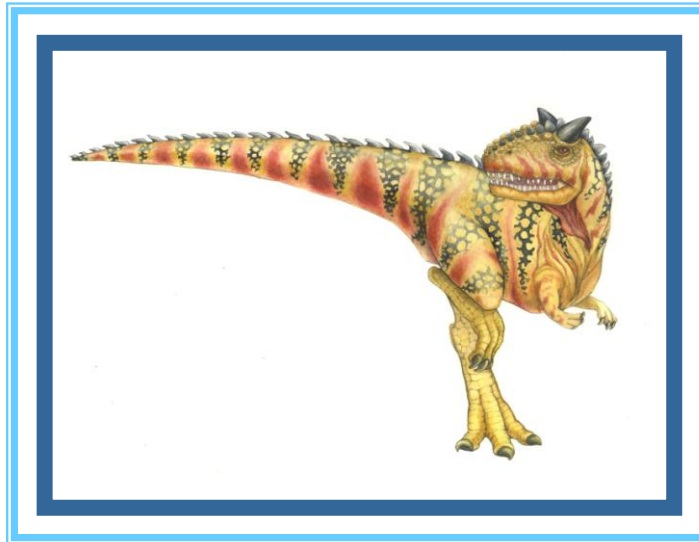


Chapter 2:

Operating-System Structures





Chapter 2: Operating-System Structures

- Operating System Services
- User Operating System Interface
- System Calls
- Types of System Calls
- System Programs
- Operating System Design and Implementation
- Operating System Structure
- Operating System Debugging
- Operating System Generation
- System Boot





Objectives

- To describe the services an operating system provides to users, processes, and other systems
- To discuss the various ways of structuring an operating system
- To explain how operating systems are installed and customized and how they boot





Operating System Services

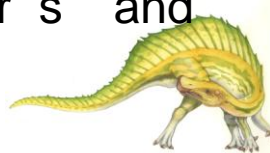
- Operating systems provide an environment for execution of programs and services to programs and users
- One set of operating-system services provides functions that are **helpful to the user**:
 - **User interface** - Almost all operating systems have a user interface (**UI**).
 - ▶ Varies between **Command-Line Interface (CLI)** , **Batch**, **Graphics User Interface (GUI)**
 - **Program execution** - The system must be able to load a program into memory and to run that program, end execution, either normally or abnormally (indicating error)
 - **I/O operations** - A running program may require I/O, which may involve a file or an I/O device
 - **File-system manipulation** - The file system is of particular interest. Programs need to read and write files and directories, create and delete them, search them, list file Information, permission management.





Operating System Services (Cont.)

- Operating systems provide an environment for execution of programs and services to programs and users
- One set of operating-system services provides functions that are **helpful to the user**:
 - **Communications** – Processes may exchange information, on the same computer or on different computers over a network
 - ▶ Communications may be via shared memory or through message passing (packets moved by the OS)
 - **Error detection** – OS needs to be constantly aware of possible errors
 - ▶ May occur in the CPU and memory hardware, in I/O devices, in user program
 - ▶ For each type of error, OS should take the appropriate action to ensure correct and consistent computing
 - ▶ Debugging facilities can greatly enhance the user's and programmer's abilities to efficiently use the system





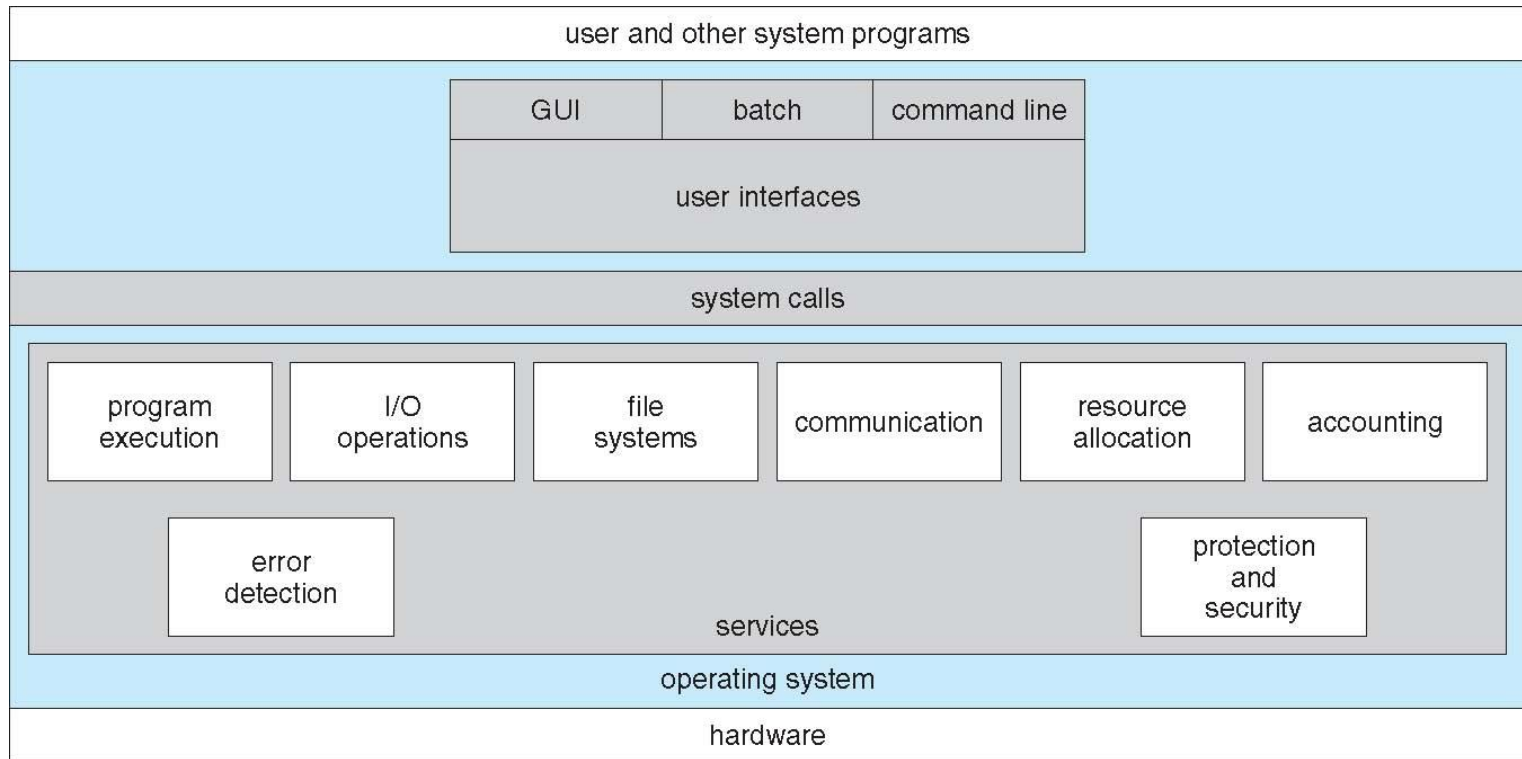
Operating System Services (Cont.)

- Another set of OS functions exists for ensuring the **efficient operation of the system** itself via resource sharing
 - **Resource allocation** - When multiple users or multiple jobs running concurrently, resources must be allocated to each of them
 - ▶ Many types of resources - Some (such as CPU cycles, main memory, and file storage) may have special allocation code, others (such as I/O devices) may have general request and release code
 - **Accounting** - To keep track of which users use how much and what kinds of computer resources
 - **Protection and security** - The owners of information stored in a multiuser or networked computer system may want to control use of that information, concurrent processes should not interfere with each other
 - ▶ **Protection** involves ensuring that all access to system resources is controlled
 - ▶ **Security** of system from outsiders requires user authentication, extends to defending external I/O devices from invalid access attempts
 - ▶ If a system is to be protected and secure, precautions must be instituted throughout it.





A View of Operating System Services





User Operating System Interface - CLI

- CLI or **command interpreter** allows users to enter commands that performed by OS
 - Sometimes implemented in kernel, sometimes by systems program
 - Maybe multiple flavors implemented – **shells**
 - ▶ Primarily obtains a command from the user and executes it
 - ▶ Sometimes contains the code to execute each command, or the commands are just names of programs
 - ▶ If the latter, adding new features doesn't require shell modification





Bourne Shell Command Interpreter

```

Default
New Info Close Execute Bookmarks

PBGMac-Pro:~ pbg$ w
15:24 up 56 mins, 2 users, load averages: 1.51 1.53 1.65
USER      TTY      FROM            LOGIN@   IDLE   WHAT
pbg       console  -               14:34    50    -
pbg       s000    -               15:05    -    w
PBGMac-Pro:~ pbg$ iostat 5

            disk0      disk1      disk10      cpu      load average
      KB/t tps  MB/s   KB/t tps  MB/s   KB/t tps  MB/s  us sy id  1m  5m  15m
    33.75 343 11.30   64.31 14  0.88   39.67 0  0.02  11 5 84  1.51 1.53 1.65
     5.27 320  1.65    0.00 0  0.00    0.00 0  0.00   4 2 94  1.39 1.51 1.65
     4.28 329  1.37    0.00 0  0.00    0.00 0  0.00   5 3 92  1.44 1.51 1.65
^C
PBGMac-Pro:~ pbg$ ls
Applications          Music                  WebEx
Applications (Parallels)  Pando Packages       config.log
Desktop               Pictures              getsmartdata.txt
Documents             Public                imp
Downloads             Sites                 log
Dropbox               Thumbs.db             panda-dist
Library               Virtual Machines     prob.txt
Movies                Volumes               scripts
PBGMac-Pro:~ pbg$ pwd
/Users/pbg
PBGMac-Pro:~ pbg$ ping 192.168.1.1
PING 192.168.1.1 (192.168.1.1): 56 data bytes
64 bytes from 192.168.1.1: icmp_seq=0 ttl=64 time=2.257 ms
64 bytes from 192.168.1.1: icmp_seq=1 ttl=64 time=1.262 ms
^C
--- 192.168.1.1 ping statistics ---
2 packets transmitted, 2 packets received, 0.0% packet loss
round-trip min/avg/max/stddev = 1.262/1.760/2.257/0.498 ms
PBGMac-Pro:~ pbg$

```





User Operating System Interface - GUI

- User-friendly **desktop** metaphor interface
 - Usually mouse, keyboard, and monitor
 - **Icons** represent files, programs, actions, etc
 - Various mouse buttons over objects in the interface cause various actions (provide information, options, execute function, open directory – known as a **folder**)
 - Invented at Xerox PARC research facility (1970s)
- Many systems now include both CLI and GUI interfaces
 - Microsoft Windows is GUI with CLI “command” shell
 - Apple Mac OS X is “Aqua” GUI interface with UNIX kernel underneath and shells available
 - Unix and Linux have CLI with optional GUI interfaces (CDE, KDE, GNOME)

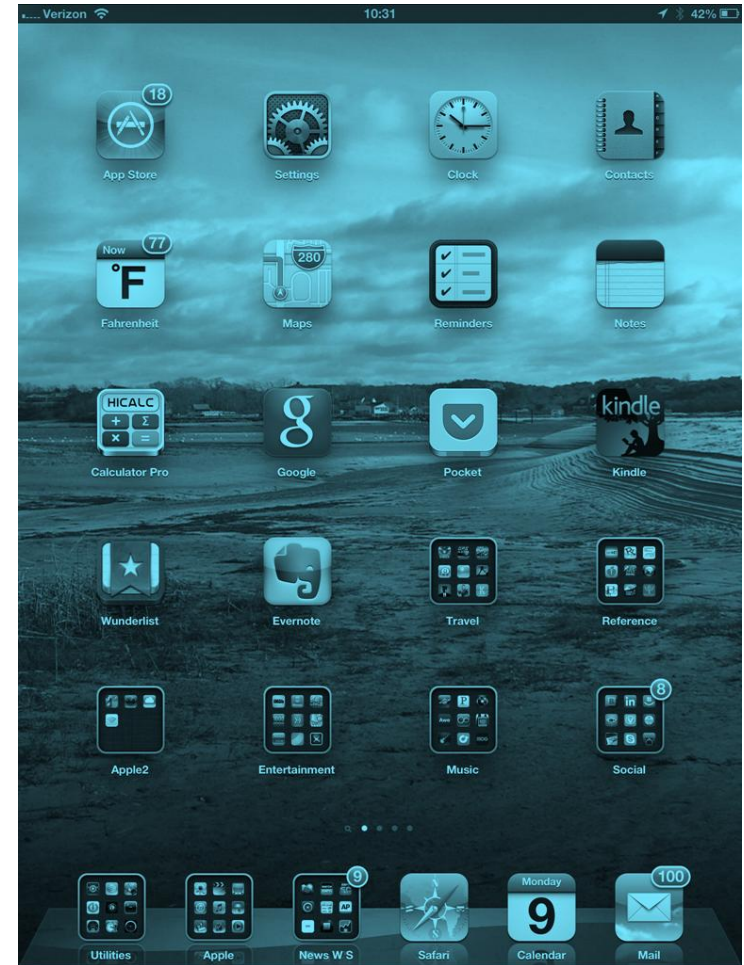






Touchscreen Interfaces

- Touchscreen devices require new interfaces
 - Mouse not possible or not desired
 - Actions and selection based on motions
 - Virtual keyboard for text entry





System Calls

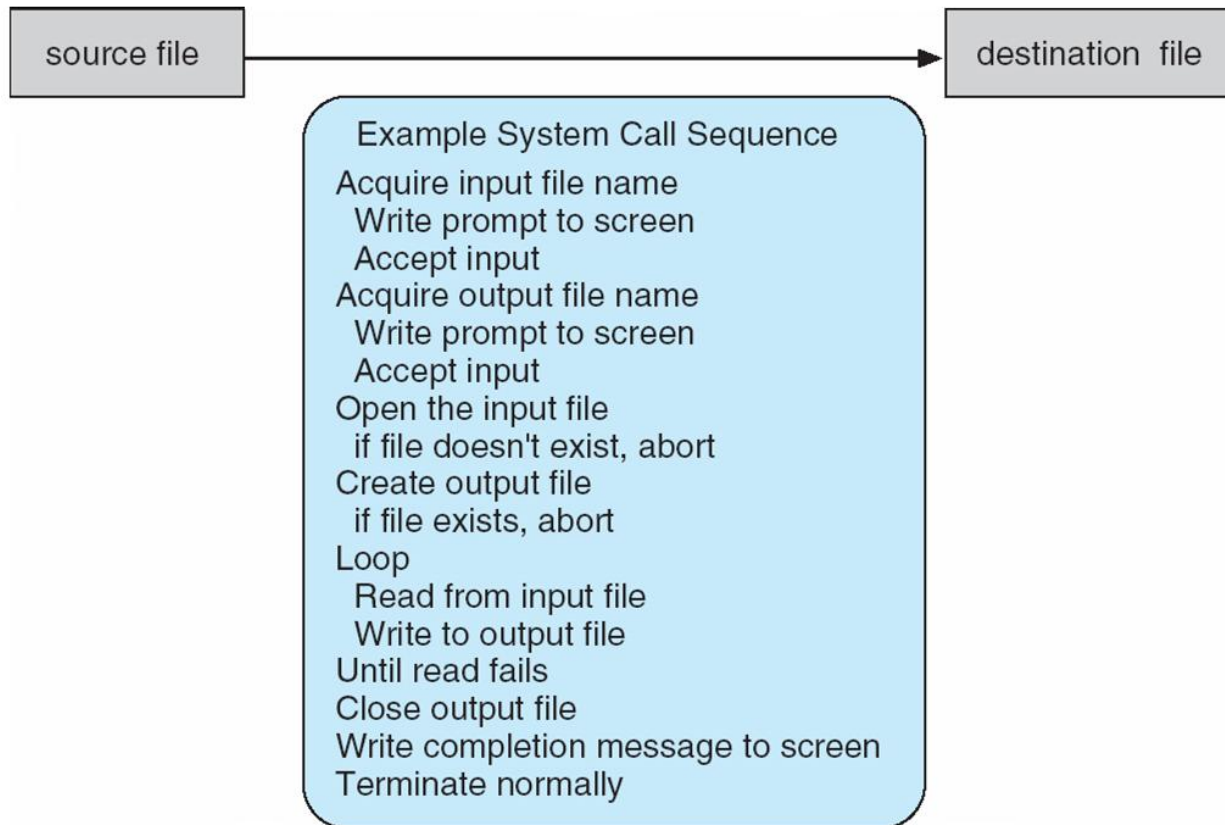
- Programming interface to the services provided by the OS
- Typically written in a high-level language (C or C++)
- Mostly accessed by programs via a high-level **Application Programming Interface (API)** rather than direct system call use
 - Three most common APIs are:
 - ▶ Win32 API for Windows
 - ▶ POSIX API for POSIX-based systems (including virtually all versions of UNIX, Linux, and Mac OS X)
 - ▶ Java API for the Java virtual machine (JVM)
- Why use APIs rather than system calls?





Example of System Calls

- System call sequence to copy the contents of one file to another file





Example of Standard API

EXAMPLE OF STANDARD API

As an example of a standard API, consider the `read()` function that is available in UNIX and Linux systems. The API for this function is obtained from the `man` page by invoking the command

```
man read
```

on the command line. A description of this API appears below:

#include <unistd.h>		
ssize_t	read	(int fd, void *buf, size_t count)
return	function	parameters
value	name	

A program that uses the `read()` function must include the `unistd.h` header file, as this file defines the `ssize_t` and `size_t` data types (among other things). The parameters passed to `read()` are as follows:

- `int fd`—the file descriptor to be read
- `void *buf`—a buffer where the data will be read into
- `size_t count`—the maximum number of bytes to be read into the buffer

On a successful read, the number of bytes read is returned. A return value of 0 indicates end of file. If an error occurs, `read()` returns `-1`.





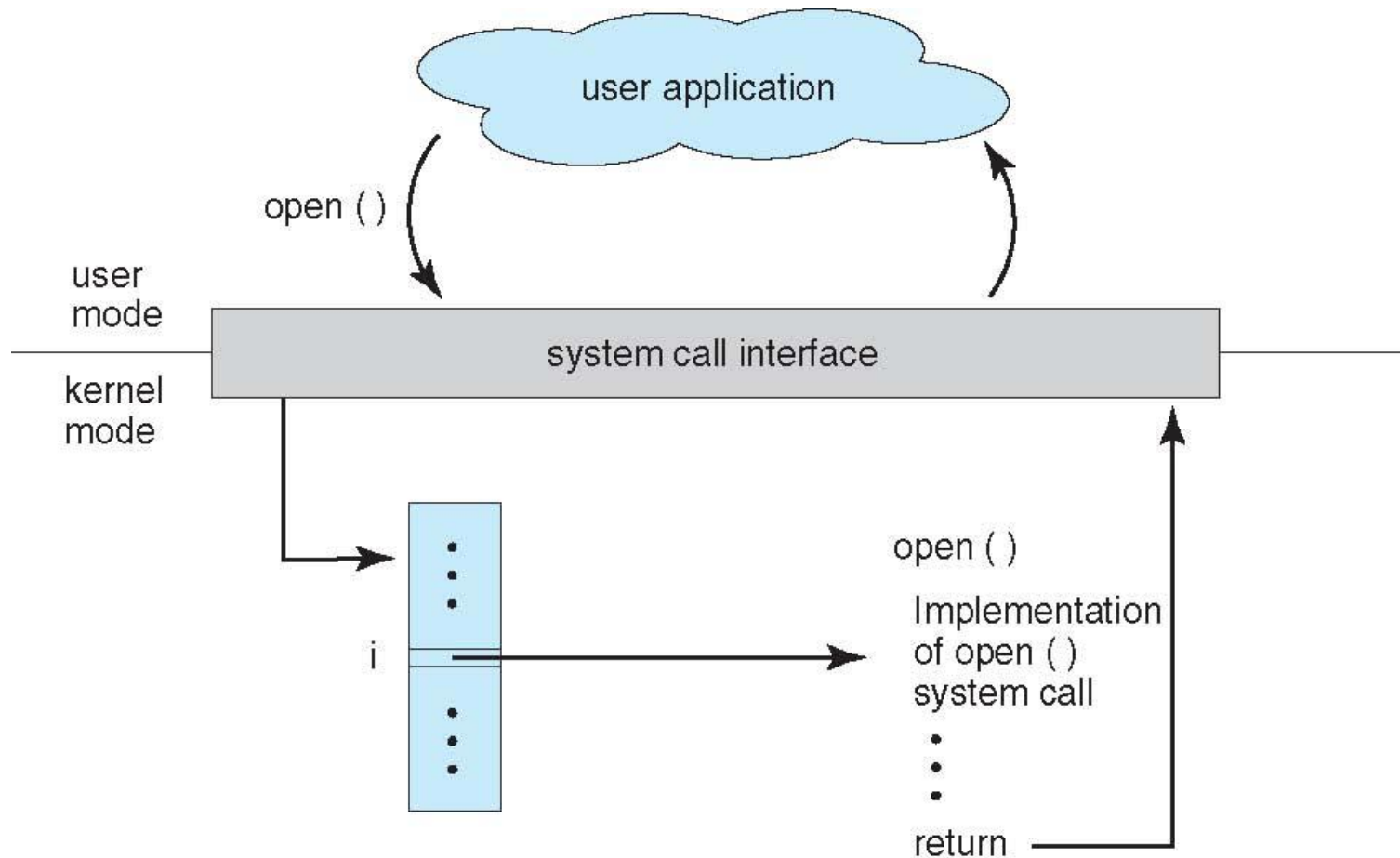
System Call Implementation

- Typically, a number associated with each system call
 - **System-call interface** maintains a table indexed according to these numbers
- The system call interface invokes proposed system call in OS kernel and returns status of the system call and any return values
- The caller need know nothing about how the system call is implemented
 - Just needs to follow API and understand what OS will do as a result call
 - Most details of OS interface are hidden from the programmer by API
 - ▶ Managed by set of functions built into libraries included with compiler





API – System Call – OS Relationship





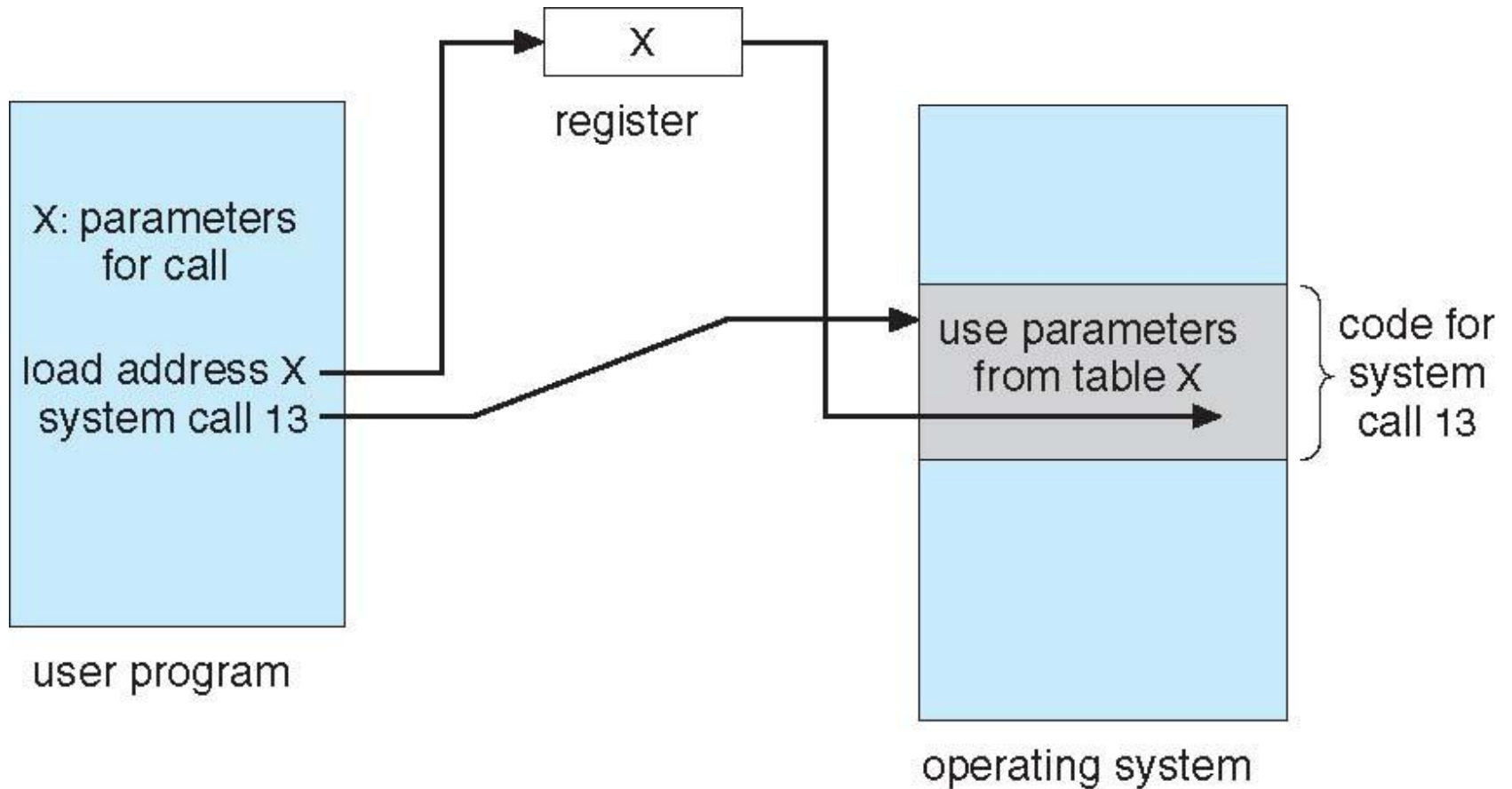
System Call Parameter Passing

- Often, more information is required than simply identity of desired system call
 - Exact type and amount of information vary according to OS and call
- Three general methods used to pass parameters to the OS
 - Simplest: pass the parameters in registers
 - ▶ In some cases, may be more parameters than registers
 - Parameters stored in a block, or table, in memory, and block address passed as a parameter in a register
 - ▶ This approach taken by Linux and Solaris
 - Parameters **pushed**, onto the **stack** by the program and **popped** off the stack by the operating system
- Block and stack methods do not limit the number or length of parameters being passed





Parameter Passing via Table





Types of System Calls

■ Process control

- end, abort
- load, execute
- create process, terminate process
- get process attributes, set process attributes
- wait for time
- wait event, signal event
- allocate and free memory
- Dump memory if error
- **Debugger** for determining **bugs, single step** execution
- **Locks** for managing access to shared data between processes





Types of System Calls

■ File management

- create file, delete file
- open, close file
- read, write, reposition
- get and set file attributes

■ Device management

- request device, release device
- read, write, reposition
- get device attributes, set device attributes
- logically attach or detach devices





Types of System Calls

■ Information maintenance

- get time or date, set time or date
- get system data, set system data
- get and set process, file, or device attributes

■ Communications

- create, delete communication connection
- **Message passing model** send, receive messages to **host name** or **process name**
 - ▶ From **client** to **server**
- **Shared-memory model** create and gain access to memory regions
- transfer status information
- attach and detach remote devices





Types of System Calls

■ Protection

- Control access to resources
- Get and set permissions
- Allow and deny user access





Examples of Windows and Unix System Calls

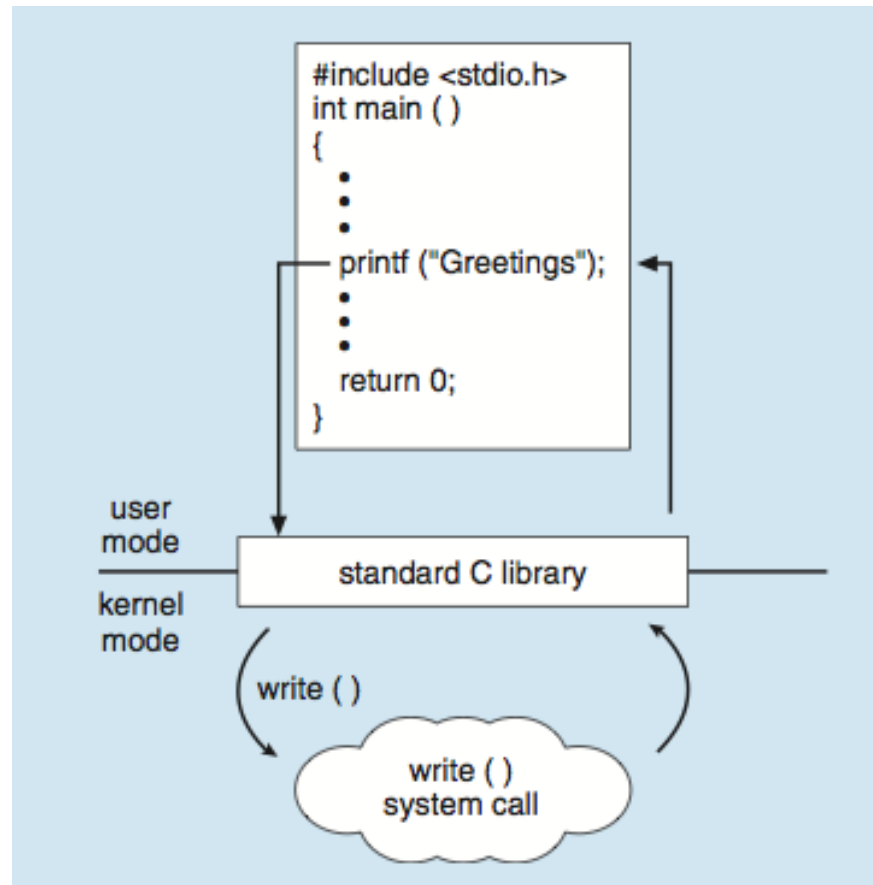
	Windows	Unix
Process Control	CreateProcess() ExitProcess() WaitForSingleObject()	fork() exit() wait()
File Manipulation	CreateFile() ReadFile() WriteFile() CloseHandle()	open() read() write() close()
Device Manipulation	SetConsoleMode() ReadConsole() WriteConsole()	ioctl() read() write()
Information Maintenance	GetCurrentProcessID() SetTimer() Sleep()	getpid() alarm() sleep()
Communication	CreatePipe() CreateFileMapping() MapViewOfFile()	pipe() shmget() mmap()
Protection	SetFileSecurity() InitializeSecurityDescriptor() SetSecurityDescriptorGroup()	chmod() umask() chown()





Standard C Library Example

- C program invoking printf() library call, which calls write() system call





System Programs

- System programs provide a convenient environment for program development and execution.
 - Some of them are simply user interfaces to system calls; others are considerably more complex
 - Most users' view of the operation system is defined by system programs, not the actual system calls
- Can be divided into these categories:
 - File manipulation and File modification
 - Status information
 - Programming language support
 - Program loading and execution
 - Communications
 - Background services
 - Application programs





Types of System Programs

- **File management** - Create, delete, copy, rename, print, dump, list, and generally manipulate files and directories
- **Status information**
 - Some ask the system for info - date, time, amount of available memory, disk space, number of users
 - Others provide detailed performance, logging, and debugging information
 - Typically, these programs format and print the output to the terminal or other output devices
 - Some systems implement a **registry** - used to store and retrieve configuration information





Types of System Programs

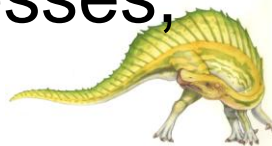
■ File modification

- Text editors to create and modify files
- Special commands to search contents of files or perform transformations of the text

■ Programming-language support - Compilers, assemblers, debuggers and interpreters sometimes provided

■ Program loading and execution- Absolute loaders, relocatable loaders, linkage editors, and overlay-loaders, debugging systems for higher-level and machine language

■ Communications - Provide the mechanism for creating virtual connections among processes, users, and computer systems





Types of System Programs

■ Background Services

- Launch at boot time
 - ▶ Some for system startup, then terminate
 - ▶ Some from system boot to shutdown
- Provide facilities like disk checking, process scheduling, error logging, printing
- Run in user context not kernel context
- Known as **services**, **subsystems**, **daemons**

■ Application programs

- Don't pertain to system
- Run by users
- Not typically considered part of OS
- Launched by command line, mouse click, finger poke





Operating System Design and Implementation

- Design and Implementation of OS not “solvable”, but some approaches have proven successful
 - Internal structure of different Operating Systems can vary widely
 - Start by defining goals and specifications
 - Affected by choice of hardware, type of system
- **User** goals and **System** goals
 - User goals – operating system should be convenient to use, easy to learn, reliable, safe, and fast
 - System goals – operating system should be easy to design, implement, and maintain, as well as flexible, reliable, error-free, and efficient





Operating System Design and Implementation (Cont.)

- Important principle to separate

Policy: What will be done?

Mechanism: How to do it?

- Mechanisms determine how to do something, policies decide what will be done
 - The separation of policy from mechanism is a very important principle, it allows maximum flexibility if policy decisions are to be changed later
- Specifying and designing OS is highly creative task of **software engineering**





Implementation

- Much variation
 - Early OSs in assembly language
 - Then system programming languages like Algol, PL/1
 - Now C, C++
- Actually usually a mix of languages
 - Lowest levels in assembly
 - Main body in C
 - Systems programs in C, C++, scripting languages like PERL, Python, shell scripts
- More high-level language easier to **port** to other hardware
 - But slower
- **Emulation** can allow an OS to run on non-native hardware





Operating System Structure

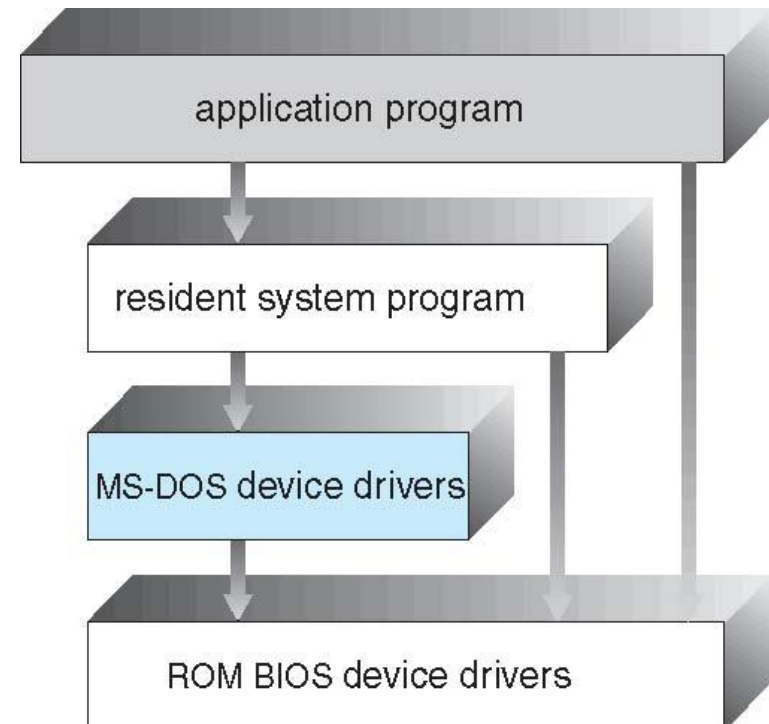
- General-purpose OS is very large program
- Various ways to structure one as follows
 - Simple Structure
 - Layered Approach
 - Microkernel System Structure
 - Modules
 - Hybrid Systems





Simple Structure

- I.e. MS-DOS – written to provide the most functionality in the least space
 - Not divided into modules
 - Although MS-DOS has some structure, its interfaces and levels of functionality are not well separated





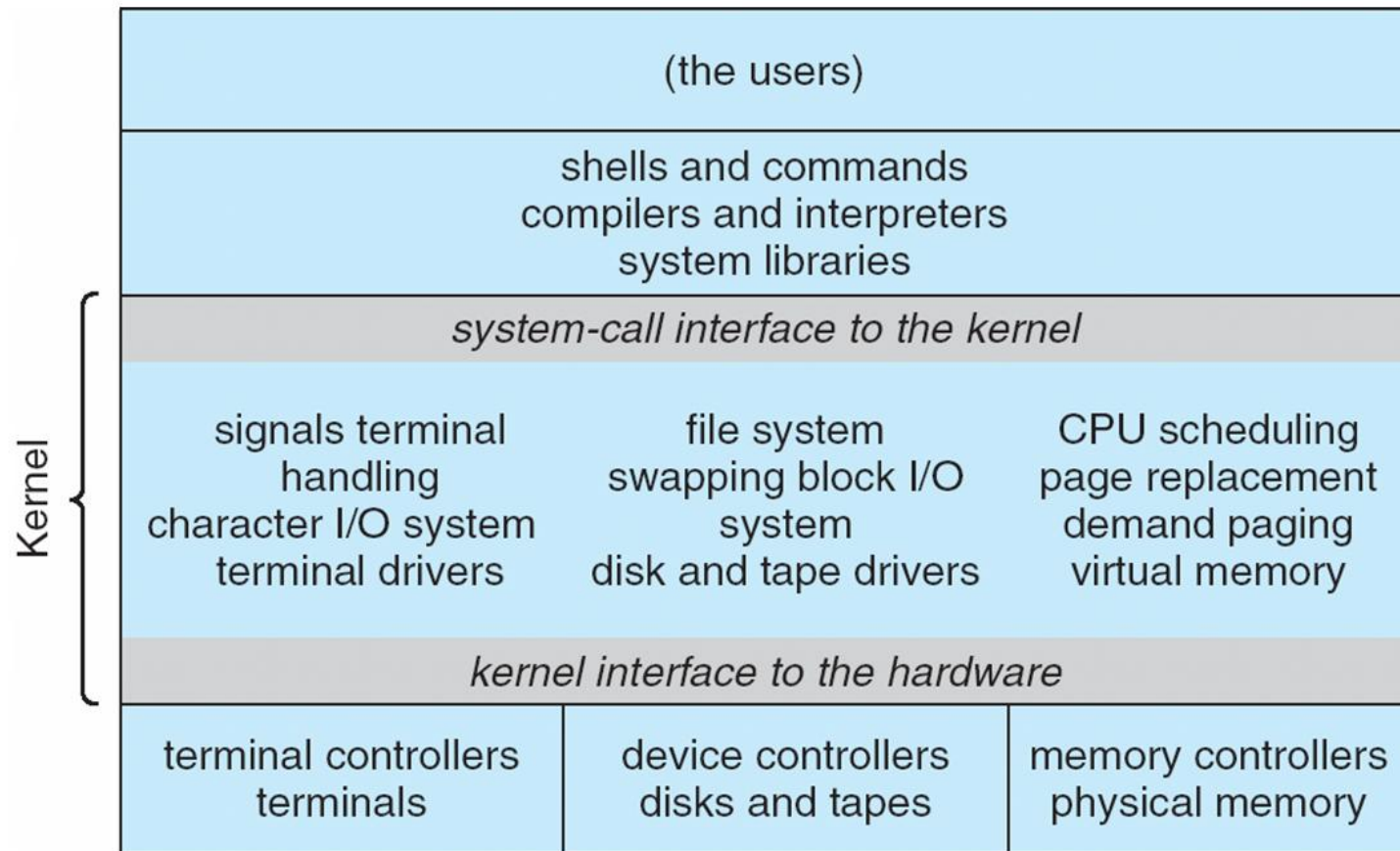
UNIX

- UNIX – limited by hardware functionality, the original UNIX operating system had limited structuring.
- The UNIX OS consists of two separable parts
 - Systems programs
 - The kernel
 - ▶ Consists of everything below the system-call interface and above the physical hardware
 - ▶ Provides the file system, CPU scheduling, memory management, and other operating-system functions; a large number of functions for one level





Traditional UNIX System Structure



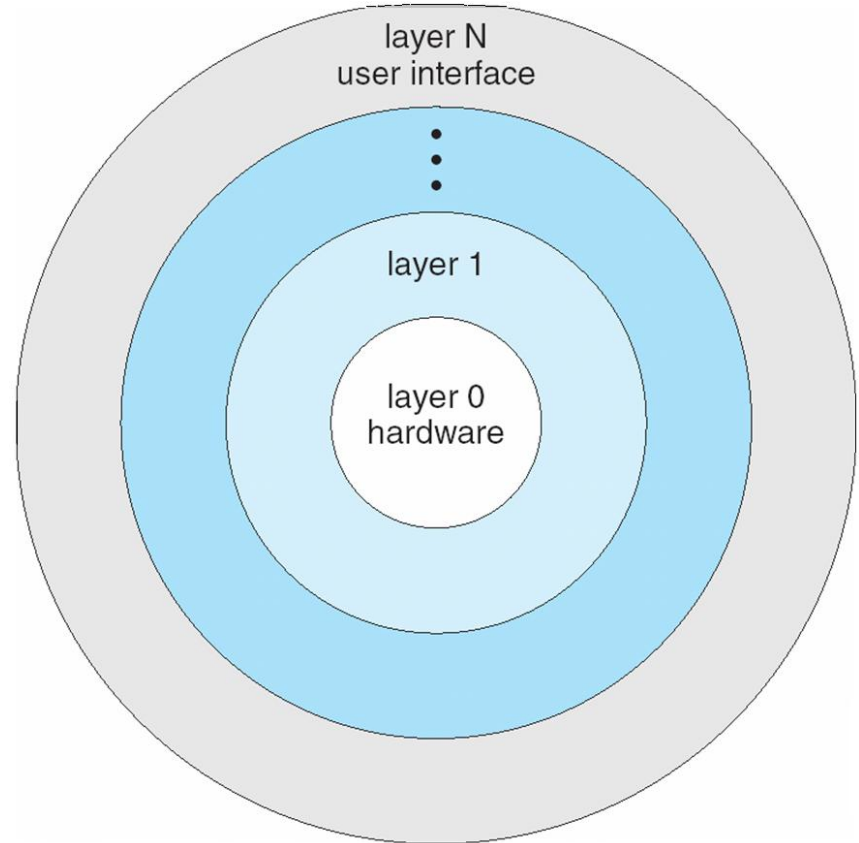
Beyond simple but not fully layered





Layered Approach

- The operating system is divided into a number of layers (levels), each built on top of lower layers.
 - The bottom layer (layer 0), is the hardware; the highest (layer N) is the user interface.
- With modularity, layers are selected such that each uses functions (operations) and services of only lower-level layers





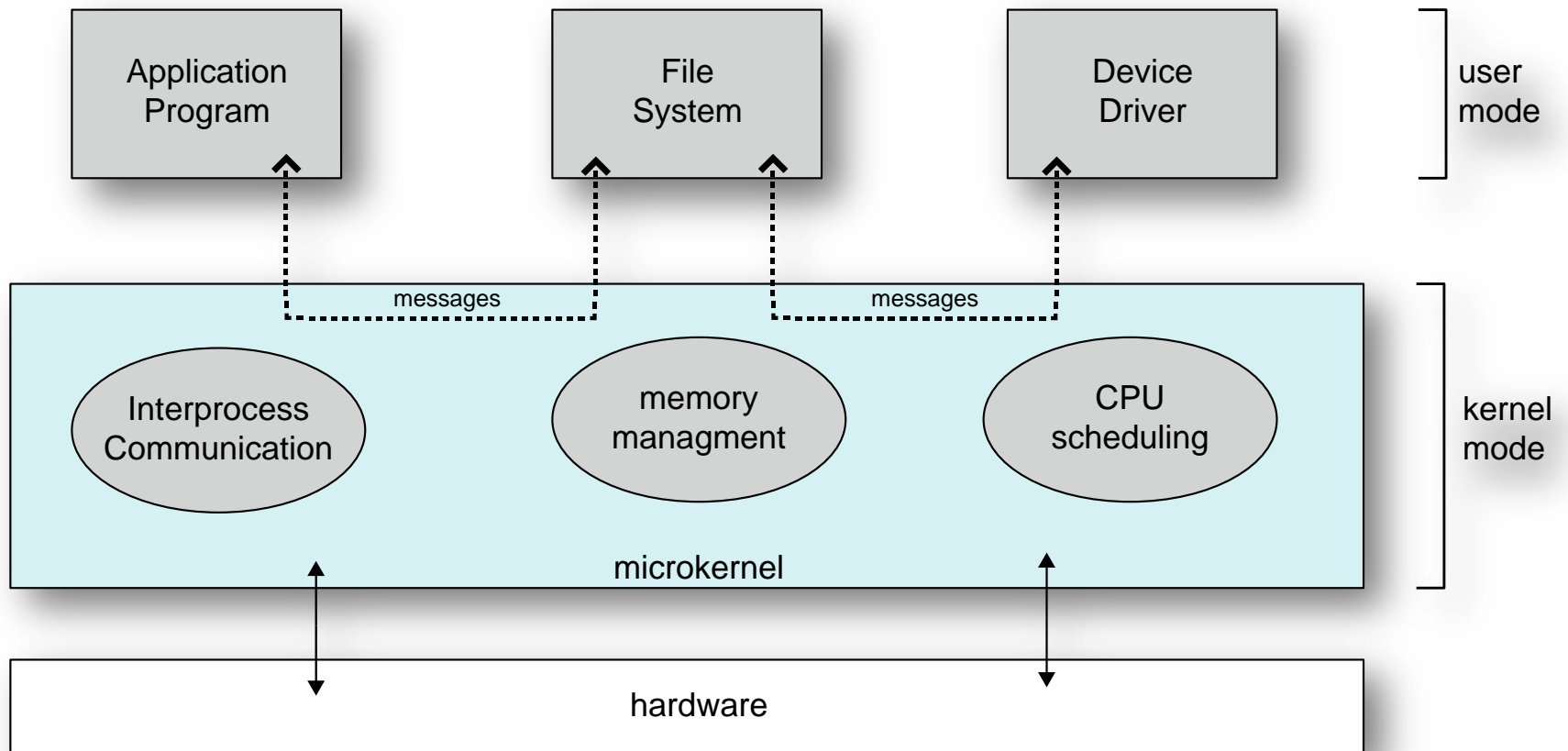
Microkernel System Structure

- Moves as much from the kernel into user space
 - **Mach** example of **microkernel**
 - ▶ Mac OS X kernel (**Darwin**) partly based on Mach
- Communication takes place between user modules using **message passing**
- Benefits:
 - Easier to extend a microkernel
 - Easier to port the operating system to new architectures
 - More reliable (less code is running in kernel mode)
 - More secure
- Detriments:
 - Performance overhead of user space to kernel space communication





Microkernel System Structure





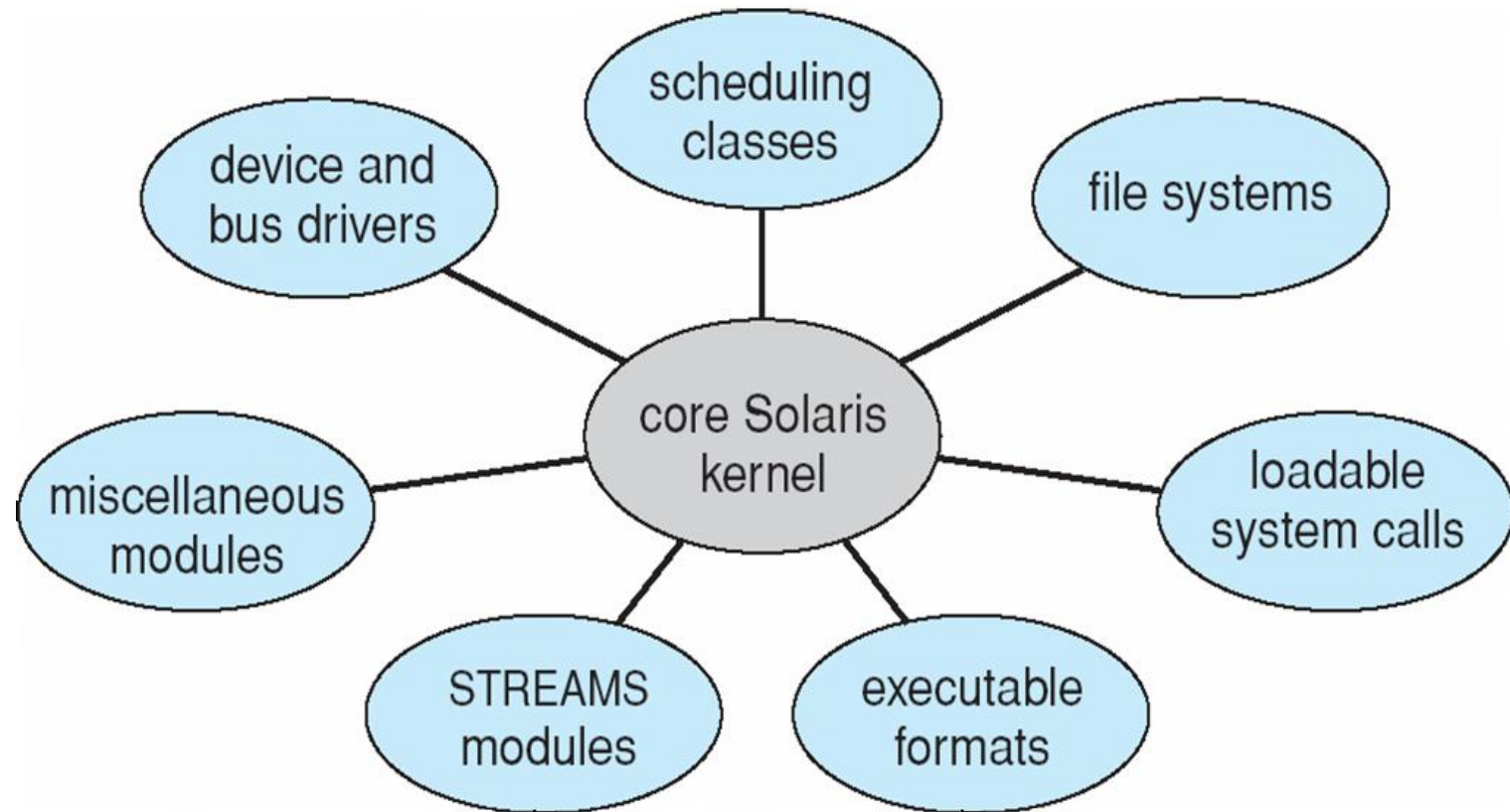
Modules

- Most modern operating systems implement **loadable kernel modules**
 - Uses object-oriented approach
 - Each core component is separate
 - Each talks to the others over known interfaces
 - Each is loadable as needed within the kernel
- Overall, similar to layers but with more flexible
 - Linux, Solaris, etc





Solaris Modular Approach





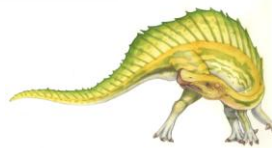
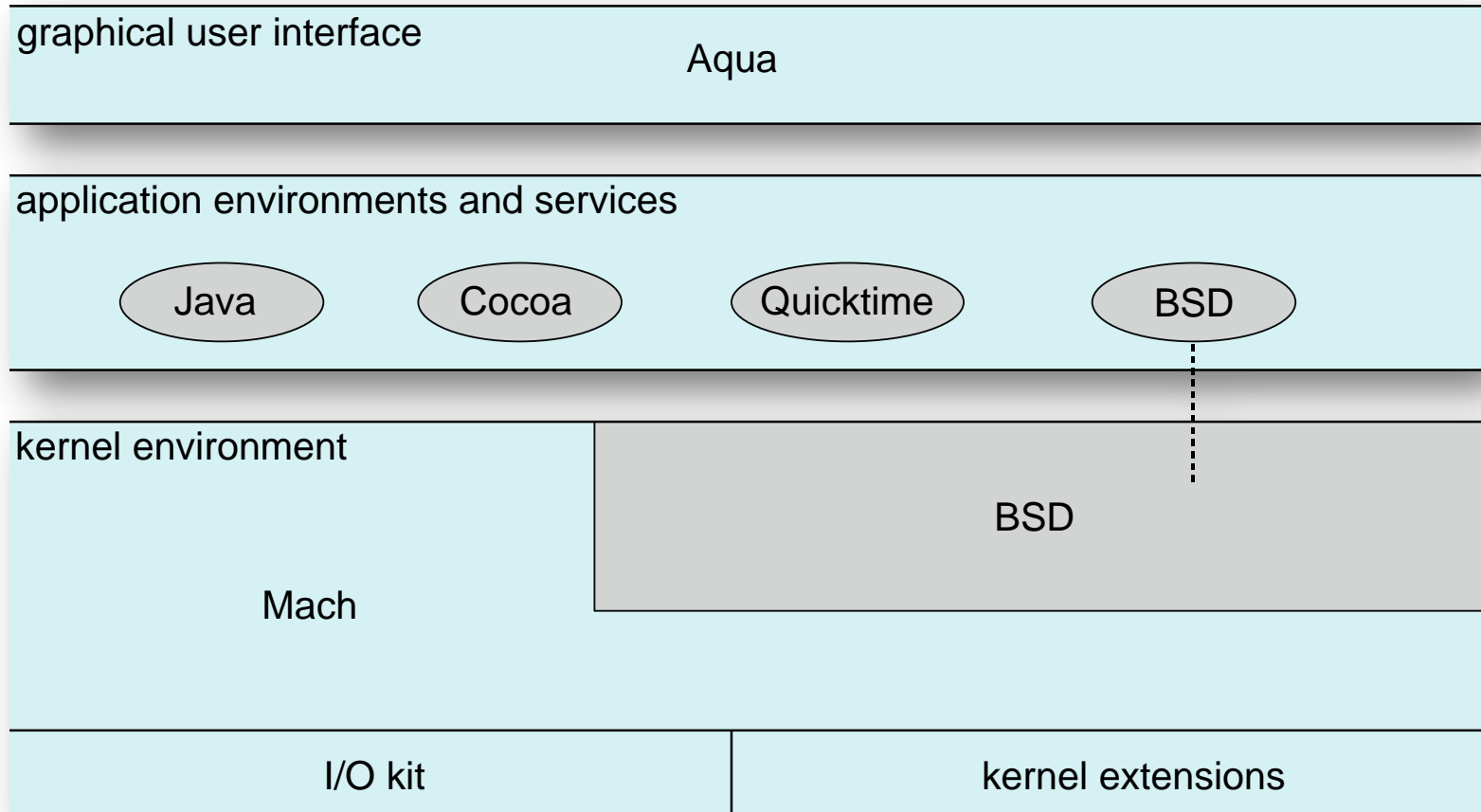
Hybrid Systems

- Most modern operating systems actually not one pure model
 - Hybrid combines multiple approaches to address performance, security, usability needs
 - Linux and Solaris kernels in kernel address space, so monolithic, plus modular for dynamic loading of functionality
 - Windows mostly monolithic, plus microkernel for different subsystem **personalities**
- Apple Mac OS X hybrid, layered, **Aqua** UI plus **Cocoa** programming environment
 - Below is kernel consisting of Mach microkernel and BSD Unix parts, plus I/O kit and dynamically loadable modules (called **kernel extensions**)





Mac OS X Structure





iOS

- Apple mobile OS for *iPhone*, *iPad*
 - Structured on Mac OS X, added functionality
 - Does not run OS X applications natively
 - ▶ Also runs on different CPU architecture (ARM vs. Intel)
 - **Cocoa Touch** Objective-C API for developing apps
 - **Media services** layer for graphics, audio, video
 - **Core services** provides cloud computing, databases
 - Core operating system, based on Mac OS X kernel

Cocoa Touch

Media Services

Core Services

Core OS





Android

- Developed by Open Handset Alliance (mostly Google)
 - Open Source
- Similar stack to iOS
- Based on Linux kernel but modified
 - Provides process, memory, device-driver management
 - Adds power management
- Runtime environment includes core set of libraries and Dalvik virtual machine
 - Apps developed in Java plus Android API
 - ▶ Java class files compiled to Java bytecode then translated to executable then runs in Dalvik VM
- Libraries include frameworks for web browser (webkit), database (SQLite), multimedia, smaller libc





Android Architecture

Application Framework

Libraries

SQLite

OpenGL

surface
manager

media
framework

webkit

libc

Android runtime

Core Libraries

Dalvik
virtual machine





Operating-System Debugging

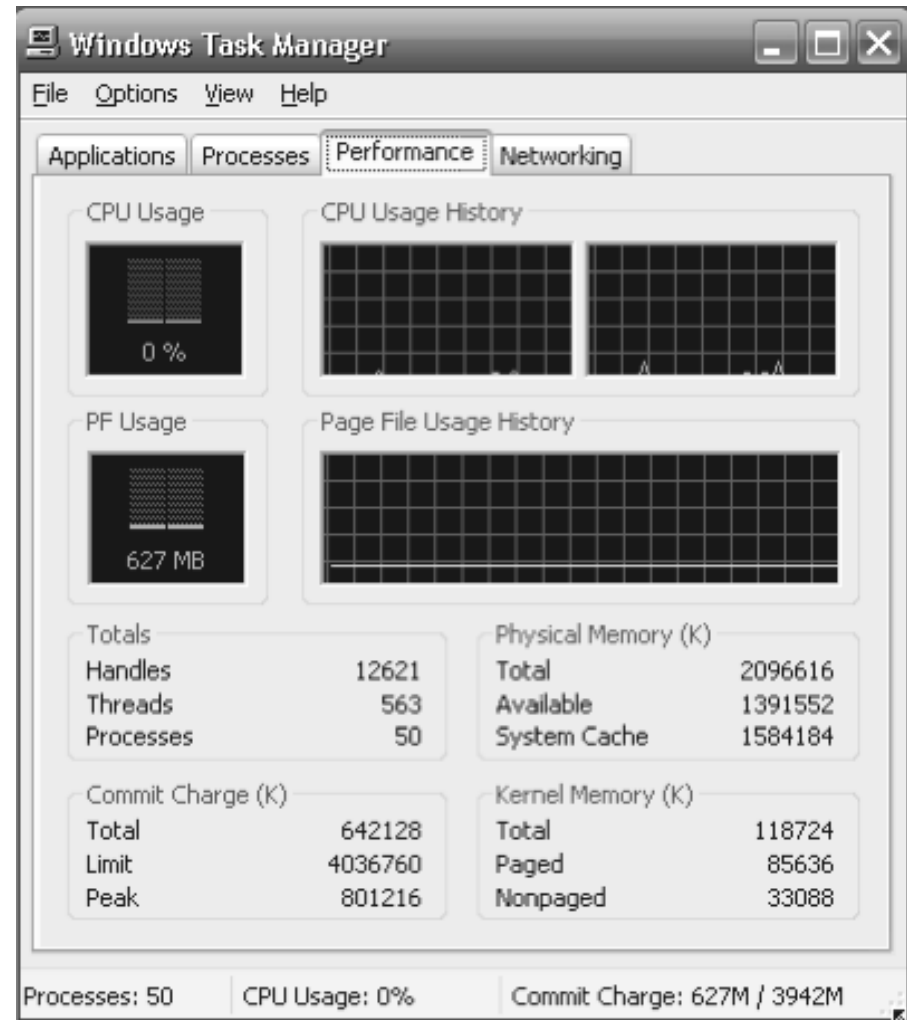
- **Debugging** is finding and fixing errors, or **bugs**
 - OSs generate **log files** containing error information
- Failure of an application can generate **core dump** file capturing memory of the process
- Operating system failure can generate **crash dump** file containing kernel memory
- Beyond crashes, performance tuning can optimize system performance
 - Sometimes using **trace listings** of activities, recorded for analysis
 - **Profiling** is periodic sampling of instruction pointer to look for statistical trends





Performance Tuning

- Improve performance by removing bottlenecks
- OS must provide means of computing and displaying measures of system behavior
- For example, “top” program or Windows Task Manager





Operating System Generation

- Operating systems are designed to run on any of a class of machines; the system must be configured for each specific computer site
- **SYSGEN** program obtains information concerning the specific configuration of the hardware system
 - Used to build system-specific compiled kernel or system-tuned
 - Can generate more efficient code than one general kernel





System Boot

- When power initialized on system, execution starts at a fixed memory location
 - Firmware ROM used to hold initial boot code
- Operating system must be made available to hardware so hardware can start it
 - Small piece of code – **bootstrap loader**, stored in **ROM** or **EEPROM** locates the kernel, loads it into memory, and starts it
 - Sometimes two-step process where **boot block** at fixed location loaded by ROM code, which loads bootstrap loader from disk
- Common bootstrap loader, **GRUB**, allows selection of kernel from multiple disks, versions, kernel options
- Kernel loads and system is then **running**



End of Chapter 2

