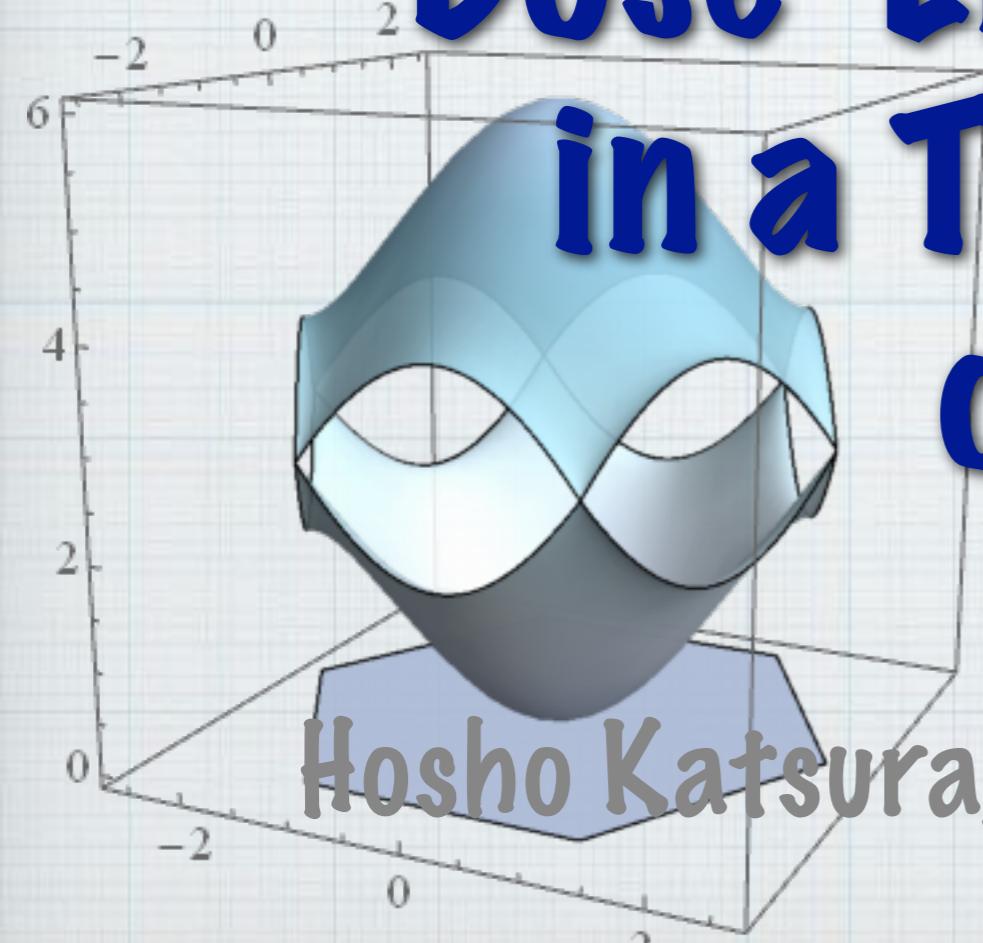


Mott Insulator-like Bose-Einstein Condensation in a Tight-Binding System of Interacting Bosons with a Flat Band

Hosho Katsura, Naoki Kawashima, Satoshi Morita,
Akinori Tanaka, and Hal Tasaki





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brief introduction @ YouTube / May 2021

**background
models with a flat band**

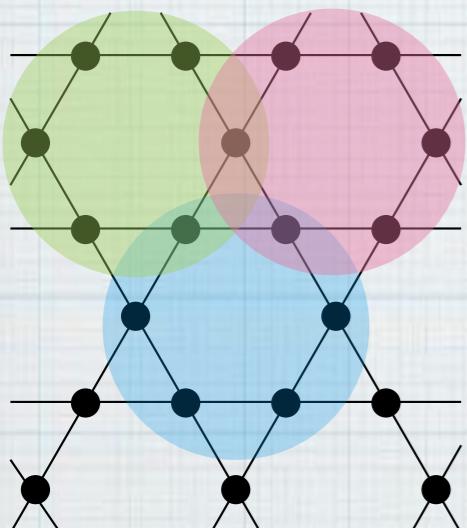
tight-binding models with a flat-band single-particle Schrödinger equation on a lattice $\Lambda \ni r, s, \dots$

$$\sum_{s \in \Lambda} t_{r,s} \varphi_s = \epsilon \varphi_r$$

↗ hopping amplitude

with a careful design of Λ and/or $t_{r,s}$, it may happen that there is an energy eigenvalue with macroscopic degeneracy

flat band

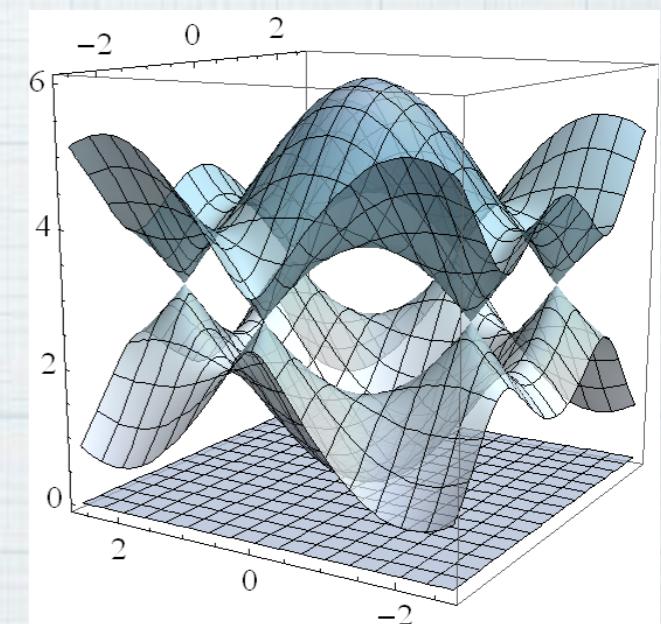


example: kagomé lattice with

$$t_{r,s} = \begin{cases} t > 0 & r \text{ and } s \text{ are neighbors} \\ 0 & \text{otherwise} \end{cases}$$

eigenstates in a flat band have nonzero overlaps

essentially different from the trivial model with $t_{r,s} = 0$



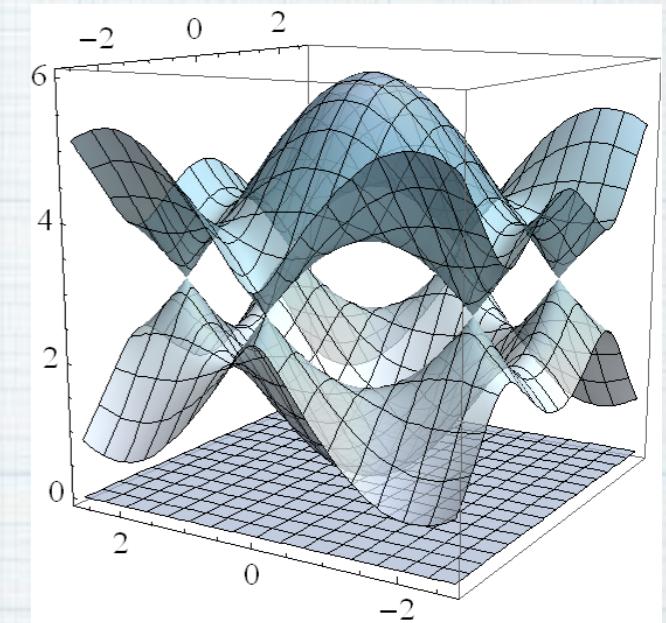
interacting models with a flat-band for any nonzero interaction

kinetic energy \ll interaction

$$\frac{H}{\epsilon_0}$$

$$\frac{H}{\epsilon_0}$$

the effect of interaction may be magnified



theoretical playground for investigating nontrivial
collective phenomena in interacting many-body systems

Hubbard model (fermions)

ferrimagnetism

Lieb 1989

ferromagnetism

Mielke 1991, Tasaki 1992

bosonic models

Wigner crystal + beyond

Huber, Altman 2010

Takayoshi Katsura, Watanabe Aoki 2013

Tovmasyan, von Nieuwenburg, Huber 2013

Mielke 2018, Fronk, Mielke 2020

Mott insulator-like Bose-Einstein condensation

the new exactly solvable model
and
main results

definition of the model

$$p = 1$$

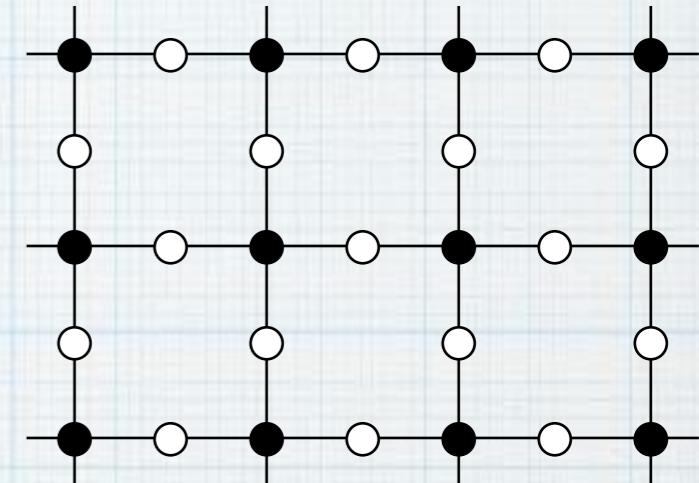
lattice and the boson system

decorated d -dim. hyper cubic lattice

\hat{a}_r^\dagger creation operator at site r

\hat{a}_r annihilation operator at site r

$$[\hat{a}_r, \hat{a}_s^\dagger] = \delta_{r,s}$$



\mathcal{E} set of black sites \mathcal{I} set of white sites

special boson operators

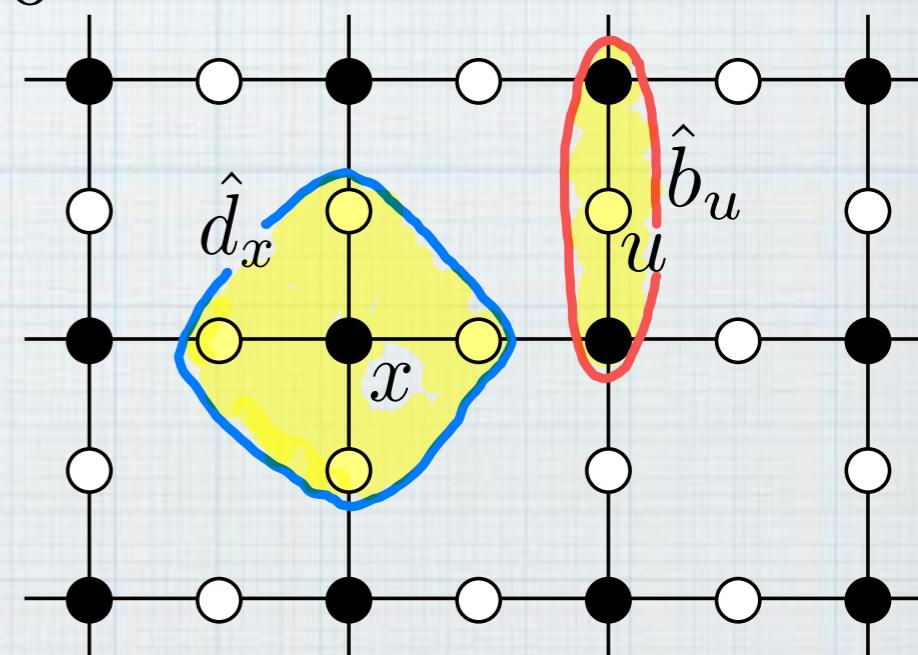
$$x \in \mathcal{E} \quad \hat{d}_x = \zeta \hat{a}_x + \sum_u \hat{a}_u$$

neighboring sites in \mathcal{I}

$$u \in \mathcal{I} \quad \hat{b}_u = \frac{1}{\sqrt{2 + \zeta^2}} (\zeta \hat{a}_u - \hat{a}_x - \hat{a}_y)$$

neighboring sites in \mathcal{E}

$$\zeta > 0$$



$$[\hat{d}_x, \hat{b}_u^\dagger] = 0$$

definition of the model

$p = 2$

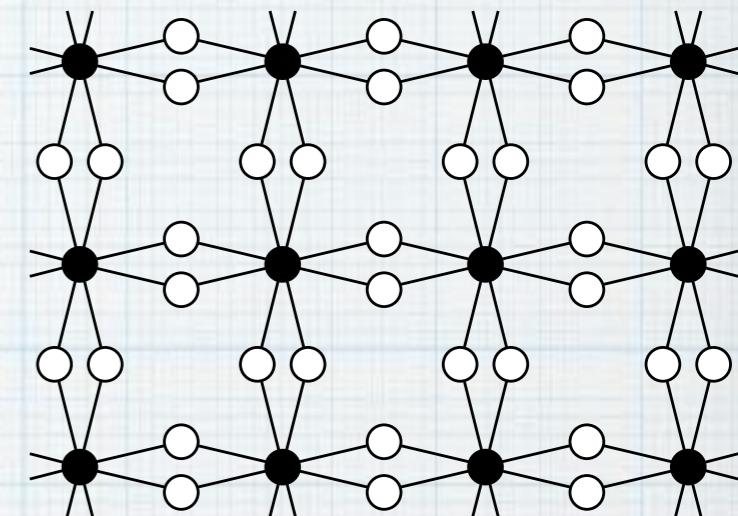
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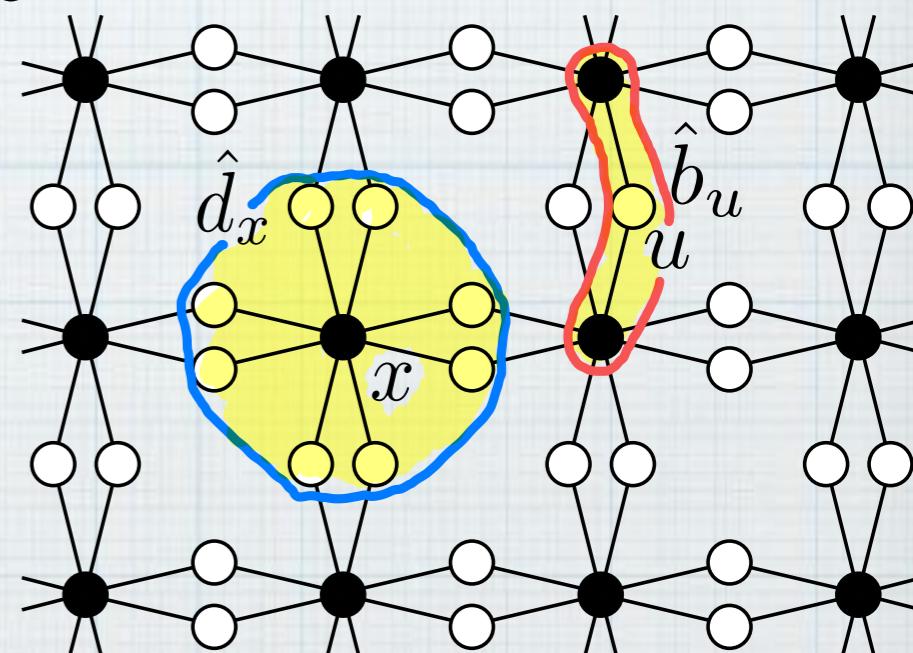
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neighboring sites in \mathcal{E}

$$\zeta > 0$$



$$[\hat{d}_x, \hat{b}_u^\dagger] = 0$$

Hamiltonian and the unique ground state

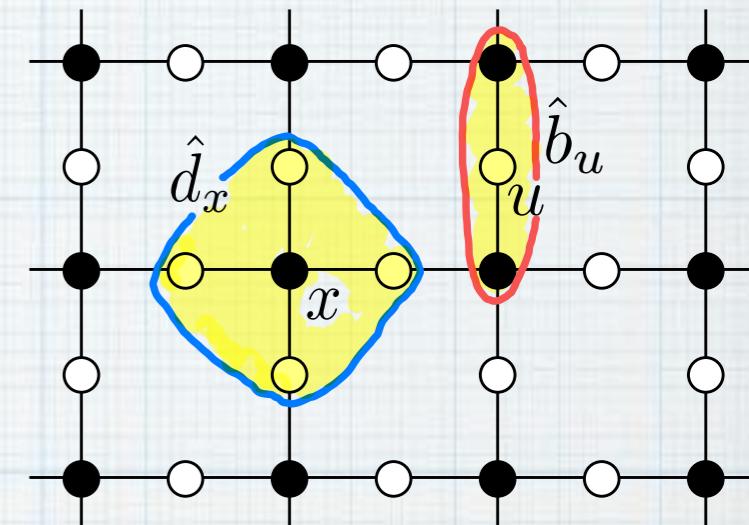
special boson operators

$$x \in \mathcal{E}$$

$$\hat{d}_x = \zeta \hat{a}_x + \sum_u \hat{a}_u$$

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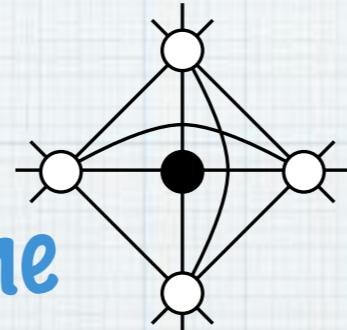


Hamiltonian

Tasaki 1998, Yang, Nakano, Katsura 2020

$$\hat{H} = t \sum_{x \in \mathcal{E}} \hat{d}_x^\dagger \hat{d}_x + \frac{U}{2} \sum_{u \in \mathcal{I}} \hat{n}_u (\hat{n}_u - 1) \quad t > 0, U > 0$$

fine-tuned hopping
between nearest and some
next-nearest neighbors



repulsive interaction
only at white sites

flat lowest band

ground state

THEOREM: Let the particle number be $N = |\mathcal{I}|$

For any $t > 0, U > 0, \zeta > 0$, the ground state is unique
and is given by $|\text{GS}\rangle = (\prod_{u \in \mathcal{I}} \hat{b}_u^\dagger) |\text{vac}\rangle$

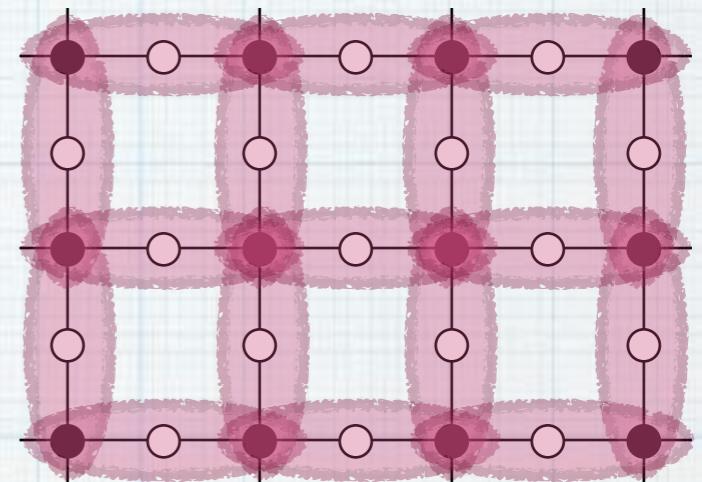
basic features of the ground state

$$|GS\rangle = (\prod_{u \in \mathcal{I}} \hat{b}_u^\dagger) |\text{vac}\rangle$$

$$\hat{b}_u = \frac{\zeta \hat{a}_u - \hat{a}_x - \hat{a}_y}{\sqrt{2 + \zeta^2}}$$

there is a b -state on every bond

Kimchi, Parameswaran, Turner, Wang, Vishwanath 2013



apparent resemblance to a Mott insulator

exactly one particle for each bond

but the property of the model is nontrivial
and rich

possible Bose-Einstein condensation (BEC)

\hat{b}_u^\dagger creates a coherent superposition of states in
which a boson is at u , x , and y

coherence may “propagate” to generate BEC

loop-gas representation

$$|GS\rangle = (\prod_{u \in \mathcal{I}} \hat{b}_u^\dagger) |\text{vac}\rangle$$

$$[\hat{b}_u, \hat{b}_v^\dagger] = \begin{cases} 1 & u = v \\ 2\beta & u \approx v \\ \beta & u \sim v \\ 0 & \text{otherwise} \end{cases}$$

$$\hat{b}_u = \frac{\zeta \hat{a}_u - \hat{a}_x - \hat{a}_y}{\sqrt{2 + \zeta^2}}$$

$$\beta = (2 + \zeta^2)^{-1} < \frac{1}{2}$$

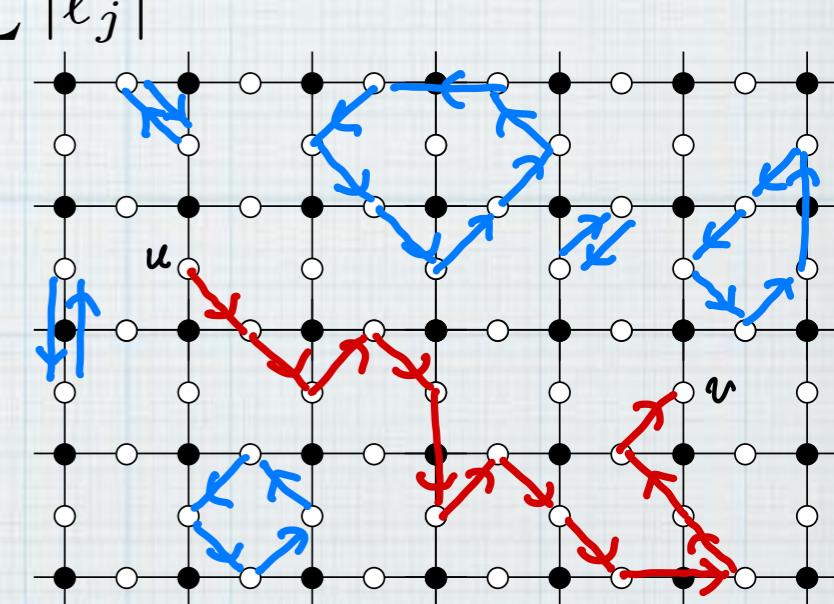
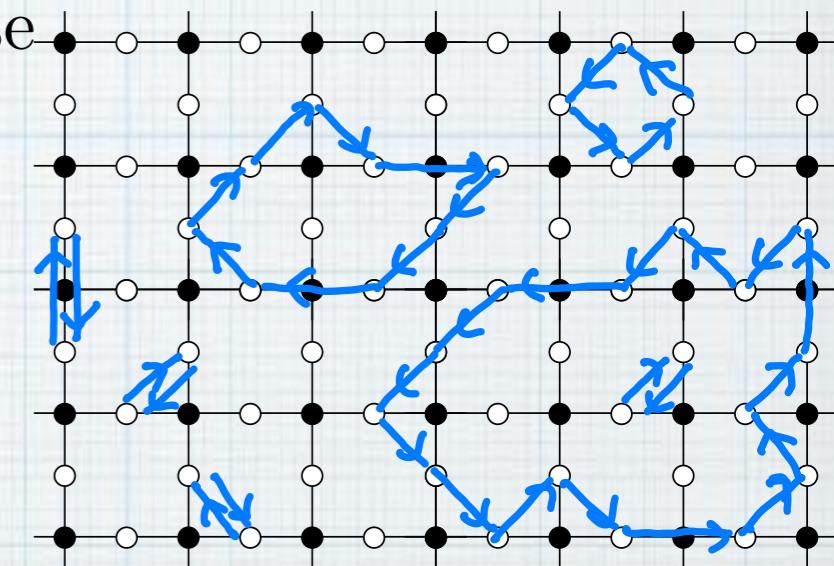
$$\langle GS|GS \rangle = \sum_{n=0}^{\infty} \sum_{\ell_1, \dots, \ell_n} \beta^{\sum |\ell_j|}$$

sum of oriented self-avoiding loops on \mathcal{I}

$$\langle GS|\hat{a}_v^\dagger \hat{a}_u|GS\rangle = \zeta^2 \beta \sum_{n=0}^{\infty} \sum_{\omega: u \rightarrow v} \sum_{\ell_1, \dots, \ell_n} \beta^{|\omega| + \sum |\ell_j|}$$

sum of oriented and self-avoiding walk and loops

universality class of
O(2) symmetric classical ferromagnetic spin systems
in the same dimension d at finite temperatures

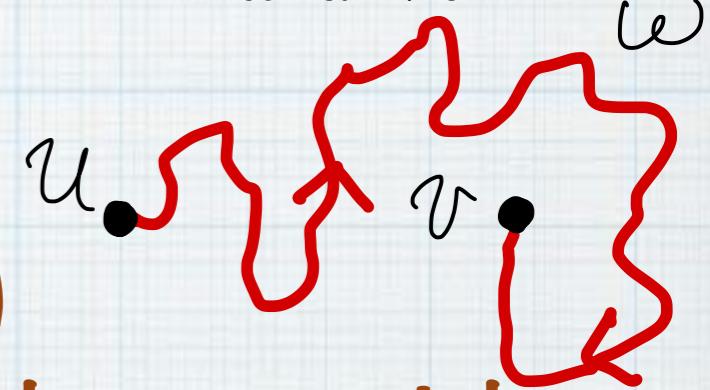


behavior of off-diagonal correlation

$$\beta = (2 + \zeta^2)^{-1}$$

$$\langle \hat{a}_v^\dagger \hat{a}_u \rangle = \frac{\langle \text{GS} | \hat{a}_v^\dagger \hat{a}_u | \text{GS} \rangle}{\langle \text{GS} | \text{GS} \rangle} = \zeta^2 \beta \frac{\sum \beta^{|\omega| + \sum |\ell_j|}}{\sum \beta \sum |\ell_j|} \sim \zeta^2 \beta \sum_{\omega: u \rightarrow v} \beta^{|\omega|}$$

mean-field type
 approximation
 (valid if $d \gg 1$)



the case with $p = 1$

within this approximation

$$\langle \hat{a}_v^\dagger \hat{a}_u \rangle \sim \exp[-|u - v|/\xi] \text{ for } \beta < \beta_{\text{MF}} := (4d - 2)^{-1}$$

$\xi \uparrow \infty$ as $\beta \uparrow \beta_{\text{MF}}$

there is a phase transition!

CONJECTURE: small β
large enough β

$$\langle \hat{a}_v^\dagger \hat{a}_u \rangle \sim \exp[-|u - v|/\xi]$$

$$d = 2 \quad \langle \hat{a}_v^\dagger \hat{a}_u \rangle \sim |u - v|^{-\eta} \quad \leftarrow \text{quasi BEC}$$

$$d \geq 3 \quad \langle \hat{a}_v^\dagger \hat{a}_u \rangle \sim \text{const} > 0 \quad \leftarrow \text{BEC}$$

REMARK: no (quasi) BEC in the state of

Kimchi, Parameswaran, Turner, Wang, Vishwanath 2013

numerical evidence of a Kosterlitz-Thouless (KT) transition

Monte Carlo simulation of the loop-gas model in 2D worm algorithm Prokof'ev, Svistunov, Tupitsyn 1998

static structure factor

$$S := (\zeta^2 \beta)^{-1} \sum_{v \in \mathcal{I}} \langle \hat{a}_v^\dagger \hat{a}_v \rangle$$

$$S = \begin{cases} O(1) & \beta < \beta_c \\ O(L^{2-\eta}) & \beta \geq \beta_c \end{cases}$$

$\eta = 1/4$ at $\beta = \beta_c$

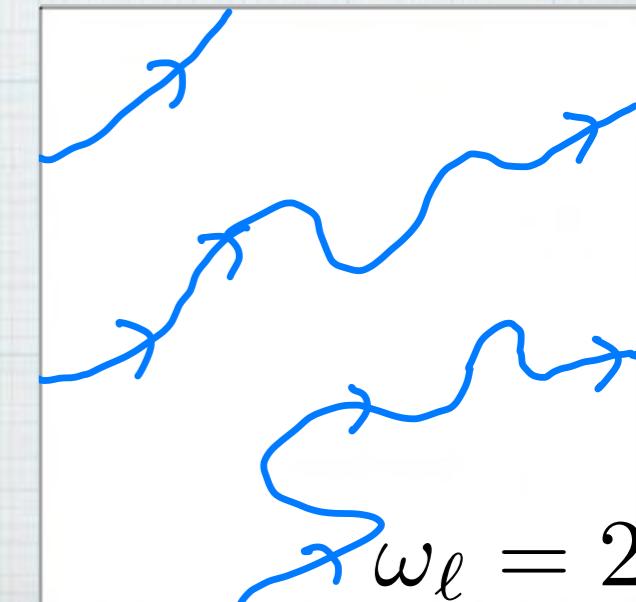
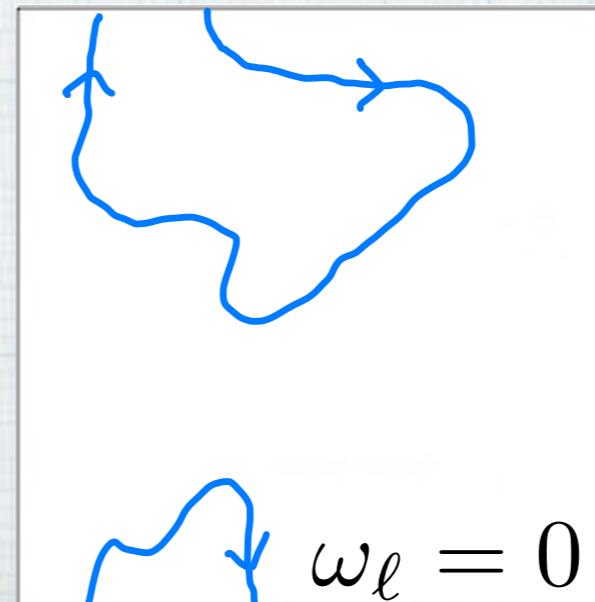
helicity modulus (\propto superfluid density)

$$\gamma := \left\langle \left(\sum_{\ell \in \mathcal{L}} w_\ell \right)^2 \right\rangle_{\text{MC}} = \left\langle \sum_{\ell \in \mathcal{L}} w_\ell^2 \right\rangle_{\text{MC}}$$

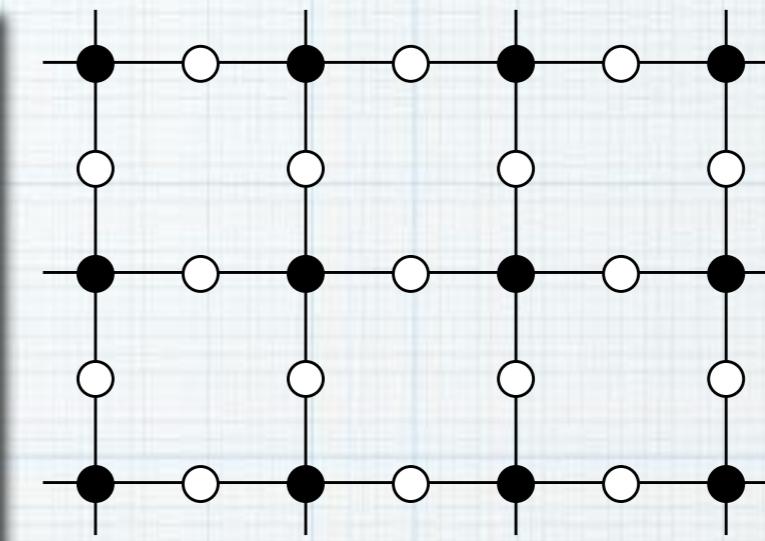
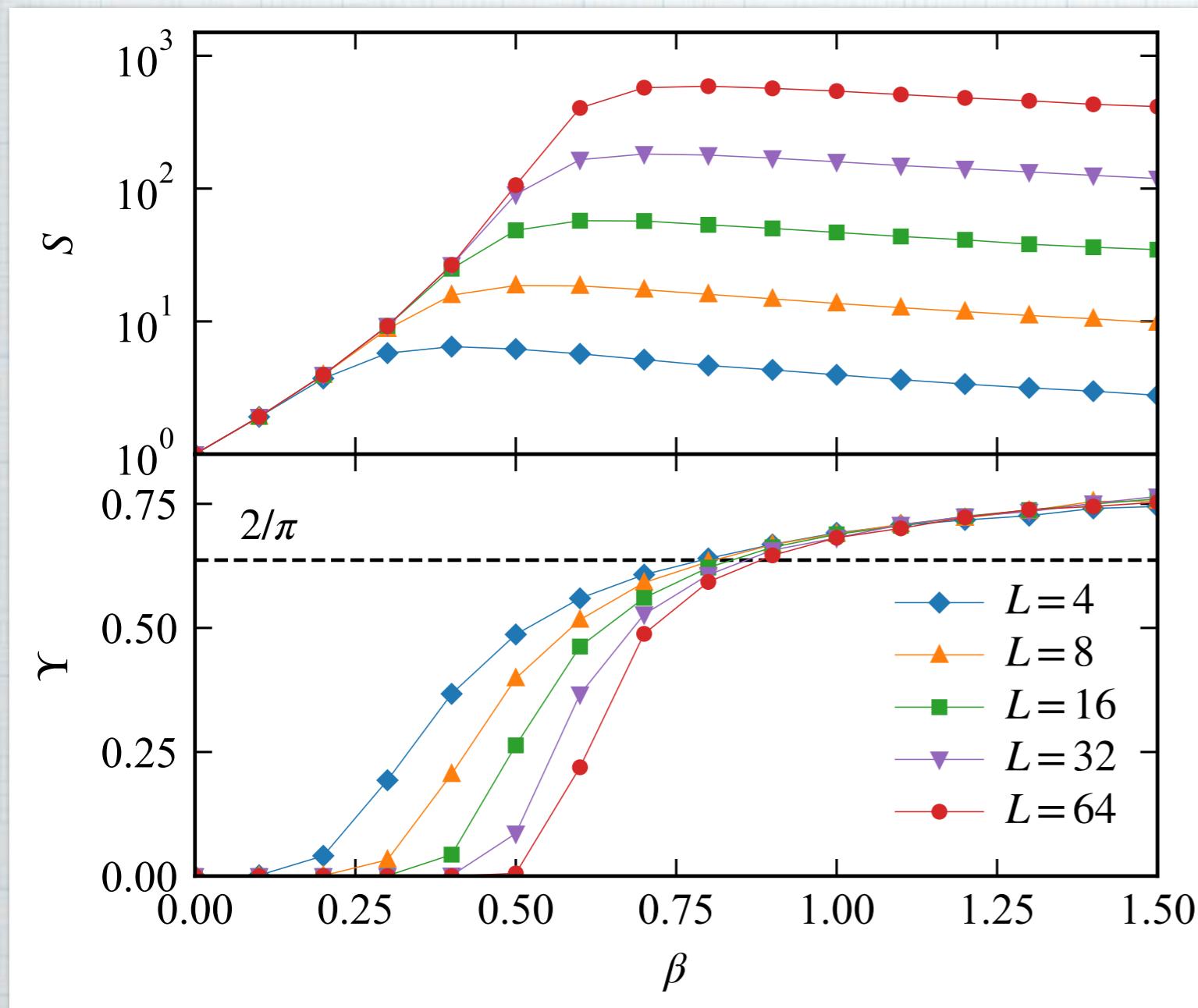
w_ℓ winding number

expected to show
a discontinuous jump

$$0 \rightarrow 2/\pi \text{ at } \beta_c$$



the model based on the $L \times L$ square lattice $p = 1$

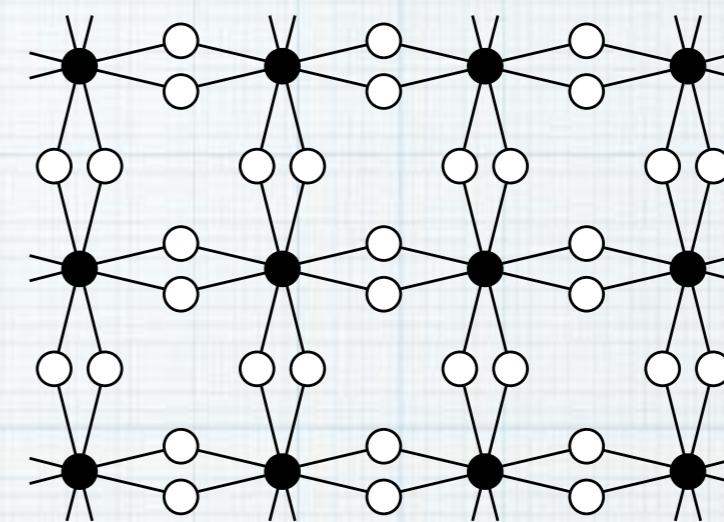
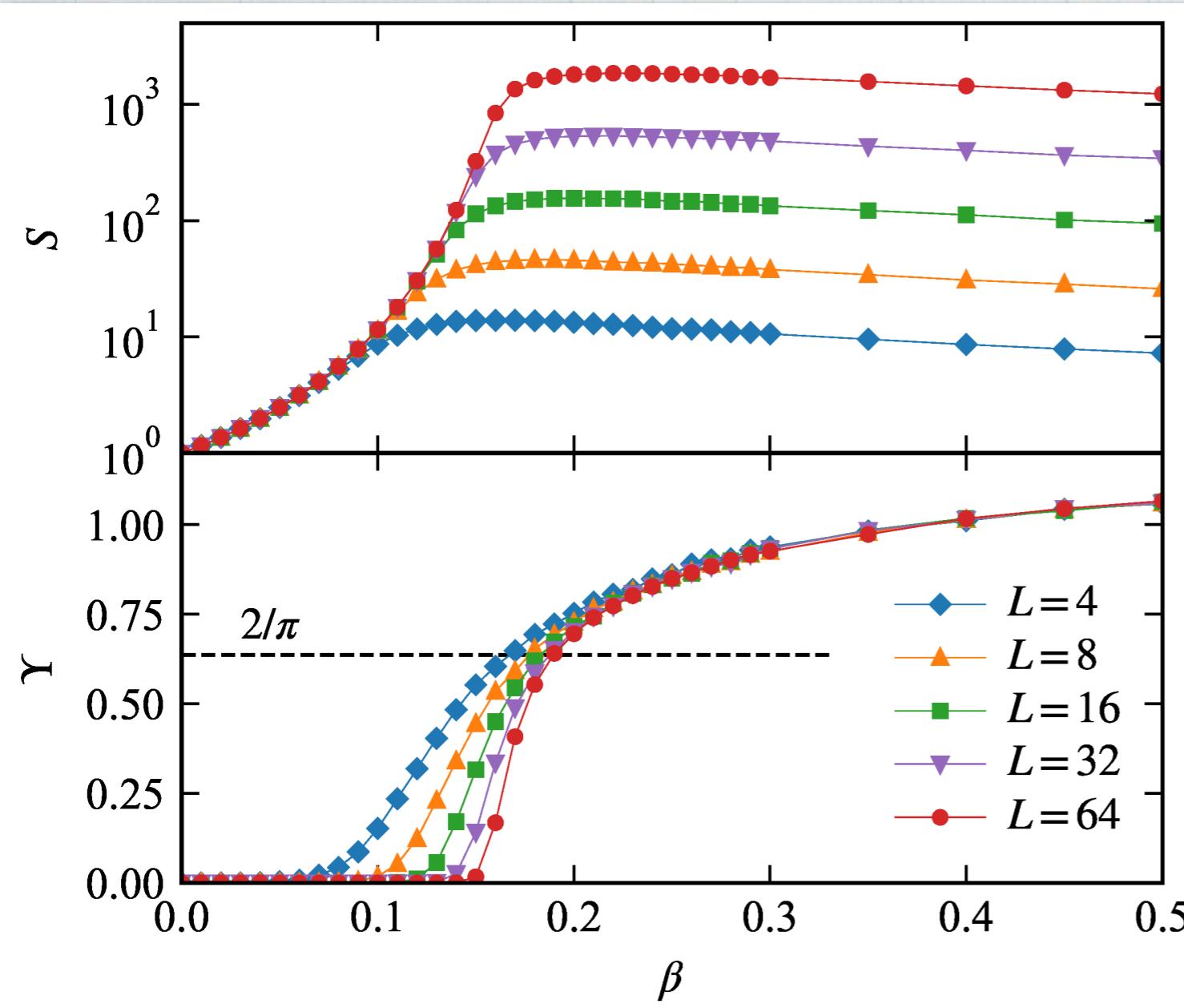


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γ discontinuous jump
 $0 \rightarrow 2/\pi$ at β_c

the loop-gas model undergoes KT transition at $\beta_c \simeq 1.0$
 the loop-gas corresponds to a g.s. only when $\beta < 1/2$
 the ground state is always in the disordered phase

the model based on the $L \times L$ square lattice $p = 2$

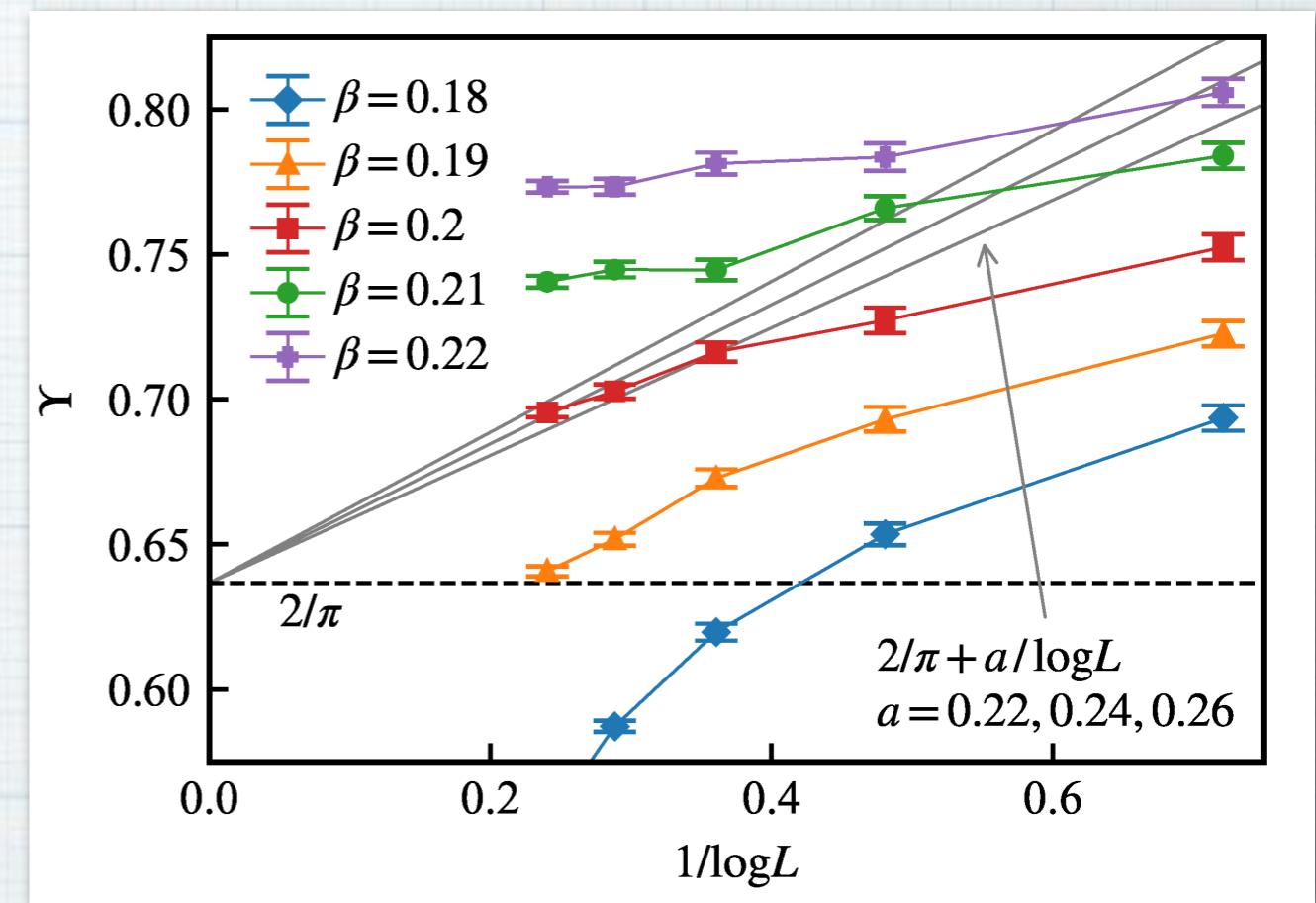
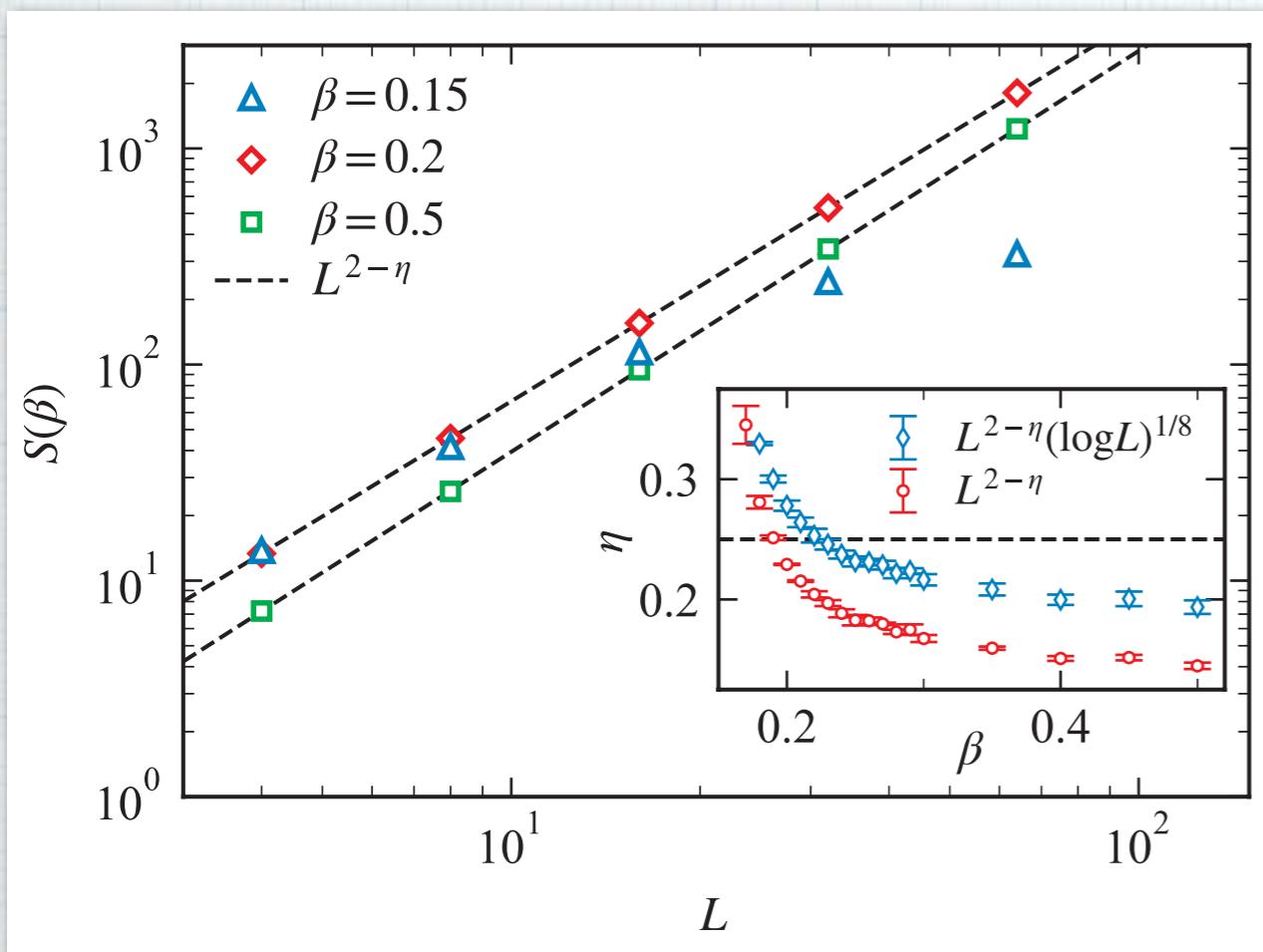


$$S = \begin{cases} O(1) & \beta < \beta_c \\ O(L^{2-\eta}) & \beta \geq \beta_c \end{cases}$$

γ **discontinuous jump**
 $0 \rightarrow 2/\pi$ at β_c

the loop-gas model and also the exact ground state undergoes a KT transition at $\beta_c \simeq 0.2$, and exhibits quasi-BEC for $\beta_c \gtrsim 0.2$!!

the model based on the $L \times L$ square lattice $p = 2$
 the size dependence of S and γ



the loop-gas model and also the exact ground state undergoes a KT transition at $\beta_c \simeq 0.2$, and exhibits quasi-BEC for $\beta_c \gtrsim 0.2$!!

is the ground state a Mott insulator?

yes and no, it depends on the phase

charge gap

$$\Delta E = E_{N+1}^{\text{GS}} + \underline{E_{N-1}^{\text{GS}}} - 2\underline{E_N^{\text{GS}}} \quad N = |\mathcal{I}|$$
$$\leq 0 \quad \leq 0$$

a simple criterion for Mott insulator $\Delta E \geq \text{const} > 0$

if there is (quasi) ODLRO then $\Delta E \lesssim L^{\eta-d}$

Tasaki, Watanabe (in preparation)

cannot be a Mott insulator!!

Conjecture:

$\beta < \beta_c$ the ground state is a Mott insulator

$\beta > \beta_c$ the ground state is a Mott insulator-like
(quasi) Bose-Einstein condensate, not a true Mott insulator

summary / remaining issues

- a new exactly solvable model of interacting bosons on a lattice with a unique ground state
- the ground state resembles a Mott insulator
- conjecture: the ground state may exhibit (quasi) BEC in two or higher dimensions, keeping Mott-like nature
- strong numerical evidence that the 2D ground state undergoes a KT transition, and exhibits quasi BEC
- similar model for lattice electrons which exhibits superconductivity?
- similar model of a supersolid??
 - ↗ ODLRO + SSB of translation invariance