## ICD-O code classification for pathological synoptic report diagnosis

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### Pathology report

A pathology report is a document that contains the diagnosis determined by examining cells and tissues under a microscope.

Format: synoptic report and free text

Issue: Researchers cannot use just text to explore science, they would prefer medical codes

M8050	> ConceptHierarchy	7> <u>34</u>	1 THYROID GLAND > TUMOR > Tumor Characteristics > Histologic Type Papillary carcinoma, classic (usual, conventional)
M8745	> SmartDataValue	7 > <u>158</u>	1 Desmoplastic melanoma
M8430	> ConceptHierarchy	6> <u>47</u>	1 MAJOR SALIVARY GLANDS > TUMOR > Histologic Type > Mucoepidermoid carcinoma, intermediate grade
M8500	> SmartDataValue	9 > <u>79</u>	2 > Ductal carcinoma in situ. Classified as Tis (DCIS) or Tis (Paget)
M8742	> SmartDataValue	9> 8	1 Lentigo maligna melanoma
M8260	> ConceptHierarchy	6 > <u>45</u>	1 KIDNEY: Nephrectomy > TUMOR > Histologic Type > Papillary renal cell carcinoma
M8380	> ConceptHierarchy	5 > <u>23</u>	1 ENDOMETRIUM > TUMOR > Histologic Type > Endometrioid carcinoma, NOS
M8490	> ConceptHierarchy	5 > <u>216</u>	1 STOMACH > TUMOR > Histologic Type > Adenocarcinoma > Alternative Optional Classification (based on WHO classification) > Poorly cohesive carcinoma (including signet-ring cell carcinoma and other variants)
M8580	> ConceptHierarchy	5> <u>144</u>	1 THYMUS > TUMOR > Histologic Type > Type B2 thymoma 8584
M8800	ConceptHierarchy	8 > <u>103</u>	1 UTERUS (SARCOMA) > TUMOR > Histologic Type
M8200	> ConceptHierarchy	6 > <u>321</u>	1 LIP AND ORAL CAVITY > TUMOR > Histologic Type > Adenoid cystic carcinoma, cribriform pattern
M8441	> SmartDataValue	6> <u>31</u>	1 Serous carcinoma
M8743	> SmartDataValue	6> <u>6</u>	1 Superficial spreading melanoma
M8940	> ConceptHierarchy	7 > 308	2 > MAJOR SALIVARY GLANDS > TUMOR > Histologic Type > Preexisting Pleomorphic Adenoma Component > Carcinoma ex pleomorphic adenoma, invasive 8941
M8013	> ConceptHierarchy	6 > <u>253</u>	1 LUNG > TUMOR > Histologic Type > Combined large cell neuroendocrine carcinoma (LCNEC and other non-small cell component) > Type of Other Non-small Cell Carcinoma Component
M8130	> ConceptHierarchy	4 > <u>63</u>	1 URINARY BLADDER: Cystectomy, Anterior Exenteration > TUMOR > Histologic Type > Papillary urothelial carcinoma, invasive
M8312	> SmartDataValue	5 > <u>3</u>	1 Clear cell renal cell carcinoma
M8340	ConceptHierarchy	4 > <u>106</u>	1 THYROID GLAND > TUMOR > Tumor Characteristics > Histologic Type > Papillary carcinoma, follicular variant, encapsulated / well demarcated, non-invasive
M9071	> SmartDataValue	4 > <u>506</u>	2 > Yolk sac tumor (endodermal sinus tumor)
M8041	ConceptHierarchy	4 > <u>240</u>	1 URINARY BLADDER: Cystectomy, Anterior Exenteration > TUMOR > Histologic Type > Small cell neuroendocrine carcinoma
M8575	> SmartDataValue	4 > <u>66</u>	1 Metaplastic carcinoma, mixed epithelial and mesenchymal type
M8936	> ConceptHierarchy	3 > <u>92</u>	3 > GASTROINTESTINAL STROMAL TUMOR (GIST): Resection > TUMOR > Histologic Type > Gastrointestinal stromal tumor, spindle cell type
M8046	ConceptHierarchy	4 > <u>253</u>	1 LUNG > TUMOR > Histologic Type > Combined large cell neuroendocrine carcinoma (LCNEC and other non-small cell component) > Type of Other Non-small Cell Carcinoma Component 8013
M8071	ConceptHierarchy	3 > <u>135</u>	1 LUNG > TUMOR > Histologic Type > Invasive squamous cell carcinoma, keratinizing
M8144	ConceptHierarchy	3 > <u>56</u>	1 DISTAL EXTRAHEPATIC BILE DUCTS > TUMOR > Histologic Type > Adenocarcinoma, intestinal type
M8510	ConceptHierarchy	3 > 328	1 THYROID GLAND > TUMOR > Tumor Characteristics > Histologic Type > Medullary carcinoma
M8560	ConceptHierarchy	3 > <u>113</u>	1 LUNG > TUMOR > Histologic Type > Adenosquamous carcinoma
M8890	ConceptHierarchy	3 > <u>165</u>	1 UTERUS (SARCOMA) > TUMOR > Histologic Type > Leiomyosarcoma, epithelioid type
M9070	ConceptHierarchy	3 > 250	1 TESTIS: Radical Orchiectomy > TUMOR > Histologic Type > Intratubular embryonal carcinoma
M9220	ConceptHierarchy	3 > <u>306</u>	1 BONE: Resection > TUMOR > Histologic Type > Chondrosarcoma grade II
M8160	> ConceptHierarchy	3 > <u>349</u>	1 INTRAHEPATIC BILE DUCTS > TUMOR > Histologic Type > Intrahepatic cholangiocarcinoma
M8211	> ConceptHierarchy	3 > 241	1 INVASIVE CARCINOMA OF THE BREAST: Resection > TUMOR > Histologic Type > Tubular carcinoma
M8335	> SmartDataValue	4 > <u>82</u>	1 Follicular carcinoma, encapsulated angioinvasive
M9085	> ConceptHierarchy	6 > <u>340</u>	1 TESTIS: Radical Orchiectomy > TUMOR > Histologic Type > Mixed germ cell tumor > Seminoma (percentage)
M8310	> SmartDataValue	3 > <u>188</u>	1 Clear cell carcinoma
(12) 52(13) 14(1)	> SmartDataValue	3 > <u>76</u>	1 Follicular carcinoma
M8721	> SmartDataValue	3 > <u>16</u>	1 Nodular melanoma
M9260	> ConceptHierarchy	2 > 357	2 > EWING SARCOMA: Resection > Histologic Type > Ewing Sarcoma
M8072	> ConceptHierarchy	2 > <u>51</u>	1 LUNG > TUMOR > Histologic Type > Invasive squamous cell carcinoma, non-keratinizing
M8083	> ConceptHierarchy	2 > 61	1 PENIS > TUMOR > Histologic Type > Basaloid squamous cell carcinoma
M8246	> ConceptHierarchy	2 > 28	1 LIP AND ORAL CAVITY > TUMOR > Histologic Type > Moderately differentiated neuroendocrine carcinoma (atypical carcinoid tumor)
M8249	> ConceptHierarchy	2 > 28	1 LIP AND ORAL CAVITY > TUMOR > Histologic Type > Moderately differentiated neuroendocrine carcinoma (atypical carcinoid tumor)
M8344	> ConceptHierarchy	2 > 319	1 THYROID GLAND > TUMOR > Tumor Characteristics > Histologic Type > Papillary carcinoma, tall cell variant



### International Classification of Diseases for Oncology (ICD-O)

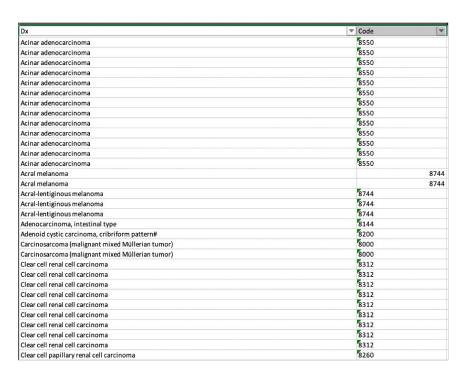
Figure 3. ICD-O Coding of Lung Neoplasms

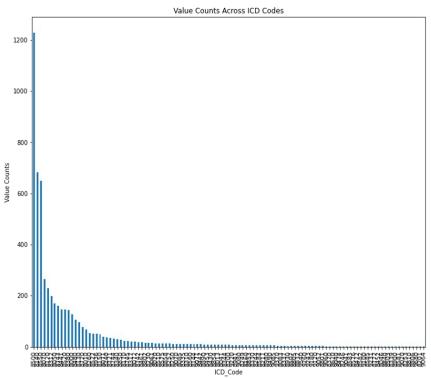
Lung Neoplasm	Topography Code	Behavior Code
Malignant neoplasm of the lung (such as carcinoma)	C34.9	M-8010/3
Metastatic neoplasm of the lung (such as metastatic <u>seminoma</u> from the <u>testis</u> )	C34.9	M-9061/6
In situ neoplasm of the lung (such as squamous <u>carcinoma in situ</u> )	C34.9	M-8070/2
Benign neoplasm of lung (such as <u>adenoma</u> )	C34.9	M-8140/0
Uncertain behavior of neoplasm of lung (such as carcinoid of uncertain behavior)	C34.9	M-8240/1

Figure 4. ICD-O Behavior Code and Corresponding Section of Chapter II, ICD-10

Behavior Code	Category	Term				
/0	D10-D36	Benign neoplasms				
/1	D37-D48	Neoplasms of uncertain and unknown behavior				
/2	D00-D09	In situ neoplasms				
/3	C00-C76, C80-C97	Malignant neoplasms stated or presumed to be primary				
/6	C77-C79	Malignant neoplasms, stated or presumed to be secondary				

### Data example and distribution





6797 rows of diagnosis synoptic text and its classified ICD-O code

Data is imbalanced as 8500 was assigned 1250 times.

### Objective

 Build an open-source classifier with the appropriate encoding method and run experiments on hyperparameters for upsampling, encoding and models.

Preprocessing and Data Embedding

### Preprocessing Methods

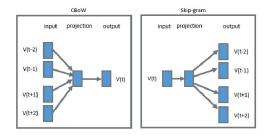
- Removed all non-alphanumeric values and other types of punctuation
- Casted all letters to lowercase

### Example:

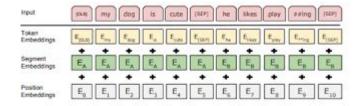
- Before: "Squamous cell carcinoma, conventional"
- After: "squamous cell carcinoma conventional"

### Data Embedding Selection

Word2Vec



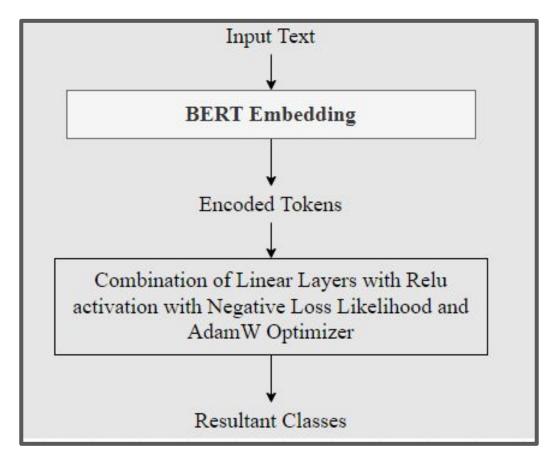
BERT Encodings



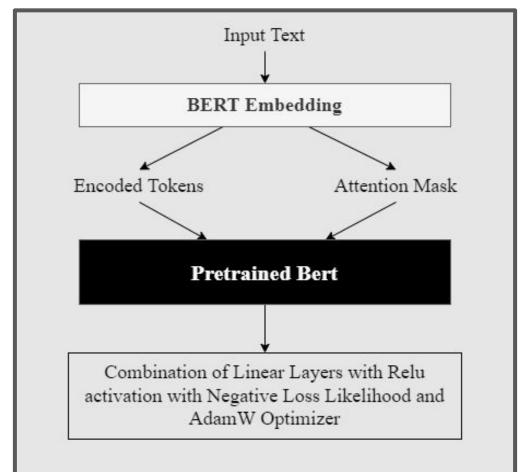
Fine tuned BERT Encodings

### **Model and Algorithms**

### 1. Simple Neural Network without Fine-tuning

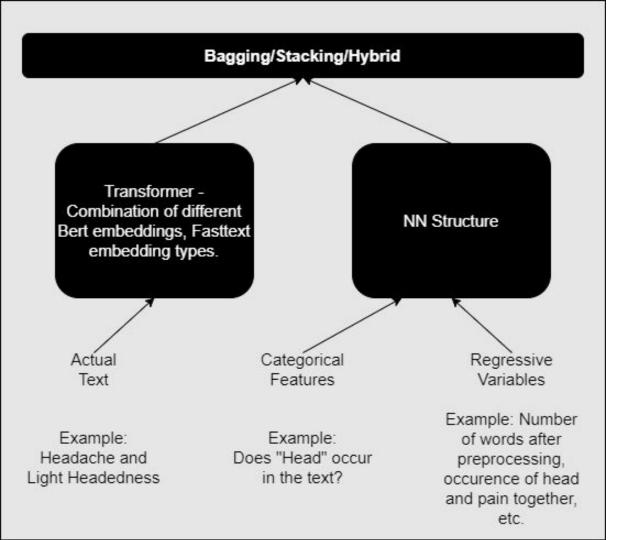


### 2. Neural Network with Bert Fine-Tuning



### 3. Tree Based Algorithms

- Using relations between various features of the data to determine the class
  - o Example:
  - Mutation in BRCA1 and/or BRCA2 -> higher probability of Breast Cancer
- Pretty Slow
- Use of distributed/ scalable algorithm and pruning Techniques
- LightGBM Model, XGBoost, CatBoost



# 4. Multiple Encoding Based Multimodal Neural Network

### **Brief Results**

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Model	Embedding/Encoding/ Features	Accuracy	F1-score		
Tree Based Algorithms					
LightGBM Model	BERT + CAT + NUM	98	99		
CatBoost Model	BERT + CAT + NUM	96	98		
XGBoost Model	BERT + CAT + NUM	98	95		
Neural Network Based Algorithm					
Simple NN (no finetuning)	BERT	44	44		
NN with BERT FineTuning	FineTuned BERT	72	72		
M. Encoding Multimodal	BERT, FastText + CAT + NUM	99	99		
BiLSTM	BERT	99.1	99.1		

### **Best Model: BiLSTM**

### **BLSTM - Model Structure**

- Epochs-100, SGD Optimization, Cross Entropy Loss Fn.
  - Hyperparameter tuning on: batch\_size, epochs, scheduler, Ir, momentum.

```
class BLSTM(nn.Module):
   def init (self):
       super(). init ()
       self.lstm = nn.LSTM(input_size=34, hidden size=256,
                          num layers=1, batch first=True, bidirectional=True)
       self.dropout = nn.Dropout(0.33)
       self.linear1 = nn.Linear(512, 128)
        self.elu = nn.ELU()
        self.linear2 = nn.Linear(128, 49)
   def forward(self, inputs):
       lstm out, self.hidden = self.lstm(inputs.view(len(inputs), 1, -1))
       lstm out dropped = self.dropout(lstm out)
       out = self.linear1(lstm out dropped.view(len(inputs), -1))
       elu out = self.elu(out)
       12 out = self.linear2(elu out)
       log probs = F.log softmax(12 out, dim=1)
        return log probs
```

### **Encoder/Decoder Examples - BLSTM**

```
Text: human papillomavirus hpvmediated positive squamous cell carcinoma oropharynx
Code: 8085
BERT Encoded: tensor([ 101, 2529, 6643, 8197, 7174, 2863, 23350, 6522, 2615, 16969,
       3064, 3893, 5490, 6692, 27711, 3526, 2482, 21081, 2863, 20298,
      21890, 18143, 2595, 102, 0, 0, 0, 0, 0, 0,
         0, 0, 0, 0])
Pred: 8085
Text: invasive carcinoma of no special type ductal not otherwise specified
Code: 8500
BERT Encoded: tensor([ 101, 17503, 2482, 21081, 2863, 1997, 2053, 2569, 2828, 23245,
       2389, 2025, 4728, 9675, 102, 0, 0, 0,
          0, 0, 0, 0, 0, 0, 0, 0, 0,
          0, 0, 0, 0])
Pred: 8500
```

### **Encoder/Decoder Examples - BLSTM**

```
Text: squamous cell carcinoma conventional keratinizing
Code: 8071
BERT Encoded: tensor([ 101, 5490, 6692, 27711, 3526, 2482, 21081, 2863, 7511, 17710,
      8609, 5498, 6774, 102, 0, 0, 0, 0, 0,
         0, 0, 0, 0, 0, 0, 0, 0, 0,
         0, 0, 0, 01)
Pred: 8071
Text: pt tumor cm or less in greatest dimension
Code: 8000
BERT Encoded: tensor([ 101, 13866, 13656, 4642, 2030, 2625, 1999, 4602, 9812, 102,
        0,
             0, 0, 0, 0, 0, 0, 0,
             0, 0, 0, 0, 0, 0,
        0, 0, 0, 01)
Pred: 8000
```

### Discussion

Our results confirmed that BiLSTM performed best in this type of text classification.

### Upsampling

ICD-O cut off frequency	Accuracy	
10	98%	
5	97%	

As we decreased the ICD-O cut off, the accuracy of the model goes down.

### Future Scope

### Negation

Our model is not robust enough to classify intentionally negated data. Further preprocessing and model adjustments are needed in future experiments.

### Explainable Al

Explainability of the models allow researchers to learn how the models predict labels vs how physician diagnoses