

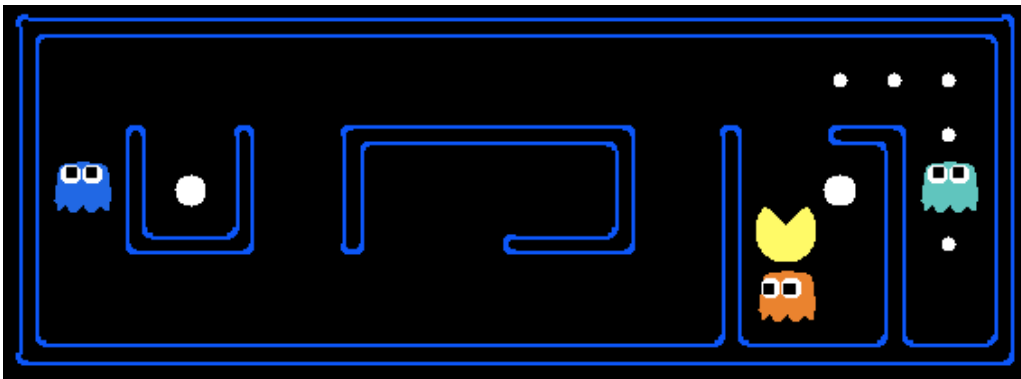
# Programming Assignment 3:

## Reinforcement Learning

**Due Date – May 5, 2021 11:59 PM Central**

This homework is based on the Berkeley projects. Instead of including all the sub-parts, we will ONLY focus on Value Iteration and Q-Learning. The rest of the assignment is the same as theirs. Edit the appropriate files as needed.

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Pacman seeks reward.  
Should he eat or should he run?  
When in doubt, Q-learn.

## Introduction

In this project, you will implement value iteration and Q-learning. You will test your agents first on Gridworld (from class), then apply them to a simulated robot controller (Crawler) and Pacman.

As in previous projects, this project includes an autograder for you to grade your solutions on your machine. This can be run on all questions with the command:

```
python autograder.py
```

It can be run for one particular question, such as q2, by:

```
python autograder.py -q q2
```

It can be run for one particular test by commands of the form:

```
python autograder.py -t test_cases/q2/1-bridge-grid
```

See the autograder tutorial in Project 0 for more information about using the autograder.

The code for this project contains the following files, which are available in a [zip archive \(reinforcement.zip\)](#):

**Files you'll edit:**

<a href="#">valueIterationAgents.py</a>	A value iteration agent for solving known MDPs.
<a href="#">qlearningAgents.py</a>	Q-learning agents for Gridworld, Crawler and Pacman.
<a href="#">analysis.py</a>	A file to put your answers to questions given in the project.

**Files you should read but NOT edit:**

<a href="#">mdp.py</a>	Defines methods on general MDPs.
<a href="#">learningAgents.py</a>	Defines the base classes <code>ValueEstimationAgent</code> and <code>QLearningAgent</code> , which your agents will extend.
<a href="#">util.py</a>	Utilities, including <code>util.Counter</code> , which is particularly useful for Q-learners.
<a href="#">gridworld.py</a>	The Gridworld implementation.
<a href="#">featureExtractors.py</a>	Classes for extracting features on (state,action) pairs. Used for the approximate Q-learning agent (in <code>qlearningAgents.py</code> ).

**Files you can ignore:**

<a href="#">environment.py</a>	Abstract class for general reinforcement learning environments. Used by <a href="#">gridworld.py</a> .
<a href="#">graphicsGridworldDisplay.py</a>	Gridworld graphical display.
<a href="#">graphicsUtils.py</a>	Graphics utilities.
<a href="#">textGridworldDisplay.py</a>	Plug-in for the Gridworld text interface.
<a href="#">crawler.py</a>	The crawler code and test harness. You will run this but not edit it.
<a href="#">graphicsCrawlerDisplay.py</a>	GUI for the crawler robot.
<a href="#">autograder.py</a>	Project autograder
<a href="#">testParser.py</a>	Parses autograder test and solution files

[testClasses.py](#)

General autograding test classes

`test_cases/`

Directory containing the test cases for each question

[reinforcementTestClasses.py](#)

Project 3 specific autograding test classes

**Files to Edit and Submit:** You will fill in portions of [valueIterationAgents.py](#), [qlearningAgents.py](#), and [analysis.py](#) during the assignment. You should submit these files with your code and comments. Please *do not* change the other files in this distribution or submit any of our original files other than these files.

**Evaluation:** Your code will be autograded for technical correctness. Please *do not* change the names of any provided functions or classes within the code, or you will wreak havoc on the autograder. However, the correctness of your implementation -- not the autograder's judgements -- will be the final judge of your score. If necessary, we will review and grade assignments individually to ensure that you receive due credit for your work.

**Academic Dishonesty:** We will be checking your code against other submissions in the class for logical redundancy. If you copy someone else's code and submit it with minor changes, we will know. These cheat detectors are quite hard to fool, so please don't try. We trust you all to submit your own work only; *please* don't let us down. If you do, we will pursue the strongest consequences available to us.

**Getting Help:** You are not alone! If you find yourself stuck on something, contact the course staff for help. Office hours, section, and the discussion forum are there for your support; please use them. If you can't make our office hours, let us know and we will schedule more. We want these projects to be rewarding and instructional, not frustrating and demoralizing. But, we don't know when or how to help unless you ask.

**Discussion:** Please be careful not to post spoilers.

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## MDPs

To get started, run Gridworld in manual control mode, which uses the arrow keys:

```
python gridworld.py -m
```

You will see the two-exit layout from class. The blue dot is the agent. Note that when you press *up*, the agent only actually moves north 80% of the time. Such is the life of a Gridworld agent!

You can control many aspects of the simulation. A full list of options is available by running:

```
python gridworld.py -h
```

The default agent moves randomly

```
python gridworld.py -g MazeGrid
```

You should see the random agent bounce around the grid until it happens upon an exit. Not the finest hour for an AI agent.

*Note:* The Gridworld MDP is such that you first must enter a pre-terminal state (the double boxes shown in the GUI) and then take the special 'exit' action before the episode actually ends (in the true terminal state called `TERMINAL_STATE`, which is not shown in the GUI). If you run an episode manually, your total return may be less than you expected, due to the discount rate (`-d` to change; 0.9 by default).

Look at the console output that accompanies the graphical output (or use `-t` for all text). You will be told about each transition the agent experiences (to turn this off, use `-q`).

As in Pacman, positions are represented by  $(x, y)$  Cartesian coordinates and any arrays are indexed by  $[x][y]$ , with 'north' being the direction of increasing  $y$ , etc. By default, most transitions will receive a reward of zero, though you can change this with the living reward option (`-r`).

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## Question 1 (10 points): Value Iteration

Write a value iteration agent in `ValueIterationAgent`, which has been partially specified for you in `valueIterationAgents.py`. Your value iteration agent is an offline planner, not a reinforcement learning agent, and so the relevant training option is the number of iterations of value iteration it should run (option `-i`) in its initial planning phase. `ValueIterationAgent` takes an MDP on construction and runs value iteration for the specified number of iterations before the constructor returns.

Value iteration computes  $k$ -step estimates of the optimal values,  $V_k$ . In addition to running value iteration, implement the following methods for `ValueIterationAgent` using  $V_k$ .

- `computeActionFromValues(state)` computes the best action according to the value function given by `self.values`.
- `computeQValueFromValues(state, action)` returns the Q-value of the  $(state, action)$  pair given by the value function given by `self.values`.

These quantities are all displayed in the GUI: values are numbers in squares, Q-values are numbers in square quarters, and policies are arrows out from each square.

*Important:* Use the "batch" version of value iteration where each vector  $V_k$  is computed from a fixed vector  $V_{k-1}$  (like in lecture), not the "online" version where one single weight vector is updated in place. This means that when a state's value is updated in iteration  $k$  based on the

values of its successor states, the successor state values used in the value update computation should be those from iteration  $k-1$  (even if some of the successor states had already been updated in iteration  $k$ ). The difference is discussed in [Sutton & Barto](#) in the 6th paragraph of chapter 4.1.

*Note:* A policy synthesized from values of depth  $k$  (which reflect the next  $k$  rewards) will actually reflect the next  $k+1$  rewards (i.e. you return  $\pi_{k+1:nk+1}$ ). Similarly, the  $Q$ -values will also reflect one more reward than the values (i.e. you return  $Q_{k+1}$ ). You should return the synthesized policy  $\pi_{k+1:nk+1}$ .

*Hint:* Use the `util.Counter` class in `util.py`, which is a dictionary with a default value of zero. Methods such as `totalCount` should simplify your code. However, be careful with `argMax`: the actual `argmax` you want may be a key not in the counter!

*Note:* Make sure to handle the case when a state has no available actions in an MDP (think about what this means for future rewards).

To test your implementation, run the autograder:

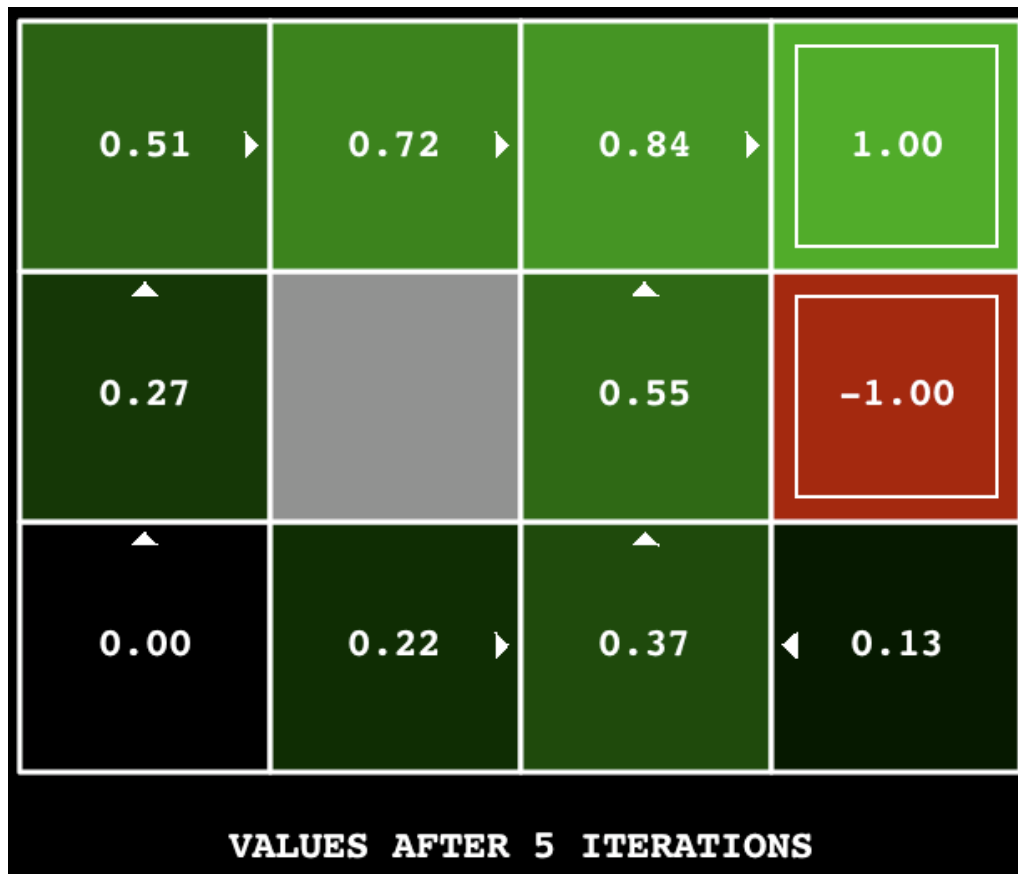
```
python autograder.py -q q1
```

The following command loads your `ValueIterationAgent`, which will compute a policy and execute it 10 times. Press a key to cycle through values,  $Q$ -values, and the simulation. You should find that the value of the start state ( $V(\text{start})$ ), which you can read off of the GUI) and the empirical resulting average reward (printed after the 10 rounds of execution finish) are quite close.

```
python gridworld.py -a value -i 100 -k 10
```

*Hint:* On the default `BookGrid`, running value iteration for 5 iterations should give you this output:

```
python gridworld.py -a value -i 5
```



*Grading:* Your value iteration agent will be graded on a new grid. We will check your values, Q-values, and policies after fixed numbers of iterations and at convergence (e.g. after 100 iterations).

## Question 2 (10 points): Q-Learning

Note that your value iteration agent does not actually learn from experience. Rather, it ponders its MDP model to arrive at a complete policy before ever interacting with a real environment. When it does interact with the environment, it simply follows the precomputed policy (e.g. it becomes a reflex agent). This distinction may be subtle in a simulated environment like a Gridworld, but it's very important in the real world, where the real MDP is not available.

You will now write a Q-learning agent, which does very little on construction, but instead learns by trial and error from interactions with the environment through its `update(state, action, nextState, reward)` method. A stub of a Q-learner is specified in `QLearningAgent` in `qlearningAgents.py`, and you can select it with the option `'-a q'`. For this question, you must implement the `update`, `computeValueFromQValues`, `getQValue`, and `computeActionFromQValues` methods.

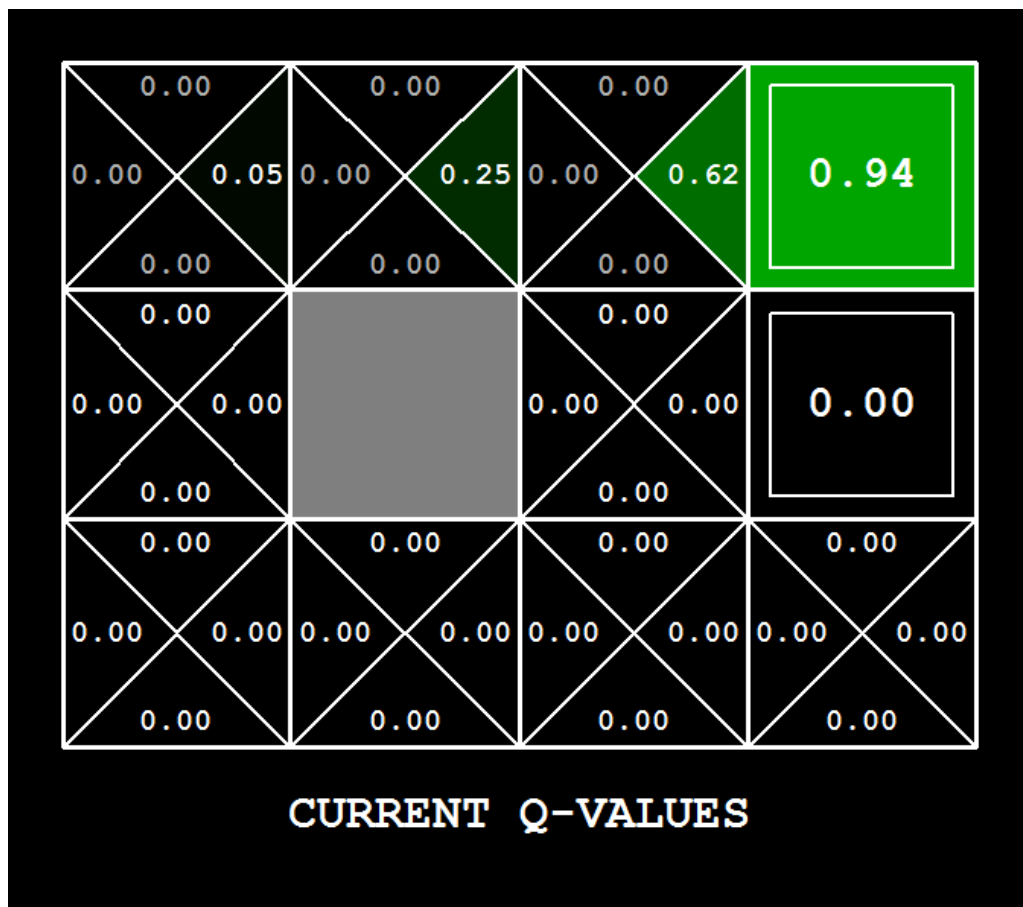
*Note:* For `computeActionFromQValues`, you should break ties randomly for better behavior. The `random.choice()` function will help. In a particular state, actions that your agent *hasn't* seen before still have a Q-value, specifically a Q-value of zero, and if all of the actions that your agent *has* seen before have a negative Q-value, an unseen action may be optimal.

*Important:* Make sure that in your `computeValueFromQValues` and `computeActionFromQValues` functions, you only access Q values by calling `getQValue`. This abstraction will be useful for question 8 when you override `getQValue` to use features of state-action pairs rather than state-action pairs directly.

With the Q-learning update in place, you can watch your Q-learner learn under manual control, using the keyboard:

```
python gridworld.py -a q -k 5 -m
```

Recall that `-k` will control the number of episodes your agent gets to learn. Watch how the agent learns about the state it was just in, not the one it moves to, and "leaves learning in its wake." Hint: to help with debugging, you can turn off noise by using the `--noise 0.0` parameter (though this obviously makes Q-learning less interesting). If you manually steer Pacman north and then east along the optimal path for four episodes, you should see the following Q-values:



*Grading:* We will run your Q-learning agent and check that it learns the same Q-values and policy as our reference implementation when each is presented with the same set of examples. To grade your implementation, run the autograder:

```
python autograder.py -q q4
```