

# **Dynamic Programming: Knapsack**

**CSE 301: Combinatorial Optimization**

# The Knapsack Problem

## The 0-1 knapsack problem

A thief robbing a store finds  $n$  items: the  $i$ -th item is worth  $v_i$  dollars and weights  $w_i$  pounds ( $v_i, w_i$  integers)

The thief can only carry  $W$  pounds in his knapsack

Items must be taken entirely or left behind

Which items should the thief take to maximize the value of his load?

## The fractional knapsack problem

Similar to above

The thief can take fractions of items

# The 0-1 Knapsack Problem

Thief has a knapsack of capacity  $W$

There are  $n$  items: for  $i$ -th item value  $v_i$  and weight  $w_i$

Goal:

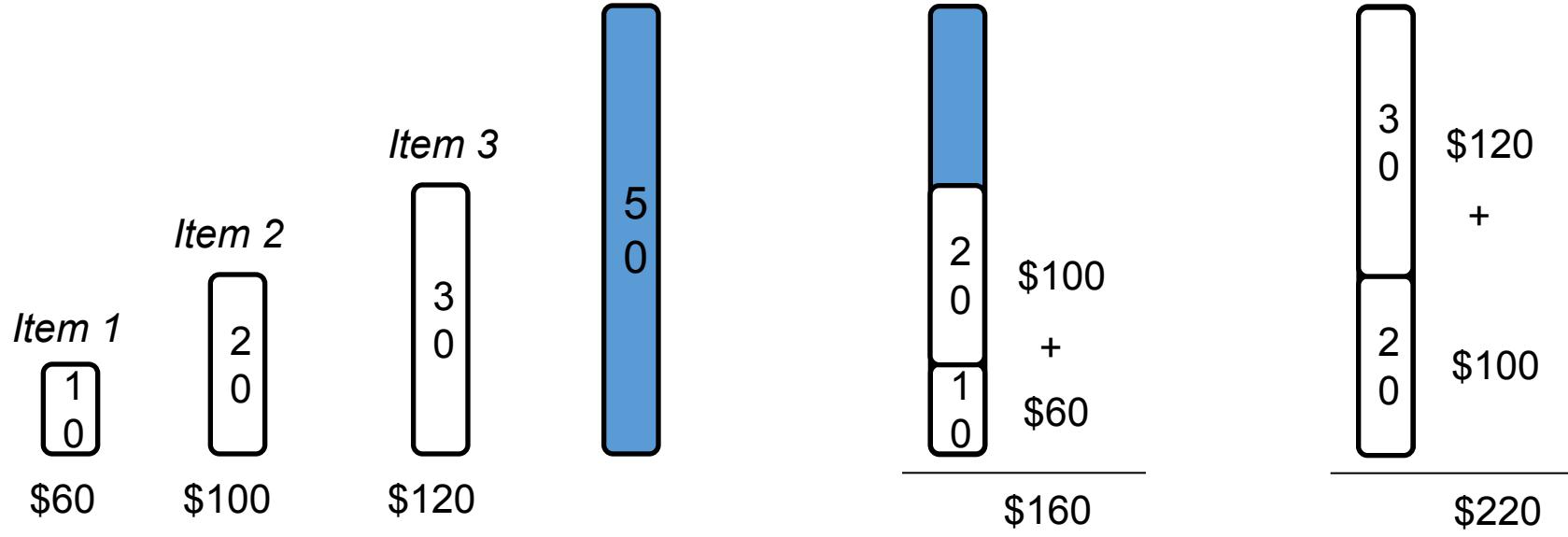
find  $x_i$  such that for all  $x_i \in \{0, 1\}$ ,  $i = 1, 2, \dots, n$

$\sum w_i x_i \leq W$  and

$\sum x_i v_i$  is maximum

# 0-1 Knapsack - Greedy Strategy

E.g.:



\$6/pound \$5/pound \$4/pound

- None of the solutions involving the greedy choice (item 1) leads to an optimal solution
  - The greedy choice property does not hold

# 0-1 Knapsack - Dynamic Programming

$P(i, w)$  – the maximum profit that can be obtained from items 1 to  $i$ , if the knapsack has capacity  $w$

Case 1: thief takes item  $i$

$$P(i, w) =$$

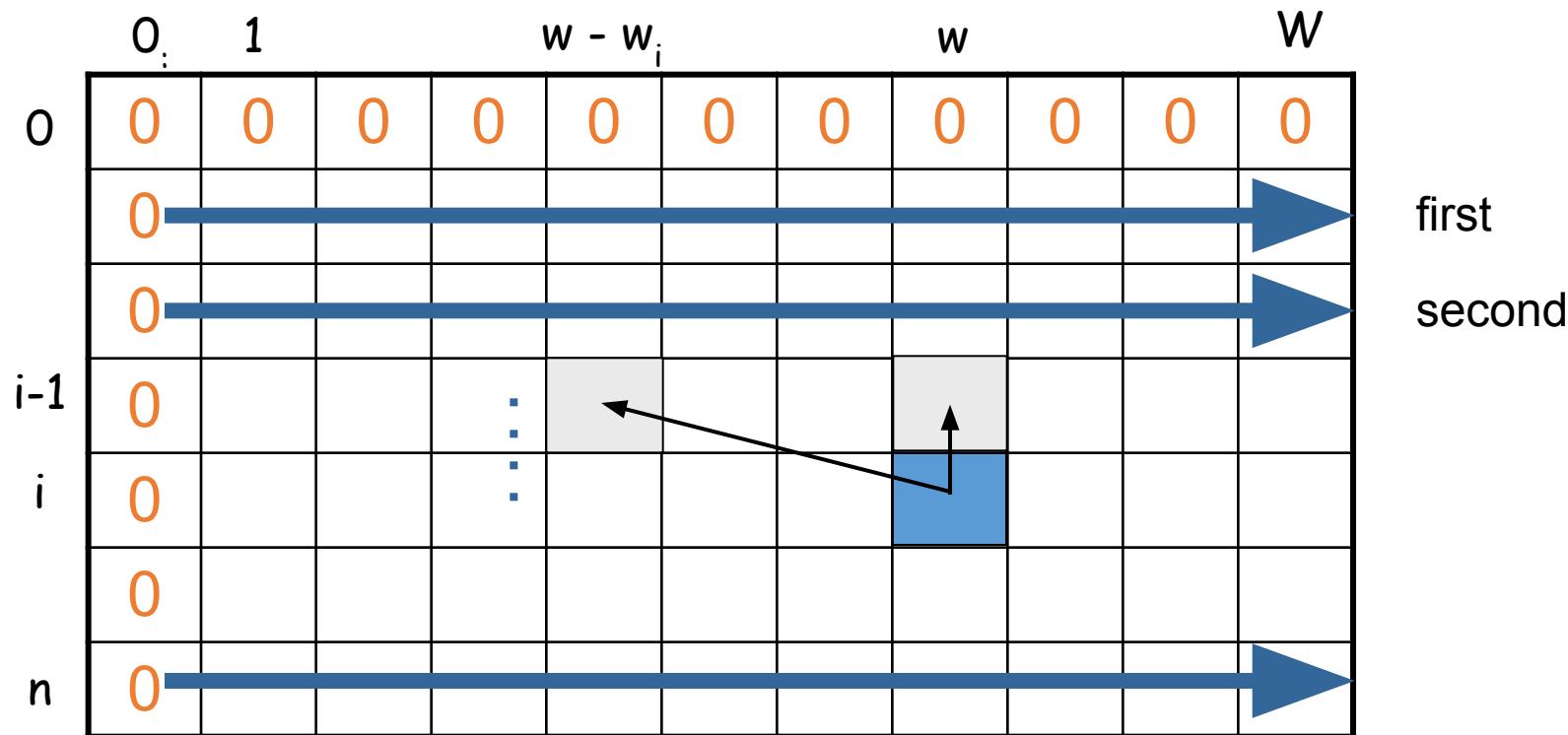
Case 2: thief does not take item  $i$

$$P(i, w) =$$

$$P(i - 1, w)$$

# 0-1 Knapsack - Dynamic Programming (DP)

$$P(i, w) = \max \{ \underbrace{v_i + P(i - 1, w - w_i)}_{\text{Item } i \text{ was taken}}, \underbrace{P(i - 1, w)}_{\text{Item } i \text{ was not taken}} \}$$
$$P(i, w) = 0, \text{ if } i = 0 \text{ or } w = 0$$



# 0-1 Knapsack – DP Algorithm

**for**  $i \leftarrow 0$  to  $n$

**do**  $P(i, 0) = 0$

**for**  $w \leftarrow 0$  to  $W$

**do**  $P(0, w) = 0$

**for**  $i$  from 1 to  $n$

**do for**  $w$  from 0 to  $W$

**do if**  $w_i > w$  //if the capacity is not enough

**then**  $P(i, w) = P(i-1, w)$

**else**

$P(i, w) = \max\{ v_i + P(i-1, w-w_i), P(i-1, w) \}$

# Example:

$$P(i, w) = \max \{v_i + P(i - 1, w - w_i), P(i - 1, w)\}$$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	12	12	12	12
2	0	10	12	22	22	22
3	0	10	12	22	30	32
4	0	10	15	25	30	37

$W = 5$

Item	Weight	Value
1	2	12
2	1	10
3	3	20
4	2	15

$$P(1, 1)$$

$$P(0, 1) = 0$$

$$\bar{P}(1, 2)$$

$$\max\{12+P(0,0), 0\} = \max\{12+0, 0\} = 12$$

$$\bar{P}(1, 3)$$

$$\max\{12+P(0,1), 0\} = \max\{12+0, 0\} = 12$$

$$\bar{P}(1, 4)$$

$$\max\{12+P(0,2), 0\} = \max\{12+0, 0\} = 12$$

$$\bar{P}(1, 5)$$

$$\max\{12+P(0,3), 0\} = \max\{12+0, 0\} = 12$$

=

$$P(2, 1) = \max\{10+P(1,0), 0\} = 10$$

$$P(3, 1) = P(2,1) = 10$$

$$P(4, 1) = P(3,1) = 10$$

$$P(2, 2) = \max\{10+P(1,1), P(1,2)\} \\ = 12$$

$$P(3, 2) = P(2,2) = 12$$

$$P(4, 2) = \max\{15+0, 12\} = 15$$

$$P(2, 3) = \max\{10+P(1,2), P(1,3)\} \\ = \max(10+12, 12) = 22$$

$$P(3, 3) = \max\{20+0, 22\} = 22$$

$$P(4, 3) = \max\{15+10, 22\} = 25$$

$$P(2, 4) = \max\{10+12, 12\} = 22$$

$$P(3, 4) = \max\{20+10, 22\} = 30$$

$$P(4, 4) = \max\{15+12, 30\} = 30$$

$$P(2, 5) = \max\{10+12, 12\} = 22$$

$$P(3, 5) = \max\{20+12, 22\} = 32$$

$$P(4, 5) = \max\{15+22, 32\} = 37$$

# Reconstructing the Optimal Solution

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	12	12	12	12
2	0	10	12	22	22	22
3	0	10	12	22	30	32
4	0	10	15	25	30	37

- Item 4
- Item 2
- Item 1

- Start at  $P(n, W)$
- When you go left-up  $\Rightarrow$  item i has been taken
- When you go straight up  $\Rightarrow$  item i has not been taken