

- 1.(a) Assume  $N(h)$  be number of nodes in a complete binary tree.

Base Case:

When the height of the tree is 0, then  $N(h) = 2^{0+1} - 1 = 1$ , which is true. Since the complete binary tree has 1 node if the height of the tree is 0.

Inductive hypothesis:

Assume the total number of a complete binary tree is  $N(h) = 2^{h+1} - 1$  for  $h \geq 0$ .

Induction Steps:

We know that a complete binary tree consists of 2 complete binary subtrees and the root. Each subtrees has height of  $h - 1$ . Thus,

$$\begin{aligned} N(h) &= 2 \times N(h-1) + 1 \\ &= 2 \times (2^{h-1+1} - 1) + 1 \\ &= 2 \times (2^h - 1) + 1 \\ &= 2 \times 2^h - 2 + 1 \\ &= 2^{h+1} - 1 \end{aligned}$$

Then, we want to prove it is true for the height of  $h + 1$ . We know that for each height of  $h$ , we have  $2^h$  leaf nodes. For the height of  $h + 1$  the number of leaf node increases to  $2 \times 2^h$  (or  $2^{h+1}$ ) since each leaf node at the height  $h$  has 2 children. Then,

$$\begin{aligned} N(h+1) &= N(h) + 2^{h+1} \\ &= 2^{h+1} - 1 + 2^{h+1} \\ &= 2 \times (2^{h+1}) - 1 \\ &= 2^{h+2} - 1 \end{aligned}$$

Hence, a complete binary tree with height  $h$  contains  $2^{h+1} - 1$  total nodes.

- 1.(b) To prove a complete binary tree with  $n$  nodes has  $\frac{n-1}{2}$  internal nodes is to prove that the number of total nodes  $n$  in a complete binary tree is  $2 \times \text{internalnodes}(I) + 1$ .

Base Case:

When  $I = 0, n = 2 \times 0 + 1 = 1$ , which is true since the tree only has the root. When  $I = 1, n = 3$ , which is obviously true since the tree has a root and its children.

Inductive hypothesis:

Assume a complete binary tree with  $I$  internal nodes has  $2I + 1$  nodes.

Induction Steps: We want to prove that a complete binary tree with  $I + 1$  internal nodes has  $2(I + 1) + 1$  total nodes.

Let  $T$  be a complete binary tree with  $I + 1$  internal nodes.  $T$  has at least one internal nodes and at least two leaves. Select a leaf  $l$  at maximal height of the tree and remove leaf  $l$  and its sibling. That builds a new complete binary tree  $T'$ . The parent of  $l$  is an internal nodes in  $T$  but a leaf in  $T'$ .  $T'$  has  $I$  internal nodes and contains  $2I + 1$  total nodes by assumption. Thus,  $T$  with  $I + 1$  internal nodes has  $(2I + 1) + 2$  total nodes since it has  $l$  and its sibling. Hence, the number of total nodes  $n$  in a complete binary tree is  $2 \times \text{internalnodes}(I) + 1$ . Which means a complete binary tree with  $n$  nodes has  $\frac{n-1}{2}$  internal nodes.

2. Assume  $y$  is not the ancestor of  $x$ , then we let  $z$  denote as the first common ancestor of  $x$  and  $y$ . Due to the property of binary search tree,  $x < z < y$ , which means  $y$  cannot be the successor of  $x$ . Thus,  $y$  must be an ancestor of  $x$ , and it is the lowest ancestor of  $x$ . If there exists  $z$  denote as the lowest ancestor of  $x$ , then  $z$  must be the left subtree of  $y$ , and  $z < y$ . That means  $z$  will be the successor of  $x$ . In order to let  $y$  be the successor of  $x$ ,  $y$  must be the lowest ancestor of  $x$ .

Assume the left child of  $y$  is not an ancestor of  $x$ . Then the right child of  $y$  must be an ancestor of  $x$ , implying  $x > y$ . Hence, the left child of  $y$  is an ancestor of  $x$ .