

Reasoning Under Uncertainty

Russell & Norvig chapter 12

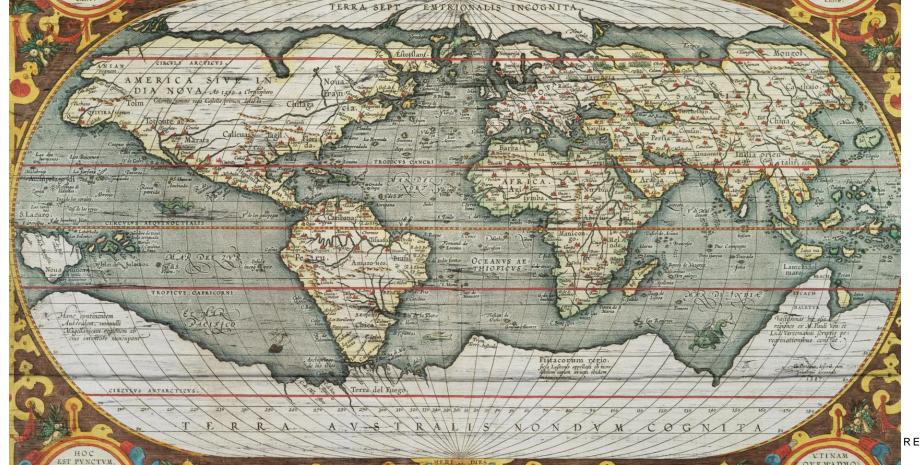
T-622-ARTI Spring 2023





Introducing Uncertainty (Ch. 12)

It is not the world that is imperfect, it is our knowledge of it.



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So far we have assumed that:

- World states are perfectly observable:
 - The current state is exactly known
- Action representations are perfect:
 - States are exactly predicted

We will now investigate how an agent can cope with imperfect information



Intelligence

Pei Wang – Temple University

"Intelligence" ... is about whether, or how much, a system can improve its problem-solving capability by adaptation, that is, learning from its past experience.



Intelligent vs. Instinctive

In an **instinctive system**, all major components and their relations are determined when the system is formed, and remain unchanged afterwards.

In an **intelligent system**, all major components and their relations are adaptive to the environment. The system learns new beliefs, organize actions into skills, establish new goals, all as attempts to improve its goal-achieving capability, under the assumption that in general the future will be similar to the past.

Intelligence is the ability to adapt to the environment with insufficient knowledge and resources.



Sources of Uncertainty

- The Representation Language
- Imperfect Observation of the World
- Ignorance, Laziness, Efficiency



Representing the Real World

Agent's conceptualization

- Representation language

3x3 matrix filled with 1, 2, .., 8, and 'empty'

Real world

8-puzzle



Representing the Real World

Agent's conceptualization

- Representation language

Logic sentences using propositions like Block(A), On(A,B), Handempty, ... and connectives

Real world

Blocks world



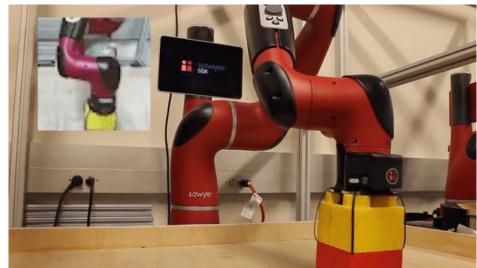
Who provides the representation language?

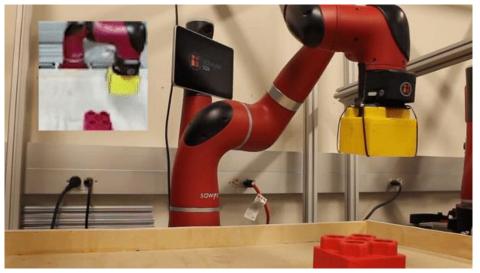
- The agent's designer
- Few practical techniques exist to allow an agent to autonomously abstract features of the real world into useful concepts
 - e.g. transformer embeddings, Thing2Vec
- Techniques allowing an agent to develop its own representation language using these concepts?
 - Inductive learning techniques are steps in this direction
- In the following slides:
 - Representation language is provided by the agent's designer
 - or developed over time by the agent

Robots stacking blocks



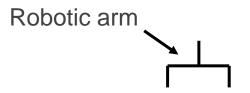




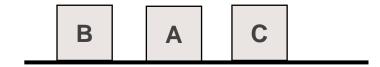


Stacking blocks Simplified representation





 $On(A, Table) On(B, Table) \land On(C, Table) \land \cdots$

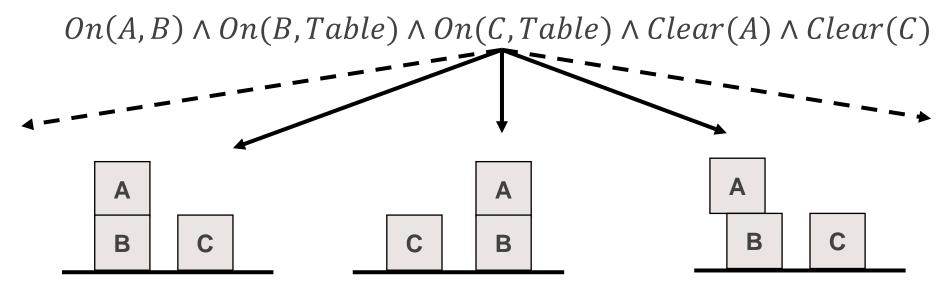


First Source of Uncertainty:



The Representation Language

- There are many more states of the real world than can be expressed in the representation language
- So, any state represented in the language may correspond to many different states of the real world, which the agent can't represent distinguishably

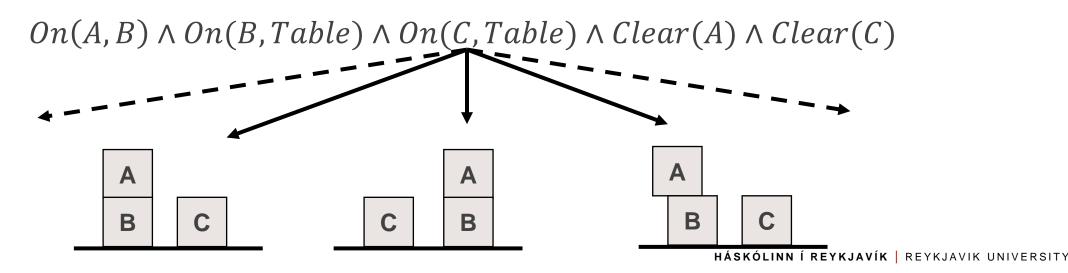


First Source of Uncertainty:

The Representation Language



- 6 propositions On(x,y), where x, y = A, B, C and x ≠ y
- 3 propositions On(x,Table), where x = A, B, C
- 3 propositions Clear(x), where x = A, B, C
- At most 2¹² states can be distinguished in the language
- But there are infinitely many states of the real world





An action representation may be incorrect

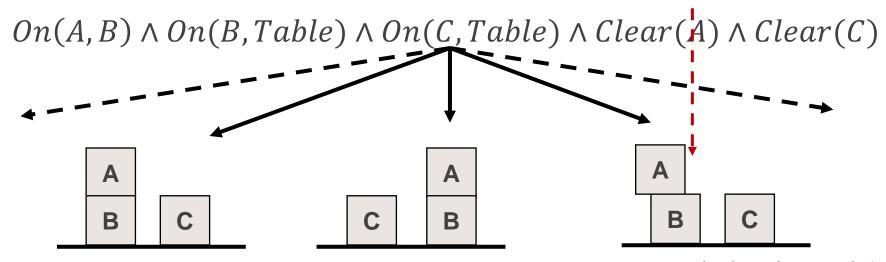
Stack(C, A):

 $Precondition = Holding(C) \land Block(A) \land Block(C) \land Clear(A)$

Delete = Clear(A), Holding(C)

Add = On(C, A), Clear(C), Handempty

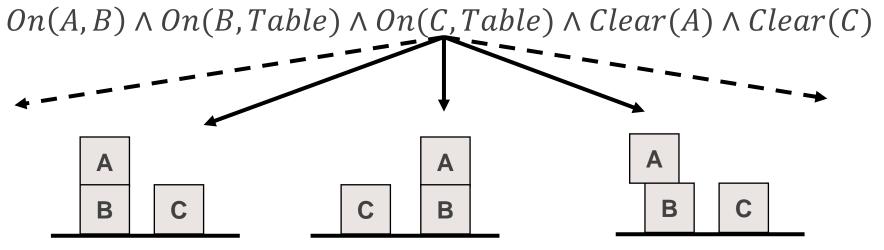
is likely not to have the described effects in case 3 because the precondition is "incomplete"





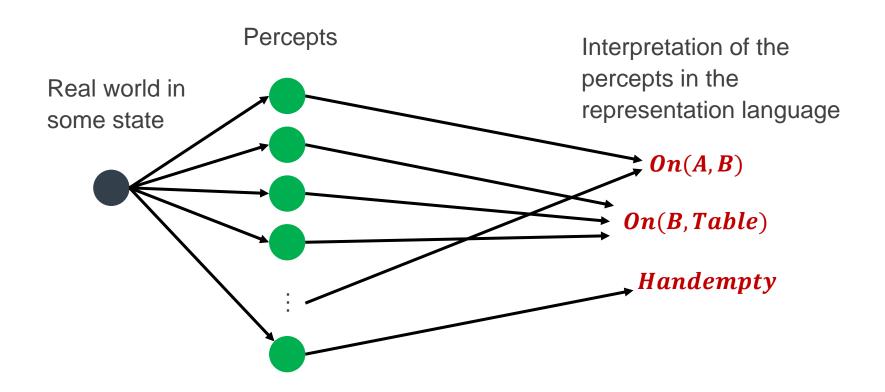
An action may describe alternative effects

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Stack(C, A): \\ Precondition = Holding(C) \land Block(A) \land Block(C) \land Clear(A) \\ If \ On(A, x) \land (x \neq Table) \\ E_1 \begin{cases} Delete = Clear(A), Holding(C) \\ Add = On(C, A), Clear(C), Handempty \end{cases} \\ E_2 \begin{cases} Delete = On(A, x), Holding(C) \\ Add = On(C, Table), Clear(C), Handempty, On(A, Table), Clear(A), Clear(x) \end{cases}
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Observation of the real world

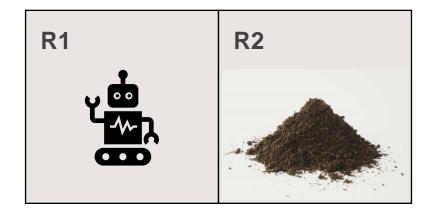


Percepts can be user's inputs, sensory data (e.g., image pixels), information received from other agents, ...





- Observation of the world can be:
 - Partial a vision sensor can't see through obstacles (lack of percepts)

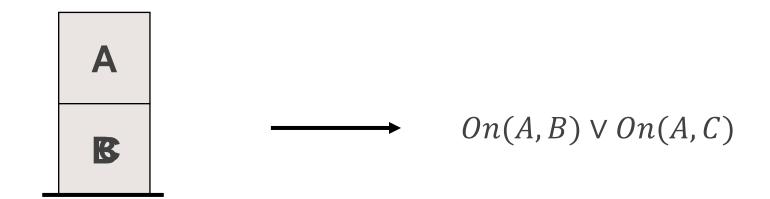


The robot may not know whether there is dirt in room R2





- Observation of the world can be:
 - Partial a vision sensor can't see through obstacles (lack of percepts)
 - Ambiguous percepts have multiple possible interpretations







Observation of the world can be:

- Partial a vision sensor can't see through obstacles (lack of percepts)
- Ambiguous percepts have multiple possible interpretations
- Incorrect

Third Source of Uncertainty: Ignorance, Laziness, Efficiency



- An action may have a long list of preconditions:
 - Drive-Car:

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P = Have(Keys) \land \neg Empty(GasTank) \land BatteryOk \land IgnitionOk \land \neg FlatTires \land \neg Stolen(Car) \dots
```

- The agent's designer may ignore some preconditions,
 or by laziness or efficiency, may not include all in the action representation
- Results in representation that is incorrect:
 - Executing the action may not have the described effects
- Or has several alternative effects

Third Source of Uncertainty: Ignorance, Laziness, Efficiency



- Example of uncertain reasoning: diagnosing a dental patient's toothache
- Using logic to cope with this domain fails:
 - Laziness: Too much work to list complete set of antecedents and consequences and too hard to use those rules
 - Theoretical ignorance: Medical science has no complete theory for domain
 - Practical ignorance: Even if rules are known, individual patients introduce uncertainty because not all test may have been run



Representation of Uncertainty

- Many models of uncertainty
- We will consider two important models:
 - 1. Non-deterministic model:
 - Uncertainty is represented by a set of possible values
 - a set of possible worlds, a set of possible effects, ...

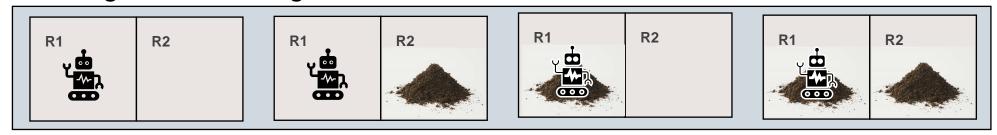
2. Probabilistic model:

 Uncertainty is represented by a probabilistic distribution over a set of possible values

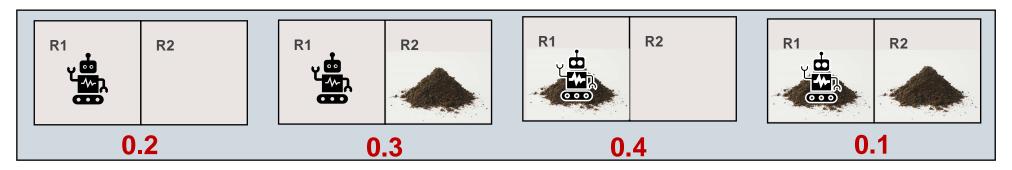


Example: Belief State

In the presence of <u>non-deterministic</u> sensory uncertainty, an agent <u>belief state</u> represents all the states of the world that it thinks are possible at a given time or at a given stage of reasoning



In the <u>probabilistic</u> model of uncertainty, a probability is associated with each state to measure its likelihood to be the actual state

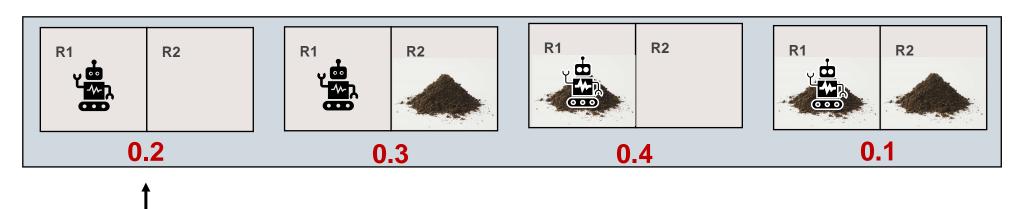




What do probabilities mean?

- Probabilities have a natural frequency interpretation
- The agent believes:
 - If it returned many times to a situation where it has the same belief state

 → the actual states in this situation would occur at a relative frequency
 defined by the probabilistic distribution

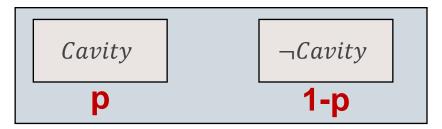


This state would occur 20% of the times



Example: Dentist Agent

- Consider a world where a dentist agent D meets a new patient P
- D is interested in one thing:
 - Whether P has a cavity
 - D models using the proposition Cavity
- Before making any observation, D's belief state is:



D believes that a fraction p of patients have cavities



Where do probabilities come from?

Frequencies observed in the past:

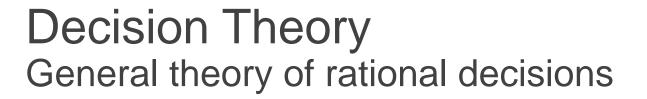
by the agent, its designer, or others

Symmetries:

Rolling dice, each of the 6 outcomes has probability 1/6

Subjectivism:

- Driving on Highway 280 at 120mph, will get speeding ticket with probability 0.6
- Principle of indifference:
 - No knowledge if one possibility more probable than another, give them the same probability





The theory of probability provides a way of summarizing the uncertainty that comes from our laziness and ignorance

Agents can have preferences for different possible outcomes

Utility Theory: Every state (or sequence) has a degree of usefulness to an agent **General theory of rational decisions:**

 $Decision\ Theory = probability\ theory + utility\ theory$

An agent is rational if and only if it chooses the action that yields the highest expected utility, averaged over all the possible outcomes of the action

→ Maximum Expected Utility

A decision-theoretic agent that selects rational actions



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function DT-Agent(percept) returns an action
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persistent: belief_state probabilistic beliefs about the current state
 of the world
 action the agent's action

update belief_state based on action and percept

calculate outcome probabilities for actions
given action descriptions and current belief_state

select action with highest expected utility
given probabilities of outcomes and utility information

return action



Probabilistic Belief States and Bayesian Networks

Russell & Norvig chapter 13

T-622-ARTI Spring 2023





Probabilistic Belief

- Consider a world where a dentist agent D meets with a new patient P
- D is interested in only whether P has a cavity:
 - a state is described with a single proposition: Cavity
- Before observing P, D does not know if P has a cavity, but from years of practice, he believes Cavity with some probability p and $\neg Cavity$ with probability 1-p
- The proposition is now a boolean random variable and (Cavity, p) is a probabilistic belief

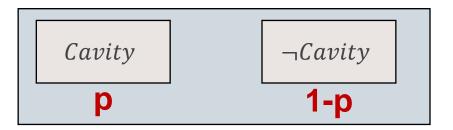


Probabilistic Belief State

The world has only two possible states, which are respectively described by Cavity and $\neg Cavity$

The probabilistic belief state of an agent is a probabilistic distribution over all the states that the agent thinks possible

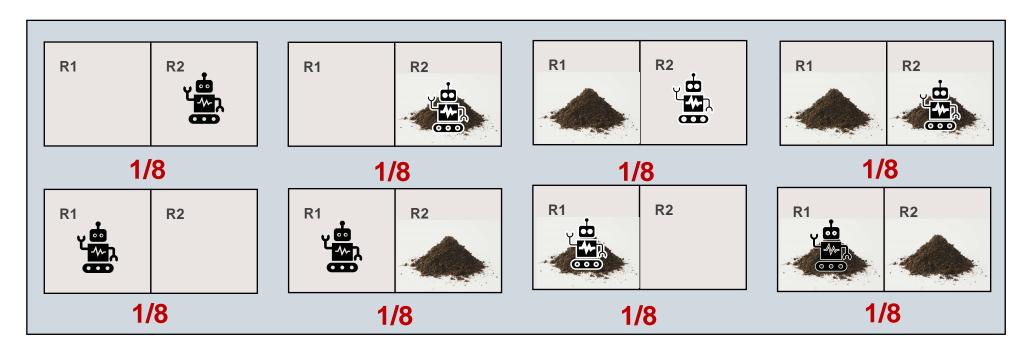
The dentist agent's belief state is:





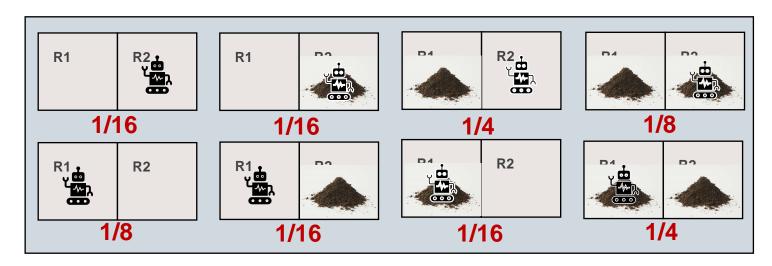
Vacuum Robot

- The Principle of Indifference:
 - If the robot has no idea what the state of the world is, and thinks that all states are equally probable, its belief state is:





How are beliefs and belief states related?



How does a belief affect the entire belief state and the other beliefs?



(Clean(R1), 5/16)(Clean(R2), 0.5)(In(Robot, R1), 0.5)(In(Robot, R2), 0.5) It is usually more convenient to deal with individual beliefs than with entire belief states:

- The robot may choose to execute Suck(R2) only if Clean(R2) has low probability
- The robot may directly observe whether Clean(R1) or Clean(R2)



Back to the dentist's ...

- Let's represent the world of the dentist D using three propositions:
 - Cavity, Toothache, and Catch
- D's belief state consists of $2^3 = 8$ states, each with some probability:

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{Cavity \land Toothache \land Catch,

\negCavity \land Toothache \land Catch,

Cavity \land \negToothache \land PCatch, ...}
```

The belief state is defined by the full joint probability of the propositions



	toothache		$\neg toothache$	
	Catch	$\neg Catch$	Catch	$\neg Catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576



Probabilistic Inference

	toothache		$\neg toothache$	
	Catch	$\neg Catch$	Catch	$\neg Catch$
cavity	0.108	0.012	0.072	<mark>0.008</mark>
$\neg cavity$	0.016	0.064	0.144	0.576

$$P(cavity \lor toothache) = 0.108 + 0.012 + \cdots$$
$$= 0.28$$



Probabilistic Inference

	toothache		$\neg toothache$	
	Catch	$\neg Catch$	Catch	$\neg \textit{Catch}$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

$$P(cavity) = 0.108 + 0.012 + 0.072 + 0.008$$

= 0.2 Marginal probability



Probabilistic Inference

	toothache		$\neg toothache$	
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

Marginalization: Summing out – summing up the probabilities for each possible value of the other variables, taking them out of the equation

$$\begin{split} P(Cavity) &= P(Cavity, toothache, catch) + P(Cavity, tootache, \neg catch) \\ &+ P(Cavity, \neg toothache, catch) + P(Cavity, \neg tootache, \neg catch) \\ &= \langle 0.108, 0.016 \rangle + \langle 0.012 \ 0.064 \rangle + \langle 0.072, 0.144 \rangle + \langle 0.008, 0.576 \rangle \\ &= \langle 0.2, 0.8 \rangle \end{split}$$

$$P(Cavety) = \sum_{Toothache\ Catch} P(Cavity \land Toothache \land Catch)$$



Conditional Probability

$$P(A \wedge B) = P(A|B) P(B)$$
$$= P(B|A) P(A)$$

P(A|B) is the posterior probability of A given B

$$P(A|B) = \frac{P(A \land B)}{P(B)}$$

tooti	toothache		thache
catch	$\neg catch$	catch	$\neg catch$

	toothache		$\neg toot$	hache
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

$$P(cavity|toothache) = \frac{P(cavity \land toothache)}{P(toothache)}$$
$$= \frac{0.108 + 0.012}{0.108 + 0.012 + 0.016 + 0.064} = 0.6$$

Interpretation: After observing *toothache*, the patient is no longer an "average" one, and the prior probability (0.2) of *cavity* is no longer valid.

P(Cavity|toothache) is calculated by keeping the ratios of the probabilities of the 4 cases unchanged, and normalizing their sum to 1

44

	toothache		$\neg toothache$		
	catch	$\neg catch$	catch	$\neg catch$	
cavity	0.108	0.012	0.072	0.008	
$\neg cavity$	0.016	0.064	0.144	0.576	

$$P(cavity|toothache) = \frac{P(cavity \land toothache)}{P(toothache)}$$

$$= \frac{0.108 + 0.012}{0.108 + 0.012 + 0.016 + 0.064} = 0.6$$

$$P(\neg cavity|toothache) = \frac{P(\neg cavity \land toothache)}{P(toothache)}$$

$$= \frac{0.016 + 0.064}{0.108 + 0.012 + 0.016 + 0.064} = 0.4$$

	toothache		$\neg toot$	hache
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576



$$P(cavity|toothache) = \frac{0.108 + 0.012}{0.108 + 0.012 + 0.016 + 0.064} = 0.6$$

$$P(\neg cavity | toothache) = \frac{0.016 + 0.064}{0.108 + 0.012 + 0.016 + 0.064} = 0.4$$

P(Cavity|Toothache) = (P(Cavity|Toothache), P(Cavity|Tootache))

 $= \alpha P(Cavity \land Toothache)$

 $= \alpha \sum_{Catch} P(Cavity \wedge Toothache \wedge Catch)$

 $= \alpha[(0.108, 0.016) + (0.012, 0.064)]$

 $= \alpha(0.12, 0.08) = (0.6, 0.4)$

Normalization constant



Conditional Probability

$$P(A \wedge B) = P(A|B) P(B)$$

$$= P(B|A) P(A)$$

$$P(A \wedge B \wedge C) = P(A|B,C)P(B \wedge C)$$

$$= P(A|B,C) P(B|C) P(C)$$

$$P(Cavety) = \sum_{Toothache\ Catch} \sum_{Catch} P(Cavity \land Toothache \land Catch)$$

$$= \sum_{Toothache\ Catch} \sum_{Catch} P(Cavity | Toothache, Catch) P(Toothache \land Catch)$$



Independence

Two random variables A and B are independent if

$$P(A \wedge B) = P(A) P(B)$$

hence
$$P(A|B) = P(A)$$

Two random variables A and B are independent given C, if

$$P(A \wedge B|C) = P(A|C) P(B|C)$$

hence
$$P(A|B,C) = P(A|C)$$



Updating the Belief State

	toothache		$\neg toot$	hache
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

- Let E be the evidence such that P(Toothache|E) = 0.8
- We want to compute $P(CA \land TO \land CH|E) = P(CA \land CH|TO, E) P(TO|E)$
- Since E is not directly related to the cavity or the catch, we consider that CA and CH are independent of E given T

hence: $P(CA \land CH|T,E) = P(CA \land CH|T)$



Updating the Belief State

	toothache		$\neg toot$	hache
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

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Updating the Belief State

	toothac	che	$\neg tootha$	che
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108 _{0.432}	0.012 _{0.048}	0.072	0.008 _{0.002}
$\neg cavity$	9.016 _{0.064}	0.064 _{0.256}	0.144 _{0.036}	0.576 _{0.114}

To get these 4 probabilities we normalize their sum to 0.8

ch that
$$P(Toothache|E) = 0.8$$

$$A \wedge TO \wedge CH|E) = P(C)$$

To get these 4 probabilities we normalize their sum to 0.2

Since E is not directly related to the cavity or the

CH are independent of E given T

hence: $P(CA \land CH|T,E) = P(CA \land CH|T)$



Issues

- If a state is described by n propositions, then a belief state contains 2ⁿ states (possibly, some have probability 0)
- Modeling difficulty: many numbers must be entered in the first place
- Computational issue: memory size and time



Enter Bayesian Networks

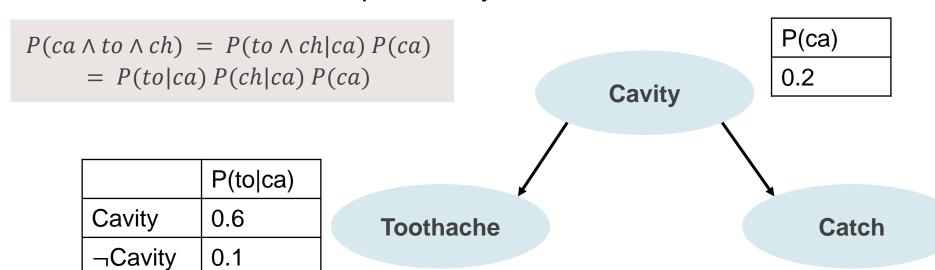
	toothache		$\neg toot$	hache
	catch	$\neg catch$	catch	$\neg catch$
cavity	0.108	0.012	0.072	0.008
$\neg cavity$	0.016	0.064	0.144	0.576

- Toothache and Catch are independent given Cavity (or ¬Cavity), but this relation is hidden in the numbers!
- Bayesian networks explicitly represent independence among propositions to reduce the number of probabilities defining a belief state



Bayesian Network

- Notice that Cavity is the "cause" of both Toothache and Catch, and represent the causality links explicitly
- Give the prior probability distribution of Cavity
- Give the conditional probability tables of Toothache and Catch

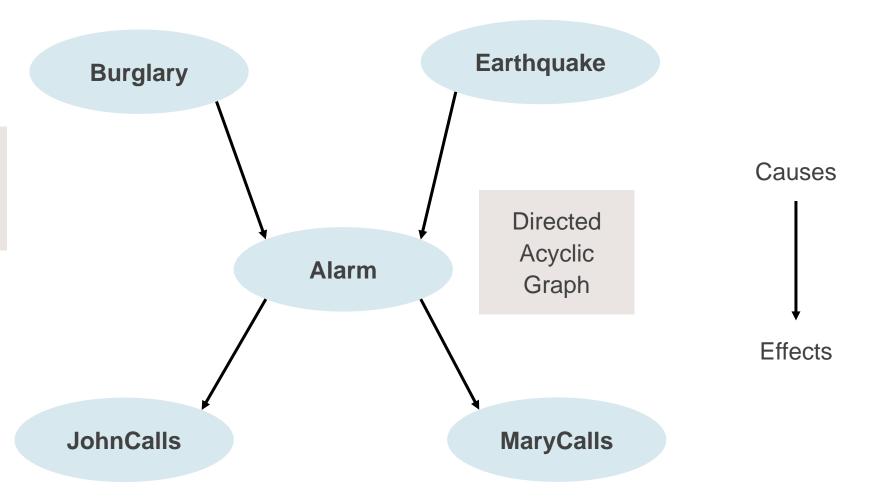


	P(ch ca)
Cavity	0.9
¬Cavity	0.02



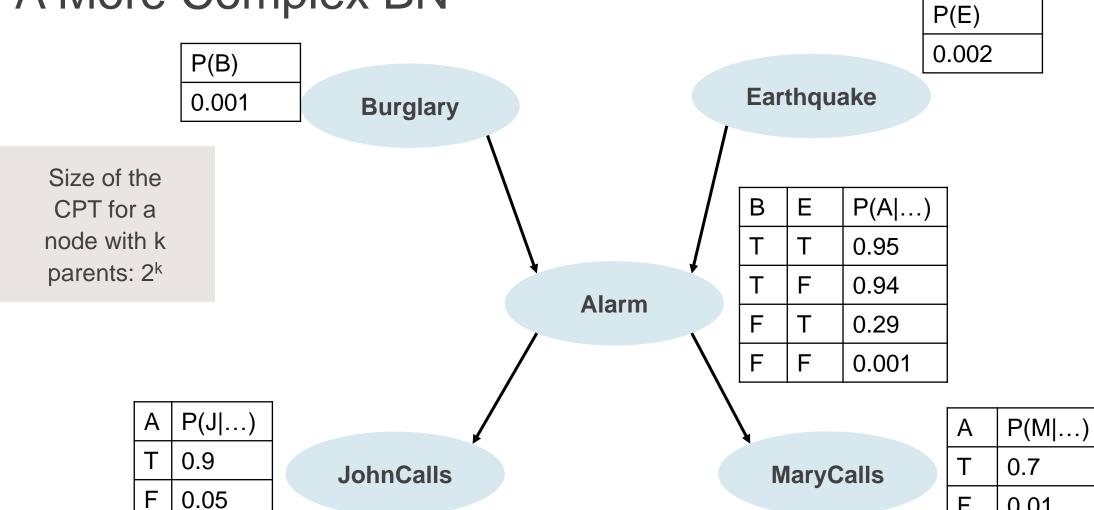
A More Complex BN

Intuitive meaning of arc from x to y:
"x has direct influence on y"





A More Complex BN

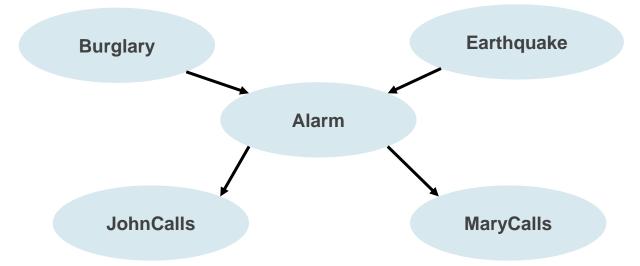


0.01

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What does the BN encode?



 $P(b \land j) \neq P(b) P(j)$ $P(b \land j|a) = P(b|a) P(j|a)$

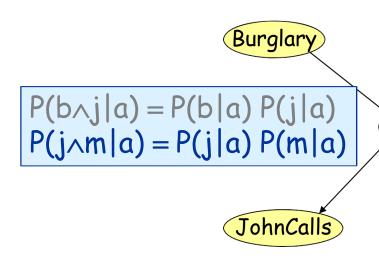
Each of the beliefs JohnCalls and MaryCalls is independent of Burglary and Earthquake given Alarm or ¬ Alarm

For example, John does not observe any burglaries directly



What does the BN encode?

Alarm



The beliefs JohnCalls and MaryCalls are independent given Alarm or Alarm

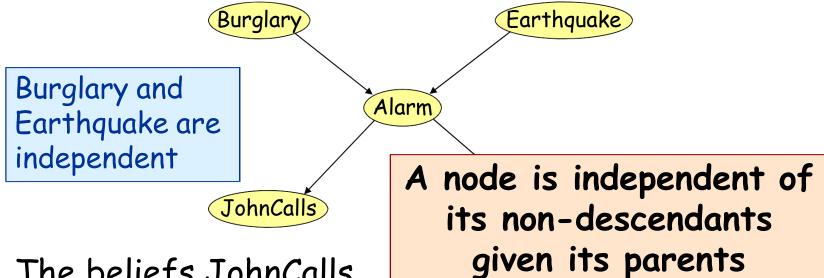
A node is independent of its non-descendants given its parents

Earthquake

For instance, the reasons why John and Mary may not call if there is an alarm are unrelated



What does the BN encode?



The beliefs JohnCalls and MaryCalls are independent given Alarm or Alarm

For instance, the reasons why John and Mary may not call if there is an alarm are unrelated



Locally Structured World

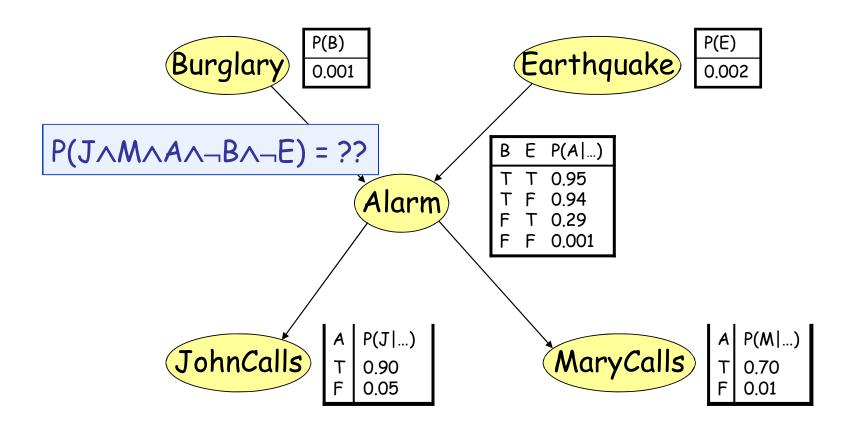
- A world is locally structured (or sparse) if each of its components interacts directly with relatively few other components
- In a sparse world, the CPTs are small and the BN contains much fewer probabilities than the full joint distribution
- If the # of entries in each CPT is bounded by a constant, i.e., O(1), then the # of probabilities in a BN is linear in n the # of propositions instead of 2ⁿ for the joint distribution



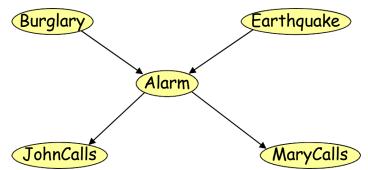
But does a BN represent a belief state?

In other words, can we compute the full joint distribution of the propositions from it?



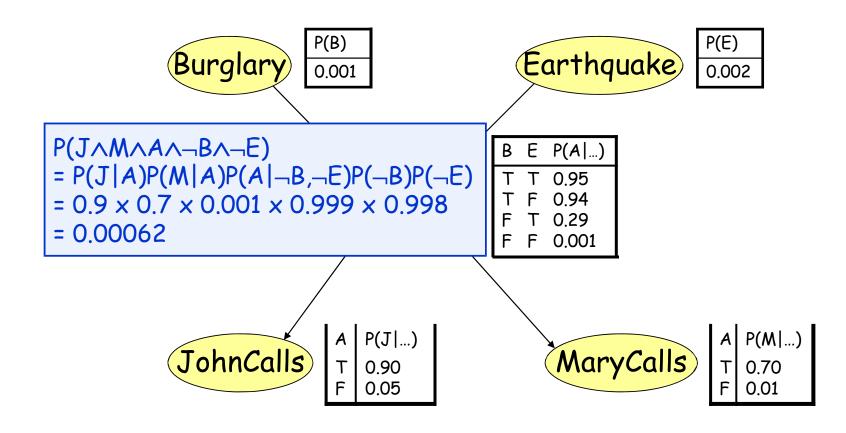




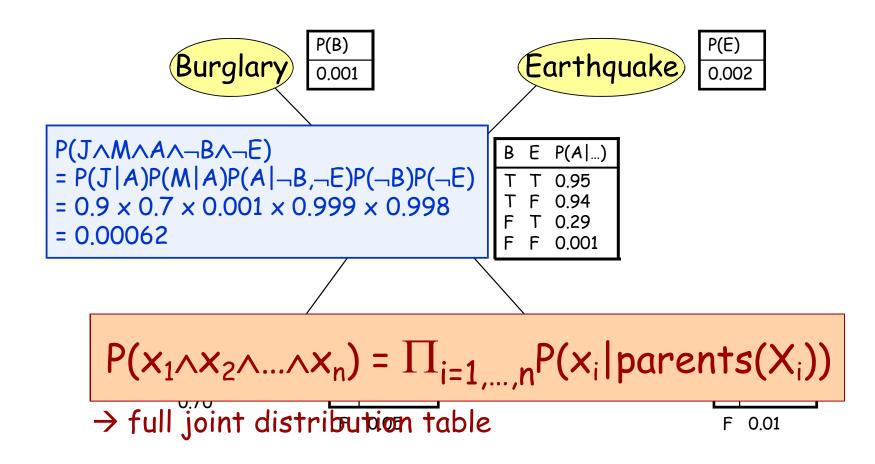


- $P(J_{\Lambda}M_{\Lambda}A_{\Lambda} B_{\Lambda} E)$ = $P(J_{\Lambda}M_{\Lambda}A_{\Lambda} - B_{\Lambda} - E) \times P(A_{\Lambda} - B_{\Lambda} - E)$ = $P(J_{\Lambda}A_{\Lambda} - B_{\Lambda} - E) \times P(A_{\Lambda} - B_{\Lambda} - E)$ (J and M are independent given A)
- $P(J|A, \neg B, \neg E) = P(J|A)$ (J and $\neg B \land \neg E$ are independent given A)
- $P(M|A, \neg B, \neg E) = P(M|A)$
- $P(A \land \neg B \land \neg E) = P(A | \neg B, \neg E) \times P(\neg B | \neg E) \times P(\neg E)$ = $P(A | \neg B, \neg E) \times P(\neg B) \times P(\neg E)$
 - $(\neg B \text{ and } \neg E \text{ are independent})$
- $P(J \land M \land A \land \neg B \land \neg E) = P(J|A)P(M|A)P(A|\neg B, \neg E)P(\neg B)P(\neg E)$

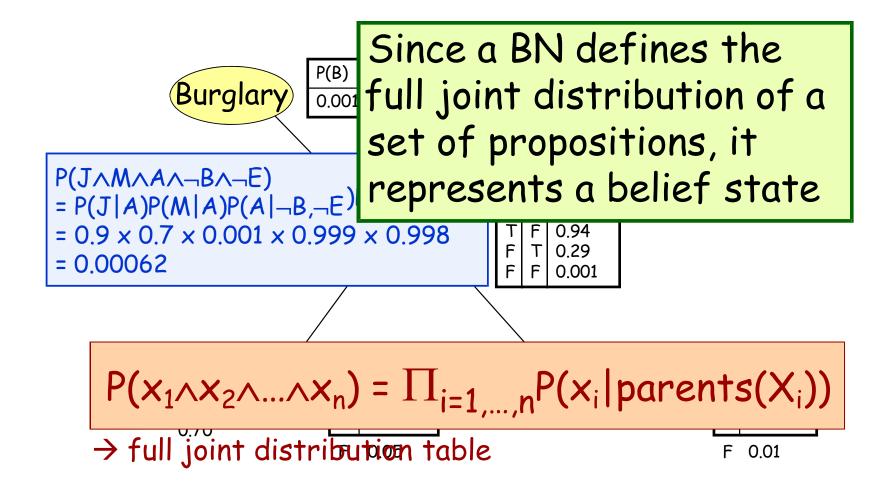






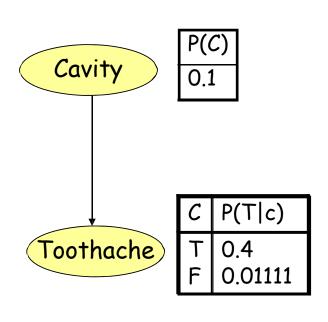








Querying the BN



- The BN gives P(t|c)
- What about P(c|t)?
- P(Cavity|t)
 = P(Cavity \(\ \ t \)/P(t)
 = P(t|Cavity) P(Cavity) / P(t)
 [Bayes' rule]
- $P(c|t) = \alpha P(t|c) P(c)$
- Querying a BN is just applying the trivial Bayes' rule on a larger scale



Querying the BN

- New evidence E indicates that JohnCalls with some probability p
- We would like to know the posterior probability of the other beliefs, e.g. P(Burglary|E)

```
    P(B|E) = P(B∧J|E) + P(B∧¬J|E)
    = P(B|J,E) P(J|E) + P(B|¬J,E) P(¬J|E)
    = P(B|J) P(J|E) + P(B|¬J) P(¬J|E)
    = p P(B|J) + (1-p) P(B|¬J)
```

• We need to compute P(B|J) and P(B|J)

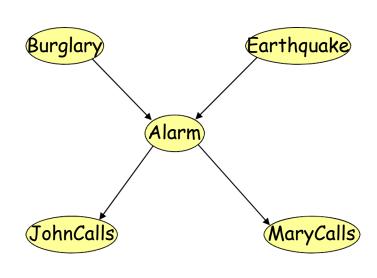




- $P(b|J) = \alpha P(b \wedge J)$
 - = $\alpha \sum_{m} \sum_{a} \sum_{e} P(b \wedge J \wedge m \wedge a \wedge e)$ [marginalization]
 - = $\alpha \sum_{m} \sum_{a} \sum_{e} P(b)P(e)P(a|b,e)P(J|a)P(m|a)$ [BN]
 - = $\alpha P(b) \sum_{e} P(e) \sum_{a} P(a|b,e) P(J|a) \sum_{m} P(m|a)$ [re-ordering]
- Depth-first evaluation of P(b|J) leads to computing each of the 4 following products twice: $P(J|A) P(M|A), P(J|A) P(\neg M|A), P(J|\neg A) P(M|\neg A), P(J|\neg A) P(\neg M|\neg A)$
- Bottom-up (right-to-left) computation + caching e.g., variable elimination algorithm (see R&N) - avoids such repetition
- For singly connected BN, the computation takes time linear in the total number of CPT entries (→ time linear in the # propositions if CPT's size is bounded)



Comparison to Classical Logic



Burglary → Alarm

Earthquake \rightarrow Alarm

Alarm → JohnCalls

Alarm → MaryCalls

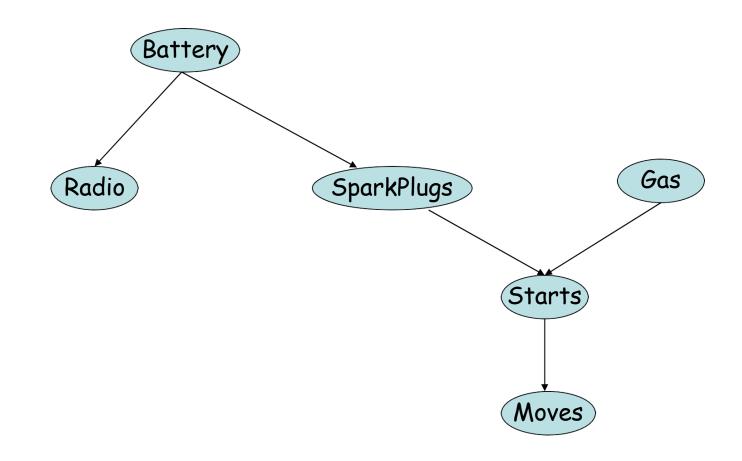
If the agent observes

—JohnCalls,
it infers —Alarm, —MaryCalls,
—Burglary, and —Earthquake

If it observes JohnCalls, then it infers nothing



More Complicated Singly-Connected Belief Net





Some Applications of BN

- Medical diagnosis
- Troubleshooting of hardware/software systems
- Fraud/uncollectible debt detection
- Data mining
- Analysis of genetic sequences
- Data interpretation, computer vision, image understanding