



# **Lab Seminar**

# **GNN for Social Recommender Systems**

## **(DGRec & DREAM)**

**HTET ARKAR**  
**School of Computer Science and Engineering**  
**Chung-Ang University**

**2024-09-27**

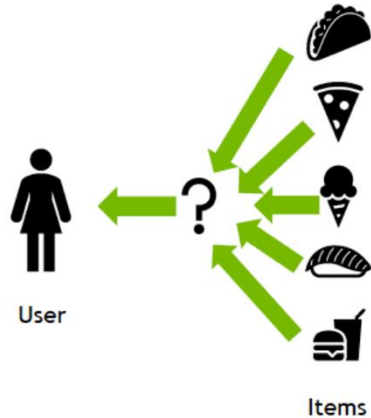
- Introduction

- **DGRec & DREAM**

- Overview
- Problem & Motivation
- Proposed Method
- Experimental Results
- Conclusion

## □ Recommendation System (RS)

- A machine learning system that predicts how a user would rate an item
- And ranks/returns those items accordingly
- Important in the increasingly overloaded age of digital economy

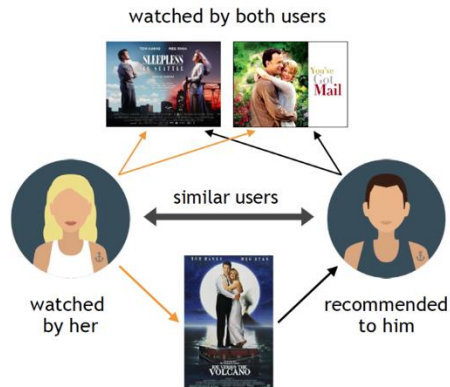


# Introduction (Cont'd)

## □ Types of RS

### ■ 1. Collaborative Filtering (CF)

- Helps predict what users will like based on **patterns of similar users**
- Subtypes: Memory-based CF and Mode-based CF



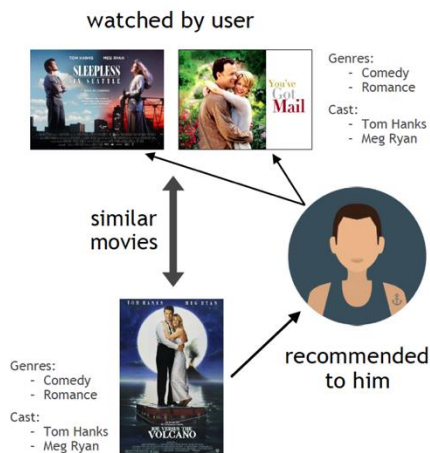
### □ Advantages

- Capable of accurately recommending complex items

## □ Types of RS

### ■ 2. Content-Based Filtering

- Based on a description of the item and a profile of the user's preferences
- Treats as a user-specific classification problem
- Learns a classifier for the user's likes and dislikes



# Introduction (Cont'd)

## □ General Problems

### ■ 1. Collaborative Filtering (CF)

#### □ Cold start problem

- Not enough data to make accurate recommendations for a **new user or item**

#### □ Scalability

- A large amount of computation power is often necessary to calculate

#### □ Sparsity

- **Insufficient** data available to accurately predict

What Causes the Cold-Start Problem?



1	•	New Users & Items
2	•	Sparse Data
3	•	Cold-Start for Features
4	•	Contextual Cold-Start

## □ General Problems

### ■ 2. Content-Based Filtering

#### □ Limited Discovery:

- Prevents users from discovering new and potential interesting items

#### □ Feature Engineering Dependency:

- Generates poor/irrelevant recommendations if feature set is incomplete/lacks depth

#### □ Cold Start Problem

- Rely heavily on past user interactions/item features
- For new user (i.e. with **little or no** interaction data), struggling to provide meaningful recommendation



# Introduction (Cont'd)

## □ Another Problems

- RSs tend to utilize all historical user-item interactions
- Learning each user's long-term and static preferences on items

“

**“All of the historical interactions of a user are equally important to her current preferences.”**

**BUT not be the reality...!**



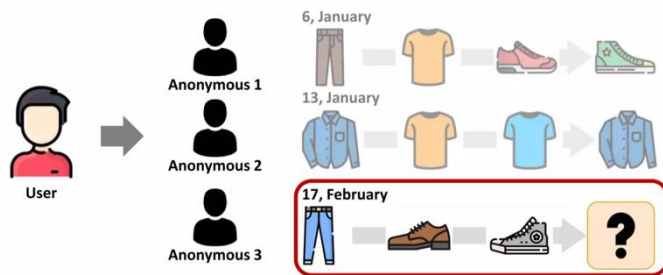
## ☐ Reasons

- User's choice depends on
  - ☐ Long-term historical and short-term recent preferences
  - ☐ Time-sensitive context
  
- User's preference towards to be dynamic
  - ☐ i.e. evolving over time

**“Session-based Recommender Systems (*SBRSSs*)”**

## □ Key Features of SBRs

- Sessions as the basic input unit
  - SBRs use each session of interactions to learn user preferences
- Captures Both:
  - **User's Short-term Preferences:** Derived from recent sessions
  - **Preference Dynamics:** Reflects changes in user preferences across different sessions
- Outcome:
  - **More accurate and Timely Recommendations**
  - By adapting to both current interests and evolving preferences



☐ Introduction

☐ **DGRec** & DREAM



- Overview

- Problem & Motivation

- Proposed Method

- Architecture

- Experimental Results

- Conclusion



## Paper-1

# Session-Based Social Recommendation via Dynamic Graph Attention Networks (DGRec)

Weiping Song, Zhiping Xiao, Yifan Wang, Laurent Charlin, Ming Zhang, Jian Tang

ACM International Conference on Web Search and Data Mining, 2019

## ❖ Introduction

### ❖ Background

- Online social communities(e.g. Facebook, Twitter) are hugely popular and important in users' daily lives
- Users **create, share, and consume** information on these platforms
- Recommender systems are critical for these platforms to:
  - Surface relevant content to users
  - Improve long-term user engagement



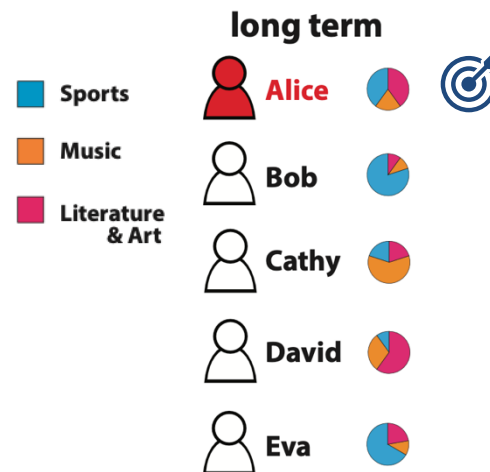
# Motivation Example

## ❖ Scenario

■ User Alice has **different** interests in two sessions: **sports** and **literature**

■ **Influences:** (in sports)

- Friends Bob and Eva: Sport fans (**long-term**)
- Browsing: Sport items (**short-term**)



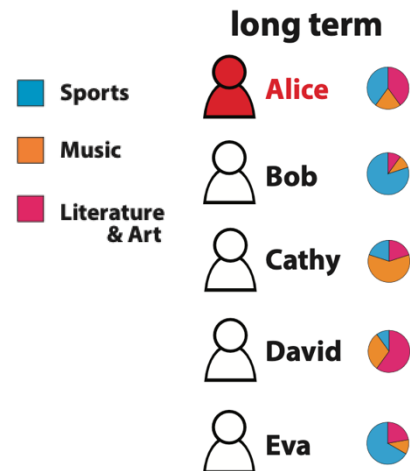
# Motivation Example (Cont'd)

## ❖ Scenario

■ User Alice has different interests in two sessions: **sports** and **literature**

■ **Influences:** (in literature)

- Friend David: Interested in literature & arts (**long-term**)
- Hobby: Activities (**short-term**)



## ❖ Challenges

- User interests are **dynamic** and change over time
- Users are **influenced** by their friends' activities
- Influencers can be **context-dependent** (different friends for different topics)

## ❖ Key Aspects to Model

- Dynamic user interests
- Context-dependent social influences





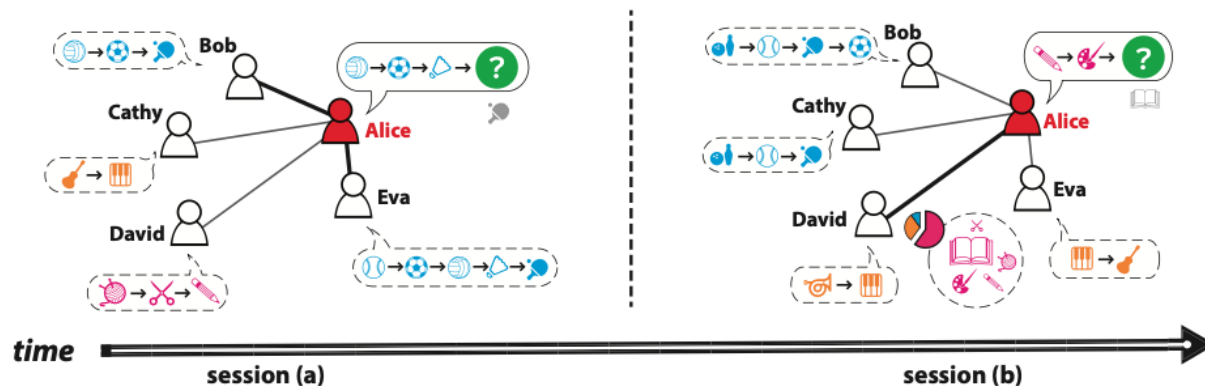
# Problem Definition

## ❖ Session-based Recommendation

- Given a sequence of user behaviors in a session, predict the next item

## ❖ Session-based Social Recommendation

- Session-based interests + Context-dependent social influence from friends



## ❖ Model Components

### ■ Recurrent Neural Networks (RNN)

- ☐ To model users' session-based interests

### ■ Dynamic Graph Attention Network

- ☐ To dynamically model **social influences** based on the context

### ■ Friend Influence

- ☐ Friends' short-term and long-term preferences are combined using attention

## ❖ Core Idea

- Dynamic graph structure changes over time based on **user's current interests** and **friends' influence**

# Architecture

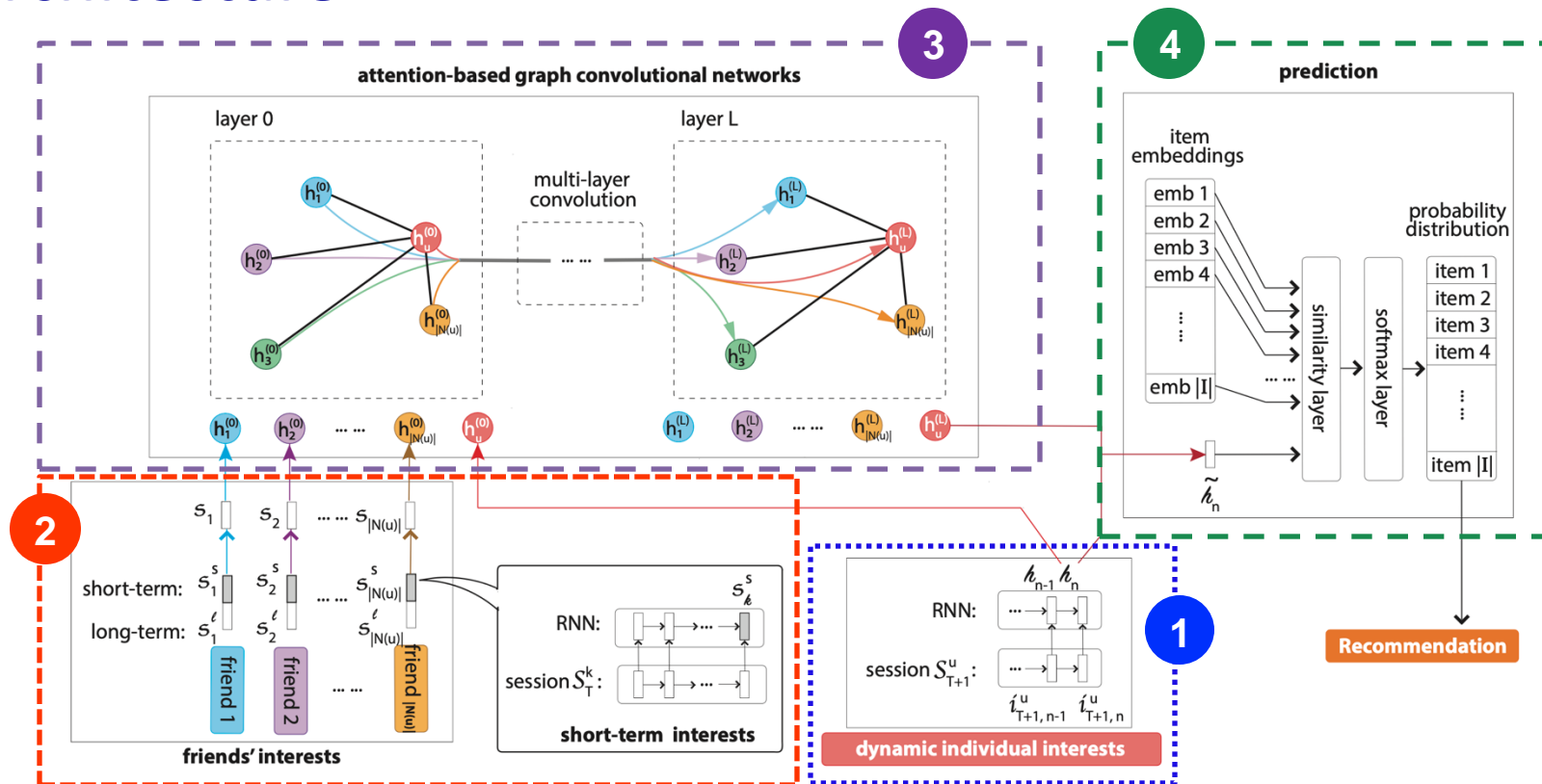


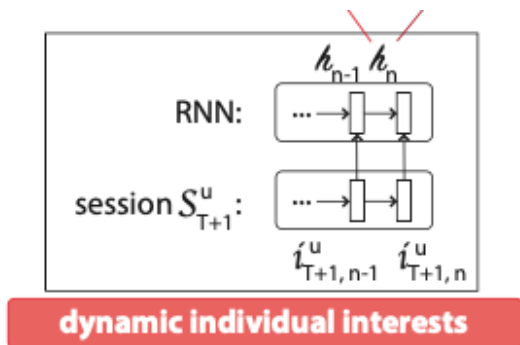
Figure 2: A schematic view of our proposed model for dynamic social recommendation.

# Architecture (Cont'd)

## ❖ (1) Dynamic Individual Interests

- Modeled via RNN
- Capture the sequence of items a user interacts with in a session

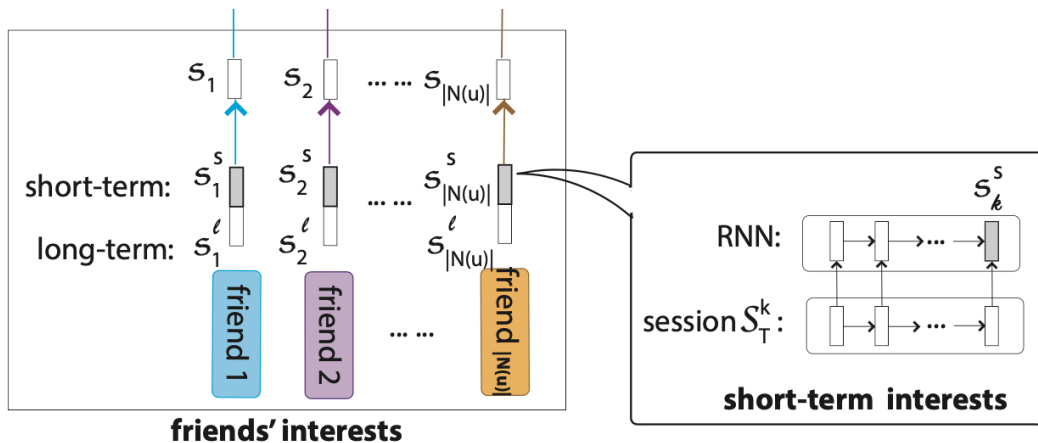
$$h_n = f(i_{T+1,n}^u, h_{n-1}),$$



## ❖ (2) Dynamic Social Interests:

### ■ Represent friends' short- and long-term preferences

- Short-term : current session
- Long-term : historical average



## ❖ (2) Dynamic Social Interests

### ■ Short-term preference

- Represents a friend's **most recent activities**
  - (e.g., their latest session of consumed items)
- These are **dynamic** and **session-specific**,
  - Reflecting what friends have interacted with recently

### ■ Approach:

- Uses an RNN to model the sequence of items a friend consumed in last session

$$s_k^{short} = h_{last}$$

- $h_{last}$  : final hidden state of RNN

## ❖ (2) Dynamic Social Interests

### ■ Long-term preference

- Represents a friend's overall preferences accumulated over time
- These are static, reflecting **general, long-term** behavior patterns
  - That don't change frequently

### ■ Approach:

- Uses a learned embedding vector
- Capturing a friend's overall preferences across multiple sessions

$$s_k^{long} = \mathbf{W}_u[k, :]$$

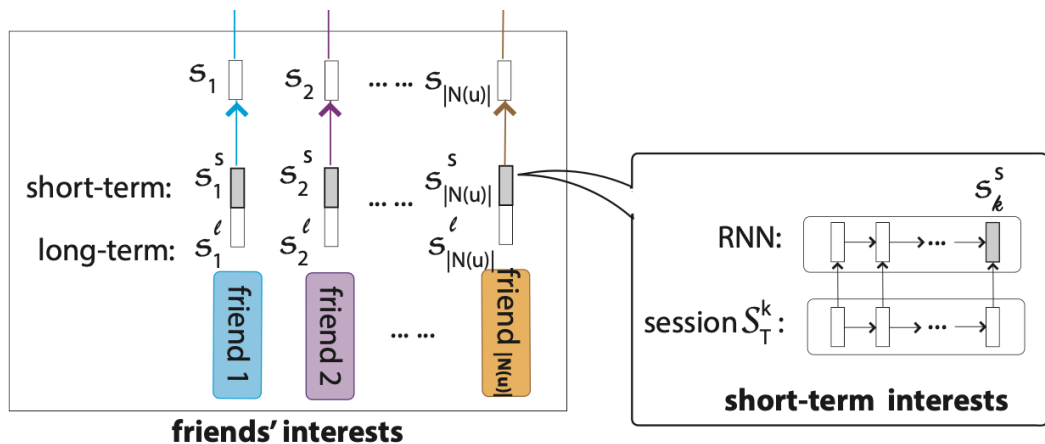
- $k_{th}$  row of the user embedding matrix  $\mathbf{W}_u$

## ❖ (2) Dynamic Social Interests

### ■ Friend node's feature

- Concatenation of the friend's short-term and long-term preferences

$$s_k = \text{ReLU}(\mathbf{W}_1[s_k^s; s_k^l]),$$





## ❖ (3) Dynamic Graph Attention Network

### ■ Context-dependent Social Influences

### ■ Constructing **Dynamic Feature Graph**

- The graph for a user  $u$  consists of  $|N(u)|+1$  nodes, where  $N(u)$  is the set of user  $u$ 's friends
- **Edges** represent the social connections between the target user and each friend

### ■ Target user node features:

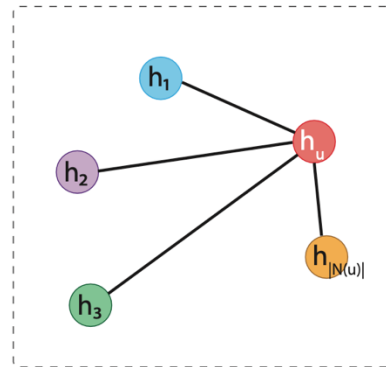
$$h_u^{(0)} = h_{current}$$

$h_{current}$  : user's interests in the current session

### ■ Friends' Node Features:

$$h_k^{(0)} = s_k$$

$s_k$  : concatenation of the friend's short-term and long-term preferences



## ❖ (3) Dynamic Graph Attention Network

### ■ Graph Attention Network (GAT)

- To determine how much influence each friend should have on the target user

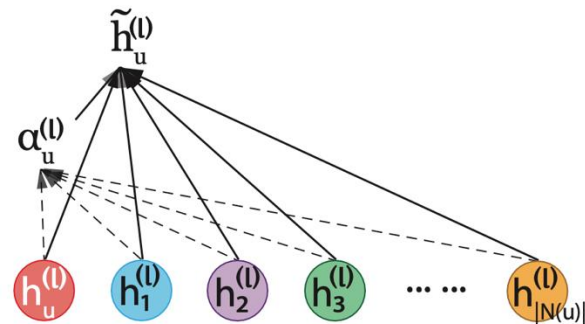
- Attention Mechanism: 
$$\alpha_{uk}^{(l)} = \frac{\exp(f(h_u^{(l)}, h_k^{(l)}))}{\sum_{j \in N(u) \cup \{u\}} \exp(f(h_u^{(l)}, h_j^{(l)}))}, \quad (\text{level of influence})$$

- Message Passing:

- Between the target user and friends  
based on the computed attention weights

- Feature Aggregation: 
$$\tilde{h}_u^{(l)} = \sum_{k \in N(u) \cup \{u\}} \alpha_{uk}^{(l)} h_k^{(l)},$$

- Aggregates the information from all friends  
using a weighted sum

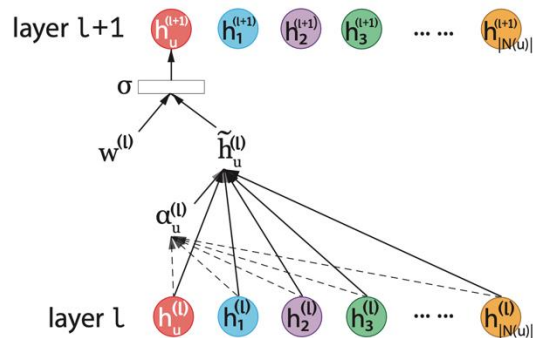


# (3) Dynamic Graph Attention Network

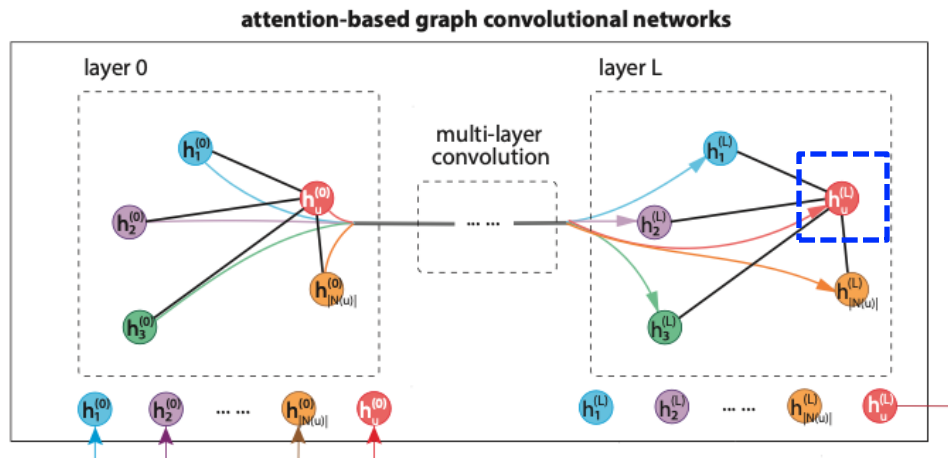
## ❖ Attention-based Graph Convolutional Networks

- Stacking the attention layer  $L$  times
- $h_u^{(L)}$ : The combined (social-influenced) representation

$$h_u^{(l+1)} = \text{ReLU}(\mathbf{W}^{(l)} \tilde{h}_u^{(l)})$$



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# Architecture (Cont'd)

## ❖ (4) Recommendation

### ■ Final User Representation

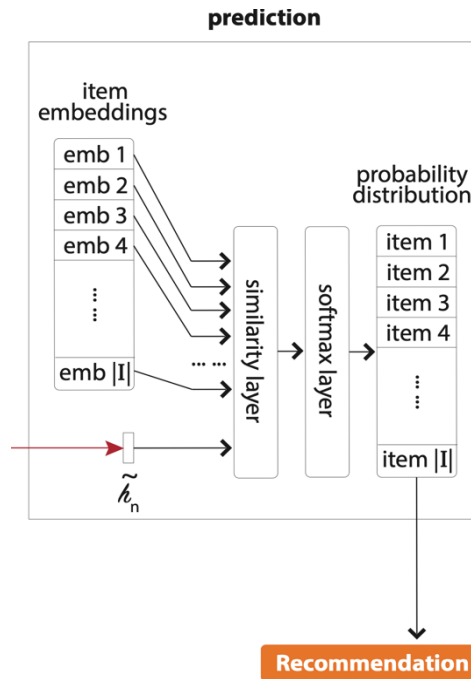
- Combining user's dynamic interests and social influence

$$\hat{h}_n = \mathbf{W}_2[h_n; h_u^{(L)}],$$

### ■ Next-item Prediction

- Probability that next item will be, y
- Using a softmax function

$$p(y|i_{T+1,1}^u, \dots, i_{T+1,n}^u; \{\vec{S}_T^k, k \in N(u)\}) = \frac{\exp(\hat{h}_n^\top z_y)}{\sum_{j=1}^{|I|} \exp(\hat{h}_n^\top z_j)},$$



## ❖ Training

### ■ Using log-likelihood function

- Measures how likely the model is to predict the actual items users interacted with during their sessions

- To maximize : 
$$p(y|i_{T+1,1}^u, \dots, i_{T+1,n}^u; \{\vec{S}_T^k, k \in N(u)\}) = \frac{\exp(\hat{h}_n^\top z_y)}{\sum_{j=1}^{|I|} \exp(\hat{h}_n^\top z_j)},$$

- Explanation:

- $U$ : Set of all users
- $T$ : Number of sessions
- $N_{u,t}$ : Number of items in session  $t$  for user  $u$ .
- $i_{u,t,n+1}$ : The actual item the user interacted with next.

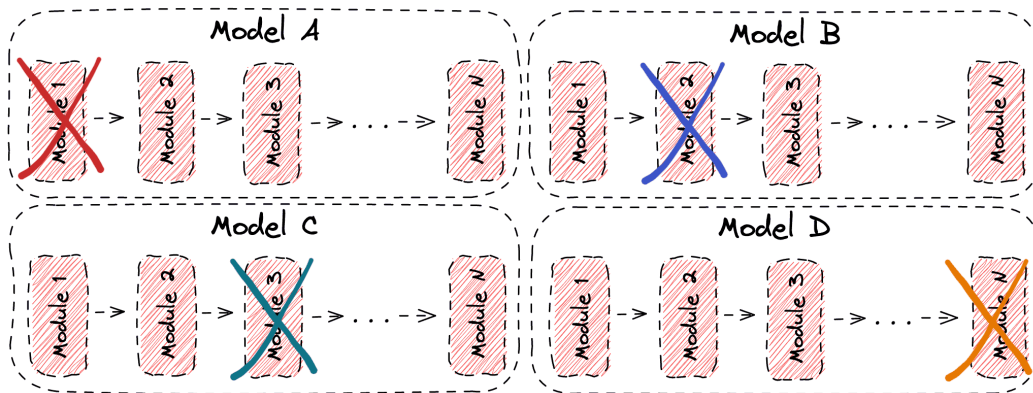
## ❖ Quantitative Results

- Showing the **necessity** of modeling context-dependent social influences

Model Class	Model	Douban		Delicious		Yelp	
		Recall@20	NDCG	Recall@20	NDCG	Recall@20	NDCG
Classical	ItemKNN [22]	0.1431	0.1635	0.2729	0.2241	0.0441	0.0989
	BPR-MF [27]	0.0163	0.1110	0.2775	0.2293	0.0365	0.1190
Social (Content-independent)	SoReg [24]	0.0177	0.1113	0.2703	0.2271	0.0398	0.1218
	SBPR [41]	0.0171	0.1059	0.2948	0.2391	0.0417	0.1207
	TranSIV [38]	0.0173	0.1102	0.2588	0.2158	0.0420	0.1187
Temporal (Dynamic User Interest)	RNN-Session [13]	0.1643	0.1854	0.3445	0.2581	0.0756	0.1378
	NARM [21]	0.1755	0.1872	0.3776	0.2768	0.0765	0.1380
Social + Temporal (Ours)	DGRec	<b>0.1861</b>	<b>0.1950</b>	<b>0.4066</b>	<b>0.2944</b>	<b>0.0842</b>	<b>0.1427</b>

## ❖ Ablation Study

“Machine learning system의 building blocks을 제거해서  
전체 성능에 미치는 효과에 대한 insight를 얻기 위한 과학적 실험”



## ❖ Self v.s. Social

- $DGREC_{self} > DGREC_{social}$  : overall users' individual interests have higher impact
- $DGREC$  : crucial to model both a user's current interest + dynamic social influences

Data Sets	Models	Recall@20	NDCG
Douban	$DGREC_{self}$	0.1643	0.1854
	$DGREC_{social}$	0.1185	0.1591
	$DGREC$	0.1861	0.1950
Delicious	$DGREC_{self}$	0.3445	0.2581
	$DGREC_{social}$	0.3306	0.2516
	$DGREC$	0.4066	0.2944
Yelp	$DGREC_{self}$	0.0756	0.1378
	$DGREC_{social}$	0.0690	0.1356
	$DGREC$	0.0842	0.1427

- $DGREC_{self}$  : a model of the user's current session only
- $DGREC_{social}$  : a model using context-dependent social influence features only



## ❖ Number of Convolutional Layers

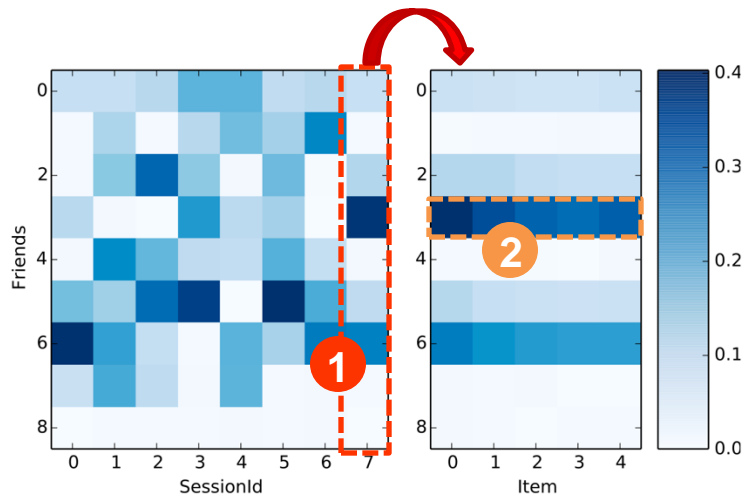
- Determines the depth of social influence
- The more layers allows influence from higher-order friends (friends of friends, etc.)

Data Sets	Conv. Layers	Recall@20	NDCG
Douban	1	0.1726	0.1886
	2	0.1861	0.1950
	3	0.1793	0.1894
Delicious	1	0.4017	0.2883
	2	0.4066	0.2944
	3	0.4037	0.2932
Yelp	1	0.0760	0.1387
	2	0.0842	0.1427
	3	0.0846	0.1423

- Over-fitting or noises introduced
- Two layers are enough

## ❖ Exploring Attention

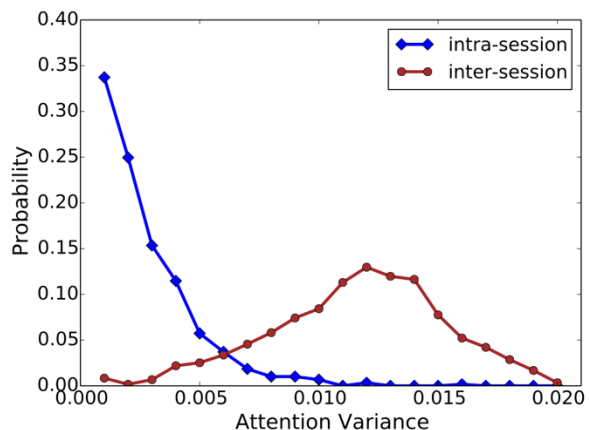
- (1) User allocates her attention to different friends across different sessions
- (2) Distribution of attention is relatively stable within a single session



# Variation of DGRec (Cont'd)

## ❖ Exploring Attention

- **Intra-session** → Lower : Users' interests tend to be focused within a short time
- **Inter-session** → Higher : User is more likely to trust different friends in different sessions

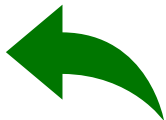


## ❖ Key Contributions:

- **Graph Convolutional Networks (GCNs)** for session-based social recommendation
- **User representations** captures current interest
- **Friends' Influence** is aggregated using attention-based GCNs
- Item recommendations is from combined user and social preferences

☐ Introduction

☐ DGRec & **DREAM**



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## Paper-2

# A Dynamic Relational-Aware Model for Social Recommendation (DREAM)

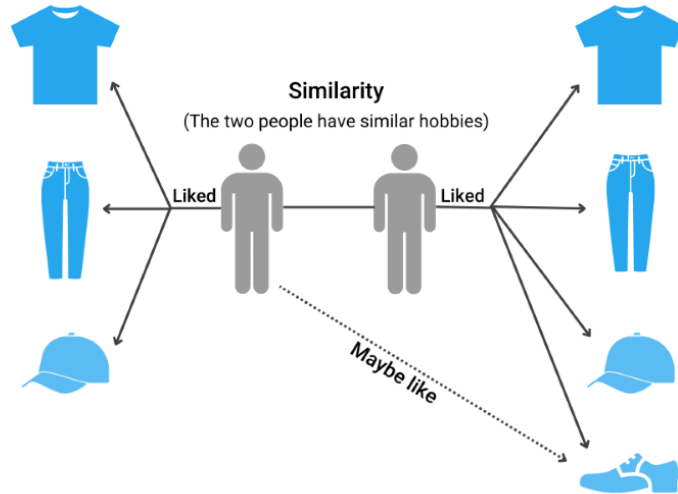
Liqiang Song, Ye Bi, Mengqiu Yao, Zhenyu Wu, Jianming Wang, Jing Xiao

Ping An Technology Shenzhen Co., Ltd

ACM International Conference on Information and Knowledge Management (CIKM '20)

## □ Social Recommender System (SocialRS)

- Social connections are used to enhance the performance of RS
- Users are influenced by friends' preferences and behaviors



## ☐ Challenges in SocialRS

- **Dynamic user preferences** and **friends' influences** are continuously evolving
- \* Social relations are often **sparse** \*
  - ☐ Making the system difficult to generate meaningful recommendations

## ☐ DGREC (in previous work)

- Dynamic user behavior with RNN
- Social Influence with GAT

## ■ Problems

- ☐ Ignoring the effects of **temporal dependency among different sessions**
- ☐ Relying **only on social friends** is far from satisfactory



## Solution

### **Dynamic RElational-Aware Model**

**A unified model to address dynamic user interests  
and sparse social relations**

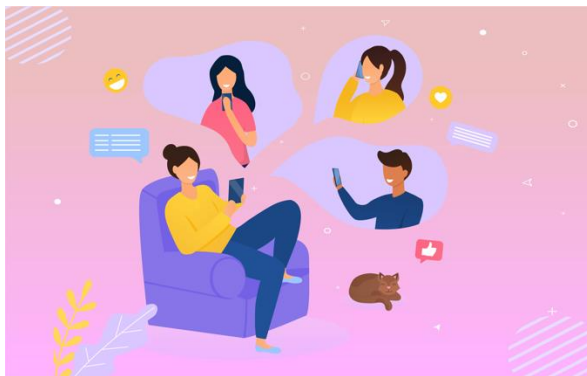
**using virtual friends and temporal information encoding (TIE) modules**

# Proposed Method

## □ DREAM

### ■ Key Contributions:

- Models both **users' dynamic interests** and their friends' **temporal influences**
- Introduces **virtual friends** to alleviate the sparsity of social relations
- Employs **relational-GAT** to integrate real and virtual friends' influences
- Uses **temporal information encoding (TIE)** to update user representations across sessions

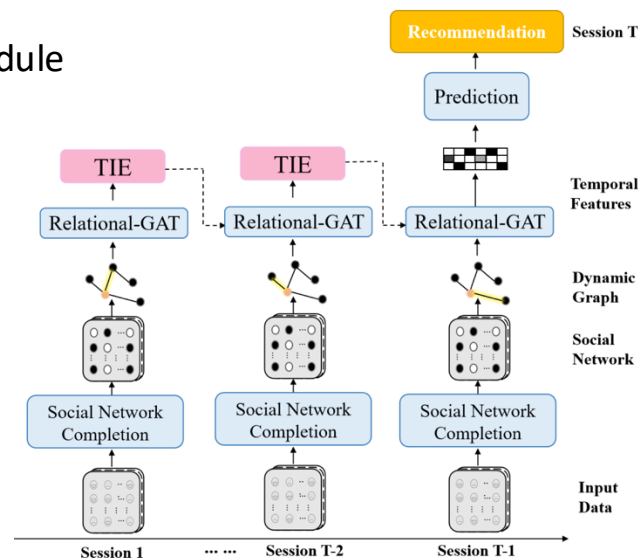


# Proposed Method

## □ DREAM Framework

■ There are the following four parts

- (1) Social Network Completion
- (2) Relational-GAT Module
- (3) Temporal information encoding (TIE) Module
- (4) Prediction



## □ (1) Social Network Completion

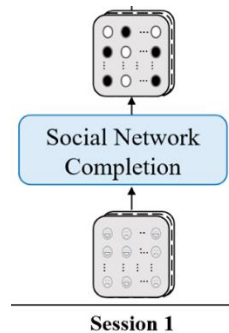
### ■ Virtual Friends

- Defined as users with **similar** consumption habits
- Connection strength is based on **similarity** calculated using a GloVe-based mechanism

$$s_{p,q}^V = \text{softmax}(\langle \mathbf{g}_{u_p}, \mathbf{g}_{u_q} \rangle) = \frac{\exp(\langle \mathbf{g}_{u_p}, \mathbf{g}_{u_q} \rangle)}{\sum_{u_l, u_s \in \mathcal{U}} \exp(\langle \mathbf{g}_{u_l}, \mathbf{g}_{u_s} \rangle)}$$

### ■ How it Works:

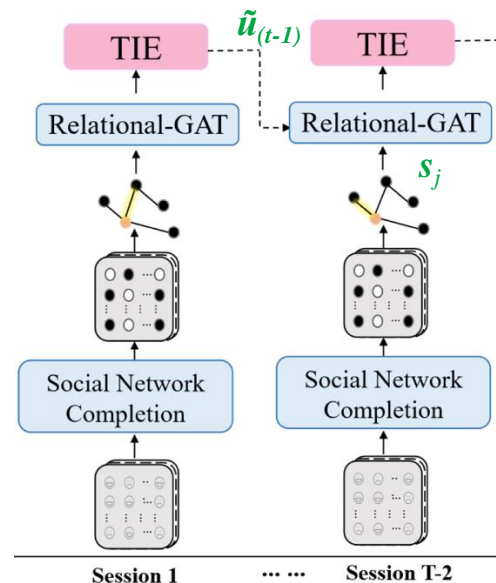
- Similarity among users is computed
- Top-k similar users are selected as virtual friends
- Virtual friends are added to the user's social network to complete the graph



## □ (1) Social Network Completion

### ■ Node Representation

- (1) Friends' node representations :
  - Calculated at (t-1)-th relational-GAT module
- (2) Users' short-term interests :
  - $s_j = \text{GRU}(S_{t-1}^j)$



## □ (2) Relational-GAT Module

- Captures the different types of relationships between users (**real vs virtual**)

- Input

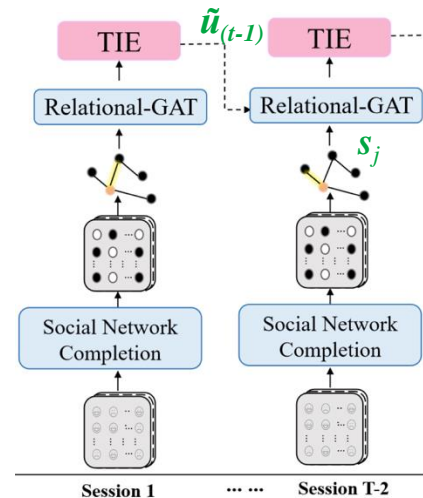
□ For target user  $u$ :  $h_u(0) = \tilde{u}_{(t-1)}$  (from previous TIE)

□ For friends:  $h_j(0) = s_j$  (short-term interest from GRU)

- Attention score: 
$$\alpha_{uk} = \frac{\exp(f_r(\mathbf{h}_u^{(0)}, \mathbf{P}_r \mathbf{h}_k^{(0)}))}{\sum_{u_j \in \mathcal{N}(u) \cup \{u\}} \exp(f_r(\mathbf{h}_u^{(0)}, \mathbf{P}_r \mathbf{h}_j^{(0)}))}, \quad \forall w \in \mathcal{N}(u).$$

- Information aggregation: 
$$\mathbf{h}_u = \sigma \left( \sum_{u_j \in \mathcal{N}(u) \cup \{u\}} \alpha_{uj} \mathbf{h}_j^{(0)} \right),$$

- Output : user's final representation,  $\mathbf{u}_t = \mathbf{h}_u$



## □ (3) Temporal information encoding (TIE) Module

- Combines the Relational-GAT encoded features with historical session data

- To capture evolving user preferences

- A GRU-like mechanism is used

- To model long-term preferences

- To account for how friends' influences change over time

- Encoding Procedure: 
$$\begin{aligned} \mathbf{u}_q &= \mathbf{W}_q^t \tilde{\mathbf{u}}_{t-1} + \mathbf{b}_q^t \\ \mathbf{u}_e &= \mathbf{W}_e^t \mathbf{u}_t + \mathbf{b}_e^t \\ \tilde{\mathbf{h}}_t &= \tanh(\mathbf{W}_h^t \mathbf{u}_t + \mathbf{u}_e \circ \mathbf{U}_h^t \tilde{\mathbf{u}}_{t-1} + \mathbf{b}_h^t) \\ \tilde{\mathbf{u}}_t &= (1 - \mathbf{u}_q) \circ \tilde{\mathbf{u}}_{t-1} + \mathbf{u}_q \circ \tilde{\mathbf{h}}_t, \end{aligned}$$

## □ Prediction

- Target user representation : final output of relational-GAT module ( $\mathbf{u}_T$ )
- Target item  $\mathbf{v}$
- Prediction calculation:  $\hat{y}_{uv} = \sigma(f(\mathbf{u}_T, \mathbf{v}))$

- Loss function: 
$$\sum_{(u,v) \in R} -(y_{uv} \log \sigma(f(\mathbf{u}_T, \mathbf{v})) + (1 - y_{uv}) \log(1 - \sigma(f(\mathbf{u}_T, \mathbf{v}))))$$

- Where  $y_{uv}$  is the ground truth label  $\begin{cases} 1 : \text{positive interactions} \\ 0 : \text{negative interactions} \end{cases}$



# Experiment

## □ Overall Performance

- [1] Reflect the power of temporal information

Model	Epinions			Movie		
	R@10	NDCG	MRR	R@10	NDCG	MRR
BPR	0.00585	0.08396	0.00228	0.01574	0.11265	0.00651
SBPR	0.00658	0.08948	0.00281	0.01642	0.11333	0.00685
GraphRec	0.00880	0.09635	0.00409	0.01787	0.11352	0.00698
GRU	0.00410	0.09229	0.00360	0.01141	0.11380	0.00700
SASRec	0.00410	0.09239	0.00287	0.01723	0.11459	0.00747
DGRec	<b>0.01176</b>	<b>0.09632</b>	<b>0.00468</b>	<b>0.01901</b>	<b>0.11486</b>	<b>0.00750</b>
DREAM	0.01639	0.09787	0.00628	0.02285	0.11669	0.00870
<i>Imprv.</i>	39.37%	1.58%	34.19%	20.20%	1.59%	16.00%

## □ Overall Performance

### ■ Three reasons that DREAM outperforms

- (1) Social Network using virtual friends
  - To express target user's dynamic and static interests
- (2) Combination of (user historical and current) representation as input of TIE
  - The importance of learning the evolution of target user interests
- (3) Using multiple temporal session information
  - Reflecting the evolution of target user dynamic interests over time

# Experiment

## □ Ablation Study

Model Components		Epinions		Movie	
		R@10	MRR	R@10	MRR
Inner-Session	DREAM-R	0.00820	0.00325	0.01868	0.00759
	DREAM-V	0.01230	0.00347	0.01873	0.00765
Inter-Session	DREAM-GAT	0.01510	0.00527	0.02109	0.00816
	DREAM-TGRU	0.01530	0.00551	0.02186	0.00837
	DREAM-S1	0.01297	0.00389	0.01931	0.00749
	DREAM-S3	0.01430	0.00486	0.02000	0.00826
DREAM		0.01639	0.00628	0.02285	0.00870

- Using **both** real and virtual friends' information gets better performance
- relational-GAT catches the difference between two social information
- Fully verify the **temporal effects** in capturing users' interests
- The more sessions information does not mean better performance

# Conclusion



- Model both Users' dynamic interests and friends' temporal influences
- Introduction of virtual friends helps alleviate **data sparsity**
- Capturing and integrating social influences from both real and virtual friends

Thank You!



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