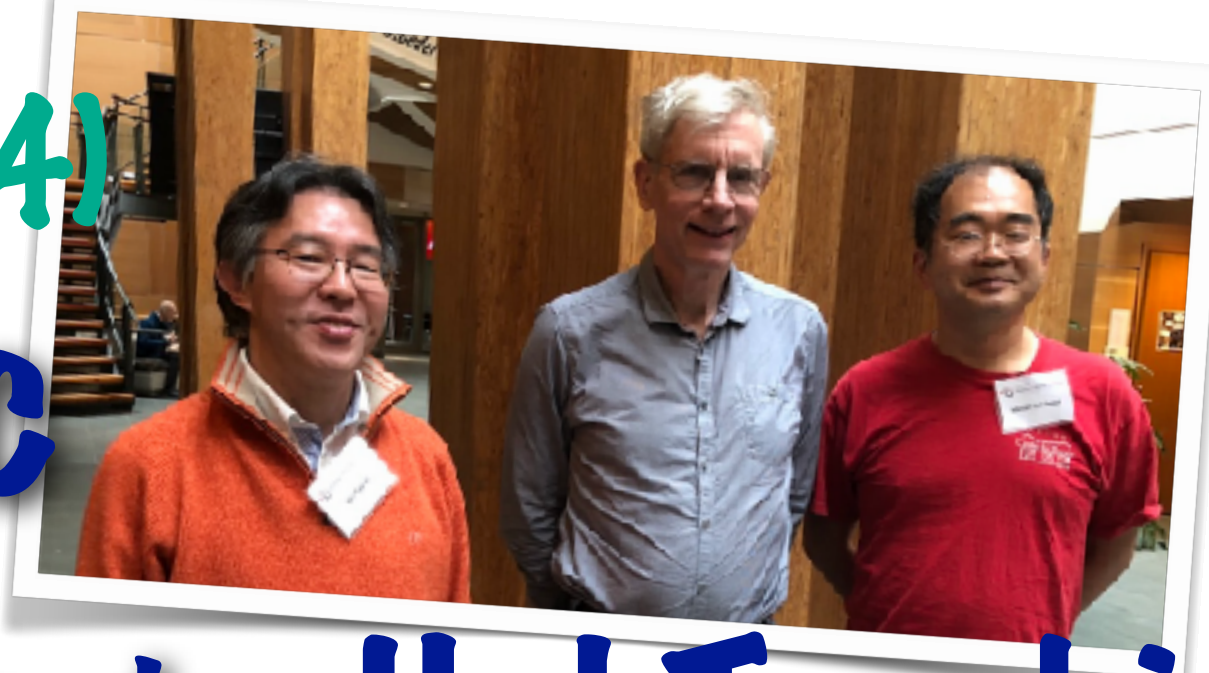


dedicated to the memory of Ian Affleck (1952-2024)

# The Ground State of the $S = 1$ Antiferromagnetic Heisenberg Chain is Topologically Nontrivial if gapped



Hal Tasaki



A 10-minute presentation video for the poster – check it out if I'm not around!

preprint arXiv:2407.17041 (with link to the webinar on YouTube)



two conjectures on the  $S=1$  Heisenberg AF chain

$$\hat{H}_{\text{HAF}} = \sum_j \hat{S}_j \cdot \hat{S}_{j+1}$$

**C1:** the ground state is unique and gapped  
Haldane 1981

**C2:** it belongs to a nontrivial symmetry protected topological (SPT) phase

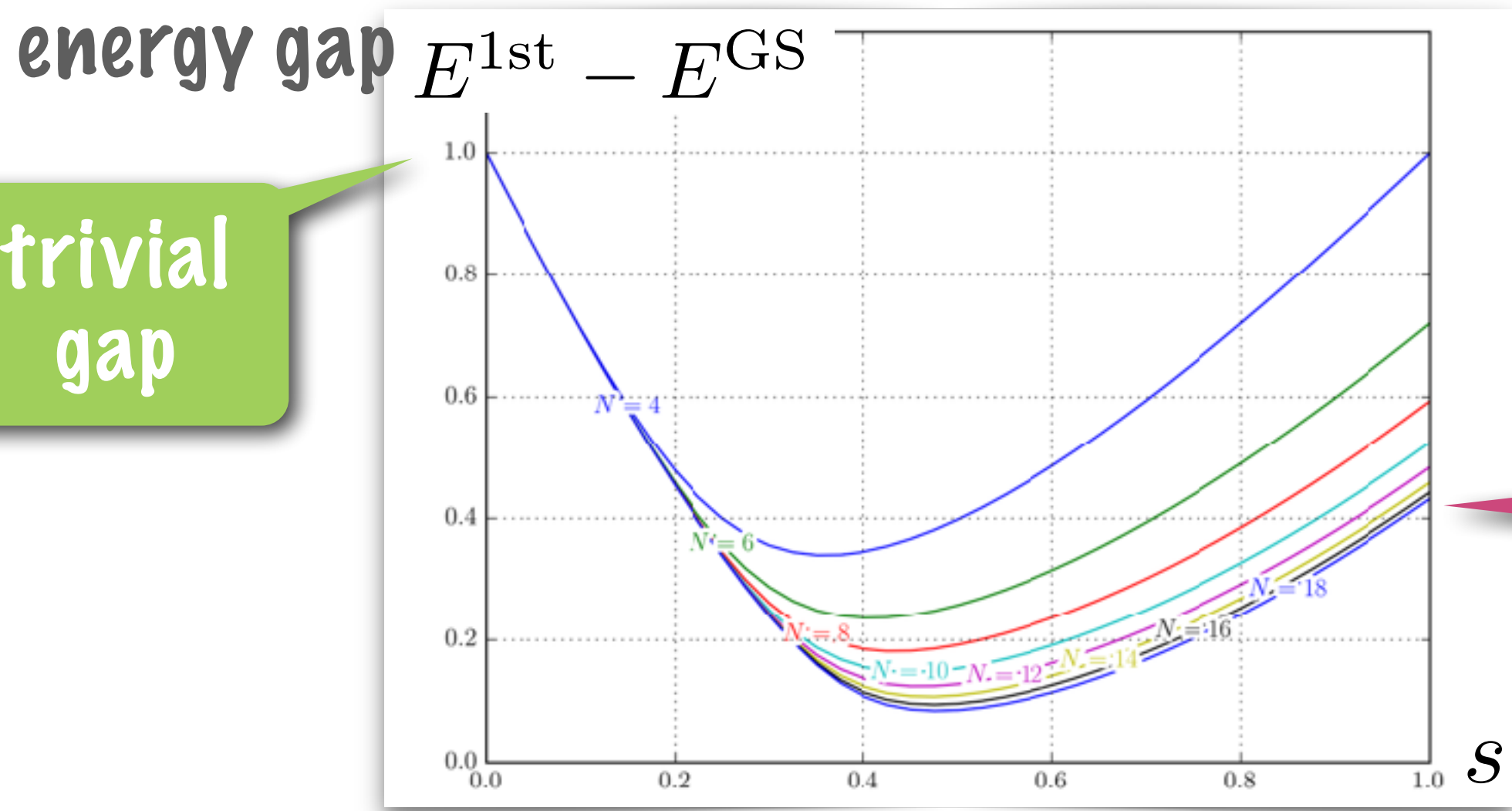
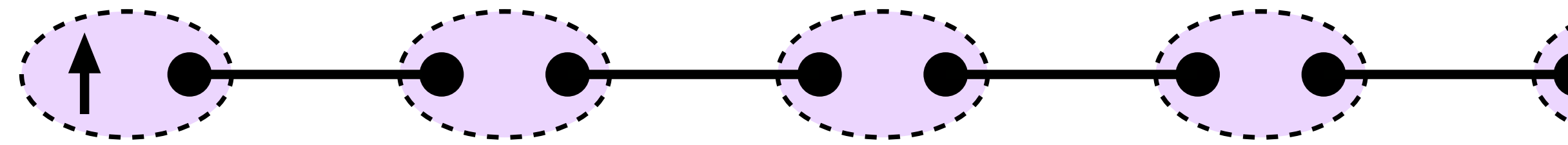
Gu, Wen 2009, Pollmann, Turner, Berg, Oshikawa 2010

two properties that follow

(1) the presence of gapless  $S = \frac{1}{2}$  mode at the edge of the half-infinite chain

(2) the existence of a topological phase transition in the model that interpolates between the Heisenberg model and the trivial model

$$\hat{H}_s = (1-s) \sum_j (\hat{S}_j^z)^2 + s \hat{H}_{\text{HAF}} \quad s \in [0, 1]$$



Haldane gap

everything is rigorous by now for the artificial model  $\hat{H}_{\text{AKLT}} = \sum_j \{ \hat{S}_j \cdot \hat{S}_{j+1} + \frac{1}{3} (\hat{S}_j \cdot \hat{S}_{j+1})^2 \}$

C1: Affleck, Kennedy, Lieb, Tasaki 1987, C2: Pollmann, Turner, Berg, Oshikawa 2010, Tasaki 2018, Ogata 2018

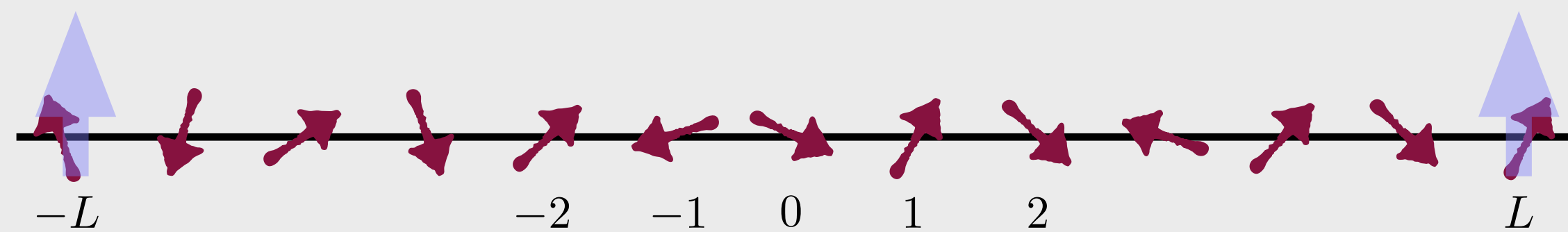
but we should not give up justifying the conjectures for the original Heisenberg model!

**we prove C2 for the  $S = 1$  Heisenberg AF chain, assuming C1**

model on a finite chain with  $\hat{H}_L = \sum_{j=-L}^{L-1} \hat{S}_j \cdot \hat{S}_{j+1} - h(\hat{S}_{-L}^z + \hat{S}_L^z)$

(easily proved to have a unique ground state if  $h > 0$ )

$E_L^{\text{GS}}$  the ground state energy,  $E_L^{1\text{st}}$  the first excited energy



assumption: there are constants  $h > 0$ ,  $\gamma > 0$ , and  $L_0$  s.t.  $E_L^{\text{GS}} - E_L^{1\text{st}} \geq \gamma$  for any  $L \geq L_0$

**theorem:** the Heisenberg AF chain has a nontrivial topological index  $\text{Ind}(\hat{H}_{\text{HAF}}) = -1$

corollary: the above properties (1) and (2)

remark: in case the assumption is NOT valid, the Heisenberg AF chain  $\hat{H}_{\text{HAF}} = \hat{H}^{(1)}$  itself is critical. I have thus shown the property (2) without any unproven assumptions

the main ingredient of the proof

Tasaki's topological index for chains with  $U(1) \times \mathbb{Z}_2$  symmetry Nakamura, Todo 2002, Tasaki 2018

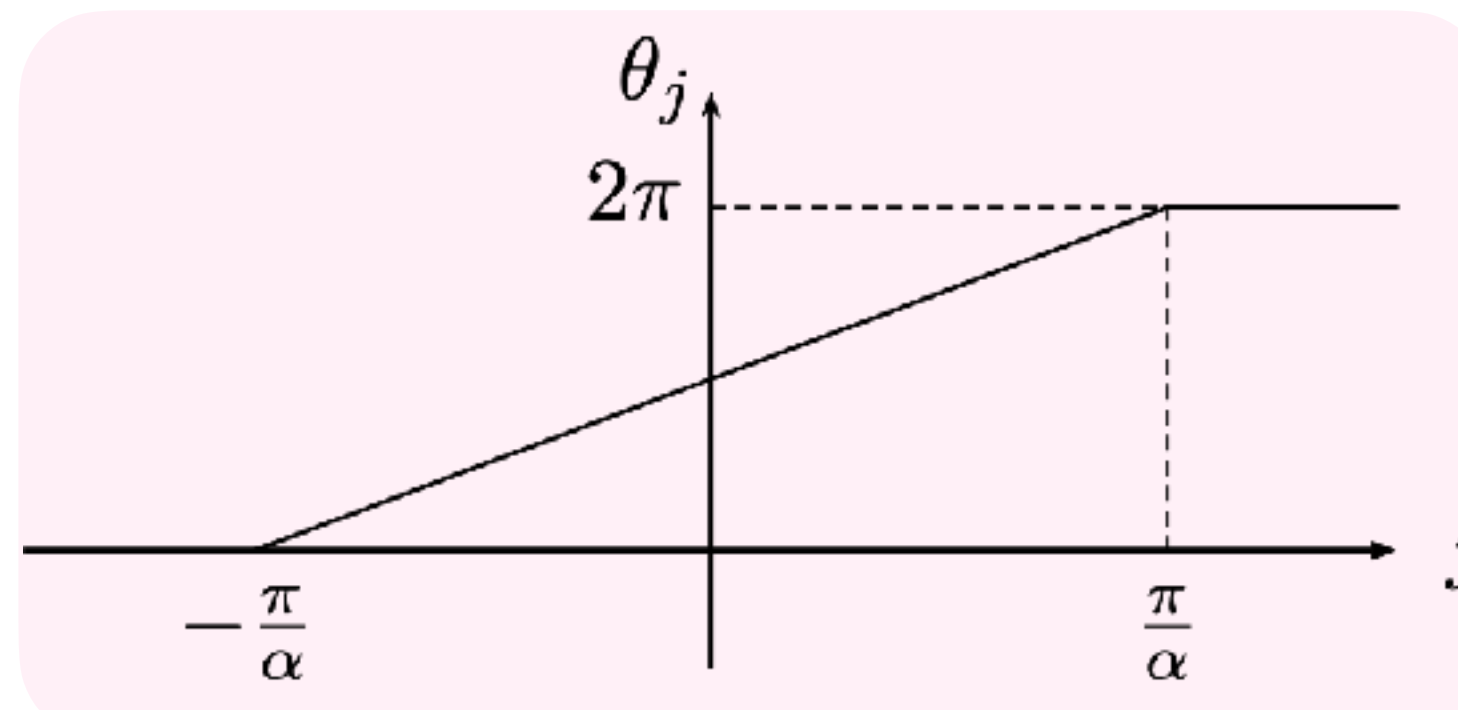
arbitrary spin-rotation about the z-axis + inversion about the origin

Fuji, Pollmann, Oshikawa 2015

$$\text{Ind} = \lim_{\alpha \downarrow 0} \lim_{L \uparrow \infty} \langle \Phi_L^{\text{GS}} | \hat{U}^{(\alpha)} | \Phi_L^{\text{GS}} \rangle \in \{-1, 1\}$$

twist operator  $\hat{U}^{(\alpha)} = \exp[-i \sum_j \theta_j^{(\alpha)} \hat{S}_j^z]$

$$\theta_j^{(\alpha)} = \begin{cases} 0, & j \leq -\frac{\pi}{\alpha}; \\ \pi + \alpha j, & -\frac{\pi}{\alpha} \leq j \leq \frac{\pi}{\alpha}; \\ 2\pi, & j \geq \frac{\pi}{\alpha}, \end{cases}$$



future problems

show that Tasaki's index is identical to the Ogata index (which is more robust)  
prove C1, the Haldane conjecture! a super-clever computer-aided proof??