodle is more pronounced when the organizer has a lower feasibility threshold such as f=.7; in such case, only a fraction of invitees need to be available. We can clearly observe the worsened inefficiency of Doodle in Table 2.3. Here we show e_D values for the same set of (n,p) as in Table 2.2 but with f=.7. Previously we highlighted four entries with $e_D < .750$ when f=1 in Table 2.2; when f=.7 the number of entires with $e_D < .750$ doubled to eight. Note that the first column is identical between the two tables; this is because f=.7 still requires both invitees to be available when n=2. Also notice that e_D is surprisingly low in the first row of Table 2.3 across all columns. This shows that regardless of the number of invitees, Doodle is significantly inefficient when the invitees are highly available $(p \ge .8)$ and the organizer has a relaxed feasibility threshold.

e_D	n=2	n=4	n = 6	n = 10	n = 15
p = .8	.270	.215	.267	.201	.211
p = .5	.502	.434	.772	.628	.913
p = .2	.970	1	1	1	1

Table 2.3: Efficiency of Doodle e_D for various (n, p) when $f = .7, \alpha = 2, s = 15$. Entries in boldface emphasize that $e_D < .750$.

We ran experiments with different values of (n, s, p, f, α) , and observed the same trends that are presented here.

2.3.2 Case of Other Cost Functions

We present some of the experimental results we obtained with different cost functions, namely the time-averse cost function and the inconvenience-averse cost function. As the names suggest, the former places more weight on optimizing Time, while the latter on optimizing Inconvenience.

For the time-averse cost function (recall $c_{\beta}(j,b) = \beta^{j} \cdot b$), we chose $\beta = 2$ as an experimental choice. Notice that the cost increases exponentially for later iterations, which forces the organizer to send out a small number of batches (as in Doodle). We ran the same set of experiments as before to measure efficient of Doodle, e_{D} .

The result is summarized in Table 2.4, which shows the same trends as we observed in previous experiments. Notice that in the first row (p = .8), as n increases we expect e_D to decrease, but e_D fluctuates while decreasing in general. The fluctuation is due to the rounding of attendance requirement $(\lceil a \cdot n \rceil)$. For instance when n = 4, $\lceil a \cdot n \rceil = 3$ which effectively requires 75% of attendees be available.

e_D	n=2	n=4	n=6	n = 10	n = 15
p = .8	.180	.104	.175	.088	.099
p = .5	.561	.457	.876	.726	.987
p = .2	1	1	1	1	1

Table 2.4: Efficiency of Doodle e_D for various (n, p) when $f = .7, \beta = 2, s = 15$. Entries in boldface emphasize that $e_D < .750$.

For the inconvenience-averse cost function (recall $c_{\gamma}(j,b) = \gamma^b$), we chose $\gamma = 1.1$ as an experimental choice. While γ is fairly small, because the cost function is an exponential function of b, an optimal B-Doodle must send out small-size batches. This cost function optimizes Inconvenience primarily. We ran the same set of experiments as before to measure efficiency of Doodle, e_D .

The result is summarized in Table 2.5. Notice that e_D is not equal to 1 even when p = .2 in this setting, which we did not observe with the other cost functions previously. Due to integer-rounding, we again observe some fluctuations in e_D across columns within the same row, but the general trend is that e_D decreases as n increases.

e_D	n=2	n=4	n = 6	n = 10	n = 15
p = .8	.333	.299	.329	.294	.298
p = .5	.499	.450	.695	.592	.799
p = .2	.850	.887	.974	.976	.980

Table 2.5: Efficiency of Doodle e_D for various (n, p) when $f = .7, \gamma = 1.1, s = 15$. Entries in boldface emphasize that $e_D < .750$.

2.3.3 Summary of Experiments

While we only presented experimental results with specific values of (n, s, p, f) and parameters (α, β, γ) , we observed that Doodle is in general substantially inefficient, including (but not limited to) when one or more of the following conditions hold:

- There is a relatively small number of invitees $(n \le 10)$.
- There is a large number of options ($s \ge 15$).
- invitees are relatively free (p > .5).
- Feasibility threshold is relaxed (f < .8).
- The cost function places more weight on Inconvenience than Time (α < 20, β < 5, or γ > 1.05).

Intuitively the first four conditions affect q_t (the probability that option t is feasible) in the same way, and if q_t 's are high then Doodle is more likely to be inefficient because a few options may be sufficient for finding a feasible one. While the last condition is independent of q_t , the cost function determines what is being optimized, and Doodle becomes more inefficient if c favors reducing Inconvenience over reducing Time.

2.4 Discussion

In this chapter we identified two important dimensions of optimality in group scheduling: Time and Inconvenience. We generalized the popular Doodle mechanism to a class of B-Doodle mechanisms that partition date/time options into batches. We showed an example of the Pareto-frontier of B-Doodle mechanisms on the Time-Inconvenience dimensions. We then described an efficient algorithm for finding an optimal B-Doodle mechanisms, given a simple cost function that aggregates Time and Inconvenience, assuming probabilistic independence among availability of invitees. We showed in simulations that optimal B-Doodle mechanism is superior to Doodle in realistic situations, sometimes greatly so.

Complexity of Group Scheduling Problem II

Complexity of Group Activity Selection Problem

Complexity of Stable Invitations Problem

Incentive Compatibility in Group Assignment Problems

Open Problems

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