

Graph-Based Algorithms

CSE 301: Combinatorial Optimization

Definition of MST

- Let $G = (V, E)$ be a connected, undirected graph.
- For each edge (u, v) in E , we have a weight $w(u, v)$ specifying the cost (length of edge) to connect u and v .
- We wish to find a (acyclic) subset T of E that connects all of the vertices in V and whose total weight is minimized.
- Since the total weight is minimized, the subset T must be acyclic (no circuit).
- Thus, T is a tree. We call it a **spanning tree**.
- The problem of determining the tree T is called the **minimum-spanning-tree problem**.

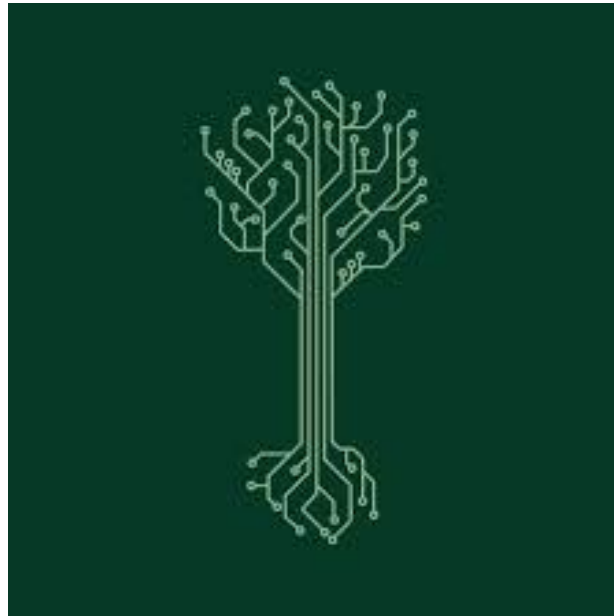
Application of MST

In the design of electronic circuitry, it is often necessary to make a set of pins electrically equivalent by wiring them together.

To interconnect n pins, we can use $n-1$ wires, each connecting two pins.

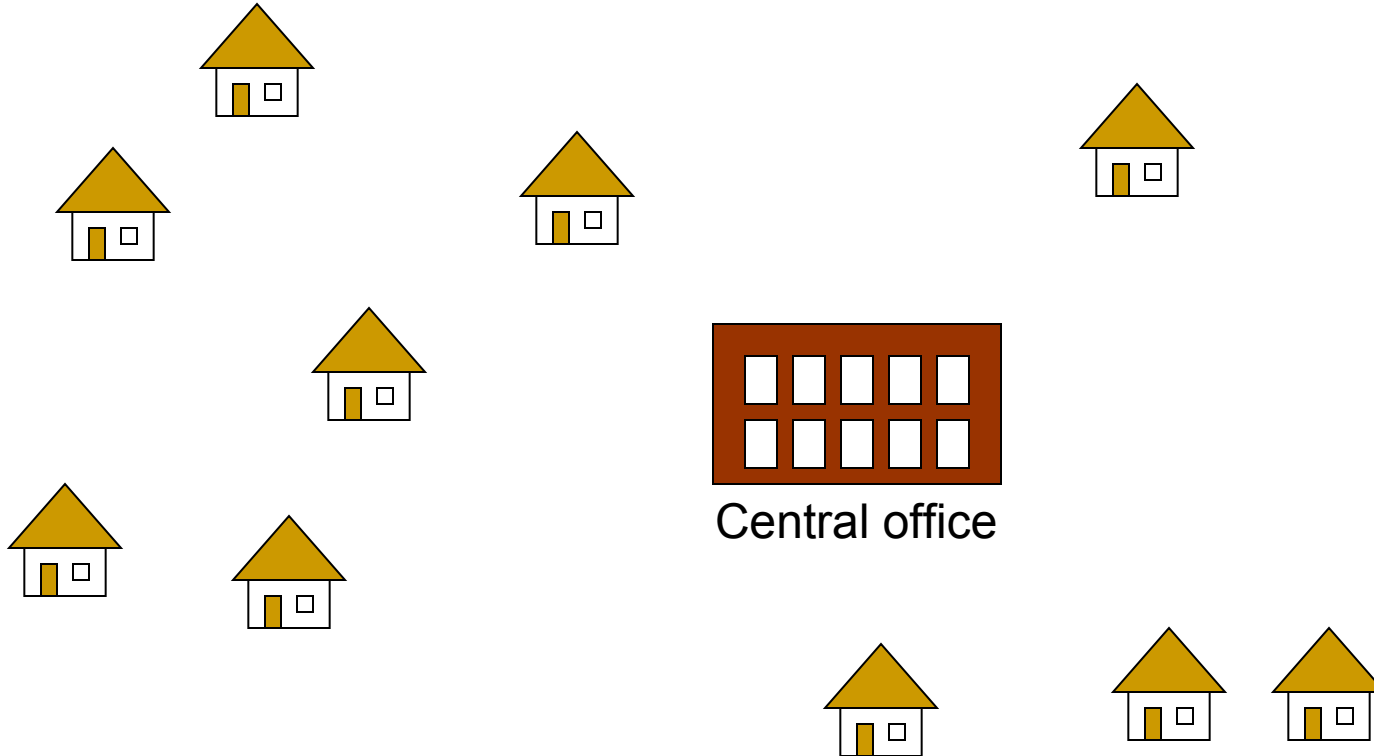
We want to minimize the total length of the wires.

Minimum Spanning Trees can be used to model this problem.



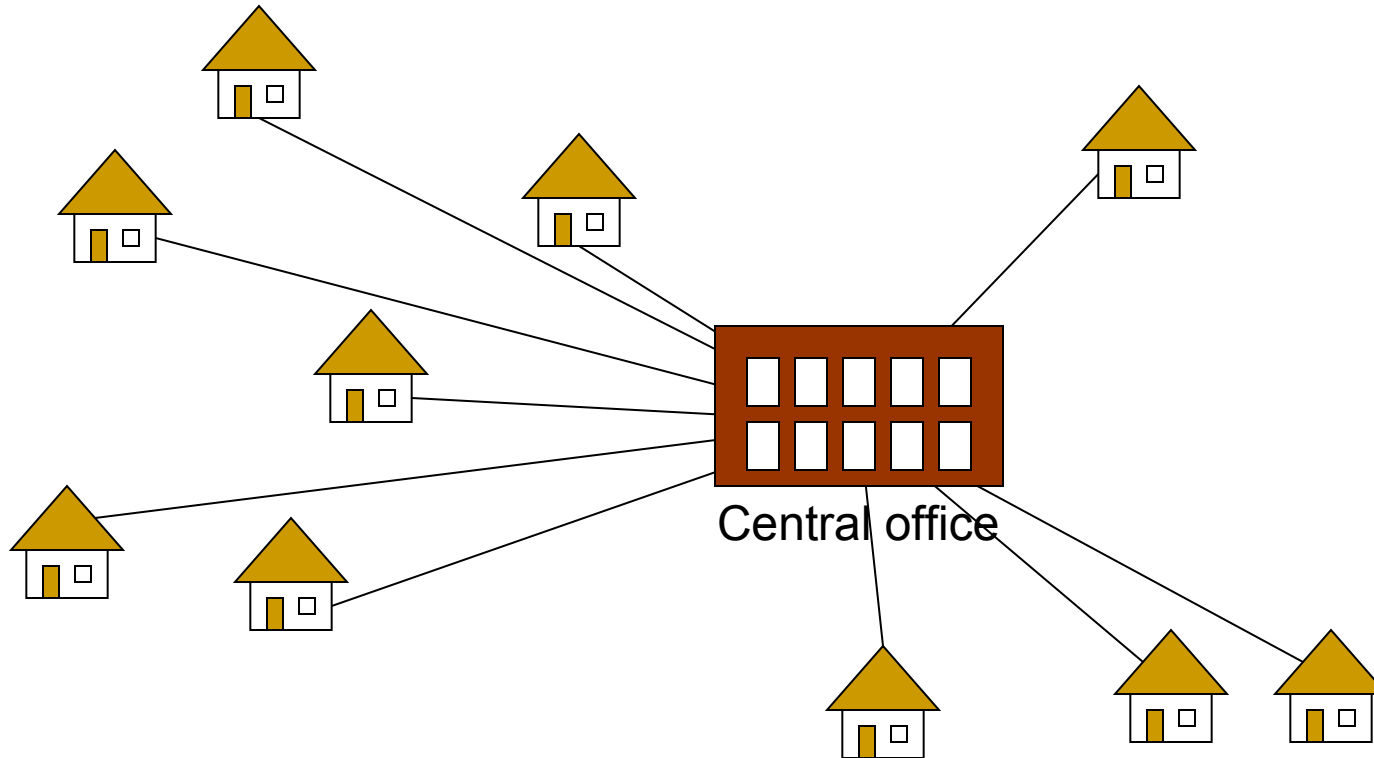
Application of MST

Problem: Laying Telephone Wire



Application of MST

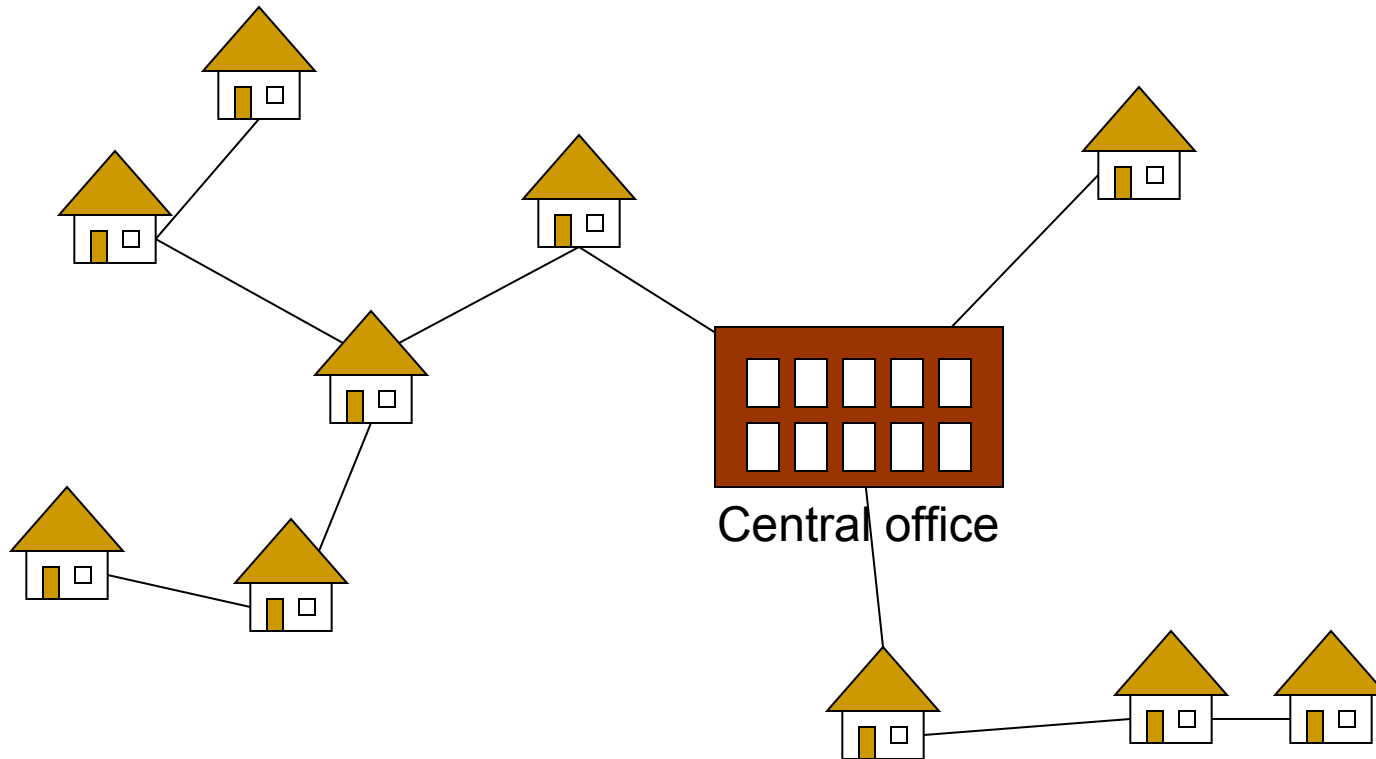
Wiring: Naïve Approach



Expensive!

Application of MST

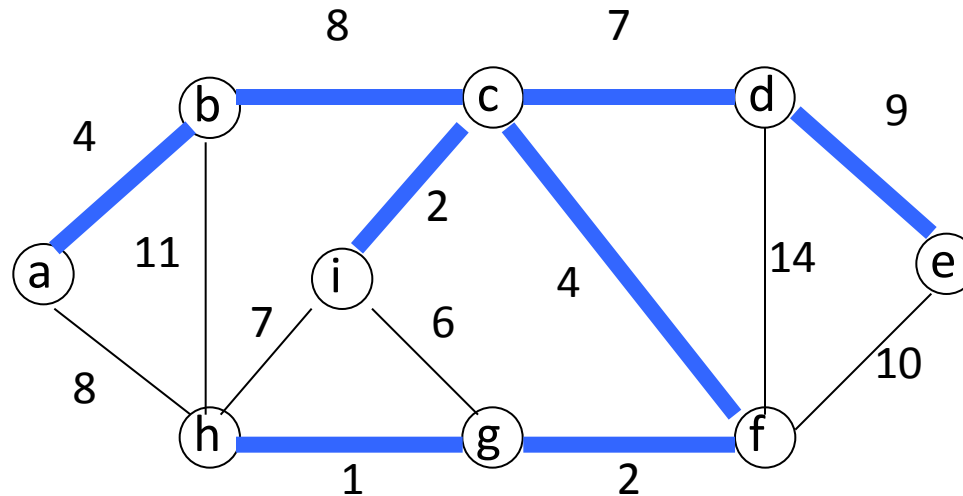
Wiring: Better Approach



Minimize the total length of wire connecting the customers

MST Example

Here is an example of a connected graph and its minimum spanning tree:



Notice that the tree is not unique:

replacing (b,c) with (a,h) yields another spanning tree with the same minimum weight.

ST: a collection of edges (here blue edges) which ensures that there is a path between every pair of nodes/vertices in the input graph via those edges.

MST: a ST whose total edge weight is minimum possible, i.e., there is no other ST whose total edge weight is less.

Growing a MST(Generic Algorithm)

Set A is always a subset of some minimum spanning tree. This property is called the **invariant Property**.

An edge (u, v) is a **safe edge** for A if adding the edge to A does not destroy the invariant.

A **safe edge** is just the CORRECT edge to choose to add to T .

```
GENERIC_MST( $G, w$ )
```

```
1       $A = \emptyset$   
2      while  $A$  does not form a spanning tree  
3          find an edge  $(u, v)$  that is safe for  $A$   
4           $A = A \cup \{(u, v)\}$   
5      return  $A$ 
```


The Algorithms of Kruskal and Prim

- The two algorithms are elaborations of the generic algorithm.
- They each use a specific rule to determine a safe edge in line 3 of `GENERIC_MST`.
- In Kruskal's algorithm,
 - The set A is a forest.
 - The safe edge added to A is always a least-weight edge in the graph that connects two distinct components.
- In Prim's algorithm,
 - The set A forms a single tree.
 - The safe edge added to A is always a least-weight edge connecting the tree to a vertex not in the tree.

Related Topics

Disjoint-Set (Chapter 21, Page 571)

- Keep a collection of disjoint sets: S_1, S_2, \dots, S_k ,
 - Each S_i is a set, e.g, $S_1 = \{v_1, v_2, v_8\}$.
- Three operations
 - **Make-Set(x)** - creates a new set whose only member is **x**.
 - **Union(x, y)** – unites the sets that contain **x** and **y**, say, S_x and S_y , into a new set that is the union of the two sets.
 - **Find-Set(x)** - returns a pointer to the representative of the set containing **x**.

Kruskal's Algorithm

MST_KRUSKAL(G, w)

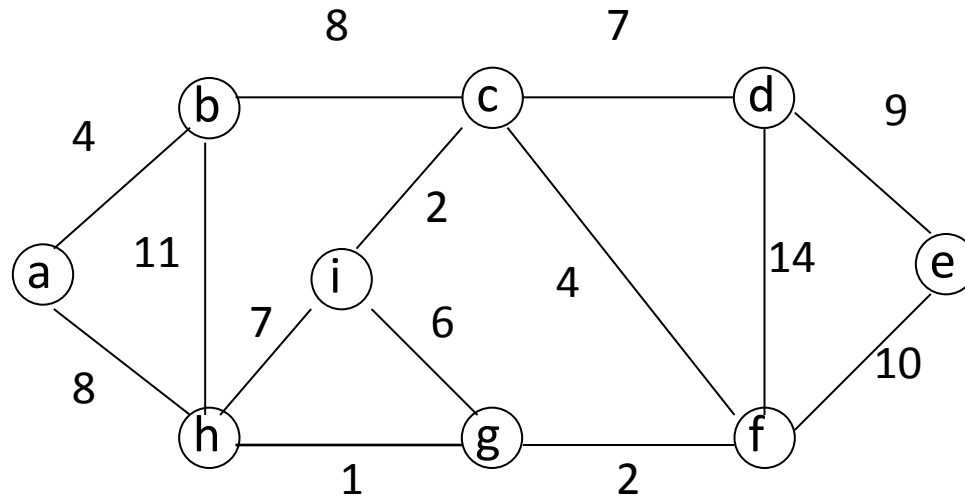
```
1    $A = \emptyset$ 
2   for each vertex  $v \in G.V$ 
3       MAKE_SET( $v$ )
4   sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5   for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6       if FIND_SET( $u$ )  $\neq$  FIND_SET( $v$ )
7            $A = A \cup \{(u, v)\}$ 
8           UNION( $u, v$ )
9   return  $A$ 
```

Time Complexity of Kruskal's Algorithm

- Line 4 sorts E edges \Rightarrow it takes $O(E \lg E)$ time, if we use MergeSort
 - But $\lg E = O(\lg V)$, since $E < V^2 \Rightarrow \lg E < 2 \lg V \Rightarrow \lg E$ is $O(\lg V)$
 - Hence $O(E \lg E) = O(E \lg V)$
- If we make total m calls of Make_set, Union, and Find_set in any algorithm, then these calls will take total $O(m \lg n)$ time, where n is the number of Make-Set calls.
- The 1st for loop makes $O(V)$ MAKE_SET() calls and the 2nd for loop makes $O(E)$ Find_SET and UNION calls
 - Total $O(V+E)$ calls of MAKE_SET, FIND_SET, and UNION, out of which $O(V)$ calls were MAKE_SET calls $\Rightarrow O((V+E) \lg V)$ time
 - $E \geq V-1$, since the graph is connected
 $\Rightarrow V$ is $O(E) \Rightarrow O((V+E) \lg V) = O(E \lg V)$
- Hence total time = $O(E \lg V)$

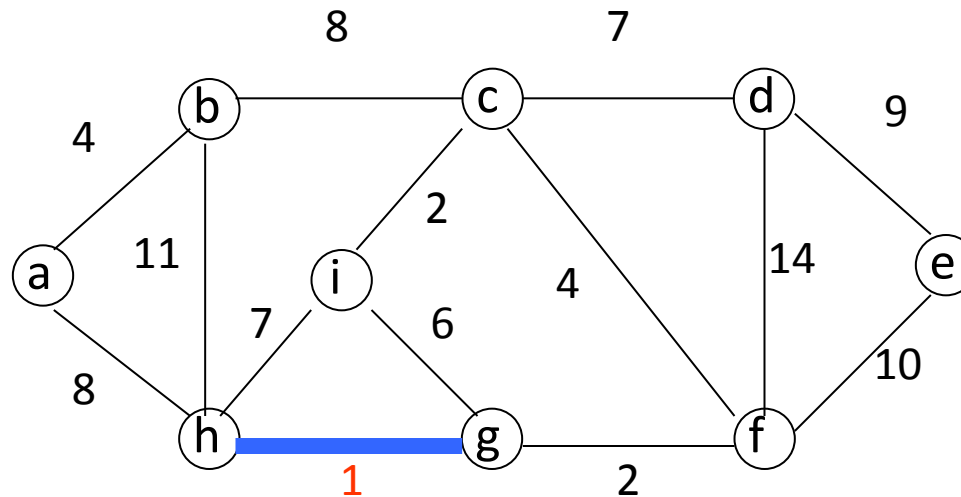
Kruskal's Algorithm: Example

- The edges considered by the algorithm are sorted by weight.
- The edge under consideration at each step is shown with a red weight number.



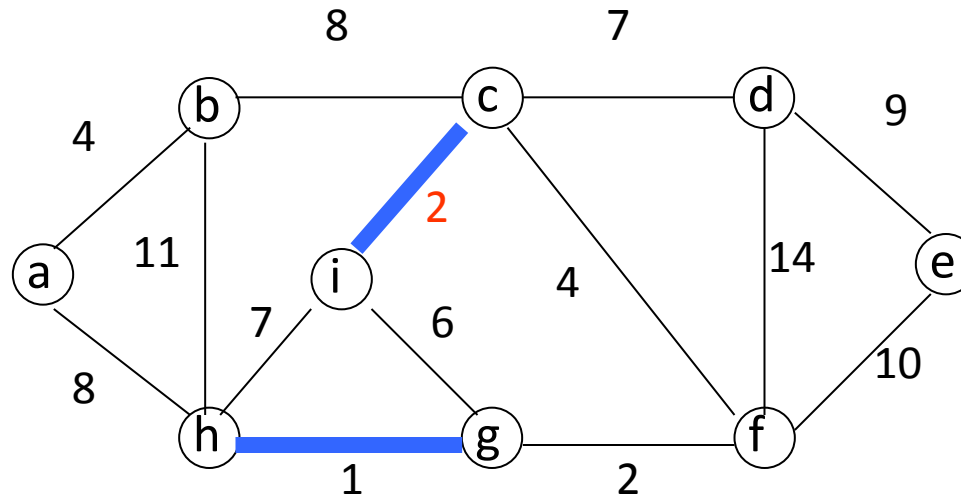
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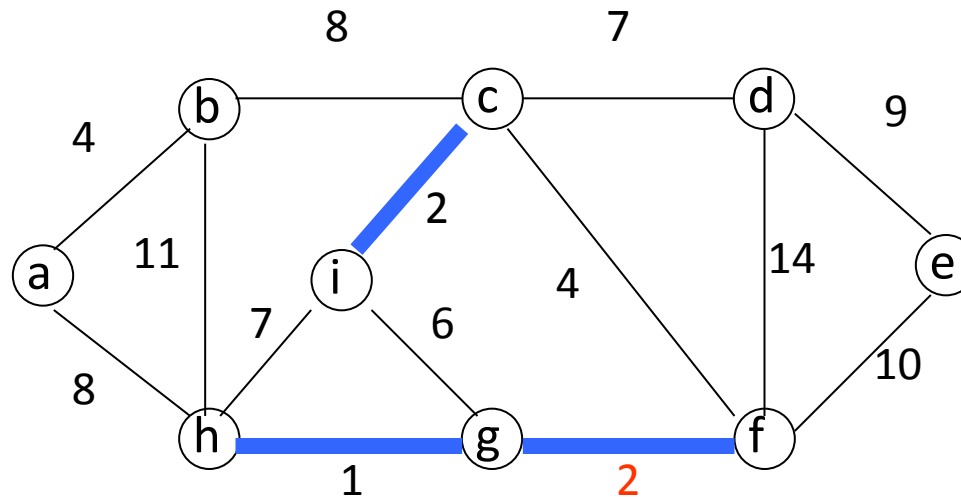
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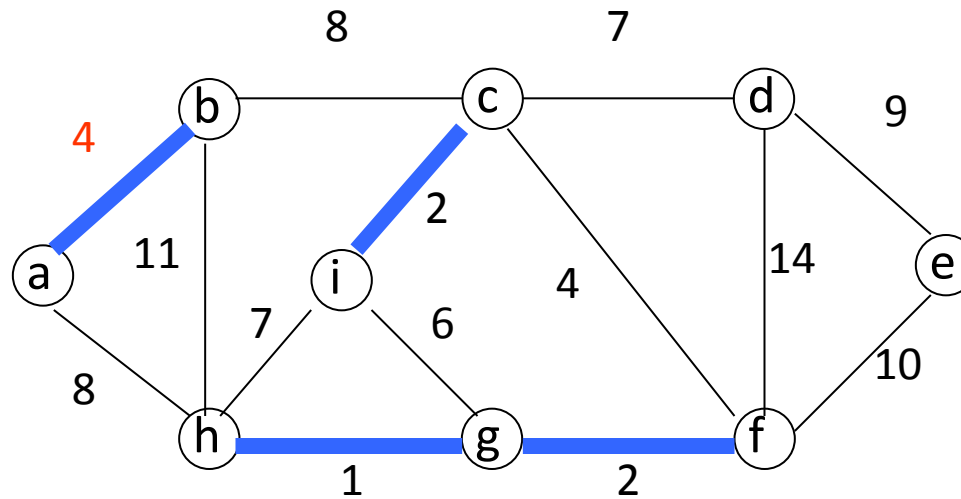
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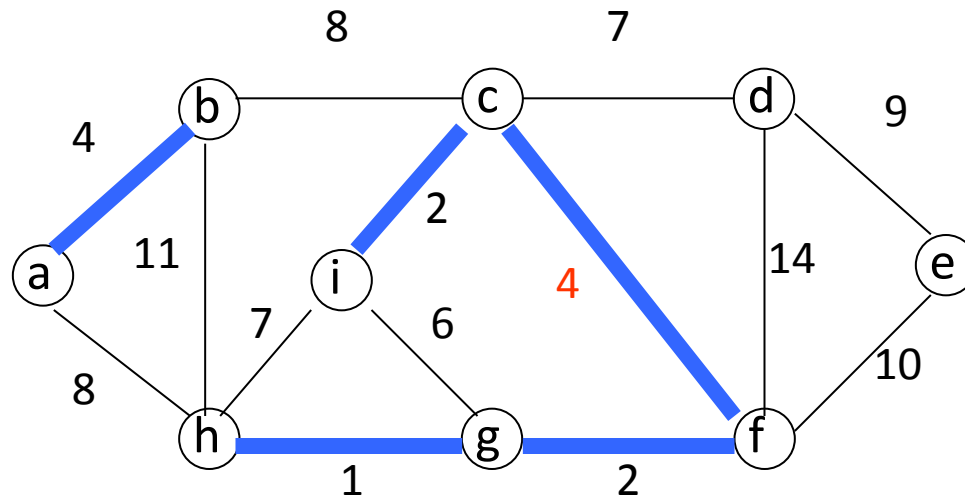
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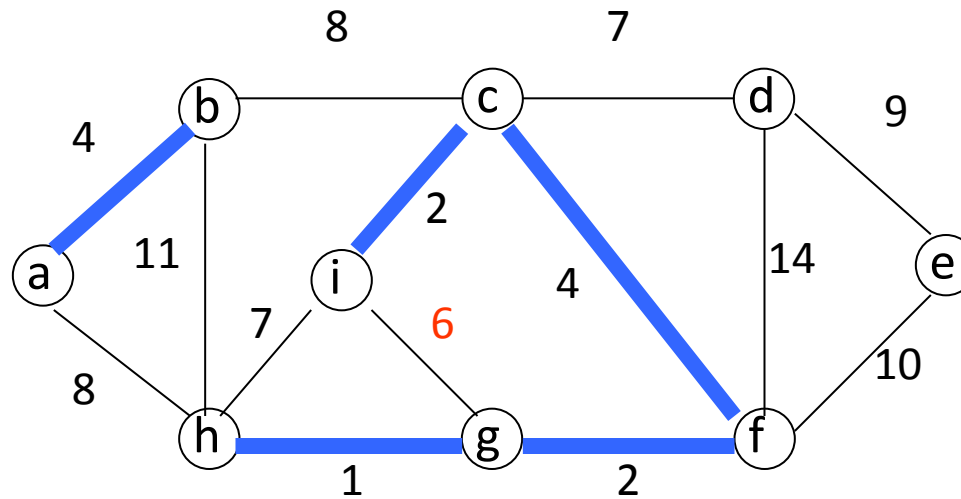
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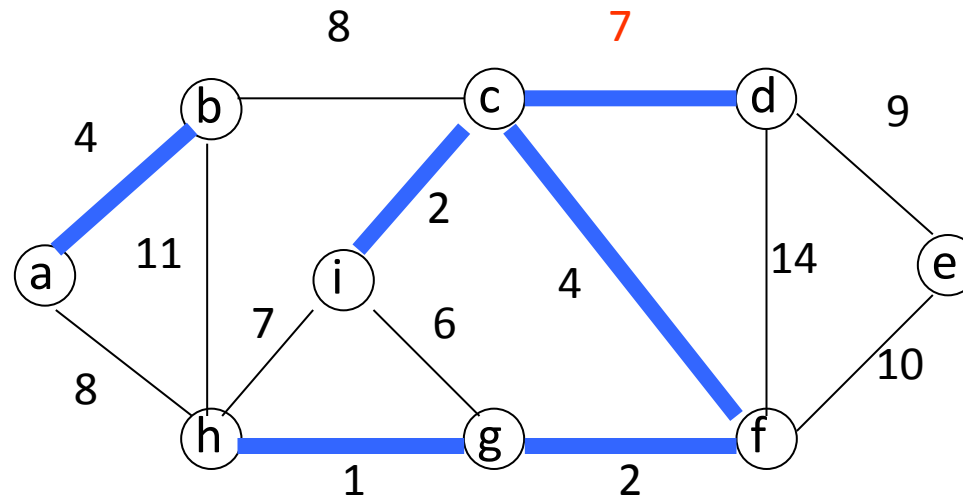
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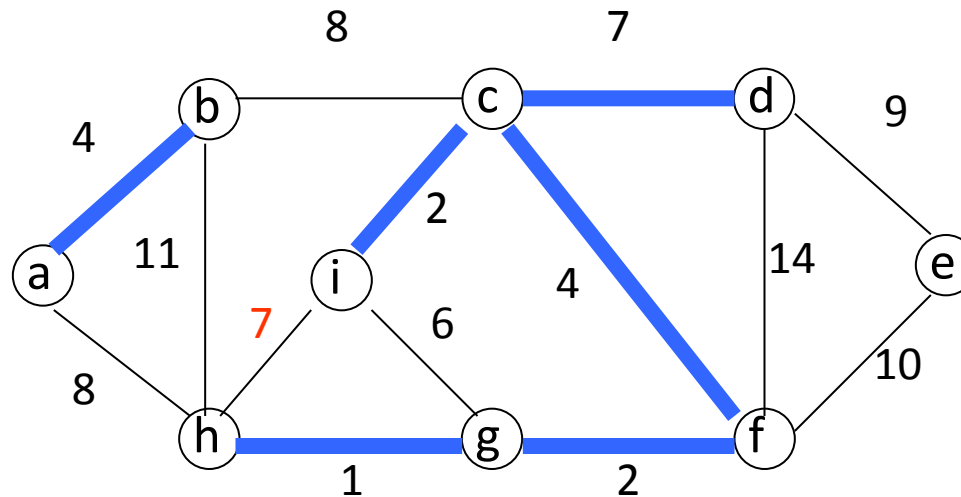
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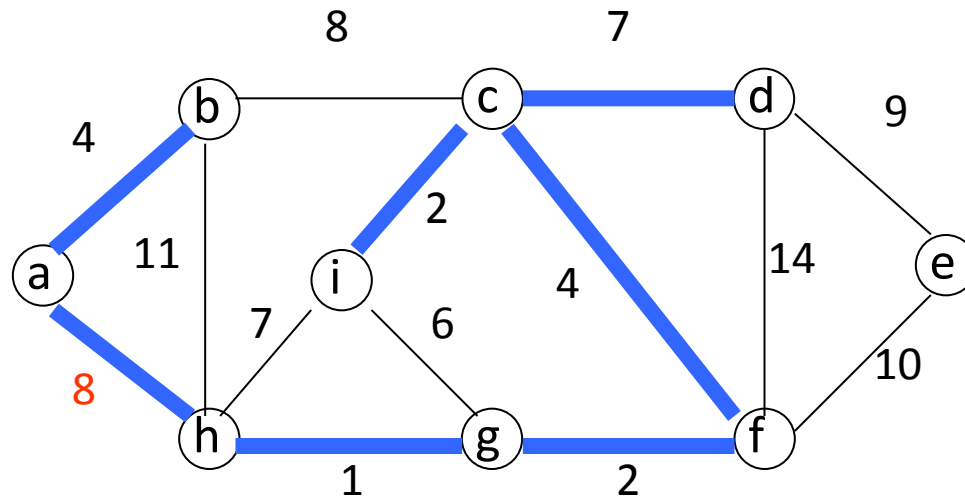
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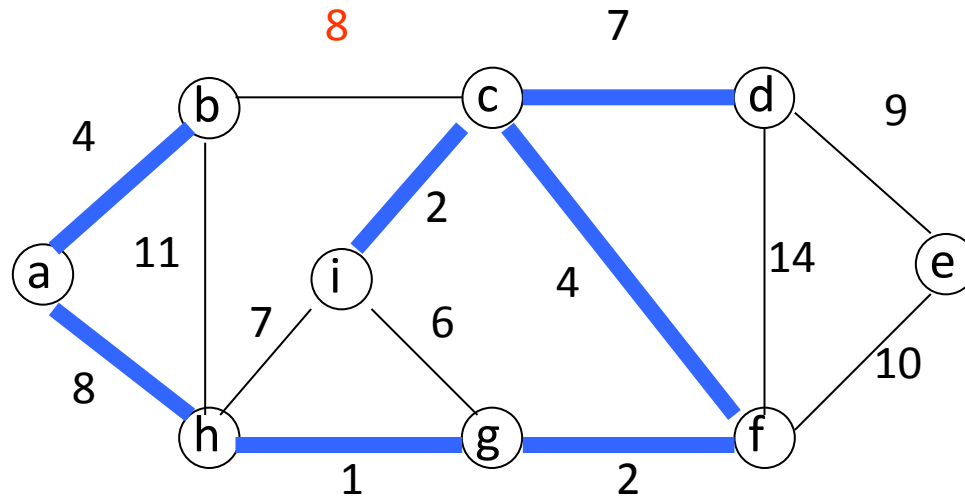
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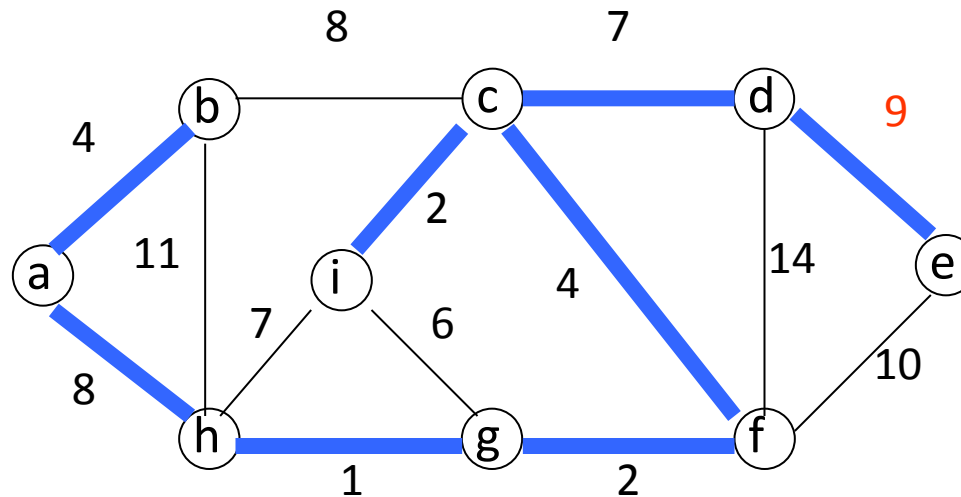
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Prim's Algorithm

MST_PRIM(G, w, r)

```
1  for each  $u$  in  $G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$  //  $Q$  is a min-priority queue
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT\_MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

Prim's Algorithm

Grow the minimum spanning tree from the root vertex r .

Q is a priority queue, holding all vertices that are not in the tree now.

$\text{key}[v]$ is the minimum weight of any edge connecting v to a vertex in the tree.

$\text{parent}[v]$ names the parent of v in the tree.

When the algorithm terminates, Q is empty; the minimum spanning tree A for G is thus $A = \{(v, \text{parent}[v]) : v \in V - \{r\}\}$.

Running time: $O(E \lg V)$.

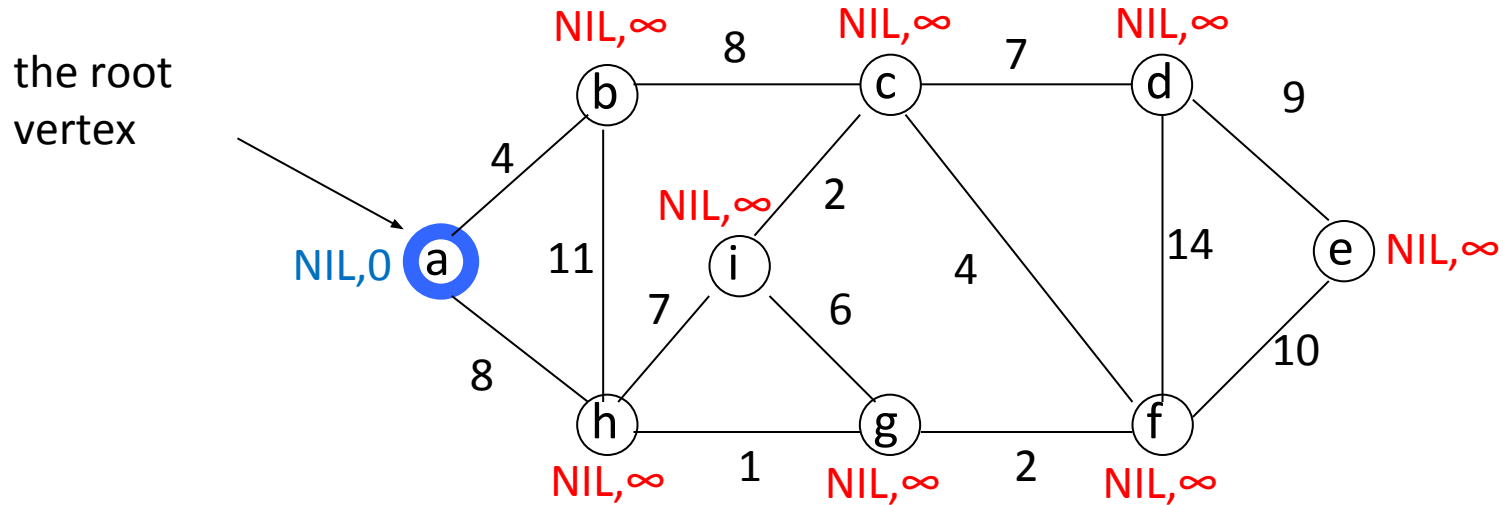
Running time of Prim's Algorithm

MST_PRIM(G, w, r)

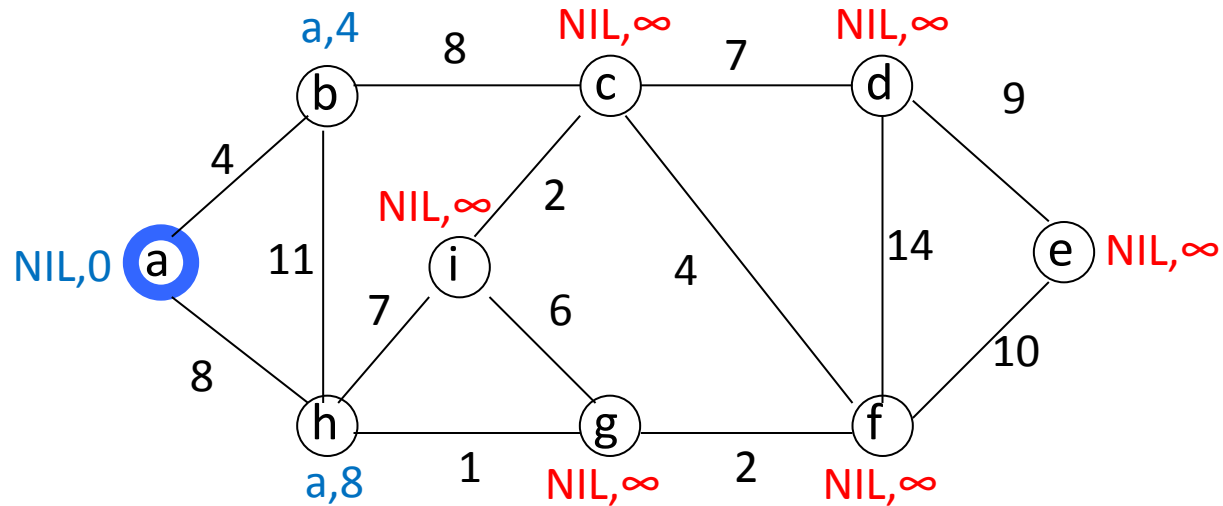
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1  for each  $u$  in  $G.V$  //  $O(V)$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$  //  $Q$  is a min-priority queue //  $\Theta(V)$  time to build the heap
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT\_MIN}(Q)$  //  $V$  Heap-Extract-Min operations:  $O(V \lg V)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$  //  $E$  Heap-Decrease-Key operations:  $O(E \lg V)$ 
```

Total time = $O((V+E) \lg V) = O(E \lg V)$ since $E \geq V-1 \Rightarrow V$ is $O(E)$

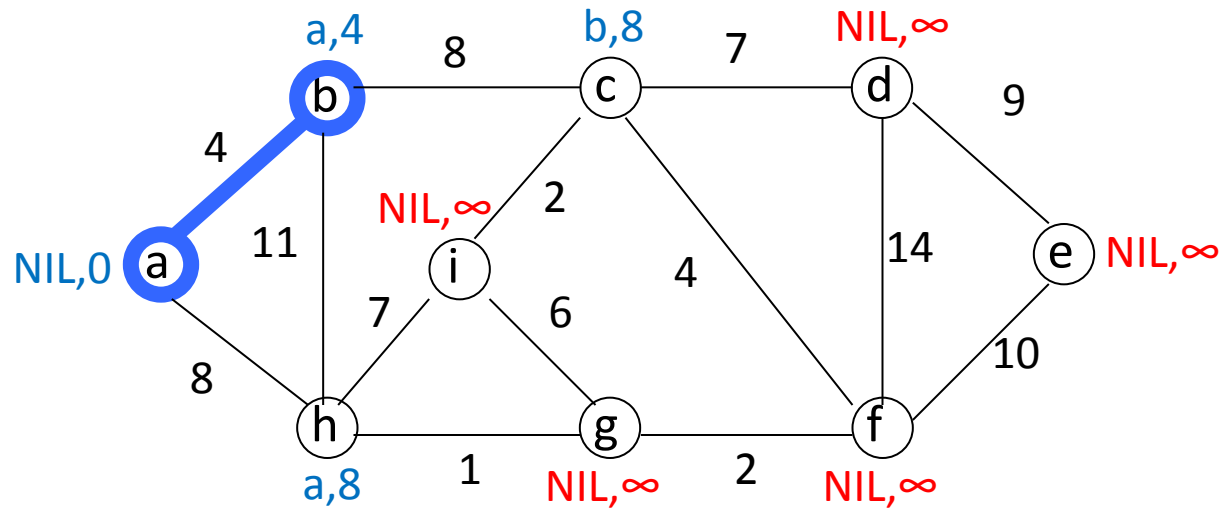
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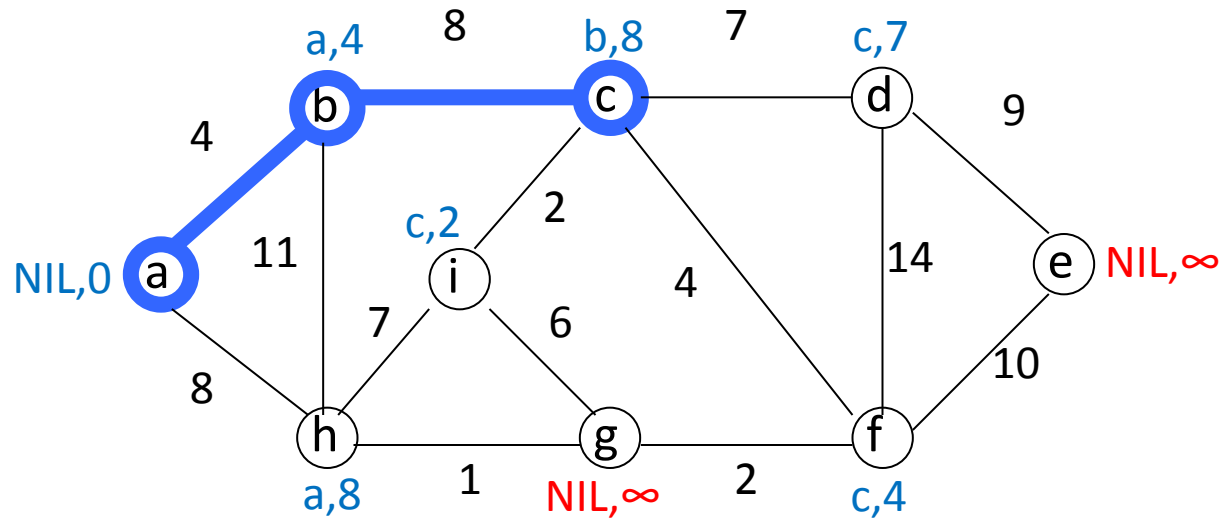
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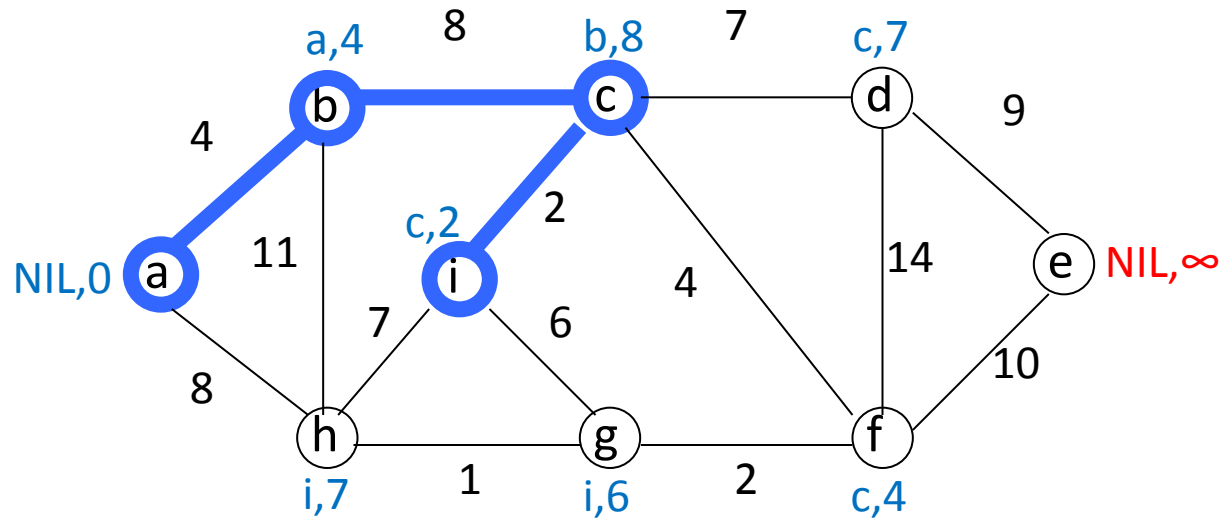
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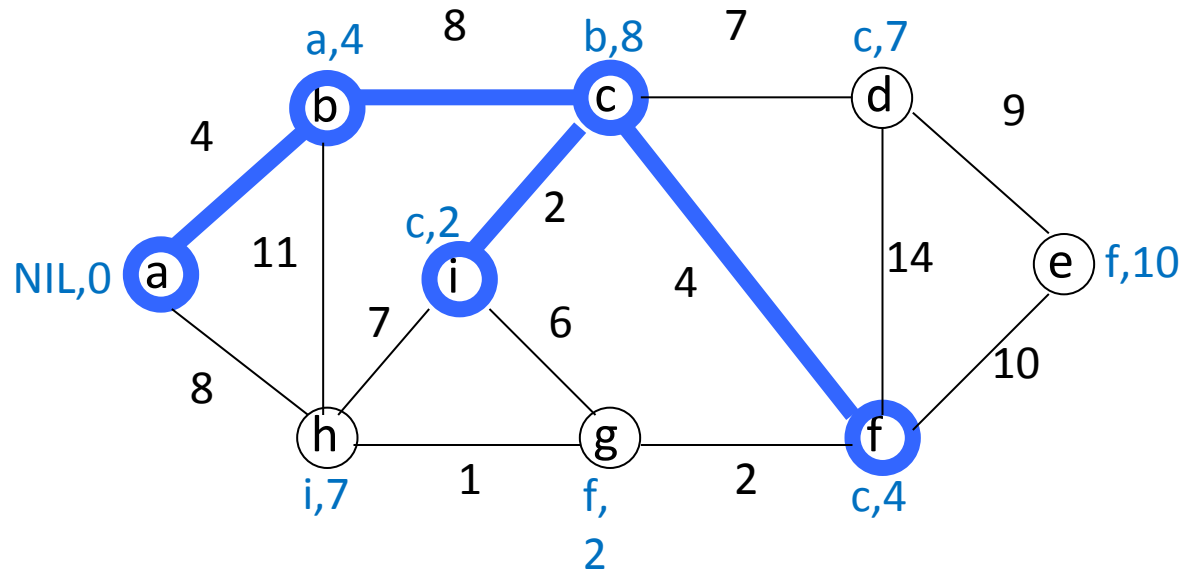
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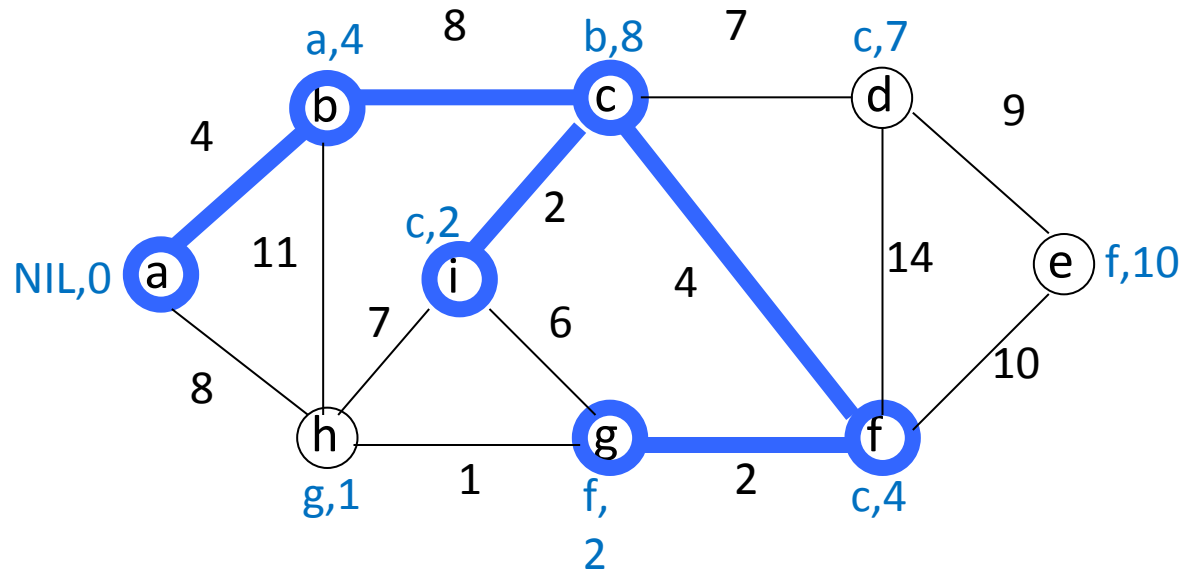
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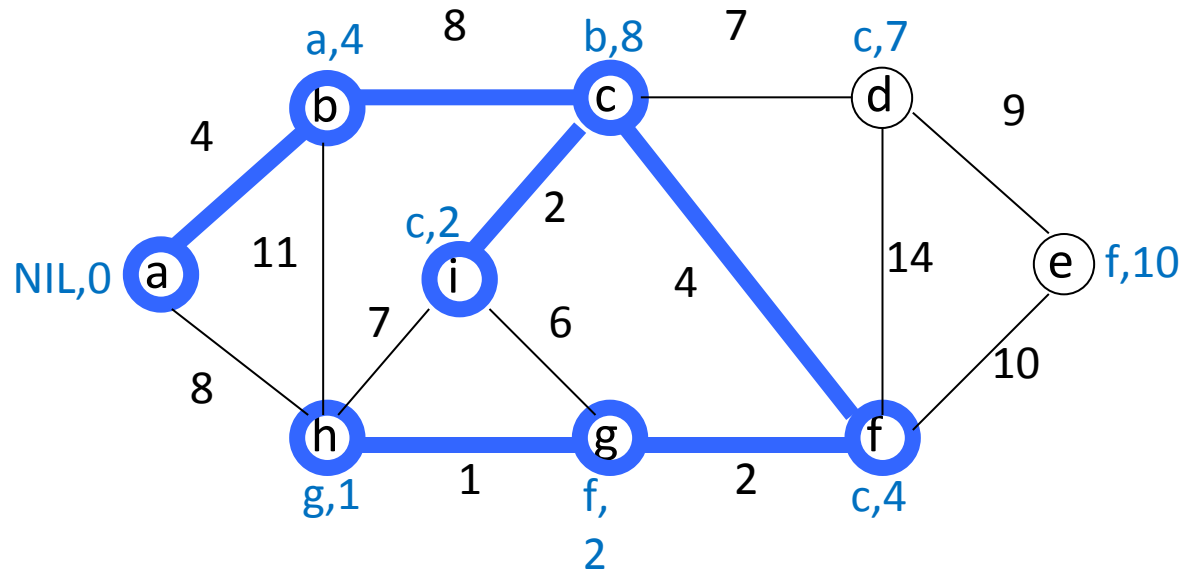
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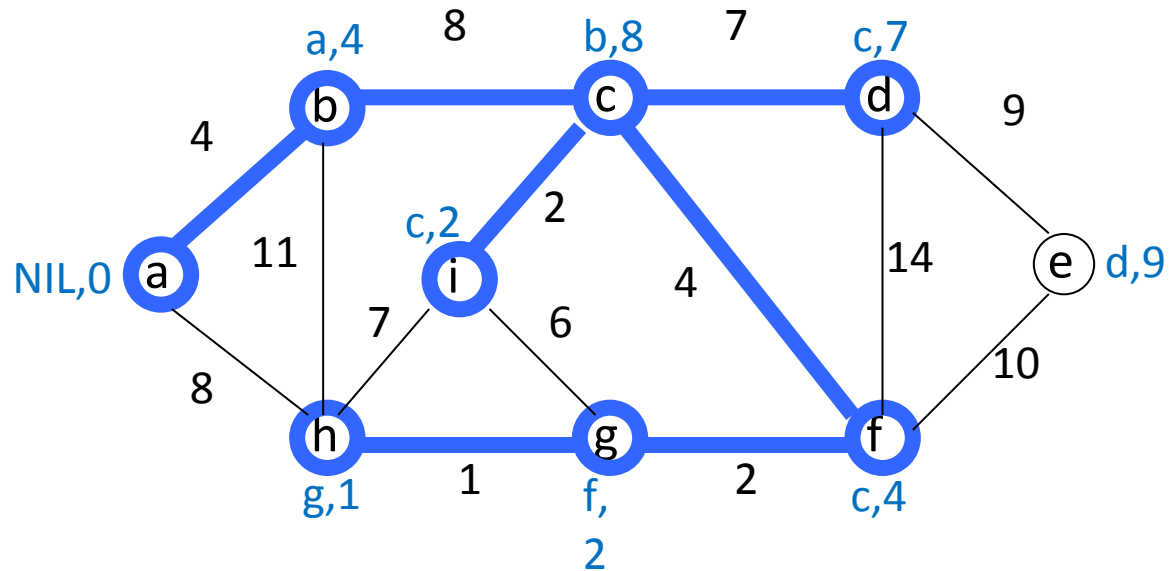
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