

## Homework 7

1. (a)  $f(x) = |x|$  for  $x < 0$ ,  $x > 0$ , and  $x = 0$ .

Since  $|x|$  is not differentiable everywhere, we need to use the subgradient.

At first, let  $f_1(x) = x$  and  $f_2(x) = -x$ . Both functions are differentiable, meaning that  $f(x) = |x|$  is differentiable where  $x > 0$  and  $x < 0$ .

Therefore, the subgradient of  $f(x)$  is equal to its gradient. At  $x=0$ , the subgradient of  $f$  should satisfy  $|z| \geq 0 + g(z-0) \Rightarrow g.z \leq |z|$

Therefore,  $g \in [-1, 1]$

$$f(x) = |x|, \quad \partial f(x) = \begin{cases} \{1\} & \text{if } x > 0 \\ \{-1\} & \text{if } x < 0 \\ [-1, 1] & \text{if } x = 0 \end{cases}$$

- (b)  $\bar{y}^*$  is the median of  $\{y_1, \dots, y_{N_k}\}$  that minimizes  $\sum_{j=1}^{N_k} |y_j - \bar{y}|$

In order to find  $\bar{y}^*$  that minimizes  $\sum_{j=1}^{N_k} |y_j - \bar{y}|$ , we have to take the derivative and set it equal to 0.

However, since the function is the absolute function, we have the similar result as part (a). For this problem, we have  $y_1 < y_2 < y_3 < \dots < y_{N_k}$ .

Therefore, we can have  $\partial f(y) = \partial f_1(y) + \partial f_2(y) + \dots + \partial f_{N_k}(y)$ . So if we choose the median of  $\{y_1, \dots, y_{N_k}\}$  as  $\bar{y}$ , then we can have exactly same number of 1 and -1 because the set is already sorted in increasing order and there are odd number of elements in the set. So, when we add those numbers, the results of  $\partial f(\bar{y})$ , we would get 0, which is the minimum value that we can get from this problem. Therefore,  $\bar{y}^*$  is the median of  $\{y_1, \dots, y_{N_k}\}$  that minimizes  $\sum_{j=1}^{N_k} |y_j - \bar{y}|$ .

- (c) In the k-means algorithm that minimizes  $J$ , we first find the  $\mu_k$  and find the closest point so that we can minimize  $x_n - \mu_k$ .

In order to find the algorithm that optimize the function  $f(\mu_k) = \sum_{n \in C_k} \|x_n - \mu_k\|_1$ , we can find  $x_n$  that is closest to  $\mu_k$  so that we can find the minimum value as the next step.

2.

$$(a) A = \begin{bmatrix} 3 & 2 \\ 2 & 0 \end{bmatrix}$$

$$\lambda I = \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix}$$

$$A - \lambda I = \begin{bmatrix} 3 & 2 \\ 2 & 0 \end{bmatrix} - \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix}$$

$$= \begin{bmatrix} 3-\lambda & 2 \\ 2 & -\lambda \end{bmatrix}$$

$$\det \begin{bmatrix} 3-\lambda & 2 \\ 2 & -\lambda \end{bmatrix}$$

$$= (3-\lambda)(-\lambda) - 4$$

$$= \lambda^2 - 3\lambda - 4$$

$$= (\lambda-4)(\lambda+1) = 0$$

$$\lambda = 4, \quad \lambda = -1$$

$$\lambda = 4 : \begin{bmatrix} 3-4 & 2 \\ 2 & -4 \end{bmatrix} = \begin{bmatrix} -1 & 2 \\ 2 & -4 \end{bmatrix}$$

$$\begin{bmatrix} -1 & 2 \\ 2 & -4 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} -1 & 2 & | & 0 \\ 2 & -4 & | & 0 \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 2 & | & 0 \\ 0 & 0 & | & 0 \end{bmatrix}$$

$$-v_1 + 2v_2 = 0$$

$$2R_1 + R_2 \rightarrow R_2$$

$$v_1 = 2v_2$$

$$\text{Eigenvector: } \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

$$\|\vec{v}\| = \sqrt{1+4}$$

$$\vec{u} = \frac{1}{\sqrt{5}} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} \frac{2}{\sqrt{5}} \\ \frac{1}{\sqrt{5}} \end{bmatrix}$$

$$\lambda = -1 : \begin{bmatrix} 3-(-1) & 2 \\ 2 & 0-(-1) \end{bmatrix} = \begin{bmatrix} 4 & 2 \\ 2 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 4 & 2 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 4 & 2 & | & 0 \\ 2 & 1 & | & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 & | & 0 \\ 2 & 1 & | & 0 \end{bmatrix}$$

$$2v_1 + v_2 = 0$$

$$2v_1 = -v_2$$

$$\text{Eigenvector: } \begin{bmatrix} 1 \\ -2 \end{bmatrix}$$

$$\|\vec{v}\| = \sqrt{1+4}$$

$$\vec{u} = \begin{bmatrix} \frac{1}{\sqrt{5}} \\ -\frac{2}{\sqrt{5}} \end{bmatrix}$$

(b)  $A = U \Lambda U^{-1}$  where  $U$  is the matrix that each column is eigenvector of  $A$  and  $\Lambda$  is the diagonal matrix where diagonal elements are eigenvalues

$$U^{-1} = \det \begin{bmatrix} 2 & 1 \\ 1 & -2 \end{bmatrix} \begin{bmatrix} -2 & -1 \\ 1 & 2 \end{bmatrix}$$

$$\det U = -4 - 1 = -5$$

$$U^{-1} = \begin{bmatrix} \frac{2}{5} & \frac{1}{5} \\ \frac{1}{5} & -\frac{2}{5} \end{bmatrix}$$

$$A = \begin{bmatrix} 2 & 1 \\ 1 & -2 \end{bmatrix} \begin{bmatrix} 4 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 2/5 & 1/5 \\ 1/5 & -2/5 \end{bmatrix}$$

$$= \begin{bmatrix} 8 & -1 \\ 4 & 2 \end{bmatrix} \begin{bmatrix} 1/5 & 1/5 \\ 1/6 & -1/3 \end{bmatrix}$$

$$= \begin{bmatrix} 3 & 1 \\ 2 & 0 \end{bmatrix}$$

3. (a)

```
# (a)
import cv2
img = cv2.imread('UCLA_Bruin.jpg')
cv2.imshow('Visualization', img)
cv2.waitKey(0)
cv2.destroyAllWindows()
```



```

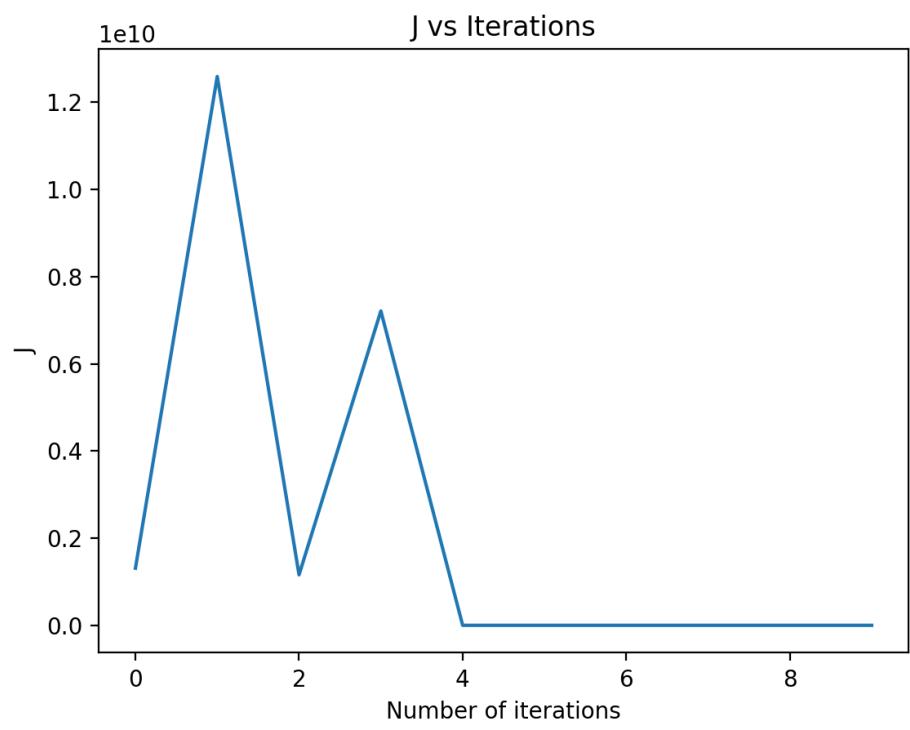
import numpy as np
import cv2
img = cv2.imread('UCLA_Bruin.jpg')
img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)

k = 16
u = np.zeros((k, 3))
u[0] = [147, 200, 250]
new_img = img
for i in range(1, k):
    maxD = 0
    max_x = 0
    max_y = 0
    for j in range(len(img)):
        for t in range(len(img[0])):
            diff = []
            for z in range(0, i):
                diff.append(np.linalg.norm(img[j][t] - u[z]))
            if np.min(diff) > maxD:
                maxD = np.min(diff)
                max_x = t
                max_y = j
    u[i] = img[max_y][max_x]

r = np.zeros((300, 400, k))
jj = np.zeros(10)
for it in range(10):
    for j in range(len(img)):
        for t in range(len(img[0])):
            diff = []
            for z in range(k):
                diff.append(np.linalg.norm(img[j][t] - u[z]))
            n = np.argmin(diff)
            r[j][t][n] = 1
    n = np.zeros(3)
    d = 0
    for i in range(k):
        for j in range(len(img)):
            for t in range(len(img[0])):
                if r[j][t][i] == 1:
                    n += img[j][t]
                    d += 1
    u[i] = n / d
    for i in range(k):
        for j in range(len(img)):
            for t in range(len(img[0])):
                if r[j][t][i] == 1:
                    jj[it] += np.linalg.norm((img[j][t] - u[i]), ord=2) ** 2

print(r)
print(u)
print(jj)
plt.plot(jj)
plt.ylabel("J")
plt.xlabel("Number of iterations")
plt.title("J vs Iterations")
plt.show()

```



As the number of iterations increases, the k-means objective function converges to 0. We can conclude that from by looking at the graph above. This is because we minimize the function.

```
(c)
import numpy as np
import cv2
img = cv2.imread('UCLA_Bruin.jpg')
img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)

k = 16
u = np.zeros((k, 3))
u[0] = [147, 200, 250]
new_img = img
for i in range(1, k):
    maxD = 0
    max_x = 0
    max_y = 0
    for j in range(len(img)):
        for t in range(len(img[0])):
            diff = []
            for z in range(0, i):
                diff.append(np.linalg.norm(img[j][t] - u[z]))
            if np.min(diff) > maxD:
                maxD = np.min(diff)
                max_x = t
                max_y = j
    u[i] = img[max_y][max_x]

r = np.zeros((300, 400, k))
jj = np.zeros(10)
for it in range(10):
    for j in range(len(img)):
        for t in range(len(img[0])):
            diff = []
            for z in range(k):
                diff.append(np.linalg.norm(img[j][t] - u[z]))
            n = np.argmin(diff)
            r[j][t][n] = 1
    n = np.zeros(3)
    d = 0
    for i in range(k):
        for j in range(len(img)):
            for t in range(len(img[0])):
                if r[j][t][i] == 1:
                    n += img[j][t]
                    d += 1
        u[i] = n / d
    for i in range(k):
        for j in range(len(img)):
            for t in range(len(img[0])):
                if r[j][t][i] == 1:
                    jj[it] += np.linalg.norm((img[j][t] - u[i]), ord=2) ** 2

for i in range(k):
    for j in range(len(img)):
        for t in range(len(img[0])):
            diff = []
            if r[j][t][i] == 1:
                new_img[j][t] = u[i]

print(jj)
new_img = cv2.cvtColor(new_img, cv2.COLOR_RGB2BGR)
cv2.imshow("compressed with k = 16", new_img)
cv2.waitKey(0)
cv2.destroyAllWindows()
```

compressed with k = 4



compressed with k = 8



compressed with k = 16



As the K increases, the quality of image gets better. Since the greater number of K can take more colors, the quality of image is getting better as the K increases

(d) In order to store the original image, we only need  $351 \text{ kB}$  of storage  $(300 \times 400) \cdot \frac{3}{1024}$  as  $1024 \text{ bytes} = 1 \text{ kB}$ ,  $1 \text{ byte} = 8 \text{ bit}$ .

For  $k=4$ , we will have 2 bits per pixel, thus we would get the size of  $(300 \times 400 \times 2) \frac{1}{8} \times \frac{1}{1024} = 29 \text{ kB}$

For  $k=8$ , 3 bits per pixel  $\Rightarrow (300 \times 400 \times 3) \frac{1}{8} \times \frac{1}{1024} = 44 \text{ kB}$

For  $k=16$ , 4 bits per pixel  $\Rightarrow (300 \times 400 \times 4) \frac{1}{8} \times \frac{1}{1024} = 58 \text{ kB}$

Compression ratio:

$$k=4 : \frac{351 \text{ kB}}{29 \text{ kB}} = 12.1$$

$$k=8 : \frac{351 \text{ kB}}{44 \text{ kB}} = 7.98$$

$$k=16 : \frac{351 \text{ kB}}{58 \text{ kB}} = 6.05$$