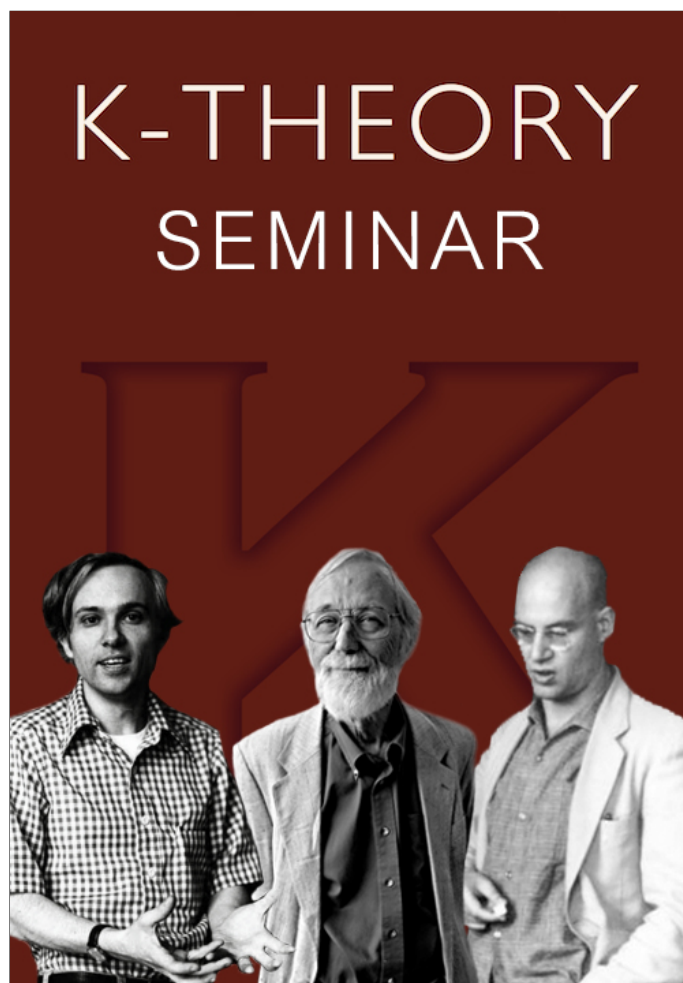


# 代数 $K$ 理论讨论班笔记

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# Chapter 1

## Notes on $NK_0$ and $NK_1$ of the groups $C_4$ and $D_4$

This note is based on the paper [5].

### 1.1 Outline

**Definition 1.1** (Bass *Nil*-groups).  $NK_n(\mathbb{Z}G) = \ker(K_n(\mathbb{Z}G[x]) \xrightarrow{x \mapsto 0} K_n(\mathbb{Z}G))$

$G$	$NK_0(\mathbb{Z}G)$	$NK_1(\mathbb{Z}G)$	$NK_2(\mathbb{Z}G)$
$C_2$	0	0	$V$
$D_2 = C_2 \times C_2$	$V$	$\Omega_{\mathbb{F}_2[x]}$	
$C_4$	$V$	$\Omega_{\mathbb{F}_2[x]}$	
$D_4 = C_4 \rtimes C_2$			

Note that  $D_4 = \langle \sigma, \tau | \sigma^4 = 1, \tau^2 = 1, \tau\sigma\tau = \sigma^{-1} \rangle$ .

$V = x\mathbb{F}_2[x] = \bigoplus_{i=1}^{\infty} \mathbb{F}_2 x^i = \bigoplus_{i=1}^{\infty} \mathbb{Z}/2x^i$ : continuous  $W(\mathbb{F}_2)$ -module. As an abelian group, it is countable direct sum of copies of  $\mathbb{F}_2 = \mathbb{Z}/2$  on generators  $x^i, i > 0$ .

$\Omega_{\mathbb{F}_2[x]} = \mathbb{F}_2[x] dx = \bigoplus_{i=1}^{\infty} \mathbb{F}_2 e^i$ , often write  $e^i$  stands for  $x^{i-1} dx$ . As an abelian group,  $\Omega_{\mathbb{F}_2[x]} \cong V$ . But it has a different  $W(\mathbb{F}_2)$ -module structure.

### 1.2 Preliminaries

#### 1.2.1 Regular rings

We list some useful notations here:

$R$ : ring with unit (usually commutative in this chapter)

$R\text{-mod}$ : the category of  $R$ -modules,

$\mathbf{M}(R)$ : the subcategory of finitely generated  $R$ -modules,

$\mathbf{P}(R)$ : the subcategory of finitely generated projective  $R$ -modules.

Let  $\mathbf{H}(R) \subset R\text{-mod}$  be the full subcategory contains all  $M$  which has finite  $\mathbf{P}(R)$ -resolutions.  $R$  is called *regular* if  $\mathbf{M}(R) = \mathbf{P}(R)$ .

**Proposition 1.2.** *Let  $R$  be a commutative ring with unit,  $A$  an  $R$ -algebra and  $S \subset R$  a multiplicative set, if  $A$  is regular, then  $S^{-1}A$  is also regular.*

### 1.2.2 The ring of Witt vectors

As additive group  $W(\mathbb{Z}) = (1 + x\mathbb{Z}[[x]])^\times$ , it is a module over the Cartier algebra consisting of row-and-column finite sums  $\sum V_m[a_{mn}]F_n$ , where  $[a]$  are homothety operators for  $a \in \mathbb{Z}$ .

**additional structure** Verschiebung operators  $V_m$ , Frobenius operators  $F_m$  (ring endomorphism), homothety operators  $[a]$ .

$$\begin{aligned} [a] &: \alpha(x) \mapsto \alpha(ax) \\ V_m &: \alpha(x) \mapsto \alpha(x^m) \\ F_m &: \alpha(x) \mapsto \sum_{\zeta^m=1} \alpha(\zeta x^{\frac{1}{m}}) \\ F_m &: 1 - rx \mapsto 1 - r^m x \end{aligned}$$

**Remark 1.3.**  $W(R) \subset \text{Cart}(R)$ ,  $\prod_{m=1}^{\infty} (1 - r_m x^m) = \sum_{m=1}^{\infty} V_m[a_m]F_m$ . See [1].

**Proposition 1.4.**  $[1] = V_1 = F_1$ : *multiplicative identity. There are some identities:*

$$\begin{aligned} V_m V_n &= V_{mn} \\ F_m F_n &= F_{mn} \\ F_m V_n &= m \\ [a] V_m &= V_m [a^m] \\ F_m [a] &= [a^m] F_m \\ [a][b] &= [ab] \\ V_m F_k &= F_k V_m, \text{ if } (k, m) = 1 \end{aligned}$$

We call a  $W(R)$ -module  $M$  continuous if  $\forall v \in M$ ,  $\text{ann}_{W(R)}(v)$  is an open ideal in  $W(R)$ , that is  $\exists k$  s.t.  $(1 - rx)^m * v = 0$  for all  $r \in R$  and  $m \geq k$ . Note that if  $A$  is an  $R$ -module,  $xA[x]$  is a continuous  $W(R)$ -module but that  $xA[[x]]$  is not.

### 1.2.3 Dennis-Stein symbols

**Steinberg symbol** Let  $R$  be a commutative ring,  $u, v \in R^*$ . First we construct Steinberg symbol  $\{u, v\} \in K_2(R)$  as follows:

$$\{u, v\} = h_{12}(uv)h_{12}(u)^{-1}h_{12}(v)^{-1}$$

where  $h_{ij}(u) = w_{ij}(u)w_{ij}(-1)$  and  $w_{ij}(u) = x_{ij}(u)x_{ji}(-u^{-1})x_{ij}(u)$ .

These symbols satisfy

(a)  $\{u_1u_2, v\} = \{u_1, v\}\{u_2, v\}$  for  $u_1, u_2, v \in R^*$ . [Bilinear]

(b)  $\{u, v\}\{v, u\} = 1$  for  $u, v \in R^*$ . [Skew-symmetric]

(c)  $\{u, 1 - u\} = 1$  for  $u, 1 - u \in R^*$ .

**Theorem 1.5.** *If  $R$  is a field, division ring, local ring or even a commutative semilocal ring,  $K_2(R)$  is generated by Steinberg symbols  $\{r, s\}$ .*

**Dennis-Stein symbol** version 1 If  $a, b \in R$  with  $1 + ab \in R^*$ , Dennis-Stein symbol  $\langle a, b \rangle \in K_2(R)$  is defined by

$$\langle a, b \rangle = x_{21}\left(-\frac{b}{1+ab}\right)x_{12}(a)x_{21}(b)x_{12}\left(-\frac{a}{1+ab}\right)h_{12}(1+ab)^{-1}.$$

Note that

$$\langle a, b \rangle = \begin{cases} \{-a, 1+ab\}, & \text{if } a \in R^* \\ \{1+ab, b\}, & \text{if } b \in R^* \end{cases}$$

and if  $u, v \in R^* - \{1\}$ ,  $\{u, v\} = \langle -u, \frac{1-v}{u} \rangle = \langle \frac{u-1}{v}, v \rangle$ , thus Steinberg symbol is also a Dennis-Stein symbol. See Dennis, Stein *The functor  $K_2$ : a survey of computational problem.*

Maazen and Stienstra define the group  $D(R)$  as follows:  
take a generator  $\langle a, b \rangle$  for each pair  $a, b \in R$  with  $1 + ab \in R^*$ ,  
defining relations:

(D1)  $\langle a, b \rangle \langle -b, -a \rangle = 1,$

$$(D2) \quad \langle a, b \rangle \langle a, c \rangle = \langle a, b + c + abc \rangle,$$

$$(D3) \quad \langle a, bc \rangle = \langle ab, c \rangle \langle ac, b \rangle.$$

If  $I \subset R$  is an ideal,  $a \in I$  or  $b \in I$ , we can consider  $\langle a, b \rangle \in K_2(R, I)$  satisfy following relations

$$(D1) \quad \langle a, b \rangle \langle -b, -a \rangle = 1,$$

$$(D2) \quad \langle a, b \rangle \langle a, c \rangle = \langle a, b + c + abc \rangle,$$

$$(D3) \quad \langle a, bc \rangle = \langle ab, c \rangle \langle ac, b \rangle \text{ if any of } a, b, c \text{ are in } I.$$

**Theorem 1.6.** 1. If  $R$  is a *commutative local ring*, then  $D(R) \xrightarrow{\cong} K_2(R)$  is isomorphic.  
(Maazen-Stienstra, Dennis-Stein, van der Kallen)

2. Let  $R$  be a commutative ring. If  $I \subset \text{Rad}(R)$  (ideal  $I$  is contained in the Jacobson radical),  $D(R, I) \xrightarrow{\cong} K_2(R, I)$ .

**Dennis-Stein symbol version 2** In 1980s, things have changed. Dennis-Stein symbol is defined as follows

$r, s \in R$  commute and  $1 - rs$  is a unit, that is  $rs = sr$  and  $1 - rs \in R^*$ ,

$$\langle r, s \rangle = x_{ji}(-s(1 - rs)^{-1})x_{ij}(-r)x_{ji}(s)x_{ij}((1 - rs)^{-1}r)h_{ij}(1 - rs)^{-1}.$$

Note that if  $r \in R^*$ ,  $\langle r, s \rangle = \{r, 1 - rs\}$ . If  $I \subset R$  is an ideal,  $r \in I$  or  $s \in I$ , we can even consider  $\langle r, s \rangle \in K_2(R, I)$

$$(D1) \quad \langle r, s \rangle \langle s, r \rangle = 1,$$

$$(D2) \quad \langle r, s \rangle \langle r, t \rangle = \langle r, s + t - rst \rangle,$$

$$(D3) \quad \langle r, st \rangle = \langle rs, t \rangle \langle tr, s \rangle \text{ (this holds in } K_2(R, I) \text{ if any of } r, s, t \text{ are in } I).$$

Note that  $\langle r, 1 \rangle = 0$  for any  $r \in R$  and  $\langle r, s \rangle_{\text{version 2}} = \langle -r, s \rangle_{\text{version 1}}$ .

**Theorem 1.7.** 1. If  $R$  is a *commutative local ring or a field*, then  $K_2(R)$  is generated by  $\langle r, s \rangle$  satisfying  $D1, D2, D3$ .

2. Let  $R$  be a commutative ring. If  $I \subset \text{Rad}(R)$  (ideal  $I$  is contained in the Jacobson radical),  $K_2(R, I)$  is generated by  $\langle r, s \rangle$  (either  $r \in R$  and  $s \in I$  or  $r \in I$  and  $s \in R$ ) satisfying  $D1, D2, D3$ .

### 1.2.4 Relative group and double relative group

You can skip this subsection for first reading. We will use the results in 1.4.

**Relative groups** Let  $R$  be a ring (not necessarily commutative),  $I \subset R$  a two-sided ideal, by definition  $K_i(R) = \pi_i(BGL(R)^+)$ ,  $i \geq 1$ , there exists a map

$$BGL(R)^+ \longrightarrow BGL(R/I)^+$$

**Definition 1.8.**  $K(R, I)$  is the homotopy fibre of the map  $BGL(R)^+ \longrightarrow BGL(R/I)^+$ .  $K_i(R, I) := \pi_i(K(R, I))$ ,  $i \geq 1$ .

By long exact sequences of homotopy groups of a homotopy fibre, there is an exact sequence

$$\cdots \longrightarrow K_{i+1}(R) \longrightarrow K_{i+1}(R/I) \longrightarrow K_i(R, I) \longrightarrow K_i(R) \longrightarrow K_i(R/I) \longrightarrow \cdots$$

In particular,

$$\begin{aligned} K_3(R, I) &\longrightarrow K_3(R) \longrightarrow K_3(R/I) \longrightarrow K_2(R, I) \longrightarrow K_2(R) \longrightarrow K_2(R/I) \longrightarrow \\ &\longrightarrow K_1(R, I) \longrightarrow K_1(R) \longrightarrow K_1(R/I) \end{aligned}$$

**Double relative groups** Let  $R$  be any ring (not necessarily commutative), if  $I, J \subset R$  are two-sided ideals, there is a map

$$K(R, I) \longrightarrow K(R/J, I + J/J).$$

$$\begin{array}{ccccc} K(R; I, J) & & & & \\ \downarrow & & & & \\ K(R, I) & \longrightarrow & BGL(R)^+ & \longrightarrow & BGL(R/I)^+ \\ \downarrow & & \downarrow & & \downarrow \\ K(R/J, I + J/J) & \longrightarrow & BGL(R/J)^+ & \longrightarrow & BGL(R/I + J/J)^+ \end{array}$$

**Definition 1.9.**  $K(R; I, J)$  is the homotopy fibre of the map  $K(R, I) \longrightarrow K(R/J, I + J/J)$ .  $K_i(R, I, J) := \pi_i(K(R; I, J))$ ,  $i \geq 1$ .

**Remark 1.10.**  $K_i(R; I, J) \cong K_i(R; J, I)$ ,  $K_i(R; I, I) = K_i(R, I)$ .

Let  $R$  be any ring (not necessarily commutative), if  $I, J \subset R$  are two-sided ideals such that  $I \cap J = 0$ , then there is an exact sequence

$$K_3(R, I) \longrightarrow K_3(R/I, I + J/J) \longrightarrow I/I^2 \otimes_{R^e} J/J^2 \xrightarrow{\psi} K_2(R, I) \longrightarrow K_2(R/I, I + J/J) \longrightarrow 0$$

where  $R^e = R \otimes_{\mathbb{Z}} R^{op}$ ,  $\psi([a] \otimes [b]) = \langle a, b \rangle$ , see [6] 3.5.10 or [2] p.195.

In the case  $I \cap J = 0$ ,  $K_2(R; I, J) \cong I/I^2 \otimes_{R^e} J/J^2$ .

我的疑问: if  $R$  is commutative, whether  $K_2(R; I, J) = I \otimes_R J$  or not?

**Lemma 1.11.** *Let  $(R; I, J)$  satisfy the following Cartesian square*

$$\begin{array}{ccc} R & \longrightarrow & R/I \\ \downarrow & & \downarrow \\ R/J & \longrightarrow & R/I + J \end{array}$$

*suppose  $f: (R, I) \longrightarrow (R/J, I + J/J)$  has a section  $g$ , then*

$$0 \longrightarrow I/I^2 \otimes_{R^e} J/J^2 \longrightarrow K_2(R, I) \longrightarrow K_2(R/I, I + J/J) \longrightarrow 0$$

*is split exact.*

### 1.3 $W(R)$ -module structure

$W(\mathbb{F}_2)$ -module structure on  $V = x\mathbb{F}_2[x]$  See Dayton& Weibel [1] example 2.6, 2.9.

$$\begin{aligned} V_m(x^n) &= x^{mn} \\ F_d(x^n) &= \begin{cases} dx^{n/d}, & \text{if } d|n \\ 0, & \text{otherwise} \end{cases} \\ [a]x^n &= a^n x^n \end{aligned}$$

$W(\mathbb{F}_2)$ -module structure on  $\Omega_{\mathbb{F}_2[x]} = \mathbb{F}_2[x] dx = \bigoplus_{i=1}^{\infty} \mathbb{F}_2 e^i$  Dayton& Weibel [1]example 2.10

$$\begin{aligned} V_m(x^{n-1} dx) &= mx^{mn-1} dx \\ F_d(x^{n-1} dx) &= \begin{cases} x^{n/d-1} dx, & \text{if } d|n \\ 0, & \text{otherwise} \end{cases} \\ [a]x^{n-1} dx &= a^n x^{n-1} dx \end{aligned}$$

**Remark 1.12.**  $\Omega_{\mathbb{F}_2[x]}$  is **not** finitely generated as a module over the  $\mathbb{F}_2$ -Cartier algebra or over the subalgebra  $W(\mathbb{F}_2)$ .



In general, for any map  $R \longrightarrow S$  of communicative rings, the  $S$ -module  $\Omega_{S/R}^1$  (relative Kähler differential module  $\Omega_{S/R}$ ) is defined by

generators:  $ds, s \in S$ ,

relations:  $d(s + s') = ds + ds', d(ss') = sds' + s'ds$ , and if  $r \in R, dr = 0$ .

**Remark 1.13.** If  $R = \mathbb{Z}$ , we often omit it. In the previous section,  $\Omega_{\mathbb{F}_2[x]} = \Omega_{\mathbb{F}_2[x]/\mathbb{Z}}^1$ .

As abelian groups,  $x\mathbb{F}_2[x] \xrightarrow{\sim} \Omega_{\mathbb{F}_2[x]}, x^i \mapsto x^{i-1}dx$ . However, as  $W(\mathbb{F}_2)$ -modules,

$$\begin{aligned} V_m(x^i) &= x^{im}, \\ V_m(x^{i-1}dx) &= mx^{im-1}dx \end{aligned}$$

$x^{im}$  is corresponding to  $x^{im-1}dx$  but not to  $mx^{im-1}dx$ . So they have different  $W(\mathbb{F}_2)$ -module structure.

**Remark 1.14.** 一个不知道有没有用的结论, see [5]

There is a  $W(\mathbb{F}_2)$ -module homomorphism called de Rham differential

$$\begin{aligned} D: x\mathbb{F}_2[x] &\longrightarrow \Omega_{\mathbb{F}_2[x]} \\ x^i &\mapsto ix^{i-1}dx \end{aligned}$$

Then  $\ker D = H_{dR}^0(\mathbb{F}_2[x]/\mathbb{F}_2)$  is the de Rham cohomology group and  $\operatorname{coker} D = HC_1^{\mathbb{F}_2}(\mathbb{F}_2[x])$  is the cyclic homology group. Note that  $HC_1(\mathbb{F}_2[x]) = \sum_{l=1}^{\infty} \mathbb{F}_2 e_{2l}$  where  $e_{2l} = x^{2l-1}dx$ , and  $H_{dR}^0(\mathbb{F}_2[x]) = x^2\mathbb{F}_2[x^2]$ .

## 1.4 $NK_i$ of the group $C_2$

First, consider the simplest example  $G = C_2 = \langle \sigma \rangle = \{1, \sigma\}$ . There is a Rim square

$$\begin{array}{ccc} \mathbb{Z}[C_2] & \xrightarrow{\sigma \mapsto 1} & \mathbb{Z} \\ \sigma \mapsto -1 \downarrow & & \downarrow q \\ \mathbb{Z} & \xrightarrow{q} & \mathbb{F}_2 \end{array}$$

Since  $\mathbb{F}_2$  (field) and  $\mathbb{Z}$  (PID) are regular rings,  $NK_i(\mathbb{F}_2) = 0 = NK_i(\mathbb{Z})$  for all  $i$ .

By Mayer–Vietoris sequence, one can get  $NK_1(\mathbb{Z}[C_2]) = 0, NK_0(\mathbb{Z}[C_2]) = 0$ . Note that the similar results are true for any cyclic group of prime order.

$$\ker(\mathbb{Z}[C_2] \xrightarrow{\sigma \mapsto -1} \mathbb{Z}) = (\sigma + 1)$$

By relative exact sequence,

$$0 = NK_3(\mathbb{Z}) \longrightarrow NK_2(\mathbb{Z}[C_2], (\sigma + 1)) \xrightarrow{\cong} NK_2(\mathbb{Z}[C_2]) \longrightarrow NK_2(\mathbb{Z}) = 0.$$

And from  $(\mathbb{Z}[C_2], (\sigma + 1)) \longrightarrow (\mathbb{Z}[C_2]/(\sigma - 1), (\sigma + 1) + (\sigma - 1)/(\sigma - 1)) = (\mathbb{Z}, (2))$  one has double relative exact sequence

$$0 = NK_3(\mathbb{Z}, (2)) \longrightarrow NK_2(\mathbb{Z}[C_2]; (\sigma + 1), (\sigma - 1)) \xrightarrow{\cong} NK_2(\mathbb{Z}[C_2], (\sigma + 1)) \longrightarrow NK_2(\mathbb{Z}, (2)) = 0.$$

Note that  $0 = NK_{i+1}(\mathbb{Z}/2) \longrightarrow NK_i(\mathbb{Z}, (2)) \longrightarrow NK_i(\mathbb{Z}) = 0$ .

$$\begin{array}{ccccccc} & & NK_3(\mathbb{Z}, (2)) = 0 & & & & \\ & & \downarrow & & & & \\ & & NK_2(\mathbb{Z}[C_2]; (\sigma + 1), (\sigma - 1)) & & & & \\ & & \downarrow \cong & & & & \\ 0 = NK_3(\mathbb{Z}) & \longrightarrow & NK_2(\mathbb{Z}[C_2], (\sigma + 1)) & \xrightarrow{\cong} & NK_2(\mathbb{Z}[C_2]) & \longrightarrow & NK_2(\mathbb{Z}) = 0 \\ & & \downarrow & & & & \\ & & NK_2(\mathbb{Z}, (2)) = 0 & & & & \end{array}$$

We obtain  $NK_2(\mathbb{Z}[C_2]) \cong NK_2(\mathbb{Z}[C_2], (\sigma + 1), (\sigma - 1))$ , from Guin-Loday-Keune [3],  $NK_2(\mathbb{Z}[C_2]; (\sigma + 1), (\sigma - 1))$  is isomorphic to  $V = x\mathbb{F}_2[x]$ , with the Dennis-Stein symbol  $\langle x^n(\sigma - 1), \sigma + 1 \rangle$  corresponding to  $x^n \in V$ . Note that  $1 - x^n(\sigma - 1)(\sigma + 1) = 1$  is invertible in  $\mathbb{Z}[C_2][x]$  and  $\sigma + 1 \in (\sigma + 1)$ ,  $x^n(\sigma - 1) \in (\sigma - 1)$ .

**Theorem 1.15.**  $NK_2(\mathbb{Z}[C_2]) \cong V$ ,  $NK_1(\mathbb{Z}[C_2]) = 0$ ,  $NK_0(\mathbb{Z}[C_2]) = 0$ .

In fact, we have  $NK_2(\mathbb{Z}[C_p]) \cong x\mathbb{F}_p[x]$ ,  $NK_1(\mathbb{Z}[C_p]) = 0$ ,  $NK_0(\mathbb{Z}[C_p]) = 0$ .

## 1.5 $NK_i$ of the group $C_4$

## 1.6 $NK_i$ of the group $D_4$

## Chapter 2

# Mackey Functors

参考文献有: [4]

### 2.1 Introduction

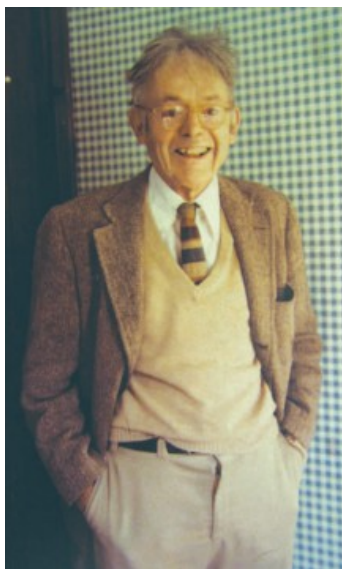


图 2.1: George Mackey

George W. Mackey (1916–2006) was an American mathematician. For more interesting story about him, see [https://en.wikipedia.org/wiki/George\\_Mackey](https://en.wikipedia.org/wiki/George_Mackey), <http://www.ams.org/notices/200707/tx070700824p.pdf> or <http://www-history.mcs.st-andrews.ac.uk/Biographies/Mackey.html>. And I found an interesting fact that Leslie Lamport's

advisor Richard Palais was a PhD student of him.<sup>1</sup> And Lamport is best known for the system  $\text{\LaTeX}$ .<sup>2</sup>

Mackey functor is an algebraic structure, related to many constructions from finite groups, such as group cohomology and the algebraic  $K$ -theory of group rings.

History: began in 1980s

People: Dress and Green first gave the axiomatic formulation of Mackey functors.

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<sup>1</sup><http://www.genealogy.ams.org/id.php?id=35871>

<sup>2</sup>[https://en.wikipedia.org/wiki/Leslie\\_Lamport](https://en.wikipedia.org/wiki/Leslie_Lamport)

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