

Supermassive black holes

- origins -

Martin Pijnenburg

Supermassive black holes

It is now widely recognized that large galaxies have supermassive black holes at their centres.

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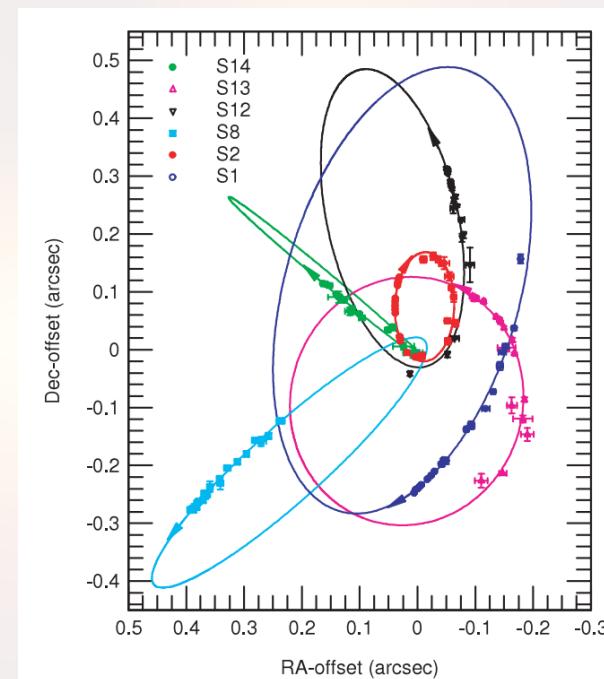
Credits:

Left:
NASA/CXC/SAO

Right:
Eisenhauer, Frank, et al.
"SINFONI in the galactic center: young stars and infrared flares in the central light-month." *ApJ* 628.1 (2005): 246.

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Picture: EHT Collaboration

<https://www.eso.org/public/images/eso1907a/>

Supermassive black holes



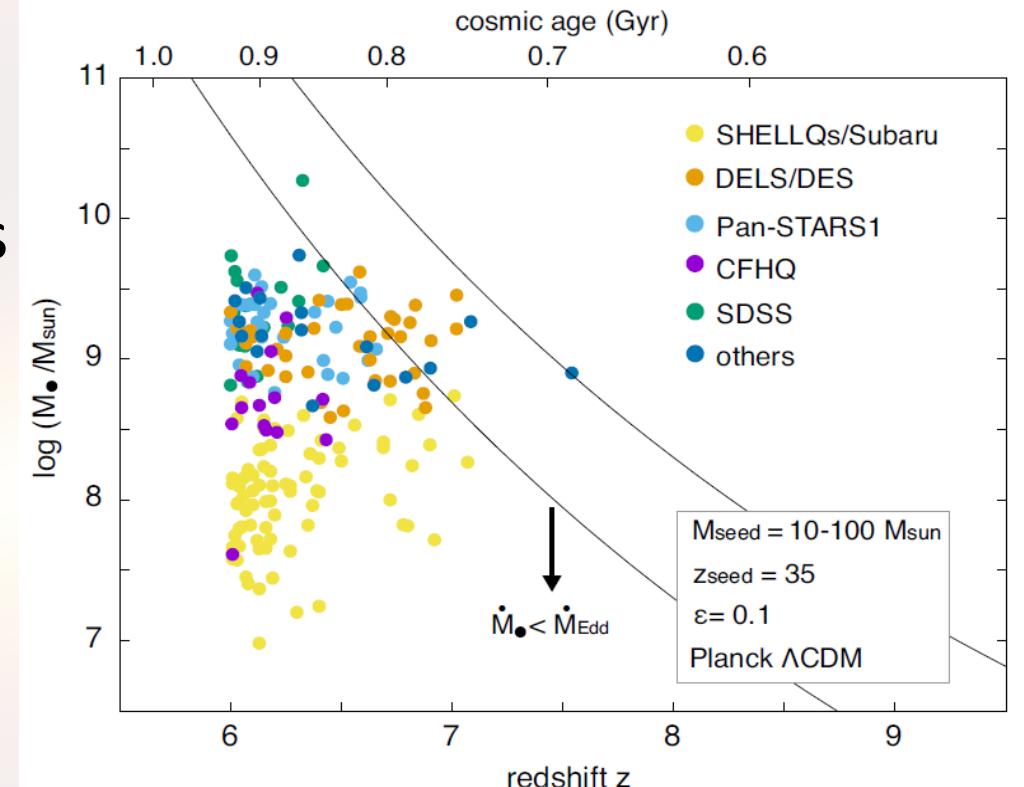
Picture: EHT Collaboration
<https://www.eso.org/public/images/eso1907a/>

Supermassive black holes

High redshift quasar detections!

Observation at high z **biased** towards
most massive and luminous objects
(smaller ones should be much more
numerous)

Plot taken from: Inayoshi, K., Visbal, E., & Haiman, Z. (2020). The Assembly of the First Massive Black Holes. *Annual Review of Astronomy and Astrophysics*, 58, 27-97.



Black holes formation

Stellar mass case:

Once it runs out of nuclear fuel, any star undergoes **gravitational collapse**.

Resulting densities can be so high that the stars can collapse within its **Schwarzschild radius**, leading to a black hole.

Transposing results to high z

At redshift $z \simeq 20 - 30$, in Dark Matter minihalos ($10^5 - 10^6 M_\odot$)

... Collapse of primordial gas clouds → Formation of first stars,

Called **Population III stars**, mass $\sim 10^2 M_\odot$

Transposing results to high z



SUN

MASS: 1.989×10^{30} kilograms
RADIUS: 696,000 kilometers
LUMINOSITY: 3.85×10^{23} kilowatts
SURFACE TEMPERATURE: 5,780 kelvins
LIFETIME: 10 billion years

FIRST STARS

MASS: 100 to 1,000 solar masses
RADIUS: 4 to 14 solar radii
LUMINOSITY: 1 million to 30 million solar units
SURFACE TEMPERATURE: 100,000 to 110,000 kelvins
LIFETIME: 3 million years

From LARSON, RICHARD B., and VOLKER BROMM. "THE FIRST STARS IN THE UNIVERSE." *Scientific American*, vol. 285, no. 6, 2001, pp. 64–71. JSTOR, www.jstor.org/stable/26059461.

Picture: EHT Collaboration
org/public/images/eso1907a/

Accretion, the (very) basics

Eddington accretion:

assumes spherical symmetry + hydrostatic equilibrium

Ionised (Hydrogen) gas being accreted by BH experiences:

- 1) Gravitational attraction
- 2) Repulsive radiation pressure (scattering by BH radiation)

Accretion, the (very) basics

Eddington accretion:

Infall if $\frac{GMm}{r^2} \geq \frac{L\kappa m}{4\pi r^2 c}$, i.e. $L \leq \frac{4\pi G M c}{\kappa}$ gas opacity κ

Accretion, the (very) basics

Eddington accretion:

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But

$$L \iff \dot{M}$$

$$L = \epsilon \dot{M} c^2$$

Radiative efficiency $\epsilon \approx 0.1$

Accretion, the (very) basics

Eddington accretion:

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But

$$L \iff \dot{M}$$
$$L = \epsilon \dot{M} c^2$$

$$\Rightarrow \dot{M} \leq \frac{4\pi G}{c \kappa \epsilon} M =: \dot{M}_{Edd}$$

Radiative efficiency $\epsilon \approx 0.1$

Accretion, the (very) basics

If $\kappa & \epsilon = \text{const.}$, at most $M(t) = M_0 e^{\frac{4\pi G}{c\kappa\epsilon}t}$

Inserting typical values and using $M_0 = 100 M_\odot$, $M(t) = 10^9 M_\odot$

$\implies t = \mathcal{O}(\text{age of the Universe at } z \sim 6)$

Accretion, the (very) basics

Simple estimates indicate that Pop. III remnants are unlikely to explain massive quasar at redshifts 6 – 7.

Two possible ways out:

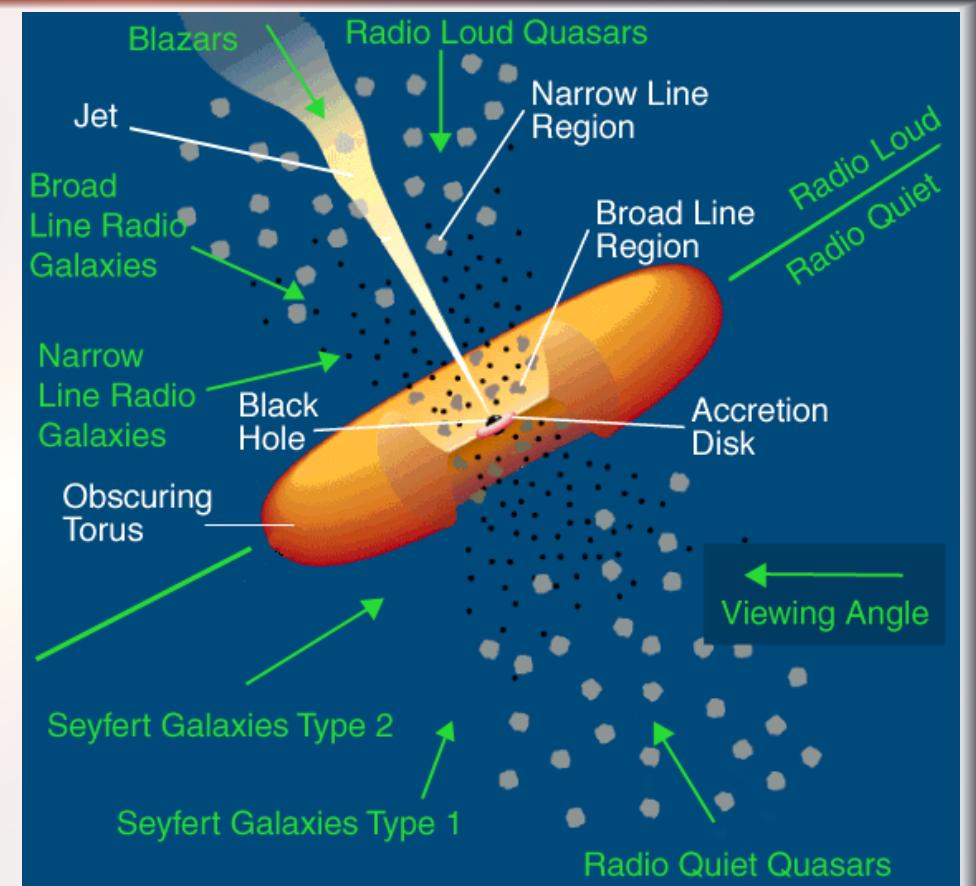
1. Accretion happens faster than the Eddington limit
2. Initial seed BH is heavier than a Pop. III remnant

Increasing the growth rate I

Spherical symmetry assumption
practically **not valid...**

Geometrical configuration
allows to **beat Eddington!**

Picture: Urry, C. M., & Padovani, P. (1995). Unified schemes
for radio-loud active galactic nuclei. *Publications of the
Astronomical Society of the Pacific*, 107(715), 803.



Increasing the growth rate II

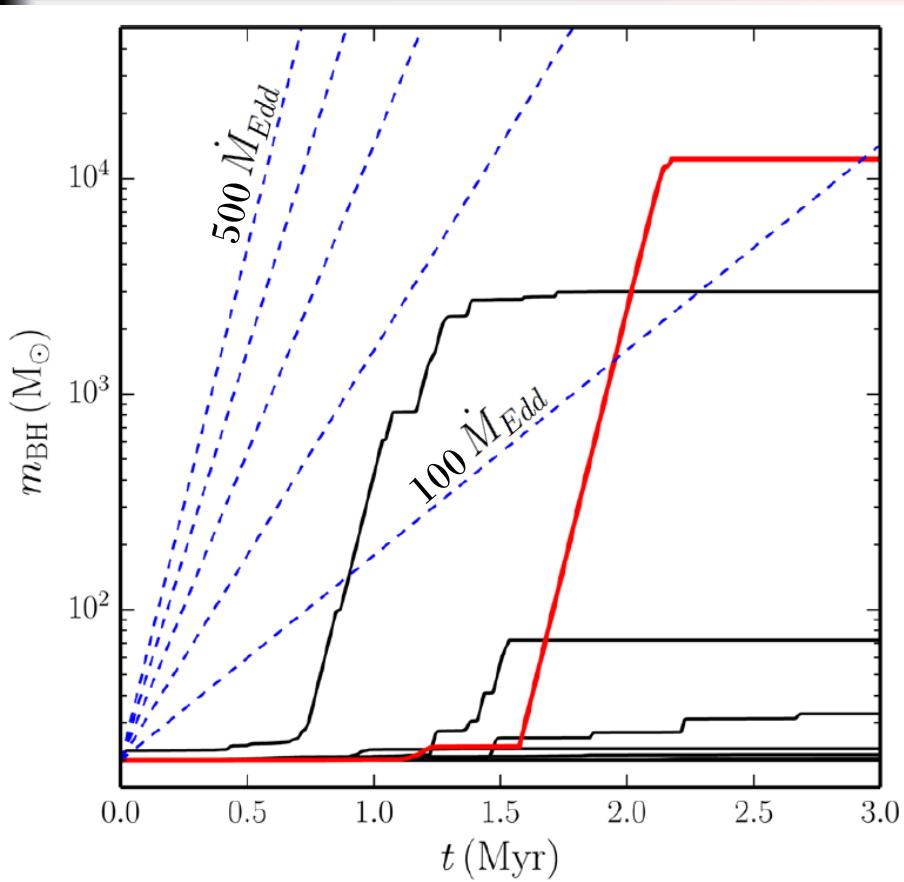
But: the more active is accretion, the more there is feedback:

Energy and momentum injection into surroundings via

- Radiation feedback, i.e. photons
- Mechanical feedback, i.e. energetic particles

→ heats up the gas, ionises it, can unbind it ... Allows activity bursts

Increasing the growth rate III



E.g.

Lupi, A. , et al. "Growing massive black holes through supercritical accretion of stellar-mass seeds." *Monthly Notices of the Royal Astronomical Society* 456.3 (2016): 2993-3003.

Hydronamical simulation with adaptative mesh refinement.

Includes slim accretion disk, AGN thermal feedback and SN feedback models.

BH environment is that of a well formed nuclear gaseous disk

Increasing the growth rate IV

Remember: Pop. III stars appear **in DM minihalos**, with mass ($10^5 - 10^6 M_{\odot}$).

Gas escape velocity in such halos is $\sim 1 \text{ km/s}$
 ~ 0.1 sound speed

Pop. III radiation can already **blow gas out**.

Remnant BH is starved, no available material to sustain accretion

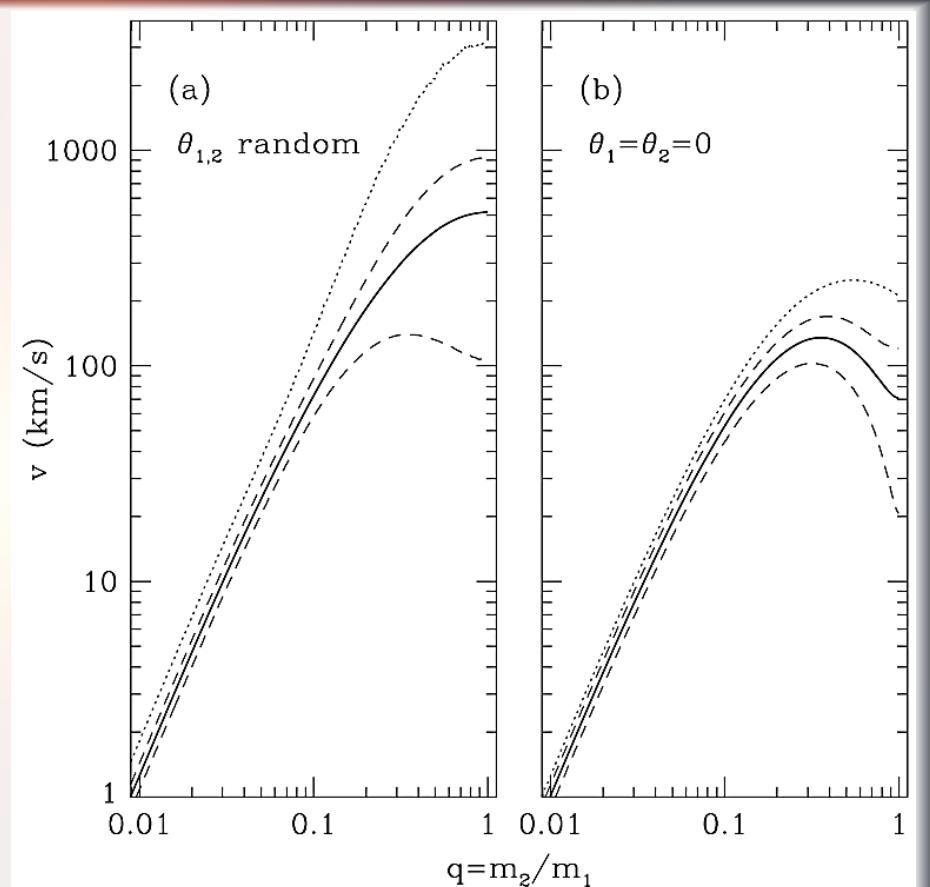
Increasing the growth rate: mergers

Mergers of Pop III remnants BH ?

Mergers induce **recoil** !
Could eject BH pairs into the IGM.

At lower z, mergers could be a viable,
but secondary growth channel.

Plot: Tanaka, T., & Haiman, Z. (2009). The assembly of supermassive black holes at high redshifts. *ApJ*, 696(2), 1798.



Picture: EHT Collaboration

<https://www.eso.org/public/images/eso1907a/>

Heavier black hole seeds

Keeping similar accretion mechanisms ideas, and feedback effects...

Building supermassive black holes at $z = 6$ would be more likely to succeed if the starting BH has a mass of

$$10^4 - 10^6 M_{\odot}$$

Instead of the $10^2 - 10^3 M_{\odot}$ from Pop. III remnants.

Heavier black hole seeds

Going beyond Pop. III stars as original ingredient...

... and **build supermassive stars**, with $M \sim 10^4 - 10^6 M_{\odot}$

How ?

Suppress cooling in primordial gas clouds, to prevent fragmentation and collapse

Heavier black hole seeds

Pop. III stars can form thanks to H₂ cooling...

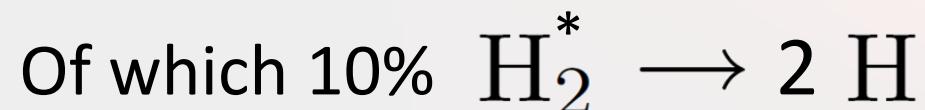
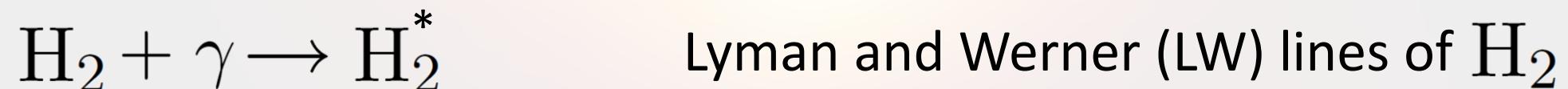
→ Suppressing H₂:

Heavier black hole seeds

Pop. III stars can form thanks to H₂ cooling...

→ Suppressing H₂:

When exposed to 11 – 15 eV radiation (soft UV):



Heavier black hole seeds

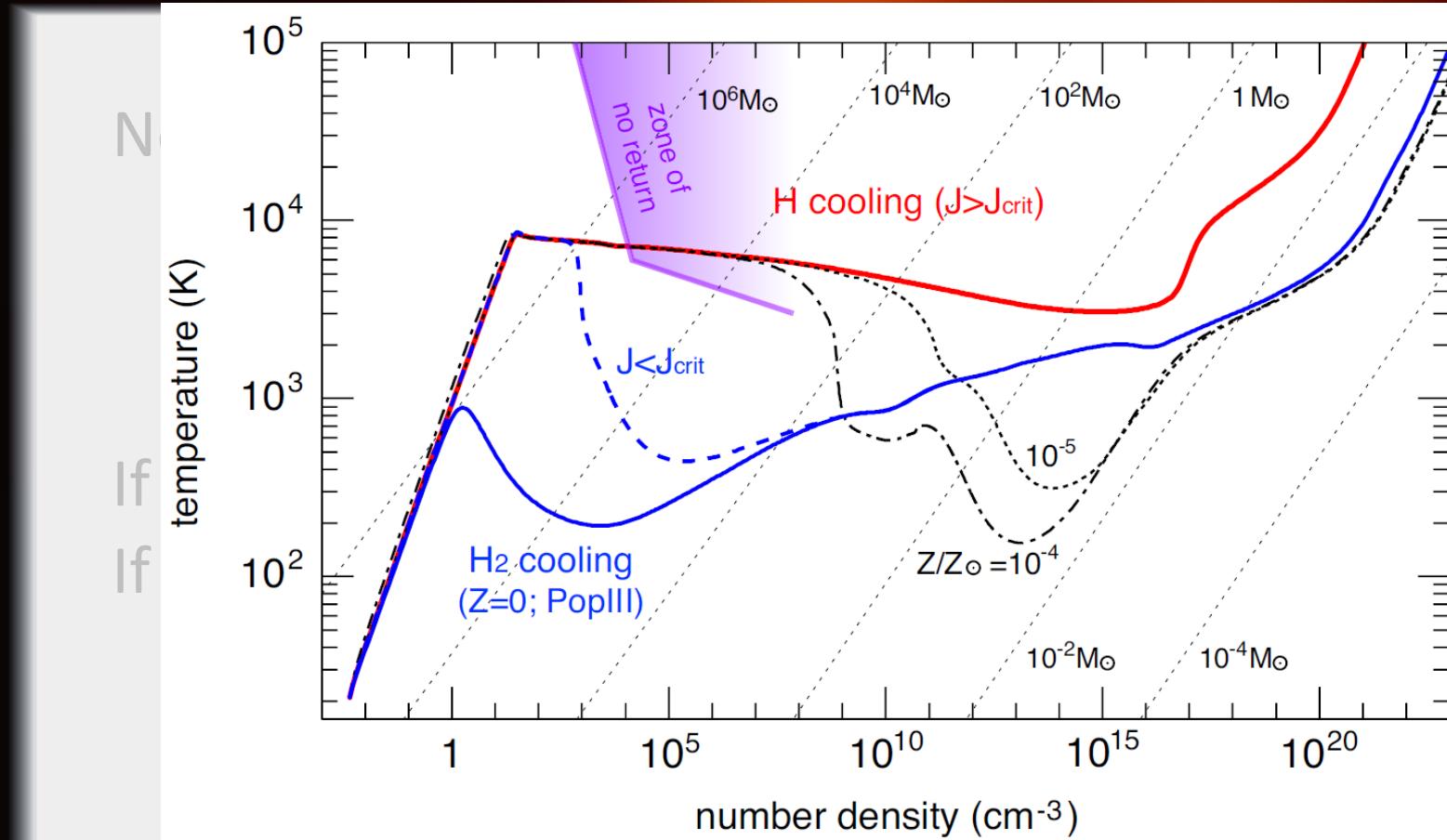
Need an external source of UV radiation:

Stars already formed in another sufficiently nearby galaxies.

If galaxies **too far**: not enough radiation for process to hold.

If galaxies **too close**: risk of gravitational / tidal perturbations.

Heavier black hole seeds



Plot taken from: Inayoshi, K., Visbal, E., & Haiman, Z. (2020). The Assembly of the First Massive Black Holes. *Annual Review of Astronomy and Astrophysics*, 58, 27-97.

Heavier black hole seeds

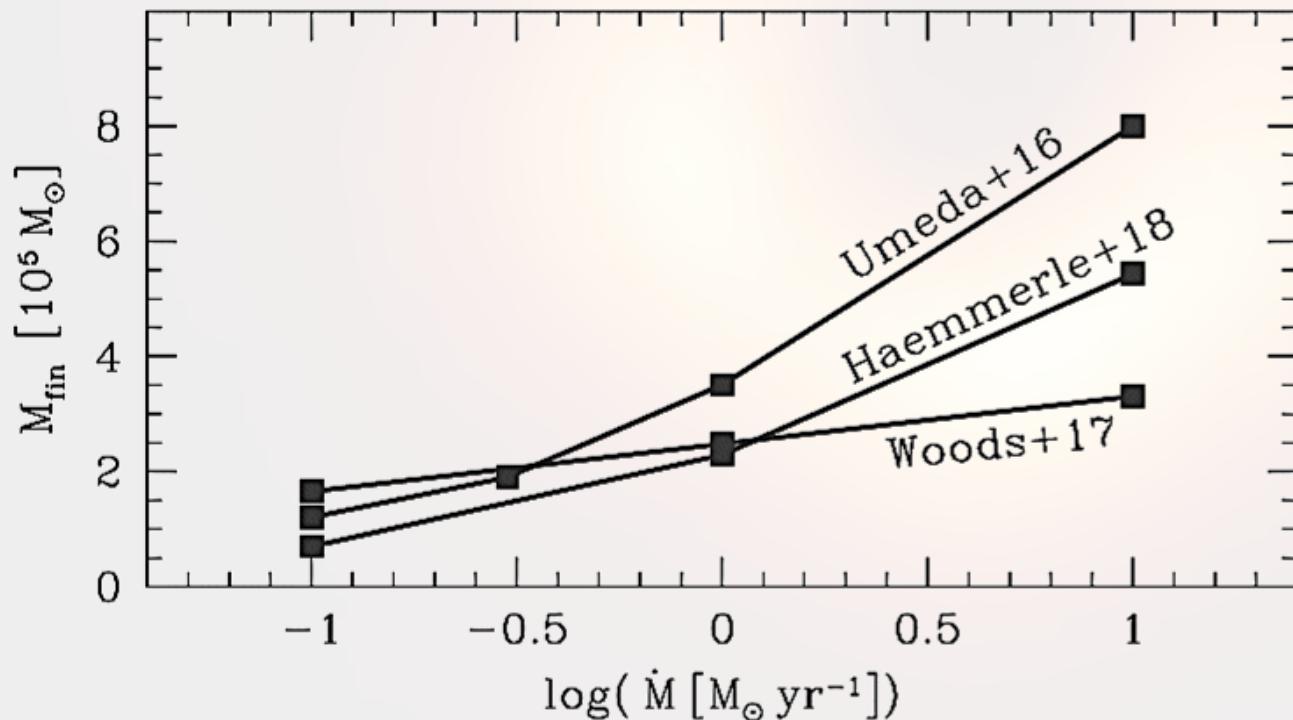
Then the very massive gas clouds collapses:

- To a single central protostar, or
- To a quasistar (star with BH at its center), in a more exotic scenario
- ...

In any case, objects which then accrete huge amount of gas available from the cloud and grow massively.

Heavier black hole seeds

The final mass of supermassive stars ($\sim 90\%$ of which ends in BH)



Plot: Woods, Tyrone E., et al.
"Titans of the early Universe:
The Prato statement on the
origin of the first
supermassive black
holes." *Publications of the
Astronomical Society of
Australia* 36 (2019).

Heavier black hole seeds

Conditions for H_2 suppression scenario:

Radiation from stars already formed in another sufficiently nearby galaxies

If galaxies **too far**: not enough radiation for process to hold.

If galaxies **too close**: risk of gravitational / tidal perturbations.

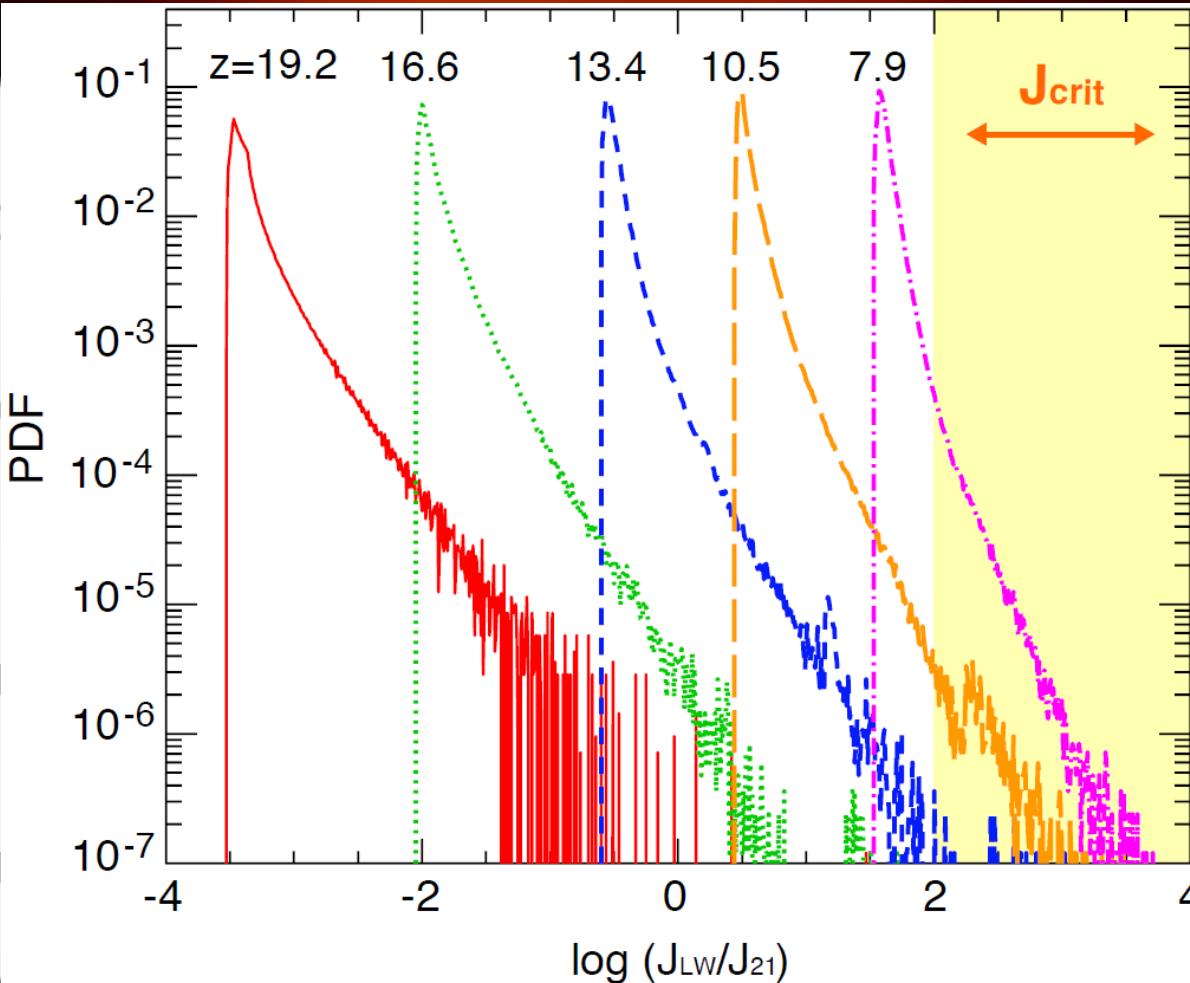
Heavier black hole seeds

Conditions for

Radiation from
galaxies

If galaxies too

If galaxies too



ently nearby
o hold.
bations.

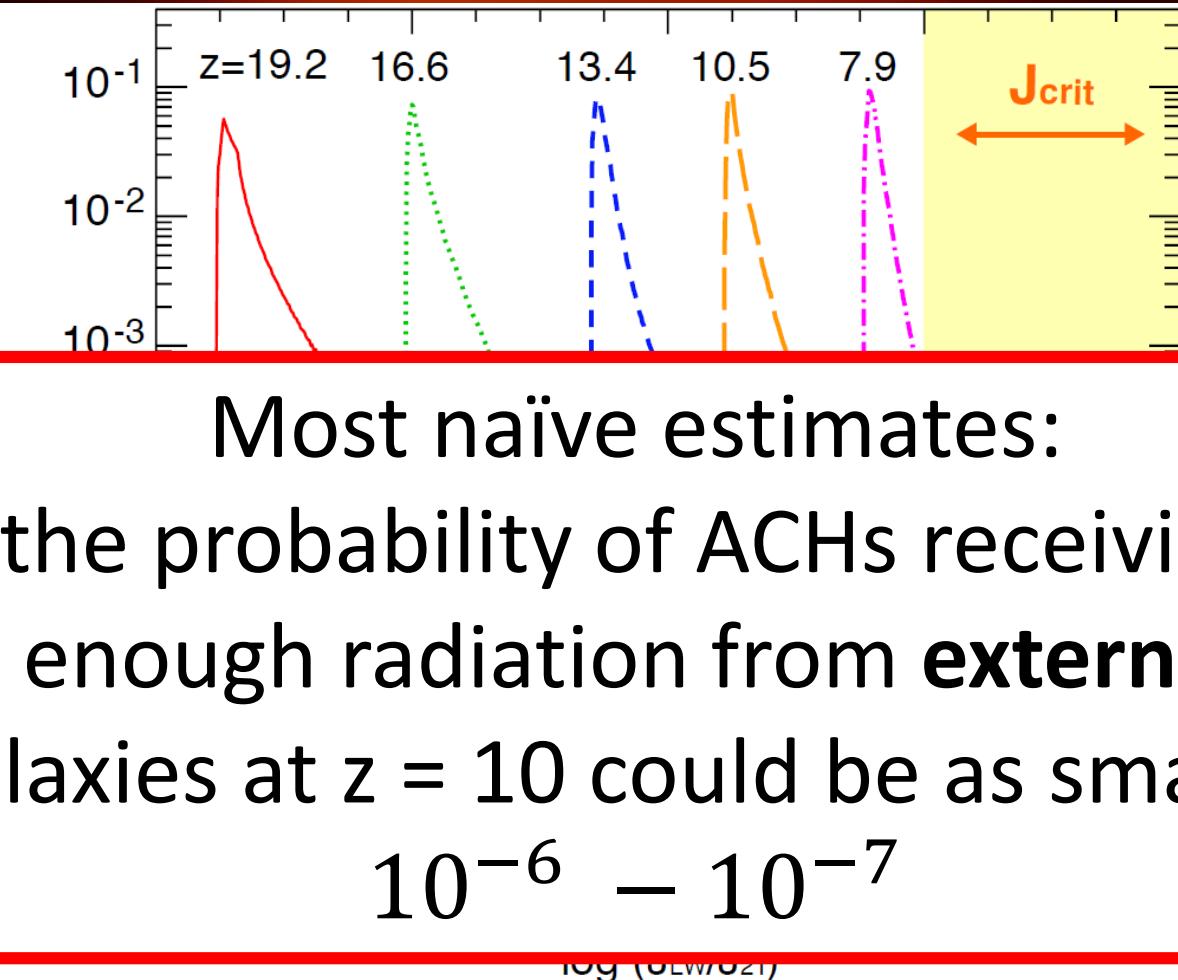
Heavier black hole seeds

Conditions for

Radiation
galaxies

If galaxies

If galaxies



Most naïve estimates:
the probability of ACHs receiving
enough radiation from **external**
galaxies at $z = 10$ could be as small as
 $10^{-6} - 10^{-7}$

Heavier black hole seeds

Rely on additional intra-galactical irradiation from existing stars...

Difficult: causes metal pollution of the gas clouds

- induces cooling processes
- induces fragmentation of gas clouds

A priori unwanted!

Heavier black hole seeds

In case of weak metal pollution ($\sim 10^{-5} Z_{\odot}$):

No monolithic collapse into single supermassive star

But possible for heavy cloud to have collapse into a **dense cluster of light stars** (density of $\sim 10^{9-11} M_{\odot} \text{ pc}^{-3}$). **Stars merging probable!**

Comparison: densest cluster known in Milky Way $2 \times 10^5 M_{\odot} \text{ pc}^{-3}$

Heavier black hole seeds

Some simulations outcome: [1]

Star mergers can lead to a massive star with $10^4 M_{\odot}$

[1] Dunn, Glenna, et al. "Sowing Black Hole Seeds: Direct Collapse Black Hole Formation with Realistic Lyman–Werner Radiation in Cosmological Simulations." *The Astrophysical Journal* 861.1 (2018): 39.

Plenty of more exotic channels!

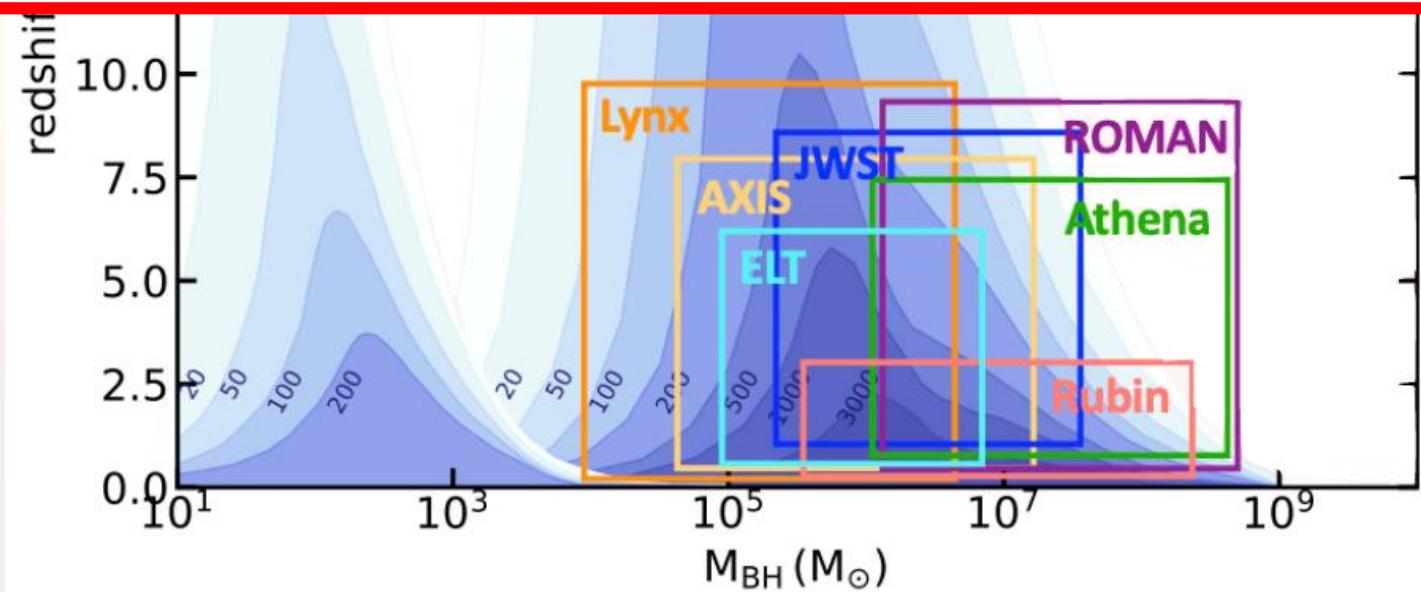
- Primordial black holes
- Cosmic strings
- “Dark matter stars”
- Self-interacting dark matter
- ...

Observation channels

Need cross correlations!

Plot taken from:

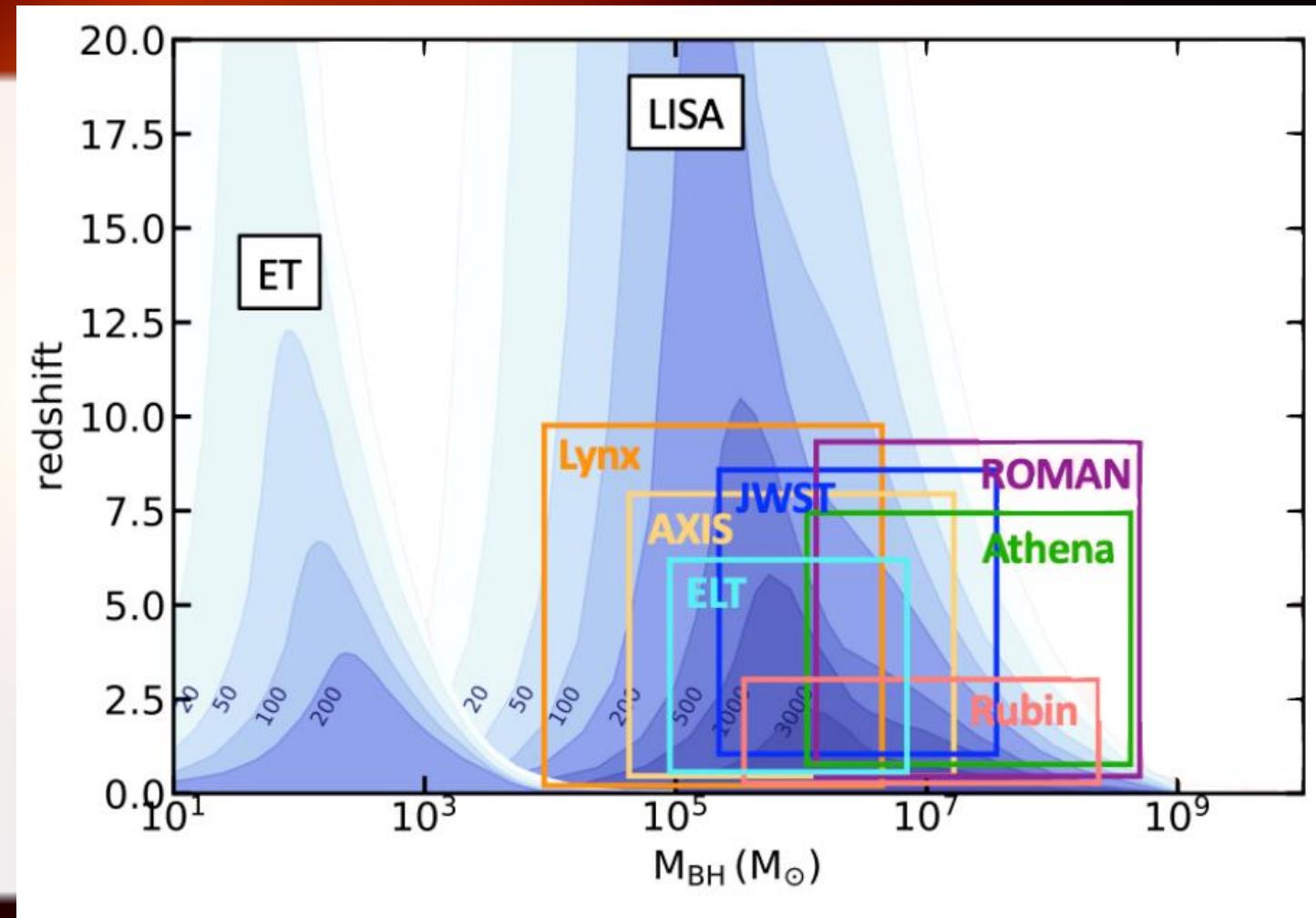
Volonteri, M., Habouzit, M., & Colpi, M. (2021). The origins of massive black holes. *Nature Reviews Physics*, 3(11), 732-743.



Observation channels

Plot taken from:

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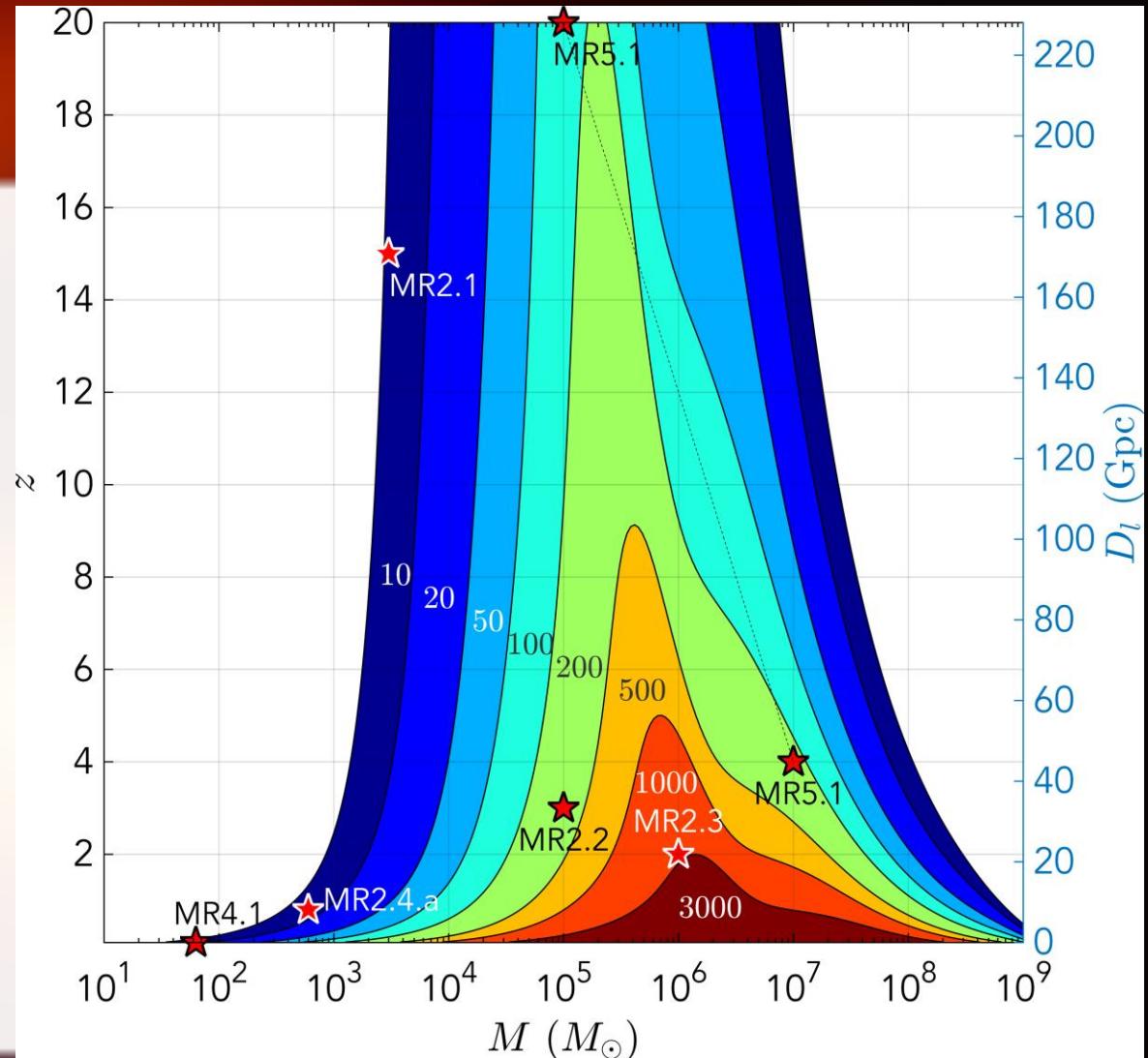


LISA

Signal to noise contours taken from
the LISA mission proposal, approved
by ESA.

Plot is made for $q = 0.2$

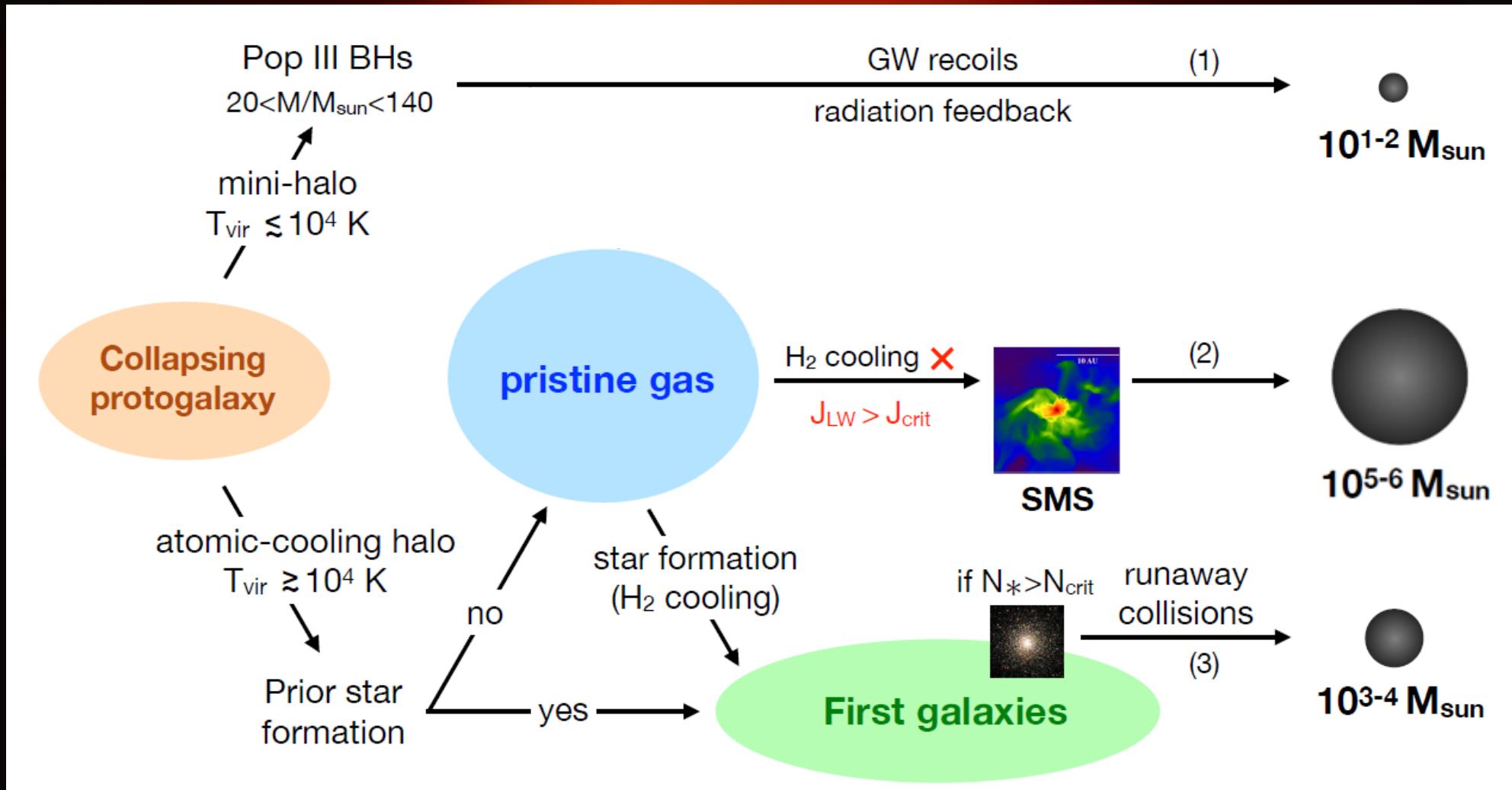
Amaro-Seoane, P., et al. "Laser interferometer
space antenna." *arXiv preprint*
arXiv:1702.00786 (2017).



Picture: EHT Collaboration

<https://www.eso.org/public/images/eso1907a/>

Conclusion



Plot taken from: Inayoshi, K., Visbal, E., & Haiman, Z. (2020). The Assembly of the First Massive Black Holes. *Annual Review of Astronomy and Astrophysics*, 58, 27-97.

Collaboration
s/eso1907a/

Thank you !

Picture: EHT Collaboration

<https://www.eso.org/public/images/eso1907a/>

Magnetic fields

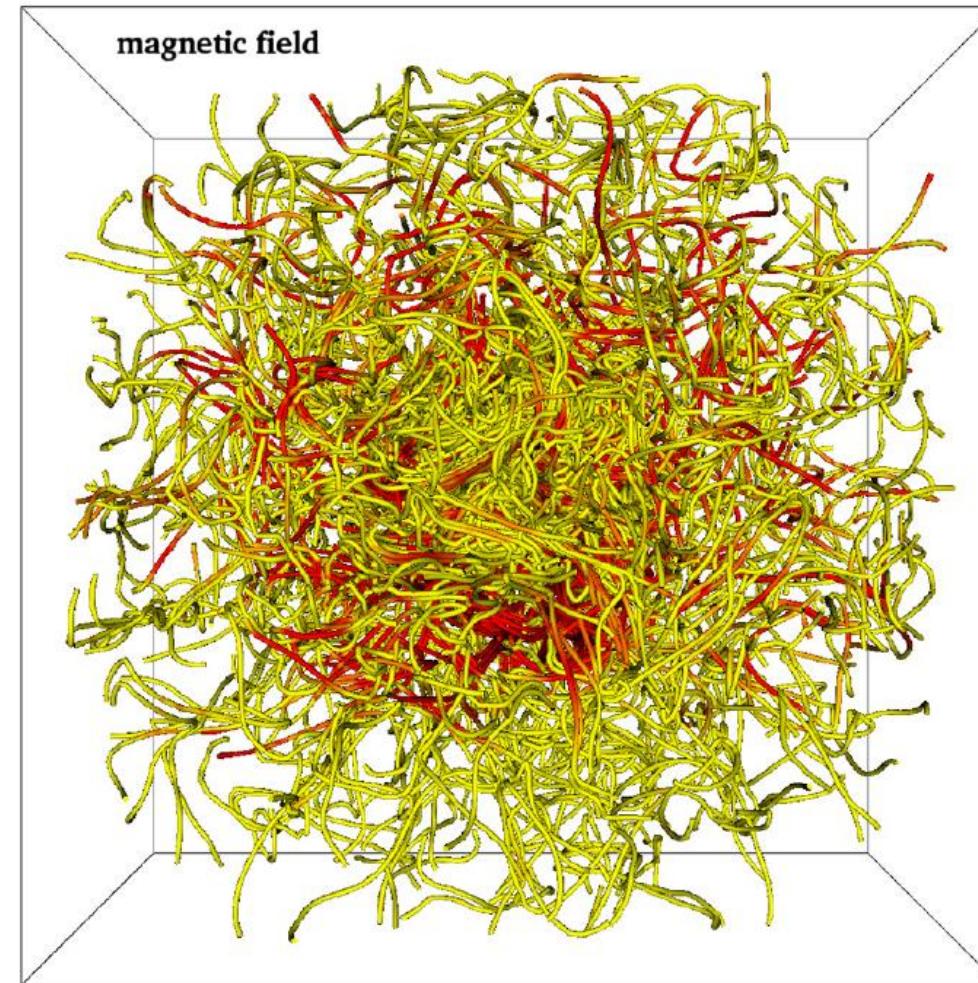


Figure 4. Magnetic field lines (yellow: $0.5 \mu\text{G}$, red: $1 \mu\text{G}$) illustrating the complex magnetic field structure in the centre of a contracting primordial halo resulting from the small-scale dynamo. The image is adopted from Federrath et al. (2011b).

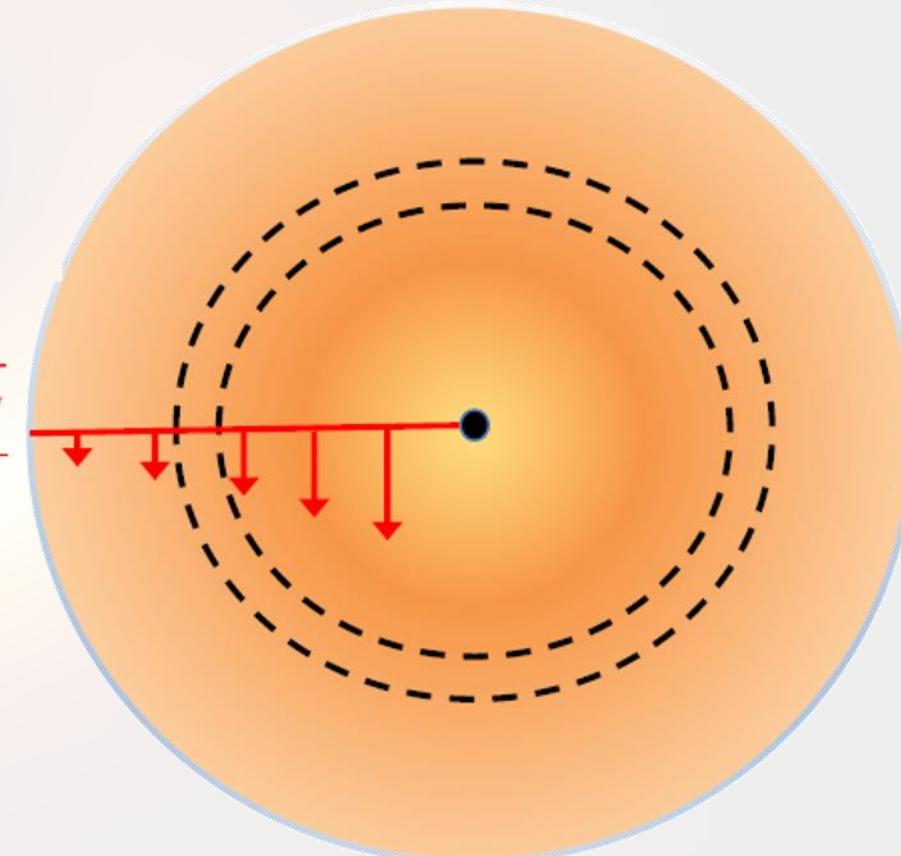
Picture: EHT Collaboration
<https://www.eso.org/public/images/eso1907a/>

Angular momentum transport

Once in the accretion disk:

Need viscosity

$$v_\phi = \sqrt{\frac{GM}{r}}$$



Angular momentum transport

Fueling the AGN:

transport gas from galactic scales, ie $O(kpc)$, to BH scales, ie $O(0.1pc)$

Tidal interactions, galaxy mergers can cause instabilities leading to angular momentum flows (with turbulences, etc.).

Breaks strict disk geometry into bar-like features.

Angular momentum transport

Cascade of bars
scenarios

Plot:

Choi, J. H., Shlosman, I., & Begelman, M. C. (2013). Supermassive black hole formation at high redshifts via direct collapse: physical processes in the early stage. *The Astrophysical Journal*, 774(2), 149.

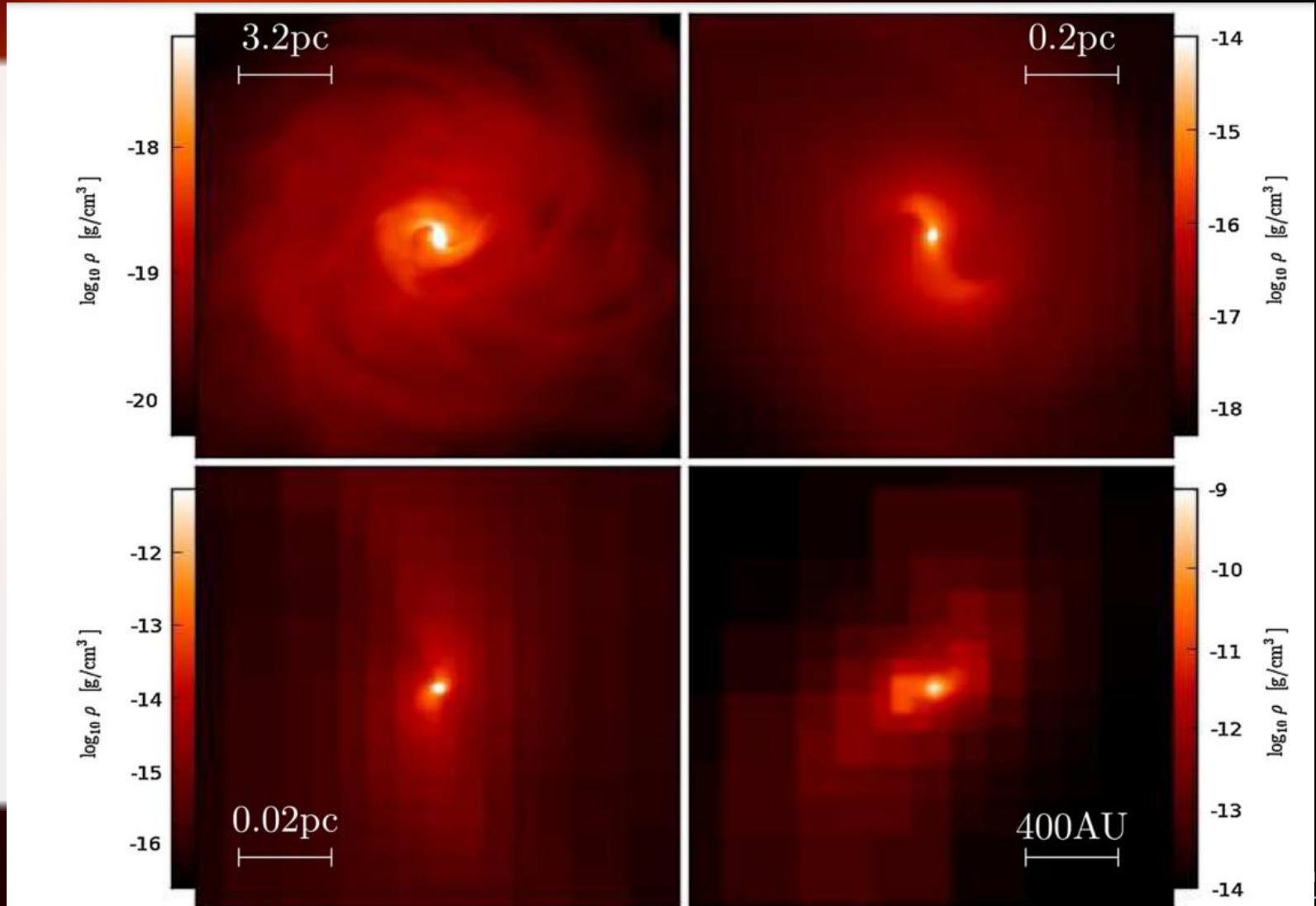


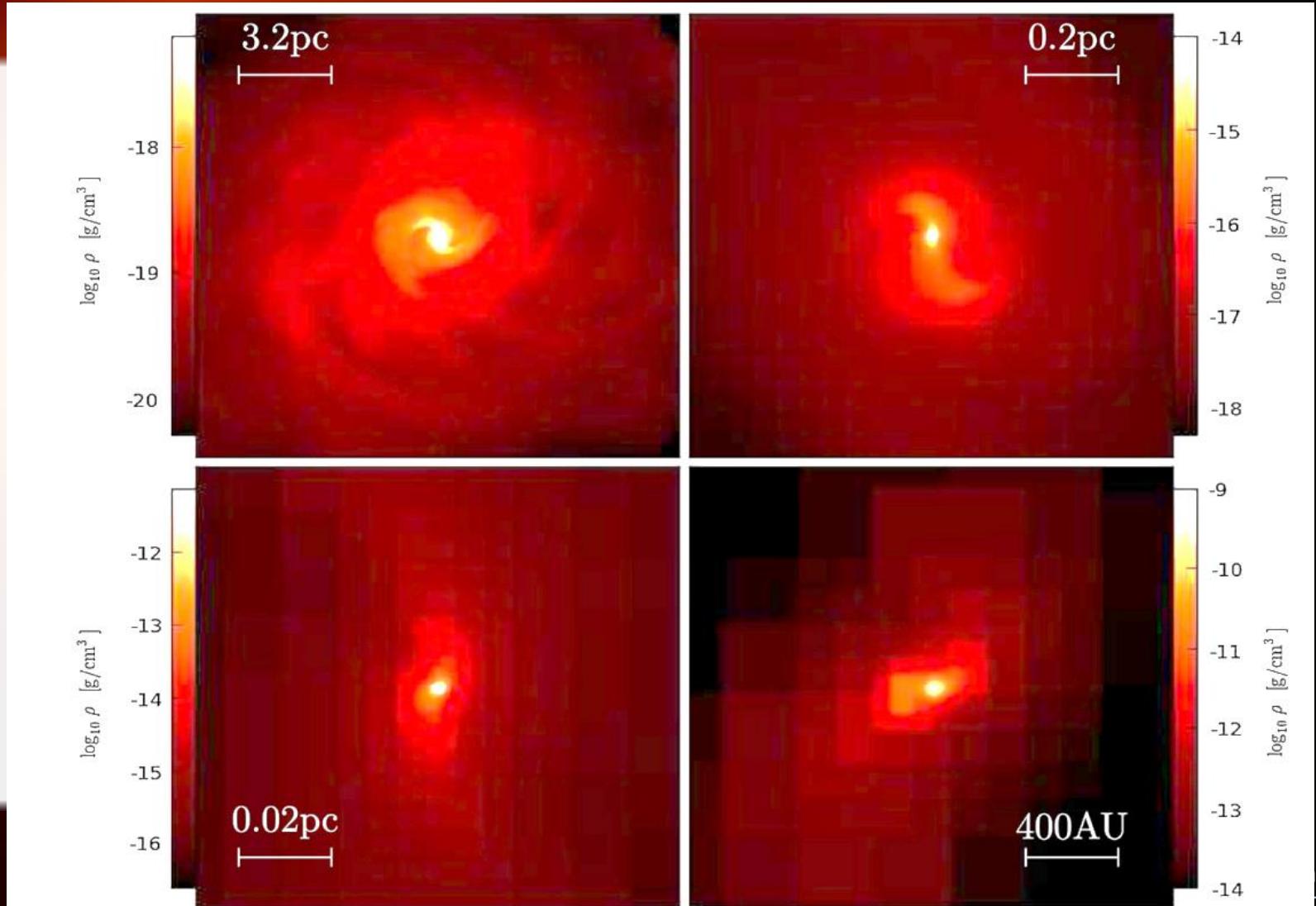
Figure 3: Evolution of the density distribution in a cascade of bar instabilities. The panels show the density distribution at different stages of the collapse, with increasing resolution (decreasing scale bars) and decreasing density range (increasing color scale values).

Angular momentum transport

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Choi, J. H., Shlosman, I., &
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formation at high redshifts via
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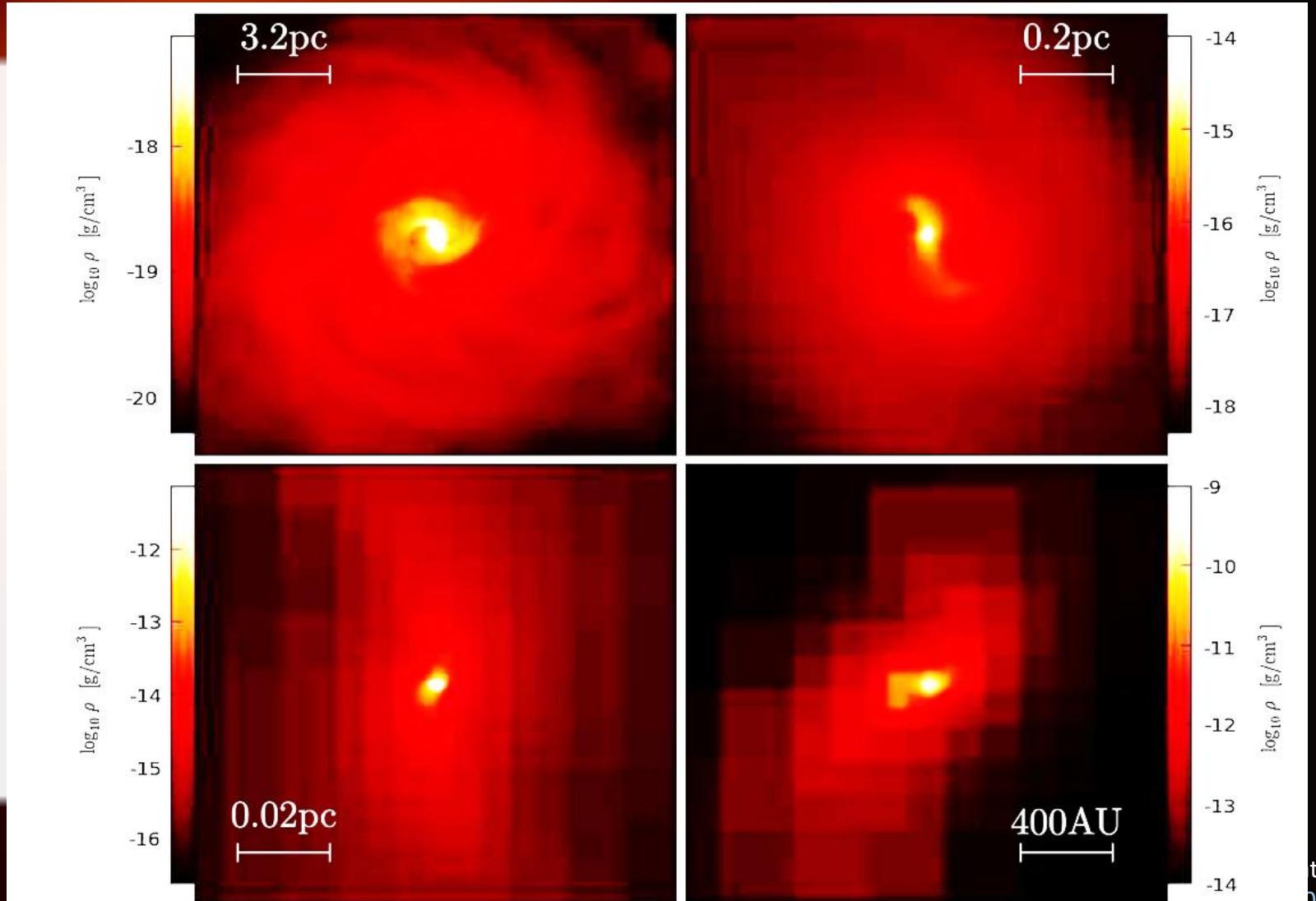


Angular momentum transport

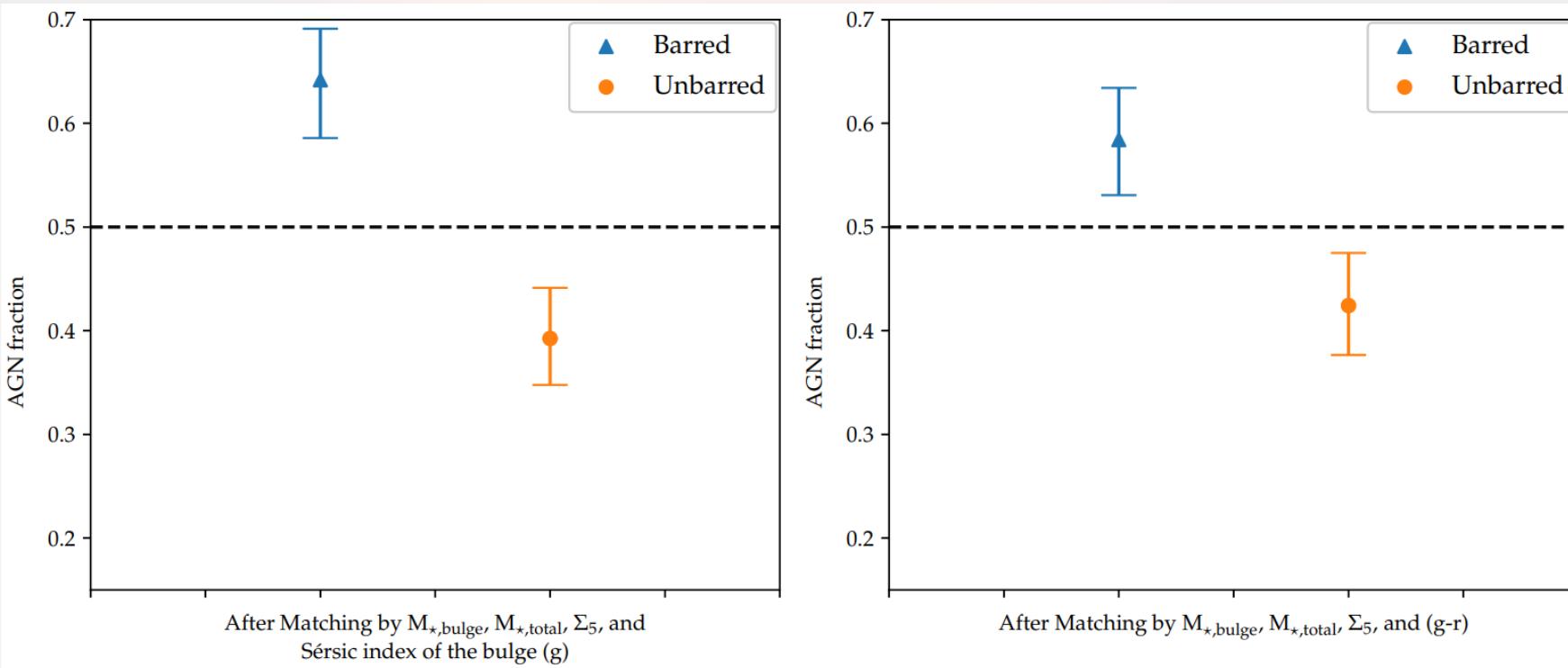
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Angular momentum transport



Silva-Lima, Luiz A., et al. "Revisiting the role of bars in AGN fuelling with propensity score sample matching." *Astronomy & Astrophysics* 661 (2022): A105.

Accretion, the (very) basics

Eddington accretion:

Infall if $\frac{GMm}{r^2} \geq \frac{L\kappa m}{4\pi r^2 c}$, i.e. $L \leq \frac{4\pi G M c}{\kappa}$

But

$$L \iff \dot{M}$$
$$L = \epsilon \dot{M} c^2$$

$$\Rightarrow \dot{M} \leq \frac{4\pi G}{c\kappa\epsilon} M =: \dot{M}_{Edd}$$

Radiative efficiency $\epsilon \approx 0.1$

Supermassive consequences ?

Consequences of the existence of SMBH, from the point of view of
gravitational attraction:

Can define a **radius of gravitational influence** r_{infl}

One possibility:

$$M_{stellar, \text{encl.}}(r) = 2M_{BH} \quad \text{at} \quad r = r_{infl}$$

Supermassive consequences ?

Consequences of the existence of SMBH, from the point of view of
gravitational attraction:

Milky Way: $r_{BH} \approx 4 \cdot 10^{-7}$ pc

$$r_{infl} \approx 2 - 3$$
 pc

$$r_{galaxy\ central\ part} \sim 1'000$$
 pc

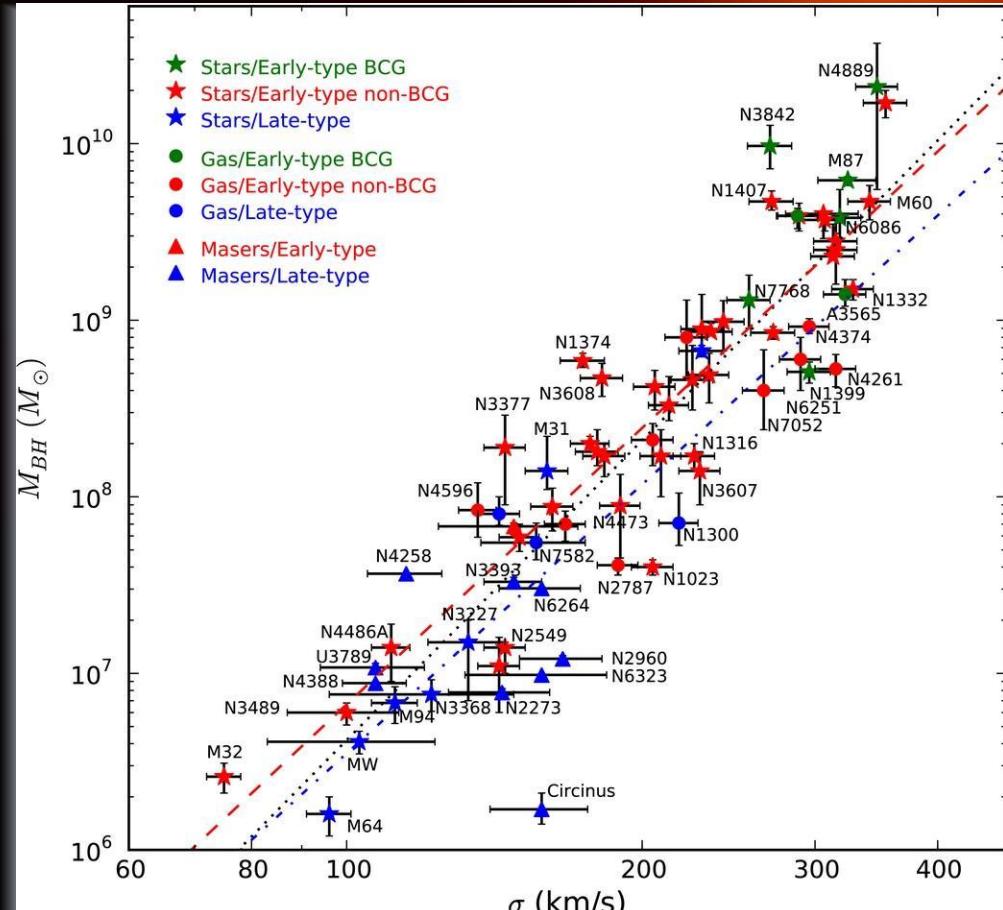
$$r_{galaxy\ disk} > 26'000$$
 pc

Supermassive consequences ?

The gravitational radius of influence is **completely negligible** at the scale of a galaxy.

Supermassive black holes \leftrightarrow “Superweak” consequences ?

But ... The M- σ relation



There is a **strong correlation** between the mass of a supermassive black hole and the stellar velocity in the galaxy center

from *Revisiting the Scaling Relations of Black Hole Masses and Host Galaxy Properties*

McConnell & Ma 2013 ApJ 764 184 doi:10.1088/0004-637X/764/2/184

<http://dx.doi.org/10.1088/0004-637X/764/2/184>

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But ... The M- σ relation

This suggests that there is some physics **linking black hole scales and galactic scales.**

We have seen that **gravity cannot play this role!**

Accretion, the (very) basics

If $\kappa \& \epsilon = \text{const.}$, at most $M(t) = M_0 e^{\frac{4\pi G}{c\kappa\epsilon}t}$

Inserting typical values and using $M_0 = 100 M_\odot$, $M(t) = 10^9 M_\odot$

$\implies t = \mathcal{O}(\text{age of the Universe at } z \sim 6)$

Black holes beyond gravity

If black holes were only about gravity sinks:

- No reason to expect the M- σ relation
- Problems with numerical simulations:
 - Too high star formation rates in high mass galaxies
 - Too many massive galaxies

Black holes beyond gravity

Observational fact:

In active accretion phases, **black holes release energy and momentum.**

This emission can be :

- **radiative**, i.e. energetic photons → “wind” mode
- **mechanical**, i.e. energetic particles → “jet” mode

Active Galactic Nuclei

Energy injections via AGN are appealing because:

- This provides a new track in **building models of the M- σ relation**
- High energy injection could **disable some gas cooling**, and thus lower star formation rates → quenching mechanism
- Possibility for the **gas to be blown away** also limits objects formation

Feedback on star formation

How big can we expect the effect to be?

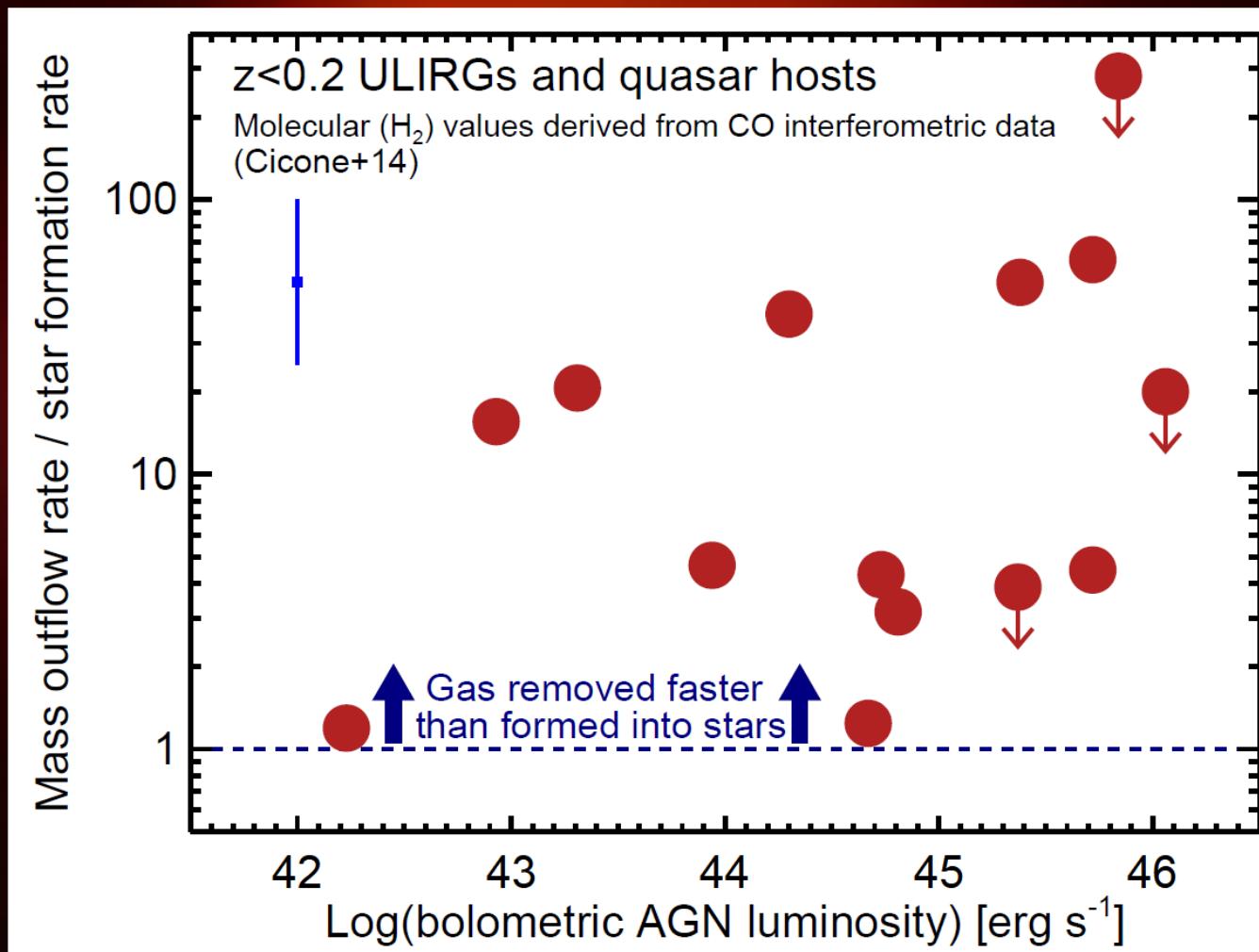
Accretion physics tells us that **~ 10%** of the rest-mass energy of accreted material is **released back**.

This is more than gravitational binding energy of a galaxy bulge!

→ **Much more than we need !**

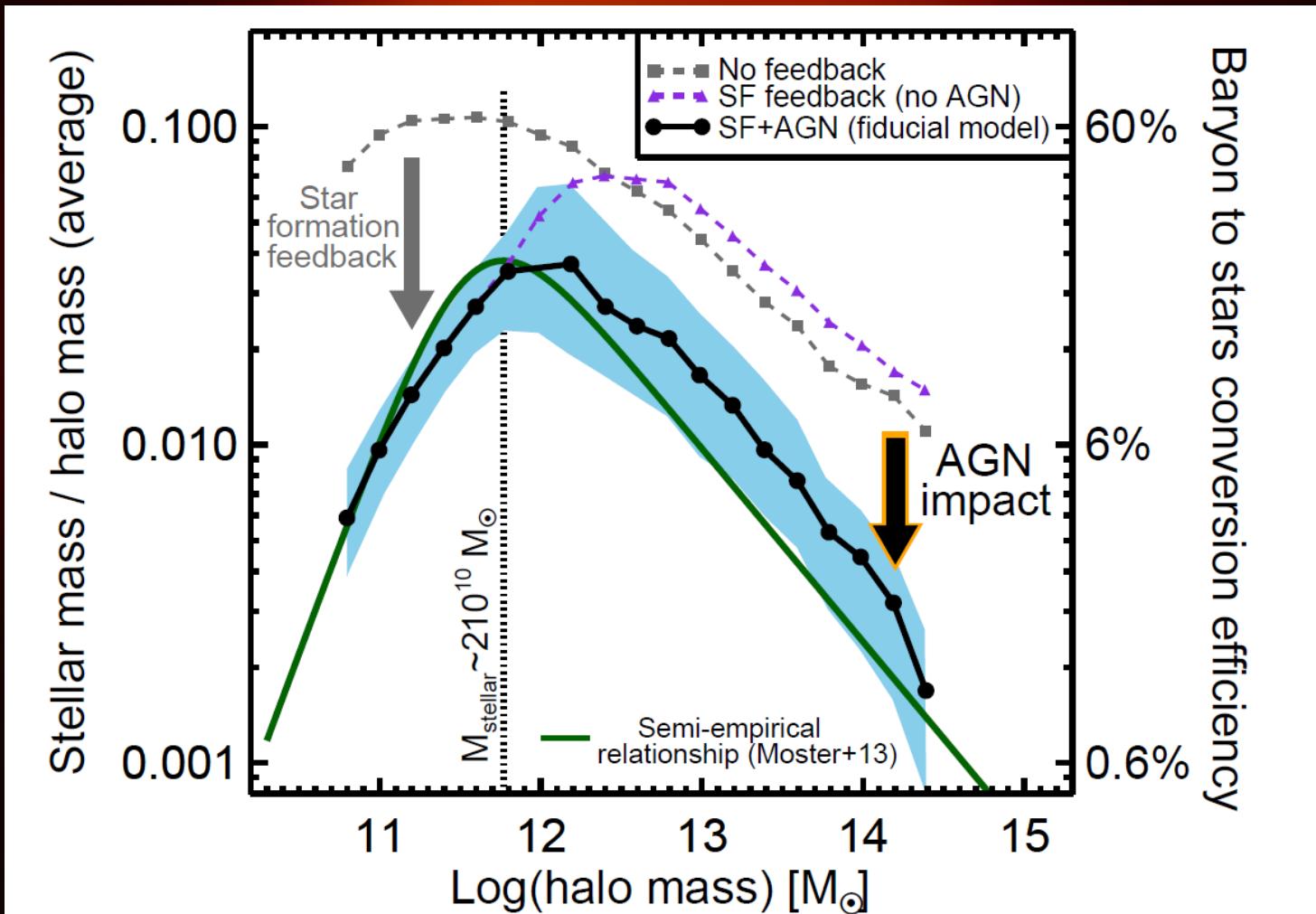
Only a fraction of the released energy will couple to the gas

Feedback on star formation



Cicone, C. et al. Massive molecular outflows and evidence for AGN feedback from CO observations. *Astron. Astrophys.* 562, A21 (2014).

Feedback on star formation



C.M. Harrison (ESO), Impact of supermassive black hole growth on star formation, Invited Review for Nature Astronomy, arXiv:1703.06889, 2017.