

Error Exponents of the Degraded Broadcast Channel with Degraded Message Sets

Graduate Seminar

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March 19th, 2009

Outline

1 Introduction

- Review of the Degraded Broadcast Channel
- Coding Scheme
- Previous Work

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2 First Approach - Gallager-Type Bounding

- Deriving the Exponents
- The Weak Decoder
- The Strong Decoder
- Numerical Results
 - Discussion

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3 Second Approach - Type Class Enumerator Method

- Type Class Enumerator Method - Introduction
- Using the Type Class Enumerator Method
 - Revisiting Gallager's Single User Bound
- Numerical Results

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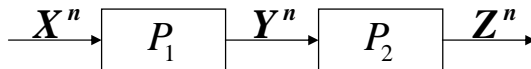
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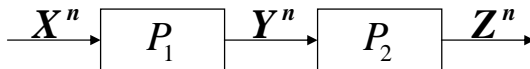
4 Summary and Conclusions

The Degraded Broadcast Channel



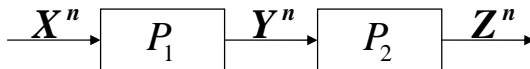
- Channel: $P(\mathbf{y}, \mathbf{z} | \mathbf{x}) = \prod_{t=1}^n P_1(y_t | x_t) P(z_t | y_t)$

The Degraded Broadcast Channel



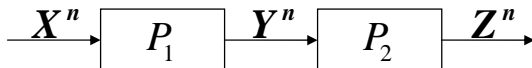
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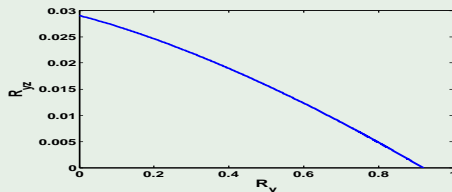
Capacity Region:

Convex hull of the closure of all (R_y, R_{yz}) satisfying

$$R_{yz} \leq I(Z; U)$$

$$R_y \leq I(X; Y|U)$$

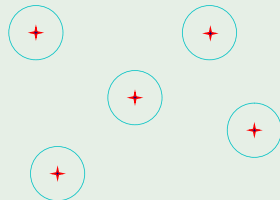
For some $P(u)P(x|u)P(y, z|x)$



Coding for the Broadcast Channel

Bergmans (73) capacity achieving scheme:

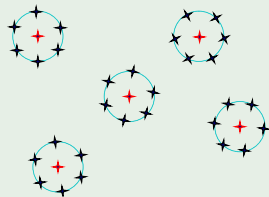
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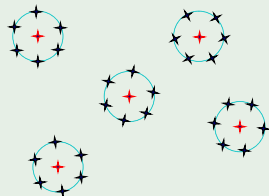
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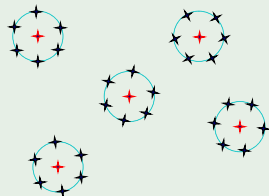
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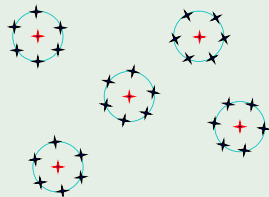


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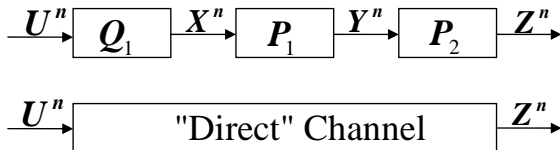
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- To send message m to both users and message i to the strong user, send the i -th codeword from the m -th cloud.



- Weak decoder determines only the cloud.
- Strong decoder also determines the specific message within the cloud.

Previous Work

- Gallager, 74'. By averaging over the cloud structure, a direct channel from cloud center to the weak decoder is computed. The error exponents are given for the computed direct channel. Therefore, the error exponent for the weak decoder depends only on the common message rate.



Previous Work. Cont.

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In this work

Our exponents pertain to optimal (ML) decoding. Namely:

- Strong decoder: $(\hat{m}(\mathbf{y}), \hat{i}(\mathbf{y})) = \arg \max_{m,i} P(\mathbf{y}|\mathbf{x}_{m,i})$.
- Weak decoder: $\tilde{m}(\mathbf{z}) = \arg \max_m \frac{1}{M_y} \sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i})$.

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The exponents depend on both rates.

Part I: Gallager type bounding Method

Deriving the Exponents

The weak decoder

For the weak decoder, we start with Gallager's upper bound to the "channel" $P(\mathbf{z}|m) = \frac{1}{M_y} \sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i})$

$$\overline{P_{E_m}^z} \leq \sum_{\mathbf{z}} \mathbf{E} \left[\frac{1}{M_y} \sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i}) \right]^{1-\rho\lambda} \cdot \mathbf{E} \left[\sum_{m' \neq m} \left(\frac{1}{M_y} \sum_{j=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m',j}) \right)^\lambda \right]^\rho.$$

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We now continue with each expectation separately using Forney's method:

$$\sum_{\mathbf{z}} \mathbf{E} \left[\sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i}) \right]^{1-\rho\lambda} = \sum_{\mathbf{z}} \mathbf{E} \left[\left(\sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i}) \right)^\alpha \right]^{\frac{1-\rho\lambda}{\alpha}}$$

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We finally get:

The Weak Decoder

The weak decoder error exponent

$$E_z(R_y, R_{yz}) = \max_{0 \leq \rho \leq 1} \max_{0 \leq \lambda \leq 1} \max_{\lambda \leq \mu \leq 1} \max_{1 - \rho\lambda \leq \alpha \leq 1} \{E_0(\rho, \lambda, \alpha, \mu) - (\alpha + \rho\mu - 1)R_y - \rho R_{yz}\}$$

where,

$$E_0(\rho, \lambda, \alpha, \mu) = -\log \sum_z \left\{ \sum_u Q(u) \left[\sum_x Q(x|u) P(z|x)^{1-\rho\lambda/\alpha} \right]^\alpha \times \left[\sum_{u'} Q(u') \left[\sum_x Q(x|u') P(z|x)^{\lambda/\mu} \right]^\mu \right]^\rho \right\}$$

We will look into three special cases:

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We will look into three special cases:

- ❶ $\alpha = \mu$
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- ❸ $\alpha = \mu = \frac{1}{1+\rho}$

The Weak Decoder, Cont.

$$\alpha = \mu$$

The optimal value of λ is $\frac{1}{1+\rho}$. We get an exponent with only two parameters.

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In this case, there is no dependence on the coding parameter $P(x|u)$.

$$E_z(R_y, R_{yz}) = -\log \left\{ \sum_z \left[\sum_x Q(x) P(z|x)^{1/(1+\rho)} \right]^{1+\rho} \right\} - \rho(R_{yz} + R_y)$$

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$$\alpha = \mu = \frac{1}{1+\rho}$$

In this case, we return to the same exponent in Gallager's 74' work.

$$E_z(R_y, R_{yz}) = -\log \left\{ \sum_z \left[\sum_u Q(u) P(z|u)^{1/(1+\rho)} \right]^{1+\rho} \right\} - \rho R_{yz}$$

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- 2 Can choose a wrong cloud.

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- ② Can choose a wrong cloud.

The strong decoder

The Exponent is the worst exponent of the above events.

$$E_y(R_y, R_{yz}) = \min \left(\max_{0 < \rho < 1} E_{y1}(R_y, \rho), \max_{0 < \rho < 1} E_{y2}(R_y, R_{yz}, \rho) \right)$$

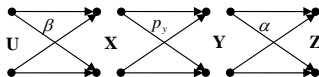
Where,

$$E_{y1}(R_y, \rho) = -\rho R_y - \log \sum_y \sum_u Q(u) \left[\sum_x Q(x|u) P(y|x)^{\frac{1}{1+\rho}} \right]^{1+\rho}$$

$$E_{y2}(R_y, R_{yz}, \rho) = -\rho(R_y + R_{yz}) - \log \left\{ \sum_y \left[\sum_x Q(x) P(y|x)^{\frac{1}{1+\rho}} \right]^{1+\rho} \right\}$$

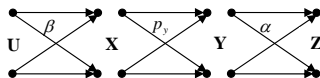
Numerical Results

Memoryless binary symmetric channel



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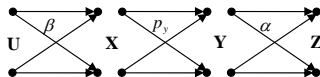
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- Only one coding parameter (β). $Q(u) = \frac{1}{2}$ is optimal

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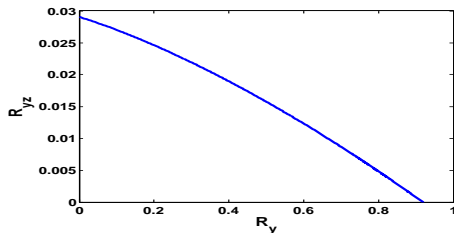
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- Only one coding parameter (β). $Q(u) = \frac{1}{2}$ is optimal
- The capacity region is:

$$R_{yz} \leq 1 - h(\beta * p_z)$$

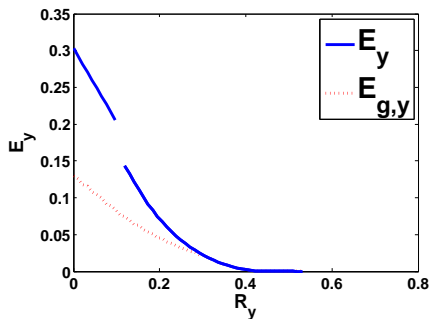
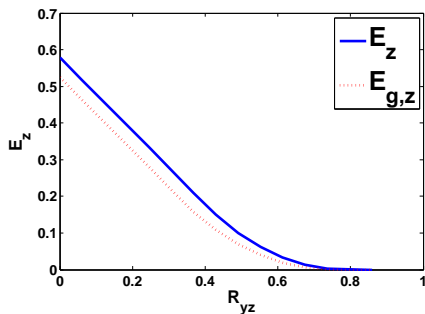
$$R_y \leq h(\beta * p_y) - h(p_y)$$



- We fix one rate and plot the exponent as a function of the other rate.

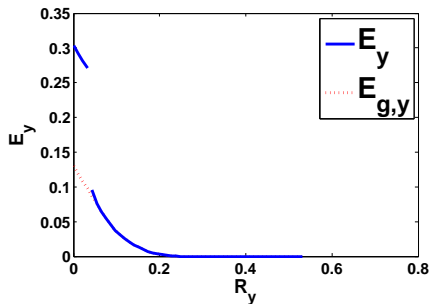
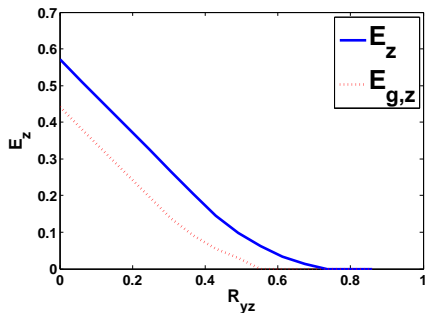
Results for the Broadcast BSC

Numerical results of the exponents under the constraint that both are greater than zero. We show the best E_z and E_y (weak, strong decoder exponents respectively) while the pair (E_z, E_y) is attainable, compared to Gallager's 74' results $(E_{g,z}, E_{g,y})$.



Results for the Broadcast BSC. Cont.

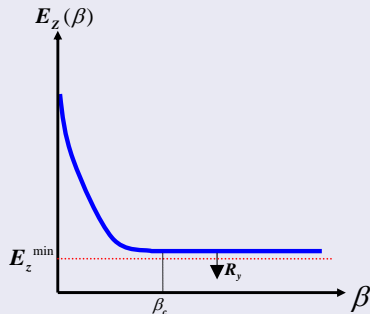
Instead of requiring that the other (the one which is not drawn in each plot) exponent be positive, we can require that it will be greater then some constant value. In this case, we required that the other exponent will be greater then $\frac{1}{4}$ of its maximal attainable value.



Discussion

Why is E_y discontinuous?

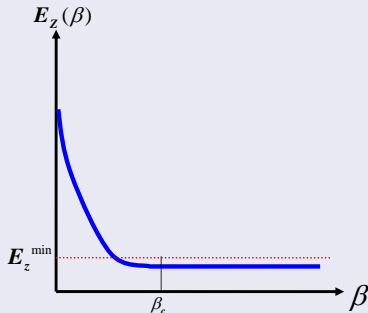
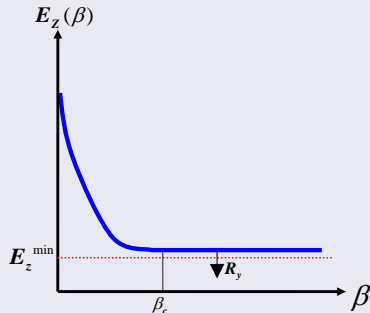
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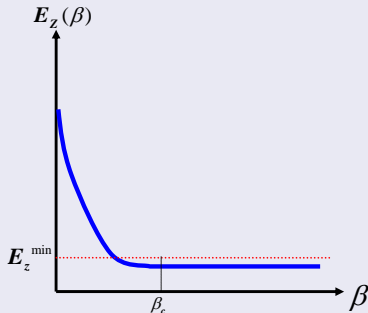
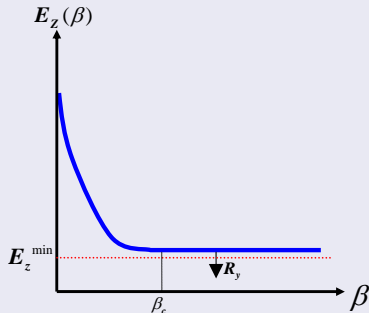
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- For all $R_y > R_{y0}$ the maximization is constrained.

Part II:

Type Class Enumerator Method

Type Class Enumerator Method - Motivation

A question

In the previous section (and numerous works) Jensen's inequality is used.

$$A = \mathbf{E} \left[\sum_m P(\mathbf{y}|X_m) \right]^s \leq \left[\mathbf{E} \sum_m P(\mathbf{y}|X_m) \right]^s = B$$

Did we lose exponential tightness here? is $A \doteq B$? ($\lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{A}{B} = 0$)

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Partial answer

For random coding, Gallager's exponent is tight - in his case $A \dot{=} B$.

- Was Gallager "lucky"?

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- We will return to this question later.

A Simple Case First

$$\text{BSC}(p) \quad \beta = \log \frac{1-p}{p}$$

$$\mathbf{E} \left[\sum_{m=1}^{e^{nR}} P(\mathbf{z} | \mathbf{X}_m) \right]^s = (1-p)^{n^s} \mathbf{E} \left[\sum_{d=0}^n N(d) e^{-d\beta} \right]^s$$

- \mathbf{z} is the "zero" word.
- $N(d)$ - # codewords around with Hamming weight d .

A Simple Case First

$$\text{BSC}(p) \quad \beta = \log \frac{1-p}{p}$$

$$\begin{aligned} \mathbf{E} \left[\sum_{m=1}^{e^{nR}} P(\mathbf{z} | \mathbf{X}_m) \right]^s &= (1-p)^{n^s} \mathbf{E} \left[\sum_{d=0}^n N(d) e^{-d\beta} \right]^s \\ &\doteq (1-p)^{n^s} \mathbf{E} \left[\sum_{d=0}^n N^s(d) e^{-n^s \beta \frac{d}{n}} \right] \end{aligned}$$

- \mathbf{z} is the "zero" word.
- $N(d)$ - # codewords around with Hamming weight d .

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- $N(d)$ - # codewords around with Hamming weight d .
- We need to evaluate the moments of $N(d)$.

Some Observations

We draw e^{nR} codewords independently and uniformly over $\{0,1\}$.
Let $N(d)$ count the number of codewords with Hamming weight d .

The Expected number of such codewords

$$\mathbf{E}N(d) \doteq e^{nR} e^{n(h(\frac{d}{n}) - \log 2)} \triangleq e^{n \cdot g(R,d)}$$



- *sub-exponential* number of possible d 's
- $g(R, d) > 0 \Rightarrow N(d)$ converges to its expectation d.e.f
- $g(R, d) < 0 \Rightarrow \Pr(N(d) = 1) = e^{n \cdot g(R,d)}$
- Moments of $N(d)$

$$\mathbf{E}N^{\mathbf{s}}(d) = \begin{cases} e^{n \mathbf{s} \cdot g(R,d)} & g(R, d) > 0 \\ e^{n \cdot g(R,d)} & g(R, d) \leq 0 \end{cases}$$

Deriving the Exponent

The weak decoder

For the weak decoder, we start with Gallager's upper bound to the "channel" $P(\mathbf{z}|m) = \frac{1}{M_y} \sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i})$

$$\overline{P_{E_m}^z} \leq \sum_{\mathbf{z}} \mathbf{E} \left[\frac{1}{M_y} \sum_{i=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m,i}) \right]^{1-\rho\lambda} \mathbf{E} \left[\sum_{m' \neq m} \left(\frac{1}{M_y} \sum_{j=1}^{M_y} P(\mathbf{z}|\mathbf{x}_{m',j}) \right)^\lambda \right]^\rho$$

Type class enumerators approach:

Unlike previous works that use Jensen's inequality, after this initial step, our analysis is exponentially tight.

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Unlike previous works that use Jensen's inequality, after this initial step, our analysis is exponentially tight.

Deriving the Exponent. Cont.

The First Expectation

$$\begin{aligned}
 & \mathbf{E} \left[\sum_{i=1}^{e^{nR_y}} P(z|x_{m,i}) \right]^s \\
 &= \mathbf{E}_u \mathbf{E}_{x|u} \left[\sum_{\hat{Q}_{x|z,u}} N_{z,m}(\hat{Q}_{x|z,u}) e^{n \hat{\mathbf{E}}_{z,x} \log P(z|x)} \right]^s
 \end{aligned}$$

- $N_{z,m}(\hat{Q}_{x|z,u})$ - # codewords around cloud m belonging to $T_{x|z,u}$. We need to evaluate its moments.
- $\hat{Q}_{x|z,u}$ plays the role of d in the binary example.

Deriving the Exponent. Cont.

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The Enumerator $N_{z,m}(T_{x|z})$ Properties

Given cloud \mathbf{u} , the expected number of codewords of type $T_{\mathbf{x}|\mathbf{z},\mathbf{u}}$ is:

$$\begin{aligned} E_{\mathbf{x}|\mathbf{u}} N_{z,m}(\hat{Q}_{\mathbf{x}|\mathbf{z},\mathbf{u}}) &\doteq e^{nR_y} \cdot e^{-n(\hat{\mathbf{E}}\mathbf{x}\mathbf{u} \log \frac{1}{P(\mathbf{x}|\mathbf{u})} - \hat{H}(\mathbf{x}|\mathbf{z},\mathbf{u}))} \\ &\triangleq e^{n \cdot g(R_y, \hat{Q}_{\mathbf{x}|\mathbf{z},\mathbf{u}})} \end{aligned}$$

Denote

$$\mathcal{G}_{R_y}(u) = \left\{ \hat{Q}_{\mathbf{x}|\mathbf{z},\mathbf{u}} : g(R_y, \hat{Q}_{\mathbf{x}|\mathbf{z},\mathbf{u}}) > 0 \right\}$$

and the moments of the enumerators are:

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Now, split the sum over $\hat{Q}_{\mathbf{x}|\mathbf{z},\mathbf{u}}$ into sums over $\mathcal{G}_{R_y}, \mathcal{G}_{R_y}^c$

Deriving the Exponent. Cont.

$$\begin{aligned}
 E \left[\sum_{i=1}^{M_y} P(z|x_{m,i}) \right]^{\mathbf{s}} &\doteq E_u \left[\sum_{\hat{Q}\mathbf{x}|\mathbf{z}, \mathbf{u} \in \mathcal{G}_{R_y}} e^{n(\mathbf{s}(g(R_y, \hat{Q}\mathbf{x}|\mathbf{z}, \mathbf{u}) + \hat{\mathbf{E}}\mathbf{z}\mathbf{x} \log P(z|x)))} \right. \\
 &\quad \left. + \sum_{\hat{Q}\mathbf{x}|\mathbf{z}, \mathbf{u} \in \mathcal{G}_{R_y}^c} e^{n(g(R_y, \hat{Q}\mathbf{x}|\mathbf{z}, \mathbf{u}) + \mathbf{s}\hat{\mathbf{E}}\mathbf{z}\mathbf{x} \log P(z|x))} \right] \\
 &\doteq E_u \left[e^{n \max_{\mathcal{G}_R} A(u, \mathbf{s})} + e^{n \max_{\mathcal{G}_R^c} B(u, \mathbf{s})} \right]
 \end{aligned}$$

Observations:

- In Jensen's ineq, we take $\max A(u, \mathbf{s})$ ($\geq \max_{\mathcal{G}_R^c} B(u, \mathbf{s}), 0 \leq \mathbf{s} \leq 1$).
- if $\max A(u, \mathbf{s}) = \max \{ \max_{\mathcal{G}_R} A(u, \mathbf{s}), \max_{\mathcal{G}_R^c} B(u, \mathbf{s}) \} \Rightarrow$ Jensen's ineq is tight.

Revisiting Gallager's Single User Bound

The 2nd expectation of Gallager's Bound

Our approach:

$$E \left[\sum_{m'} P^{\frac{1}{1+\rho}}(\mathbf{y}|\mathbf{x}'_m) \right]^\rho \\ \doteq e^{n \max_{\mathcal{G}_R} A(\rho)} + e^{n \max_{\mathcal{G}_R^c} B(\rho)}$$

Jensen's inequality:

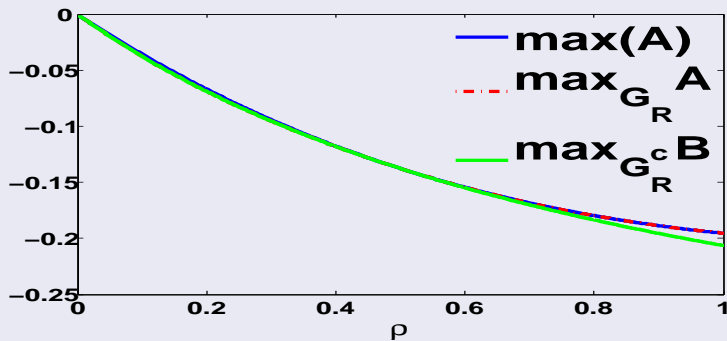
$$E \left[\sum_{m'} P^{\frac{1}{1+\rho}}(\mathbf{y}|\mathbf{x}'_m) \right]^\rho \\ \leq e^{n \max A(\rho)}$$

- Let $\rho^*(R)$ be Gallager's optimizing ρ for each R .
- Different behavior for $R > R_c$ and $R < R_c$

$$R > R_c$$

$$\mathbb{E} \left[\sum_{m'} P^{\frac{1}{1+\rho}}(\mathbf{y}|\mathbf{x}'_m) \right]^\rho \doteq e^{n \max_{\mathcal{G}_R} A(\rho)} + e^{n \max_{\mathcal{G}_R^c} B(\rho)}$$

Behavior of A and B

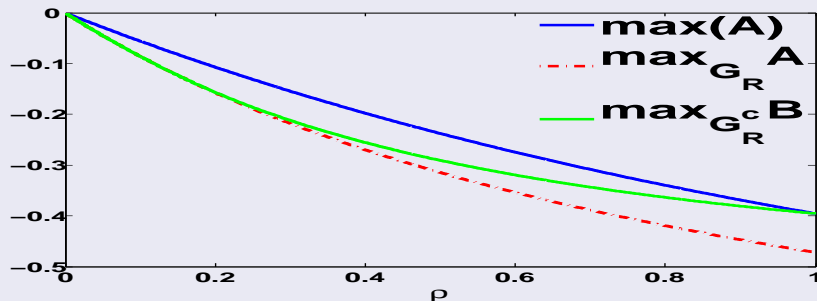


- $\max_{\mathcal{G}_R} A(\rho) \geq \max_{\mathcal{G}_R^c} B(\rho)$.
- The global maximizer of $A(\rho) \in \mathcal{G}_R$ for $\rho \geq \rho_0$.
- Gallager's bound is tight $\Rightarrow \rho^*(R) \geq \rho_0$

$$R < R_c \quad (\rho^*(R) = 1)$$

$$E \left[\sum_{m'} P^{\frac{1}{1+\rho}}(\mathbf{y}|\mathbf{x}'_m) \right]^\rho \doteq e^{n \max_{\mathcal{G}_R} A(\rho)} + e^{n \max_{\mathcal{G}_R^c} B(\rho)}$$

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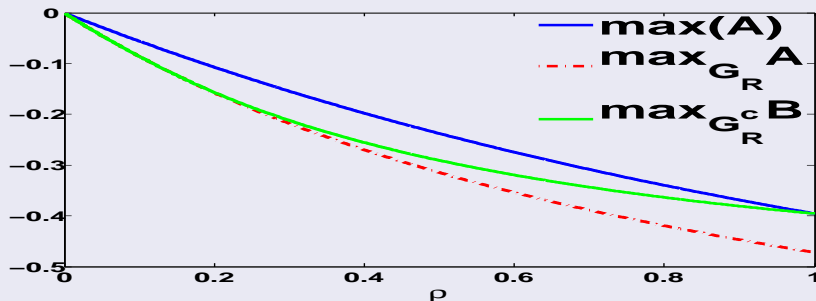


$$\bullet \max_{\mathcal{G}_R} A(\rho) \leq \max_{\mathcal{G}_R^c} B(\rho) \leq \max A(\rho) \quad (?!)$$

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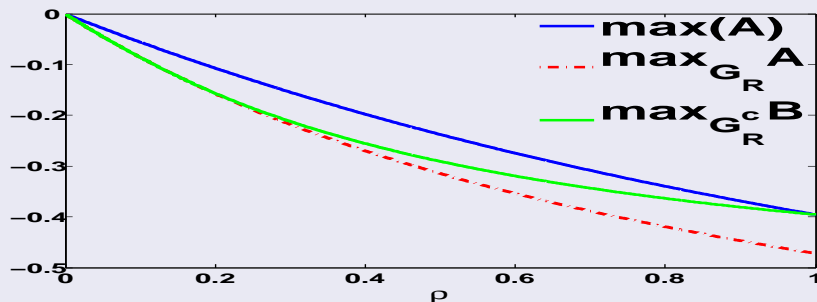


- $\max_{\mathcal{G}_R} A(\rho) \leq \max_{\mathcal{G}_R^c} B(\rho) \leq \max A(\rho)$ (!)
- However, Gallager's bound is tight because:
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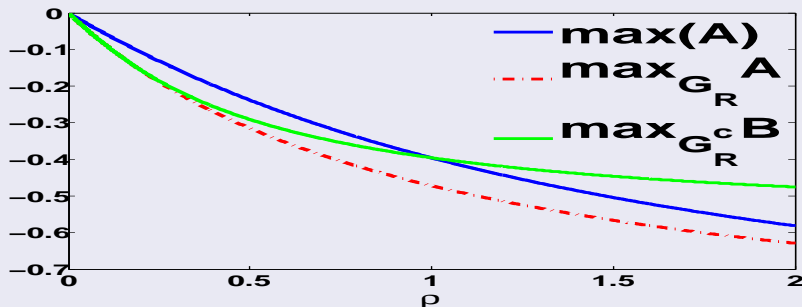


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Type class enumerators approach:

Unlike previous works that use Jensen's inequality, after this initial step, our analysis is exponentially tight.

The Second Expectation

The Second Expectation - Binary example $\left(\beta = \log \frac{1-p}{p}\right)$.

$$\mathbf{E} \left[\sum_{m' \neq m} \left(\sum_{j=1}^{M_y} P(\mathbf{z} | \mathbf{x}_{m',j}) \right)^\lambda \right]^\rho = \mathbf{E} \left[\sum_{m' \neq m} \left(\sum_{d=0}^n N_{\mathbf{z},m'}(d) e^{-d\beta} \right)^\lambda \right]^\rho$$

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Problems:

- 1 There is an exponential number of m' .

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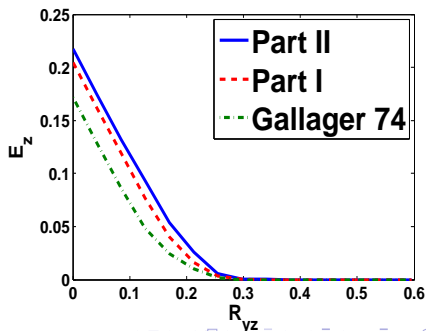
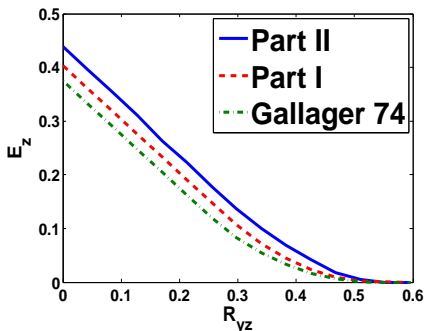
Problems:

- ❶ There is an exponential number of m' .
- ❷ For every m' , $N_{m'}(d)$ is distributed differently.

Results for the Broadcast BSC

Same setup as before

Numerical results of the weak decoder error exponent. We show the best E_z while the pair (E_z, E_y) is attainable, compared to the previous section and to Gallager 74' result. We show numerical results for two values of R_y .



Summary and Conclusions

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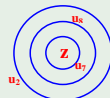
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Thank you!

The Second Expectation. Cont.

Rearrange the cloud centers



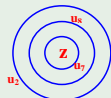
z: 000000000000....

u: 001000000000....

The Second Expectation. Cont.

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- For $\mathbf{u}_{m'}$ with $d_H(\mathbf{u}_{m'}, \mathbf{z}) = l_{\mathbf{u}\mathbf{z}}$, $N_{\mathbf{z},m'}(d)$ are i.d



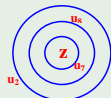
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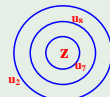


\mathbf{z} : 00000000000000....
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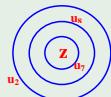
\mathbf{z} : 00000000000000....
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 \mathbf{E} \left[\sum_{m' \neq m} N_{m'}^\lambda(d) \right]^\rho &= \mathbf{E} \left[\sum_{l_{\mathbf{u}\mathbf{z}}=0}^n \sum_{\mathbf{u}_{m'} \in M(l_{\mathbf{u}\mathbf{z}})} N_{m'}^\lambda(d) \right]^\rho \\
 &= \sum_{l_{\mathbf{u}\mathbf{z}}=0}^n \mathbf{E} \left[\sum_{\mathbf{u}_{m'} \in M(l_{\mathbf{u}\mathbf{z}})} N_{m'}^\lambda(d) \right]^\rho
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$$\begin{aligned}
 E \left[\sum_{m' \neq m} N_{m'}^\lambda(d) \right]^\rho &= E \left[\sum_{l_{\mathbf{u}\mathbf{z}}=0}^n \sum_{\mathbf{u}_{m'} \in M(l_{\mathbf{u}\mathbf{z}})} N_{m'}^\lambda(d) \right]^\rho \\
 &= \sum_{l_{\mathbf{u}\mathbf{z}}=0}^n E \left[\sum_{\mathbf{u}_{m'} \in M(l_{\mathbf{u}\mathbf{z}})} N_{m'}^\lambda(d) \right]^\rho
 \end{aligned}$$

$|M(l_{\mathbf{u}\mathbf{z}})|$ is an enumerator - behaves similarly to $N(d)$.